Check for updates

OPEN ACCESS

EDITED BY Jingren Zhou, Sichuan University, China

REVIEWED BY Qunlei Zhang, North China University of Water Resources and Electric Power, China Weigang Shen, Southwest Jiaotong University, China

*CORRESPONDENCE Jintao Yuan, 2758714200@qq.com

SPECIALTY SECTION This article was submitted to Geohazards and Georisks, a section of the journal Frontiers in Earth Science

RECEIVED 02 June 2022 ACCEPTED 05 July 2022 PUBLISHED 12 August 2022

CITATION

Qiu H, Yuan J, Han P, Yang M, Huang W, Fang X and Li Y (2022), DEM analysis of micromechanics and buffering capacity of superquadric mixture granular materials under impact load. *Front. Earth Sci.* 10:959930. doi: 10.3389/feart.2022.959930

COPYRIGHT

© 2022 Qiu, Yuan, Han, Yang, Huang, Fang and Li. This is an open-access article distributed under the terms of the Creative Commons Attribution License (CC BY). The use, distribution or

reproduction in other forums is permitted, provided the original author(s) and the copyright owner(s) are credited and that the original publication in this journal is cited, in accordance with accepted academic practice. No use, distribution or reproduction is permitted which does not comply with these terms.

DEM analysis of micromechanics and buffering capacity of superquadric mixture granular materials under impact load

Hongzhi Qiu^{1,2}, Jintao Yuan^{3,4,5,6}*, Peifeng Han^{3,4,5,6}, Miao Yang⁷, Wenyao Huang⁷, Xu Fang¹ and Yuxin Li¹

¹School of Architecture and Civil Engineering, Chengdu University, Chengdu, China, ²Key Laboratory of Mountain Hazards and Earth Surface Processes, Institute of Mountain Hazards and Environment, Chinese Academy of Sciences, Chengdu, China, ³School of Civil Engineering and Architecture, Southwest University of Science and Technology, Mian Yang, China, ⁴Research Center on Mountain Torrent and Geologic Disaster Prevention, Ministry of Water Resources, Wuhan, China, ⁵Guangxi Key Laboratory of Rock and Soil Mechanics and Engineering, Guilin, China, ⁶Key Laboratory of Geohazard Prevention of Hilly Mountains, Ministry of Natural Resources, Fuzhou, China, ⁷Guangdong Dapeng LNG Company Ltd, Shenzhen, China

As one of the most common geological disasters, rockfalls seriously threaten the safety of linear projects such as roads, railways, and oil and natural gas pipelines. The rigid protective structures that are used for disaster reduction are easily damaged by the impact of rockfalls, which affects the service life of structures. Consequently, the buffer layer has been introduced to resolve this problem. In this work, numerical simulations were carried out by the discrete element method to study the interaction between falling rocks and the granular medium of a soil cushion layer that is installed on a rigid structure. The falling rock is modeled as a single sphere and the soil cushion layer is modeled as a component composed of a collection under the action of gravity, where the filled particles of the soil cushion layer are based on superquadric spheres generated by the superquadric surface equation. This paper uses three shapes (i.e., spheres, cubes, and cylinders) to mix and match as the soil cushion layer. The buffer performance of different mixed material buffer layers is investigated by analyzing the pressure of the bottom plate. The force chain propagation process is investigated by analyzing the comparison of the force chains of the soil cushion layers with different thickness and different filling particles after being impacted. The energy propagation process was studied by analyzing the evolution of the kinetic energy of the particles after the impact of the soil cushion layer.

KEYWORDS

rockfall, impact, discrete element method, kinetic energy, soil cushion layer

Introduction

Geological disasters are of widespread concern because they threaten all kinds of infrastructure, human life and property, and seriously restrict the development of human beings (Chae et al., 2017; Fan et al., 2020; Qiu et al., 2020; Cui et al., 2021; Feng et al., 2021; Lee et al., 2021). Rockfall is one of the most common natural hazards in mountainous region. Because of its high kinetic energy characteristics, rockfall poses a considerable threat to the safety of linear projects (Zhang et al., 2004; Guzzetti and Reichenbach, 2010; Zhang J. et al., 2015; Feng et al., 2022; Rao et al., 2022). Measures such as concrete slabs and soil bedding are used to reduce the damage of rockfalls (Lambert and Bourrier, 2013; Chen et al., 2015; Ng et al., 2016). A soil cushion layer of adequate thickness can effectively reduce the impact of a rockfall, while a soil layer installed in front of a concrete slab also has the effect of dispersing the energy of rockfall impact. Therefore, studying the buffering effect of soil bedding on rockfall impact can facilitate the effective design of the corresponding protection.

Quantitative methods have been successfully applied in many fields (Li et al., 2021a; Li H. J. 2022), and many experimental and theoretical studies have been carried out to investigate the interaction between rockfall and soil cushion layers (Calvetti and Di Prisco, 2012; Kim et al., 2015; Zhang G. C. et al., 2015; Ferrero et al., 2016; Tao et al., 2017; Pérez-Rey et al., 2019; Zhou et al., 2021). In these studies, some important factors in the rockfall impact process (e.g., the thickness of the soil cushion layer, and the mass and velocity of the rockfall) were studied in depth, and used to study the laws of impact force and penetration depth. In addition, numerical simulations using discrete element methods (DEM) have been used to analyze the effects of rockfall impacts from a microscopic to macroscopic perspective. For example, Zhang et al. (2017) used a 3D DEM model to study the energy propagation process and the rebound effect of falling rocks when they impacted the buffer layer. Bourrier et al. (2010) used the DEM method to study the evolution of the force chain of falling rocks impacting the buffer layer of soil particles and the overall mechanical response of the buffer layer. Su et al. (2019) conducted a series of DEM model experiments to study the effect of the particle size distribution of soil particles on the horizontal impacts of the impacting rock masses. Roethlin et al. (2013) used the DEM method to study the stress distribution on concrete slabs. These experiments can verify that the DEM method is an effective method to study the impact response of soil buffer layer.

