1 Development of caninized anti-CTLA-4 antibody as salvage

combination therapy for anti-PD-L1 refractory tumors in dogs

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43 **Runing title**

- 44 Anti-CTLA-4 antibody for canine cancers
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48 Abstract

Immune checkpoint inhibitors (ICIs) are widely used for cancer immunotherapy; 49 however, the clinical efficacy of anti-PD-1/PD-L1 monotherapy is generally limited, 50 highlighting the need to develop combination therapies. Dogs develop spontaneous 51 52 tumors in immunocompetent settings, and anti-PD-1/PD-L1 antibodies exert similar clinical benefits. However, no clinically relevant anti-CTLA-4 antibody has been reported, 53 limiting the value of canine tumors as comparative models for human ICI research. Here, 54 55 canine CTLA-4 was molecularly characterized, and a caninized anti-CTLA-4 antibody (ca1C5) that blocks CTLA-4/ligand binding was developed. Treatment with ca1C5 56 increased cytokine production in canine immune cell cultures, and 57 the 58 immunostimulatory effect was enhanced when used in combination with the anti-PD-L1 antibody c4G12. As a proof-of-concept, a veterinary clinical study was conducted to 59 demonstrate the safety and clinical efficacy of anti-CTLA-4 antibody as salvage 60 combination therapy in dogs with advanced tumors refractory to prior c4G12 61 monotherapy. The combination treatment (c4G12 plus ca1C5) was well-tolerated, and 62 evidence of antitumor activity was observed in one dog with oral malignant melanoma. 63 Further studies are warranted to advance veterinary care for dogs and to better 64 characterize canine ICI models for human onco-immunology research. 65

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68 Keywords

- 69 Canine tumor, Immunotherapy, Immune checkpoint inhibitors, Cytotoxic T lymphocyte-
- associated protein 4 (CTLA-4), Programmed death ligand 1 (PD-L1)
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72 Introduction

Immunotherapy has become widely available for treating various tumor types in 73 human medicine. Immune checkpoint inhibitors (ICIs) play pivotal roles in reinvigorating 74 exhausted T-cell responses to cancer and improving the immunosuppressive tumor 75 76 microenvironment (TME) to enhance the cancer-immunity cycle [1]. The immune checkpoint receptor programmed death 1 (PD-1) inhibits T-cell receptor signaling upon 77 binding to its ligands, PD ligand 1 (PD-L1) and PD-L2 [2]. PD-L1 overexpression is 78 79 commonly observed in the TME of various cancer types; thus, anti-PD-1/PD-L1 antibodies can reverse T-cell suppression, leading to the induction of effective antitumor 80 immune responses in cancer patients [3]. Although patient survival can be improved, and 81 82 durable response achieved with anti-PD-1/PD-L1 antibody monotherapy, the response rate is approximately 20% across many tumor types [4,5]. This suggests the existence of 83 mechanisms that confer primary (innate) and secondary (acquired) resistance to PD-84 1/PD-L1 blockade [6]. Cytotoxic T lymphocyte-associated protein 4 (CTLA-4) is another 85 immune checkpoint receptor expressed on activated T cells and regulatory T cells (Tregs). 86 87 CTLA-4 is a homolog of the costimulatory receptor CD28 and outcompetes it for binding to B7 ligands (CD80 and CD86), resulting in the inhibition of T-cell activation [7]. 88 Similarly, immune checkpoint blockade using anti-CTLA-4 antibodies has been shown 89 to induce effective T cell-mediated antitumor immune responses, with clinical benefits 90 reported in patients with melanoma [8,9]. In addition to simple receptor blockade, anti-91 92 CTLA-4 antibodies may modulate the immune response by depleting Tregs in the TME via antibody-dependent cell-mediated cytotoxicity (ADCC) [10,11]. However, the use of 93 anti-CTLA-4 monotherapy is limited in humans [5] due to its relatively low response rate 94 and high incidence of immune-related toxicity. 95

More recently, the combination of anti-PD-1/PD-L1 and anti-CTLA-4 antibodies 96 97 has been tested to achieve better clinical benefits compared to each monotherapy. In patients with melanoma, combination blockade using nivolumab (anti-PD-1) and 98 99 ipilimumab (anti-CTLA-4) improved the objective response rate (ORR), progression-free survival (PFS), and overall survival (OS) [12–14], as compared to ipilimumab alone. 100 Similarly, numerically better ORR was reported with combination therapy in patients with 101 102 non-small cell lung cancer compared to nivolumab monotherapy [15]. Because distinct 103 mechanisms of action have been suggested for anti-PD-1/PD-L1 and anti-CTLA-4 104 therapies [5], the combination approach is considered promising for overcoming resistance to each monotherapy. In addition to immunotherapy-naïve patients, the clinical 105 benefits of combination therapy have been explored in patients who were refractory to 106 107 anti-PD-1/PD-L1 monotherapy. In patients with melanoma who experienced disease

progression following prior anti-PD-1/PD-L1 therapy (including innate and acquired resistance), significant antitumor activity was observed with combination therapy using nivolumab or pembrolizumab (anti-PD-1) plus ipilimumab [16,17]. These findings demonstrate the potential of the combination approach as a salvage treatment following the failure of anti-PD-1/PD-L1 monotherapy.

113 Cancers in dogs are gaining attention as comparative models for human cancer, leveraging the fact that dog tumors arise spontaneously in immunocompetent settings, 114 115 typically in older age, and exhibit key common molecular features such as gene mutations and signaling pathway alterations [18]. Over the past decade, immune checkpoints have 116 117 been extensively studied in canines, and several anti-PD-1/PD-L1 antibodies have been 118 developed for therapeutic purposes [19–23]. Veterinary clinical studies using these ICIs have demonstrated that their clinical efficacy and safety profiles are generally similar to 119 those reported in humans [19,20,24–26], suggesting an overall similarity between canine 120 and human antitumor immunity. However, the response rate to anti-PD-1/PD-L1 121 122 monotherapy remains low in dogs, emphasizing the need for effective combination therapies. Anti-CTLA-4 antibodies have also been developed and characterized in vitro 123 for canine cancer treatment [27,28]; however, no clinical studies have been conducted to 124 date to evaluate their clinical efficacy and safety. To achieve greater clinical benefits for 125 dogs with tumors and to enhance the value of canine cancers as comparative models for 126 human cancer research, the development of a clinically relevant anti-CTLA-4 antibody is 127 128 urgently required.

To address this, in this study, we demonstrated that the immunosuppressive role 129 of CTLA-4 is conserved in the canine immune system by confirming that canine CTLA-130 4 competes with CD28 for ligands and downregulates cytokine production in canine 131 132 immune cell cultures. Next, anti-CTLA-4 monoclonal antibodies were newly established 133 and characterized for their binding properties and functional blockade of the CTLA-4 axis. A caninized (canine-ized) anti-CTLA-4 antibody, ca1C5, was then developed for 134 therapeutic purposes, and its overall safety and pharmacokinetics were evaluated in a 135 laboratory dog. Finally, the clinical efficacy and safety of ca1C5 in combination with the 136 anti-PD-L1 antibody (c4G12) were explored in a pilot veterinary clinical study involving 137 138 12 dogs with advanced tumors refractory to prior c4G12 monotherapy.

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141 **Results**

142 CTLA-4 impedes immune cell activation via ligand competition in dogs

To characterize the immunosuppressive role of CTLA-4 in canine immune 143 responses, we first compared deduced amino acid sequences of mammalian CTLA-4, 144 145 CD28, CD80, and CD86 reported in the GenBank database. The sequence identity between human and canine CTLA-4 at the amino acid level was 87.4%, which was higher 146 than the identity between human and mouse CTLA-4 (74.9%). Similarly, the sequence 147 148 identities of CD28, CD80, and CD86 were 80.5%, 53.9%, and 62.6%, respectively, between humans and dogs, in contrast to 69.4%, 46.0%, and 58.1% between humans and 149 150 mice. Phylogenetic analysis confirmed that canine orthologs are more closely related to 151 their human counterparts than to mouse orthologs (Fig. 1a). Notably, the B7-binding motif in the extracellular IgV domain and the cytoplasmic tail are 100% conserved among 152 153 human, dog, and mouse CTLA-4 (Supplementary Fig.1). These results suggest that dogs may share a common immune regulatory pathway via CTLA-4 that is evolutionarily more 154 155 relevant to the human immune system.

