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# On-chip mid-infrared silicon-on-insulator waveguide methane sensor using two measurement schemes at 3.291µm

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Portable or even on-chip detection of methane (CH<sub>4</sub>) is significant for environmental protection and production safety. However, optical sensing systems are usually based on discrete optical elements, which makes them unsuitable for the occasions with high portability requirement. In this work, we report on-chip silicon-on-insulator (SOI) waveguide CH<sub>4</sub> sensors at 3.291 µm based on two measurement schemes including direct absorption spectroscopy (DAS) and wavelength modulation spectroscopy (WMS). In order to suppress noise, Kalman filter was adopted in signal processing. By optimizing the waveguide cross-section structure, an etch depth of 220 nm was selected with an experimentally high power confinement factor (PCF) of 23% and a low loss of only 0.71 dB/cm. A limit of detection (LoD) of 155 parts-per-million (ppm) by DAS and 78 ppm by WMS at an averaging time of 0.2 s were obtained for a 2 cm-long waveguide sensor. Compared to the chalcogenide (ChG) waveguide CH<sub>4</sub> sensors at the same wavelength, the reported sensor reveals the minimum waveguide loss and the lowest LoD. Therefore the SOI waveguide sensor has the potential of on-chip gas sensing in the mid-infrared (MIR) waveband.

#### KEYWORDS

methane sensing, SOI waveguide sensor, direct absorption spectroscopy, wavelength modulation spectroscopy, kalman filter

## 1 Introduction

Methane (CH<sub>4</sub>) is regarded as the second most important greenhouse gas after carbon dioxide (CO<sub>2</sub>), responsible for about 20% of the global warming induced by greenhouse gases emissions (Shindell et al., 2009; Howarth et al., 2011; Kirschke et al., 2013). Due to the exploitation of fossil fuels, such as coal, oil and natural gas, atmospheric CH<sub>4</sub> concentration levels are rising in recent years (Zheng et al., 2017). In addition, CH<sub>4</sub> is

explosive when mixed with 5–15% volume of air, which may become an industrial safety hazard (Leis et al., 2014). Hence, it is necessary to monitor  $CH_4$  concentration level effectively for environmental protection and production safety (Berman et al., 2012; Sur et al., 2015; Ye et al., 2016). Compared with the sensing system based on discrete optical elements, on-chip optical waveguide sensors are small in device footprint, which have the potential to integrate light source and detector on a single chip (Dong et al., 2018; Ranacher et al., 2018; Zheng et al., 2018; Pi et al., 2019).

Several approaches based on optical waveguide have been proposed to detect analyte concentration, which can be broadly grouped into two categories: refractive index sensing (El Shamy et al., 2019; Soref et al., 2019) and optical absorption sensing (Ranacher et al., 2016; Qiao et al., 2019). Refractive index sensing is based on measuring the change in the refractive index of the analyte, which would result in the change in frequency or phase of the output light (Zhang et al., 2019). Compared to refractive index sensing, waveguide sensors based on optical absorption spectroscopy are selective since each analyte has unique absorption spectrum. By detecting the light attenuation when the light with specific wavelength passes through the analyte, the concentration of the analyte can be determined (Liu et al., 2016; Khonina et al., 2020). In order to reduce the limit of detection (LoD), according to Lambert-Beer Law, it is necessary to increase the optical path length, that is, the length of interaction between light and analyte. For optical waveguide sensors, analyte acts as cladding of the waveguide and interacts with the evanescent field of the waveguide (Butt et al., 2017; Tombez et al., 2017; Kita et al., 2018; Yoo et al., 2020). Power confinement factor (PCF) indicates the ratio of light power in the analyte cladding layer and a higher PCF means that the light can interact with the analyte sufficiently (Gutierrez-Arroyo et al., 2017). Considering the relatively large transmission loss, waveguide cannot be made very long, so the PCF should be enhanced to increase the equivalent optical path length.

