



# The Effect of Plant Source on the Properties of Lignin-Based Polyurethanes

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This work increases our understanding of the effect of plant source on the mechanical and morphological properties of lignin-based polyurethanes (PUs). Lignin is a polymer that is synthesized inside the plant cell wall and can be used as a polyol to synthesize PUs. The specific aromatic structure of the lignin is heavily reliant on the plant source from which it is extracted. These results show that the mechanical properties of ligninbased PUs differ based on lignin's plant source. The morphology of lignin-based PUs was examined using atomic force microscopy and scanning electron microscopy and the mechanical properties of lignin-based PU samples were measured using dynamic mechanical analysis and shore hardness (Type A). The thermal analysis and morphology studies demonstrate that all PUs prepared form a multiphase morphology. In these PUs, better mixing was observed in the wheat straw lignin PU samples leading to higher moduli than in the hardwood lignin and softwood lignin PUs whose morphology was dominated by larger aggregates. Independent of the type of the lignin used, increasing the fraction of lignin increased the rigidity of PU. Among the different types of lignin studied, PU with wheat straw soda lignin exhibited storage moduli ~2-fold higher than those of PUs incorporating other lignins. This study also showed that during synthesis all hydroxyl groups in the lignin are not available to react with isocyanates, which alters the number of cross-links formed within the PU and impacts the mechanical properties of the material.

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# INTRODUCTION

Over the past few decades, polymer materials have become important industrially produced materials due to their wide range of physical and chemical properties. These polymer materials have found use in a vast array of technologies ranging from wiring, coatings, sports, and medical devices to cell phones, houses, automobiles, and planes. However, the demand for new and more elaborate technologies that are more robust than their predecessors will continue to increase. For instance, according to Grand View Research, Inc., the polyurethane market was estimated at ~\$54 billion in 2015 and is projected to grow to ~\$105 billion by the year 2025 due to recent high demands for applications in furniture, automotive, electronic devices, and footwear (Grand View Research, 2017). Therefore, further research is needed to synthesize and understand new polymer materials that will allow the advancement of modern technology into the future.

# Polyurethane (PU)

Polyurethanes were first discovered by Otto Bayer and his colleagues in 1937 (Seymour and Kauffman, 1992). PUs are known for their wide range of properties including high tensile strength, abrasion resistance, and chemical resistance (Saraf and Glasser, 1984, Sarkar and Adhikari, 2001). These properties, among others, make PUs an important commercial polymer for use as flexible or rigid foams, solid elastomers, coatings, and adhesives among other applications (Stevens, 1999, Szycher, 1999, Akindoyo et al., 2016).

Polyurethanes are polymers that are derived from monomer units joined together by carbamate (urethane) linkages. The most common pathway to synthesize a urethane linkage is to react an isocyanate functional group (R-N=C=O) with a hydroxyl functional group (R-OH). For a PU to be successfully synthesized using this method, a polyisocyanate that contains two or more isocyanate groups per molecule, reacts with a polyol, a compound that contains two or more hydroxyl groups per molecule, as shown in **Figure 1**. In some circumstances, an organic or inorganic catalyst or ultraviolet light is needed for the urethane reaction to take place (Silva and Bordado, 2004, Soto et al., 2014).

Isocyanate is the more reactive group than hydroxyl group in PU synthesis. The majority of isocyanates used such as toluene-2,4-diisocyanate, isophorone diisocyanate, and 4,4-methylene diphenyl diisocyanate are aromatic in structure while aliphatic isocyanates, such as hexamethylene diisocyanate (HMDI), are also used (Teo et al., 1997, Chan-Chan et al., 2010, Prisacariu, 2011, Chung and Washburn, 2012, Zhang et al., 2015). Polyols are usually the more flexible of the two components which allows the formation of flexible PU materials and usually consist

of polyesters, polyethers, or glycols such as polyethylene glycol (PEG), 1,4-butanediol, and polypropylene glycol (PPG) (Grassie and Zulfiqar, 1978; Wen et al., 2001; Oprea, 2010; Nozaki et al., 2017). The structure of these compounds is presented in Figure 2. The properties of PU depend on the structure and length of polyisocyanate and polyol monomers used during synthesis. Addition of polyisocyanate with aromatic monomer as well as short polyol chains increases the rigidity of the PU backbone which affects the mechanical properties such as Young's modulus. On the contrary, addition of long aliphatic monomer units, most often as part of the polyol, has the opposite affect and allows the PU to have more rubbery characteristics (Yoshida et al., 1990, Bharadwaj et al., 2002). However, addition of too many aromatic or aliphatic units can produce material that is too brittle or rubbery in character for use. Therefore, a balance between these two traits must be met for a PU to be useful.