In these studies, the particles of the soil cushion layers are always treated as spheres and the shapes of the falling rocks (e.g., spheres, ellipsoids, or cylinders) are mainly studied. In reality, the particles of the filled soil buffer layer may be very irregular, resembling shapes such as cubes, wedges, and flat spheres. In addition, previous studies have shown that the impact force, penetration depth, and so on of the soil buffer layer particle pile of falling rocks have a great influence (Shen et al., 2019; Ji and Liu,

2020; Shen et al., 2021; Zhu et al., 2021). Shen et al. (2021) studied the effect of the size of the particles of the buffer layer on the impact buffering efficiency of the soil buffer layer, and the shape of the discrete element simulation of the soil buffer-filled particles was spherical. Zhu et al. (2021) studied the effect of the thickness of the soil bedding layer and the size of the filled particles on the impact of falling rocks with spherical particles, and found that the increase of the particle size of the bedding layer decreased the penetration depth of rockfall and attenuated the buffering effectiveness. Shen et al. (2019) studied the impact of different sphericity of the blocks and different falling heights of stones falling on a concrete substrate. The authors found that the maximum impact force on the falling stones increased with the increase of the sphericity of the falling blocks, and the penetration depth of the falling stones decreased with the increase of the sphericity of the falling stones. Ji and Liu (2020) studied the effects of different buffer thicknesses and glass or gravel-filled buffers on the buffering effect of rockfall impacts, explored the force chains evolution of rockfall impacts with different buffer thicknesses by the discrete element method, and further analyzed the buffering performance of spherical particle-filled buffers from the perspective of force chains. It can be seen that these studies all focus on the particle size of the soil buffer layer, the thickness of the buffer layer, and the shape of the falling rocks, whose buffer layer particles are all spherical in shape. Therefore, further research is needed to investigate the influence of the shape of the particles filled in the buffer layer on its buffering ability.

With successful application of quantitative analysis in multiple domains (Li et al., 2021b; Li H. H. 2022), in this study the interaction between rockfall and buffer layer particles are investigated using a discrete element approach using DEM commercial software. A simple spherical rockfall vertically impacting a soil buffer layer particle medium is considered. The objectives include studying the kinetic energy propagation between impacted particles and the evolution of the force chains. Impact simulations were performed for soil buffers filled with different particle shapes and for different soil buffer thicknesses to understand the whole impact process. In addition, the relevance between the buffering performance of soil layers and the shape of the filled particles was investigated.

Materials and methods

Construction of superquadric particles

The superquadric shape was first proposed in mathematics by Barr (1981); this shape is an extension of the sphere and the ellipse. The superquadric equation given by Barr is as follows:

$$\left(\left|\frac{x}{a}\right|^{s_2} + \left|\frac{y}{b}\right|^{s_2}\right)^{\frac{s_1}{s_2}} + \left|\frac{z}{c}\right|^{s_1} = 1$$
(1)

Qiu et al.



where *a*, *b*, and *c* are the semi-axis lengths on the major axis of the particle (Figure 1A), and s_1 and s_2 are the shape parameters that describe the particle. By simply altering the five shape parameters (*a*, *b*, *c*, s_1 , s_2) in Eq. 1, the superquadrics gives a good trade-off between the complexity of the model and the flexibility of the shape. An ellipsoid is obtained if a = b = c, when the parameters $s_1 = s_2 = 2$; a cylinder is obtained when $s_1 = 8 > 2$ and $s_2 = 2$; and a cube is obtained when $s_1 = s_2 = 8 > 2$ (Figure 1B).

Superquadric particle contact detection

The efficiency of contact detection between particles is related to factors such as contact detection algorithms, particle shape, and boundary conditions, which will directly affect the calculation time of the numerical simulation. In addition, when the semi-axis parameter of the superquadric particle conforms to the condition a = b = c, the shape indexes s_1 and s_2 are smaller, the particle shape is closer is to the sphere, or the particle surface is smoother, and the efficiency of contact detection is higher. However, as the particle shape parameters s_1 and s_2 increase, the efficiency of contact detection decreases (Podlozhnyuk et al., 2017). Next, we will briefly introduce three commonly used particle contact detection methods (Wang and Ji, 2018). Among them, the spherical bounding box contact detection algorithm is relatively simple and efficient, as shown in Figure 2A. The radius of the spherical bounding box can be expressed as $R_0 = \sqrt{a^2 + b^2 + c^2}$; when $R_i + R_i > L_0$, the particles are in contact; when $R_i + R_j < L_0$, the particles are not in contact; L_0 is the direct distance from the center of the spherical bounding box i and j. The oriented bounding boxes (OBBs) consider the spatial orientation and aspect ratio of the particles, as shown in Figure 2B. The algorithm assumes that if the particles on the space vector have an intersection, then the particles will contact; otherwise, particles will not contact (Portal et al., 2010). A

spherical bounding box and an OBB, as a brief judgment algorithm for contact detection between particles, improved the calculation efficiency to a certain extent. However, the meso-contact or elastic contact between the particles will not be effectively detected, resulting in data loss.

