

# Effect of Broadband Infrastructure on Rural Household CO<sub>2</sub> Emissions in China: A Quasi-Natural Experiment of a "Broadband Village"

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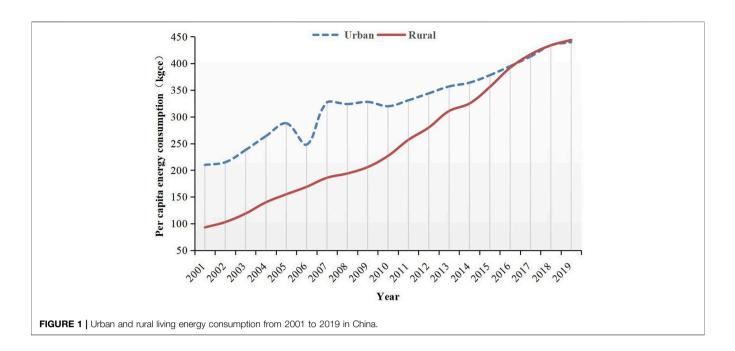
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Rao P, Xie F, Zhu S, Ning C and Liu X (2022) Effect of Broadband Infrastructure on Rural Household CO<sub>2</sub> Emissions in China: A Quasi-Natural Experiment of a "Broadband Village". Front. Environ. Sci. 10:818134. doi: 10.3389/fenvs.2022.818134 This paper explores how broadband infrastructure affects rural household carbon dioxide emissions (HCE). Based on the Environmental Kuznets curve hypothesis, a quasi-natural experiment of a "Broadband Village" (B&V) in China is conducted. Panel data from 9,790 rural households were collected as part of the China Family Finance Survey (CHFS). The consumer lifestyle approach was used to calculate HCE and a Difference-in-Differences (DID) model was used to analyze the impact of a B and V pilot project on rural HCE. The results of DID model showed that B and V significantly increases rural HCE, with an influencing coefficient 1.7. Subsequently, Threshold Model was utilized to examine the nonlinear relationship between household broadband penetration and rural HCE. The results revealed the threshold effect between rural household broadband penetration and rural HCE. Namely, the growth effect of B and V to rural HCE would be much weaker, if rural household broadband penetration goes above a threshold level, 31.32%. Our analysis provides important insights for policymakers to formulate digital village and income redistribution policies to support rural carbon dioxide (CO<sub>2</sub>) emissions reductions.

Keywords: CO2 emissions, rural household, broadband village, difference-in-differences, China

# **1 INTRODUCTION**

Overcoming the challenge of more frequent and extreme weather events has captured much attention from researchers (Howden et al., 2007; Huang et al., 2015; IPCC, 2018). Carbon dioxide (CO<sub>2</sub>) is the main gas causing climate change and greenhouse effects (World Bank, 2007). Climate change has substantial impacts on water balance, affecting the surrounding industry and agriculture and economic sectors (Zhou et al., 2018; Zhou et al., 2021). Therefore, reducing CO<sub>2</sub> emissions and promoting low-carbon development have become global development goals. Currently, most mitigation efforts are focused on the production side, such as emissions trading schemes (Cui et al., 2014; Liu et al., 2015; Wang et al., 2015; Arce et al., 2016; Xiong et al., 2017), and the development of low-carbon energy technology (Chen et al., 2011; Li et al., 2011; Du and Mao, 2015; Xue et al., 2015). However, approximately 72% of global CO<sub>2</sub> emissions can be attributed to household consumption (Hertwich and Peters, 2009; Wilson et al., 2013). A reduction of household CO<sub>2</sub> emissions (HCE) is essential for achieving the goals of the Paris Agreement (Dubois et al., 2019), which set to keep global temperature rise below 1.5°C below pre-industrial



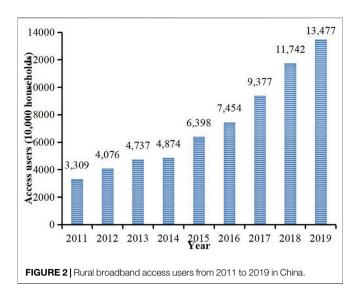
levels. Therefore, the issue of HCE has drawn increasing attention from researchers (Zeng et al., 2021). HCE mainly including  $CO_2$  emissions related to heating, air conditioning, cooking, water heating, food consumption, clothing, housing, transportation, and communications (Maraseni et al., 2015; Li J. et al., 2016).

The history of HCE research can be traced back to the 1990s. There were a series of studies on household energy requirements and related  $CO_2$  emissions from consumption activities in developed countries, such as Netherland (Vringer and Blok, 1995), Australia (Lenzen, 1998), and Denmark (Munksgaard et al., 2000). Subsequently, relevant studies were also carried out in developing countries, such as India (Pachauri, 2004), Brazil (Cohen et al., 2005). These studies endeavored to find the solutions to mitigating or limiting the  $CO_2$  emissions impacts from household consumption patterns and lifestyles.

In China, HCE has also grown rapidly in recent years (Zhou and Gu, 2020; Wu et al., 2019). For a long time, the energy consumed by rural households in China was mainly sourced from non-commercial traditional biomass, such as crop straw, firewood, and biogas (Zhang et al., 2014). Since China's energy market reformed in 1993, the energy consumed by rural residents has undergone a clear shift towards commercial energy sources. However, in the first decade of the 21st century, the annual growth rate in rural per capita CO<sub>2</sub> emissions was almost twice the urban rate (Yao et al., 2012). Figure 1 shows the trends in per capita energy consumption in China's urban and rural areas from 2011 to 2019, which indicates that rural consumption has gradually increased to a similar level as that of urban consumption. In China, about 500 million people live in rural areas, and their contribution to CO<sub>2</sub> emissions cannot be ignored. In addition, the emissions of CO<sub>2</sub> and other air pollutants generated by rural energy consumption have also attracted research attention (Tonooka et al., 2003; Zhang et al., 2010). Even though it is necessary to provide modern energy sources to rural residents, avoiding rapid increases in greenhouse gas emissions is a pressing issue (Yao et al., 2012). In response, the Chinese government is actively implementing a carbon peaking and carbon neutral strategy to deal with the challenges posed by global climate change. To achieve this goal, we need to focus on low-carbon consumption.

