



# Life Cycle Assessment of Carbon Capture and Utilization for the Production of Large Volume Organic Chemicals

The combination of carbon capture and utilization (CCU) and water electrolysis

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technologies can be used for the production of basic chemicals from carbon dioxide (CO<sub>2</sub>) and hydrogen. Here, we present a life cycle assessment (LCA) on a cradle-to-gate basis for the production of the following large volume organic chemicals: methanol, ethylene, propylene, benzene, toluene, and mixed xylenes. Investigated process chains comprise the following technologies: CO<sub>2</sub> capture from an industrial point-source or from the atmosphere through direct air capture (DAC); alkaline water electrolysis for hydrogen production; methanol synthesis; methanol-to-olefins and methanol-to-aromatics synthesis including aromatics separation. Electricity is supplied by offshore wind turbines. The system boundary includes all relevant processes from cradle to gate. A scenario was set up by exchanging the background processes for the production of important infrastructure materials like aluminum, copper, steel, and concrete with future processes that are less resource intensive, less carbon intensive and include higher recycling rates (e.g., electric arc furnaces for steel production). LCA results show that the synthesis of the investigated chemicals from CCU processes will reduce greenhouse gas (GHG) emissions by 88-97%, compared to fossil-based production routes, when electricity from offshore wind turbines is used. At the same time, other environmental impacts like eutrophication and ozone depletion will increase. The main contributors to the environmental impacts are the energy supply for water electrolysis and direct air capture. Replacement of all plants for the production of the investigated products in Germany with CCU processes would lead to a 2-7% higher total primary energy demand for the whole country. At the same time, an overall reduction of the German GHG emissions by 6% is achieved, when using offshore wind power for these processes only. The future scenario using improved background technologies leads to a further small reduction of GHG emissions and largely reduces other environmental impacts. We therefore identify the reduction of emissions through improved base material production processes and recycling of aluminum, copper, steel and concrete as main objectives to reduce negative impacts for the production of basic chemicals from CCU technologies.

Keywords: life cycle assessment, carbon capture and utilization (CCU), carbon dioxide, organic chemicals, cradle-to-gate

# INTRODUCTION

The intense use of fossil resources leads to growing carbon dioxide (CO<sub>2</sub>) concentrations in the atmosphere and significant global warming, caused by the anthropogenic greenhouse effect (IPCC, 2018). In order to reduce greenhouse gas (GHG) emissions and to access alternative carbon sources in the chemical industry, new approaches through carbon capture and utilization (CCU) are discussed in science and industry (Mikkelsen et al., 2010; Kuckshinrichs and Hake, 2015; Otto et al., 2015; Sanz-Pérez et al., 2016; DECHEMA, 2017; Artz et al., 2018; Kätelhön et al., 2019). While most of the latest publications focus on the production of power-to-gas or powerto-liquid fuels (Merano and Ciferno, 2001; Jaramillo et al., 2008; Zhang et al., 2015; Sternberg and Bardow, 2016; UBA, 2016; Schmidt et al., 2018; Alhyari et al., 2019; Koj et al., 2019), only few consider the application of CCU for the production of chemicals (Kaiser et al., 2013; Kim et al., 2014; Otto et al., 2015). A discussion about the effect of substituting bulk chemicals such as methanol or ethylene through CCU products was started by Kätelhön et al. (2019). By substituting the feedstock of these bulk chemicals-replacing fossil resources by renewables-the production processes and amounts of downstream products like plastics or pharmaceuticals could remain unchanged. This would allow the chemical industry to use established process chains without major transformation challenges in the near future.

The development of alternative processes for the production of chemicals was often caused by scarcities of particular resources, e.g., the oil embargo in Germany during the Second World War, leading to the development of the Fischer-Tropschsynthesis of hydrocarbons (Henrici-Olivé and Olivé, 1976), or the abundance of resources like coal leading to a majority of coal-based chemicals in China (Xu et al., 2017). The growing amount of CO<sub>2</sub> in the atmosphere—around 3,200 Gt CO<sub>2</sub> up to now (Le Quéré et al., 2018)-has a large global warming potential but may function as a possible resource. New strategies are developed to reduce this amount through sequestration or to use CO<sub>2</sub> as a feedstock chemical (Hasan et al., 2015). While carbon capture and sequestration (CCS) would lead to permanent removal of CO<sub>2</sub> from the atmosphere, the climate change mitigation potential of CCU is controversially discussed, because CO<sub>2</sub> in products is only stored temporarily. When CO<sub>2</sub> from fossil sources is used, CCU products only post-pone CO<sub>2</sub> emissions. Mac Dowell et al. (2017) state, that CCU may only account for 4-8% of the total mitigation challenge and hence, may prove to be a "costly distraction [...] from the real task" of mitigating climate change.

There are several LCA studies that were published in the last years, highlighting the GHG effects of CCU on chemical production, such as polyols and polyurethane (Von Der Assen and Bardow, 2014),  $C_1$  chemicals in general, formic acid, methane, methanol, dimethyl ether and derived polymers (Matzen and Demirel, 2016; Sternberg et al., 2017; Hoppe et al., 2018; Aldaco et al., 2019) and various other chemicals (Thonemann and Pizzol, 2019). As upcoming CCU technologies may help to reduce CO<sub>2</sub> emissions, impacts in other categories are often neglected. Focusing on climate change mitigation only,

might neglect undesired environmental effects (Hoppe et al., 2018; Rosental, 2020), hence, a holistic approach is necessary to assess a broad selection of potential environmental risks: Life cycle assessment (LCA) is a suitable method to evaluate such environmental impacts. Including the whole life cycle of the products prevents the shift of environmental risks beyond the observed system. The following LCA study will provide a detailed examination of all relevant processes necessary to obtain  $CO_2$  and produce hydrogen on a renewable basis, used for the production of basic chemicals and furthermore an assessment in multiple environmental impact categories, which is lacking up to date.