Using the nonlinear Newton iterative method as the third contact between cells transforms the optimization problem of solving the shortest distance between cells into a nonlinear system of equations for solving (Wellmann et al., 2008). When the particles are in contact, the surface normal of the particle are parallel and opposite, and the geometric potential energy is equal according to the midpoint between particles with respect to the surface of the two particles equations, as shown in Figure 3, which can be expressed as

$$\begin{cases} \nabla F_i(X) + k^2 \nabla F_j(X) = 0\\ F_i(X) - F_j(X) = 0 \end{cases}$$
(2)

where X=(x,y,z)^T; $F_i(X)$, and $F_j(X)$ are the equations of particle *i* and *j* in global coordinates, respectively; $\nabla F_i(X)$ and $\nabla F_j(X)$ are the external normal directions of the surfaces of particle *i* and *j* in the *x*, *y*, and *z* directions; and k^2 is the external normal direction between the particles.

Equation 2 with Newton's iterative formula can be expressed as

$$\begin{pmatrix} \nabla^2 F_i(X) + k^2 \nabla^2 F_j(X) & 2k \nabla F_j(X) \\ \nabla F_i(X) - \nabla F_j(X) & 0 \end{pmatrix} \begin{pmatrix} dX \\ dk \end{pmatrix}$$
$$= - \begin{pmatrix} \nabla F_i(X) + k^2 \nabla F_j(X) \\ F_i(X) - F_j(X) \end{pmatrix}$$
(3)

where X = X + dX, k = k + dk. If the between particles contact point X_0 conforms to the condition $F_i(X_0) < 0$ and $F_j(X_0) < 0$, then the two-particle element is in contact at X_0 , and the detection overlap at X_0 can be calculated as $n_{ij} = \nabla F_i / ||\nabla F_i||$ or $n_{ij} = \nabla F_j / ||\nabla F_j||$.





When the particle surface point conforms to Eq. 4, and the unknown parameters λ_1 and λ_2 can be calculated by the oneelement nonlinear Newton equations expressed in Eq. 5, then the normal overlap when contact between particles occurs can be expressed as $\delta = X_i - X_j$.

$$\begin{cases} X_i = X_0 + \lambda_1 n \\ X_j = X_0 + \lambda_2 n \end{cases}$$
(4)

$$\begin{cases} \lambda_1^{\alpha+1} = \lambda_1^{\alpha} - \frac{F(X_i^{\alpha})}{\nabla F(X_i^{\alpha}) \cdot n} \\ \lambda_2^{\alpha+1} = \lambda_2^{\alpha} - \frac{F(X_j^{\alpha})}{\nabla F(X_j^{\alpha}) \cdot n} \end{cases}$$
(5)

Similarly, the contact detection algorithm of the particle boundary is expressed as a function, which is the superquadric equation, and establishes the nonlinear equations to be solved. The boundary considered in this paper is a cylinder bore of the rigid structure shown in Figure 4, and the function can be expressed as



Schematic diagram of contact detection between the surface of the superquadric particle and the boundary of the cylinder bore.

$$F_w(X) = \frac{4x^2 + 4y^2 - D^2}{4}, z \in [0, H]$$
(6)

where *D* and *H* are the inner diameter and height of the cylinder bore, respectively.

While there is contact between the particle elements, the surface normal is parallel, and vice versa. When the geometric potential energy from the midpoint to the surface of the particle is equal to that from the midpoint to the cylinder surface, then the nonlinear equations can be established and expressed as

$$\begin{cases} \nabla F_i(X) - k^2 \nabla F_w(X) = 0\\ F_i(X) + F_w(X) = 0 \end{cases}$$
(7)

Equation 7 with Newton's iterative formula can be expressed as

$$\begin{pmatrix} \nabla^{2}F_{i}(X) + k^{2}\nabla^{2}F_{w}(X) & -2k\nabla F_{w}(X) \\ \nabla F_{i}(X) - \nabla F_{w}(X) & 0 \end{pmatrix} \begin{pmatrix} dX \\ dk \end{pmatrix}$$
$$= -\begin{pmatrix} \nabla F_{i}(X) + k^{2}\nabla F_{w}(X) \\ F_{i}(X) - F_{w}(X) \end{pmatrix}$$
(8)

where X = X + dX, k = k + dk. If the contact midpoint X_0^w conforms to the condition $F_i(X_0^w) < 0$ and $F_w(X_0^w) > 0$, the two-particle element is in contact at X_0^w , and the detection overlap at X_0^w can be calculated $n_{iw} = \nabla F_w / \|\nabla F_w\|$.

Furthermore, X_j^w on the surface of the cylinder can be expressed as Eq. 9. When X_i^w conforms to Eq. 10, and the unknown parameter λ_3 can be calculated by the onedimensional nonlinear Newton iteration equation expressed in Eq. 11, then the normal overlap when the particle element is in contact with the cylinder boundary can be expressed as $\delta^w = X_i^w - X_i^w$.

$$X_{j}^{w} = \frac{DX_{0}^{w}}{2 \cdot |X_{0}^{w}|}$$
(9)

$$X_i^w = X_0^w + \lambda_3 n^w \tag{10}$$

$$\lambda_3^{\alpha+1} = \lambda_3^{\alpha} - \frac{F(X_i^{\omega(\alpha)})}{\nabla F(X_i^{\omega(\alpha)}) \cdot n^{\omega}}$$
(11)

In general, the Newton iteration of solving a quadratic nonlinear system of equations algorithm for solving the quadratic nonlinear system of equations is the main factor affecting the efficiency of particle search and the overall computation time, which is closely related to the particle surface sharpness and aspect ratio. As the inter-unit search efficiency decreases significantly with the exponential increase of the sharpness parameters s_1 and s_2 , the efficiency of inter-unit search decreases significantly because the particles are close to spherical shape. The overall computational efficiency gradually improves as the particles approach a spherical shape.