At the same time, rural areas are vigorously building digital villages. However, during the production of information and communication technology (ICT) equipment, processing, distribution, and installation waste significantly contribute to  $CO_2$  emissions (Shahnazi and Shabani, 2020). E-waste production and harmful ICT equipment, such as large data centers and mobile data traffic use, pose a threat to environmental quality (Lennerfors et al., 2015). The use of ICT mainly increases energy consumption and  $CO_2$  emissions (Park et al., 2018). However, dematerialization and online distribution, transport and travel substitution, monitoring and management applications, and product stewardship and recycling together reduce energy efficiency and reduce  $CO_2$  emissions (Danish B. et al., 2018; Ozcan and Apergis, 2018).

From the earlier literatures, the relationship between ICT and  $CO_2$  emissions is ambiguous. So it is particularly important to study the impact of broadband infrastructure on rural HCE. With the help of the policy impact of the B and V pilot, we analyzed the impact of broadband infrastructure on rural HCE. Broadband penetration can be used instead of the effectiveness of broadband infrastructure (Koutroumpis, 2009). Then, we analyzed the nonlinear relationship between rural household broadband penetration and rural HCE. Our results should help determine the implementation effects of rural broadband infrastructure and provide a basis for policymakers to formulate pertinent policies under the constraints of rural income equality. Meanwhile, China can achieve the goal of peaking  $CO_2$  emissions by 2030. Accordingly, this study provides several novel contributions.



First, unlike most of the previous studies, this study focuses on rural HCE. Second, we analyze the impact of broadband infrastructure on rural HCE using DID model. Third, we discuss the nonlinear relationship between rural broadband penetration and rural HCE.

This paper is organized as follows. After the Introduction, Section 2 reviews the literature. Then we introduce the background to "Broadband Village" (B and V) in China in Section 3; Section 4 describes the analytical framework, data source, and methodology. Section 5 presents our empirical results, which are subjected to a series of robustness tests. Section 6 concludes the paper.

#### **1.1 Literature Review**

Some scholars have explored  $CO_2$  emission reduction strategies from the perspective of energy use (Chung et al., 2009; Dong et al., 2016). For example, Dong et al. (2019) examined the emissiongrowth-renewables nexus for a global panel of 120 countries, and found renewable energy consumption had a negative effect on  $CO_2$  emissions, but its effect was not significant. Scholars have also investigated other mechanisms that reduce  $CO_2$  emissions, such as financial development (Zhao et al., 2021); ICT investments (Wang et al., 2021).

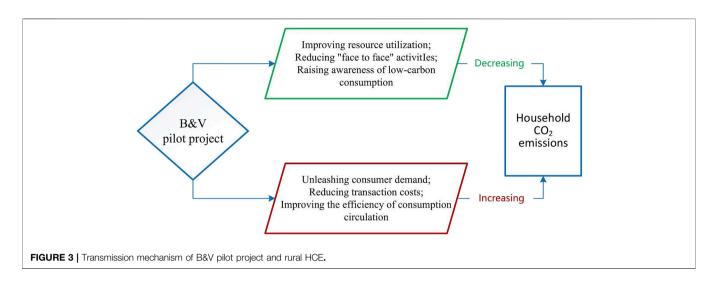
Broadband infrastructure, as high speed internet via broadband, may further facilitate economy-wide growth by accelerating the distribution of information, reduction of transport and transaction costs (Röller and Waverman, 2001; Czernich et al., 2011). Broadband access positively affects the retail sector in rural areas (Aldashev and Batkeyev, 2021). Household broadband penetration within a region is used to represent ICT development level (Akerman et al., 2015; Haller and Lyons, 2015; Fabling and Grimes, 2016). Since the impact of broadband infrastructure on  $CO_2$  emissions is less studied, we will further analyze the impact of ICT on  $CO_2$  emissions. Many studies have confirmed that ICT has positive impacts on economic growth, particularly in China (Gruber et al., 2014; Han and Zhu, 2014; Kumar et al., 2016; Ghosh, 2017). ICT has brought many positive changes to Chinese residents in terms of income and consumption, with rural areas benefiting more than urban ones (Gao et al., 2018). However, the impact of ICT on household energy consumption is not yet conclusive (Portier, 2005; Bernstein and Madlener, 2010; Ishida, 2015; Salahuddin and Alam, 2016).

At the national and sector levels, studies have suggested that ICT can support technological progress in different economic sectors and improve the operational and energy efficiency of infrastructure (Houghton, 2010; Salahuddin et al., 2015; Lin and Zhou, 2021). However, other studies have taken the opposite view; that the heavy use of Internet-related equipment, such as computers and data centers, can lead to significant increases in energy consumption with negative environmental impacts (David et al., 2018). Danish M. A. et al. (2018) used panel data from emerging economies from 1990 to 2015 to analyze the impact of ICT on environmental pollution. The results showed that the regulatory effect of ICT stimulated the level of CO<sub>2</sub> emissions. Higón et al. (2017) studied the impact of ICT on CO<sub>2</sub> emissions in 116 developing and 26 developed countries and obtained empirical results suggesting that there is an inverted "U"-shaped relationship between ICT and CO<sub>2</sub> emissions.

