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\*CORRESPONDENCE Jin Yang, ⊠ yangj@cugb.edu.cn

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# Spectral characteristics analysis of rocks and ores based on the generalized effective medium theory of induced polarization

Shu Wang<sup>1</sup>, Tianyi Wang<sup>2</sup> and Jin Yang<sup>1</sup>\*

<sup>1</sup>School of Geophysics and Information Technology, China University of Geosciences, Beijing, China, <sup>2</sup>School of Geophysics, Hebei Geo University, Shijiazhuang, Hebei, China

To study the electrical characteristics of rocks and ores, two- and three-phase medium models with different mineral contents, attenuation coefficients, relaxation times, and ellipticities were established based on the generalized effective medium theory of induced polarization (GEMTIP) model and the Debye decomposition method. Furthermore, the corresponding program was written for numerical simulation, and the complex resistivity (CR) spectral characteristics and relaxation time distribution (RTD) characteristics were analyzed. At the same time, seven representative ore samples from different mining areas were selected, their structural compositions were observed, and their complex resistivity was measured to obtain the variation characteristics and Nyquist curves of ore phase spectra. The differential evolution (DE) algorithm was employed to write a curve parameter fitting program based on which parameter fitting was performed for the theoretical model and the measured curves of ore samples. The physical and electrical parameters of the curves were obtained, and the variation characteristics of each parameter were analyzed. The generalized effective medium theory of induced polarization model could be adopted to further study the induced polarization (IP) anomaly from the structural and electrical properties of rocks and ores, which has important guiding significance for energy resource exploration, deep prospecting beyond 500 m, and environmental investigation by electrical (magnetic) methods.

#### KEYWORDS

GEMTIP model, rock and ore spectra, relaxation time distribution (RTD), differential evolution (DE) algorithm, Debye decomposition method

## **1** Introduction

The time-domain and frequency-domain induced polarization (IP) is a common exploration method in geophysics and plays an important role in mineral resources, petroleum energy, and other fields. As society has prospered, the use of this method has been gradually extended to exploring groundwater, geological disasters, and ecological environments. To clarify the mechanisms for this method and explain it more accurately, many scholars have conducted many experimental studies on the polarization effects of rocks and ores. Many geophysicists introduce the IP effects of rocks and ores, such as the Cole–Cole model, multi-Cole–Cole model, Dias model, Debye model, Davidson–Cole model, and Warburg model (Pelton et al., 1978; Dias, 2000). These models describe the charging rate, relaxation time, and attenuation coefficients of the polarization effects of rocks

and ores. By employing these models, many scholars have conducted a succession of studies by theory construction, experimentation, testing, inversion, etc., with fruitful results (Zhang et al., 1984; Zhang et al., 1994; Liu, 1998; Tong et al., 2005; Xiao et al., 2006; Cheng et al., 2010; Liu, 2017; Lishan et al., 2014; Tian et al., 2019). To delve into the structural model of the polarization effects of rocks and ores, Zhdanov and his team deduced an expression for the generalized effective medium theory of the induced polarization (GEMTIP) model that characterizes the polarization effects of rocks and ores on the basis of said theory through numerous measurements on said rocks and ores (Zhdanov, 2008). The physical and mathematical expression better reflects the shape, size, percentage content, resistivity, relaxation time, attenuation coefficient, and other structural and physical parameters of mineral particles in the study of the complex resistivity (CR) of rocks and ores. Given the GEMTIP model, many scholars around the world have conducted ore sample testing and multiphase medium CR simulation calculations (Phillips, 2010; Burtman and Zhdanov, 2015; Liu et al., 2015; Revil et al., 2015; Zhang et al., 2019), model parameter characteristic studies (Zhdanov et al., 2013; Wu et al., 2017; Chang et al., 2018), parameter fitting and inversion studies (Fu, 2013; Zhdanov M. et al., 2018; Sharifi et al., 2019), and practical application studies (Zhang et al., 2016; Zhdanov M. S. et al., 2018; Alfouzan et al., 2020) with meaningful results. Therefore, the anomaly characteristics of the CR spectra of two-phase, three-phase, and multiphase media in rocks and ores, as well as anisotropic distribution characteristics, can be studied based on the GEMTIP model. This model provides an effective quantitative analysis method for studying the structural compositions of rocks and ores. Based on the GEMTIP model, studies were conducted on the CR anomalous characteristics of mineral particle content and other parameters and the CR spectral distribution patterns of different types of rocks and ores. Considering the correlation between the characteristic relaxation time of polarized media and information, such as mineral compositions and the porosity of rocks and ores, the Cole-Cole model was employed as a relaxation model for the decomposition method (Tarasov and Titov, 2007; Florsch et al., 2012) based on the Debye decomposition (DD) method (Nordsiek and Weller, 2008). Thus, the characteristic relaxation time of polarized media was obtained, with further studies conducted on the CR and relaxation time distribution (RTD) characteristics.