The linear spring-dashpot contact model

The contact model of the superquadric particle element is an improved model based on the three equations linear elastic damping model (Di Renzo and Di Maio, 2004). The interaction force and torque between the particles in the contact model can be simplified to a spring, damper, and slider. According to Newton's second law, the force, overlap, and direction at the contact point at which the particle element interacts can be calculated, as shown in Figure 5.

$$F_{n,ij} = -\left(k_{t,n}\delta_{n,ij} + \eta_{t,n}\nu_{n,ij}\right) \tag{12}$$

$$\delta_{n,ij} = \delta_{n,ij} n_{ij} \tag{13}$$

$$v_{n,ij} = \left(v_{ij} \bullet n_{ij}\right) n_{ij} \tag{14}$$



where $k_{t,n}$ is the normal displacement stiffness coefficient; $\delta_{n,ij}$ is the normal overlap of particles *i* and *j*; $\eta_{t,n}$ is the particle normal damping coefficient; $v_{n,ij}$ is the normal relative velocity of particle *i* and *j*; and $n_{i,j}$ is the unit normal vector of the overlap between particle *i* and *j*.

The tangential force F_t when the particles are tangents can be expressed as

$$F_{t,ij} = -\left(k_t \delta_{t,ij} + \eta_t v_{t,ij}\right) \tag{15}$$

$$v_{t,ij} = v_{ij} - v_{n,ij} + (l_i\omega_i + l_j\omega_j)n_{ij}$$
(16)

$$\delta_{t,ij}(t) = \int_{l_0}^{l} v_{t,ij} dt \tag{17}$$

where $\delta_{t,ij}$ is the tangential overlap of particle *i* and *j*; $v_{t,ij}$ are the relative tangential velocity of particles *i* and *j*; $v_{i,j}$ are the relative velocity of particles *i* and *j*; ω is the angular velocity of particle rotation; and l_i and l_j are the distance from the centroid of particle *i* and *j* to the point of contact between particle *i* and *j*, respectively.

DEM model calibration and validation

In this section, the DEM model is first calibrated and then validated. Figure 6 shows the DEM model configuration of the rockfall impacts on the soil cushion, and three different particle shapes (i.e., spheres, cubes and cylinders) in the soil cushion are constructed by the quadric hypersurface equation. A soil cushion layer of different thicknesses is randomly generated at the bottom



of a rigid boundary with a diameter of 100 mm, and the soil cushion is kept in stable equilibrium under the action of gravity. The rock block is simulated as an individual spherical particle with a diameter of 16 mm. During the simulation, the rock block is placed directly above the soil buffer and the initial velocity v_0 of the rock block impacting the soil cushion is determined based on

TABLE 1 Simulation parameters used in the discrete element method (DEM).

its fall height. Poisson's ratio, Young's modulus, and density of the soil cushion particles are selected as the values commonly used in the paper (Effeindzourou et al., 2017; Ji and Liu, 2020; Shen et al., 2021; Zhu et al., 2021), and the parameters of the DEM model are detailed in Table 1 below.

To determine the thickness of the soil buffer layer and the effect of its particle shape on the impact characteristics between the rockfall and the cushion layer, different particle shape groups and cushion layer thickness groups were set up in the experiment. The particle shape groups were: sphere-cylindrical layer, sphere-cubic layer, cubic-cylindrical layer, and cylindrical-cubic-spherical layer. The thicknesses of the soil particle cushion layers were 15, 20, 27, 34, 41, 48, and 55 mm, which were relatively consistent with the experimental conditions of Ji and Liu (2020).

Results and discussion

Impact of rockfall on the force of the bottom floor

To research the buffering effect of different shapes of particle bedding on the impact process of falling rocks and to ensure the consistency of the particle aspect ratio of the soil buffer layer, we obtained the buffering performance of the soil cushion layer formed under different particle shapes. Figure 7 presents the evolution of impact pressure for the rockfall motion on the system of granular materials (sphere-cylinder) buffering at different thicknesses of the soil cushion layer. After rockfall collision onto the surface of the particle layer, the bearing impact pressure of the particle first sharply increased to the peak value and then gradually decreased to zero within a short time. The impact pressure duration decreased with the increased

Parameter	Symbol	Value	Unit
Particle diameter	a = b = c	1.75	mm
Particle density	ρ	2600	kg/m3
Young's modulus	E	100	MPa
Poisson's ratio	V	0.35	_
Coefficient of friction	μpp	0.3	_
Coefficient of restitution	e	0.9	_
Gravitational acceleration	g	9.8	m/s2
Height of the cylinder bore	Н	220	mm
The inner diameter of the cylinder bore	D	100	mm
Time step	dt	$5 \times 10-6$	s
Height of impact	h1	220	mm
Impactor particle diameter	d1	16	mm
The thickness of granular materials	hf	15 20 27 34 41 48 55	mm
Initial impacting velocity	v0	0	m/s



thickness of the particle layer and finally is smaller than 7.5 ms. However, the impact pressure is decreased from 0.42 to 0.2 Pa with the increased thickness of the particle layer. According to Zhang et al. (2017), the impact pressure and impact duration were factual relations to the number of particles contacted in the rockfall, and the contact force includes normal and tangential directions in the particles buffering layer at impact. In current numerical results, the evolution of contact force on normal and tangential direction are shown in Figures 8A,B, respectively. It can be seen that the evolution of F_n and F_t were similar with impact pressure and impact duration. When the rockfall touched

the soil cushion layer surface, the contact force of particle sharply increased to maximum contact force and then decreased to zero within a short time. In addition, we note the time that F_n and F_t reached maximum value were the same as peak value of impact pressure because the relationship is close between both contact force and impact pressure. For the test of thickness of particles layer (h = 15 mm), the value of F_n and F_t is larger than other cases. The normal and tangential forces on the base plate was greater when the height of soil cushion layer to contact number between rockfall with particle buffering was smaller, and the transmission of the impact force was more stable. As expected, for the test of thickness of particles layer (h = 55 mm) the impact force of the bottom plate was smaller when more particles join to contact with rockfall, and this means that the greater impact force resolved between particles lead is smaller. Hence, the evolution of the contact force can reflect impact pressure and impact duration.