From a household-level perspective, ICT reduces outdoor activity by overcoming spatial barriers, thereby reducing energy consumption (Danish B. et al., 2018; Ozcan and Apergis, 2018). In addition, ICT can replace physical books with e-books, regular mail with e-mail, and phone conversations instead of face-to-face communication (Park et al., 2018; Ulucak et al., 2020). Bastida et al. (2019) found that ICT can reduce overall electricity consumption by 0.5%. However, some studies have shown that China is in the stage where the development of the Internet is increasing per capita  $CO_2$  emissions (Li and Song, 2019). To sum up, the impact of ICT on  $CO_2$  emissions is not uniform at the national, regional, or household levels.

Importantly, expanding household consumption and controlling  $CO_2$  emissions are long-term policy goals of the Chinese government. However, there may be a degree of incentive incompatibility between them. The Environmental Kuznets Curve (EKC) illustrates that rising income contributes to pollution but after up to a point, after which pollution decreases. However, pollution changes with income due to scale, composition, and technique effects (Grossman and Krueger, 1992, 1995). Therefore, it makes sense to explore the impact of ICT on  $CO_2$  emissions as an external technical effect (Zhou et al., 2019).

Although scholars have explored the impact of ICT on  $CO_2$  emissions, certain research gaps still exist. 1) In China, the potential for carbon reduction in rural is huge. Few previous studies have focused on the issue of rural HCE under the constraints of rural income equality. Based on the EKC hypothesis, this study analyzes the main influencing factors of rural HCE by using micro-tracking data from the CHFS. 2) Few scholars studied the impact of ICT or broadband infrastructure on HCE. We take the B and V pilot as a quasi-natural experiment and analyze the impact of broadband infrastructure on rural HCE using DID model 3) We also explore the nonlinear relationship between rural household broadband penetration and HCE. We



found a significant threshold effect between them. The growth effect of B&V to rural HCE would weaken a lot, if rural household broadband penetration goes above a threshold level.

## 1.2 Background to B&V in China

B&V is an important part of the Chinese government's strategy of "Broadband China"<sup>1</sup>. On 17 August 2013, the "Broadband China" Strategy and Implementation Plan was formally issued by the State Council. Due to China's urban-rural dual structure, the construction of rural broadband has lagged that of urban areas for a long time. In June 2014, with the overall planning of the goals of the Broadband China strategy, a B&V pilot project was conducted jointly by the National Development and Reform Commission, the Ministry of Finance, and the Ministry of Industry and Information Technology to achieve the rural broadband development goals. The project emphasizes the installation of optical cable in administrative villages and the development of 3G, 4G, and LTE networks to provide faster, cheaper Internet to rural residents<sup>2</sup>.

Sichuan Province and Yunnan Province were selected for the first phase of the B&V pilot project. Both provinces officially launched B&V construction projects in July 2014. In terms of the specific implementation, Sichuan and Yunnan Provinces were finally selected for the first phase of the B&V pilot project, which was contracted by Sichuan Telecom and Yunnan Mobile. Sichuan invested 659 million yuan, and Yunnan invested 628 million yuan in this project.

**Figure 2** shows the number of broadband users in rural areas from 2011 to 2019. The growth in rural broadband users accelerated between 2012 and 2015. According to the *Communication Industry Statistical Bulletin*, by the end of December 2015, the net increase in rural broadband users was 15.24 million compared with 2012. It increased by 31.3% from the end of 2014 to the end of 2015. Since China provided Internet access to the public in 1994, Internet construction in rural areas has made great progress in terms of the user penetration rate, Internet tariff level, and average downward speed, which have largely benefited from the B&V strategy.

# 2 MATERIALS AND METHODOLOGY

# 2.1 Theoretic Analysis Framework

This study analyzes the EKC hypothesis considering the role of broadband infrastructure. The nexuses between broadband infrastructure and HCE are complicated. But we can use ICT instead of broadband infrastructure to analyze the influences on HCE. The micro mechanism of ICT's impact on HCE is divided into two strands. In the first strand, ICT mitigates the level of CO2 emissions. ICT provides new alternatives, such as electronic bills instead of paper bills, phone conversations instead of face-to-face communication and reducing outdoor activities (Shabani and Shahnazi, 2019; Ulucak et al., 2020). The sharing economy and mobile payments brought by ICT greatly improve people's utilization of resources and reduce CO<sub>2</sub> emissions. Moreover, residents trust public positive information the most and trust private negative information the least (Xu et al., 2020). The spread of positive information, such as environmental protection, has been accelerated by the popularization of ICT. This has promoted the awareness of lowcarbon consumption in daily life. The development of the Internet has allowed people to quickly access information related to environmental pollution causes and hazards (Jiang et al., 2018).

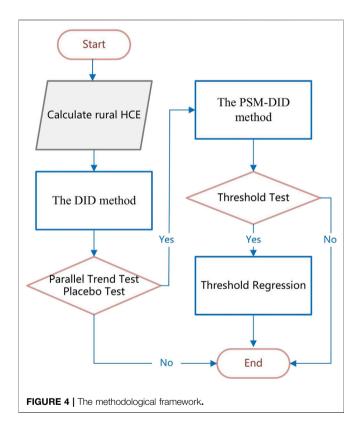
<sup>&</sup>lt;sup>1</sup>Broadband refers to Internet with a downlink speed of at least four Mbps (Federal Communications Commission 2011 standard). See http://www.gov.cn/zwgk/2013-08/17/content\_2468348.htm for "Broadband China" strategy.

<sup>&</sup>lt;sup>2</sup>The basic objectives of the Broadband China strategy are divided into two steps. In the first step (2013–2015), the national fixed broadband household penetration rate reached 50%, the administrative village broadband rate reached 95%, the 3G/LTE user penetration rate reached 32.5%, and the urban and rural household broadband access capacities reached 20 Mbps and four Mbps, respectively. In the second step (2016–2020), the national household fixed broadband penetration rate will reach 70%, the administrative village broadband rate will exceed 98%, the penetration rate of 3G/LTE users will reach 85%, and the broadband access capacity of urban and rural households will reach 50 Mbps and 12 Mbps, respectively.