## 2 GEMTIP model and RTD characteristics

Based on GEMTIP theory and considering the electrochemical and electromagnetic properties of rocks and ores, Zhdanov proposed a GEMTIP model by constructing heterogeneous multiphase conductive media for effective media and rigorously deducing physical and mathematical processes (Zhdanov, 2008; Burtman and Zhdanov, 2015). The model considers the electromagnetic effect and IP effects of rocks and ores. The model can be used to study the CR characteristics and physical properties of rocks and ores from their structural compositions and model parameter information, respectively. According to generalized effective medium theory, assuming that the matrix minerals of gold-containing pyrite (Figure 1A) were spherical particles of different radii (Figure 1B), the basic formula of the GEMTIP model (Zhdanov, 2008) (see Table 1 for the parameters in the formula) is

$$\begin{cases} \rho(\omega) = \rho_0 \left\{ 1 + \sum_{j=1}^{N} \left[ f_j M_j \left( 1 - \frac{1}{1 + (i\omega\tau_j)^{c_j}} \right) \right] \right\}^{-1} \\ M_j = \frac{3(\rho_0 - \rho_j)}{\rho_0 + 2\rho_j} \tau_j = \left[ \frac{r_j}{2\alpha_j} (\rho_0 + 2\rho_j) \right]^{1/c_j} \end{cases}$$
(1)

Considering the boundary effect of the polarized medium, Tong Xiaolong et al. modified the GEMTIP model expression (Xiaolong et al., 2020) to obtain:

$$\begin{cases} \rho(\omega) = \frac{\rho_0}{M} \left\{ 1 + \sum_{j=1}^{N} \left[ f_j M_j \left( 1 - \frac{1}{1 + \left( i\omega \tau_j \right)^{c_j}} \right) \right] \right\}^{-1} \\ M_0 = 1 - \sum_{j=1}^{N} \frac{3f_j}{2} M_j = \frac{9\rho_0}{2\rho_0 + 4\rho_j} \tau_j = \left[ \frac{r_j}{2\alpha_j} \left( \rho_0 + 2\rho_j \right) \right]^{1/c_j} \end{cases}$$
(2)

When the polarized mineral particles in the rocks and ores are ellipsoids arranged in any direction or directionally (Figures 1B, C), the effective CR of the mineral (Figure 1D) is the average value of the CR in the x-, y- and z-directions. Therefore, the expression of the multiphase effective model for ellipsoid mineral filling (Zhdanov, 2008; Burtman and Zhdanov, 2015; Wu et al., 2017) is

$$\left[ \rho_{\varepsilon}(\omega) = \rho_0 \left\{ 1 + \sum_{j=1}^{N} f_j M_j \frac{1}{3} \sum_{z=x,y,z} \frac{2\rho_j + \rho_0}{3h_{js}} \left[ 1 - \frac{1}{1 + (i\omega\tau_j)^{c_j} (2h_{js}/\lambda_{js}\bar{r}_j (2\rho_j + \rho_0))} \right] \right\}^{-1} \\ h_{js} = \rho_j + \gamma_{js} (\rho_0 - \rho_j) \quad M_j = \frac{3(\rho_0 - \rho_j)}{2\rho_0 + 4\rho_j} \quad \tau_j = \left[ \frac{r_j}{2\alpha_j} (\rho_0 + 2\rho_j) \right]^{(1/c_j)} \quad \bar{r}_j = \frac{r_{jx} + r_{jy} + r_{jz}}{3}$$
(3)

where  $r_{jx} = r_{jy}$  and  $r_{jz}$  are the short and long axis of the ellipsoid, respectively, and  $\gamma_{js}$  and  $\lambda_{js}$  are parameters related to the polarized ellipsoid structural shape (Figure 2) (Sharifi et al., 2019).

When  $r_{jx} = r_{jy} = r_{jz}$ ,  $\gamma_{jx} = \gamma_{jy} = \gamma_{jz} = (1/3)$ , and  $\lambda_{jx} = \lambda_{jy} = \lambda_{jz} = (2/3r_{jx})$ , the polarized medium is of a spherical structure, and formula Eq. 3 changes to formula Eq. 1, which is similar to the complex Cole–Cole model formula. The Cole–Cole model is a special case of the GEMTIP model, and the GEMTIP model is an extension of the Cole–Cole model.

Regarding the time-domain and frequency-domain spectral IP method, many scholars have discussed various CR models and analysis functions (Dias, 2000). These relational expressions are closely related to the relaxation time of the polarized medium, and the relaxation time reflects the parameters, such as the grain size and surface area of the polarized medium (Revil and Florsch, 2010). Therefore, studying RTD helps the further understanding of the physical properties of rock and ore structures. According to the inherent structural complexity of rock and ore samples and various low-frequency polarization mechanisms, the Debye decomposition method provides an effective theoretical basis for accurately describing the RTD of CR curves (Lesmes and Morgan, 2001; Tong et al., 2020):



Schematic of the effective model of the complex resistivity of rocks and ores. (A) microscopic photos of gold-bearing pyrite; (B) schematic of isotropic multiphase mineral particles; (C) schematic of anisotropic multiphase mineral particles; (D) effective CR model.