156 Next, we prepared recombinant proteins of canine CD28 and CTLA-4 to test ligand competition in a cell-based assay. The recombinant CD28 and CTLA-4 were 157 expressed and purified in a soluble form, with the extracellular region fused to an IgG Fc 158 region as a tag (CD28 Ig and CTLA-4 Ig). Binding of canine CD28 Ig to canine CD80-159 or CD86-expressing cells was detected using flow cytometry in the presence of various 160 161 concentrations of canine CTLA-4 Ig. The addition of CTLA-4 Ig reduced CD28 Ig binding to both CD80- and CD86-expressing cells (Fig. 1b). Consistent with this finding, 162 treatment with CTLA-4 Ig in canine peripheral blood mononuclear cell (PBMC) cultures 163 164 stimulated with a superantigen, staphylococcal enterotoxin B, reduced IL-2 and IFN- γ concentrations in the culture supernatant (Fig. 1c). These results suggest that canine 165 166 CTLA-4 inhibits T-cell activation by decreasing availability of costimulatory ligands.

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168 Establishment of rat monoclonal antibody against canine CTLA-4

Several monoclonal antibody clones were established by immunizing rats with 169 canine CTLA-4. Among these, two clones were selected for further characterization: 170 171 2G2-G8 for expression analysis and 1C5-E5 for ligand-binding inhibition. Surface plasmon resonance (SPR) analysis suggested that both 2G2-G8 and 1C5-E5 bind canine 172 CTLA-4 with high affinity, exhibiting sub-nanomolar K_D values (2.31 \pm 1.82 \times 10⁻¹⁰ M 173 and $2.63 \pm 0.03 \times 10^{-10}$ M, respectively), which are comparable to, or slightly better than, 174 the K_D value of ipilimumab/human CTLA-4 binding $(6.25 \pm 0.87 \times 10^{-10} \text{ M})$ (Table 1). 175 In flow cytometric analysis, 2G2-G8 detected endogenous levels of CTLA-4 176

expressed on peripheral blood T cells from healthy dogs (Fig 2a). Stimulation with a
superantigen increased CTLA-4 expression on T cells in PBMC cultures (Supplementary
Fig. 2, same experimental condition as Fig. 1c), suggesting the formation of a negative
feedback loop upon T-cell activation. Higher CTLA-4 expression was observed on both
CD4⁺ and CD8⁺ T cells in peripheral blood from dogs with oral malignant melanoma
(OMM) (Fig. 2a), implying that the CTLA-4 axis is a potential target for therapeutic
intervention in canine cancer immunotherapy.

184 We next tested whether the established monoclonal antibodies inhibit the binding of CTLA-4 to its ligands, CD80 and CD86. A recombinant protein-based assay was 185 performed using CD80 Ig- or CD86 Ig-coated microwell plates to detect CTLA-4 Ig 186 187 binding to each ligand. Preincubation of CTLA-4 Ig with 2G2-G8 or 1C5-E5 reduced CTLA-4 Ig binding to the coated plates at higher antibody/CTLA-4 molar ratios (Fig. 2b). 188 189 Notably, 1C5-E5 achieved nearly complete inhibition at a lower molar ratio compared to 2G2-G8, supporting the use of 1C5-E5 for therapeutic purposes, specifically in T-cell 190 activation. In canine PBMC cultures, treatment with 1C5-E5 increased IL-2 191 192 concentrations in the culture supernatant (Fig. 2c), suggesting that 1C5-E5 inhibits CTLA-4 ligand binding and enhances T-cell activation through increasing the availability 193 194 of costimulatory ligands for CD28 and/or by reducing the inhibitory signaling transmitted via CTLA-4. 195

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Characterization of caninized anti-CTLA-4 monoclonal antibody for canine cancer treatment

To reduce the immunogenicity of the therapeutic antibody, the rat monoclonal 199 200 antibody 1C5-E5 was converted into a canine IgG isotype by grafting the 201 complementarity-determining regions (CDRs) into canine antibody frameworks. The 202 resulting caninized antibody was named ca1C5, which retained binding properties almost 203 identical to those of the original rat 1C5-E5 (Table 1). Indeed, a ligand-binding inhibition 204 assay confirmed that ca1C5 was comparable to rat 1C5-E5 in blocking CTLA-4 Ig 205 binding to CD80 Ig- or CD86 Ig-coated plates (Fig. 3a). To further characterize its therapeutic potential, canine PBMC cultures were treated with ca1C5, and cytokine 206 207 accumulation in the supernatant was measured as a surrogate indicator of T-cell activation. The concentrations of IL-2, IFN- γ , and TNF- α were significantly higher when ca1C5 was 208 209 added to the culture (Fig. 3b). Furthermore, the potential of ca1C5 to induce ADCC was evaluated in a cell-based assay using CTLA-4-expressing cells as target cells. The 210 percentage of live target cells decreased in the presence of ca1C5 when these cells were 211 212 cocultured with effector cells (IL-2-stimulated canine peripheral blood lymphocytes

213 (PBLs)) (Fig. 3c).

In clinical applications, ca1C5 is intended to be used in combination with anti-PD-1/PD-L1 antibodies, such as c4G12. The combination treatment with ca1C5 and c4G12 in canine PBMC cultures further increased IL-2 and TNF- α concentrations in the supernatant compared to ca1C5 or c4G12 treatment alone (Fig. 3d), indicating an additive or synergistic stimulatory effect on T-cell activation. While IFN- γ concentrations were not significantly increased by either treatment alone, the combination treatment resulted in a statistically significant increase (Fig. 3d).

Safety and blood kinetics of anti-CTLA-4 antibody as monotherapy and in

combination therapy with anti-PD-L1 antibody in a healthy dog

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Before initiating a clinical study, we administered ca1C5 to a healthy laboratory 224 225 dog to evaluate its safety and blood kinetics. The dosing regimen of ca1C5 was tentatively set at 1 mg/kg every 2 weeks, based on findings from human clinical studies using 226 ipilimumab [9, 12–17]. Repeated administration of ca1C5 (1 mg/kg) at 2-week intervals, 227 228 for a total of four doses, did not induce any acute adverse events. The dog exhibited stable body temperature, pulse, and respiratory rate during and immediately after the infusions 229 (Supplementary Fig. 3a). Clinical evaluations, including physical examination, blood 230 tests, urinary tests, and diagnostic imaging via X-ray and ultrasound, revealed no 231 significant abnormalities (Supplementary Figs. 4 and 5). At 28 days following the fourth 232 233 administration (day 70 from the first dose), a transient increase in the inflammatory marker C-reactive protein (CRP, 4.0 mg/dL) was observed. However, no clinical 234 symptoms accompanied this finding, and the inflammatory site could not be identified. 235 The CRP value returned to the normal range within one week without any intervention 236 237 (0.6 mg/dL on day 77) (Supplementary Fig. 5). To assess systemic immune activation, 238 serum levels of cytokines, chemokines, and growth factors were measured using a multiplex immunoassay. All 11 factors tested showed no apparent increase after each 239 ca1C5 administration (Supplementary Fig. 6), suggesting the absence of nonspecific 240 systemic immune activation, which might lead to immune-related adverse events. Notably, 241 at the time of the transient CRP increase (day 70), elevated levels of IL-2, IL-6, IL-12, 242 and SCF were detected. These elevations resolved by day 77, supporting the hypothesis 243 that a mild, transient immune-related event occurred during this period. 244

After confirming the general safety of ca1C5 monotherapy, we proceeded to evaluate the safety of combination treatment using ca1C5 and c4G12. Starting on day 84, the dog received c4G12 (5 mg/kg), followed by a 30-min interval, after which ca1C5 (1 mg/kg) was infused. Repeated administration of both antibodies at 2-week intervals

induced no acute adverse events (Supplementary Fig. 3b). Interestingly, on day140 (14 249 days after the fourth combination treatment), a spike in CRP (7.3 mg/dL) was observed, 250 251 although no clinical symptoms were present (Supplementary Fig. 5). On the same day, mild pneumonia was detected via chest X-ray (asymptomatic and radiographic findings 252 253 only; grade 1). This condition resolved without medical intervention by day143, 254 coinciding with a decrease in CRP levels (0.8 mg/dL). To investigate reproducibility, a fifth combination administration was performed on day143. However, no CRP spike or 255 256 radiographic changes were observed during the observation period (up to day 203). Throughout the study, the dog's body weight increased slightly (Supplementary Fig. 4a), 257 258 suggesting no severe adverse events occurred during the experimental course.