CH<sub>4</sub> has stronger absorption in the mid-infrared around 3.3 and 7.6 µm as when compared to the absorption in the nearinfrared. Chalcogenide (ChG) glasses are usually used in the MIR due to their low absorption loss (Rao et al., 2015; Zhang et al., 2018). In addition to chalcogenide glasses, silicon-on-insulator (SOI) is also a potential material platform for mid-infrared CH<sub>4</sub> sensing, since it is transparent in the absorption waveband of CH<sub>4</sub> (3.2-3.45 µm) (Hu et al., 2017; Zou et al., 2018). By optimizing the cross-section structure of SOI waveguide, a higher PCF can be obtained. In this paper, we proposed a high-performance SOI waveguide CH4 sensor based on two measurement schemes, i.e. direct absorption spectroscopy (DAS) and wavelength modulation spectroscopy (WMS). Combined with wavelength modulation spectroscopy (WMS), system noise can be reduced, leading to a decrease of LoD (Liu et al., 2019). At the same time, in order to further suppress the system noise, Kalman filter was adopted. The PCF was experimentally obtained with an accurate calculation method and related formulations as well as equations were derived.  $CH_4$  sensing performances were presented and discussed in detail based on the two schemes.

## 2 Design and fabrication of midinfrared silicon-on-insulator waveguide

# 2.1 Waveguide sensor structure and fabrication

The structure of the SOI ridge optical waveguide sensor is shown in Figure 1A. The optical waveguide consists of a central sensing region and a coupling region at both ends of the chip. Since the core diameter of the optical fiber is 7.5  $\mu$ m, in order to realize the coupling with the optical fiber and reduce mode mismatch, the ridge width of the waveguide at both ends is 15 µm. The length of the tapered transition waveguide is 300 µm. To reduce the footprint of the sensing area, we designed a 1 cm-long straight waveguide and a 2 cmlong bend waveguide, which can be tested on the same chip. The radius of the bend waveguide is 50 µm to reduce bending loss. Figure 1B shows the cross-sectional scanning electron microscope (SEM) image of the fabricated SOI ridge optical waveguide in the sensing area. The SOI waveguide was photolithographed with AZ701 photoresist. After the development, the silicon device layer on the top of the SOI chip was etched by inductively coupled plasmonic (ICP) with CF<sub>4</sub> gas. The etching rate is 220 nm/min. The thickness of the Si layer is 500 nm and the etching depth of the waveguide is 220 nm. Due to the limitation of etching process, the waveguide sidewall is not completely steep, so the etching area is trapezoid, in which the upper bottom width is  $1.5 \,\mu m$ and the lower bottom width is  $1.65 \,\mu\text{m}$ .

The cross-sectional mode field distribution of the SOI ridge waveguide is simulated by COMSOL Multiphysics. The refractive index of Si and SiO<sub>2</sub> at the wavelength of 3,291 nm are 3.4302 and 1.4119, respectively. The output mode field of the mid infrared laser is TM polarized. In order to test the polarization of the light before coupling into the waveguides, we placed a polarizer behind the fiber and tested the output power under different polarization states. Test results show that the output power under TM and TE polarization is 54.3 and 12.9 µW, respectively, and the total power without polarizer is 79.3  $\mu$ W. It can be seen that the light output from the optical fiber is mainly TM polarized, so we mainly focus on TM mode distribution of the waveguide. Figure 1C shows the TM<sub>0</sub> mode distribution of SOI waveguide section with an effective refractive index of 1.889. Figure 1D shows the photograph of the SOI waveguide with a gas cell bonded on it. Micrograph of the sensing area is shown in Figure 1E in detail.



#### FIGURE 1

(A) Schematic of the SOI ridge optical waveguide sensor chip, which is composed of sensing area, taper area and ridge waveguide at both ends for coupling with optical fiber. There are two waveguide sensors on the chip: a straight waveguide sensor with a length of 1 cm and a bend waveguide sensor with a length of 2 cm. (B) SEM image of the cross section of a fabricated SOI waveguide. (C) The simulated fundamental TM mode of the SOI waveguide. (D) Photograph of the SOI waveguide with a gas cell. (E) Micrograph of the sensing area.