The evolution characteristics of the maximum impact force on the bottom plate surface of different types of soil cushion layers with the thickness of the cushion layer are shown in Figure 9. The impact force on the bottom plate tends to decrease gradually as the thickness of the particle cushion layer increases. After the thickness of the cushion layer reaches 48 mm, the maximum impact force on the bottom plate tends to be horizontal with the increase of the thickness, which is consistent with the change trend of the experimental results of Zhu et al. (2021). When the thickness of the soil cushion layer is less than 48 mm, the different shapes of the filled particles have a significant effect on the cushioning performance of the cushion layer at the same thickness. In particular, the impact force on the bottom plate of the cushion layer with the particles filled with the sphere-cylinder combination is the smallest and its cushioning effect is the best, and the impact force on the bottom



FIGURE 8

Evolution of the contact force for the rockfall impacting against the granular material of buffering (sphere-cylinder) with different thicknesses of the particle layer: (A) normal force; (B) tangential force.



plate of the cushion layer with the particles filled with the spherecylinder-cube is the largest and the buffering effect is the worst. As the thickness of the soil buffer layer increases, the shape of the filled particles of the soil buffer layer gradually reduces its influence on the pressure of the bottom plate. When the thickness of the buffer layer is not less than 48 mm, the shape of the filled particles already has little effect on its buffering. It seems that by controlling the thickness of the soil buffer layer and the shape of the filled particles, the impact force on the bottom plate can be reduced very well.

Figure 10A shows that normal pressure maximum (*P*) of the bottom plate on the sphere-cylinder group is lowest in one of the mixture granular materials, P of sphere-cylinder-cube group is largest, P of sphere-cube and cylinder-cube group is in-between them, presented a decrease trend of the exponential function, and the value of decrease is minimized with the growth value of particle thickness layer (h_0) . The proposed prediction mathematics model between P and h_0 is shown in Table 2. According to the literature of Ji and Liu (2020), this result proposes a critical thickness of particle layer (h_c) . It can be obviously seen that when $h_0 < h_c$ (particle thickness layer of critical value), the particle shape or the sharpness of the particle surface (i.e., particle catalog of the granular material system) is more complicated and is more sensitive to *P*; when $h_0 > h_c$, the particle shape or mixture level of particle system is not sensitive to P; meanwhile, h_c in this paper is 48 mm. Meanwhile, the rate of buffering capacity (κ) presents a tendency to increase with the additional value of the particle thickness layer, note that this tendency is in contrast to P, and κ reaches a stable value of 90% when $h_0 = 48$ mm, as shown in Figure 11B. Because h_c is closely

related to factors such as granular material, impactor shape, and geometric size, there is a difference in h_c under different boundary conditions. In addition, to evaluate value of thickness of granular material layer (i.e., h_c , and also mean economic cost and consumption of material) there is thus a need for further research of the buffering characteristics of particulate materials.

Micromechanics characteristics of the mixed granular material at the peak pressure of the bottom plate

The force chain is defined as a network of discontinuous lines in the centers of the contact particles, being the path along which the force is selectively transmitted along the contact network, and the thickness of the lines is proportional to the magnitude of the contact force. In the impact overshoot, the different buffer layers all show the shock wave propagating radially and laterally from the impact point within the buffer layer. The larger normal contact force is mainly concentrated under the falling rock, while the smaller normal contact force is distributed near the propagation front, which is similar to the experimental phenomenon of Shen et al. (2019). Figure 11 shows a comparison of the force chain evolution for soil buffers filled with different particle shapes at the same moment. Based on the color and thickness of the force chains, it can be seen that the maximum normal contact force is the largest in Figure 11C, followed by Figure 11D, and the smallest in Figure 11A. This is due to the fact that as the shape of the cube of the particles filled with the soil buffer layer increases (i.e., the increase in the sharpness of the particles makes the interparticle contact tend to be from point contact to surface contact), the contact area between the particles increases and the particle system is denser. The relative sliding and rolling between the particles are suppressed, the efficiency of the force chain network in transmitting force is more rapidly, and the buffering effect of the soil buffer layer is poor.

Figure 12 shows the evolution of the falling rock impact force chain with different buffer layer thicknesses. It can be seen that the maximum normal contact force between particles is the deepest in color when the buffer layer thickness is h = 15 mm, and the lateral development of the force chain is also the slowest, the vertical development is the fastest, and the buffering effect is the weakest. Combined with Figure 11C, the comparison shows that the radial and lateral development of force chains are on the rise as the buffer layer thickness increases to h = 41 mm in the process, and the mesh structure of force chains becomes increasingly complex. When the buffer layer thickness h \geq 48 mm, the longitudinal network of the force chain at that moment can no longer touch the bottom plate, and the longitudinal and transverse development of the force chain network both show a slowing trend. From the perspective of force chain, when the thickness of soil buffer layer reaches hc, the



	TABLE 2 F	itting function	model of normal	pressure	maximum	Ρ.
--	-----------	-----------------	-----------------	----------	---------	----