## GOAL AND SCOPE OF THE LCA

The objective of this LCA study is to quantify the global warming impact (GWI) and other environmental impacts of CCU technologies and to identify key elements to reduce environmental impacts. Therefore, we investigate the environmental impacts of large volume basic chemicals produced from CCU with offshore wind energy compared to the present fossil-based production. Results of the "RESCUE" study (Resource-Efficient Pathways to Greenhouse-Gas-Neutrality) of the German Environment Agency (UBA, 2019b) will be used to model pathways of a base materials supply in 2050. This will be compared to the present base materials production. Based on this model, we identify significant key parameters to reduce overall emissions that result from renewable CCU chemical production. Including other impact categories besides global warming will assure a holistic approach of the LCA, quantifying environmental impacts for the production of basic chemicals from renewable sources.

### **Product System and System Boundaries**

The product system is shown schematically in **Figure 1**. The foreground system (FGS) consists of a  $CO_2$  capturing module (DAC or amine scrubbing), an electrolysis module and a synthesis process.  $CO_2$  and hydrogen are reacted in a chemical plant to synthesize the desired product, which is processed for further purification of single compounds. The product system also includes electricity and heat generation, infrastructure and auxiliary material provisions as background system (BGS). Following a 100:0 allocation approach, the  $CO_2$  point-source is excluded from the system, leaving all expenses and burdens for producing  $CO_2$  and main products (e.g., clinker or energy) on the emitting system. Reference systems comprise state of the art production of methanol from syngas, olefins from steam cracking and aromatics from catalytic reforming of naphtha. We decide not to use the system expansion approach as this

**Abbreviations:** AE, alkaline electrolysis; AP, acidification potential; BTX, benzene, toluene, xylene; CCU, carbon capture and utilization; CED, cumulated energy demand; C2G, cradle-to-gate; DAC, direct air capture; EoL, end-of-life; EP, eutrophication potential; GHG, greenhouse gas; GWI, global warming impact; LCA, life cycle assessment; LCI, life cycle inventory; LCIA, life cycle impact assessment; LHV, lower heating value; MEA, monoethanolamine; MTA, methanol-to-aromatics; MTO, methanol-to-olefins; ODP, ozone depletion potential; PM<sub>2.5</sub>, particulate matter with a diameter <2.5  $\mu$ m; PS, point-source;  $\Delta H_R^0$ , standard reaction enthalpy.



would change the scope and functional unit of this study, focussing on chemical products only. Applying system expansion would lead to several by-products needed to be taken into account, followed by comparability issues due to different possible functional units for several  $CO_2$  point-sources (Von Der Assen et al., 2013). System boundaries for chemical products are cradle-to-gate, so further phases of processing, distribution, use, and recycling or disposal until end-of-life (EoL) are not considered.

#### **Functional Unit and Allocation Method**

The functional unit (FU) is defined as one metric ton (1,000 kg) of one of the desired products, respectively: methanol, ethylene, propylene, benzene, toluene, mixed xylenes. Physical allocation by mass is applied by default. In chemical synthesis and processing, feedstock is allocated to all products; energy input and other expenses and emissions (including direct CO<sub>2</sub> emissions from purge gas combustion) are allocated by mass to the intended products only (see Table 2). All other by-products carry the burdens of the respective feedstock and are not investigated further, following existing methodological recommendations of the European Commission and PlasticsEurope (European Commission, 2003, 2019; PlasticsEurope, 2017). As a result, target products from the same process have identical environmental impacts per kg of product. In the Results section (chapter 4) products will be presented in three groups: methanol, olefins (ethylene and propylene) and BTX (benzene, toluene, xylenes). Oxygen from water electrolysis is considered an unintended by-product which receives no burdens, leaving all burdens on the main product hydrogen, following a conservative approach. Lost CO<sub>2</sub> from purge gas combustion is taken into account for captured CO<sub>2</sub>, which will not be incorporated in the CCU product. The additional expenses, energy and resource requirements are assigned to the main products, the corresponding direct  $\rm CO_2$  emissions are reported separately. Excess thermal energy receives no burdens.

### Accounting Method for CO<sub>2</sub>

As a consequence of the 100:0 allocation approach, the uptake of  $CO_2$  is treated as negative emissions (-1 kg/kg) and the release at all life cycle stages, including CO2 at end-oflife (EoL), as positive emissions (+1 kg/kg), balancing each other in absolute terms. Emissions during further processing, distribution, use and recycling or disposal are not taken into account. Only the stoichiometrically calculated emission of CO2 at EoL from complete combustion of the product is considered and reported separately, because long-time storage of CO<sub>2</sub> cannot be guaranteed. Therefore, system boundaries are expanded to cradle-to-gate + end-of-life (C2G + EoL) for global warming impacts. Accounting for the release of temporarily stored carbon<sup>1</sup> avoids reporting net-negative CO<sub>2</sub> emission results, which otherwise would be calculated using the cradle-to-gate approach in the 100:0 allocation method. Additionally, exemplary results for the 0:100 allocation approach are calculated for CO<sub>2</sub> point-sources to demonstrate the pitfalls that can lead to omitted accounting of fossil CO<sub>2</sub> emissions.

#### Impact Categories

For the life cycle impact assessment (LCIA) six relevant impact categories are considered (**Table 1**). These categories (GWI, CED, AP, EP, ODP, PM<sub>2.5</sub>) represent commonly affected environmental impacts, where conflicts of interest could occur

 $<sup>^1</sup>$ Carbon incorporated in the products, expressed in CO<sub>2</sub>-eq. (conversion factor 3.66 kg CO<sub>2</sub>/kg C): 1,375 kg CO<sub>2</sub>t methanol; 3,143 kg CO<sub>2</sub>t ethylene/propylene; 3,385 kg CO<sub>2</sub>t benzene; 3,348 kg CO<sub>2</sub>t toluene; 3,321 kg CO<sub>2</sub>t xylene.

