

# Decision of Comprehensive Development Level of Reclaimed Water Based on Improved Catastrophe Theory: A Case Study in Yunnan Province, China

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In order to utilize reclaimed water resources reasonably and efficiently, it is necessary to strengthen the evaluation of reclaimed water. However, a major problem with traditional reclaimed water assessment methods is that it mostly focuses on a single aspect. In this study, an evaluation model of comprehensive development level of reclaimed water which consists of four layers with three criteria and 17 indicators is established. An improved catastrophe theory was utilized to obtain diversely distributed adjusted assessment values of comprehensive development level of reclaimed water. Finally, the single-factor sensitivity analysis was performed on the aforementioned model, and the sensitivity sequence of each indicator was determined according to the comprehensive development ability of reclaimed water. The results showed that 1. In Yunnan Province, the comprehensive development level of reclaimed water grew steadily from 2012 to 2015 but declined in 2016. Water deficiency was the most sensitive factor, followed by wastewater discharge, grade 1B treatment level, and construction impact as the secondary sensitive factors. 2. Compared with the fuzzy comprehensive evaluation method, the improved catastrophe evaluation method has the advantages of the rigorous theory, concise model, and simple calculation, and the decision result is more objective and reliable.

Keywords: reclaimed water, water environmental pollution, comprehensive assessment, improved catastrophe theory, sensitivity analysis

# **1 INTRODUCTION**

As a non-conventional water resource, reclaimed water is obtained by the proper treatment of wastewater in accordance with nominated water quality standards, which can be used for designated purposes (Wu et al., 2018; Paul et al., 2020). It plays an important role in alleviating water shortages and water environmental pollution (Garcia and Pargament, 2015; Ofranko et al., 2020). Therefore, utilization of reclaimed water is an urgent task to mitigate global water shortage (Nguyen et al., 2017).

Reclaimed water assessment needs to be strengthened for reasonable and efficient use of reclaimed water (Wang et al., 2017; Maestre-Valero et al., 2019). Traditional reclaimed water assessment methods often focus on a single aspect (Furumai, 2008; Glick et al., 2019). For example, Yu et al. (2020) used chemometrics and multivariate statistics to evaluate the quality of reclaimed water from Chaobai River in Beijing; Bailey et al. (2020) developed a quantitative microbial risk assessment of reclaimed water to assess the additional disease burden from wastewater reuse; Li et al. (2016)

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Huang X and Qiu L (2022) Decision of Comprehensive Development Level of Reclaimed Water Based on Improved Catastrophe Theory: A Case Study in Yunnan Province, China. Front. Environ. Sci. 10:862087. doi: 10.3389/fenvs.2022.862087 assessed the value of reclaimed water resource in Xi'an, Xianyang, Baoji, and Weinan based on the fuzzy matter element model; Listowski et al. (2013) established an economic evaluation model applying for any infrastructure system of urban recycled water. However, each of these assessments focuses on the unilateral evaluation of benefits, risks, and water quality, and these index systems can only reflect the characteristics of a certain aspect in the process of reclaimed water utilization (Wang et al., 2012). The index system is single, which is unilateral and one-sided, without evaluating the whole process of comprehensive reclaimed water utilization. In order to comprehensively evaluate the comprehensive development level of reclaimed water in different regions, it is necessary to establish a comprehensive evaluation index system covering more factors.

At present, the fuzzy comprehensive evaluation method is a commonly used comprehensive evaluation method. Zhang et al. (2021) used fuzzy comprehensive evaluation to assess the stability of ground surface along tunnels in karst terrain. Li et al. (2018) used fuzzy comprehensive evaluation to evaluate the comprehensive development level of reclaimed water in Luoyang city. Zhang (2021) combined the analytic hierarchy process and the fuzzy comprehensive evaluation method to obtain the road bridge construction safety risk level.

The catastrophe theory (Tang et al., 2009; Arouq et al., 2020) was systematically described by Rene Thom, a French mathematician, in the book *Structural Stability and Morphogenesis* (Li et al., 2019; Maestre-Valero et al., 2019) published in 1972, which laid a foundation for the research of the catastrophe theory. At present, the catastrophe theory has been applied to many disciplines. For example, Cubitt and Shaw (1977) analyzed the deposition process of materials with the catastrophe theory; Potier-Ferry (1985) described the instability phenomenon in elastic fracture mechanics and plastic mechanics with the catastrophe theory. Th ecatastrophe theory has also been widely used in the evaluation of water resources. Ahmed et al. (2015) proposed catastrophe theory-based evaluation to evaluate groundwater potential areas in arid areas; Shao et al. (2012) adopted the catastrophe evaluation method to evaluate agricultural water utilization efficiency.

In this study, the improved catastrophe method is used to evaluate the comprehensive development level of reclaimed water, and the fuzzy comprehensive evaluation method is used to check the results.

In addition, there are a number of factors that influence the comprehensive development level of reclaimed water. These factors are characterized by uncertainty and randomization in the assessment process, which will further affect assessment results (Zhao et al., 2015). However, analyzing the uncertainty of the factors of comprehensive development ability of reclaimed water and determining the importance sequence of these factors are of great significance for controlling the comprehensive development level of reclaimed water which act as a reference for the planning of reclaimed water development.

