



# Effects of Wood Hydraulic Properties on Water Use and Productivity of Tropical Rainforest Trees

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The efficiency of the water transport system in trees sets physical limits to their productivity and water use. Although the coordination of carbon assimilation and hydraulic functions has long been documented, the mutual inter-relationships between wood anatomy, water use and productivity have not yet been jointly addressed in comprehensive field studies. Based on observational data from 99 Indonesian rainforest tree species from 37 families across 22 plots, we analyzed how wood anatomy and sap flux density relate to tree size and wood density, and tested their combined influence on aboveground biomass increment (ABI) and daily water use (DWU). Results from pairwise correlations were compared to the outcome of a structural equation model (SEM). Across species, we found a strong positive correlation between ABI and DWU. Wood hydraulic anatomy was more closely related to these indicators of plant performance than wood density. According to the SEM, the common effect of average tree size and sap flux density on the average stem increment and water use of a species was sufficient to fully explain the observed correlation between these variables. Notably, after controlling for average size, only a relatively small indirect effect of wood properties on stem increment and water use remained that was mediated by sap flux density, which was significantly higher for species with lighter and hydraulically more efficient wood. We conclude that wood hydraulic traits are mechanistically linked to water use and productivity via their influence on sap flow, but large parts of these commonly observed positive relationships can be attributed to confounding size effects.

Keywords: functional traits, growth rate, hydraulic efficiency, net primary production, sap flux density, structural equation modeling, wood anatomy

## INTRODUCTION

Water availability is probably the single most important determinant of tree size and productivity on a global scale (Moles et al., 2009; Šímová et al., 2019). Evolving an efficient vascular system designed for long-distance water transport allows trees to place their carbon assimilating foliage high above the ground (Sperry, 2003), thereby gaining a competitive advantage over shorter

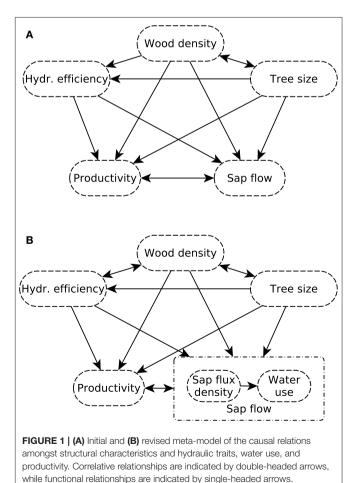
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neighbors in the competition for light. The absence of frost and extended dry periods in tropical rainforest climates with their usually ample water supply has spurred the race to reach advantageous canopy positions. The reduced selection for a "safe" xylem in perennially wet climates allowed for the development of highly conductive vascular systems (Maherali et al., 2004; Mencuccini et al., 2015; Sanchez-Martinez et al., 2020). To enable a sufficiently high water supply to the canopy, the stem wood of tall and productive tropical trees is commonly composed of wide xylem vessels that facilitate the necessary high flow rates (Poorter et al., 2010; Schuldt et al., 2013; Kotowska et al., 2015; Hietz et al., 2016). Nonetheless, trees cannot escape the inevitable link between carbon assimilation and transpirative water loss (Brodribb et al., 2002; Santiago et al., 2004; Brodribb, 2009). Water supply needs to be secured over the entire length of the flow path, which ultimately limits the height growth potential (Koch et al., 2004; Liu et al., 2019). Although the coordination of productivity and hydraulic functioning has long been recognized, the underlying causal links often remain unresolved and the precise role of xylem vascular anatomy for the efficiency of the water transport system, tree water use and stem growth rate is hard to quantify (Hoeber et al., 2014). Nevertheless, the approach to use easy-to-measure plant functional traits for characterizing the hydraulic architecture of tropical trees and for understanding the coexistence and performance of tree species in communities is increasingly popular (Poorter et al., 2018; McDowell et al., 2019).