#### TABLE 1 | Variables definition.

Variable Symbol	Variable Definition		
HCE	Household $CO_2$ emissions (MtC: metric ton of $CO_2$ )		
Broadband (Post×Treat)	Interaction term (dummy variable_year×dummy variable_experimental group)		
Computer	Family owned computer (Owned = 1; Otherwise = 0)		
Family_size	Family population (Persons)		
Total_income	Annual household income (10,000 yuan)		
Total_income square	Annual household income square		
Age	The age of householder (Years)		
Gender	The gender of householder (Male = 1; Female = 0)		
Primary	Householder's highest degree of education is primary (Yes = 1; Otherwise = 0)		
Junior	Householder's highest degree of education is junior (Yes = 1; Otherwise = 0)		
Secondary	Householder's highest degree of education is secondary (Yes = 1; Otherwise = 0)		
Higher	Householder has received higher education (Yes = 1; Otherwise = 0)		
Risk_p	Householder risk preference: (investing in high-risk project = 1; Otherwise = 0)		
Risk_a	Householder risk aversion: (Investment in Low Risk Project = 1; Otherwise = 0)		
LnGDP	Logarithm of provincial GDP		

Note: One USD, was about 6.09 and 6.46 Chinese yuan as of December 2013; December 2015, respectively.



Meanwhile, the second strand of argument dealt with the adverse impacts of that ICT on the environment. With ICT, the prices of goods and services fall, leading to higher consumer demand by residents and increased  $CO_2$  emissions (Shabani and Shahnazi, 2019). At the same time, the development of the Internet has reduced transaction costs and promoted trade activities (Ozcan and Nath, 2016). For residents, the use of the Internet has significantly improved their consumption circulation efficiency (Zhang, et al., 2020). **Figure 3** shows the theoretical analytical framework of this study.

#### 2.2 Data 2.2.1 Sources of Data

The dataset used in this study was obtained from four rounds of the CHFS conducted in 2011, 2013, 2015, and 2017. The CHFS is a nationwide survey of households across China, excluding Tibet, Xinjiang, Inner Mongolia, Hong Kong, Macao, and Taiwan. Each round of the investigation was based on respondents' family activities in the previous year. The CHFS data cover 29 mainland provinces and municipalities, 1,481 communities, and 40,011 households. The survey questionnaire included questions on 20 household consumption and expenditure items, including family clothing, food, housing, transportation, education, medical treatment, family visits, and tourism. The survey provides a comprehensive understanding of household consumption-related HCE. Sichuan and Yunnan Provinces have launched B&V pilot project in July 2014. In each of these years, 9,790 rural households were observed, making a total of 19,580.

#### 2.2.2 Core Variables

The explained variable included household  $CO_2$  emissions (HCE). We used Lee and Park's (2007) methods to calculate HCE from the perspective of household consumption. The specific steps are as follows. First, we referred to the scale of energy consumption in different years published in the *China Statistical Yearbook (2010–2018)* and the United Nations Intergovernmental Panel on Climate Change's (IPCC) reports to calculate the  $CO_2$  emission scales of different industries across the country. The specific calculation formula is:

$$CE_i = CEP_i \times CEC_i \tag{1}$$

In **Formula 1**,  $CE_i$  indicates the  $CO_2$  emission scales of industry *i*,  $CEP_i$  indicates the energy consumption of industry *i*, and  $CEC_i$  indicates the  $CO_2$  emissions index of the various energy sources consumed by industry *i*.

$$CI_i = \frac{CE_i}{GDP_i} \tag{2}$$

#### TABLE 2 | Describes statistical analyses.

Variables	Year	Control group (1)	Treatment group (2)	Difference (1)-(2)	T-Test
HCE	2013	6.1507	4.8459	1.3048	3.2690***
	2015	8.7449	9.0214	-0.2765	-0.4326
Computer	2013	0.1971	0.1054	0.0916	5.8590***
	2015	0.2370	0.1362	0.1008	6.0155***
Family_size	2013	4.0397	4.0922	-0.0525	-0.7042
	2015	4.3015	4.3734	-0.0719	-0.9095
Total_income	2013	3.5301	3.0549	0.4752	1.8928**
	2015	4.2474	3.2589	0.9885	2.2241**
Age	2013	53.8543	52.8185	1.0358	2.0651**
	2015	55.6827	55.0849	0.5978	1.1900
Gender	2013	0.8883	0.8609	0.0274	2.1533**
	2015	0.8754	0.8507	0.0247	1.8583**
Primary	2013	0.3768	0.5417	-0.1649	-8.4813**
	2015	0.3765	0.5417	-0.1652	-8.4965**
Junior	2013	0.3568	0.2562	0.1006	5.2844***
	2015	0.3550	0.2518	0.1032	5.4293***
Secondary	2013	0.1125	0.0571	0.0554	4.4717***
	2015	0.1131	0.0586	0.0545	4.3865***
Higher	2013	0.0107	0.0088	0.0019	0.4696
	2015	0.0111	0.0088	0.0023	0.5605
Risk_p	2013	0.0941	0.0937	0.0004	0.0373
	2015	0.0674	0.0673	0.0001	0.0030
Risk_a	2013	0.7316	0.7204	0.0112	0.6322
	2015	0.6610	0.6340	0.0270	1.4268*
InGDP	2013	28.1021	28.0402	0.0619	1.9956**
	2015	28.2755	28.2401	0.0354	1.1559

Note: 1. See **Table 1** for definitions of the variables; 2. \*\*\*p < 0.01, \*\*p < 0.05, and \*p < 0.1.

TABLE 3 | Results of model estimation.