# 2.2 Experimental setup and details for onchip $\mbox{CH}_4$ sensing

The CH<sub>4</sub> sensing system based on SOI optical waveguide is shown in Figure 2A. An ICL (Nanoplus) emitting at 3,291 nm was used as the light source, which can cover the absorption line of CH<sub>4</sub> by changing its driving current. A polydimethylsiloxane (PDMS) gas cell was integrated on the SOI chip, and the gas inflow and outflow were carried out through the inlet and outlet above the cell. The width of the input and output coupling waveguides is  $15 \,\mu$ m, and there is little evanescent field leaking above the waveguide, which can reduce the light absorption of the PDMS gas cell. The pressure in the gas cell was not controlled during experiment, and the measurement was conducted under ambient pressure of 760 Torr (Pi et al., 2021). Through a fluoride fiber, the light



from the ICL was coupled into the SOI waveguide, and the light from the waveguide was directly incident into a mercurycadmium-telluride (MCT) detector (VIGO System) through free-space, which can convert the optical signal to an electrical signal. Quasi-simultaneous DAS and WMS were used to detect  $CH_4$  concentration. Through the LabVIEWcontrolled data acquisition (DAQ) card, the triangular wave signal for driving the laser was generated. The first half cycle of the signal is used for WMS, in which the rising ramp signal is superimposed with the high-frequency sine wave signal. The second half cycle of the signal is used for DAS and is a falling slope signal used for wavelength scanning. The LabVIEW based lock-in amplifier was used to extract the second harmonic (2f) signal from the first half cycle of the output signal from the detector. At the same time, a LabVIEW-based signal processing program was used to process the absorption



#### FIGURE 4

Under different  $CH_4$  concentration levels of 0, 10, 20, 30 and 40%, measured data and linear fitting curve of the absorbance versus  $CH_4$  concentration for the 1 cm-long waveguide (A) without Kalman filter and (B) with Kalman filter. Measured data and linear fitting curve of the absorbance versus  $CH_4$  concentration for the 2 cm-long waveguide (C) without Kalman filter and (D) with Kalman filter.



signal of the second half cycle. In order to suppress the signal fluctuation caused by air flow on the surface of the waveguide, Kalman filter was added to the signal processing program. In the later gas detection experiments, the effect of the filter on improving the sensitivity of gas detection will be verified. Figures 2B–D show the flow chart of the signal processing using WMS and DAS. Figure 2B shows the driving signal of the ICL. The output sensing signal from the detector is shown in Figure 2C. Figure 2D shows the extracted 2f signal and absorbance signal under a CH<sub>4</sub> concentration level of 40% (4 ×  $10^5$  ppm).

### 2.3 Sensing theory

The light of the evanescent field above the waveguide is absorbed by the target gas. The gas concentration can be obtained by monitoring the change of the output light intensity. In addition, the intrinsic optical absorption of waveguide materials needs to be considered. The basic sensing theory of the waveguide gas sensor obeys the Lambert-Beer Law (Schilt et al., 2003; Siebert and Muller, 2005; Charrier et al., 2012)

$$I_{\text{out}} = I_{\text{in}} \exp\left(-\Gamma \alpha_{\text{CH}_4} c L - \alpha_{\text{int}} L\right)$$
(1)

where  $I_{out}$  and  $I_{in}$  are the output and input light intensity,  $\alpha_{CH4}$  is the absorption coefficient of CH<sub>4</sub>,  $\alpha_{int}$  is the intrinsic loss of the waveguide, *L* is the waveguide length of the sensing area, and *c* is the concentration of the gas analyte. Since  $\alpha_{CH4}$  is the absorption coefficient per unit CH<sub>4</sub> concentration and length, it needs to be multiplied by the actual CH<sub>4</sub> concentration *c* and waveguide length *L*. In addition, on the waveguide transmission section, only the light of the evanescent field in the upper cladding is absorbed by the gas, so it needs to be multiplied by the power ratio of the evanescent field.  $\Gamma$  is the power confinement factor (PCF) in the upper cladding of the waveguide, which can be expressed as (Robinson et al., 2008; Vlk et al., 2021)



modulation amplitude of the sinewave signal at a  $CH_4$  concentration level of  $10^5$  ppmv for the 1 cm- and 2 cm-long waveguide.