Therefore, in order to make reasonable and efficient use of reclaimed water resources, the assessment of the comprehensive development level of reclaimed water should be improved as an aid to help fully assess the level of reclaimed water and find key factors that increase the development level of reclaimed water.

# 2 MATERIALS AND METHODS

## **Study Area**

Yunnan Province lies on the border of southwest China, Figure 1, with a total area of 394,100 square kilometers, accounting for 4.1% of the country's total land area, ranking eighth in China. Yunnan Province is rich in water resources. In 2014, the total amount of water resources in the province was 172.7 billion cubic meters, ranking the third in China. It has more than 900 rivers and more than 40 natural lakes each of whose catchment area is over 100 square kilometers. The per capita water resource in Yunnan is 1.83 times of that in China (2014 water resources bulletin of Yunnan Province). In spite of that, water resources in Yunnan Province are distributed unevenly in terms of time and space, from May to October, the precipitation is large, reaching more than 80% of the annual precipitation, while from November to April, the precipitation is less than 20% of the annual precipitation, Yunnan is inflicted with severe seasonal water shortage. In addition, Yunnan Province belongs to mountainous and plateau terrain, with a mountainous area of 331,100 square kilometers, accounting for 84% of the total area of the province, and various types of karst landforms are developed. The population, cultivated land, and water resources do not match. The dam area, which accounts for less than 7% of the land area of the province, concentrates about one-third of the population and two-thirds of the cultivated land, but the amount of water resources is only 5% of the province, the development and use of water resource impose a great difficulty and require a high cost; as a result, the available water resource is quite limited. In this context, it is of great urgency to study the comprehensive development level of reclaimed water in Yunnan Province.

## **Catastrophe Theory**

The catastrophe theory can be adopted to directly address discontinuity independent of any special internal mechanism, in particular to systems whose internal forces are yet to be determined (Ather, 2021). The theoretical mathematical model is simple, and can solve the unknown problems that cannot be solved by traditional mathematical methods, so it is widely used in many fields. Common catastrophe models are described in **Table 1**.

The criterion for selecting different catastrophe models given in **Table 1** is the number of control variables. When the control variable is 1, it corresponds to the fold catastrophe. When the number of control variables is 2, it corresponds to the cusp catastrophe. When the number of control variables is 3, it corresponds to the swallowtail catastrophe. When the number of control variables is 4, it corresponds to the butterfly catastrophe. The control variables here are the evaluation indexes of each layer.

## Catastrophe Theory-Based Multi-Criterion Assessment Method

The catastrophe theory-based assessment method (Zhang et al., 2013; Lv et al., 2020) is a multi-criterion approach and includes the following steps:



TABLE 1 | Common catastrophe models and their normalization formulas.

Catastrophe model	Control variable	Potential function	Normalization formula	
Fold catastrophe	1	$f(x) = x^3 + ax$	$X_a = \sqrt{a}$	
Cusp catastrophe	2	$f(x) = x^4 + ax^2 + bx$	$x_a = \sqrt{a}, x_b = \sqrt[3]{b}$	
Swallowtail catastrophe	3	$f(x) = x^5 + ax^3 + bx^2 + cx$	$x_a = \sqrt{a}, x_b = \sqrt[3]{b}, x_c = \sqrt[4]{c}$	
Butterfly catastrophe	4	$f(x) = x^6 + ax^4 + bx^3 + cx^2 + dx$	$x_a = \sqrt{a}, x_b = \sqrt[3]{b}, x_c = \sqrt[4]{c}, x_d =$	

**Note:** *a*, *b*, *c*, and *d* are control variables, and  $x_{a}$ ,  $x_{b}$ ,  $x_{c}$ , and  $x_{d}$  are the corresponding values of catastrophe progression.

- 1) Development of an assessment matrix system. Indicators are selected according to the internal mechanism of the system to develop a multi-layer tree structure matrix which is able to reflect the importance sequence of these indicators.
- 2) Normalization of dimension of bottom layer data (control variables). In this step, original data of all indicators are normalized to non-dimensional comparable data within 0~1.

For indicators concerning which higher values are preferred:

$$x = (x_i - x_{min}) / (x_{max} - x_{min}).$$
(1)

For indicators concerning which lower values are preferred:

$$x = (x_{max} - x_i) / (x_{max} - x_{min}).$$
(2)

3) Normalization. A normalization formula is used to perform recurrence qualification analysis-based calculation to obtain the initial comprehensive assessment value  $R_i$ . According to the order from the bottom to the top, different potential functions of catastrophe model are selected according to the number of control variables (indicators), and the

recursive calculation is carried out layer by layer. Finally, the catastrophe assessment value  $R_i$  can be obtained. Given the nature of the practical problem, "complementary" or "non-complementary" criterion applies. ① Non-complementary criterion: when the effects of control variables on the state variables are not interchangeable, the final value of these control variables is determined according to minimal principle; ②complementary criterion: when the effects of control variables can compensate each other, the final value is the mean of these control variables.

