



A Physical Model of Sill Expansion to Explain the Dynamics of Unrest at Calderas with Application to Campi Flegrei

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Many calderas show remarkable unrest, which often does not culminate in eruptions (non-eruptive unrest). In this context the interpretation of the geophysical data collected by the monitoring networks is difficult. When the unrest is eruptive, a vent opening process occurs, which leads to an eruption. In calderas, vent locations typically are scattered over a large area and monogenic cones form. The resulting pattern is characterized by a wide dispersion of eruptive vents, therefore, the location of the future vent is not easily predictable. We propose an interpretation of the deformation associated to unrest and vent pattern commonly observed at calderas, based on a physical model that simulates the intrusion and the expansion of a sill. The model can explain both the uplift and any subsequent subsidence through a single process. Considering that the stress mainly controls the vent opening process, we try to gain insight on the vent opening in calderas through the study of the stress field produced by the intrusion of an expanding sill. We find that the tensile stress in the rock above the sill is concentrated at the sill edge in a ring-shaped area with radius depending on the physical properties of magma and rock, the feeding rate and the magma cooling rate. This stress field is consistent with widely dispersed eruptive vents and monogenic cone formation, which are often observed in the calderas. However, considering the mechanical properties of the elastic plate and the rheology of magma, we show that remarkable deformations may be associated with low values of stress in the rock at the top of the intrusion, thereby resulting in non-eruptive unrest. Moreover, we have found that, under the assumption of isothermal conditions, the stress values decrease over time during the intrusion process. This result may explain why the long-term unrest, in general, do not culminate in an eruption. The proposed approach concerns a general process and is applicable to many calderas. In particular, we simulate the vertical displacement as occurred in the centre of Campi Flegrei caldera during the last decades, and we obtain good agreement with the data of a leveling benchmark near the center of the caldera.

Keywords: sill intrusion, caldera dynamics, unrest at calderas, volcanic process modeling, magma fluid dynamics, magmatic reservoir evolution

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1. INTRODUCTION

Calderas typically show strong ground deformation, with uplift episodes followed by subsidence, remarkable geothermal activity and seismicity mainly characterized by earthquake swarms. In volcanic environments the acceleration of ground deformation, degassing and seismicity, are generally interpreted as precursors of an eruption (Swanson et al., 1983; Voight, 1988; Voight and Cornelius, 1991; Newhall and Hoblitt, 2002; Marzocchi et al., 2008; Bell et al., 2011). However, in calderas the acceleration of these phenomena (ground deformation, degassing and seismicity) does not necessarily culminate in an eruption (Newhall and Dzurisin, 1988; Biggs et al., 2014; Acocella et al., 2015). Examples are the non-eruptive unrest at Campi Flegrei caldera (Italy) during 1968-1970 and 1982-1984 (Del Gaudio et al., 2010; D'Auria et al., 2011), Long Valley (California) during 1978-2000 (Hill, 2006), Rabaul (Papua New Guinea) in 1984 (McKee et al., 1984), Sierra Negra (Galápagos Islands, Ecuador) in 1996 (Chadwick et al., 2006), Yellowstone (USA) between 2004 and 2010 (Chang et al., 2010), Santorini (Greece) in 2011-2012 (Newman et al., 2012; Lagios et al., 2013), which were accompanied by significant variations in the monitoring parameters but were not followed by an eruption (Segall, 2013; Acocella et al., 2015). In particular, in the last decades, Campi Flegrei has shown a total uplift of more than 3 m (Bianchi et al., 1987), followed by subsidence (Del Gaudio et al., 2010), as shown in Figure 1. This behavior stimulated a long debate if the deformation is due to hydrothermal or magma migration (see e.g., Lundgren et al., 2001; Gottsmann et al., 2006; Trasatti et al., 2008).

Recently, new technology allowed an improvement in volcano monitoring and produced valuable data set of observations and geophysical measurements. This improvement of volcano monitoring allowed us to increase our knowledge upon the calderas behavior (Newhall and Dzurisin, 1988; Geyer and Martí, 2008; Sobradelo et al., 2010; Acocella et al., 2015). In particular, Acocella et al. (2015), in a review article, conclude that "where established, the root cause for unrest is always magmatic; while external earthquakes or hydrothermal processes may definitely enhance unrest, no unrest was of purely hydrothermal or tectonic origin; magma always appears as the crucial ingredient, though in most cases, magma may also induce changes in the hydrothermal system, supplying this with fluids and energy."