$$\Gamma = \frac{n_{\rm g} \iint_{\rm cl} \varepsilon |E|^2 dx dy}{\operatorname{Re}\{n_{\rm cl}\} \iint_{-\infty}^{\infty} \varepsilon |E|^2 dx dy}$$
(2)

Here,  $n_{\rm cl}$  is the refractive index of gas,  $\varepsilon$  is the permittivity, and *E* is the electric field,  $n_{\rm g}$  is the group refractive index which

captures the waveguide dispersion. For the designed SOI waveguide, only  $TM_0$  and  $TM_1$  modes can be transmitted. In the calculation of PCF, the dominant  $TM_0$  mode is considered under the incident way of the laser mode.  $n_g$  of the  $TM_0$  mode is 5.18, and the PCF was numerically calculated to be 34.3%.



#### FIGURE 8

Measured data and non-linear fitting curve of the 2f signal amplitude versus  $CH_4$  concentration of the 1 cm-long waveguide under different  $CH_4$  concentration levels of 0, 10, 20, 30 and 40% (A) without Kalman filter and (B) with Kalman filter. Measured data and non-linear fitting curve of the 2f signal amplitude versus  $CH_4$  concentration of the 2 cm-long waveguide under different  $CH_4$  concentration levels of 0, 10, 20, 30 and 40% (C) without Kalman filter and (D) with Kalman filter.

### 2.4 Transmission loss of the silicon-oninsulator waveguide

The transmission loss of the SOI waveguide can be measured by 'cut-back' method, with the expression of

$$\alpha_{\rm int} = 10 \frac{\log_{10} (I_{\rm out2}/I_{\rm out1})}{L_1 - L_2}$$
(3)

where  $L_1$  (i.e., 1 cm) and  $L_2$  (i.e., 2 cm) are the lengths of the two different waveguides,  $I_{out1}$  and  $I_{out2}$  are the output light intensity from the corresponding waveguides. By measuring the ratio between the output power of the two different waveguides, the transmission loss of the waveguide can be obtained. Here we measured the output signal amplitude of the two waveguides to calculate the signal amplitude ratio, which are equal to the ratio of intensity. N<sub>2</sub> was continuously injected into the gas cell, the modulation signal amplitude is set to 0, and the lengths of two waveguides are 1 and 2 cm, respectively. The maximum amplitudes of the sampled signal are 3.3 and 2.8 V respectively. According to Eq. 3, the transmission loss of the waveguide is  $\alpha_{int} = 0.71 \text{ dB/cm}$ . The main waveguide loss includes scattering loss caused by side wall roughness, substrate leakage loss caused by silicon substrate with high refractive index, and light absorption loss caused by silicon dioxide. By optimizing the ICP etching procedure, such as changing the ICP power and introducing passivation gas, the roughness of the side wall can be reduced. By selecting SOI chips with thicker silicon dioxide layer, the leakage loss of the substrate can also be reduced. The absorption loss of silicon dioxide can be eliminated by suspending the silicon waveguide.

# 2.5 PCF of the silicon-on-insulator waveguide

For the 1 cm-long waveguide with  $\mathrm{N}_2$  injected, the output can be expressed as

$$I'_{out1} = I_{in} \exp(-\alpha_{int}L_1)$$
 (4)

Through Eqs. 1 and 4, the absorbance of  $CH_4$  can be expressed as

Absorbance = 
$$-\ln \frac{I_{\text{out1}}}{I'_{\text{out1}}} = \Gamma \alpha c L_1$$
 (5)

At a concentration of c = 10%, the direct absorption spectrum was measured and the absorbance of CH<sub>4</sub> was obtained, which is 0.23314. Based on the high-resolution transmission (HITRAN) database, the absorption coefficient  $\alpha_{CH4} = 10 \text{ cm}^{-1}$ . Then the actual PCF of the waveguide is determined to be 23.31%, which is lower than the simulation result of 34.3%. This can be attributed to the fabrication error of the SOI waveguide, leading to a changed mode filed distribution and effective refractive index.