Another way to increase the structural rigidity of a PU is through cross-linking, as seen in **Figure 3**, which decreases the molecular freedom of the polymer chains. This increases mechanical properties, such as tensile modulus and decreases elongation. PU film that is cross-linked will provide higher chemical and abrasion resistance due to the formation of a tightly packed network. Cross-linking can occur *via* chemical reactions when at least one of the monomer units contains three or more reactive sites, addition of additives, or can be brought on through the use of radiation (Chen and Rånby, 1989; Basfar et al., 2003; Dong et al., 2012; Iype et al., 2016). PUs have another unique way to cross-link by the reaction of an isocyanate with an already formed urethane linkage to create an allophanate linkage, as shown in **Figure 4**. Even though the positive impacts that cross-linking has on the properties of PU is attractive, there are some drawbacks as



FIGURE 2 | Structures of a select few isocyanate compounds and polyol compounds.

well. One such setback is that cross-links are, for the most part, irreversible and products that have undergone cross-linking are difficult to recycle.

Over the last decade, there has been an increase in interest in deriving polymers from a renewable resource such as biomass, due to their renewability and biodegradability (Noreen et al., 2016, Gang et al., 2017). Among many renewable resources, one bio-based polymer that is of current interest to scientists and



**FIGURE 3** | Representation of non-cross-linked polymer chains (left) and polymer chains that are cross-linked (right). The blue lines represent polymer chains and the red lines represent the cross-links.

industry is lignin due to its highly aromatic structure, natural abundance, and ability to form lignin-based PU materials.

#### Lignin

Lignin is a bio-based polyol and the second most naturally occurring polymer on Earth, behind cellulose, and makes up 18–35% of wood (Hon, 1996). Lignin is a highly aromatic polymer found in all plant cell wall alongside cellulose and hemicellulose. It provides structural support as well as a defense mechanism against parasites and diseases (Saito et al., 2013).

Although there is no precise definition of 3D structure of lignin, the structure consists of three-main monomer building blocks. The highly aromatic nature of lignin, shown in **Figure 5A**, is due to the enzyme initiated dehydrogenation polymerization and radical coupling reactions of three basic monomers or monolignols p-coumaryl alcohol, coniferyl alcohol, and syringyl alcohol shown in **Figure 5B** (Suhas and Ribeiro, 2007, Chatterjee and Saito, 2015). These three monolignols are very similar in structure consisting of a phenolic ring with an aliphatic side chain that includes an alkene and an alcohol functional group. Structurally they differ in the number of methoxy groups found at





each ortho position relative to the phenolic hydroxyl group. These methoxy groups play a key role in the physical characteristics and construction of the lignin molecule.

The amounts of each monolignol used to form the lignin molecule depend on the type of plants (hardwood, softwood, and grass) from which lignin is extracted. Lignins extracted from hardwood sources contain the highest amounts of syringyl alcohol amongst the three classes of lignins with smaller amounts of coniferyl alcohol monolignols. Softwood lignins, also called coniferous or guaiacyl lignins, are solely made up of coniferyl alcohol monolignols. Lignins that are extracted from grasses, also called non-wood lignin, contain all three monolignols; however, this class of lignins contains the highest amount of p-coumaryl alcohol monolignols. The amount of methoxy groups in the monolignol is important due to the steric hindrance they provide to the phenolic hydroxyl group of the monolignol. This is because the enzyme catalyzed polymerization of the monolignol units heavily targets the two hydroxyl groups connecting the monomers together to form the polymer chain. An increase in steric hindrance leads to a decreased ability for the phenolic site to react with another monomer lowering the amount of crosslinking within the overall lignin structure. From the structure of lignin internal cross-linking, it is understood that hardwood lignin that consists of the highest amount of syringyl alcohol monolignol units possess the least internally cross-linked structure, while grasses that possess the highest amount of p-coumaryl alcohol monolignol have more internally cross-linked structure compared to other classes of lignins. This internal cross-linking affects not only lignin molecule but also the properties of ligninbased materials.

Lignin has gained significant attraction in the scientific community due to its potential use to produce polymer materials. One of the largest processes where lignin is extracted from plants is during the chemical pulping processes at paper mills as byproduct (Chakar and Ragauskas, 2004). The main product for this process is cellulose to produce paper. Up until recently, lignin was viewed as waste that was only used as fuel to power the boilers during the paper-making process. In 2010, it was estimated that the paper and pulping industry alone extracted 50 million tons of lignin (Gosselink et al., 2004, Laurichesse and Avérous, 2014). Biorefineries increase that amount making lignin very economical. With lignin being highly abundant, including an estimated 300 billion tons of lignin available in the biosphere with a steady yearly increase of 20 billion tons. The high availability of lignin and its low cost further increases its attraction to be used as a starting material for producing polymer products (Gregorová et al., 2006, Laurichesse and Avérous, 2014).

Other than the plant source they are extracted from, another important classification of lignins is by the extraction process employed. There are several extraction processes that allow the separation of lignin from the lignocellulosic base. The most dominant processes used are the Kraft, sulfite, organosolv, and soda processes. The Kraft process makes up approximately 85% of the total lignin production throughout the world (Tejado et al., 2007). During this process, the wood is dissolved in an aqueous medium of sodium hydroxide and sodium sulfide and is cooked under pressure at 170°C for a couple of hours (Chakar and Ragauskas, 2004, Fatechi and Chen, 2016). During the cooking process, hydroxide and hydrosulfide anions react with the lignin and cleaves  $\alpha$ -aryl ether and  $\beta$ -aryl ether bonds producing lignin with higher amounts of phenolic hydroxyl groups and a small amount of sulfur in the form of thiol groups (–SH) (Smook, 1992, Chakar and Ragauskas, 2004, Wang et al., 2016).