Function model	ExpAssoc $P = P_0 + A_1 \times (1 - exp(-x/t_1)) + A_2 \times (1 - exp(-x/t_2))$					
Equation						
	Sphere-cylinder	Sphere-cube	Cylinder-cube	Sphere-cylinder-cube		
P ₀	4.14439E8 ±	18389.20022 ± 8790.27796	25989.21687 ± 23775.74534	22564.35448 ± 1589.67491		
A ₁	-22570.81534 ± 2301.73726	-8719.03241 ±	-11147.22606 ±	13230.22318 ±		
t1	11.41648 ± 0.71987	35.47337 ± 6.26835E6	19.34441 ± 1.03103E6	48.6673 ± 1.65532E8		
A ₂	-4.14411E8 ±	-8719.03158 ±	-11147.22608 ±	-13230.22318 ±		
t ₂	1.05966 ±	35.47221 ± 6.26794E6	19.34536 ± 1.03106E6	48.66731 ± 1.65534E8		
Reduced Chi-Sqr	4206.66351	356962.67098	904547.008	146002.09031		
R^2 (COD)	0.99973	0.98559	0.97351	0.99699		

buffer efficiency of buffer layer thickness does not show an increasing trend.

Kinetic energy mobilization

The effect of rockfall on the soil buffer layer involves the evolution of a range of energy components, with the evolution of kinetic energy in particular being the most specific (Zhang et al., 2017). During the impact process, the kinetic energy of falling rocks is gradually transferred to the particles of the soil buffer layer, resulting in significant changes in both the rotational kinetic energy *Er* and the translational kinetic energy *Et* of the particles of the soil buffer layer. Figure 13 presents the evolution of kinetic energy for a system of granular materials buffering at different thickness of particle layer. Obviously, as shown in Figure 13A, the trend of the average translational energy of soil buffer particles of different thicknesses after impact is similar, but the average translational energy of buffer particles tends to decrease

gradually with the increase of buffer thickness. As shown in Figure 13B, the trends of the maximum translational energy of different types of soil buffers after impact with the thickness of soil buffers are relatively consistent, and the influence of the shape of the buffer-filled particles on the translational energy of the buffer particles can be seen.

Figure 14A shows that the time course curves of the average rotational kinetic energy of the soil buffer during the impact of falling rocks on the soil buffer of different thicknesses. It can be seen that as the thickness of the buffer decreases, the peak of the average rotational kinetic energy becomes larger, which is due to the increase of the average tangential force on the particles of the soil buffer. Figure 14B shows the trend of the maximum rotational kinetic energy of different types of soil buffer particles with the increase of buffer layer thickness. It can be seen that the shape of the filled particles of the buffer layer has a greater influence on its rotational kinetic energy. In particular, the variation of the rotational kinetic energy of the cylinder-cube buffer layer is the greatest.



FIGURE 11

Comparative analysis of the evolution of normal force chains of different mixed particle materials at the same moment: (A) cylinder-cube; (B) sphere-cube; (C) sphere-cylinder; (D) sphere-cylinder-cube.



FIGURE 12

Comparison of the evolution of force chains with different thicknesses of the sphere-cylinder soil buffer layer at the same moment: (A) h = 15 mm; (B) h = 20 mm; (C) h = 27 mm; (D) h = 34 mm; (E) h = 48 mm; (F) h = 55 mm.



FIGURE 13

Evolution and comparison of the translational kinetic energy for the rockfall impacting against the granular material of buffering with different thickness of particle layer: (A) sphere-cylinder; (B) translational kinetic energy of max.



FIGURE 14

Evolution and comparison of the rotational kinetic energy for the rockfall impacting against the granular material of buffering with different thickness of particle layer: (A) sphere-cylinder; (B) rotational kinetic energy of max.

Conclusion

In this study, based on the hyperquadratic surface equation to construct the non-spherical particle shape, the discrete element method was used to numerically analyze the buffering characteristics of the mixed particle soil buffer layer under the action of spherical rockfall impact. The evolution of the internal force chain of the buffer layer and the evolution of the kinetic energy of the particles in the buffer layer are further investigated by studying the effects of the buffer layer thickness and the composition of the cushioning material on the bottom impact force. The results show that the buffer layer thickness is an important factor that affects the buffering performance of mixed materials of different shapes. When the thickness of the buffer layer h < hc, the buffering performance of the buffer layer of mixed materials with different shapes increases with the thickness of the particle layer; when h > hc, the influence of the particle shape and buffer layer thickness on its buffering performance is small and the change is small. Meanwhile, the buffer performance of soil cushion layer with spherical filling is better than that of soil cushion layer with mixed materials. The higher the content of cube-particles of mixed materials, the more the surface contact between the cushion layers increases and the

point contact decreases, which increases the stability of the buffer system and thus reduces the buffer performance of the soil cushion layer particles.

Data availability statement

The datasets presented in this article are not readily available because the original contributions presented in the study are included in the article/Supplementary Material, further inquiries can be directed to the corresponding author. Requests to access the datasets should be directed to 2758714200@qq.com or hnqhz@126.com.

Author contributions

HQ and JY: investigation, software and writing original draft; PH: writing, review and editing; MY, WH and XF: investigation; YL: drawing the graphs and tables in the paper. All authors have read and agreed to the published version of the manuscript.