| Impact Category                                      | Indicator   | Unit                    | Method and Source                                    |
|--|---|-------------------------|--|
| Global Warming Impact<br>(GWI)                       | Potential global warming in a 100 year time horizon<br>due to emissions of greenhouse gases to air which<br>increase radiative forcing                | kg CO <sub>2</sub> -eq  | IPCC, 2013   |
| Cumulated Energy<br>Demand (CED)                     | Total energy content of all fossil, nuclear and<br>renewable energies consumed  | GJ                      | VDI, 2012  |
| Acidification Potential<br>(AP)                      | Potential acidification of soils and water due to the<br>release of gases such as nitrogen oxides and sulfur<br>oxides                                | kg SO <sub>2</sub> -eq  | Hauschild and Wenzel, 1998; CML, 2015                |
| Eutrophication<br>Potential (EP)                     | Enrichment of the aquatic and terrestrial ecosystem<br>with nutritional elements, due to the emission of<br>nitrogen or phosphor containing compounds | kg PO₄-eq               | Hauschild and Wenzel,<br>1998; David et al.,<br>2019 |
| Ozone Depletion<br>Potential (ODP)                   | Emissions of halogenated hydrocarbons to air that<br>cause destruction of the stratospheric ozone layer   | g CFC-11-eq             | WMO, 2014  |
| Particulate Matter<br>Formation (PM <sub>2.5</sub> ) | Particulate matter emissions to air that cause damage to human health   | g PM <sub>2.5</sub> -eq | De Leeuw, 2002;<br>SAEFL, 2003                       |

TABLE 1 | Impact categories used for the LCIA, relevant indicators, and underlying method.

in terms of environmental protection goals (UBA, 2017). Other relevant impact categories such as freshwater consumption or land use were excluded due to the lack of primary data for CCU plants in the foreground system or missing reference values in the ecoinvent and PlasticsEurope datasets for feasible comparability.

#### **General Assumptions and Limitations**

Model parameters can be modified in many possible ways, therefore, a general set of comprehensive (background system) assumptions is applied:

- 1. Electricity is provided by offshore wind turbines in general; energy storage is not considered. The emission factor of offshore wind energy is  $5.4 \text{ g kWh}^{-1}$  in 2010 and  $2.4 \text{ g kWh}^{-1}$  in 2050 (UBA, 2020).
- 2. Heat energy is provided by electric heating with energy from offshore wind turbines.
- 3. Excess heat energy from chemical reactions is used for CO<sub>2</sub> capture thermal energy demand with 100% efficiency.
- 4. Manufacturing of aluminum, copper, steel, and concrete is adjusted to an optimized resource extraction and recycling, projected for the year 2050 according to the "RESCUE" study (Resource-Efficient Pathways to Greenhouse-Gas-Neutrality) of the German Environment Agency (UBA, 2019b); comparison with the current situation (year 2010) is shown.
- 5. CO<sub>2</sub> capture, water electrolysis and chemical synthesis are conducted "on-site," therefore infrastructure for compression, transportation and distribution is neglected.
- 6. Production infrastructure is included, as the relative impacts of fossil-based infrastructure becomes relevant when energy from non-fossil energy sources is used for the foreground processes.
- 7. Production plants are operated at full capacity (8,700 h  $a^{-1}$ ); the production capacity of ecoinvent modules (chemical factory, organics) with 50 kt  $a^{-1}$  and 50 years lifetime is

scaled according to the product output and infrastructure specifications shown in **Table 3**.

8. It is assumed that chemical synthesis is carried out with a 2% purge gas combustion to prevent reactors from accumulating inert gases. Complete conversion of the purge gas to CO<sub>2</sub> and water is assumed.

Limitations for these assumptions include (a) heat integration with 100% energy efficiency assumed and without separating temperature levels; (b) purge gas combustion neglecting the formation of other organic and inorganic compounds, therefore only accounting for GWI as impact category; (c) EoL impacts of the products covering stoichiometrically calculated  $CO_2$ emissions only. These assumptions frame a "best case" scenario with utilization of renewable low-carbon energy, minimal transport distances, maximum energy efficiency, and optimized resource pathways in which CCU production of chemicals could take place.

The fossil reference system is not affected by those assumptions, especially energy and construction material supply and therefore, was not prospectively assessed. Feedstock and main operation processes will remain unchanged, e.g., steam cracking, as these processes are defined as reference. While energy supply does not play a significant role for these fossil processes, construction materials are neglectable for a large scale chemical production (PlasticsEurope, 2012, 2013).

### INVESTIGATED PROCESSES AND INVENTORY

Here, the chemical processes necessary for operating CCU technologies to produce chemicals are described shortly. Input and output metrics of the synthesis and processing life cycle inventory (LCI) are given in **Table 2**, detailed technological parameters are shown in **Table 3**. Direct air capture, amine scrubbing, electrolysis, background system and energy models