In sulfite pulping, lignin is extracted in the form of lignosulfonates due to sulfonic groups  $(-SO_3^-)$  being introduced into the structural network of the lignin molecules (Fan and Zhan, 2008). The sulfite process uses sulfurous acid and its alkali salt versions to extract the lignin from wood chips by breaking  $\alpha$ -O-4 ether linkages under high pressure at approximately 150°C (Qui et al., 2010, Wang et al., 2016). The attached sulfonic groups also cause lignosulfonate to act as a polyelectrolyte allowing it to be water soluble even though the backbone is composed of a hydrophobic aromatic skeleton. Lignosulfonates contain the highest amount of sulfur among all types of lignins. Although the polydispersity of lignin in general is usually high, lignosulfonates typically have the highest polydispersity among all types of lignins which can be a drawback in material production (Madad et al., 2013).

The organosolv process is a sulfur free pulping process that uses a mixture of solvents such as methanol, ethanol, acetic acid, formic acid, and peroxy-organic acids to cook the wood and separate lignin from the other wood constituents (Xu et al., 2006, Tian et al., 2017). During the organosolv process, lignin becomes solubilized by solvent or solvents used through acidolytic or alkaline cleavage of aryl ether linkages resulting in an increased number of phenolic functionalities. Due to the use of organic solvents and organic acids as the reactants, organosolv lignins have no added functionalities like thiols or sulfonic groups found in Kraft lignins and lignosulfonates (Duval and Lawoko, 2014).

Another pulping process used to extract lignin is the soda process. Like the organosolv process, it is sulfur free and does not include side reactions that add extra functionalities onto the structure. However, in the soda process, alkaline materials without sodium sulfide is used to break down the lignin. The soda process is mainly used for the degradation of grass sources such as wheat straw, hemp, switchgrass, and bagasse and the absence of sulfur content makes it very attractive for polymer applications (Doherty et al., 2011, Laurichesse and Avérous, 2013).

Due to the highly aromatic structure of lignin, different processes have been developed that break down lignin molecules to produce other valuable aromatic chemicals, such as benzene, toluene, xylene, styrene, phenols, cyclohexane, aromatic aldehydes, vanillin, and vanillic acid (da Silva et al., 2009; Varanasi et al., 2013; Yamaguchi et al., 2017). Since lignin is a polyol, different synthesis techniques have also been used to study the effect of lignin incorporation on the properties of biodegradable polymer materials. Due to the heterogeneous nature of lignin and its tendency to aggregate, homogeneous blends of lignin with other polymers are difficult to fabricate and usually results in poor mechanical properties when compared to the pure polymer counterpart (Alexy et al., 2000, Kadla and Kubo, 2004, Chung et al., 2013). However, modification of lignin, such as acetylation and grafting to/from, has been used to disrupt lignin aggregation. Resulting more homogeneous blend of lignin and polymers, as

well as an improvement in physical properties (Chung et al., 2013; Sen et al., 2015; Dehne et al., 2016).

The highly aromatic structure of lignin and its ability to act as a polyol have made it a desired building block to produce lignin-based PUs. Some of the more common lignin-based polymeric materials are soft or rigid PU foams, epoxy resins, ligningrafted-polycaprolactone copolymers, and carbon fiber (Li and Ragauskas, 2012, Baker and Rials, 2013, Laurichesse and Avérous, 2013, Zhao and Abu-Omar, 2015). For most systems, it is desired to employ as much lignin into the polymeric material as possible to further increase targeted properties, use less petroleum-based chemicals, improve economics, and increase the biocompatibility of the material. However, too much lignin incorporated into a system usually leaves the material too brittle to be useful (Saito et al., 2013). Most of the results reported with higher loading of modified lignin-based PUs were too brittle to be studied for their properties; therefore, a compromise must be found between the amount of lignin content and rubbery content used to optimize economics, renewability, and mechanical properties.

Various studies have been carried out to understand the effects on the mechanical and thermal properties of PUs incorporating lignin from hard wood or soft wood or non-wood separately. However, to the best of our knowledge, no study has been done to investigate the effect of lignin from different plant source on the properties of PU. In this study, for the first time, the mechanical, thermal properties and morphology of the PU thermoplastic incorporating lignin extracted from different plant source, hard, soft and wheat straw is studied. Different studies have been carried out to maximize the incorporation of lignin in PU by modifying the lignin with different functionalities. Here, we studied a range of fraction of unmodified lignins from each plant source to understand the balance between the maximum amount of lignin that can be incorporated as polyols to synthesize PU while maintaining flexibility in the material. The results obtained from this study will provide insight into the different parameters that influence the properties of PU. With the understanding of the parameters will allow to develop materials with desired properties.