Moreover, in many cases, the structure of the shallow plumbing system and the dynamic processes in calderas are consistent with the emplacement of sill-like magma intrusions (Okada, 1985; Amoruso et al., 2007; Onizawa et al., 2007; Jónsson, 2009; Chang et al., 2010; Woo and Kilburn, 2010; Chadwick et al., 2011; Maccaferri et al., 2011; Menand, 2011; Unglert et al., 2011; Bagnardi and Amelung, 2012; Manconi and Casu, 2012; Bagnardi et al., 2013; Corbi et al., 2015; D'Auria et al., 2015a; Richardson et al., 2015; Rivalta et al., 2015; Trasatti et al., 2015; Le Mével et al., 2016). Usually the intrusion of a sill is modeled as a pressure increase in a horizontal crack (e.g., Fialko et al., 2001; Woo and Kilburn, 2010) which causes deformations and ground uplift. Once the intrusion ends, the ground uplift should be permanent, but in calderas subsidence is often observed which is usually explained through other processes such as regional extension (Dvorak and Mastrolorenzo, 1991), regional earthquakes (Pritchard et al., 2013; Takada and Fukushima, 2013), magma degassing (Chiodini et al., 2003; Pritchard et al., 2013) or the compaction of porous material caused by a pressure drop within the hydrothermal system (Todesco et al., 2014). We have adopted an alternative model which is able to explain both uplift and subsidence in the framework of a single process of intrusion and spreading of a sill. The model is basically governed by the feeding rate, the rheology of magma and the mechanical properties of the rock above the intrusion (Bunger and Cruden, 2011; Michaut, 2011; Macedonio et al., 2014; Giudicepietro et al., 2016), However, we do not exclude that magma degassing can contribute to the deformation processes, especially in felsic calderas.

2. OVERVIEW OF THE MODEL

We assume that the sill intrudes at a certain depth beneath the caldera along a horizontal mechanical discontinuity, such as a bedding plane or a contact between two different stratigraphic units. Magma rises from a deeper zone and enters the sill through a feeding point with a given mass flow rate. The sill expands radially and its front moves away from the feeding point (see Figure 2). The radial expansion of the sill is caused by the radial gradient of magma pressure due to the bending of the rocks at the top of the intrusion and to the radial variation of the thickness of the sill (Bunger and Cruden, 2011; Michaut, 2011; Macedonio et al., 2014). The joint effect of these two components is obtained through the numerical solution of the equation for the elastic plate, coupled with equations for the dynamics of magma flow (Bunger and Cruden, 2011; Michaut, 2011; Macedonio et al., 2014). This can be expressed by the following equation which describes the radial expansion of the sill (Macedonio et al., 2014):

$$\frac{\partial(\rho_m h)}{\partial t} = \frac{1}{r} \frac{\partial}{\partial r} \left[\frac{\rho_m h^3 r}{12\mu} \left(g \frac{\partial(\rho_m h)}{\partial r} + D \frac{\partial(\nabla^4 h)}{\partial r} \right) \right] + q(r, t)$$
(1)

where *h* is the thickness of the sill, ρ_m is magma density, μ is the viscosity, *q* is the injection rate at the center of the sill, *r* is the radial coordinate and *t* is time. The term proportional to the gravity constant *g* accounts for the spreading due to the radial gradient of the thickness of the sill, whereas the term proportional to the flexural rigidity of the rock *D* accounts for the pressure gradient generated by the elastic deformation of the overlying rock. The flexural rigidity of the rock *D* is defined as:

$$D = \frac{d^3 E}{12(1-\nu^2)}$$
(2)

where d, E, and v are the thickness, the Young's modulus and the Poisson's ratio of the rock, respectively.