Variables	DID Method		PSM-DID Method	
HCE	Model 1	Model 2	Model 3	
Broadband	1.5812**	1.7212**	1.7235**	
Computer	_	5.0693***	5.1811***	
Family_size	_	0.5986***	0.5906***	
Total_income	_	1.9223***	1.9652***	
Total_income square	_	-0.0255***	-0.0254**	
Age	_	0.0606***	-0.0598***	
Gender	_	-0.1664	-0.1535	
Primary	_	0.5075	0.5058	
Junior	_	1.5809***	1.5646***	
Secondary	_	1.0606**	1.0398**	
Higher	_	3.6223***	3.5666***	
Risk_p	_	1.9998***	2.0509***	
Risk_a	_	-0.5065**	-0.5201**	
LnGDP	_	-0.0785	-0.0902	
Year	Yes	Yes	Yes	
Experimental group	Yes	Yes	Yes	
_cons	6.1507***	6.9481*	7.2383	
Ν	15940	15490	15490*	
Adj.R <sup>2</sup>	0.01	0.09	0.09	

Note: 1. See **Table 1** for definitions of the variables; 2. Models one did not add control variables, whereas Models two to three did; 3. \*\*\*p < 0.01, \*\*p < 0.05, and \*p < 0.1.

In **Formula 2**,  $CI_i$  represents the CO<sub>2</sub> emissions per unit of GDP by industry and  $GDP_i$  represents the industry's GDP increment. Then, household consumption/expenditure items were classified by different industries. The amount of

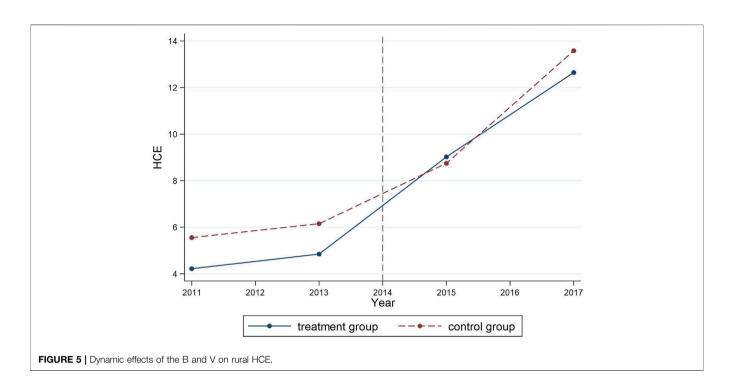
household expenditure was multiplied by the per-unit-GDP  $CO_2$  emission intensity of the industry to obtain the  $CO_2$  emissions generated by household consumption. Finally, the  $CO_2$  emissions from each consumer add up to the HCE. The specific calculation formula is as follows:

$$HCE = \sum_{i=1}^{n} CI_i \times consumption_i \tag{3}$$

In Formula 3, HCE is each household's annual  $CO_2$  emissions, and *consumption<sub>i</sub>* means that the household's consumption expenditure in the industries *i*. Detailed descriptions of rural households' consumption expenditure are listed in Supplementary Table S1 in Supplementary Material S1

B and V (Policy) was chosen as the core explanatory variable of our study. The value of the province's implementation of the B and V in 2014 is one; otherwise, it is 0. Our study drew on the research methods of Cheng et al. (2019). If a province implements a policy, all areas of the province would be subject to the policy simultaneously.

The factors affecting HCE are complex, so we also incorporated control variables. Some scholars have focused on changes in family income and consumption patterns, and the heterogeneity of the family members.  $CO_2$  emissions vary among households and income levels are the most important influence on this (Weber and Matthews, 2008; Golley and Meng, 2012; Sager, 2019). The higher the monthly income, the higher the energy consumption of travel, and the  $CO_2$  emissions of wealthy households can be more than three times those of poor ones (Druckman and Jackson, 2008).



So, we chose the annual household income (Total income) as a control variable (row 5, Table 1). The impact of families on environmental pollution and resource loss is not entirely determined by the number of families, and the heterogeneity of families will also have an important impact on household consumption. Indicators of household heterogeneity include family size, age of the household head, gender, education level, etc. The household head plays a very significant role in the household's consumption-related decision-making. The age, gender, and education level of the householder have crucial impacts on the scale and structure of household energy consumption (Golley et al., 2008; Liu et al., 2013). So, we chose Family\_size (row 4, Table 1) to reflect the family population. Age, Gender, Primary, Junior, Secondary, Higher, Risk p, and Risk a (rows 7-14, Table 1) were selected as indicators of household heterogeneity. Some studies believe that the impact of household heterogeneity exceeds those of income and consumption level (Starkey, 2012). Finally, we chose LnGDP (row 15, Table 1) as a provincial macroeconomic control variable. Table 1 defines the variables used in the econometric model of this study.

# **3 METHODOLOGY**

The methods used in this study were divided into two parts. First, to explore the impact of B and V on rural HCE, we used trace survey data from 2013 to 2015 for a Difference-in-Differences (DID) analysis. Second, in order to further verify the non-linear relationship between rural household broadband penetration level and HCE, threshold model were conducted with CHFS data from 2013, 2015, and 2017. The methodological framework of this article is shown in **Figure 4**.

## 3.1 DID Model

The data used in this paper are microscopic data, and B&V is a policy implemented by the central government at the provincial level. It is difficult for rural HCE to directly affect the formulation of this policy. Therefore, the B and V pilot program can be approximately considered as a quasi-natural experiment. The DID model is often used in the empirical research of policy evaluation (Wooldridge, 2010). To analyze the impact of B and V on HCE, the following DID model was constructed.

$$HCE_{it} = \beta_1 + \beta_2 Post_t + \beta_3 Treat_i + \beta_4 (Post_t \times Treat_i) + \beta_5 X_{it} + \varepsilon_{it}$$
(4)

In **Formula 4**,  $HCE_{it}$  is the CO2 emissions of household i at time t,  $Treat_i$  is a virtual variable stating whether the household is located in an experimental group province (  $Treat_i = 1$  for the experimental group provinces of Sichuan and Yunnan; otherwise,  $Treat_i = 0$ ),  $Post_t$  is a virtual variable of the year (when t = 2015 then  $Post_t = 1$ ; otherwise,  $Post_t = 0$ ). The coefficients of the interaction term ( $\beta_4$ ) describe the effects estimated by our paper.  $X_{it}$  is a control variable, such as household and householder characteristics and the logarithm of provincial GDP.