## 3 Waveguide sensor performance using direct absorption spectroscopy

# 3.1 Calibration of CH<sub>4</sub> sensor using direct absorption spectroscopy

In order to scan the absorption line of  $CH_4$  at 3,038.5 cm<sup>-1</sup>, the operating temperature of the ICL was set to 15°C. A triangular-wave scan signal with an initial voltage of 0.481 V and an amplitude of 0.095 V was applied to the ICL driver, so that



#### FIGURE 9

Measured  $CH_4$  concentration levels in  $N_2$  environment using the 1 cm-long waveguide (A) without Kalman filter and (B) with Kalman filter. Measured  $CH_4$  concentration levels in  $N_2$  environment using the 2 cm-long waveguide (C) without Kalman filter and (D) with Kalman filter. (E) Allan deviation analysis based on the data shown in (A–D).



the emission wavelength of the ICL can sweep from 3,037.637 to 3,039.151 cm<sup>-1</sup>. The sampling rate of the DAQ card was 5 kHz, resulting in  $2 \times 10^4$  data points in each scanning cycle, of which the  $1 \times 10^4$  data points corresponding to the falling slope signal in the second half of the cycle were used for DAS. Through a gas mixing system (Series 4,000, Environics), pure CH<sub>4</sub> was diluted with nitrogen (N<sub>2</sub>) to form a gas mixture with CH<sub>4</sub> concentration levels from 0 to 40%. At each concentration, the gas mixture was continuously injected into the gas cell for 2 min. At the sampling time of 0.2 s, the signal waveform and maximum absorbance of the 1 cm-long waveguide and the 2 cm-long waveguide under different CH<sub>4</sub> concentration levels (0, 10, 20, 30 and 40%), respectively.

For the two waveguides with different lengths, under the conditions of using and without using Kalman filter, the average value of the absorbance at different concentration levels are shown in Figure 4A linear fitting was also carried out based

on the experimental data, as shown in Figure 4. The  $R^2$  of the linear fit is >99%. The error bars are different for different waveguide lengths and different CH<sub>4</sub> concentration levels, due to different waveguide loss, optical output power and signal to noise ratio. Through Kalman filter, the signal fluctuation is suppressed and the error bars become smaller.

The linear fitting equations between the averaged absorbance and  $CH_4$  concentration of the 1 cm-long waveguide without and with Kalman filter are expressed by Eq. 6A and Eq. 6B. The linear fitting equations for the 2 cm-long waveguide sensor are expressed by Eq. 7A and Eq. 7B.

$Amp_{DAS,1cm} = 9.18348 \times 10^{-7} c + 0.02293$	(6A)
$Amp_{DAS,1cm,Kalman} = 9.35487 \times 10^{-7} c + 0.02283$	(6B)
$Amp_{DAS,2cm} = 1.70741 \times 10^{-6} c + 0.04371$	(7A)
$Amp_{DAS.2cm,Kalman} = 1.76848 \times 10^{-6} c + 0.03888$	(7B)

## 3.2 Stability

In order to evaluate the stability and noise level of the system, pure N<sub>2</sub> was injected into the gas cell. The absorbance was sampled for 15 min with a sampling period of 0.2 s. The curve of the calculated CH4 concentration levels versus sampling time is shown in Figures 5A-D. The Allan deviation of the sampled data was calculated, and its relation with the averaging time is shown in Figure 5E. Generally, with the increase of averaging time, the Gaussian white noise will be reduced, resulting in the reduction of the Allan deviation. However, due to the use of filtering in data sampling and processing, the effect of increasing the average time to reduce the Gaussian white noise is weakened. However, filtering cannot remove relatively low-frequency noise, it leads to the increase of Allan deviation in the initial stage with a small average time. Then the Allan deviation decreases because of the increased averaging time, which decreases the effect of the relatively low-frequency noise. As the averaging time increases to a