## Improvement of the Catastrophe Assessment Method

Given the fact that normalization formulas for catastrophe assessment methods feature with convergence results, the final assessment results are often high (approach to 1, in **Table 4**), and the gaps between assessment values are often small. Although the quality of the evaluation object can be determined by the size order of the comprehensive evaluation value, it is not as intuitive as the evaluation

Category	Indicator name	Indicator nature	Year					
			2012	2013	2014	2015	2016	
Water resource C1	Water deficiency $D_1$ (10 <sup>8</sup> m <sup>3</sup> )	(-)	8.16	8.77	9.12	9.8	10.1	
	New tap water supply $D_2$ (10 <sup>8</sup> m <sup>3</sup> )	(+)	0.54	0.59	0.65	0.7	0.81	
	Substitute underground water $D_3$ (10 <sup>8</sup> m <sup>3</sup> )	(+)	449.84	496.73	557.4	615.16	669.7	
Environment $C_2$	Wastewater discharge $D_4$ (10 <sup>8</sup> t)	(-)	10.98	12.86	15.75	17.33	19.66	
	Landscape water use $D_5$ (10 <sup>8</sup> m <sup>3</sup> )	(+)	1.56	1.84	2.02	2.35	2.58	
Economy $C_3$	GDP D <sub>6</sub> (in 100 million yuan)	(+)	10309.47	11832.31	12814.59	13619.17	14869.95	
	Industrial output $D_7$ (in 100 million yuan)	(+)	10265.73	11853.84	12840.64	12169.67	13022.86	
Wastewater treatment C <sub>4</sub>	Wastewater treatment volume $D_8$ (10 <sup>8</sup> m <sup>3</sup> )	(+)	7.6	8.5	9.3	10.06	11.36	
	Grade 1A treatment level $D_9$ (%)	(+)	0.76	0.79	0.82	0.85	0.88	
	Grade 1B treatment level $D_{10}$ (%)	(+)	0.9	0.91	0.94	0.95	0.94	
Society $C_5$	Policy support D <sub>11</sub>	(+)	75	78	80	87	88	
	User acceptance level $D_{12}$	(+)	80	82	86	90	89	
Economic impact $C_6$	Comprehensive net benefit $D_{13}$	(+)	7.16	7.7	8.07	12.89	9.1	
	Marginal benefit $D_{14}$	(+)	3.1	3.36	3.6	3.9	4	
Engineering C7	Uncertainty on wastewater reuse $D_{15}$	(-)	72	69	65	63	60	
	Risk of reclaimed water quality $D_{16}$	(-)	75	72	67	66	64	
	Construction impact $D_{17}$	(-)	63	65	66	70	71	

TABLE 2 | Indicators for assessing comprehensive development level of reclaimed water.



value obtained by other comprehensive evaluation methods. 1. The year with relatively low comprehensive development level (2013) has a very high comprehensive evaluation value ( $R_i$  = 0.941), which is not in line with people's habit of evaluating f objects according to the absolute size of evaluation value, and easily leads to misunderstanding (Dou and Ghose, 2006; Huderek et al., 2019). 2. The gaps between assessment values are often small. This is not convincing enough nor conducive to the follow-up utilization of evaluation results. For example, the year with a relatively low comprehensive development level (2013,  $R_i = 0.941$ ), although it is smaller than the year with a high comprehensive development level (2015,  $R_i = 0.971$ ), the gap is only 0.03, which is not conducive to intuitively seeing the dynamic change range and characteristics of comprehensive development level of reclaimed water through evaluation.

In particular, an adjusted initial catastrophe assessment was used to overcome the aforementioned problem and improve the catastrophe assessment method in this study. The adjustment process is described as follows:

- 1) The grade scale is refined to calculate the top-layer catastrophe assessment value  $r_n$  when each bottom layer control variable is 0, 0.05, 0.1, 0.15, 0.2,..., 0.9, 0.95, 1. In addition, this scale is used for describing the initial comprehensive value. Each grade corresponds to the following range  $(r_n, r_{n+1})$  (n = 0, 1, 2, 3,..., 19).
- 2) After the initial comprehensive assessment value  $R_i$  is obtained through the recurrence calculation is 2.2, given the grade scale  $(r_n, r_{n+1})$  (n = 0, 1, 2, 3, ..., 19) to which  $R_i$  corresponds.

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r_n \le R_i \le r_{n+1} then 0 \le R_i - r_n \le r_{n+1} - r_n and r_{n+1} - r_n \ne 0
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Indicator's membership degree	0	0.05	0.1	0.15	0.2	0.25	0.3	0.35	0.4	0.45	0.5
Grade n	0	1	2	3	4	5	6	7	8	9	10
Target layer's threshold value rn	0	0.852	0.883	0.902	0.916	0.927	0.936	0.944	0.950	0.957	0.962
Indicator's membership degree	0.55	0.6	0.65	0.7	0.75	0.8	0.85	0.9	0.95	1	
Grade n	11	12	13	14	15	16	17	18	19	20	
Target layer's threshold value rn	0.967	0.972	0.976	0.980	0.984	0.988	0.991	0.994	0.997	1	

Thus 
$$0 \le \frac{R_i - r_n}{r_{n+1} - r_n} \le 1; \ n \le \frac{R_i - r_n}{r_{n+1} - r_n} + n \le 1 + n,$$
  
 $0.05 \times n \le \left(\frac{R_i - r_n}{r_{n+1} - r_n} + n\right) \times 0.05 \le (1 + n) \times 0.05,$ 

Then  $0.05 \times n \le R'_i \le 0.05 + 0.05 \times n$  ( $n = 0, 1, 2, 3, \dots, 19$ ).