## **3.2 Threshold Model**

The threshold effect refers to the phenomenon in which when one parameter reaches a certain value or range, which is the threshold value, its effect on another parameter is reversed. The threshold value can be calculated by the threshold model. Compared with the linear model, the threshold model can explore the relationship between explanatory variables and explained variables more accurately. Before performing the threshold effect analysis, a

TABLE 4 | Results of placebo test.

Variables	Model 4	Model 5
Broadband	-0.7099	-0.6932
Controls	No	Yes
Year	Yes	Yes
Experimental group	Yes	Yes
_cons	6.1137***	6.3215
Ν	15940	15940
Adj.R <sup>2</sup>	0.01	0.09

Note: 1. See Table 1 for definitions of the variables(Controls variables:Computer,

Family\_size, Total\_income, Total\_income square, Age, Gender, Primary, Junior, Secondary, Higher, Risk\_p, Risk\_a, LnGDP); 2. \*\*\*p < 0.01, \*\*p < 0.05, and \*p < 0.1.

number of threshold effect tests were conducted. Using the indicative function  $I(\cdot)$ , suppose there are two thresholds, the threshold regression model is as follows:

$$HCE_{it} = \mu_i + \beta_1' x_{it} \cdot I(x_{it} \le \gamma_1) + \beta_2' x_{it} \cdot I(\gamma_1 \le x_{it} \le \gamma_2) + \beta_3' x_{it}$$
$$\cdot I(x_{it} > \gamma_2) + \beta' x_{it}' + \varepsilon_{it}$$
(5)

In **Formula 5**,  $HCE_{it}$  is the CO<sub>2</sub> emissions of household i at time t. The individual intercept term  $\mu_i$  represents the fixed-effect mode, and  $\varepsilon_{it}$  is the interference term.  $x_{it}$  is a threshold variable,  $\gamma_1$  and  $\gamma_2$  are the threshold value,  $\beta_1$ ,  $\beta_2$  and  $\beta_3$  represent the influence of  $x_{it}$  on  $HCE_{it}$  of the threshold variable.  $x'_{it}$  are the other independent variables.

# **4 RESULTS**

#### 4.1 Descriptive Statistics

Table 2 shows the descriptive statistics of the variables. In the whole sample of 2013 and 2015, the average rural HCE was 7.40 MtC. After the pilot project in 2014, the average rural HCE was 8.77 MtC. The average rural HCE increased by 2.73 MtC before the pilot project, and the per capita CO<sub>2</sub> emissions of rural households was 2.03 MtC. Shi et al. (2020) utilized data from China micro surveys conducted in 2012, 2014, and 2016, and calculated an 2.81 MtC of HCE (including cities). It can be seen that in 2015, there was still a certain difference in the HCE of urban and rural households. After the B and V pilot project, household computer ownership increased from 19 to 23%. The rural household population did not differ significantly between the two surveys, both of which were about four persons. In 2015, the average household income after the pilot project increased by about 7,000 yuan compared to 2013. The mean age of rural householders was 54.7 years and 88% were male. In terms of the highest degree of education, 39% of householders had primary education. The risk-preference of householders accounted for 9% in 2013 and 6% in 2015, and there was no significant difference between the control and experimental groups. The proportion of risk-avoidant families decreased from around 72% in 2013 to around 65% in 2015, and the number of risk-neutral households increased.

#### **4.2 Estimated Results**

Our study was designed to explore the impact of B and V on rural HCE. Table 3 shows the estimates of the impact of B&V on rural HCE. Model 1 (column 2, Table 3) controls the year and experimental virtual variables. The coefficient of the interaction term (Broadband) is 1.5812 and is significant at 5%. The results show that without adding the control variables, the B&V pilot project significantly improved the rural HCE. Model 2 (column 3, Table 3) adds control variables such as household, household head, and provincial macroeconomic characteristics. The coefficient of the interaction term (Broadband) is 1.7217 and is significant at 5%. The interaction term coefficients of Model one and two varied little but showed an upward trend. In the household characteristic variables, Total\_income has a significant positive impact on rural HCE, while Total\_income square has a significant negative effect. That means there is an inverted "U"-shaped relationship between family income and HCE, again confirming the EKC hypothesis. Family\_size positively affects HCE and is significant at 1%. Age significantly negatively affects HCE, while Junior and Secondary and Higher all significantly positively affect HCE. The largest influence coefficient is Higher. Householders with risk preference have a greater probability of increasing HCE. Householders with risk aversion are more likely to have lower HCE. LnGDP did not pass the significance test. The empirical results show that the B and V pilot project has a role in improving rural HCE.

The ideal situation is that the individual characteristics of the treatment and control groups were the same before the pilot project. However, these groups did not fully meet this condition; therefore, there may be a selective bias. To alleviate this problem, we revisited the estimation analysis using the propensity score matching method (PSM) and the PSM-DID method of Heckman et al. (1997). Model 3 (column 4, Table 3) is the result of the PSM-DID model estimate. The interaction term (Broadband) is significant at 5%, and the coefficient size is very close to that of Model 2. In eliminating the impact of the characteristics of the householder and family, we once again confirm that the B and V pilot project has a role in increasing rural HCE. Although the research approach is different from those of previous studies, the conclusions that ICT contributes to CO<sub>2</sub> emissions are consistent with them (Danish M. A. et al., 2018; Shabani and Shahnazi, 2019).