259 Serum concentrations of ca1C5 increased immediately after infusion and declined gradually thereafter. During repeated administrations at 2-week intervals, similar 260 kinetics were observed, with negligible drug accumulation in peripheral blood (Fig. 4a). 261 In combination with c4G12, serum ca1C5 levels followed a similar trend, with no 262 apparent interference between the two antibody drugs. The observed serum 263 264 concentrations of ca1C5 were consistent with the inhibitory concentration in vitro (Fig. 3a), where the molar ratio of 10 (antibody concentration of 10 nM) corresponded to 1.5 265 μg/mL antibody. 266

Taken together, ca1C5 was well-tolerated at the tested dosage, both as monotherapy and in combination with c4G12, with minimal immune-related adverse events that were within the expected type and severity.

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271 Safety and clinical efficacy of anti-CTLA-4 antibody as a salvage combination 272 therapy after the failure of anti-PD-L1 therapy in dogs with advanced tumors

To further evaluate the safety and explore the clinical efficacy of ca1C5, a 273 274 clinical study was conducted at our veterinary teaching hospital involving 12 dogs with spontaneous tumors refractory to prior c4G12 therapy. The clinical study was planned as 275 a proof-of-concept study to demonstrate the safety and provide evidence of antitumor 276 277 activity of anti-CTLA-4 antibody using the predetermined dosing regimen. Ten dogs had 278 malignant melanoma (7 oral, 2 digital, and 1 splenic), while the remaining two dogs had 279 limb osteosarcoma and bladder transitional cell carcinoma. The study included various canine breeds, with a median baseline age of 13 years (range: 7–18 years). Among these 280 dogs, one was intact male, eight were neutered males, and three were neutered females. 281 All dogs had received at least one prior therapy (e.g., surgery, radiation, chemotherapy, 282 and/or molecular targeted therapy) before undergoing anti-PD-L1 therapy with c4G12. 283 Several dogs were treated with c4G12 as a maintenance therapy following radiation or as 284

an adjuvant therapy after surgical resection of the tumor (Table 2, Supplementary Table 285 2). Despite continuous treatment, all dogs eventually developed progressive disease (PD) 286 on c4G12 therapy, with a median PFS of 101.5 days (95% CI: 14-168 days) 287 (Supplementary Table 3). After confirming tumor refractoriness to anti-PD-L1 therapy, 288 289 salvage therapy with ca1C5 was initiated while continuing c4G12 at the same dose. At 290 the baseline of the combination treatment, six dogs had at least one measurable lesion 291 ("with target disease") and were eligible for tumor response evaluation according to 292 cRECIST [29]-, and Thethe remaining six dogs had only non-measurable lesions ("with non-target disease"), and were excluded from response evaluation. The median number 293 294 of ca1C5 combination treatments was four (range: 1–8), with a median treatment duration 295 of 56 days (range: 1-112 days) (Supplementary Table 4). Nine dogs (75.0%) died or dropped out of the study due to disease progression. The remaining three dogs (25.0%) 296 discontinued the combination therapy due to treatment-related adverse events (TRAEs). 297

TRAEs of any grade were reported in four dogs (33.3%), including grade 3 298 events in three dogs (25.0%). The most frequent TRAEs were elevated alkaline 299 300 phosphatase (ALP), alanine aminotransferase (ALT), creatinine levels, and diarrhea, each observed in two dogs (16.7%) (Table 3). Notably, grade 3 elevations of ALT and ALP 301 were observed in two dogs (Dog #4 and Dog #9), after the sixth and seventh combination 302 doses, respectively, leading to treatment discontinuation. Both dogs subsequently 303 returned to c4G12 monotherapy. At later time points, ALT and ALP levels showed a 304 305 downward trend without further clinical intervention, suggesting that the liver toxicity was associated with CTLA-4 blockade. However, the exact cause of the enzyme 306 elevations could not be identified. Another dog (Dog #5) experienced a grade 3 increase 307 in creatinine after the second ca1C5 dose and discontinued the combination therapy after 308 309 the third dose. Renal metastasis was identified in this dog at a later time point, making it 310 unclear whether the renal dysfunction was directly induced by CTLA-4 blockade.

311 The median PFS and OS of the 12 treated dogs were 28 days (95% CI: 14-56 days) and 134 days (95% CI: 19 165 days), respectively Among dogs with non-target 312 313 disease (n = 6), one dog (Dog #3) died a day after the first combination treatment. The 314 remaining five dogs experienced unequivocal disease progression within 8 weeks 315 (Supplementary Table 4). Among dogs with target disease (n = 6), five dogs experienced PD as their best overall response (83.3%). Notably, one dog with recurrent OMM (Dog 316 #9) achieved a partial response (PR), resulting in an ORR of 16.7% (95% CI: 0.4 64.1%) 317 (Table 4). Dog #9 initially presented with stage II OMM at our veterinary hospital and 318 319 underwent hypofractionated radiation therapy (three 8 Gy fractions at 1-week intervals), 320 achieving temporary local tumor control. Three months later, the oral tumor regrew, and

four additional 8 Gy fractions of radiation therapy were administered, resulting in another 321 tumor regression. One week after the last radiation dose, anti-PD-L1 therapy (c4G12, 5 322 mg/kg) was initiated as maintenance therapy to prevent tumor recurrence and metastasis. 323 After 15 weeks of c4G12 therapy, local tumor recurrence was confirmed during a physical 324 325 examination, revealing two measurable target lesions in the oral cavity (lesion-1 in the 326 right maxillary gingiva and lesion-2 in the left lip commissure) (Fig. 4b). On the same day, combination therapy with ca1C5 and c4G12 was initiated. The baseline tumor burden 327 (30 mm) decreased by >30% (to 20 mm, PR) after 10 weeks of combination therapy. 328 Lesion-1 exhibited significant changes in shape and color, with necrosis (white colored 329 region) and some volume reduction but stable longest diameter throughout the 330 331 observation period. Lesion-2 achieved complete remission by week 10, and this response was maintained until the end of the observation. After the seventh dose of ca1C5 (week 332 15), the combination therapy was discontinued due to liver toxicity and c4G12 333 monotherapy was resumed. The tumor burden continued to decrease gradually during 334 monotherapy, suggesting that anti-PD-L1 monotherapy can serve as maintenance 335 treatment following tumor reduction induced by combination therapy. The dog ultimately 336 died of tumor-related complications (loss of appetite and gastric dilation) at week 24. 337

Collectively, treatment with ca1C5 in combination with c4G12 was welltolerated in dogs with advanced malignant tumors, demonstrating its potential as a salvage therapy after the failure of c4G12 monotherapy.