In this model we do not include the effects of rock fracturing in the plate above the sill and we neglect the elasticity of the bedrock below the sill. Moreover, the model does not take into account



the cooling of magma, so it must be considered as a limiting case with negligible cooling. When cooling is not negligible we expect a conductive cooling of magma occurring at the contact with the wall rocks. In these conditions the temperature is not constant and the viscosity varies with the temperature. The effect of magma cooling increases the viscosity over time, especially at the front of the sill, and thus hinders the lateral spreading of the intrusion and increases the thickness of the sill in the central part. This effect may be important to confine the expansion of the sill and in general of intrusive bodies, as shown for the laccolithes by Thorey and Michaut (2014, 2016). To conceptually assess the effect of cooling, we have implemented the possibility of a variable temperature, which decreases linearly with the distance from the feeding point. Table 1 shows the parameters necessary for our model and the values that we used for the simulation of a reference case (REF). These parameters are typical for calderas and are the same as used by Macedonio et al. (2014). Among the parameters, a major role is played by magma viscosity and rock Young's modulus. As shown by Giudicepietro et al. (2016), high magma viscosity produces larger stress values in the rock, while low viscosity leads to lower stresses and favors the radial spreading of the sill. High-rock Young's modulus gives high stress intensity, whereas low values of Young's modulus produce a reduction of the stress associated with the intrusive process (Giudicepietro et al., 2016). In this work we choose a Young's modulus of 10⁹ Pa for the reference case (REF) and we increased it by factor of 10 for the mafic calderas and decrease it by a factor of 100 for the felsic calderas. Correspondingly, we used a typical magma viscosity of 1,000 Pa s for the reference case, 100 Pas for the low silicic (mafic) magma, and 10,000 Pas for the high silicic (felsic) magma (see Table 1). Moreover, to account for smooth variations of magma injection rate, instead of considering a box-like magma input (Macedonio et al., 2014), we considered an input characterized by a linear ramp, a steady state, and a linear decrease of the mass flow rate (see Figure 3).



TABLE 1 | Input parameters used for the simulation of the reference case (REF).

Parameter	Value	Unit
Magma density	2,500	kg/m ³
Magma viscosity	1,000	Pa s
Rock thickness	3,000	m
Rock density	2,500	kg/m ³
Rock Young's modulus	10 ⁹	Pa
Rock Poisson's ratio	0.25	_
Injection flow rate	1	m ³ /s

3. BEHAVIOR PREDICTED BY THE MODEL

The model of spreading sill predicts that during the magma intrusion the elastic plate above the sill bends, producing a ground uplift (*h* in Figure 2), which has its maximum value at the center of the sill and decreases toward the sill front (Figure 2). Figure 3 shows the uplift in the center of the sill and the mass flow rate over time, used for the simulation. The mass flow rate is 2,500 kg/s (once reached its maximum value). The other parameters are reported in Table 1. Figure 3 shows that when magma feeding decreases, also the uplift velocity decreases too, until the uplift stops and a subsidence begins. In Figure 3 we can also note that when the feeding rate is constant, the uplift velocity (slope of the blue line) slightly decreases over time. This trend can be seen more clearly in Figure 4 that shows the result of a simulation with a longer period of magma feeding and different mass flow rate history. In case of low flexural rigidity of the elastic plate, the model predicts that, even if we assume a constant feeding rate of the sill over the entire duration of the numerical experiment (e.g., 10 years), the subsidence may appear anyway, as shown by Macedonio et al. (2014). If we simulate two magmatic input with the same mass flow rate, as shown in Figure 5, can see that second uplift is smaller than the first one. In Figure 6 two minor inputs of the same size follow a main magmatic input. Under this condition the minor inputs produce a small uplift that in real cases could be close to the detection limit of standard monitoring systems. The reason why the uplift is gradually smaller in the repeated magmatic input is that the radius of the sill increases over time and each new magmatic input produces a smaller bending of the elastic







plate in the central part of the sill. The results of simulations described above are similar to trends observed in calderas. For example, the dynamics provided by the model can mimic the evolution of vertical deformation in the central area of Campi Flegrei, with a good approximation (Figure 7), using appropriate magmatic inputs. At the front of the sill the vertical displacement tends to zero. When the front of the sill moves radially its propagation generates the bending of new undeformed portions of the elastic plate, which causes a transient tilt of the surface and a peak of stress in the elastic plate. Figure 8 shows the vertical and radial deformations and the stress evolution at different times of the intrusion. Figures 9, 10 show the distribution of the radial (σ_{rr}) and tangential $(\sigma_{\theta\theta})$ stresses associated to the deformation of the elastic plate (the other components of the stress associated to the intrusion of the sill, such as $\sigma_{r\theta}$ are null).