| CCU-Product     | Input                          | Amount     | Unit  | Output                                  | Amount      | Unit |
|-----------------|--------------------------------|------------|-------|---|-------------|------|
| Methanol        | CO <sub>2</sub>                | 1,441      | kg    | Methanol                                | 1,000       | kg   |
|                 | H <sub>2</sub>                 | 203        | kg    | Water                                   | 578         | kg   |
|                 | Electricity                    | 1,188,000  | kJ    | CO <sub>2</sub>                         | 66          | kg   |
|                 | Infrastructure                 | 2.35E-07   | units | Heat                                    | 1,400,000   | Kj   |
|                 | Al <sub>2</sub> O <sub>3</sub> | 0.183      | kg    |   |             |      |
|                 | CuO                            | 1.172      | kg    |   |             |      |
|                 | MgO                            | 0.037      | kg    |   |             |      |
|                 | ZnO                            | 0.439      | kg    |   |             |      |
| Olefins (MTO)   | Methanol                       | 5,437      | kg    | Ethylene                                | 1,000       | kg   |
|                 | Electricity                    | 5,436,735  | kJ    | Propylene                               | 800         | kg   |
|                 | Infrastructure                 | 6.21E-07   | units | Butene                                  | 230         | kg   |
|                 | Zeolite                        | 1.396      | kg    | Aliphatics                              | 230         | kg   |
|                 |                                |            |       | CO <sub>2</sub>                         | 145         | kg   |
|                 |                                |            |       | Water                                   | 3,033       | kg   |
|                 |                                |            |       | Heat                                    | 4,461,384   | kJ   |
| Aromatics (MTA) | Methanol                       | 130,989    | kg    | Benzene                                 | 1,000       | kg   |
|                 | Electricity                    | 24,091,588 | kJ    | Aliphatics C <sub>5</sub> +             | 6,418       | kg   |
|                 | Infrastructure                 | 1.50E-05   | units | Ethylbenzene                            | 463         | kg   |
|                 | Zeolite                        | 33.645     | kg    | Ethyltoluene                            | 1,171       | kg   |
|                 |                                |            |       | Isopropylbenzene                        | 49          | kg   |
|                 |                                |            |       | Olefines C <sub>2</sub> -C <sub>4</sub> | 25,674      | kg   |
|                 |                                |            |       | Tetramethylbenzene                      | 1,049       | kg   |
|                 |                                |            |       | Trimethylbenzene                        | 3,439       | kg   |
|                 |                                |            |       | <i>m</i> -Xylene                        | 5,561       | kg   |
|                 |                                |            |       | o-Xylene                                | 2,195       | kg   |
|                 |                                |            |       | p-Xylene                                | 2,439       | kg   |
|                 |                                |            |       | Toluene                                 | 6,244       | kg   |
|                 |                                |            |       | CO <sub>2</sub>                         | 1,500       | kg   |
|                 |                                |            |       | Water                                   | 73,787      | kg   |
|                 |                                |            |       | Heat                                    | 182,911,274 | kJ   |
| BTX Separation  | MTA Production Mix             | 55,702     | kg    | Benzene                                 | 1,000       | Kg   |
|                 | Electricity                    | 79,506,982 | kJ    | Toluene                                 | 6,244       | kg   |
|                 | Process Water                  | 14,482     | kg    | Xylenes                                 | 10,658      | kg   |
|                 |                                |            |       | Other                                   | 37,800      | kg   |

TABLE 2 | Input and output metrics of chemical synthesis modules producing 1,000 kg of the respective product.

Products considered "intended" (receiving expenses and burdens) are shown in bold letters, other products are process burden free.

were adapted according to the SYSEET study, detailed building parameters are given in the annex<sup>2</sup> of this study (UBA, 2020).

#### **Direct Air Capture**

In the DAC unit, air is filtered through an amine functionalized adsorbent capturing CO<sub>2</sub>. After reaching full capacity, the unit is evacuated and heated to release purified CO<sub>2</sub>. Pilot plants are operated by Climeworks, providing technological data for the overall process (Climeworks, 2018a). The unit is operated between 80°C and 120°C with a thermal energy demand of 5.76–7.92 GJ t<sup>-1</sup> CO<sub>2</sub> and an electricity demand of 1.44–2.52 GJ t<sup>-1</sup> CO<sub>2</sub>. The yearly production rate is 1,800t CO<sub>2</sub> a<sup>-1</sup> with a unit lifetime of 12 years (Climeworks, 2018b). Detailed

reviews of current DAC technologies, as well as economic considerations are given by Sanz-Pérez et al. (2016) and Fasihi et al. (2019).

### **Amine Scrubbing**

Capturing CO<sub>2</sub> from point-source flue gases can be achieved through amine scrubbing with aqueous amine solutions, e.g., with monoethanolamine (MEA) (Knudsen et al., 2009; Rochelle, 2009; Luis, 2016). MEA is synthesized by oxidation of ethylene to ethylene oxide and further conversion by reacting it with ammonia. MEA absorbs CO<sub>2</sub> by reacting to the carbamate in alkaline solution and heating the CO<sub>2</sub> rich solution will regenerate MEA and release pure CO<sub>2</sub>. Typical amine solutions consist of 20–30 wt% MEA and reach an absorbance of 90% CO<sub>2</sub>. The production capacity of the capture unit is 1.88 Mt a<sup>-1</sup>

<sup>&</sup>lt;sup>2</sup>Available at: https://www.umweltbundesamt.de/sites/default/files/medien/479/ publikationen/syseet\_eingangsdaten\_oekobilanzrechnungen.xlsx (German only).

TABLE 3 | Technological parameters of alkaline electrolysis, CO<sub>2</sub> capture, and CCU synthesis modules.

| Alkaline Electrolysis   |  | CO <sub>2</sub> Capture           |                 |                 |  |
|-------------------------|--|-----------------------------------|-----------------|-----------------|--|
|                         |  |                                   | DAC             | Point-Source    |  |
| Product                 | H <sub>2</sub>                             | Product                           | CO <sub>2</sub> | CO <sub>2</sub> |  |
| Capacity (t/a)          | 233  | Capacity (t/a)                    | 1,800           | 1,880,000       |  |
| Lifetime (a)            | 20   | Lifetime (a)                      | 12              | 50              |  |
| Electrolysis Power (kW) | 6,000                                      | CO <sub>2</sub> Concentration (%) | 0.04            | 20              |  |
| Efficiency* (%)         | 67/80                                      | MEA Loss (kg/t)                   | -               | 2.00            |  |
| El. Energy (kJ/kg)      | 177,433/150,000                            | El. Energy (kJ/kg)                | 2,520/1,440     | 54              |  |
| Heat (kJ/kg)            | -58,069                                    | Heat (kJ/kg)                      | 7,920/5,760     | 3,134           |  |
|                         |  | CCU Synthesis                     |                 |                 |  |
|                         | Methanol Synthesis                         | мто                               | Ν               | ITA             |  |
| Product                 | Methanol                                   | Ethylene/Propylene                | Benzene/Te      | oluene/Xylene   |  |
| Capacity (t/a)          | 626,908                                    | 2,007,500**                       | 2,00            | 7,500**         |  |
| Lifetime (a)            | 50   | 50                                |                 | 50              |  |
| Catalyst                | CuO/ZnO/MgO/Al <sub>2</sub> O <sub>3</sub> | SAPO-34                           | HZ              | SM-5            |  |
| Catalyst load (t/a)     | 156  | 45                                |                 | 45              |  |
| El. Energy (kJ/kg)      | 1,188                                      | 1,000**                           | 1,(             | **000           |  |
| Heat (kJ/kg)            | -1,400                                     | -820**                            | -8              | 820**           |  |

Negative values in CCU synthesis imply energy export to heat integration, duplicated values represent year 2010/2050 system parameters. \*lower heating value (LHV) \*\*related to kg methanol input.

with a lifetime of 50 years. Thermal energy demand for a flue gas containing 20% CO<sub>2</sub> is around 3.13 GJ t<sup>-1</sup> CO<sub>2</sub> and the electricity demand is 54 MJ t<sup>-1</sup> CO<sub>2</sub> (Husebye et al., 2012). The degradation of MEA amounts to 2 kg t<sup>-1</sup> captured CO<sub>2</sub>. Mechanisms for the degradation of amine solutions have been studied intensively (Goff and Rochelle, 2004; Bello and Idem, 2005), while the monitoring of pilot plants operated in Mongstad, Norway gives detailed emission results from CO<sub>2</sub> capture with MEA (Morken et al., 2014, 2017).