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343 **Discussion**

In this study, the therapeutic potential of CTLA-4 blockade in canine cancers 344 was investigated through the development of a caninized anti-CTLA-4 antibody, ca1C5, 345 designed to block ligand binding to canine CTLA-4. The PBMC culture assays 346 347 demonstrated the immunostimulatory effects of ca1C5, both as a single agent and in combination with the anti-PD-L1 antibody c4G12. Administration of ca1C5 to a healthy 348 laboratory dog confirmed its overall safety and expected blood kinetics, whether used as 349 350 monotherapy or in combination with c4G12, with minimal evidence of immune-related 351 adverse events. Furthermore, the clinical study involving dogs with anti-PD-L1 refractory 352 tumors showed that the combination treatment was generally tolerable. Among the six 353 evaluable dogs treated with the combination therapy, one experienced a durable objective response that persisted even after discontinuation of ca1C5. These findings suggest that 354 ca1C5 is a promising candidate for anti-CTLA-4 immunotherapy in dogs, particularly as 355 a rescue combination therapy following the failure of anti-PD-L1 monotherapy. 356

The immunosuppressive effects of CTLA-4 expression are primarily attributed 357 358 to ligand competition with the costimulatory receptor CD28 [5]. Recombinant canine CTLA-4 (CTLA-4 Ig) effectively blocked CD28 binding to CD80 and CD86 in a cell-359 based assay and reduced cytokine production in canine PBMC cultures. These findings 360 align with the high degree of conservation in amino acid sequences of these molecules 361 among humans, mice, and dogs. Previous studies have shown that canine 362 363 leukocyte/lymphocyte proliferation is suppressed by the addition of CTLA-4 Ig [30,31], highlighting its potential application as an immunosuppressant for transplantation and 364 autoimmune disease treatment. Indeed, human CTLA-4 Ig (abatacept) has been approved 365 for treating adult rheumatoid arthritis and juvenile idiopathic arthritis. Conversely, 366 peripheral blood T cells from dogs with OMM expressed CTLA-4 at higher levels than 367 368 those from healthy dogs. This increased CTLA-4 expression in circulating T cells suggests a similar upregulation on tumor-infiltrating T cells, contributing to the formation 369 of an immunosuppressive TME. The negative impact of CTLA-4 expression in the TME 370 371 has been explored in canine tumor models. Previous studies revealed that CTLA-4 expression was detected via immunohistochemistry in tumor-infiltrating lymphocytes, 372 373 and higher staining scores or frequencies of positive cells were associated with poor 374 clinical outcomes in canine mammary gland and melanocytic tumors [32,33]. Extending such expression analyses to other tumor types could help identify dog populations most 375 likely to benefit from anti-CTLA-4 therapy. 376

c4G12 (anti-PD-L1) monotherapy has previously been tested for its efficacy in
 dogs with various advanced tumors, including OMM, with reported ORRs of 7.7–25.0%

[19,24,25]. Most treated dogs exhibit primary resistance to anti-PD-L1 therapy, and the 379 majority of responders eventually experience relapse or disease progression despite 380 381 continued treatment (acquired resistance). Although the mechanisms underlying such resistance remain unclear, it is suggested that blockade of the PD-1/PD-L1 axis alone is 382 383 insufficient to fully reverse the immunosuppressive TME in canines, similar to observations in humans. Ipilimumab has been used in combination with anti-PD-1 384 antibodies (nivolumab, pembrolizumab) in humans; however, as a fully human 385 386 monoclonal antibody, it may be immunogenic to canines, and no cross-reactivity with canine CTLA-4 was observed in our SPR analysis. ca1C5 represents a clinically relevant 387 anti-CTLA-4 antibody for therapeutic use in dogs because its immunogenicity is expected 388 389 to be very low due to caninization, and its binding affinity to canine CTLA-4 is considered comparable to that of ipilimumab to human CTLA-4. Indeed, repeated administration to 390 391 a healthy dog did not result in allergic reactions, suggesting its immunogenicity is within an acceptable range for canines. Moreover, evidence of clinical efficacy of ca1C5 was 392 393 demonstrated in a dog with recurrent OMM. While the ORR (16.7%) observed in this study is premature due to the limited sample size (n - 6), with a wide 95% CI of 0.4-394 395 64.1%) should be calculated in further clinical studies involving a larger number of dogs 396 with a uniform tumor type, it seemed to be modest. The low response rate is consistent with expectations given the anti-PD-L1 therapy-refractory nature of the tumors, and 397 similar response rates (approximately 10-30%) have been reported in human clinical 398 399 studies involving anti-CTLA-4 and anti-PD-1/PD-L1 combinations for refractory tumors [16,17,34–36]. In contrast, significantly higher efficacy has been observed in 400 immunotherapy-naïve patients, with ORRs of approximately 40–60% for combination 401 402 therapy [12–15,37,38]. This suggests that such therapeutic approaches could maximize clinical benefits for canine cancers and should be considered in future veterinary clinical 403 404 studies. Because the ca1C5 clinical study used a fixed, predetermined dosing regimen, the optimal dose of ca1C5 in combination with c4G12 remains to be elucidated in future 405 406 studies. A dose-escalation approach with careful monitoring of safety, antitumor efficacy, and target modulation in the treated animals (e.g., tumor-infiltrating T cell phenotyping) 407 should provide useful information to identify the optimal dosing regimen of ca1C5. In 408 addition, dogs with a variety of treatment histories were included in the clinical study. 409 The impact of prior treatments other than c4G12 (e.g., surgery, radiation, and 410 chemotherapy) should be considered in future studies as these may alter the immunologic 411 tumor microenvironment and influence the treatment outcome. 412

413 While promising antitumor efficacy can be achieved through combination 414 therapy, the frequency and severity of TRAEs may increase compared to monotherapy.

In human clinical studies, grade 3 or higher TRAEs were reported in 30–60% of patients 415 treated with nivolumab plus ipilimumab, with higher ipilimumab doses (3 mg/kg vs. 1 416 mg/kg) associated with an increased frequency of severe TRAEs [13,15,37,38]. 417 Consistent with these findings, grade 3 TRAEs were observed in 25.0% (3 out of 12 dogs) 418 419 in this study, including possible associations with liver and renal toxicities. Additionally, radiographic evidence of pneumonia was observed during the safety assessment, 420 suggesting that excessive immune activation may affect various organs. Because 421 422 toxicities involving the pulmonary, gastrointestinal, hematological, liver, endocrine, neurological, renal, dermatological, and pancreatic systems have been reported in human 423 424 clinical studies [39], careful monitoring will be essential in future veterinary clinical 425 studies using ca1C5 and c4G12. In addition, future studies should include the evaluation of anti-drug antibody (ADA) formation in the treated animals, which may affect the safety 426 as well as the efficacy of the administered antibody drug. 427

The similar patterns of treatment response and safety profiles observed in anti-428 429 CTLA-4 antibody therapy for dogs with spontaneous cancers support the hypothesis that canine cancers are relevant models for human cancers, particularly in the context of ICI 430 therapies. Dogs develop a wide range of tumors during their lifetimes, including some 431 that are rare in humans (e.g., hemangiosarcoma, histiocytic sarcoma, osteosarcoma). 432 Leveraging canine tumor models allows novel treatment strategies to be tested prior to 433 human clinical trials, potentially reducing the likelihood of costly failures in human trials. 434 435 Further studies are warranted to establish effective cancer immunotherapies for dogs and to better characterize canine ICIs as comparative translational models for human cancer 436 research. 437

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441 Methods

442 Animal samples

The use of animals in this study was approved by the Institutional Animal Care 443 and Use Committee of Hokkaido University (#15-0149 and #20-0041). All experiments 444 445 were conducted in accordance with the guidelines and regulations of the Faculty of 446 Veterinary Medicine, Hokkaido University, which is fully accredited by the Association for Assessment and Accreditation of Laboratory Animal Care International. Peripheral 447 448 blood samples were collected from clinically healthy, purpose-bred Beagle dogs aged 2 to 7 years. Additionally, samples were obtained from dogs with OMM treated at the 449 450 Hokkaido University Veterinary Teaching Hospital (HUVTH).