Looking at the bottom of the plate, we notice that the stress is compressive at the center and tensile at the front of the sill. At the beginning of the intrusion, the stress in the elastic plate is relatively high and it is concentrated in the central part and at the front of the sill, where the plate bends. In a general case, after a given time (e.g., 103 days for the REF case) the stress starts to decrease over time, especially in the central part where the bending becomes smaller. This behavior changes if we consider a variable magma viscosity, as we will show later.

4. ROLE OF MAGMA AND ROCK PROPERTIES

The spreading sill model shows that magma viscosity and the flexural rigidity of the rocks above the sill play an important



FIGURE 5 | Simulation of two magma inputs. The blue line is the uplift (left axis) over time of the maximum uplift point (center of the sill). The red line represents the mass flow rate over time (right axis).



role in modulating the ground deformation and the stress in the rock (Giudicepietro et al., 2016). The internal stress in the plate above the sill is an important factor because it may cause the rock failure and the consequent opening of eruptive vents. However, the stress in deep rocks cannot be easily measured, therefore it is usually unknown. We can only investigate the stress conditions through geophysical methods, e.g., we can retrieve the orientation of the stress tensor through the inversion of focal mechanisms of earthquakes (D'Auria et al., 2015a; Massa et al., 2016). Conversely, the ground deformation, that is considered as an indicator of stress in the rock, can be measured quite easily through various techniques. However its relationship with the stress depends on the mechanical properties of the rock body that forms the roof of the intrusion, which are often unknown. To investigate this aspect, we have carried out numerical experiments varying the rock Young's modulus and the magma viscosity to simulate the behavior of felsic and mafic calderas, following the traditional classification (Newhall and Dzurisin, 1988), also adopted by Acocella et al. (2015). It is known that the felsic calderas are usually characterized by magma with high viscosity and rocks with poorer mechanical characteristics (e.g., tuff or other pyroclastic rocks). Conversely, mafic calderas are characterized by low viscosity magma and rocks predominantly formed by lava flows, with stronger mechanical characteristics. So, following Giudicepietro et al. (2016), we perform two simulations characterized by higher magma viscosity and lower rock Young's modulus representative of the felsic calderas (Felsic) and lower magma viscosity and higher rock Young's modulus representative of the mafic calderas (Mafic). We use the same mass flow rate history of the reference case (REF) that is shown in Figure 3. The values of magma viscosity and rock Young's modulus are reported in Table 2. The results of these



ground uplift obtained from a simulation (blue line), using the parameters in **Table 1**. The dark blue line is the mass flow rate in kg/s (right axis). The two light blue rectangles indicate the two major uplifts.



FIGURE 8 | The sill thickness and stress at the bottom of the elastic plate obtained in the REF case simulation (**Table 1**; **Figure 3**). On the horizontal axis, the distance from the point of magma injection (km). The uplift (blue line) and the radial displacement (green line) at four different times (103 days, 1 year, 2 years, and 3 years) are shown (meters; left axis). The red lines represent the radial stress (MPa, right axis) at the base of the elastic plate (negative values for compression and positive values for traction).

two simulations are compared with the REF case in **Figure 11**. This figure shows that under "Felsic" conditions we obtain stronger vertical deformation and lower values of stress in the rock above the sill. On the contrary, we obtain smaller vertical deformation and a larger value of stress for "Mafic" conditions.

5. EFFECT OF MAGMA COOLING

The cooling of magma during the intrusion of a sill is a complex process that depends on several variables such as the injection rate, the magma flow velocity, the duration of the intrusion, the thickness of the sill, the thermal conductivity of magma and rock and the temperature of the crustal environment. In order to obtain clues about the effect of cooling, that may occur during the intrusion of a sill, we consider a simulation with the same input parameters of the reference case (Table 1), in which the viscosity varies with magma temperature. We simplify the magma cooling process assuming a linear variation of the magma temperature with the distance between the sill front and the injection point. Moreover we assume that the magma temperature does not vary along the vertical direction. To estimate the viscosity variation with temperature, we use experimental data from Misiti et al. (2011), which refer to a latitic magma erupted at Campi Flegrei (Fondo Riccio eruption, about 9 ka B.P.). As a test we have arbitrarily chosen a radial temperature decrease of 33 K/km. The initial temperature is 1,230 K that corresponds to a viscosity of 1,000 Pas, the same as the REF case. Figure 12, shows the temperature and viscosity variations with distance, assuming a water content of 3 wt% (that is consistent with the measurements of Cannatelli et al., 2007). In Figure 13 we can see that the numerical experiment with variable viscosity confines the deformation within a given radius beyond which there is almost no magma flow. In our experiment this radius is about 5.5 km, which is the order of magnitude of the radius of Campi Flegrei caldera. The effect of magma cooling produces a greater uplift of the central part of the elastic plate and highest stress values.