#### TABLE 1 Description of GEMTIP model parameters.

Parameter symbol	Unit	Name	Meaning description		
ω	Hz	Angular frequency	Angular frequency of the added electromagnetic field		
ρo	Ω●m	Resistivity of the surrounding rock	Resistivity of the surrounding rock for the rock model		
βj	Ω●m	Mineral resistivity	Resistivity of each mineral		
$m_j$	1	Mineral charging rate	Charging rate of each mineral		
τ <sub>j</sub>	S	Time constant	Time constant of each mineral		
Cj	1	Attenuation coefficient	Mineral frequency attenuation coefficient		
r <sub>j</sub>	m	Mineral particle radius	Radius of each mineral particle		
$f_j$	%	Mineral volume percentage	Volume percentage of each mineral		
aj	$\Omega \bullet m^2/s^2$	Mineral surface polarization coefficient	Mineral surface charge characteristics		

$$\rho(\omega) = \rho_0 \left\{ 1 - m \left[ 1 - \int_0^\infty \frac{g(\tau)}{1 + i\omega\tau} d\tau \right] \right\}$$
(4)

where m is the charge rate,  $\tau$  is the relaxation time,  $g(\tau)$  is the relaxation distribution function, and  $\int_0^\infty g(\tau) d\tau = 1$ . The Debye model is a linear function of  $\tau$  that applies to the superposition principle. For the Debye distribution, Cole–Cole distribution, and Davidson–Cole distribution, respectively, the distribution expressions of  $g(\tau)$  are as follows (Florsch et al., 2012) (Table 1):

$$g(\tau) = \delta(\tau - \tau_0) \tag{5}$$

$$g(\tau) = \frac{1}{2\pi\tau} \frac{\sin(\pi(1-c_0))}{\cosh(c_0 \ln(\tau_0/\tau)) - \cos(\pi(1-c_0))}.$$
 (6)

$$g(\tau) = \frac{\sin\beta\pi}{\pi} \left(\frac{\tau}{\tau_0 - \tau}\right)^p \tag{7}$$

For the multi-polarization model, the RTD function is represented by the superposition of multiple kernel functions (Ustra et al., 2016):

$$g(\tau) = \sum_{k=1}^{m} w_k g_k(\tau) \tag{8}$$

where  $g_k(\tau)$  is the kernel function of the *k*-th polarization unit; and  $w_k$  is the corresponding weight, which determines the proportion of the *k*-th polarization unit to the total and satisfies  $\sum_{k=1}^{M} w_k = 1$ . The discrete Debye, Cole–Cole, and Davidson–Cole distributions can be expressed as follows (Kavian et al., 2012; Tong et al., 2020):

$$\rho(\omega) = \rho_0 \left\{ 1 - \sum_{k=1}^N m_k \left[ 1 - \frac{1}{1 + i\omega\tau_k} \right] \right\}$$
(9)

$$\rho(\omega) = \rho_0 \left\{ 1 - \sum_{k=1}^N m_k \left[ 1 - \frac{1}{1 + (i\omega\tau_k)^{c_k}} \right] \right\}$$
(10)



$$\rho(\omega) = \rho_0 \left\{ 1 - \sum_{k=1}^{N} m_k \left[ 1 - \frac{1}{(1 + i\omega\tau_k)^{c_k}} \right] \right\}$$
(11)

The Debye model in Equation Eq. 9 is similar to the basic Equation Eq. 1 of the GEMTIP model ( $c_k = 1$ ); Equation Eq. 10 is the Cole–Cole model, similar to the GEMTIP sphere model ( $c_k \neq 1$ ); and Expression (11) is the Davidson–Cole distribution model.

To study the CR physical characteristics of rocks and ores, a forward calculation program was written for different phases of the GEMTIP model on a Python language open-source programming platform. Moreover, the CR of different ellipticities, relaxation times, and polarized mineral particle percentage contents and the attenuation coefficient changes in the two-phase and multiphase models underwent simulation calculations. The anomaly characteristics of CR were described by its phase spectrum, amplitude-phase frequency characteristic curve (Nyquist curve) diagram, and RTD.

# 2.1 Spectral characteristics of the two-phase model

Assuming that the rocks and ores shown in (Figure 1) contained only one type of two-phase media with an anisotropic distribution of ellipsoidal polarized mineral particles, the GEMTIP model with the three parameter variations shown in Table 2 was established for simulation calculations, and the phase curves and Nyquist curves of CR in the x-, y-, and z-directions (Rx, Ry, and Rz) were obtained (Figures 3–5).

Figure 3 shows the phase spectra and Nyquist curves of rotating ellipsoid minerals with different ellipticities in the first GEMTIP model. With increasing ellipticity e, the amplitude of the phase anomalies decreases (by approximately 150 mrad) for Rx and Ry and increases (by approximately 350 mrad) for Rz. On the Nyquist curve, the real and imaginary components of Rx and Ry become progressively smaller in anomaly amplitudes as ellipticity e increases (the real and imaginary components of the amplitude decrease from 80  $\Omega$ •m to 20  $\Omega$ •m and increase from -25  $\Omega$ •m to  $-5 \ \Omega \bullet m$ , respectively). The real and imaginary components of Rz become progressively larger in anomaly amplitude as e increases (increasing from 10  $\Omega$ •m to 120  $\Omega$ •m and  $-5 \Omega$ •m to  $-45 \Omega \bullet m$ , respectively). The figure indicates that when the mineral particle changes from discus-shaped to football-shaped, the anomaly amplitude and variation trend of the anisotropy of CR vary greatly. The horizontal anomaly has a larger discus shape, and the vertical anomaly has a larger football shape.