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452 Cell preparations and cultures

453 PBMCs were isolated from heparinized peripheral blood using density-gradient centrifugation on Percoll (Cytiva, Tokyo, Japan). PBMCs were cultured in RPMI 1640 454 medium (Sigma-Aldrich, St. Louis, MO, USA) supplemented with 10% heat-inactivated 455 fetal bovine serum (Thermo Fisher Scientific, Waltham, MA, USA), 100 U/mL penicillin, 456 100 µg/mL streptomycin, and 2 mM L-glutamine (Thermo Fisher Scientific) at 37°C with 457 5% CO₂. White blood cells (WBCs) were prepared using Cell Lysis Solution (Promega, 458 459 Madison, WI, USA), and were subjected to flow cytometric analyses to detect CTLA-4 on T cells. Chinese hamster ovary (CHO)-DG44 cells were cultured in CD DG44 medium 460 461 (Thermo Fisher Scientific) supplemented with 20 mL/L of GlutaMAX (Thermo Fisher Scientific) and 18 mL/L of Pluronic F-68 (Thermo Fisher Scientific) at 37°C with 5% or 462 8% CO₂. Expi293F cells were cultured in Expi293 Expression Medium (Thermo Fisher 463 Scientific) at 37°C with 8% CO₂. 464

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Sequence identity and phylogenetic analysis of canine CTLA-4

The deduced amino acid sequences of mammalian CTLA-4, CD28, CD80, and 467 CD86 (NCBI reference sequences) were retrieved from the GenBank database 468 (https://www.ncbi.nlm.nih.gov). Sequence identity percentages at the amino acid level 469 were calculated using the Protein **BLAST** 470 program 471 (https://blast.ncbi.nlm.nih.gov/Blast.cgi). Unrooted neighbor-joining trees were constructed using MEGA6 software (version 6.06) [40,41] with default settings, except 472 for 1,000 replicates for the bootstrap test. 473

474

475 Preparation of enhanced green fluorescent protein (EGFP)-fusion protein
476 expressing cells

To prepare canine CD80- or CD86-expressing cells, expression vectors encoding 477 canine CD80 or CD86 fused to EGFP were constructed. Nucleotide sequences encoding 478 canine CD80 (NM 001003147.1) or CD86 (NM 001003146.1) were amplified by PCR 479 using gene-specific primers containing restriction enzyme cleavage sites (Supplementary 480 481 Table 1) and inserted into the multicloning site of the pEGFP-N2 vector (Clontech, Palo 482 Alto, CA, USA). The resulting plasmids were cloned and amplified in HST08 competent cells (Takara Bio, Shiga, Japan) and purified using FastGene Xpress Plasmid PLUS Kit 483 484 (Nippon Genetics, Tokyo, Japan) or NucleoBond Xtra Midi Kit (Takara Bio). Expi293F cells (Thermo Fisher Scientific) were transfected with the plasmid using Expifectamine 485 293 transfection kit (Thermo Fisher Scientific) and cultured for two days prior to the 486 487 ligand binding assay.

To prepare canine CTLA-4-expressing cells, expression vectors encoding canine 488 CTLA-4 fused to EGFP were constructed. Nucleotide sequences encoding canine CTLA-489 4 (NM 001003106.1) were amplified by PCR using gene-specific primers containing 490 restriction enzyme cleavage sites (Supplementary Table 1) and inserted into the 491 multicloning site of the pEGFP-N2 vector (Clontech). The resulting plasmids were 492 purified as described above. The expression vector was transfected into CHO-DG44 cells 493 using Lipofectamine LTX (Thermo Fisher Scientific), and stably expressing cells were 494 selected and cloned in supplemented CD DG44 medium containing 800 µg/mL G418 495 sulfate (Enzo Life Sciences, Farmingdale, NY, USA). 496

497

498 **Preparation of recombinant proteins**

Canine CTLA-4 Ig (fused to canine IgG-D Fc) was prepared as a soluble protein, 499 500 with the extracellular region of canine CTLA-4 (NM 001003106.1) fused to the Fc region of canine IgG-D (AF354267.1). The amino acid sequence of the fusion protein 501 502 was designed and codon-optimized for expression in Chinese hamster (Cricetulus griseus) cells. The gene sequence was synthesized with AscI/ASiSI restriction sites 503 504 (GenScript, Piscataway, NJ, USA) and inserted into the pDC62c5-U533 vector [42], and the plasmid was purified as previously described. CHO-DG44 cells (Thermo Fisher 505 Scientific) were transfected with the plasmid using Lipofectamine LTX (Thermo Fisher 506 507 Scientific), and stably expressing cells were selected and cloned in Opti-CHO medium supplemented with 4 mM GlutaMAX (Thermo Fisher Scientific). The stably expressing 508 509 cell clone was cultured for 14 days in Dynamis medium (Thermo Fisher Scientific) supplemented with 4 mM GlutaMAX. The culture was fed with 3.3% v/v Efficient Feed 510 B+ $(3\times)$ (Thermo Fisher Scientific) on days 3, 5, 7, and 10, and 4 g/L, 4 g/L, and 6 g/L 511 glucose (Kanto Chemical, Tokyo, Japan; FUJIFILM Wako Pure Chemical, Osaka, Japan) 512

on days 3, 5, and 7, respectively. CTLA-4 Ig was purified from the culture supernatant
using Ab-Capcher ExTra (ProteNova, Kagawa, Japan) and the buffer was exchanged for
PBS (FUJIFILM Wako Pure Chemical) using PD MidiTrap G25 (Cytiva). The protein
concentration was measured using Pierce BCA Protein Assay Kit (Thermo Fisher
Scientific).

Canine CTLA-4 Ig, CD28 Ig, CD80 Ig, and CD86 Ig (fused to rabbit IgG Fc) 518 were prepared as soluble proteins using the extracellular regions of each molecule and 519 520 the Fc region of rabbit IgG. Nucleotide sequences encoding the extracellular regions of CTLA-4 (NM 001003106.1), **CD28** (NM 001003087.2), 521 canine CD80 522 (NM 001003147.1), or CD86 (NM 001003146.1) were amplified by PCR using gene-523 specific primers with restriction enzyme cleavage sites (Supplementary Table 1) and inserted into the multicloning site of the pCXN2.1-Rabbit IgG Fc vector (a kind gift from 524 Dr. Yokomizo, Juntendo University, Japan) [43,44]. The resulting plasmids were purified 525 as described above, and the recombinant proteins were produced in Expi293F cells using 526 ExpiFectamine 293 transfection kit (Thermo Fisher Scientific). Purification of the 527 528 recombinant proteins was performed using Ab-Capcher ExTra (ProteNova). The buffer was exchanged for PBS (FUJIFILM Wako Pure Chemical) using PD MidiTrap G25 529 (Cytiva). Protein concentration was measured using Pierce BCA Protein Assay Kit 530 531 (Thermo Fisher Scientific) or by ultraviolet (UV) absorbance at 280 nm with a NanoDrop 8000 Spectrophotometer (Thermo Fisher Scientific). 532

533 Recombinant canine CTLA-4 (CTLA-4-His) was prepared as a recombinant protein comprising the extracellular region of canine CTLA-4 tagged with a C-terminal 534 6× polyhistidine tag. The nucleotide sequence encoding the extracellular region of canine 535 536 CTLA-4 (NM 001003106.1) was amplified by PCR using gene-specific primers with restriction enzyme cleavage sites (Supplementary Table 1) and inserted into the 537 538 multicloning site of the pCXN2.1 vector (a kind gift from Dr. Yokomizo, Juntendo University, Japan) [44]. The a polyhistidine tag sequence was added to the 3' terminus of 539 the amplicon using the reverse primer. The resulting plasmid was purified as described 540 above, and CTLA-4-His was produced in Expi293F cells using ExpiFectamine 293 541 transfection kit (Thermo Fisher Scientific). Purification of the recombinant protein was 542 543 performed using TALON Metal Affinity Resin (Takara Bio). The buffer was exchanged for PBS (FUJIFILM Wako Pure Chemical) using Amicon Ultra-15 Ultracel-3 (Merck 544 Millipore, Burlington, MA, USA). 545

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547 Cell-based ligand competition assay