## MATERIALS AND METHODS

## Synthesis of Lignin-Based PU Elastomers

Three different lignins, each from different plant source, were used in this study: a Kraft processed lignin from a softwood source, a lignosulfonate lignin from a hardwood source, and a soda processed lignin from a wheat straw source. The softwood Kraft lignin (SWKL) was purchased from TCI America. The hardwood lignosulfonate lignin (HWLS) was supplied by Borregaard Lignntech and the wheat straw soda lignin (WSSL), also called Protobind 1000, was supplied by GreenValue LLC. The HWLS and the WSSL were used as received while the SWKL was subjected to an acid wash for further purification. The purification was carried out by adding approximately 10 g of SWKL to 300 mL of 2 M hydrochloric acid (HCl) and then SWKL/HCl solution was allowed to stir for 3 h open at ambient temperature and open to air. The mixture solution was then filtered using a fritted funnel with a porosity of 4–5.5  $\mu$ m to obtain the SWKL particles from the solution. This step was repeated twice and then the SWKL was washed few times with deionized water to remove any leftover HCl. Thus, obtained SWKL was dried overnight in vacuum oven under vacuum strength of 30 mmHg at 60°C. The dried SWKL powder was then stored in a desiccator.

Polypropylene glycol (PPG) ( $M_N = 2,300$  g/mol) end-capped on both ends with toluene-2,4-diisocyanate (TDI) (purchased from Sigma-Aldrich) was used as isocyanate cross-linker during PU synthesis. Before synthesis, the lignin being used was heated and dried under full vacuum overnight to remove any residual moisture. All steps for the reaction of lignin with TDI–PPG–TDI to synthesize lignin-based PUs were performed in the nitrogen glove box.

#### **PU Synthesis**

2 g of isocyanate was weighed in a 50 mL beaker and allowed to mechanically stir on a hotplate at 100°C for a few minutes. Eight drops of dibutyltin dilaurate (DBTDL) purchased from Sigma-Aldrich was then added as a catalyst and isocyanate/ DBTDL mixture was mechanically stirred at 100°C for 10 min to produce a homogeneous mixture. A precise amount of lignin that gave the desired lignin weight percent (wt%) was weighed out and added to isocyanate/DBTDL mixture. The lignin/isocyanate/ DBTDL mixture was then allowed to stir on a hotplate at 100°C until the viscosity of the mixture increased due to the progress of the polymerization. The mixture was then poured into a Teflon® mold and allowed to cure at room temperature overnight inside the nitrogen glove box. The dimensions of the mold allowed the formation of samples appropriate as a dual-cantilever sample for the dynamic mechanical analysis (DMA). A range of lignin contents (20, 30, 40, 50, and 60 wt%) were used to synthesize ligninbased PUs. A reference PU sample was also synthesized using the same method as described for the lignin-based PU samples with a hydroxy-terminated PPG ( $M_N = 2,000 \text{ g/mol}$ ) as the polyol.

## Characterization

#### Dynamic Mechanical Analysis (DMA)

Mechanical properties measurements were performed using a DMA Q800 (TA Instruments) with the dual cantilever geometry and calibrated using the TA Instruments calibration kit. Samples were made by curing in a Teflon<sup>®</sup> mold with the dimensions 57 mm × 12.5 mm × 3.5 mm (L × W × H). The measurement was carried out at 30°C and 0.1% strain with a frequency of 1 Hz.

#### Shore Hardness

The shore hardnesses of all lignin-based PU samples were measured according to the ASTM D2240-15 standard procedure with a Type A Model 2000 Durometer made by Rex Gauge Company. Since all lignin-based PU samples did not have a thickness of 6 mm, samples of the same lignin plant source and lignin wt% were piled together to create a sample with the appropriate thickness. Piled samples were measured in three separate areas.

#### **Differential Scanning Calorimeter (DSC)**

Thermal properties of the samples were measured using a DSC Q2000 (TA Instruments), where an Indium standard was used

for the calibration. Samples weighing between 5 and 10 mg were cut from DMA samples and weighed using a Cahn C-33 microbalance. The samples were then placed in a pre-weighed aluminum standard DSC pan and heated at 10°C per minute over the temperature range of  $-80-150^{\circ}$ C in nitrogen environment.

# Phosphorus-31 Nuclear Magnetic Resonance (<sup>31</sup>P-NMR)

Phosphorus-31 nuclear magnetic resonance spectroscopy was used to determine hydroxyl group content of lignins used. All lignin samples were dried before use to remove any moisture content due to its high reactivity with phospholane reagent. 20 mg of dried lignin sample was added to a 400  $\mu$ L dimethylformamide/ pyridine (1:1, v/v) solution and 200  $\mu$ L of internal standard (ISTD) solution in a NMR tube. The ISTD was prepared by mixing 75 mg cyclohexanol (CH) (Sigma-Aldrich, 99%) as a standard with 10.084 g deuterated chloroform (CDCl<sub>3</sub>) and 20 mg chromium (III) acetylacetonate as a relaxation reagent. The sample solution was then derivatized with 100  $\mu$ L of the phospholane reagent 2-chloro-4,4,5,5-tetramethyl-1,3,2-dioxaphospholane (TMDP) (Sigma-Aldrich, 95%). The sample mixtures were analyzed using a JEOL 400 MHz NMR spectrometer over 128 scans with inversegated decoupling, a 90° pulse, and a 25 s pulse delay.