TABLE 1 Comparison	among the repor	ted CH <sub>4</sub> waveguide	sensors. KF: Kalman filter.
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Refs	Wavelength (µm)	Technique	L (cm)	$\alpha_{\rm int}~({\rm dB/cm})$	PCF	Response time (s)	LoD (ppm)
ChG Han et al. (2016)	3.31	DAS	2	7	NA	NA	25,000 note2
ChG Su et al. (2019)	3.31	DAS	0.5	8	NA	NA	10,000 note3
SOI [this]	3.291	DAS, KF	1	0.71	23.31% (exp <sup>note1</sup> )	14, 10	347@0.2s
			2				155@0.2s
		WMS, KF	1				85@0.2s
			2				78@0.2s

**Note1**: this value was obtained through experiment. **Note2**: this value was obtained by monitoring the transmission change with different CH<sub>4</sub> concentration levels at 3,310 nm, when the CH<sub>4</sub> concentration decreased to 25,000 ppm, no attenuation of transport was observed compared to nitrogen atmosphere. **Note3**: this value was obtained by measuring the SNR., NA: not available.

certain extent, system drift begins to play a leading role, resulting in the increase of Allan deviation. Based on Allan deviation, the LoD of the 1 cm-long waveguide without and with Kalman filter are 2,917 ppm and 347 ppm, respectively, and those of the 2 cm-long waveguide are 1,319 ppm and 155 ppm, respectively, at an averaging time of 0.2 s.

## 4 Waveguide sensor performance using wavelength modulation spectroscopy

### 4.1 Modulation amplitude optimization

In order to achieve a high signal-to-noise ratio (SNR), it is necessary to optimize the modulation amplitude. A mixture of  $CH_4$  and  $N_2$  was injected into the cell, where the  $CH_4$  concentration level is 10%. Under different modulation amplitudes, the amplitudes of 2f signal for the 1cm and 2 cm-long waveguides were recorded, as shown in Figure 6. For the two waveguides with different lengths, the maximum amplitude of the 2f signal was obtained when the modulation amplitude is 0.025 V. Because the amplitude of the 2f signal has a linear relationship with gas concentration, it is reasonable to optimize the modulation amplitude at one concentration level. Therefore, a modulation amplitude of 0.025 V was adopted in the WMS measurement.

# 4.2 Calibration of CH<sub>4</sub> sensor using wavelength modulation spectroscopy

In the first half cycle of the scan signal, there are  $1 \times 10^4$  data points for the rising ramp signal. A high-frequency sinusoidal modulation signal with a frequency of 5 kHz was superimposed on the triangular wave scan signal. At a sampling time interval of 0.2 s, the 2f signal waveform and its amplitude were recorded, respectively. Under different concentration levels (0, 10, 20, 30 and 40%), the 2f signal of the 1 cm and 2 cm-long waveguides without filter are shown in Figure 7.

At high CH<sub>4</sub> concentration levels, the 2f signal amplitude shows a nonlinear trend. The 2f signal amplitude at different concentration levels were fitted non-linearly. The relationship between the 2f signal amplitude and CH<sub>4</sub> concentration is shown in Figure 8, with  $R^2 > 0.999$ .

The non-linear fitting equations between the averaged 2f signal amplitude and  $CH_4$  concentration for the 1 cm-long waveguide without and with Kalman filter are expressed by Eq. 8A and Eq. 8B, respectively. The non-linear fitting equations of the 2 cm-long waveguide without and with Kalman filter are shown in Eq. 9A and Eq. 9B, respectively.

 $Max (2f)_{1cm} = -0.07533 \times exp(-3.60497 \times 10^{-6}c) + 0.07658$ (8A)

$$Max(2f)_{1cm,Kalman} = -0.07528 \times \exp(-3.60501 \times 10^{-6}c) + 0.07655$$
(8B)

$$Max (2f)_{2cm} = -0.20228 \times exp(-2.19868 \times 10^{-6}c) + 0.20588$$
(9A)

$$Max(2f)_{2cm,Kalman} = -0.20531 \times \exp(-2.16122 \times 10^{-6}c) + 0.20891$$
(9B)