Figure 4 shows the GEMTIP phase spectrum and Nyquist curves of different mineral particle contents in the second GEMTIP model. The anomaly amplitude of Rx (Ry) and Rz increases with mineral particle content f. The phase amplitude increases accordingly to 300 mrad and 500 mrad, the real component increases by approximately 80  $\Omega$ •m, and 85  $\Omega$ •m, and the imaginary component increases by approximately 30  $\Omega$ •m and 35  $\Omega$ •m, respectively. As the volume percentage content of mineral particles increases, the anomaly of the spectrum curve in the GEMTIP model becomes more obvious.

Figure 5 shows the phase spectra and Nyquist curves for different attenuation coefficients in the third GEMTIP model.

Model	ρ <sub>0</sub>	$\rho_1$	<i>f</i> <sub>1</sub>	C <sub>1</sub>	$ au_1$	<b>r</b> <sub>x</sub> & <b>r</b> <sub>y</sub>	r <sub>z</sub> /r <sub>x</sub>	Parametric variation
	Ω∙m	Ω∙m				mm		*▲●
#1	200	0.2	0.05	0.8	0.4	0.125	*	★ 0.125, 0.25, 0.5, 1, 2, 4, 8
#2	200	0.2		0.8	0.8	0.125	2	▲0.1, 0.2, 0.3, 0.4, 0.5, 0.6
#3	200	0.2	0.05	•	0.8	0.125	2	• 0.1, 0.2, 0.3, 0.5, 0.7, 0.9

TABLE 2 Parameters of the GEMTIP model for two-phase media.



The anomaly amplitude of Rx (Ry) and Rz increases with the attenuation coefficient c, and the amplitudes of the phase, real component, and imaginary component of Rz increase more evidently than those of Rx (Ry). The anomaly amplitude increases with c, indicating an increasingly stronger degree of polarization.

## 2.2 Spectral characteristics of the threephase model

For the three-phase medium GEMTIP model for the rocks and ores containing two kinds of rotating ellipsoid mineral particles, the model parameters in Table 3 were selected to simulate the CR anomaly of different model parameters, and the anomaly curves of the effective model were obtained (Figures 6–8).

Figure 6 shows the phase spectra, Nyquist curves, and RTD of different volume contents of two kinds of mineral particles in the fourth GEMTIP model for three-phase media. In the phase curves, two minimum values reflect two kinds of mineral particles. The first minimum value reflects the polarization anomaly of the prolate ellipsoidal (e = 2) particle, where the Rx direction is less anomalous

than the Rz direction; the second minimum value reflects the polarization anomaly of the oblate ellipsoidal (e = 0.5) particle, where the Rx direction is more anomalous than the Rz direction. The Nyquist curves also show the characteristics of large anomaly amplitude in the Rz direction of prolate ellipsoidal particles and in the Rx direction of oblate ellipsoidal particles. The anomaly curve amplitudes of the phase spectra and Nyquist curves increase with the mineral particle content. The RTD curve is the same in the Rx- and Rz-directions and has two peaks. The first peak reflects the relaxation time of the oblate ellipsoid particle, and the second peak reflects the relaxation time of the prolate ellipsoid particle; the amplitude of the first peak is larger than that of the second peak, indicating that the conductivity of the oblate ellipsoid particle is larger. The relaxation time of mineral particles determines the peak position of the curve, and the conductivity of mineral particles determines the size of the peak.

Figure 7 shows the phase spectra, Nyquist curves, and RTD of different relaxation times of two kinds of mineral particles in the fifth GEMTIP model for three-phase media. The two minimum values of anomalies on the phase curves, Nyquist curves, and RTD curves reflect the existence of two kinds of particles. When different relaxation times are taken for the oblate ellipsoid particles (e = 0.5)





from small to large, the frequency corresponding to the phase anomaly minimum value decreases, the Nyquist curve anomaly decreases, and the time corresponding to the RTD peak increases accordingly.