Overall, the estimated results indicate that China is in the stage where the development of ICT is increasing rural HCE. Income is an important driver of  $CO_2$  emissions over time (Dong et al., 2019). We found that different income levels of rural households have different contribution to  $CO_2$  emissions. That is, when income reaches a certain level, it reduces  $CO_2$  emissions.

## 4.3 Parallel Trend Test

Parallel trend is assumed to be a prerequisite for DID. If the parallel trend assumption is not satisfied, then the estimated policy effect is not a completely true policy effect. Time-series plots for both the treatment and control groups are used. We use data from 4 years (2011, 2013, 2015, 2017) to map the average HCE on the *y*-axis and years on the *x*-axis. According to **Figure 5**,

TABLE 5 | Results of threshold test.

Explained Variable	Null Hypothesis	Threshold Quantity	Interval	p Value	Numbers of BS
HCE	Single threshold	11.2300	[10.3050,11.8000]	0.0000***	300
	Double thresholds	16.1600	[16.0100,18.0800]	0.0167**	300
	Triple thresholds	23.0700	[22.9300,23.2100]	0.7500	300

Note: 1. See **Table 1** for definitions of the Explained variables; 2. \*\*\*p < 0.01, \*\*p < 0.05, and \*p < 0.1.

TABLE 6   Results of threshold regression.			
Variables	Model 6	Model 7	
Interval 1 ( <i>rbp</i> < 16.16)	0.7081***	0.5143***	
	(15.0259)	(8.5128)	
Interval 2 (16.16 < <i>rbp</i> < 31.32)	0.4441***	0.2916***	
	(15.0051)	(6.9677)	
Interval 3 ( <i>rbp</i> > 31.32)	0.1778***	0.1497***	
	(5.7354)	(4.7442)	
Controls	No	Yes	
Time fixed effect	Yes	Yes	
Individual fixed effect	Yes	Yes	
_cons	-0.936	-519.260***	
Ν	19602	19602	
$R^2$	0.02	0.07	

Note: 1. See **Table 1** for definitions of the variables(Controls variables:Family\_size, Total\_income, LnGDP; Definition of rbp see **Formula 5**); 2. \*\*\*p < 0.01, \*\*p < 0.05, and \*p < 0.1; 3. The values of T are in parentheses.

we can judge the temporal trend between the treatment and control groups after the B and V pilot project year. There is no significant difference in the temporal trends before the B and V pilot project year, so the parallel trend test is passed.

#### 4.4 Placebo Test

A placebo test is the use of a false policy occurrence time or experimental group to test whether a policy effect could be obtained. If the policy effect is still obtained, it shows that the estimated policy effect is not reliable. Guizhou and Chongqing are geographically adjacent to Yunnan and Sichuan, and the levels of economic development are similar. Therefore, this paper conducted a placebo test by changing the experimental groups, Guizhou and Chongqing, and two provincial administrative samples. The test results in **Table 4** show that the interaction terms do not pass the significance test, which indicates that estimated policy effect is reliable.

#### 4.5 Further Studies

The EKC hypothesis suggests that the impact of income level on the environment is nonlinear. So, is there a non-linear relationship between the rural household broadband penetration and  $CO_2$  emissions in China? The empirical findings suggest that there is an inverted "U"-shaped relationship between ICT development and  $CO_2$  emissions (Higón et al., 2017). Some scholars revealed that threre was a threshold of external policies for economic resilience (Li Q. et al., 2016). We believe that the B and V pilot project period was the initial stage of rural household broadband penetration. Therefore, the impact of household broadband penetration on rural HCE does not have a simple linear relationship. In order to further verify the non-linear relationship between rural household

broadband penetration level and HCE, we obtained two indicators from the *China Statistical Yearbook*: the total broadband access users (*abu*) and rural broadband access users (*rbu*) of provincial administrative units. Broadband penetration is generally measured by the number of broadband connections available (Koutroumpis, 2009), and this paper adopts the same approach. So, the rural household broadband penetration (*rbp*) is calculated as:

$$rbp = \frac{rbu}{abu}$$
(6)

Then, the relationship between *rbp* and HCE is determined by drawing from the panel threshold model proposed by Hansen (1999). The threshold variable is the rural household broadband penetration (*rbp*), and the control variables include *total\_income*, *family\_size*, and *LnGDP*. **Table 5** is the number of threshold test results, which show that the number of thresholds is two.

Based on the above analysis, considering the double threshold effect and combining the microscopic data of the CHFS surveys from 2013, 2015, and 2017, the threshold model is established as follows:

$$\begin{aligned} HCE_{it} &= \mu_i + \beta_1' r b p_{it} \cdot I \left( r b p_{it} \leq \gamma_1 \right) + \beta_2' r b p_{it} \cdot I \left( \gamma_1 \leq r b p_{it} \leq \gamma_2 \right) + \beta_3' r b p_{it} \cdot \\ I \left( r b p_{it} > \gamma_2 \right) + \beta_5' total\_income_{it} + \beta_6 family\_size_{it} + \beta_7 ln GDP_{it} + \varepsilon_{it} \end{aligned}$$