#### **Alkaline Water Electrolysis**

Alkaline electrolysis (AE) is achieved in solutions of 20–30 wt% NaOH or KOH with nickel electrodes, separated by a diaphragm. Hydrogen is produced at the cathode, while oxygen is produced at the anode (Equation 1). Typical specifications are operating temperatures of  $60-80^{\circ}$ C, cell pressures <30 bar, current densities <0.45 A cm<sup>-2</sup>, cell voltages of 1.8–2.4 V and electrical efficiencies ranging from 62 to 82% (lower heating value, LHV) (David et al., 2019). In this study an efficiency of 67% is used.

$$2H_2O \rightarrow 2H_2 + O_2 \qquad \Delta H_R^0 = +285 \text{kJ/mol}$$
(1)

#### **Methanol Synthesis**

Methanol can be synthesized from  $CO_2$  by reduction with hydrogen over copper/zinc oxide catalysts on alumina at 250°C and 80 bar pressure (Equation 2) (Amenomiya, 1987; Chinchen et al., 1987). A commercial plant is operated by Carbon Recycling International in Iceland since 2011, with a total production volume of 4,000 t  $a^{-1}$  methanol (Olah, 2013).

$$CO_2 + 3H_2 \rightleftharpoons CH_3OH + H_2O$$
  $\Delta H^0_R = -50kJ/mol$  (2)

Methanol synthesis requires 1.2 MJ electric energy per kg methanol produced and releases 1.4 MJ thermal energy, which is utilized for  $CO_2$  capture energy demand. The production capacity is given with 627 Mt a<sup>-1</sup>, considering a lifetime of 50 years and 156 t of catalyst load per unit and year. Data from process simulations, performed by Meunier et al. (2020), are considered for the life cycle inventory (LCI). To produce 1,000 kg methanol, 1,441 kg  $CO_2$ , and 203 kg H<sub>2</sub> are needed, including an increased input feed through purge gas combustion, hence a total methanol yield of 93.4%.

#### Methanol-To-Olefins (MTO)

Ethylene and propylene can be synthesized from methanol in the MTO reaction over zeolite catalysts at 495°C (Equations 3–5) (Chang and Silvestri, 1977). A commercial MTO plant is operated in China, which produces 0.6 Mt of polyethylene and polypropylene from methanol, consuming 2.96 t methanol per ton of ethylene/propylene (Tian et al., 2015). The production capacity is around 2 Mt a<sup>-1</sup> with a lifetime of 50 years and a catalyst load of 45 t per year. Estimated electric energy demand is 1 MJ t<sup>-1</sup> methanol input, while 820 kJ t<sup>-1</sup> methanol excess thermal energy is provided for CO<sub>2</sub> capture.

 $2CH_3OH \Rightarrow CH_3OCH_3 + H_2O \qquad \Delta H_R^0 = -39kJ/mol$  (3)

 $CH_3OCH_3 \rightleftharpoons C_2H_4 + H_2O \qquad \Delta H_R^0 = -93kJ/mol$  (4)

$$CH_3OCH_3 + CH_3OH \rightleftharpoons C_3H_6 + 2H_2O \qquad \Delta H_R^0 = -129kJ/mol$$
 (5)

#### Methanol-To-Aromatics (MTA)

Aromatics like benzene, toluene, xylene (BTX) and many more can be synthesized from methanol at 400°C, analogous to the MTO reaction. Using modified, more acidic zeolite catalysts ensures a conversion to mainly aromatic compounds (Adebajo and Long, 2003). Technological parameters are identical to those used in the MTO process. The aromatic compounds are refined and purified to isolate benzene, toluene, xylenes, and higher aromatic compounds from the BTX mixture, requiring  $\sim$ 1.4 MJ kg<sup>-1</sup> electric energy. In current fossil-based processes, xylenes are extracted from raffinate or pygas as a mixture of oxylene (25%), *m*-xylene (40%), *p*-xylene (18%), and ethylbenzene (17%) (PlasticsEurope, 2013). The composition of xylenes in the MTA process differs from the fossil product mixture. However, due to the mass allocation in the MTA process module (compare chapter 2) there is no difference in the environmental impacts of various xylene mixtures<sup>3</sup> and these can be compared equally.

#### **Background System Optimization**

As part of the transformation to a largely greenhouse gas neutral economic system, which is aimed for by the German government by 2050, the environmental impacts of the processes under consideration are changing. Studies funded by the German Environment Agency (UBA) investigated the impacts on resource use and environmental impacts of this transition (UBA, 2014, 2019a,b). In these studies, it was assumed that until 2050 electricity generation will be successively switched to renewable sources, recycling rates in the production of iron, steel and other metals will increase, fossil raw materials and fuels in industry and transport will be replaced by those with a smaller carbon footprint. In order to estimate how the changes in this background system will affect the environmental impacts of the manufacture of the products under consideration, numerous processes in the models of life cycle assessment (LCA) and life cycle impact assessment (LCIA) have been taken into account for the calculations for the support year 2050. Data for the changes on the transformation path were taken from the study "Resource-Efficient Pathways to Greenhouse-Gas-Neutrality" (RESCUE). This process is described in detail in the SYSEET study (UBA, 2020). In particular, the following processes were adapted:

- Electricity generation with 100% renewable energies (incl. PtG (power-to-gas) with conversion into electricity),
- Steel production (increasing recycling rates, conversion to hydrogen as a reducing agent in the DRI (direct reduced iron) process),
- Cement production (firing with methane from PtG production, reduction of the clinker factor, novel binders)
- Aluminum and copper production (increasing recycling rates, conversion to inert anodes).