Figure 8 shows the phase spectra, Nyquist curves, and RTD of different attenuation coefficients of two kinds of mineral particles in the sixth GEMTIP model for three-phase media. The two extrema

on the phase curve in the Rx and Rz directions reflect the existence of two kinds of particles. The first and second extrema reflect the presence of prolate and oblate ellipsoid particles, respectively. As the attenuation coefficient increases, the phase amplitude of the oblate ellipsoid particles changes greatly in the Rx direction and slightly in the Rz direction. On the Nyquist curves in the Rx and Rz directions, the minima reflect the presence of the mineral particles of the oblate

Model	$ ho_0$	$[\rho_1 \rho_2]$	[ <b>f</b> <sub>1</sub> <b>f</b> <sub>2</sub> ]	[c <sub>1</sub> c <sub>2</sub> ]	$[ au_1 \  au_2]$	<i>r<sub>x</sub></i> & <i>r<sub>y</sub></i>	[ <b>e</b> 1 <b>e</b> 2]		
	Ω∙m	Ω∙m				mm			
#4	200	0.2, 0.5	★, 0.1	0.9, 0.9	0.01, 10.0	0.125	0.5, 2.0		
#5	200	0.2, 0.5	0.1, 0.1	0.8, 0.8	▲, 0.001	0.125	0.5, 2.0		
#6	200	0.2, 0.5	0.1, 0.1	•, 0.9	0.01, 10.0	0.125	0.5, 2.0		
Parametric variation	★0.1, 0.2, 0.3, 0.5, 0.7, 0.9 ▲ 0.01, 0.1, 1.0, 10, 100								
	• 0.1, 0.2, 0.3, 0.5, 0.7, 0.9								

TABLE 3 Parameters of the GEMTIP model for three-phase media.



ellipsoid and prolate ellipsoid, respectively. When the attenuation coefficient of the oblate ellipsoid changes from small to large, the Nyquist curve changes obviously in the Rx direction and slightly in the Rz direction. For the RTD of these two directions, the two peaks correspond to the relaxation time of the oblate and prolate ellipsoid particles, respectively. As the attenuation coefficient of the oblate ellipsoid increases, its relaxation time amplitude changes from small to large. The attenuation coefficient and the anomaly amplitude of the curve increases. The anomaly amplitude is larger in the Rz and Rx directions for the prolate and oblate ellipsoids, respectively.

# 3 CR testing of ore samples

Samples of natural chalcopyrite, lead-zinc ore, pyrite, and magnetite were collected to observe their structures, mineral compositions, particle sizes, and contents under a microscope (Table 4).

The real and imaginary components of the CR of different metal ore samples were measured in the range of  $10^{-3}$ – $10^{5}$  Hz (51 measuring frequency points) by using a spectrometer (Solartron SI-1260) and a frequency spectrum induced polarization instrument (SIP Field/Lab Unit, PSIP, Portable) according to a symmetrical quadrupole device. The frequency acquisition range of the instrument can be from 10  $\mu$ Hz to 32 MHz, with a maximum resolution of 10  $\mu$ Hz. The phase curve of the sample (Figure 9A) and the normalized Nyquist curve (Figure 9B) were obtained.

From Figure 9A, the phase curves of chalcopyrite, copper–lead–zinc ore, and pyrite samples are concave, while the phase curve of the lead–zinc ore is convex-concave. Except for magnetite, the phase change range of other types of ore is between –100 and –600 mrad, and the corresponding frequency of the minimum value is between 1 and 100 Hz. On the normalized Nyquist curve of Figure 9B, as the frequency changes from high to low, the curves of chalcopyrite and lead–zinc ore first decrease in a small arc and then decrease linearly, while the curves of pyrite B and magnetite are concave-convex.





# 4 Fitting the CR curves of rock and ore samples

## 4.1 Differential evolution (DE) algorithm

The DE algorithm is a global optimization algorithm guided by the principle of survival of the fittest. This algorithm mainly uses its own difference vector guidance in global optimization and achieves the global optimal solution through a series of operations, such as mutation, crossover, and selection. The specific process of DE algorithm operation is as follows (Wang, 2015):

 Determination of the algorithm parameters (including population size NP, mutation operator F, crossover operator CR, and maximum iteration algebra t)

Let the minimum value problem of the objective function be:

min 
$$f(x_1, x_2, \dots, x_1)$$
 where  $x_j \in [x_j^L, x_j^U], 1 \le j \le n$  (12)

TARI F	4	Mineral	compositions	and	contents	of	metal	ore	samples
IADEE	-	winnerai	compositions	anu	contents	UI.	metai	ore	samples.