3)  $R_i$  is then mapped to the corresponding evenly distributed range, and obtains the calculation formula of the adjusted assessment value  $R'_i$ , which therefore increases the definition of the comprehensive assessment value.

$$R'_{i} = 0.05 \left[ \left( \frac{R_{i} - r_{n}}{r_{n+1} - r_{n}} \right) + n \right].$$
 (3)

4) The adjusted comprehensive value is taken as the basis of the comprehensive evaluation value. The larger the adjusted comprehensive value is, the better the scheme is.

## A Sensitivity Analysis of Factors that Influence the Comprehensive Development Level of Reclaimed

The sensitivity analysis is a crucial approach to analyze uncertain factors in an assessment (Schneeweiss, 2010). In this analysis, correlation to the assessment result is analyzed and predicted by changing each factor within its possible value range. A sensitivity analysis can be based on a single factor or multiple factors.

In a single-factor sensitivity analysis, only one factor is changed to an unfavorable direction (positive indicator is decreased by a certain percent and negative indicator is increased by a certain percent) while other factors remain unchanged; which is used to study the impact of change in such factors on the assessment result. A factor which shows a great impact on the output value is called a sensitive factor, otherwise, a non-sensitive factor. Given the fact that a change in a sensitive factor may impose a great impact on the development level of reclaimed water, all sensitive factors must be strictly controlled.

## **RESULTS AND DISCUSSION**

## **Assessment Matrix System**

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The system for assessing the comprehensive development level of reclaimed water consists of many indicators and various influencing indicators interact with each other, which makes it a challenge to construct the assessment matrix system.

- 1) Comprehensive consideration of water quantity, water quality, technology, society, benefit, risk and other factors in combination with the actual situation.
- 2) Referring to relevant research results, using the literature survey method to determine indicators from the use frequency of indicators and quantitative difficulty of indicators.

#### Construction of the Assessment Matrix System

This matrix system (**Figure 2**) consists of four layers: target layer (*A*), criterion layer (*B*), element layer (*C*), and indicator layer (*D*). Based on the three assessing criteria: stress index, condition index,

TABLE 4 | Comprehensive development level of reclaimed water calculated with the improved catastrophe assessment method.

Item	2012	2013	2014	2015	2016
Catastrophe evaluation method $R_i$	0.532	0.941	0.960	0.971	0.955
Improved catastrophe evaluation method $R'_i$	0.031	0.334	0.480	0.586	0.437

<b>TABLE 5</b>   Standards for grade classification in relation to comprehensive development level of reclaimed	TABLE 5	I Standards for gra	ade classification in relation to	comprehensive development l	level of reclaimed water.
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Comprehensive development level of reclaimed water grade	Grade I poor	Grade II pass	Grade III (2015) acceptable	Grade I good	Grade I outstanding
Indicator's membership degree	(0, 0.2)	(0.2, 0.4)	(0.4, 0.6)	(0.6, 0.8)	(0.8, 1)
Catastrophe evaluation method threshold value	(0, 0.916)	(0.916, 0.9504)	(0.9504, 0.972)	(0.972, 0.988)	(0.988, 1)
Improved catastrophe evaluation method threshold value	(0, 0.2)	(0.2, 0.4)	(0.4, 0.6)	(0.6, 0.8)	(0.8, 1)

**TABLE 6** | Assessment result of comprehensive development level of reclaimed water.

Item	2012	2013	2014	2015	2016
Grade	Poor	Pass	Acceptable	Acceptable	Acceptable

and impact index, the basic framework is constructed, covering a total of 17 indicators, the indicators of each layer are arranged from left to right according to the decreasing degree of their influence on the higher layer, which is basically enough to reflect the comprehensive development level of reclaimed water.

The stress index reflects the demand degree of the development and utilization of reclaimed water at the present stage. The condition index describes the economic, technical, and social conditions of the development and utilization of reclaimed water at the present stage. The impact index describes the economic benefits and potential risks brought by the development and utilization of recycled water to the whole society.

The meanings of some indicators are as follows: water deficiency  $D_1$  refers to the social water demand that cannot be met under the existing water supply level. The newly added tap water supply  $D_2$ refers to the amount of tap water supply that can be increased by the development and utilization of reclaimed water.  $D_3$  refers to the increase of groundwater supply to solve the problem of insufficient water supply. The discharge standard of pollutants for the municipal wastewater treatment plant (National Standards of People's Republic of China GB 18918-2002) is formulated mainly based on the treatment process and the function of the discharged receiving water body. According to the environmental functions and protection objectives of municipal wastewater treatment plants discharged into surface waters and the treatment process of wastewater treatment plants, the standard values of conventional pollutants of basic control items are rated into first-level standards, second-level standards, and third-level standards. The first-level standard is divided into standard A and standard B. The standard A of the first-level standard is the basic requirement for the effluent from the municipal wastewater treatment plant as reuse water. When the effluent from the wastewater treatment plant is introduced into the river or lake with less dilution capacity as