A total of five <sup>31</sup>P-NMR samples for each lignin source were run to determine an average hydroxyl group concentration and a standard deviation (SD) based on lignin source. Mestrenova software was used to integrate all <sup>31</sup>P-NMR data. The following equations were used to calculated the concentrations of each hydroxyl group type (Olarte et al., 2016).

$$\operatorname{mmol} \operatorname{CH} = \frac{\operatorname{CH}_{\operatorname{mass}}(g)}{100.158 \frac{g}{\operatorname{mol}} \operatorname{CH}} \times \frac{\operatorname{CH} \operatorname{purity}}{100} \times 1000,$$

$$\left[\operatorname{CH}\right] = \frac{\left(\frac{\operatorname{mmol} \operatorname{CH}}{\operatorname{ISTD} \operatorname{Stock} \operatorname{Solution}_{\operatorname{total} \operatorname{mass}}(g)}\right) \times \operatorname{ISTD} \operatorname{Aliquot}(g)}{\operatorname{NMR} \operatorname{sample}_{\operatorname{total} \operatorname{mass}}(g)},$$

$$\frac{I_{i}}{I_{CH}} = \frac{\operatorname{integration of spectral region of interest}}{\operatorname{integration of Cyclohexanol region}},$$

$$\frac{\operatorname{mmol} \operatorname{OH}}{g \operatorname{Lignin}} = \frac{\left(\frac{I_{i}}{I_{CH}}\right) \times \left[\operatorname{CH}\right] \times \operatorname{NMR} \operatorname{sample}_{\operatorname{total} \operatorname{mass}}(g)}{\operatorname{Lignin}_{\operatorname{mass}}(g)}.$$

#### Scanning Electron Microscope (SEM)

The morphologies of lignin-based PU samples were investigated using a Zeiss Auriga 40 field emission SEM. Samples were cut from bulk material and were not coated during sample preparation. Images were captured using a secondary electron detector lens and a voltage level of 1.00 kV.

#### Atomic Force Microscope (AFM)

Images of the phase morphology of lignin-based PU samples were scanned using an Asylum Research MFP-3D Infinity AFM. Samples were cut from bulk material and were imaged under tapping mode. The uncoated silicon cantilevers with a resonant frequency range or 200–400 kHz and a spring constant of 13–77 N/m from AppNano were used for scanning. The AFM images were analyzed using the WSxM 5.0 software (Horcas et al., 2007).

## **RESULTS AND DISCUSSION**

In this study, lignin extracted from a hardwood source, a softwood source, and a non-wood source were used to study the effects that the plant source of lignin has on targeted mechanical properties of lignin-based PUs. As previously discussed, lignin is used as a polyol and reacted with a rubbery cross-linker to synthesize lignin-based PU samples with multiple wt% of lignin loadings. The use of the rubbery cross-linker allows the synthesis of cohesive samples that are not too brittle to be tested. The synthesis of lignin-based PUs with varying lignin loadings provides a pathway to monitor the correlation between targeted mechanical properties and lignin loading and correlate these changes to lignin plant source.

### **Mechanical Properties**

Dynamic mechanical analysis provides information on the influence of lignin source on the mechanical properties of lignin-based PU samples. **Figures 6** and 7 show the storage and loss modulus of PUs as a function of lignin content ranging from 10 to 60 wt%. It is evident from **Figure 6** that, for all three lignin sources, the storage modulus increases with lignin loading. This can be explained as lignin is the rigid component in PU, while diisocyanate PPG is the rubbery component. Thus, the rigid lignin provides mechanical strength to the system while PPG brings a more viscous response to the sample (Saito et al., 2013). As the ratio between rigid and rubbery component increases, the mechanical stiffness increases, resulting in a higher storage modulus. **Figure 6** also shows that the lignin-based PU samples that were synthesized using WSSL provided the highest storage modulus at all lignin loadings, while HWLS provided the lowest storage moduli.

The storage moduli of softwood Kraft lignin and hardwood lignosulfonate lignin based PUs exhibited similar storage moduli.







At lower lignin loadings (20, 30, and 40 lignin wt%), the difference between the storage moduli of these two samples is minimal. However, the difference between the storage moduli increases at higher lignin loadings (50 and 60 lignin wt%). Even though the storage moduli of SWKL and HWLS samples are similar, SWKL samples consistently exhibited higher moduli than HWLS samples at all lignin loadings. The loss modulus (elastic response) in **Figure 7** showed the similar trend as the storage modulus for lignin with different plant source and lignin loading.

**Figure 8** shows the shore hardness (Type A) of all ligninbased PU samples, which generally agree with the storage moduli obtained *via* DMA. All three lignin sources show an increase in hardness as lignin loading increases. This is again due to the increased rigidity that results from the higher lignin content. The increased rigidity resulted from the incorporation of more lignin also increases the resistance to deformation under a compressive force, due to the decrease in molecular mobility with added cross-linking (Saito et al., 2013; Wang et al., 2013; Llovera et al., 2016).