Sample	Structure	Mineral composition and content	Sample	Structure	Mineral composition and content
Chalcopyrite ore (Jiama)	Block	Chalcopyrite accounted for 43%, with a grain size of 0.02–1.5 mm anhedral or subhedral crystals; pyrite accounted for 12%, with a grain size of 0.05–0.3 mm, subhedral or euhedral crystals; bornite accounted for 3%, with a grain size of 0.03–0.5 mm, anhedral crystals; sphalerite and galena accounted for 5%, with a grain size less than 0.01 mm, anhedral crystals; gangue minerals such as quartz accounted for 37%, and were embedded between metal minerals, anhedral crystal	Copper-lead-zinc ore (Yanhe)	Vein-like, dotted- star-shaped	Galena accounted for 15%–20%, with a grain size of 0.08–0.3 mm, subhedral crystals; sphalerite accounted for 10%–15%, with a grain size of 0.5–0.1 mm, subhedral crystals; pyrite accounted for 25%, with a grain size of 0.5–1.5 mm, subhedral crystals; chalcopyrite accounted for greater than 8%, anhedral crystals; quartz accounted for 30%, anhedral crystals. The ore was strongly silicified
Lead–zinc ore (Beiying)	Block	Galena accounted for 45%, with a grain size of 0.5–6 mm, subhedral or euhedral crystals; sphalerite accounted for 17%, with a grain size of 0.02–1 mm, anhedral crystals or occasionally subhedral crystals; pyrite accounted for 3%, with a grain size of 0.03–2.5 mm, euhedral or subhedral crystals; gangue minerals such as quartz accounted for 35%	Silver-bearing lead–zinc ore (Beiying)	Dotted-star disseminated shape, vein-like	Galena accounted for 16%, with a grain size of 0.2–4.5 mm, subhedral crystals; sphalerite accounted for 10%, with a grain size of 0.1–3 mm, anhedral crystals; chalcopyrite and freibergite accounted for 0.3%, anhedral crystals in a schistose shape; gangue minerals such as quartz accounted for 73.7%, anhedral or subhedral crystals
Pyrite A (Shihu)	Block	Pyrite accounted for 30%, with a grain size of 0.01–0.5 mm or 1–5 mm, subhedral or euhedral crystals; sphalerite accounted for 6%, with a grain size of 0.05–0.3 mm, anhedral crystals; galena accounted for 4%, with a grain size of 0.5–2 mm, subhedral crystals; chalcopyrite and azurite accounted for 5%, with a grain size of 0.1–0.5 mm, anhedral crystals; gangue minerals such as quartz accounted for 55%	Pyrite B (Shihu)	Block	Pyrite accounted for 40%, with a grain size of 0.5–3 mm, subhedral or anhedral crystals; dominated by hexahedron; sphalerite accounted for 5%, with a grain size of 0.05–0.6 mm; galena accounted for 3%, with a grain size of 0.5–1 mm, anhedral crystals; chalcopyrite accounted for 2%, with a grain size of 0.1–0.4 mm; gangue minerals such as quartz accounted for 48% and were embedded between metal minerals, anhedral crystals
Magnetite (Yangyuan)	Block	Magnetite accounted for 76%, with a grain size of 0.03–0.1 mm, euhedral or subhedral crystals; gangue minerals such as quartz accounted for 20%, anhedral crystals			



Let  $x_i(t) = (x_{i1}(t), x_{i2}(t), \dots, x_{in}(t))$  be the i-th vector in the t-th generation population, where I = 1,2,..., NP; t=1, 2,..., t<sub>max</sub>; n is the number of variables that make up the model vector; t<sub>max</sub> is the maximum iteration algebra; and NP is the population size.

## (2) Population initialization

First, the initial population is randomly generated in n-dimensional space:

Model	$ ho_0$	$[ ho_1 ho_2]$	[ <b>f</b> <sub>1</sub> <b>f</b> <sub>2</sub> ]	[c <sub>1</sub> c <sub>2</sub> ]	$[ au_1 \  au_2]$		
#7	Ω∙m	Ω∙m					
Model parameter	200.0	2.0, 0.5	50, 30	0.7, 0.5	0.01, 10.0		
DE fitted value	201.39	1.9647, 0.6039	49.96, 30.06	0.7001, 0.4997	0.0099, 9.9884		
Fitted residual	0.0057						

TABLE 5 Information of DE inversion fitting parameters for model curves.



$$x_{ij}(0) = rand_{ij}(0,1)^* \left( x_{ij}^U - x_{ij}^L \right) + x_{ij}^L$$
(13)

where  $x_{ij}^L$  and  $x_{ij}^U$  are the upper and lower bounds of the model vector in the j-th vector, respectively, and  $rand_{ij}(0, 1)$  is the random number in the [0,1] interval.

### (3) Mutation operation

In the DE algorithm, other random model vectors different from the random quantity itself are used to generate differential vectors to mutate random vectors. The three vectors  $x_{p1}(t)$ ,  $x_{p2}(t)$ , and  $x_{p3}(t)$  different from  $x_i(t)$  can be selected, and  $(i \neq p1 \neq p2 \neq p3)$ . Let:

$$h_{ij}(t+1) = x_{p1j}(t) + F^* \left[ X_{p2j}(t) - X_{p3j}(t) \right]$$
(14)

where  $X_{p2j}(t) - X_{p3j}(t)$  is the difference vector and F is the mutation operator.

### (4) Crossover operation

To ensure that the population retains the characteristics of the previous generation during the search process, the corresponding component of the previous generation xi(t) must be retained in the xi(t+1) generation at least, which can be achieved by the following operations. Let:

$$e_{ij}(t+1) = \begin{cases} h_{ij}(t+1) & randg_{ij} \le CR \\ x_{ij}(t) & randg_{ij} > CR \end{cases} \text{ or } \begin{array}{l} j = rand(i) \\ j \neq rand(i) \end{cases}$$
(15)

where  $randg_{ij}$  is a random number between [0, 1], and the crossover operator CR, CR  $\in$  [0, 1], and rand [i] is a random integer between [1, n].



#### (5) Operation selection

The purpose of the selection operation is to use a greedy search strategy to determine whether xi(t) after a crossover and a mutation can enter the next-generation model vector group. The test vector

 $e_{ij}(t + 1)$  generated after the mutation and crossover operations is used to compete with the vector before the operations and compare their respective fitness values. The one with the best fitness value is selected for the next-generation for optimization.