municipal landscape water and common reuse water, standard A of the first-level standard shall be implemented. When the effluent from the municipal wastewater treatment plant is discharged into the type III functional waters of GB3838 surface water (except for the designated drinking water source protection areas and swimming areas), GB3097 seawater type II functional waters and closed or semi-closed waters such as lakes and reservoirs, standard B of the first-level standard shall be implemented. The grade 1 A and B treatment levels  $D_9$  and  $D_{10}$  refer to the degree to which the reclaimed water resources in the region meet the standard A (B)%. The comprehensive net benefit of the system  $D_{13}$  refers to the system benefit that can be obtained after the operation of the reclaimed water project. The uncertainty on wastewater reuse  $D_{15}$ refers to the risk and uncertainty of existing wastewater reuse projects in operation. The risk of reclaimed water quality  $D_{16}$ refers to the potential risk of reclaimed water quality after treatment. Construction impact  $D_{17}$  refers to the negative impact of the implementation of the reclaimed water project on the surrounding environment. Among the indexes, D2, D3, D5, D6,  $D_{7}$ ,  $D_{8}$ ,  $D_{9}$ ,  $D_{10}$ ,  $D_{11}$ ,  $D_{12}$ ,  $D_{13}$ , and  $D_{14}$  are positive indexes. The larger the index is, the better it is. That is to say, the larger the index is, the higher the comprehensive development level of reclaimed water is;  $D_1$ ,  $D_4$ ,  $D_{15}$ ,  $D_{16}$ , and  $D_{17}$  are negative indicators. The smaller the indicator is, the better it is. That is to say, the smaller the indicator is, the lower the comprehensive development level of reclaimed water is. The original data are standardized according to formula (2).  $D_{11}$ - $D_{12}$  and  $D_{15}$ - $D_{17}$  are qualitative indexes, and the others are quantitative indexes. The qualitative indicators  $(D_{11}-D_{12})$  $D_{15}$ - $D_{17}$ ) selected in this study are processed quantitatively by means of expert scoring or a questionnaire survey, so as to avoid the subjectivity of data acquisition. Among them, policy support  $D_{11}$ , uncertainty on wastewater reuse  $D_{15}$ , the risk of reclaimed water quality  $D_{16}$ , and construction impact  $D_{17}$  are obtained by the method of scoring by experts; the user acceptance level  $D_{12}$  is obtained by the questionnaire survey. The target groups of the questionnaire include different genders, ages, occupations, and income groups. The number of respondents is 200. The questionnaire includes three types of questions such as the social background of the interviewees, understanding of water resources, and habits of water use and the acceptance of reclaimed water. The aforementioned indicators adopt a centesimal system.

#### TABLE 7 | Comparison of two methods

Year	Improved catastrophe evaluation me	Fuzzy comprehensive evaluation method			
	Adjusted comprehensive evaluation value	Ranking	Comprehensive evaluation value	Ranking	
2012	Poor (0.031)	5	Pass (0.208)	5	
2013	Pass (0.334)	4	Pass (0.347)	4	
2014	Acceptable (0.480)	2	Acceptable (0.565)	2	
2015	Acceptable (0.586)	1	Acceptable (0.597)	1	
2016	Acceptable (0.437)	3	Acceptable (0.516)	3	



## Standardization of Basic Data

2012–2016 comprehensive development level of reclaimed water in Yunnan Province is the subject of this study. Quantitative data for this study were obtained from Yunnan Water Resource Reports, Yunnan Provincial Statistical Year Books and other source, and qualitative data were obtained by questionnaires and other methods, as shown in **Table 2**.

The "Indicator nature" means whether an indicator is positive or negative. An indicator is positive if it is "+" ( $D_2$ ,  $D_3$ ,  $D_5$ ,  $D_6$ ,  $D_7$ ,  $D_8$ ,  $D_9$ ,  $D_{10}$ ,  $D_{11}$ ,  $D_{12}$ ,  $D_{13}$ ,  $D_{14}$ ), and negative if it is "-" ( $D_1$ ,  $D_4$ ,  $D_{15}$ ,  $D_{16}$ ,  $D_{17}$ ).

## Calculation of Assessment Values With Improved Catastrophe Models

In indicator layer (*D*): indicators  $D_1 \sim D_3$ ,  $D_8 \sim D_9$ , and  $D_{15} \sim D_{17}$  form a complementary swallowtail catastrophe model, and indicators  $D_4 \sim D_5$ ,  $D_6 \sim D_7$ ,  $D_{11} \sim D_{12}$ , and  $D_{13} \sim D_{14}$  a complementary cusp catastrophe model; in element layer (*C*):  $C_1 \sim C_2$  and  $C_6 \sim C_7$  form a complementary cusp catastrophe model, and  $C_3 \sim C_5$  a complementary swallowtail catastrophe model; in criterion layer:  $B_1 \sim B_3$  form a complementary swallowtail catastrophe model. Layer-by-layer calculations according to the normalization formulas shown in **Table 1** are depicted in **Figure 3** and result in initial assessment values.