The adapted processes were placed inside the model, where aggregated datasets (e.g., organic factory construction or the

construction parameters for an electrolysis cell-stack) were subdivided in their respective construction material components and replaced with the optimized construction materials. The LCI datasets for the respective construction materials can be found in the **Supplementary Material**.

### LCIA RESULTS AND DISCUSSION

For every process, electricity from offshore wind turbines and hydrogen from alkaline electrolysis is used, while  $CO_2$ is provided either by DAC or by amine scrubbing from a concentrated point-source (PS). Hydrogen and  $CO_2$  are then converted to the respective chemicals: methanol, ethylene and propylene (olefins), benzene, toluene and mixed xylenes (BTX). Two scenarios are provided for the production of aluminum, copper, steel and concrete as building materials today (2010) and projected for the year 2050. The products from both, CCU synthesis in 2010 and 2050, are compared to the respective fossil-based reference products with today's technology level; detailed results for environmental impacts of the reference systems are given in **Table 4** (ecoinvent, 2007; PlasticsEurope, 2012, 2013).

#### **Reading Example**

An example on how to understand GWI results is provided in Figure 2. Comprising a larger amount of single values, the following contributions can be found: Uptake (Up) of CO<sub>2</sub> entering the system, which is accounted as "negative" emissions due to the 100:0 allocation approach; process emissions (Em) within the system, subdivided in single process steps; stoichiometrically calculated end-of-life (EoL) emissions after combustion of the product and release of stored CO<sub>2</sub>; summarized value (Sum) of all contributions representing a C2G + EoL system. Because the values for the "Uptake," "CO2 Loss," and "EoL" emission always add up to zero, these values are excluded from following result figures. For simplification and better readability, only process emissions (Em) and summarized values (Sum) will be shown and discussed in the following chapter. For other impact categories, only process emission contributions are shown, as uptake and EoL are not considered.

Furthermore, figure keys showing process emissions (Em) comprise the following processes:

- Auxiliaries: Auxiliary material production (e.g., carbon black, ethylene glycol), including required energy consumption and energy infrastructure with upstream processes.
- CCU Electricity: Production of electric energy for organic chemical synthesis and electric heat generation, including energy infrastructure and upstream processes.
- CCU Infrastructure: Organic chemical plant infrastructure and upstream processes for all building materials.
- CO<sub>2</sub> Electricity: Production of electric energy for CO<sub>2</sub> separation processes and electric heat generation, including energy infrastructure and upstream processes.

<sup>&</sup>lt;sup>3</sup>Mass allocation means, that a functional unit (1 kg) of respective products receive the same environmental burdens. Therefore, there is no difference in the environmental impact of a product mixture of e.g., *meta-* and *para-*xylene consisting of either 1:3 or 3:1 parts of the respective single products.

|--|

| Impact Category   | Methanol | Olefins | Benzene | Toluene | Xylene | BTX (mean) | Unit/FU                 |
|-------------------|----------|---------|---------|---------|--------|------------|-------------------------|
| GWI               | 627      | 1,448   | 1,868   | 1,225   | 796    | 1,296      | kg CO <sub>2</sub> -eq  |
| GWI + EoL         | 2,002    | 4,591   | 5,253   | 4,573   | 4,117  | 4,648      | kg CO <sub>2</sub> -eq  |
| CED               | 31       | 67      | 74      | 61      | 54     | 63         | GJ                      |
| AP                | 1.97     | 3.52    | 6.12    | 4.75    | 3.23   | 4.70       | kg SO <sub>2</sub> -eq  |
| EP                | 0.52     | 1.08    | 1.26    | 1.06    | 0.91   | 1.08       | kg PO <sub>4</sub> -eq  |
| ODP               | 0.40     | 0.31    | 0.57    | 0.45    | 0.30   | 0.44       | g CFC-11-eq             |
| PM <sub>2.5</sub> | 1,639    | 3,324   | 5,098   | 3,988   | 2,848  | 3,978      | g PM <sub>2.5</sub> -eq |
|                   |          |         |         |         |        |            |                         |

Methanol is produced from syngas, olefins from steam cracking and BTX from catalytic reforming of naphtha. For BTX (mean) the arithmetic mean value of benzene, toluene and xylene in a 1:1:1 ratio is used.



system; stoichiometrically calculated end-of-life (EoL)  $CO_2$  emissions after combustion of the product; summarized value (Sum) of all contributions, representing C2G + EoL. For simplification, only process emissions (Em) and summarized values (Sum) will be shown, as "Uptake," "CO<sub>2</sub> Loss," and "EoL" always add up to zero.

- CO<sub>2</sub> Infrastructure: DAC plant infrastructure or amine scrubbing facilities and auxiliary materials, including upstream processes for all building materials.
- H<sub>2</sub> Electricity: Production of electric energy for H<sub>2</sub> production processes, including energy infrastructure and upstream processes.

- H<sub>2</sub> Infrastructure: Alkaline electrolysis infrastructure and upstream processes for all building materials.
- CO<sub>2</sub> Loss: Direct CO<sub>2</sub> emissions from purge-gas combustion in organic chemical synthesis.
- Reference: Reference value of the respective fossil process, according to **Table 4**.