The formula for the selection operation can be expressed as

No.	Sample	Microscope $[f_1 f_2]$ %	[c <sub>1</sub> c <sub>2</sub> ]	$[ au_1 \  au_2]$	[ <b>f</b> <sub>1</sub> <b>f</b> <sub>2</sub> ]	Fitted residual
#11	Chalcopyrite (Jiama)	8, 55	0.26, 0.50	7.92, 7.69E-5	10, 51	0.159
#12	Copper-lead-zinc ore (Yanhe)	35, 25	0.19, 0.49	17.02, 1.23E-3	23, 17	0.233
#21	Lead-zinc ore (Beiying)	45, 20	0.42, 0.46	46.27, 4.47E-4	48, 31	0.362
#22	Silver-bearing lead-zinc ore (Beiying)	16, 10	0.45, 0.51	31.41, 2.01E-4	6, 13	0.200
#31	Pyrite A (Shihu)	30, 15	0.25, 0.38	7.26E-6, 0.117	29, 28	0.428
#32	Pyrite B (Shihu)	40, 10	0.50, 0.36	9.95E-6, 1583	41, 30	0.221
#41	Magnetite (Yangyuan)	76, 4	0.10, 0.62	6.09, 5.25E-7	4, 42	0.119

TABLE 6 DE inversion parameter information of sample measurement curves.

$$x_{i}(t+1) = \begin{cases} e_{ij}(t+1) & f(e_{i1}(t+1), \cdots e_{in}(t+1)) < f(x_{i1}(t), \cdots x_{i2}(t)) \\ x_{ij}(t) & f(e_{i1}(t+1), \cdots e_{in}(t+1)) \ge f(x_{i1}(t), \cdots x_{i2}(t)) \end{cases}$$
(16)

Steps 3 to 5 are repeated until the number of iterations is met or the minimum target difference is reached, and the iteration ends.

### 4.2 Theoretical model curve fitting

To verify the correctness and validity of the DE algorithm for fitting the CR spectrum parameters, with the help of Matlab for scientific computing and a Python language programming platform, a DE algorithm parameter fitting program was written for the GEMTIP model for the three-phase medium of spherical mineral particles. In the model parameter fitting, first, the amplitude spectrum and phase spectrum of the model were obtained by the forward calculation module with the given model parameters; second, a random error of 5% was added to the data obtained by the forward calculation to obtain the model data for parameter fitting; third, the model data were fitted and inverted through the written DE algorithm parameter fitting program to obtain the fitting parameters; finally, the fitting parameters were compared and evaluated for a convergence effect and fitting difference.

The GEMTIP model for three-phase media was adopted, and the model parameters shown in Table 5 were selected for forward calculation. The calculation results included a 5% random noise before the DE algorithm was applied for parameter fitting. The parameters with a population size of 200, iteration number of 100, mutation factor of 0.5, and crossover factor of 0.8 were selected for fitting, and the fitted phase curve, Nyquist curve, and RTD curve were obtained (Figure 10). As Figure 10 demonstrates, the DE algorithm has high curve-fitting accuracy (the overall fitting difference (mean square error) is 0.0057). Although the DE algorithm has no initial parameter settings, the fitted curve is relatively complete (Figures 10A-C), the parameter fitting closeness is high (Table 5), and the convergence speed is fast (Figure 10D). The example indicates that for the CR curves of rock and ore samples, the optimal solution estimation of the DE inversion algorithm is stable, and the degree of adaptation is high.

# 4.3 Parameter fitting of the CR curves of rock and ore samples with the DE algorithm

The DE algorithm fitting program was employed to perform parameter fitting for the measured CR curves of natural chalcopyrite, lead-zinc ore, pyrite, and magnetite samples from different mining areas. In the parameter fitting, first, the parameters with a population size of 300, iteration number of 200, variation factor of 0.5, crossover factor of 0.8, and model parameter dimension of 9 were selected for CR amplitude normalization. Second, the amplitude spectrum and phase spectrum after CR normalization underwent curve fitting to determine the attenuation coefficient, relaxation time, and volume content of spherical mineral particles for the GEMTIP model fitting for the samples. Finally, the phase fitted curve, Nyquist fitted curve, and RTD curve based on the Cole-Cole distribution were drawn according to the fitting parameters. The fitted curves and RTD curves of the natural rock and ore samples are given in Figure 11, and the fitting parameters are shown in Table 6.