Lignin-based PU samples synthesized using wheat straw soda lignin show a higher shore hardness at all lignin loadings than softwood Kraft lignin and hardwood lignosulfonate lignin samples, while SWKL samples show a higher shore hardness at all lignin loadings than HWLS samples. This trend is also in agreement with dynamic mechanical analysis results depicting a more cross-linked material for WSSL samples. At higher lignin loading, the hardness values for the WSSL samples deviate from a linear trend due to the hardness value reaching the limits of the Type A durometer used for testing. Values that are close to the limits of the durometer used are considered to have more uncertainty.

To fully understand the mechanical testing results, it is important to determine the nano- and microscale structure of these materials, as these length scales highly influences the mechanical properties of a polymer material. This information will also offer a more thorough explanation on why WSSL samples provided higher storage modulus values relative to the SWKL and HWLS samples. Atomic Force Microscopy and SEM, along with DSC, were used to study the thermal and phase



**TABLE 1** | T<sub>g</sub> values obtained from differential scanning calorimeter scans of lignin-based polyurethane samples of 20, 40, and 60 wt% lignin.

Sample	T <sub>g</sub> (°C)			
	HWLS	SWKL	WSSL	
Pure lignin	47	91	116	
20 wt% Lignin	-52	-52	-48	
40 wt% Lignin	-51, 26	-50	-45	
60 wt% Lignin	-51, 2, 26	-46	-42, 10, 110	
Pure polypropylene glycol PU		-53		

HWLS, hardwood lignosulfonate; SWKL, softwood Kraft lignin; and WSSL: wheat straw soda lignin.

behavior, as well as the morphology of lignin PUs with 20, 40, and 60 wt% lignin loading.

#### **Thermal Properties**

The thermal response of the pure lignins and lignin-based PU samples were obtained using DSC. The glass transition temperature  $(T_g)$  of the select PUs are shown in Table 1 and the DSC scans are presented in Figures S1-S3 in Supplementary Material in the supplementary material. The thermal properties of the pure lignins show that the WSSL has the highest T<sub>g</sub> while HWLS displays the lowest T<sub>g</sub>. This is a result of the variation in monolignol content in lignins from various plant sources. Among three types of lignin, WSSL have the highest internal cross-linking due to the presence of the highest amount of p-coumaryl alcohol whereas HWLS have the lowest internal cross-linking. Increase in cross-linking makes molecule less mobile resulting higher T<sub>g</sub>. All lignin-based PU samples show multiple T<sub>g</sub>s, which is indicative of the presence of multiple phases (Saito et al., 2013, Sen et al., 2015). At low lignin loadings, only one  $T_g$  is observed for all lignin sources and is associated with the PPG-dominant domain. As lignin loading increases, a second T<sub>g</sub> at a higher temperature is observed for HWLS and WSSL samples and is associated with a lignin-rich phase. Samples synthesized with SWKL did not show an observable second T<sub>g</sub>, which we attribute to the faint nature of the  $T_g$  of the pure SWKL.

### Nano and Microscale Morphology

Phase images obtained via AFM of the various lignin PUs are shown in Figure 9, while the microscopic structure of these samples as determined by SEM are shown in Figure 10. Both of these sets of images show multiphase morphology confirming presence of multi domain in the sample which is clearly visible in HWLS and SWKL samples. Figure S4 in Supplementary Material, in the supplementary material, provides SEM images of pure dry lignins before PU synthesis. Aggregation is observed in both AFM and SEM images for the HWLS-based PU samples at all lignin loadings which become more abundant on both the nanoscale and microscale as lignin loading increases in PUs. The aggregates observed are similar in size to those observed in the SEM images of the pure HWLS, indicating a modest level of mixing between PPG and HWLS during the synthesis. Voids between the aggregates and the surrounding matrix observed in the SEM also indicate that HWLS and PPG do not mix as well as the other lignins do. The size of HWLS particles, along with the minimal level of mixing inhibits the homogeneous dispersion of HWLS in the final PU, detrimentally affecting the mechanical properties. This modest level of mixing is also consistent with the glass transition behavior of the samples, where the  $T_g$  of the soft phase (-51 to -53°C) does not vary much from the  $T_g$  of the PPG precursor (-53°C).

The AFM images of SWKL-based PU samples do not show the presence of aggregates indicating better mixing on this length scale. As the result of this improved dispersion of SWKL small distinct domains of a continuous phase are formed, as seen in AFM images, and become more prominent at 60 wt% SWKL loading.

The scanning electron microscopy images show a poor level of mixing on the microscale with the appearance of large particles similar in size to those observed in pure softwood Kraft lignin. Voids are also observed between the particles and the surrounding matrix indicating poor interfacial adhesion between these phases. These particles and voids deteriorate the mechanical properties of the SWKL-based PU samples, similar to hardwood lignosulfonate lignin samples. However, the improved mixing observed at the nanoscale improves the mechanical properties of the material relative to that of the HWLS samples, resulting in higher storage moduli.