$$(7)$$

 $HCE_{it}$  is the CO<sub>2</sub> emissions of household i at time t. The individual intercept term  $\mu_i$  represents the fixed-effect mode, and  $\varepsilon_{it}$  is the interference term.  $rbp_{it}$  is a threshold variable,  $\beta_1, \beta_2$ and  $\beta_3$  represent the influence of  $rbp_{it}$  on  $HCE_{it}$  of the threshold variable. total\_income<sub>it</sub>, family\_size<sub>it</sub>, and  $\ln GDP_{it}$  are the other independent variables. Table 6 presents the threshold regression results, which show that there is a nonlinear relationship between rural household broadband penetration and HCE. From Table 5, Models 6 and 7, the different proportions of rural broadband access have completely different effects on HCE. There is an obvious threshold effect and the promotion effect weakens rapidly. In Table 5, Model six shows that the double threshold values are 16.16, 31.32, and 31.32% without considering the control variables. In rural areas with a low rural household broadband penetration level (rbp <16.16), to the next level (16.16 < rbp < 31.32), the coefficient of impact on HCE decreased from 0.7081 to 0.1778; all were significant at the 1% level. Model 7 (Table 5) suggests that the impact coefficient of rural household broadband penetration on HCE in rural areas decreased from 0.5143 to 0.1497 when considering control variables (all significant at 1%). This shows that in a low level of rural household broadband penetration, it significantly increases the rual HCE and, with further level, the impact of increase declines rapidly.

# **5 CONCLUSSION AND DISCUSSION**

## 5.1 Conclusion

Whether ICT or broadband infrastructure can achieve a "winwin" between environmental conservation and economic development has captured much research attention. However, very few scholars have studied the impact of broadband infrastructure on rural HCE. We explore how broadband infrastructure affects rural HCE and examine the nonlinear relationship between household broadband penetration and rural HCE. We came to the following conclusions. 1) During the study period, B and V pilot project has a role in increasing rural HCE. We estimated an effect corresponding to an increase of approximately 1.7 MtC by the implementation of B and V in rural HCE. 2) Technology and income are both key drivers of HCE. Further, there was an inverted "U"-shaped relationship between family income and HCE, again confirming the EKC hypothesis. Different income levels of rural households have different contribution to CO<sub>2</sub> emissions. That is, when income reaches a certain level, it reduces CO<sub>2</sub> emissions. 3) In further verifying the nonlinear relationship between the rural household broadband penetration and HCE, we found a significant threshold effect between them. When the rural household broadband penetration is below 31.32%, it significantly increases HCE. The growth effect of rural household broadband penetration to HCE would be much weaker, if rural household broadband penetration goes above 31.32%.

Actually, the conclusions are helpful to clarify the implementation direction of the  $CO_2$  mitigation strategies and digital villages strategy. First, improve rural household broadband penetration level, and cross the 31.32% threshold as soon as possible. Second, raising the income level of rural households can help achieve a "win-win" between environmental conservation and economic development. Third, improve the level of ICT application and develop rural industries.

#### 5.2 Discussion

First, the B and V pilot project was taken as an external policy impact and the DID method was adopted for analysis. We found that different income levels of rural households have different contribution to  $CO_2$  emissions. That is, when income reaches a certain level, it reduces  $CO_2$  emissions. These results were consistent with those by Lutz (2019). However, expanding consumption and controlling greenhouse gas emissions are both long-term policy goals of the government, yet there may be some degree of incentive incompatibility between the two. Excessive pursuit of equity in household income distribution may increase  $CO_2$  emissions generated by household consumption (Heerink et al., 2001). Thus, when the government formulates income redistribution policies, it also needs to consider the environmental externalities.

Second, the growth effect of B and V to rural HCE would be much weaker, if rural household broadband penetration goes

above a threshold level. Earlier studies have also found a nonlinear relationship between household broadband penetration and  $CO_2$  emissions (Higón et al., 2017; Li and Song, 2019). Considering China's situation, Internet technology is changing all aspects of rural life, such as its consumption model, consumption structure, and family income. In view of the situation that rural broadband construction has lagged that of urban areas for a long time (Liu and Wang, 2021), we should emphasize that promoting household broadband penetration in under-developed areas can raise them above the threshold. Developed areas can make good use of the Internet to reduce  $CO_2$  emissions. Improving energy utilization efficiency and reducing the use of straw and coal in rural areas can also reduce  $CO_2$  emissions.

Third, during the study period, B and V pilot project has a role in increasing rural HCE. Previous contributions tested for this association at a macro level (Li and Song, 2019). But what is important now is to take full advantage of the benefits brought by broadband infrastructure. Rural residents were more vulnerable to external shocks, such as climate change, because of their lack of nature and financial capital (Peng et al., 2018). To solve these problems, China has adopted a lowcarbon economy to balance the relationship between CO<sub>2</sub> emissions and economic development (Zhang et al., 2011). With the help of the advantages of ICT, we will advocate for the dissemination of information related to low-carbon lifestyles to rural consumers via the Internet, improve their ability to judge the energy efficiency of electrical appliances, encourage them to buy low-carbon products, and improve the efficiency of resource utilization. ICT has also played a significant role in rural production and has helped reduce farming household cropland abandonment by 43.20% (Deng et al., 2019). Therefore, China is vigorously implementing the digital village strategy and expanding the application of ICT in rural areas.

Of course, the results of this paper only demonstrated a nonlinear relationship between rural household broadband penetration and rural HCE. During the study period, there is no evidence that there is an inverted "U"-shaped relationship between the ICT and HCE. Therefore, the next step will be to add the latest data by expanding the time span of the study sample. We hope to demonstrate that when the rural household broadband penetration is at a higher level, the corresponding changes in rural HCE.

# DATA AVAILABILITY STATEMENT

The raw data supporting the conclusion of this article will be made available by the authors, without undue reservation.

# AUTHOR CONTRIBUTIONS

PR proposed the research idea, developed the model and wrote the first manuscript draft. CN and XL collected data and

performed the computations. FX and SZ revised them critically. All authors have made a substantial and intellectual contribution to this article and approved it for publication.

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## SUPPLEMENTARY MATERIAL

The Supplementary Material for this article can be found online at: https://www.frontiersin.org/articles/10.3389/fenvs.2022.818134/full#supplementary-material

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