Figure 11 shows the GEMTIP three-phase media model DE algorithm parameter fitted curves and RTD curves of the measured phase curve of chalcopyrite, lead-zinc ore, pyrite, and magnetite samples, and the Nyquist curves of CR normalization. Figure 11 reveals that the phase curve fittings of block-shaped chalcopyrite (#11) and dotted-star-shaped copper-lead-zinc ore (#12) are more complete and have a higher fitting accuracy; however, the Nyquist curves with normalized amplitudes are poorly fitted, with fitting differences of 0.159 and 0.233, respectively; the obtained RTD curves show double peaks and single peaks, respectively. Because they have fewer silver-containing minerals, lead-zinc ores (#21) and silverbearing lead-zinc ores (#22) have the same basic shapes of fitting curves, with fitting differences of 0.362 and 0.200, respectively. Their RTD curves all show bimodal characteristics. The two blocks of pyrite (#3 and #32) from different mining points have similar phase curve shapes but different Nyquist curve shapes, with fitting differences of 0.428 and 0.221, respectively; their RTD curves are convex and concave, respectively. For magnetite (#41), the phase curve fitting is more complete, while the Nyquist curve-fitting accuracy is higher, with a fitting difference of 0.119. The RTD curve is higher in the lower frequency band, with an inconspicuous bulge near 1 Hz.

Table 6 shows the parameters of the GEMTIP three-phase medium model DE algorithm fitting of the measured CR curve of chalcopyrite, lead-zinc ore, pyrite, and magnetite samples. The maximum value of the attenuation coefficient fitted to the curves of different rock and ore samples is 0.62, and the minimum value is 0.10 (Table 6). The relaxation time constant fitted to the curves of the samples is large, with a maximum of 1,583 s and a minimum of 5.25 E-7 s, indicating a large variation. The RTD curves reflect the characteristics of the three-phase media of the samples. In the volume content of minerals in the sample media obtained by fitting the sample curve, the mineral content in chalcopyrite (#11, #12), lead-zinc ore (#21), and pyrite (#31, #32) conforms to the change trends of mineral content observed under a microscope; however, the mineral content in silvercontaining lead-zinc ore (#22) and magnetite ore (#41) is opposite to the mineral order observed under the microscope. Although the fitted mineral contents deviate from the measured mineral contents, they can also reflect the mineral composition of the samples to a certain extent.

## **5** Discussion

Empirical models are commonly use to describe the IP effects of rocks and ores, while the GEMTIP model is an IP model strictly derived completely based on the generalized effective medium theory. Empirical models and the GEMTIP model can characterize the IP effects of rocks and ores, but the GEMTIP model depicts in detail the structures of rocks and ores, as well as the shapes, sizes, contents, and electrical properties of mineral particles. Many parameters were incorporated into the GEMTIP model. The forward simulation of natural ore samples could expound the CR characteristics of the ore samples, which, however, were difficult to achieve by full-parameter fitting and inversion.

The charging rate  $M_j$  in the GEMTIP model reflected the polarizability of rocks and ores. In most cases, because the resistivity was high (generally  $10^3-10^6 \Omega$ .M) for the ore matrix and low (usually  $10^{-3}-10^0 \Omega$ .M) for the minerals, the charging rate was approximately 3. Relaxation time is related to the sizes, surface areas, resistivities, and attenuation coefficients of minerals in ores. Relaxation time is a comprehensive parameter that reflects the discharge process of ores. The physical meaning of these parameters requires clarification by further studies.

The parameter fitting and inversion of the GEMTIP model were conducted on the test data for the selected ore samples, and a preliminary understanding was obtained by comparing different types of ores under a microscope. However, only a few ore samples were collected, and the particles were assumed to be spherical in the fitting inversion. Therefore, more rock and ore samples are required for further research and validation.

# 6 Conclusion

(1) The simulation results indicate that for the mineral particles in the polarized unit, a higher content led to a larger anomaly amplitude; a greater attenuation coefficient led to a greater anomaly amplitude; a larger relaxation time led to a smaller peak frequency of the curve. The polarization anomaly of flat ellipsoid particles is larger along the transverse direction, while the polarization anomaly of long ellipsoid particles is obvious along the long axial direction.

- (2) The phase curves were concave for the chalcopyrite and pyrite samples and convex-concave for lead-zinc ore. The phase variation range of rock and ore samples was between -100 and -600 mrad, and the corresponding frequency of the minimum value was between 1 and 100 Hz.
- (3) The fitting parameters of the rock and ore sample curve and the parameters observed under a microscope were compared and analyzed. Although the fitting of the particle content parameters had certain errors, it could roughly reflect the structure and content characteristics of mineral particles.
- (4) The GEMTIP model was demonstrated to have sufficient applicability to the structural and electrical study of the IP characteristics of rocks and ores.
- (5) This study has elucidated the basic formulas and relaxation time distribution expression of the GEMTIP model for rock and ore samples, derived through the generalized effective medium theory and Debye decomposition method. Forward and inverse programs for the GEMTIP model were designed and developed. Theoretical models were simulated and actual samples were parameter-inverted by curve fitting, providing a computational tool and laying a foundation for studying the piezo-electric effects of rocks and ores.

## Data availability statement

The original contributions presented in the study are included in the article/supplementary material, further inquiries can be directed to the corresponding author.

# Author contributions

SW: investigation, data curation, software, validation, writing and editing. TW: software. JY: conceptualization, methodology. All authors contributed to the article and approved the submitted version.

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# Conflict of interest

The authors declare that the research was conducted in the absence of any commercial or financial relationships that could be construed as a potential conflict of interest.

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