For WSSL-based PU samples, the AFM images show improved mixing relative to that of the SWKL and HWLS samples. As WSSL lignin loading increases, the AFM images show the formation of large continuous domains that are considerably larger than those found in SWKL samples. These continuous domains are a result of better mixing of lignin and PPG in WSSL samples. In the SEM images of the pure WSSL lignins, large particles are not observed. The smaller WSSL particles provide a pathway for better dispersion throughout the PU material leading to more enhanced mechanical properties. The SEM images of the WSSL PUs show areas with a smooth surface and some with rough surfaces indicating multiple domains in the sample. However, unlike SWKL samples and HWLS samples, there are no voids between these





domains, indicating strong adhesion between domains. Because of the improved mixing, the absence of large lignin particles, and strong adhesion between domains, WSSL samples attain a higher modulus than the other lignin samples at all lignin loadings.

These differing levels of mixing also correlate to the observed thermal behavior of PUs determined from differential scanning calorimeter. The T<sub>g</sub> corresponding to the PPG-rich phase increases with lignin loading for all lignins, indicating the incorporation of lignin into these phases. Moreover, the amount of increase in the T<sub>g</sub> of this rubbery phase corresponds to the extent of mixing of polypropylene glycol (PPG) and lignin in this phase. For the hardwood lignosulfonate lignin, the  $T_g$  increases from  $-53^{\circ}$ C to  $-51^{\circ}$ C, indicating poor mixing between HWLS and PPG. The T<sub>g</sub> of the PPG-rich domain is -46°C for the 60 wt% softwood Kraft lignin sample and  $-42^{\circ}$ C for wheat straw soda lignin sample; changes of +7°C and +11°C, respectively. These indicate better mixing between SWKL and WSSL lignins and PPG, in qualitative agreement with the microscopic images, particularly for the WSSL samples. These results also correlate to the mechanical properties of PUs, where the formation of continuous domains in WSSL samples, the better mixing of PPG and lignins in the rubbery phase, and improved adhesion between the domains inhibits the rubbery behavior of the PPG chains enhancing the storage modulus of the material. An opposite effect is evident in HWLS samples where the limited miscibility between the lignin and PPG offer minimal improvement in the storage modulus with addition of lignin.

## **Correlation to Molecular Level Structure**

Correlating the molecular level structure of lignins to the morphology and mechanical properties provides further insight into the effect of plant source on the properties of the synthesized TABLE 2 | Hydroxyl group type concentrations for each lignin source determined by  $^{\rm 31}\text{P}\text{-NMR}.$ 

Hydroxyl group type	Hydroxyl group type concentration (mmol OH/g lignin)				
	Hardwood lignosulfonate lignin (HWLS)	Softwood Kraft lignin (SWKL)	Wheat straw soda lignin (WSSL)		
Aliphatic:	5.71 (± 0.04)	1.45 (± 0.12)	1.30 (± 0.12)		
C5-condensed:	0.12 (± 0.02)	0.69 (± 0.05)	0.55 (± 0.04)		
(S) Syringyl:	0.35 (± 0.01)	_	0.54 (± 0.02)		
(G) Guaiacyl:	0.28 (± 0.01)	1.17 (± 0.11)	0.80 (± 0.05)		
(H) p-Coumaryl:	_	0.11 (± 0.02)	0.30 (± 0.03)		
Carboxylic Acid:	0.32 (± 0.07)	0.60 (± 0.07)	0.70 (± 0.08)		
Total:	6.86 (± 0.1)	4.02 (± 0.23)	4.19 (± 0.1)		

lignin-based PUs. As mentioned earlier, <sup>31</sup>P-NMR provides hydroxyl group concentration of each lignin with the ability to distinguish between hydroxyl group types. Therefore, <sup>31</sup>P-NMR was used to obtain a better understanding of the structure and reactivity of each lignin by source.

The analysis of the <sup>31</sup>P-NMR data is shown in **Table 2** and hydroxyl group to isocyanate group ratios (OH:NCO) of each lignin-based PU are given in **Table 3**. Examples of the <sup>31</sup>P-NMR data for all three lignin sources are shown in Figure S5 in Supplementary Material in the supplementary material. Overall, HWLS lignin contains a higher total hydroxyl group content while SWKL and WSSL have approximately the same hydroxyl content. The NMR data also show that HWLS contains approximately four times more aliphatic hydroxyl groups than SWKL and WSSL while the SWKL and WSSL contain more phenolic groups. Based on this hydroxyl content, it would be expected that samples synthesized using HWLS would produce a more cross-linked TABLE 3 | Calculated OH:NCO ratios for lignin-based PU samples with 20, 40, and 60 wt% lignin loadings.

Lignin wt%	Lignin source	OH:NCO ratio's			
		Total	Aromatic	Aliphatic	Carb. acid
20	Hardwood lignosulfonate lignin (HWLS)	1.97	0.24	1.64	0.09
	Softwood Kraft lignin (SWKL)	1.16	0.57	0.42	0.17
	Wheat straw soda lignin (WSSL)	1.20	0.63	0.37	0.20
40	HWLS	5.26	0.64	4.38	0.25
	SWKL	3.08	1.51	1.11	0.46
	WSSL	3.21	1.68	1.00	0.54
60	HWLS	11.38	1.43	9.85	0.55
	SWKL	6.93	3.40	2.50	1.04
	WSSL	7.23	3.78	2.24	1.21

Carb. Acid: Carboxylic Acid.

material with higher mechanical properties than PUs synthesized with SWKL or WSSL. However, according to DMA and hardness testing results, HWLS-based PU has the lowest mechanical properties at all lignin loadings. This apparent discrepancy can be explained by the aggregation of the lignin observed by AFM and SEM, which inhibits the accessibility of these hydroxyl groups to react with isocyanate. The limited number of hydroxyl groups able to react with isocyanate results in a PU with fewer cross-links leading to the inferior storage modulus and shore hardness.