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To evaluate ligand competition by CTLA-4, flow cytometric analysis was

performed to detect CD28 Ig (fused to rabbit IgG Fc) binding to CD80- or CD86-549 expressing cells (EGFP-fusion, prepared as described above) in the presence of various 550 concentrations of CTLA-4 Ig (fused to canine IgG-D Fc). Briefly, 2×10^5 cells were 551 incubated with 25 nM CD28 Ig, labeled with biotin using Lightning-Link Rapid Biotin 552 553 Conjugation Kit (Type A) (Innova Biosciences, Cambridge, UK). CTLA-4 Ig was added 554 at 0.1, 1, 10, and 100 nM. After washing, the cells were incubated with Streptavidin-APC (BioLegend, San Diego, CA, USA) and analyzed using a FACSVerse flow cytometer (BD 555 Biosciences, San Jose, CA, USA). As a negative control for CTLA-4 Ig, dog IgG (Jackson 556 ImmunoResearch, West Grove, PA, USA) was used at the same concentrations (Control 557 Ig). In all steps, PBS containing 1% bovine serum albumin (Sigma-Aldrich) was used as 558 559 the dilution and washing buffer. Data were presented as relative mean fluorescence intensities (MFIs), where the MFI (APC) of the test sample was divided by that of control 560 cells stained only with CD28 Ig. 561

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563 **PBMC cultures and quantification of cytokines in the supernatant**

564 PBMCs from healthy dogs were cultured with 5 µg/mL Staphylococcal Enterotoxin B (Sigma-Aldrich) for three days. Canine CTLA-4 Ig (fused to canine IgG-565 D Fc), anti-CTLA-4 monoclonal antibody, or anti-PD-L1 monoclonal antibody c4G12 566 [19] was added at concentrations of 100 nM, 10 µg/mL, or 10 µg/mL, respectively. As 567 negative controls, dog IgG (Jackson ImmunoResearch) or rat IgG_{2b} (LTF-2, Bio X Cell, 568 569 Lebanon, NH, USA) was used at the same concentrations. Concentrations of IL-2, IFN- γ , and TNF- α in the culture supernatant were measured using Canine IL-2 DuoSet ELISA, 570 Canine IFN-gamma DuoSet ELISA, and Canine TNF-alpha DuoSet ELISA kits (R&D 571 572 Systems, Minneapolis, MN, USA), respectively.

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574 Anti-CTLA-4 monoclonal antibodies

575 Hybridomas producing rat anti-canine CTLA-4 monoclonal antibodies were 576 established by immunizing rats with canine CTLA-4 (Cell Engineering Corporation, Osaka, Japan). A gene sequence encoding the extracellular region of canine CTLA-4 577 (NM 001003106.1) was cloned into a DNA immunization vector, and the plasmid was 578 579 delivered to rats (WKY/Izm, 8-week-old) via electroporation. Lymphocytes were collected from immunized rats and fused with SP2 myeloma cells to generate hybridoma 580 pools. Several cell clones producing monoclonal antibody (e.g., clones 1C5-E5 and 2G2-581 G8) were established using methylcellulose-based semi-solid medium or limiting dilution. 582 The isotypes of the monoclonal antibodies were determined using Rat Immunoglobulin 583 584 Isotyping ELISA Kit (BD Biosciences).

The caninized anti-CTLA-4 monoclonal antibody ca1C5 was generated by 585 grafting CDRs of 1C5-E5 onto canine antibody frameworks. To identify the cDNA 586 sequences encoding the heavy and light chain variable regions of 1C5-E5, total RNA was 587 extracted from the hybridoma clone using TRIzol (Thermo Fisher Scientific), and gene 588 589 fragments were amplified and sequenced using 5'-Rapid Amplification of cDNA Ends 590 System Version 2.0 (Thermo Fisher Scientific). The amino acid sequences of the ca1C5 heavy and light chains were designed and codon-optimized for expression in CHO cells. 591 592 The gene sequences were synthesized (GenScript) and inserted into the expression vector pDC62c5-U533 [42]. The plasmid was transfected into CHO-DG44 cells using 593 594 Lipofectamine LTX reagent (Thermo Fisher Scientific), and stable producer cell clones 595 were established in Opti-CHO medium (Thermo Fisher Scientific) containing 4 mM GlutaMAX ((Thermo Fisher Scientific). The established producer cell clone was cultured 596 597 for 14 days in Dynamis medium (Thermo Fisher Scientific) containing 4 mM GlutaMAX (Thermo Fisher Scientific). The culture was fed with 3.3% v/v Efficient Feed B+ $(3\times)$ 598 (Thermo Fisher Scientific) on days 3, 5, 7, and 10, and with 4 g/L, 4 g/L, and 6 g/L glucose 599 (FUJIFILM Wako Pure Chemical) on days 3, 5, and 7, respectively. ca1C5 was purified 600 from the supernatant by affinity chromatography using MabSelect SuRe LX (Cytiva), and 601 additional purification by anion-exchange chromatography using Q-Sepharose HP 602 (Cytiva), followed by cation-exchange chromatography using CaptoSP ImpRes (Cytiva), 603 was performed. Throughout the purification steps, HiScale 26/20 columns and an ÄKTA 604 605 avant 150 chromatography system (Cytiva) were used. The buffer was exchanged for PBS using Vivaspin20 concentrators with 50 kDa molecular weight cut off membrane 606 (Sartorius, Göttingen, Germany). The concentration of purified ca1C5 was measured by 607 608 UV absorbance at 280 nm using a NanoDrop 8000 Spectrophotometer (Thermo Fisher Scientific). 609

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611 SPR analysis

The binding properties of monoclonal antibodies were evaluated via SPR 612 analysis using canine CTLA-4-His (caCTLA-4-His) as the ligand. Anti-His tag antibody 613 was immobilized onto a CM5 Sensor Chip (Cytiva) using His Capture Kit (Cytiva). 614 615 CTLA-4-His was captured on the sensor chip, and each anti-CTLA-4 monoclonal antibody (at a maximum concentration of 20 nM) was applied as the analyte to detect 616 binding and dissociation. Kinetic constants were determined through curve fitting using 617 a 1:1 kinetic binding model. HBS-EP+ (Cytiva) was used as the dilution and running 618 buffer. Similarly, kinetic constants between ipilimumab and human CTLA-4-His 619 620 (huCTLA-4-His) were determined using InVivoSIM anti-human CTLA-4 (Ipilimumab

Biosimilar) (Bio X Cell) and Human CTLA-4 His-tag Recombinant Protein (ThermoFisher Scientific).

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4 Expression analysis of CTLA-4 on T cells of OMM dogs

625 Canine WBCs were incubated for 15 min in PBS containing 10% goat serum 626 (Thermo Fisher Scientific) to prevent nonspecific antibody binding. The cells were then incubated with 2G2-G8 or rat IgG_{2a} isotype control (2A3, Bio X Cell), followed by 627 628 another incubation with APC-conjugated goat anti-rat Ig secondary antibody (Southern Biotech, Birmingham, AL, USA). The cells were further stained with anti-dog CD3 FITC 629 (CA17.2A12, Bio-Rad, Hercules, CA, USA), anti-dog CD8a PerCP-eFluor 710 630 631 (YCATE55.9, Thermo Fisher Scientific), and anti-dog CD4 (296712, R&D Systems), which was labeled with PE using Zenon Mouse IgG_{2b} Labeling Kit (Thermo Fisher 632 Scientific). Stained cells were analyzed using a FACSVerse flow cytometer (BD 633 Biosciences). Data were presented as percentages of 2G2-G8-bound cells (CTLA-4+ 634 635 cells) within CD4⁺CD3⁺ lymphocytes (CD4⁺ T cells) or CD8⁺CD3⁺ lymphocytes (CD8⁺ 636 T cells). The gating strategy is shown in Supplementary Figure 7.