Funding

This research was funded by the National Natural Science Foundation of China (Grant No. 42101089); Research Center on Mountain Torrent and Geologic Disaster Prevention

References

Barr, A. H. (1981). Superquadrics and angle-preserving transformations. *IEEE Comput. Graph. Appl.* 1, 11–23. doi:10.1109/mcg.1981.1673799

Bourrier, F., Nicot, F., and Darve, F. (2010). Evolution of the micromechanical properties of impacted granular materials. *Comptes Rendus Mec.* 338, 639–647. doi:10.1016/j.crme.2010.09.007

Calvetti, F., and Di Prisco, C. (2012). Rockfall impacts on sheltering tunnels: realscale experiments. *Géotechnique* 62, 865–876. doi:10.1680/geot.9.p.036

Chae, B. G., Park, H. J., Catani, F., Simoni, A., and Berti, M. (2017). Landslide prediction, monitoring and early warning: a concise review of state-of-the-art. *Geosci. J.* 21 (6), 1033–1070. doi:10.1007/s12303-017-0034-4

Chen, X. Q., Cui, P., You, Y., Chen, J. G., and Li, D. J. (2015). Engineering measures for debris flow hazard mitigation in the Wenchuan earthquake area. *Eng. Geol.* 194, 73–85. doi:10.1016/j.enggeo.2014.10.002

Cui, S. H., Pei, X. J., Jiang, Y., Wang, G. H., Fan, X. M., Yang, Q., et al. (2021). Liquefaction within a bedding fault: understanding the initiation and movement of the Daguangbao landslide triggered by the 2008 Wenchuan Earthquake (Ms = 8.0). *Eng. Geol.* 295, 106455. doi:10.1016/j.enggeo.2021. 106455

Di Renzo, A., and Di Maio, F. P. (2004). Comparison of contact-force models for the simulation of collisions in DEM-based granular flow codes. *Chem. Eng. Sci.* 59 (3), 525–541. doi:10.1016/j.ces.2003.09.037

Effeindzourou, A., Giacomini, A., Thoeni, K., and Sloan, S. W. (2017). Numerical investigation of rockfall impacts on muckpiles for underground portals. *Rock Mech. Rock Eng.* 50 (6), 1569–1583. doi:10.1007/s00603-017-1183-5

Fan, X. Y., Tang, J. J., Tian, S. J., and Jiang, Y. J. (2020). Rainfall-induced rapid and long-runout catastrophic landslide on July 23, 2019 in Shuicheng, Guizhou, China. *Landslides* 17 (9), 2161–2171. doi:10.1007/s10346-020-01454-y

Foundation, Ministry of Water Resources, China (Grant No. CKWV2019759/KY); Opening Fund of Key Laboratory of Geohazard Prevention of Hilly Mountains, Ministry of Natural Resources (Fujian Key Laboratory Of Geohazard Prevention) (Grant No. FJKLGH 2022K006); Guangxi Key Laboratory of Geomechanics and Geotechnical Engineering (Grant No. 20-Y-KF-03); and Sichuan Science and Technology Innovation Project (No. SCJSKJ 2021-42).

Conflict of interest

Authors MY and WH are employed by Guangdong Dapeng LNG Company Ltd.

The remaining authors declare that the research was conducted in the absence of any commercial or financial relationships that could be construed as a potential conflict of interest.

Publisher's note

All claims expressed in this article are solely those of the authors and do not necessarily represent those of their affiliated organizations, or those of the publisher, the editors and the reviewers. Any product that may be evaluated in this article, or claim that may be made by its manufacturer, is not guaranteed or endorsed by the publisher.

Feng, P., Xu, Y., and Dai, F. (2021). Effects of dynamic strain rate on the energy dissipation and fragment characteristics of cross-fissured rocks. *Int. J. Rock Mech. Min. Sci.* 138, 104600. doi:10.1016/j.ijrmms.2020.104600

Feng, P., Zhao, J. C., Dai, F., Wei, M. D., and Liu, B. (2022). Mechanical behaviors of conjugate-flawed rocks subjected to coupled static–dynamic compression. *Acta Geotech.* 17, 1765–1784. doi:10.1007/s11440-021-01322-6

Ferrero, A. M., Migliazza, M. R., Pirulli, M., and Umili, G. (2016). Some open issues on rockfall hazard analysis in fractured rock mass: problems and prospects. *Rock Mech. Rock Eng.* 49 (9), 3615–3629. doi:10.1007/s00603-016-1004-2

Guzzetti, F., and Reichenbach, P. (2010). Rockfalls and their hazard, in: Tree rings and natural hazards. Springer, 129–137. doi:10.1007/978-90-481-8736-2_12

Ji, S. Y., and Liu, L. (2020). "DEM analysis of vibration reduction and buffering capacity of granular materials," in *Computational granular mechanics and its engineering applications* (Singapore: Springer), 359–387. doi:10.1007/978-981-15-3304-4-10

Kim, D. H., Gratchev, I., Berends, J., and Balasubramaniam, A. (2015). Calibration of restitution coefficients using rockfall simulations based on 3D photogrammetry model: a case study. *Nat. Hazards (Dordr)*. 78, 1931–1946. doi:10.1007/s11069-015-1811-x

Lambert, S., and Bourrier, F. (2013). Design of rockfall protection embankments: a review. *Eng. Geol.* 154, 77–88. doi:10.1016/j.enggeo.2012.12.012

Lee, J., Barbato, M., and Lee, D. K. (2021). Rockfall hazard analysis based on the concept of functional safety with application to the highway network in South Korea. *Rock Mech. Rock Eng.* 54, 6633–6647. doi:10.1007/s00603-021-02490-3

Li, H. J., Deng, J., Feng, P., Pu, C., Arachchige, D., Cheng, Q., et al. (2021a). Shortterm nacelle orientation forecasting using bilinear transformation and ICEEMDAN framework. *Front. Energy Res.* 9, 780928. doi:10.3389/fenrg.2021.780928 Li, H. J., Deng, J., Yuan, S., Feng, P., and Arachchige, D. (2021b). Monitoring and identifying wind turbine generator bearing faults using deep belief network and EWMA control charts. *Front. Energy Res.* 9, 799039. doi:10.3389/fenrg.2021.799039

Li, H. J. (2022a). SCADA data based wind power interval prediction using LUBEbased deep residual networks. *Front. Energy Res.* 10, 920837. doi:10.3389/fenrg.2022. 920837