The grade scale is refined to calculate the target layer assessment threshold value  $r_n$  when the membership of each

indicator in the bottom layer is (0, 0.05, 0.1, 0.15, 0.2,..., 0.9, 0.95, 1). Also, this scale is used for describing the initial comprehensive value. This process is shown in **Table 3**. If the calculated initial assessment value  $R_i$  falls within  $(r_n, r_{n+1})$  (n = 0, 1, 2, 3,..., 19), the comprehensive development level of reclaimed water is considered as grade n+1.

The initial assessment value  $R_i$  is transformed to the adjusted comprehensive assessment value  $R'_i$  according to **formula (3)**, that is, the adjusted comprehensive assessment value obtained with the improved catastrophe method, as shown in **Table 4**.

It can be seen from **Table 4** that the conclusions of catastrophe evaluation method are aggregated, the evaluation results tend to 1, and the differences between the evaluation values are small (0.941, 0.960, 0.971, and 0.955), which is not conducive to people's intuitive judgment; the improved catastrophe evaluation method overcomes the problem that the comprehensive evaluation value of traditional catastrophe evaluation method is too high and too close, and the results are scattered, so it is easy to draw conclusions and more convincing.

## Quantification of Threshold Values Corresponding to Comprehensive Development Levels of Reclaimed Water

In everyday life, standards for assessing matters are customary. For example, people tend to use fuzzy words like "outstanding, good, acceptable, pass, and fail" as the assessment scales. However, in the

#### TABLE 8 | Indicator sensitivity analysis (5%).

Category	Indicator name	Indicator nature	2015 indicator	2015 indicator changed by 5 percent toward unfavorable direction	Assessment value with changed indicator	Absolute value of the rate of change in assessment value	Indicator sensitivity
Water resource C <sub>1</sub>	Water deficiency <i>D</i> <sub>1</sub> (10 <sup>8</sup> m <sup>3</sup> )	(-)	9.8	10.29	0.963	0.782	Most sensitive indicator
	New tap water supply <i>D<sub>2</sub></i> (10 <sup>8</sup> m <sup>3</sup> )	(+)	0.7	0.665	0.969	0.164	Less sensitive indicator
	Substitute underground water $D_3$ (10 <sup>8</sup> m <sup>3</sup> )	(+)	615.16	584.402	0.970	0.061	Non-sensitive indicator
Environment $C_2$	Wastewater discharge $D_4$ (10 <sup>8</sup> t)	(-)	17.33	18.1965	0.969	0.164	Less sensitive indicator
	Landscape water use $D_5$ (10 <sup>8</sup> m <sup>3</sup> )	(+)	2.35	2.2325	0.970	0.061	Non-sensitive indicator
Economy $C_3$	GDP <i>D<sub>6</sub></i> (in 100 million yuan)	(+)	13619.17	12938.2115	0.970	0.061	Non-sensitive indicator
	Industrial output $D_7$ (in 100 million yuan)	(+)	12169.67	11561.1865	0.969	0.164	Less sensitive indicator
Wastewater treatment $C_4$	Wastewater treatment volume <i>D</i> <sub>8</sub> (10 <sup>8</sup> m <sup>3</sup> )	(+)	10.06	9.557	0.970	0.061	Non-sensitive indicator
	Grade 1A treatment level <i>D</i> <sub>9</sub> (%)	(+)	0.85	0.8075	0.970	0.061	Non-sensitive indicator
	Grade 1B treatment level D <sub>10</sub> (%)	(+)	0.95	0.9025	0.968	0.267	Sensitive indicator
Society C <sub>5</sub>	Policy support $D_{11}$	(+)	87	82.65	0.970	0.061	Non-sensitive indicator
	User acceptance level D <sub>12</sub>	(+)	90	85.5	0.970	0.061	Non-sensitive indicator
Economic impact C <sub>6</sub>	Comprehensive net benefit $D_{13}$	(+)	12.89	12.2455	0.971	0.042	Non-sensitive indicator
	Marginal benefit $D_{14}$	(+)	3.9	3.705	0.970	0.061	Non-sensitive indicator
Engineering C7	Uncertainty on wastewater reuse $D_{15}$	(-)	63	66.15	0.970	0.061	Non-sensitive indicator
	Risk of reclaimed water quality $D_{16}$	(-)	66	69.3	0.97	0.061	Non-sensitive indicator
	Construction impact $D_{17}$	(-)	70	73.5	0.967	0.370	Sensitive indicator

aforesaid improved assessment, 20 scales are used in order to ensure a higher precision, which is inconsistent with people's habit and presents a challenge for obtaining assessment conclusion.

To more intuitively assess the development of reclaimed water, the grade scales are further restricted to five scales by having the membership degrees of indicators evenly distributed, that is, (0, 0.2), (0.2, 0.4), (0.4, 0.6), (0.6, 0.8), and (0.8,1] and by dividing the target layer into five corresponding ranges, as shown in **Table 5**.

It is known from calculation results with the improved evaluation method (**Table 4**) that  $R'_{2012} = 0.031$  means that the comprehensive development level of reclaimed water in 2012 is "poor" (grade I);  $R'_{2013} = 0.334$  means that the 2013 level is "pass" (grade II); R' value from 2014 to 2016 falls within (0.4, 0.6), indicating the 2014–2016 level is "acceptable" (grade III), as shown in **Table 6**.