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Recombinant protein-based inhibition assay for receptor-ligand binding

639 To evaluate the inhibition of receptor-ligand binding by anti-CTLA-4 antibodies, a colorimetric assay was performed to detect CTLA-4 Ig (fused to rabbit IgG Fc) binding 640 641 to CD80 Ig- or CD86 Ig-coated microwell plates. Canine CD80 Ig or CD86 Ig (1 µg/mL) was coated onto MaxiSorp Immuno Plates (Thermo Fisher Scientific). Canine CTLA-4 642 Ig (1 nM), labeled with biotin using Lightning-Link Rapid Biotin Conjugation Kit (Type 643 644 A) (Innova Biosciences), was preincubated with each anti-CTLA-4 monoclonal antibody at various antibody/CTLA-4 Ig molar ratios (0.1, 0.5, 1, 2, 5, or 10). Negative controls 645 646 included the same concentrations of rat IgG2a, rat IgG2b (Bio X Cell), and dog IgG (Jackson ImmunoResearch). The mixture was added onto the microwell plates, and 647 648 CTLA-4 Ig binding was detected using NeutrAvidin HRP conjugate (Thermo Fisher Scientific) and TMB One Component Substrate (Bethyl Laboratories, Montgomery, TX, 649 USA). The reaction was stopped with 0.18 M H₂SO₄, and absorbance at 450 nm was 650 measured using an MTP-900 microplate reader (Corona Electric, Ibaraki, Japan). Data 651 were presented as relative optical density (OD) values (%), calculated by dividing the OD 652 of the test sample by that of control wells incubated without blocking antibody. 653

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655 ADCC assay

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ADCC activity of ca1C5 was assessed in a cell-based assay using canine CTLA-

4-EGFP-expressing cells as target cells and canine PBLs as effector cells. PBMCs from 657 healthy dogs were cultured for 24 h with 200 ng/mL canine IL-2 (Kingfisher Biotech, 658 Saint Paul, MN, USA). Non-adherent cells (PBLs) were collected and cocultured with 659 CTLA-4-expressing cells for an additional 24 h at an effector-to-target ratio of 5:1. ca1C5 660 661 or dog IgG (Jackson ImmunoResearch) was added to the medium at 10 µg/mL. Cells were 662 collected and incubated with Fixable Viability Dye (FVD) eFluor 780 (Thermo Fisher Scientific) and anti-CD14 (CAM36A, Washington State University Monoclonal 663 664 Antibody Center, Pullman, WA, USA), which was labeled with PerCP-Cy5.5 using Lightning-Link Conjugation Kit (Innova Biosciences), to exclude dead cells and 665 monocytes from the analysis, respectively. Live target cells (FVD⁻CD14⁻EGFP⁺ cells) 666 667 were counted using CountBright Absolute Counting Beads (Thermo Fisher Scientific) and a FACSVerse flow cytometer (BD Biosciences). Data were presented as percentages 668 669 of live target cells, calculated by dividing the absolute number of live target cells in the test sample by that in the control culture treated with PBS instead of antibody. 670

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672 Antibody administration and overview of the clinical study

A clinically healthy, purpose-bred Beagle (male, 2 years old) and tumor-bearing 673 dogs (n = 12) presented at HUVTH received at least one dose of ca1C5. The clinical study 674 was approved by the Institutional Animal Care Committee of Hokkaido University (#20-675 0041) and the Ethics Committee of the Faculty of Veterinary Medicine, Hokkaido 676 677 University (#2022-001). The clinical study was planned to demonstrate the safety and to show evidence of antitumor activity of the combination treatment in dogs with advanced 678 tumors refractory to prior c4G12 monotherapy. The inclusion criteria for study enrollment 679 680 were as follows: (1) dogs with a histopathologic or cytopathologic diagnosis of malignant 681 tumor, (2) dogs with clinically detectable tumors that can be monitored repeatedly during 682 the study, (3) dogs with tumors that are not expected to be cured by existing therapies, 683 and (4) dogs with written informed consent obtained from their owners. Given the 684 exploratory nature of the clinical study, the presence of measurable lesions as defined by 685 cRECIST [29] was not a prerequisite for enrollment. Dogs that met at least one of the following criteria were excluded from the study: (1) dogs with severe systemic illnesses 686 687 unrelated to the tumor, (2) dogs with a history of severe immune-related disorders that may recur during the study, (3) dogs that were difficult to return for scheduled follow-up 688 visits, or (4) dogs with extremely high body weight. The study period was from June 2022 689 to November 2024. ca1C5 was administered intravenously at a dose of 1 mg/kg every 2 690 weeks, infused over 30 min using a syringe pump. For combination treatments with 691 c4G12, c4G12 was administered intravenously at a dose of 2 or 5 mg/kg over 1 h. After 692

an interval of >15 min, ca1C5 was administered as described above. Premedication with
 diphenhydramine and famotidine was allowed in the clinical study.

695 To measure serum concentrations of the administered therapeutic antibodies, ELISAs were developed using CTLA-4 Ig (fused to rabbit IgG Fc) and PD-L1 Ig [45]. 696 697 MaxiSorp Immuno Plates (Thermo Fisher Scientific) were coated with 1 µg/mL CTLA-698 4 Ig or 10 µg/mL PD-L1 Ig and blocked with SuperBlock T20 (PBS) (Thermo Fisher Scientific). Serum samples were incubated, and bound antibodies were detected using 699 700 HRP-conjugated anti-dog IgG2 antibody (for ca1C5, Bethyl Laboratories) or HRPconjugated anti-dog IgG1 antibody (for c4G12, Bethyl Laboratories) and TMB One 701 702 Component Substrate (Bethyl Laboratories). The reactions were stopped using 0.18 M 703 H₂SO₄, and absorbance at 450 nm was measured using an MTP-900 microplate reader (Corona Electric). 704

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706 Safety assessment

707 Physical examinations and blood tests (complete blood count and blood 708 biochemistry) were routinely conducted during treatment to monitor adverse events. Additionally, urinalysis, thoracic and abdominal radiography, and ultrasonography were 709 performed when clinically indicated. Classification and grading of adverse events were 710 711 based on the Veterinary Cooperative Oncology Group-Common Terminology Criteria for Adverse Events (VCOG-CTCAE) v1.1 [46]. Serum levels of cytokines, chemokines, and 712 713 growth factors, including IFN-y, IL-10, IL-12/IL-23p40, IL-2, IL-6, IL-8, MCP-1, NGF- β , SCF, TNF- α , and VEGF-A, were measured using a bead-based multiplex immunoassay 714 with Cytokine/Chemokine/Growth Factor 11-Plex Canine ProcartaPlex Panel 1 (Thermo 715 716 Fisher Scientific) and the Luminex 200 System (Luminex, Austin, TX, USA). Data were 717 presented as fluorescence intensity (FI) values.

718

719 Evaluation of clinical efficacy

720 Tumor response was assessed using the response evaluation criteria for solid 721 tumours in dogs (cRECIST) v1.0 [29]. At baseline, six dogs had only non-measurable 722 lesions as defined by cRECIST (i.e., <10 mm on CT/clinical examination or <20 mm on 723 radiography/ultrasonography) and were excluded from the response evaluation. Tumor response was classified as complete response (CR, disappearance of all detectable 724 tumors), partial response (PR, \geq 30% reduction in tumor burden), progressive disease (PD, 725 \geq 20% increase in tumor burden or the appearance of new lesions), and stable disease (SD, 726 <30% reduction and <20% increase in tumor burden for at least six weeks). OS was 727 728 defined as the time (days) from the first dose of the corresponding treatment to death, and PFS was defined as the time (days) from the first dose of the treatment to confirmation ofPD or death.

731

732 Statistical analyses

Statistical analyses were performed using EZR statistical software (version 1.35) [47], with P < 0.05 considered statistically significant. The Mann-Whitney U test was used for unpaired comparisons and the Wilcoxon signed-rank test was applied for pairwise comparisons. Holm's P value adjustment method was used for multiple comparisons.

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748

749 Competing interests

SY and KY were employed by Fuso Pharmaceutical Industries, Ltd. NM, S. Konnai, TN,
TT, YS, TO, SM, and KO are authors of patent applications covering materials and
techniques described in this paper (JP2025-2777). All other authors declare no competing
interests.

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755 Author contributions

- NM, S. Konnai, TO, SM, and KO designed and supervised the project. NM, KW, HT,
- 757 KH, S. Kim, RK, RO, MY, and Y. Kagawa performed the experiments. NM, S. Konnai,
- KH, S. Kim, RK, RO, MY, and Y. Kagawa analyzed the data. TN, TT, Y. Kagawa, ST,
- TD, HO, Y. Kato, SY, KY, and YS provided materials, reagents and/or analysis tools.
- NM and S. Konnai prepared the manuscript. All authors reviewed and approved themanuscript.
- 762

763 Data availability statement

All data are fully available without restriction. All relevant data are included within themanuscript and its Supporting Information file.