Even though SEM images of SWKL PUs showed aggregation within the sample, the AFM images exhibited improved mixing at the nanoscale level compared to HWLS samples. This improved dispersion of SWKL relative to HWLS increases the accessibility of hydroxyl groups of SWKL to react with the isocyanate moieties. This emphasizes that greater hydroxyl group accessibility is more important than the amount of hydroxyl groups present in the formation of cross-links and improvement of the storage modulus of the synthesized PUs. Although there is better dispersion at the nanoscale, SEM images show aggregation throughout SWKL samples, which limits the modulus of the material. The balance of these two factors, therefore, controls the modulus of the SWKL samples, which are higher than that of the HWLS-PU samples, but lower than the WSSL samples.

The large continuous phases observed in the AFM of WSSL PUs and the adhesion between domains in the SEM images indicate a higher level of mixing in WSSL samples. Thus, although WSSL, like SWKL, contains fewer hydroxyl groups than HWLS, even more hydroxyl groups are accessible to react with isocyanate due to the improved mixing. This improved reactivity leads to higher amounts of cross-linking resulting improved adhesion between domains as observed in the SEM images. The greater amount of cross-linking enhances the mechanical properties of the material leading to a higher modulus for WSSL-based PUs compared to the SWKL and HWLS samples.

Therefore, while the amount of hydroxyl groups that exist in each lignin, which is controlled by its plant source, impacts the reaction of the lignin with the isocyanate, the accessibility of hydroxyl groups is more important in controlling the extent of the reaction. The domain adhesion and mixing found in WSSL, brought on by more rigid urethane linkage formation, produces a more rigid framework increasing the mechanical properties of WSSL-based PU beyond those of HWLS and SWKL-based PU. Aggregation of particles and poor domain adhesion in the HWLS and SWKL PUs leaves voids and decreases the continuity of the lignin/PPG framework lowering the mechanical properties of the material. SWKL-based PU show better overall mechanical properties than HWLS samples due to SWKL's higher level of mixing on the nanoscale, yet are less rigid than the WSSL samples due to the aggregation that is also found throughout the framework albeit to a lesser extent than HWLS.

# CONCLUSION

The lignin plant source clearly affects the mechanical properties of lignin-based PU materials. The storage moduli of lignin-based PUs synthesized with PPG cross-linker increased with lignin loading for all three lignin sources due to lignin acting as the rigid component. PUs synthesized with WSSL lignin exhibited the highest storage modulus at all lignin loadings, while HWLS provided the lowest. The shore hardness of lignin-based PUs agreed with storage moduli (DMA results) showing that the hardness of PU increased with lignin loading and that WSSL samples exhibited the highest hardness at all lignin loadings while HWLS provided the lowest.

Thermal study showed multiple  $T_gs$  indicating the presence of multi-phase morphology for all lignin-based PUs. Different levels of mixing for all lignin sources were observed in AFM and SEM images. PUs synthesized with HWLS showed the poorest level of mixing with PPG, drastically lowering the mechanical properties. While distinct domains of a continuous phase formed in their AFM images indicated better mixing of SWKL with PPG leading to enhanced mechanical properties. The smaller particle size of WSSL lignin led to better mixing with PPG and the highest storage modulus at all lignin loadings in WSSL-based PUs. These differing levels of mixing correlated well with the observed thermal behavior of PUs where the  $T_g$  corresponding to the PPGrich phase increased, not only with lignin loading but also with the level of mixing indicating a drop in rubbery behavior of PPG chains and ultimately enhancing the storage modulus.

<sup>31</sup>P-NMR study revealed that HWLS contained the highest total hydroxyl group content while hydroxyl content of SWKL and WSSL were nearly the same. However, higher storage modulus in PU incorporated with SWKL despite having lower hydroxyl concentration than HWLS showed that not all hydroxyl groups were accessible to isocyanate moieties hindering the number of cross-links that could form. Due to the better dispersion amongst lignins, even more cross-links form in WSSL samples allowing WSSL samples to provide the highest storage modulus and shore hardness.

## **AUTHOR CONTRIBUTIONS**

MD conceived the experiments, led the interpretation of the results, and helped write the manuscript. US completed some of the experiments, and contributed to both the data interpretation and manuscript writing. JL completed the majority of the experiments and contributed to both the data interpretation and manuscript writing.

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# SUPPLEMENTARY MATERIAL

The Supplementary Material for this article can be found online at http://www.frontiersin.org/articles/10.3389/fenrg.2018.00004/ full#supplementary-material.

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**Conflict of Interest Statement:** The authors declare that the research was conducted in the absence of any commercial or financial relationships that could be construed as a potential conflict of interest.

The handling Editor declared a shared affiliation, though no other collaboration, with one of the authors, MD.

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