## 4.3 System stability

The stability measurement using WMS was carried out synchronously with DAS. The curve of the measured  $CH_4$ concentration levels versus sampling time is shown in Figures 9A–D. The Allan deviation based on the measured data was analyzed, and its relation with the averaging time is shown in Figure 9E. The LoD of the 1 cm-long waveguide without and with Kalman filter are 744 and 85 ppm, respectively, and those of the 2 cm-long waveguide without and with Kalman filter are 604 and 78 ppm, respectively, at an averaging time of 0.2 s. Therefore, by using WMS technique and Kalman filter, the LoD can be reduced from 347 ppm (using DAS) to 85 ppm for the 1 cm-long sensor, and the LoD can be reduced from 155 ppm (using DAS) to 78 ppm for the 2 cm-long sensor. This proves the denoising ability of the WMS technique.

### 4.4 Response time

Experiment was performed to determine the 10–90% response time of the waveguide sensor. Pure N<sub>2</sub> and 20% CH<sub>4</sub> were repetitively injected into the gas cell, respectively, for a period of time. The two gas samples were exchanged twice, and the concentration level change was measured using the 1 cm-long waveguide. The results are shown in Figure 10. Under a gas flow rate of 100 sccm, the rise time from 10 to 90% of the target concentration is found to be ~1.4 s, and the fall time from 90 to 10% is found to be ~2.9 s.

## 5 Comparison and discussion

A performance comparison between the previously reported mid-infrared  $CH_4$  ChG optical waveguide sensor and the SOI optical waveguide  $CH_4$  sensor proposed in this paper is shown in Table 1. Compared with the reported ChG waveguide sensors (Han et al., 2016; Su et al., 2019), the prepared SOI waveguide sensor has lower optical loss (i.e., < 1 dB/cm). At the same time, due to the use of Kalman filter, the LoD measured by DAS and WMS are significantly reduced (as small as 100 ppm). When gas is injected into the PDMS

gas cell, it will bring certain disturbance to the waveguide, resulting in additional noise. By adding Kalman filter, this kind of noise can be filtered out to a certain extent, and the signal-to-noise ratio (SNR) and LoD can be improved. In addition, because WMS has a certain suppressing effect on low-frequency noise, the LoD is lower than the DAS under the same waveguide length.

In DAS and WMS measurements, we used two SOI waveguides with different lengths. Among them, the LoD for the 2 cm-long waveguide sensor is lower, because the 2 cm-long waveguide has a longer equivalent optical path under the same PCF. Due to the low transmission loss of SOI waveguide, it can be considered to fabricate longer waveguide to obtain lower LoD when the transmission loss allows.

## 6 Conclusion

Mid-infrared CH<sub>4</sub> sensor system based on SOI waveguide was developed at 3,038.5 cm<sup>-1</sup> for CH<sub>4</sub> detection. SOI bend and straight waveguides as well as DAS and WMS measurement schemes were employed, and Kalman filter was used for noise suppression. CH4 sensing experiments were carried out using CH<sub>4</sub> samples with five different concentration levels. The waveguide transmission loss was measured to be 0.71 dB/cm, and the PCF of the waveguide was experimentally determined to be 23.31%. Based on Allan deviation analysis on the 2 cm-long waveguide, by using DAS and WMS, the LoD are 155 and 78 ppm at an averaging time of 0.2 s, respectively, under the case of using Kalman filter. By further optimizing the PCF of the waveguide and appropriately increasing the length of the sensing waveguide, a lower detection limit is expected. The onchip sensor shows potential applications in CH<sub>4</sub> detection in chemical, oil and coal industries. In the future, by integrating the laser, detector and sensing waveguide on the same chip for more stable optical coupling and light transmission. Since no external light source and detector are required, the size of the sensing system will be significantly reduced and the portability will be improved.

## Data availability statement

The original contributions presented in the study are included in the article/Supplementary Material, further inquiries can be directed to the corresponding authors.

# References

## Author contributions

HZ performed the research and wrote the manuscript. CZ and YW supported the research and revised the manuscript. MP analyzed and verified the sensing results. LL, FS, YZ and FT provided technical guidance and helped to revise the paper. The manuscript was written through contributions of all authors. All authors have given approval to the final version of the manuscript.

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The handling editor MG declared a past co-authorship with the author FT.

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