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Analyte	Isotype	Ligand	k _a (×10 ⁶ /Ms)	$k_d (\times 10^{-4}/s)$	$K_{\rm D} (\times 10^{-10} {\rm M})$
2G2-G8	rat Ig G_{2a} , κ	caCTLA-4	0.41 ± 0.03	0.91 ± 0.65	2.31 ± 1.82
1C5-E5	rat Ig G_{2b} , κ	caCTLA-4	4.79 ± 0.01	12.60 ± 0.01	2.63 ± 0.03
ca1C5	canine IgG-B, κ	caCTLA-4	5.08 ± 0.10	14.20 ± 0.04	2.80 ± 0.03
Ipilimumab	human IgG1, κ	huCTLA-4	0.92 ± 0.01	5.74 ± 0.81	6.25 ± 0.87

 Table 1. Binding properties of anti-CTLA-4 antibodies to recombinant CTLA-4.

The kinetic constants were determined by fitting data to the 1:1 kinetic binding model. Data are presented as means \pm SD from three independent experiments. k_a , association rate constant; k_d , dissociation rate constant; K_D , equilibrium dissociation constant.

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Characteristic	
Breed—no. (%)	
Airedale Terrier	1 (8.3)
American Cocker Spaniel	1 (8.3)
Chihuahua	1 (8.3)
Kaninchen Dachshund	1 (8.3)
Miniature Dachshund	3 (25.0)
Scottish Terrier	1 (8.3)
Siberian Husky	1 (8.3)
Mix	3 (25.0)
Age—years	
Median	13
Range	7–18
Sex—no. (%)	
Intact male	1 (8.3)
Neutered male	8 (66.7)
Intact female	0 (0)
Neutered female	3 (25.0)
Tumor type—no. (%)	
Malignant melanoma	
Oral	7 (58.3)
Digit	2 (16.7)
Spleen	1 (8.3)
Osteosarcoma	1 (8.3)
Transitional cell carcinoma	1 (8.3)
Prior therapy—no. (%)	
Surgery	8 (66.7)
Radiation	9 (75.0)
Cytotoxic chemotherapy	2 (16.7)
Molecular target therapy	2 (16.7)
Immunotherapy*	12 (100.0)
Measurable lesion—no. (%)	
Present	6 (50.0)
Absent	6 (50.0)

Table 2. Characteristics of dogs (n = 12) at baseline of the combination therapy.

*All dogs were refractory to prior anti-PD-L1 immunotherapy at baseline.

TRAEs—no. (%)	Any grade	Grade 3	Leading to discontinuation
Any TRAEs	4 (33.3)	3 (25.0)	3 (25.0)
ALP	2 (16.7)	2 (16.7)	2 (16.7)
ALT	2 (16.7)	2 (16.7)	2 (16.7)
Anorexia	1 (8.3)	0 (0)	0 (0)
Creatinine	2 (16.7)	1 (8.3)	1 (8.3)
Diarrhea	2 (16.7)	0 (0)	0 (0)
Vomiting	1 (8.3)	0 (0)	0 (0)

Table 3. Treatment-related adverse events (TRAEs) observed during the combination therapy (n = 12).

ALP, alkaline phosphatase; ALT, alanine aminotransferase.

Grading was performed according to the VCOG-CTCAE v1.1.

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Best overall response no. (%)	—	
CR	0 (0)	
PR	1 (16.7)	
SD	0-(0)	
PD	5 (83.3)	
NE	0-(0)	
ORR % (95% CI)	16.7 (0.4–64.1)	
CR, complete response; PR, partial response; SD, stable disease; PD, progressive disease; NE,		
not evaluable.		

ORR, objective response rate (CR+PR); CI, confidence interval.

Table 4. Tumor response to the combination therapy in dogs with target disease (n = 6).

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890 Figure legends

Figure 1. Inhibition of CD28 costimulation by canine CTLA-4.

- (a) Phylogenetic trees of mammalian CTLA-4, CD28, CD80, and CD86. Bootstrap 892 percentages from 1,000 replicates are shown next to the branches. The scale bar indicates 893 894 evolutionary distances (number of amino acid substitutions per site). (b) Competition of CD28 ligands by canine CTLA-4. CD28 Ig binding (relative mean fluorescence intensity, 895 MFI) to CD80- or CD86-expressing cells was assessed in the presence of canine CTLA-896 897 4 Ig by flow cytometry. Dog IgG was used as a control (Control Ig). Data are presented as the mean of three independent experiments, with error bars indicating SEM. (c) 898 899 Suppression of immune cell activation by canine CTLA-4. PBMCs from healthy dogs (n 900 = 6) were cultured with superantigen for three days, and cytokine levels in the supernatant were measured by ELISA. Red bars indicate the median. Statistical analysis was 901 performed using the Wilcoxon signed-rank test. 902
- 903

Figure 2. Detection and blockade of canine CTLA-4 using established monoclonal antibodies.

- (a) Flow cytometric detection of canine CTLA-4. WBCs from healthy dogs (n = 6) and 906 OMM dogs (n = 6) were stained with 2G2-G8. Statistical analysis was performed using 907 908 the Mann-Whitney U test. (b) Ligand binding blockade by anti-CTLA-4 monoclonal antibodies. CTLA-4 Ig binding (relative OD%) to CD80- or CD86-coated plates was 909 910 assessed in the presence of each monoclonal antibody. Data are presented as the mean of three independent experiments, with error bars indicating SEM. (c) Enhancement of 911 immune cell activation by 1C5-E5. PBMCs from healthy dogs (n = 9) were cultured with 912 superantigen for three days and cytokine levels in the supernatant were measured by 913 914 ELISA. 1C5-E5 was used at 10 µg/mL. Red bars indicate the median. Statistical analysis 915 was performed using the Wilcoxon signed-rank test.
- 916

917 Figure 3. Characterization of caninized anti-CTLA-4 monoclonal antibody ca1C5.

- 918 (a) Ligand binding blockade by ca1C5. CTLA-4 Ig binding (relative OD%) to CD80- or
- 919 CD86-coated plates was assessed in the presence of each monoclonal antibody. Data are
- presented as the mean of three independent experiments, with error bars indicating SEM.
- 921 (b) Enhancement of immune cell activation by ca1C5. PBMCs from healthy dogs (n = 9)
- 922 were cultured with superantigen for three days and cytokine levels in the supernatant were
- 923 measured by ELISA. (c) Induction of ADCC by ca1C5. IL-2-stimulated PBLs from
- healthy dogs (n = 6) were cocultured with CTLA-4–expressing target cells for 24 h in the
- 925 presence of ca1C5. (d) Enhancement of immune cell activation by ca1C5 and c4G12.

- PBMCs from healthy dogs (n = 9) were cultured with superantigen for three days and cytokine levels in the supernatant were measured by ELISA. ca1C5 and c4G12 were used at 10 µg/mL. Red bars indicate the median. Statistical analysis was performed using the
- 929 Wilcoxon signed-rank test with Holm's correction for multiple comparisons.
- 930

Figure 4. Blood kinetics and clinical efficacy of ca1C5 in combination with c4G12 immunotherapy.

(a) Serum concentrations of ca1C5 and c4G12 during ca1C5 monotreatment and 933 combination treatment. A laboratory Beagle received four doses of ca1C5 (1 mg/kg) every 934 935 2 weeks, followed by five doses of ca1C5 (1 mg/kg) and c4G12 (5 mg/kg). Open circles 936 indicate concentrations below the lower limit of quantification ($< 0.3 \mu g/mL$). (b) Tumor response to combination therapy after the failure of c4G12 monotherapy. Recurrent 937 lesions in the oral cavity that had arisen during prior anti-PD-L1 therapy were monitored 938 during and after combination therapy. PR, partial response. Open symbols indicate the 939 disappearance of the lesion (0 mm). 940