## DISCUSSION

In order to analyze the reliability of the catastrophe theory evaluation results, the fuzzy comprehensive evaluation method (Zhang et al., 2021; Li et al., 2018; Zhang et al., 2021) is applied to the comprehensive development capacity evaluation of reclaimed water. The index selection is the same as the catastrophe evaluation method, and the weight is determined by the combination weighting method of AHP (analytic hierarchy process) and entropy weight. The results are shown in **Table 7**.

It can be seen from **Table 7** that the evaluation results of the two methods are basically the same, and the evaluation value of the fuzzy comprehensive evaluation method is slightly higher than that of the improved catastrophe evaluation method as a whole. Although the result of the fuzzy comprehensive evaluation method in 2012 is one level higher than that of the improved catastrophe evaluation method, its comprehensive evaluation value is 0.208, which is close to the threshold value of these two levels (0.2). The results of the two evaluation methods are consistent in other years, and the ranking of evaluation method does not need to determine the index weight artificially, which reduces the difficulty of evaluation and is more worthy of popularization and application.

From the perspective of trend, the comprehensive development level of reclaimed water grew steadily from 2012 to 2015, although

#### TABLE 9 | Indicator sensitivity analysis (10%).

Category	Indicator name	Indicator nature	2015 indicator	2015 indicator changed by 10 percent toward unfavorable direction	Assessment value with changed indicator	Absolute value of the rate of change in assessment value	Indicator sensitivity
Water resource $C_1$	Water deficiency D <sub>1</sub> (10 <sup>8</sup> m <sup>3</sup> )	(-)	9.8	10.78	0.963	0.782	Most sensitive indicator
	New tap water supply $D_2$ (10 <sup>8</sup> m <sup>3</sup> )	(+)	0.7	0.63	0.968	0.267	Less sensitive indicator
	Substitute underground water $D_3$ (10 <sup>8</sup> m <sup>3</sup> )	(+)	615.16	553.644	0.969	0.164	Non-sensitive indicator
Environment $C_2$	Wastewater discharge $D_4$ (10 <sup>8</sup> t)	(-)	17.33	19.063	0.965	0.576	Sensitive indicator
	Landscape water use $D_5$ (10 <sup>8</sup> m <sup>3</sup> )	(+)	2.35	2.115	0.969	0.164	Non-sensitive indicator
Economy $C_3$	GDP $D_6$ (in 100 million yuan)	(+)	13619.17	12257.25	0.968	0.267	Less sensitive indicator
	Industrial output $D_7$ (in 100 million yuan)	(+)	12169.67	10952.7	0.968	0.267	Less sensitive indicator
Wastewater treatment $C_4$	Wastewater treatment volume $D_8$ (10 <sup>8</sup> m <sup>3</sup> )	(+)	10.06	9.054	0.971	0.042	Non-sensitive indicator
	Grade 1A treatment level $D_9$ (%)	(+)	0.85	0.765	0.968	0.267	Non-sensitive indicator
	Grade 1B treatment level $D_{10}$ (%)	(+)	0.95	0.855	0.965	0.576	Sensitive indicator
Society $C_5$	Policy support $D_{11}$	(+)	87	78.3	0.968	0.267	Less sensitive indicator
	User acceptance level D <sub>12</sub>	(+)	90	81	0.968	0.267	Less sensitive indicator
Economic impact C <sub>6</sub>	Comprehensive net benefit $D_{13}$	(+)	12.89	11.601	0.971	0.042	Non-sensitive indicator
	Marginal benefit $D_{14}$	(+)	3.9	3.51	0.968	0.267	Less sensitive indicator
Engineering C7	Uncertainty on wastewater reuse $D_{15}$	(-)	63	69.3	0.968	0.267	Less sensitive indicator
	Risk of reclaimed water quality $D_{16}$	(-)	66	72.6	0.969	0.164	Non-sensitive indicator
	Construction impact $D_{17}$	(-)	70	77	0.967	0.370	Less sensitive indicator

there was a certain degree of decline in 2016, it still showed an upward trend over the years. This is mainly because during the "12th Five Year Plan" period, Yunnan Province invested about 1.8 billion yuan to build 98 (centralized) reclaimed water utilization facilities, added 230,800 tons/day of reclaimed water treatment scale, and build 1,496 km of reclaimed water pipe network to ensure that the recycling rate of urban sewage in the province reaches 15%. Therefore, from 2012 to 2015, the comprehensive development level of reclaimed water showed an upward trend year by year. According to the water resources bulletin of Yunnan Province, in 2016, the amount of surface water resources in Yunnan Province was 5.5% less than the multi-year average, and the amount of groundwater resources was 9.3% less than the multi-year average, resulting in the decline of the comprehensive development capacity of reclaimed water in 2016.

## Sensitivity Analysis of Factors That Influence Comprehensive Development Level of Reclaimed Water

According to the evaluation results, the comprehensive development level of reclaimed water was low in 2012 and

showed an upward trend from 2012 to 2016. After 2014, the comprehensive development level of reclaimed water tended to be stable. In this study, taking the comprehensive development level of reclaimed water in Yunnan Province in 2015 as the research object, and based on the evaluation model of comprehensive development level of reclaimed water established before, single-factor sensitivity analysis of the model indicators was conducted to study how the assessment value changes when each indicator is changed toward the unfavorable direction by the same percent (5% (**Table 8**), 10% (**Table 9**)) and determine the sensitivity of each indicator according to the absolute value of so-caused change in assessment value.