6. IMPLICATION OF SILL INTRUSION FOR GEOTHERMAL ACTIVITY

The sill spreading model is consistent with the development of a large geothermal system. Repeated intrusions of sills at shallow depth can provide a significant amount of heat and produce a large surface that can account for efficient heat transfer into the overlying rocks. Part of the heat can be transported by the gas that exsolves from magma and enters into the rock above the magma body. In general, when magma rises from a deeper source toward the surface, its pressure decreases and gas are released. Macedonio et al. (2014) estimated that, considering a magma of Campi Flegrei (Di Matteo et al., 2004), when 1 wt% of gas contained in magma exsolves, passing from 8 to 3 km of depth, the whole magma is subjected to an increase in volume of about 20%. In these conditions, the gas can accumulate at the top of the sill and may form a cap. If the rocks above the sill have a certain degree of permeability with respect to gas, the accumulation of gas at the top of the sill is temporary. In a given time, which depends on gas pressure and rock permeability, the gas can flow into the overlying rock following preferential pathways, such as fractured zones and pre-existing conduits, or through the porous medium. This degassing mode can increase the subsidence at the end of the intrusion, as it gradually decreases the volume in the



FIGURE 9 | The distribution of the radial stress (σ_{rr}) in the elastic plate at four different times (103 days, 1 year, 2 years, and 3 years). Red indicates traction and green indicates compression.



FIGURE 10 | The distribution of the tangential stress ($\sigma_{\theta\theta}$) in the elastic plate at four different times (103 days, 1 year, 2 years, and 3 years). Red indicates traction and green indicates compression.

region where the sill emplaced, and can power the geothermal system by supplying hot fluids (Chiodini et al., 2016). This mechanism is consistent with the dynamics of semi-plugged felsic calderas (Acocella et al., 2015), which are typically restless calderas with repeated non-eruptive unrest (e.g., Campi Flegrei).

7. DISCUSSION

Our numerical experiments, based on the sill model proposed by Macedonio et al. (2014), show that the dynamics of unrest at calderas is consistent with the intrusion of sills at shallow depth. The proposed model can explain the subsidence that follows the uplift crises observed at calderas. TABLE 2 | Input parameters used for the simulations shown in Figure 11.

	REF	MAFIC	FELSIC	
Rock Young's modulus	10 ⁹	10 ¹⁰	10 ⁷	Pa
Magma viscosity	1,000	100	10 ⁴	Pa s
Magma density	2,500	2,500	2,500	kg/m ³
Rock thickness	3,000	3,000	3,000	m
Rock density	2,500	2,500	2,500	kg/m ³
Rock Poisson's ratio	0.25	0.25	0.25	-
Mass flow rate	2500	2500	2500	kg/s

Considerations on the solubility of gas into magma, based on experimental studies (e.g., Di Matteo et al., 2004), suggest





the possible separation of a gas phase inside the sill, therefore the emplacement of sills at shallow depth below the calderas is consistent with the formation of large geothermal systems, especially in semiplugged calderas (Acocella et al., 2015), where gas can gradually pass in overlying rocks, bringing with it considerable amount of heat. Repeated magmatic intrusions may induce changes in the hydrothermal system, supplying this with fluids and energy, which may affect deformation, seismicity as well as the geochemical parameters (Acocella et al., 2015). However, the relationship between the sill intrusion and the hydrothermal system is not included in the present model.