#### **Global Warming Impact (GWI)**

Producing large volume organic chemicals from CCU processes will result in lower CO2 emissions compared to fossil reference processes (Figure 3). The GWI value is 50-540 kg CO<sub>2</sub>-eq/FU, compared to the respective fossil reference with 2002-4648 kg CO2-eq/FU. Therefore, GWI for chemical production can be decreased by 88-97% for products from CCU synthesis. Emissions in DAC scenarios mainly result from DAC plant construction, infrastructure for offshore wind electricity production for water electrolysis and the construction of chemical plants, while emissions in PS scenarios are lower, because of lower energy demand from amine scrubbing facilities. In the future scenario (2050), the overall GWI is more than 50% lower compared to the current production (2010). These optimized processes account for a great GHG reduction potential in applying CCU technologies. Nevertheless, direct CO<sub>2</sub> emissions from purge gas combustion would account for a considerable part of the process emissions, which should be considered in a holistic approach. The share of direct  $CO_2$  emissions is in the range of 26–68% of the total emissions, however, does not account for the summarized GWI value due to the allocation method and previous uptake of CO2 (see chapter 2).

Considering the 0:100 allocation approach for  $CO_2$  pointsources, the system receives no credit for the uptake of  $CO_2$ . Therefore, emissions at EoL and emissions from purge gas combustion are not amortized and need to be allocated to the product. This results in lower GWI reduction as shown in **Figure 4**. The GHG reduction potential of chemicals produced with  $CO_2$  from PS in the optimized system (2050) is only 21– 26% compared to 88–97% in the 100:0 allocation system. The largest contribution is caused by EoL emissions, accounting for more than 90% of the total process emissions, while  $CO_2$  from purge gas combustion will account for 4–7% of the emissions.



(DAC) and point-source (PS) scenarios with the current resource fabrication (2010) and an optimized, projected resource fabrication for the year 2050. Fossil reference values are out of scale and added separately, showing the large GHG reduction potential.

# **Cumulated Energy Demand (CED)**

The production of basic chemicals from CCU processes will lead to an increased CED, compared to fossil-based products (**Figure 5A**). CED values are ranging from 39 to 140 GJ/FU, this is an increase by 22–110%. The main energy demand results from electricity production for water electrolysis and heat supply for DAC. Integration of reaction heat can provide 12–25% (DAC) and 31–62% (PS) of the required heat demand for separating CO<sub>2</sub> for CCU chemical production.

# Acidification Potential (AP)

Impacts in the AP are caused by chemical plant and DAC infrastructure production, water electrolysis, and electricity production. While CCU products in DAC scenarios with today's base material production show higher AP values than reference products, DAC and PS scenarios for the year 2050 lie below the reference values. Emissions from methanol production can be decreased by 27–36%, olefins by 12% and BTX by 2 or 36% for  $CO_2$  from PS and DAC, respectively (see **Supplementary Material**).

### **Eutrophication Potential (EP)**

Eutrophication would increase through higher resource demand and emissions of nitrogen-based flue gases, mainly caused by chemical plant production and catalyst production. These emissions can be cut in half using optimized production routes of aluminum, copper, steel, and concrete. But even then, EP values of methanol, olefins and BTX from CCU production will remain on the same level as fossil reference emissions in the year 2050 scenario (**Figure 5B**).

### **Ozone Depletion Potential (ODP)**

ODP would mainly be caused by the construction of DAC plants. A contribution analysis showed that the impact results from the production of anionic resin for ion exchange, used as a proxy for  $CO_2$  adsorbent materials, which causes high amounts of atmospheric tetrachloromethane (R-10) emissions. The emission of R-10, however, results from the production of trichloromethane (chloroform), which is used as a solvent to produce anionic resins. For the production of chloroform, a 0.1% emission of R-10 is assumed in the ecoinvent dataset, leading to high emissions and high ODP impacts (ecoinvent, 2007).



Therefore, the ODP value increases by 158–732% compared to the fossil references (see **Supplementary Material**). However, the uncertainty of these values seems high, as we cannot verify the R-10 content in chloroform or the airborne emissions resulting from their application in solvent use and disposal. An uncertainty analysis using other module proxies was not performed.

### Particulate Matter Formation (PM<sub>2.5</sub>)

The distribution of particulate matter emission sources is similar to these of acidic compounds. While CCU products produced with CO<sub>2</sub> from PS amine scrubbing generally show lower values than reference products, DAC gains an advantage in the year 2050 system. Emissions reduction is 39–51% for methanol, 25–40% for olefins, and 38–51% for BTX products in 2050 (see **Supplementary Material**).

### SENSITIVITY ANALYSIS

A sensitivity analysis was performed by changing several foreground system parameters and investigating their impacts on LCIA results. Therefore, two scenarios were created varying parameters of the previously identified processes which contribute to the environmental impacts in the DAC production pathways. These parameters are the energy and heat demand for water electrolysis and direct air capture as well as the lifetime of the production units (**Table 5**). While the lifetime of the production units was increased or decreased by 5 years for the highly developed and low developed system, respectively, heat and energy demand for the year 2010 and 2050 systems were interchanged to model intermediate system development.



Sensitivities for energy supply and allocation including oxygen from AEL have been demonstrated in Rosental (2020).

Results for the sensitivity analysis for GWI are shown in **Figure 6**, detailed results for other impact categories can be found in the **Supplementary Material**. The variation of the GWI values is 13–25% for methanol, 8–20% for olefins, and 11–25% for BTX for the 2010 and 2050 system, respectively. The increased and decreased lifetime for CO<sub>2</sub> infrastructure is contributing to the biggest changes, while variation of

TABLE 5 | System parameters for the sensitivity analysis.

| Parameter |                                     | 2010 Low | 2010 High | 2050 Low | 2050 High |
|-----------|-------------------------------------|----------|-----------|----------|-----------|
| DAC       | El. Energy (kJ/kg CO <sub>2</sub> ) | 2,520    | 1,440     | 2,520    | 1,440     |
|           | Heat (kJ/kg CO <sub>2</sub> )       | 7,920    | 5,760     | 7,920    | 5,760     |
|           | Lifetime (a)                        | 12       | 17        | 7        | 12        |
| AEL       | El. Energy (kJ/kg H <sub>2</sub> )  | 177,433  | 150,000   | 177,433  | 150,000   |
|           | Lifetime (a)                        | 20       | 25        | 15       | 20        |
|           |                                     |          |           |          |           |

Base case scenarios with the low developed 2010 system and highly developed 2050 system were not changed, intermediate scenarios with a highly developed 2010 system and low developed 2050 system were added.



heat and energy demand is only causing minor changes. The adaption of background changes in the 2010 and 2050 systems remains for the most important emission reduction potential. Similar results are obtained for every impact category besides the cumulated energy demand. For CED, the variation of foreground parameters results in almost identical CED values as the background system construction material adaption. This is indicating, that the decreasing energy demand for  $CO_2$  and  $H_2$  production is main driver of a lower CED. While the influence on GWI in the sensitivity analysis is low because of the application of low carbon energy from offshore wind turbines to the system, the impact on the CED is not affected by this choice.