The absolute values of changes in assessment values calculated by changing each of the 17 indicators toward the unfavorable direction according to **Table 8** and **Table 9** are plotted in **Figure 4**. When the indicators change 5% toward the unfavorable direction, water deficiency  $(D_1)$  is the most sensitive factor and grade 1B treatment level  $(D_{10})$  and construction impact (D17) are sensitive factors; when the indicators change 10% toward the unfavorable direction, water deficiency  $(D_1)$  is the most sensitive factor and water deficiency  $(D_1)$  is the most sensitive factor and water deficiency  $(D_1)$  is the most sensitive factor and water deficiency  $(D_1)$  and grade 1B treatment level  $(D_{10})$  are

sensitive factors. Therefore, water deficiency  $(D_1)$  is the controlling factor in the assessment of comprehensive development level of reclaimed water, which should be given key monitoring. Wastewater discharge  $(D_4)$ , grade 1B treatment level  $(D_{10})$ , and construction impact  $(D_{17})$  are important factors. When these factors change, they will also have a great influence on the comprehensive development level of reclaimed water, which should be strictly controlled.

The results show that water deficiency  $(D_1)$  is the most sensitive factor; and wastewater discharge  $(D_4)$ , grade 1B treatment level  $(D_{10})$ , and construction impact  $(D_{17})$  are sensitive factors.

Water deficiency  $(D_1)$  and wastewater discharge  $(D_4)$  reflect the demand for reclaimed water in terms of water resources and water environment, that is, the pressure on the development and utilization of reclaimed water. They are the decisive indicators affecting the comprehensive development level of reclaimed water. Grade 1B treatment level  $(D_{10})$  is the water quality treatment standard that the sewage treatment plant can meet. It is the technical condition for the development and utilization of reclaimed water at this stage, which determines the process, cost, and the uses of reclaimed water. Therefore, the grade 1B treatment level  $(D_{10})$  is a key indicator affecting the comprehensive development level of reclaimed water. In addition, with people's increasing attention to environmental problems, the implementation of reclaimed water project will inevitably have a certain impact on the surrounding environment. Therefore, the construction impact  $(D_{17})$  has also become an important indicator affecting the comprehensive development level of reclaimed water. On the premise of finding out the situation of local water resources, local governments should formulate reclaimed water use planning, adopt reasonable water quality treatment standards, further improve the sewage treatment capacity, and give full play to the advantages of reclaimed water use in the construction and operation cost of water supply system, so as to bring significant environmental benefits and promote the construction of a water-saving society.

These factors should be given key monitoring and strictly controlled because any change in them may cause a great influence on comprehensive development level of reclaimed water.

## CONCLUSION

In this study, an improved catastrophe theory was applied to assess the comprehensive development level of reclaimed water and an uncertainty analysis was performed on factors that influence the comprehensive development of reclaimed water. These efforts have laid a foundation for reasonable and efficient use of reclaimed water and provided a reference for improving the development of reclaimed water, reducing environmental pollution, and effective planning of reclaimed water development.

1) A reclaimed water comprehensive assessment matrix framework consisting of four layers, target layer (*A*), criterion layer (*B*), element layer (*C*), and indicator layer (*D*), was developed in the study. With three criteria, "stress, condition, and impact," and 17 indicators, the framework can basically reflect the comprehensive

development of reclaimed water. It overcomes the shortcoming of the single evaluation index in the current reclaimed water evaluation model.

- 2) The catastrophe theory was applied to the comprehensive assessment of reclaimed water, which avoided the subjectivity and complexity caused by using the weight method. An improved assessment method based on the catastrophe theory was adopted to overcome the problem that results are often convergent from the conventional method. In the end, the assessment threshold values were calculated and a five-scale comprehensive assessment was applied to reclaimed water. The results show that from 2012 to 2016, the comprehensive development level of reclaimed water in Yunnan Province showed an upward trend and fluctuated to some extent, which is mainly related to the capital investment, policy support, and local water resources.
- 3) A single-factor sensitivity analysis was performed on each of the 17 indicators to study how the assessment value changes when each indicator is changed toward an unfavorable direction by the same percent (5 and 10%) and therefore determine the sensitivity of each indicator according to the absolute value of the so-caused change in assessment value. The results show that water deficiency is the most sensitive factor; wastewater discharge, grade 1B treatment level, and construction impact are sensitive factors. These factors should be given key monitoring and strictly controlled because any change in them may cause a great influence on the comprehensive development level of reclaimed water.

## DATA AVAILABILITY STATEMENT

The original contributions presented in the study are included in the article/**Supplementary Material**, further inquiries can be directed to the corresponding author.

# **AUTHOR CONTRIBUTIONS**

XH was responsible for the original concept and wrote the original draft; LQ revised the manuscript and shared suggestions to improve the study quality.

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# SUPPLEMENTARY MATERIAL

The Supplementary Material for this article can be found online at: https://www.frontiersin.org/articles/10.3389/fenvs.2022.862087/full#supplementary-material

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