Li, H. H. (2022b). Short-term wind power prediction via spatial temporal analysis and deep residual networks. *Front. Energy Res.* 10, 920407. doi:10.3389/fenrg.2022.920407

Ng, C. W. W., Choi, C. E., Su, A. Y., Kwan, J. S., and Lam, C. H. (2016). Large-scale successive boulder impacts on a rigid barrier shielded by gabions. *Can. Geotech. J.* 53, 1688–1699. doi:10.1139/cgj-2016-0073

Pérez-Rey, I., Riquelme, A., González-deSantos, L. M., Estévez-Ventosa, X., Tomás, R., Alejano, L. R., et al. (2019). A multi-approach rockfall hazard assessment on a weathered granite natural rock slope. *Landslides* 16, 2005–2015. doi:10.1007/s10346-019-01208-5

Podlozhnyuk, A., Pirker, S., and Kloss, C. (2017). Efficient implementation of superquadric particles in discrete element method within an open-source framework. *Comput. Part. Mech.* 4, 101–118. doi:10.1007/s40571-016-0131-6

Portal, R. J. F., Dias, J. M. P., and Sousa, L. A. G. (2010). Contact detection between convex superquadric surfaces. *Archive Mech. Eng.* LVII (2), 165–186. doi:10.2478/v10180-010-0009-8

Qiu, H. Z., Chen, X. Q., Wu, Q. H., Wang, R. C., Zhao, W. Y., Qian, K. J., et al. (2020). Deformation mechanism and collapse treatment of the rock surrounding a shallow tunnel based on on-site monitoring. *J. Mt. Sci.* 17 (12), 2897–2914. doi:10. 1007/s11629-020-6026-2

Rao, P., Wu, Z., and Cui, J. (2022). Analysis of deformation of adjacent buried pipeline under rockfall impact load. *Geotech. Geol. Eng. (Dordr).* 40, 1463–1474. doi:10.1007/s10706-021-01975-w

Roethlin, C., Calvetti, F., Yamaguchi, S., and Vogel, T. (2013). "Numerical simulation of rockfall impact on a rigid reinforced concrete slab with a cushion layer," in Fourth international workshop on performance, protection and strengthening of structures, Mysore, India, August 26-27, 2013. doi:10.3929/ ethz-a-010061763

Shen, W. G., Zhao, T., Dai, F., Jiang, M. J., and Zhou, G. D. (2019). DEM analyses of rock block shape effect on the response of rockfall impact against a soil buffering layer. *Eng. Geol.* 249, 60–70. doi:10.1016/j.enggeo.2018.12.011

Shen, W. G., Zhao, T., and Dai, F. (2021). Influence of particle size on the buffering efficiency of soil cushion layer against rockfall impact. *Nat. Hazards* (*Dordr*). 108, 1469–1488. doi:10.1007/s11069-021-04741-6

Su, Y. C., Cui, Y. F., Ng, C. W. W., Choi, C. E., and Kwan, J. S. H. (2019). Effects of particle size and cushioning thickness on the performance of rock-filled gabions used in protection against boulder impact. *Can. Geotech. J.* 56, 198–207. doi:10. 1139/cgj-2017-0370

Tao, Z. G., Zhu, C., He, M. C., Pang, S. H., Xie, H., and Lai, H. (2017). Test of V shaped groove structure against rockfall based on orthogonal design. *J. China Coal Soc.* 42 (09), 2307–2315. doi:10.13225/j.cnki.jccs.2016. 1688

Wang, S. Q., and Ji, S. Y. (2018). Discrete element analysis of buffering capacity of non-spherical granular materials based on super-quadric method. *Acta Phys. Sin.* 67 (9), 094501. doi:10.7498/aps.67.20172549

Wellmann, C., Lillie, C., and Wriggers, P. (2008). A contact detection algorithm for superellipsoids based on the common-normal concept. *Eng. Comput. Swans.* 25 (5), 432–442. doi:10.1108/02644400810881374

Zhang, L. Q., Yang, Z. F., and Xu, B. (2004). Rock falls and rock fall hazards. J. Eng. Geol. 12 (3), 225-231.

Zhang, G. C., Tang, H. M., Xiang, X., Karakus, M., and Wu, J. P. (2015a). Theoretical study of rockfall impacts based on logistic curves. *Int. J. Rock Mech. Min. Sci.* 78, 133–143. doi:10.1016/j.ijrmms.2015.06.001

Zhang, J., Liang, Z., Han, C. J., and Zhang, H. (2015b). Buckling behaviour analysis of a buried steel pipeline in rock stratum impacted by a rockfall. *Eng. Fail. Anal.* 58 (1), 281–294. doi:10.1016/j.engfailanal.2015.09.009

Zhang, L. R., Lambert, S., and Nicot, F. (2017). Discrete dynamic modelling of the mechanical behaviour of a granular soil. *Int. J. Impact Eng.* 103, 76–89. doi:10.1016/j.ijimpeng.2017.01.009

Zhou, J. R., Wei, J., Yang, T. H., Zhang, P. H., Liu, F. Y., Chen, J. K., et al. (2021). Seepage channel development in the crown pillar: insights from induced microseismicity. *Int. J. Rock Mech. Min. Sci.* 145, 104851. doi:10. 1016/j.ijrmms.2021.104851

Zhu, C., He, M. C., Yin, Q., and Zhang, X. H. (2021). Numerical simulation of rockfalls colliding with a gravel cushion with varying thicknesses and particle sizes. *Geomech. Geophys. Geo. Energy. Ge. Resour.* 7 (1), 11. doi:10.1007/s40948-020-00203-8