#### NORMALIZATION

Different supply paths lead to increasing or decreasing impacts in respective impact categories compared to the fossil reference.

| TABLE 6   Total environmental impacts in Germany in 2016 (left) and production |
|--|
| volumes of basic chemicals in 2016 (right) (UBA, 2018; VCI, 2018).             |

| Impact Category   | Amount      | Unit | Chemical  | Production Volume (t) |
|-------------------|-------------|------|-----------|-----------------------|
| GWI               | 909,394,000 | t    | Methanol  | 1,043,776             |
| CED               | 13,425,000  | ΤJ   | Ethylene  | 5,155,731             |
| AP                | 2,653,440   | t    | Propylene | 4,010,354             |
| EP                | 702,708     | t    | Benzene   | 1,887,665             |
| ODP               | 2,691       | t    | Toluene   | 593,775               |
| PM <sub>2.5</sub> | 1,903,524   | t    | Xylene    | 526,394               |

In order to contextualize the potential environmental impacts of CCU based basic chemical production, results of the LCIA are normalized. The additional burdens and reliefs in the respective impact categories (the difference between CCU and fossil production) is set in relation to the current environmental impacts in Germany (**Table 6**). For this purpose, we assume the entire production of these chemicals in Germany would be changed from fossil to CCU processes; in this way the hypothetical burdens and reliefs compared to the current reference year 2016 can be calculated (**Figure 7**).

Changing chemical production to CCU based feedstock, would contribute to an overall GWI reduction for Germany of 5.8–6.2% for  $CO_2$  from DAC and 6.0–6.3% for  $CO_2$  from point-sources. These reductions of GHG emissions are linked to an increase in the cumulated energy demand (CED) of 4.2-6.9% for DAC. The CED increase by using CO2 from existing point-sources would account for 2.6-4.1% of the current energy demand and is mainly caused by the additional energy requirement for water electrolysis. Reductions in the acidification potential (AP) of 0.2-0.4% could be achieved in the year 2050 scenario, while emissions would increase by 0.3-0.7% with today's production system. An increase in the eutrophication potential (EP) from 1.9 to 2.7% in the current system (2010) could also be compensated through an optimized base materials production. As the production of adsorbent resin for DAC causes high emissions of tetrachloromethane (R-10), the production of CCU chemicals from DAC CO2 would lead to a 1% increase of the ODP<sup>4</sup>, while CO<sub>2</sub> from PS would achieve a minimal benefit. Emissions of particulate matters (PM2.5) could be decreased by 0.8% (DAC) and 1.1% (PS) in 2050.

### CONCLUSION

Implementing the investigated CCU technologies in Germany, would reduce GHG emissions by 5.8–6.3%, replacing chemical products from fossil sources like oil, gas, and coal. While further benefits could be achieved in impact categories like acidification potential (AP) and particulate matter ( $PM_{2.5}$ ) emissions, negative effects are possible by eutrophicating substances (EP) and ozone depleting compounds (ODP) in direct air capture (DAC) scenarios. An increase in the cumulated energy demand (CED) by 2–7% is the consequence of higher energy requirements

 $<sup>^4\</sup>mathrm{Note}$  that this value is uncertain due to the module proxy for the adsorbent (compare chapter 4, ODP).



for water electrolysis and supply of heat for the desorption of captured CO<sub>2</sub>. We identify the optimization of resource extraction, production and recycling of aluminum, copper, steel and concrete according to the UBA "RESCUE" study as main objective to reduce emissions in all impact categories besides CED. Reduction of CED can be achieved by minimizing overall energy and heat demand for CO<sub>2</sub> and H<sub>2</sub> production. CCU technologies require low carbon energy, e.g., offshore wind energy, to avoid indirect CO2 emissions. CCU will only provide a short-term storage of carbon in chemical products, CO<sub>2</sub> from DAC and non-fossil PS is required for chemical synthesis. Using CO<sub>2</sub> from fossil industrial point-sources, as shown in the 0:100 allocation approach, will only account for a 21-26% reduction of GWI, compared to the respective fossil process. Furthermore, direct emissions from purge gas combustion of CCU synthesis will remain and account for 26-68% of the total GHG emissions of CCU processes. Additional energy efforts and material requirements have to be considered to capture CO<sub>2</sub> which will not remain in the final product. The production of methanol, ethylene, propylene, benzene, toluene, and xylene from renewable resources is possible with present technologies, but should be applied only when the necessary conditions are fulfilled. This means broad access to low-carbon energy, electric heating and heat integration, highly optimized resource extraction and recycling, as well as using existing infrastructure and on-site capacities to minimize transport distances for CO<sub>2</sub> and hydrogen. In a long-term scenario, CCU technologies may be considered for a fossil free chemical industry, based on renewable resources and energies.

### DATA AVAILABILITY STATEMENT

The datasets presented in this article are not readily available because parts of these data are subject to NDAs. All other data

will be provided by the authors. Requests to access the datasets should be directed to marian.rosental@ifeu.de.

# **AUTHOR CONTRIBUTIONS**

MR carried out modeling of chemical processes and performed the life cycle assessment, and impact analysis. TF and AL were responsible for modeling electrolysis, carbon capture, electricity, and background units. MR wrote and all authors revised and improved the manuscript.

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### SUPPLEMENTARY MATERIAL

The Supplementary Material for this article can be found online at: https://www.frontiersin.org/articles/10.3389/fclim. 2020.586199/full#supplementary-material

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**Conflict of Interest:** The authors declare that the research was conducted in the absence of any commercial or financial relationships that could be construed as a potential conflict of interest.

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