The sill intrusion model can mimic the deformation history at the center of Campi Flegrei over the last decades, as shown in **Figure 7**. The model was previously used to interpret GPS and InSAR data collected during the 2012–2013 volcanic unrest at Campi Flegrei (D'Auria et al., 2015b). D'Auria et al. (2015b) interpreted the ground deformation as the effect of the intrusion of a sill at shallow depth. The rock Young's modulus and the Poisson's ratio were assumed 5×10^9 Pa and 0.25, respectively. The model allowed the authors to estimate the volume (4.2×10^6 m³), the depth (about 3,100 m), and the viscosity of the intruded magma (about 30,000 Pa s) (D'Auria et al., 2015b). Maximum residual between InSar data and the model were of the order of 1 cm after a maximum uplift of about 30 cm.

In the simulations carried out in the present work, we neglected fracturing and we assumed a pure elastic behavior of the rocks. However, we investigated the role of the mechanical properties by varying the Young's module of the rock. Moreover, to account for different magma properties, we varied also the viscosity of magma for representing two types of calderas (as from Acocella et al., 2015): Felsic calderas, characterized by higher magma viscosity and lower rock Young's modulus, and Mafic calderas, characterized by lower magma viscosity and higher rock Young's modulus (see Figure 11). The Felsic and Mafic types considered here are not examples of natural calderas but they are prototypes for the numerical experiments. We adopted Young's modulus and viscosity values used in Macedonio et al. (2014) and in Giudicepietro et al. (2016), which are limit values chosen taking into account the experimental work of Hoek and Diederichs (2006), Heap et al. (2009, 2014). We chosen limit values for the rheological and mechanical parameters of the model to emphasize differences in system behavior under different conditions. Results show that the characteristics of the felsic calderas favor the occurrence of considerable ground deformations associated with lower stress values. These results are consistent with the observation



FIGURE 13 | Comparison of results obtained with isothermal condition (top) and temperature dependent viscosity (bottom) using the same input parameters for simulating the intrusion of a sill. The red line is the maximum stress, located at the front of the sill, in MPa (right axis). The magenta line is the radius of the sill in km (left axis). The blue line is the maximum thickness of the sill, located at the center of the sill, in m (left axis). The green line is the maximum horizontal displacement (see Figure 8). In the middle plot, the magma injection rate in kg/s.

that felsic calderas show remarkable deformation during non-eruptive unrest (Newhall and Dzurisin, 1988; Acocella et al., 2015).

Finally, we aim to evaluate the effect of magma cooling through simulations with variable temperature and viscosity. Taking into consideration the cooling of magma, we can see that the radius of the sill is smaller and the thickness in the central zone is greater than that of the isothermal case. Moreover, contrary to the isothermal condition, which allows a decrease of the stress over time, cooling favors the increase of the stress over time.

8. CONCLUSION

• We propose a model of expanding sill suitable for explaining the dynamic processes that are observed in many calderas. This result is in agreement with recent studies that highlight the important role of sill intrusions in the evolution of calderas and volcanic fields (Michaut and Jaupart, 2006; Rivalta, 2010; Corbi et al., 2015; Richardson et al., 2015; Di Vito et al., 2016; Le Mével et al., 2016; Papale et al., 2017).

- The rheological properties of magma and the mechanical properties of rock affect the stress field generated by the intrusion of the sill. This aspect is important because the evolution of the stress field affects the probability that unrest at calderas ends in eruption and controls the location of the future eruptive vents. The expanding sill model predicts that after a given time, about 100 days for our reference case (REF), the stress decreases over time. Therefore, in general, eruptions should be more likely in the early stages of unrest, as noticed by Newhall and Dzurisin (1988) and Acocella et al. (2015).
- In case of magma cooling, viscosity increases over time, especially at the front of the sill and inhibits the expansion of the sill that becomes thicker. The stress in the rock increases during magma intrusion, unlike in the case of negligible cooling (isothermal).
- The role of gas is important in modulating uplift and subsidence often observed in calderas and in transferring heat and fluids into the hydrothermal system above the sill.

AUTHOR CONTRIBUTIONS

FG has made a substantial contribution to the conception and design of numerical experiments, in the frame of the general model proposed in the article. She make a substantial contribution in drafting the article. GM has made an important contribution in the physical aspects of fluid dynamics of magmas. MM has made an important contribution on the study of dynamic processes of active volcanic systems. The authors, working in close collaboration with each other, shared the interpretation of the results obtained from the numerical experiments carried out using the models described in the article.

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