In ecosystems with ample soil water supply and low atmospheric evaporative demand, the capacity to transport water efficiently to the canopy is most likely a key factor limiting gas exchange and carbon assimilation. Consequently, physiological traits related to soil water access and water transport correlate positively with biomass increment (Tyree, 2003; Hajek et al., 2014; Smith and Sperry, 2014; Kotowska et al., 2015). Next to wood properties that determine hydraulic efficiency, wood density (WD) is another widely used property that might be indicative of the growth performance of trees (King et al., 2006; Chave et al., 2009; Poorter et al., 2010; Hoeber et al., 2014) and of water use dynamics (Bucci et al., 2004). Fast-growing pioneer tree species tend to have lower wood densities than slower-growing species from later successional stages, with consequences for their wood anatomical structure, construction cost and water transport properties (Apgaua et al., 2015; Eller et al., 2018). However, in evergreen tropical rainforests, several studies found wood density to vary largely independently of hydraulic traits (Baraloto et al., 2010; McCulloh et al., 2010; Fan et al., 2012; Schuldt et al., 2013; Kotowska et al., 2015; Grossiord et al., 2019), putting into question the predictive value of wood density.

Wood density, vessel diameter and hydraulic conductivity are traits increasingly used in dynamic vegetation models aiming to predict plant productivity and population dynamics across a broad range of environments (Powell et al., 2013, 2017; Anderegg, 2015; Maréchaux et al., 2015; Rowland et al., 2015; Xu et al., 2016; Griffin-Nolan et al., 2018). Yet, it is still a matter of debate as to whether and to what extent hydraulic traits and wood properties represent suitable proxies for characterizing carbon and water fluxes in trees in the absence of water limitation (Zanne et al., 2010; Fortunel et al., 2014). Studies that simultaneously measured wood density, hydraulic anatomy, tree water use, and aboveground biomass increment in species-rich tropical rainforests are virtually absent, but are essential to achieve a more mechanistic understanding of carbon assimilation and growth in trees.

Based on a dataset of 99 tropical tree species from lowland and pre-montane rainforests in Sumatra and Sulawesi (Indonesia), we studied how species averages of central tree structural and functional properties (tree height, stem diameter, wood density) are associated with wood anatomical and derived hydraulic traits, and how they interact to affect average sap flux density, daily water use and aboveground biomass increment. To illustrate that pairwise bivariate correlations are not sufficient to capture the complex relationships between these variables appropriately, we first analyse a set of well-established relationships with standardized major axis (SMA) regressions, and then contrast the outcome to the results of a structural equation model (SEM) based on a-priori hypotheses about causal relationships (cf. Figure 1). Our main hypotheses were that (i) an efficient xylem is a prerequisite for high area-based maximal sap flow rates, (ii) tree species with a higher water use have a higher biomass increment, and (iii) wood anatomical traits are more closely related to productivity and water use than wood density.



#### TABLE 1 | List of selected tree traits for the Sumatra and Sulawesi samples.

Variable	Acr.	Unit	Sumatra			Sulawesi			Total		
			$\text{Mean} \pm \text{SD}$	n <sub>ob</sub>	n <sub>sp</sub>	Mean $\pm$ SD	n <sub>ob</sub>	n <sub>sp</sub>	Mean±SD	n <sub>ob</sub>	n <sub>sp</sub>
Diameter at breast height	DBH	cm	36.82 ± 19.77	151	84	$29.22 \pm 18.61$	114	15	$33.55 \pm 19.61$	265	99
Tree height	Н	m	$24.96\pm9.02$	151	84	$25.20\pm9.26$	114	15	$25.06\pm9.10$	265	99
Wood density	WD	g cm <sup>-3</sup>	$0.54\pm0.11$	151	84	$0.45\pm0.10$	113	15	$0.50\pm0.12$	264	99
Aboveground biomass increment	ABI	kg yr <sup>-1</sup>	$26.24\pm22.68$	119	71	$50.37\pm60.85$	33	8	$31.48\pm35.86$	152	79
Tree daily water use	DWU	kg d <sup>-1</sup>	$30.90\pm53.78$	88	57	$26.94\pm45.13$	90	15	$28.90\pm49.49$	178	72
Average sap flux density	$J_{\rm s}$	g cm <sup>-2</sup> d <sup>-1</sup>	$9.17\pm4.42$	88	57	$9.92\pm5.93$	90	15	$9.55\pm5.24$	178	72
Hydraulically weighted vessel diameter	$D_h$	μm	$181.4 \pm 56.1$	134	77	$147.9\pm64.7$	102	15	$166.9\pm62.1$	236	92
Vessel density	VD	n mm <sup>-2</sup>	$7.75\pm7.37$	134	77	$10.35\pm7.25$	104	15	$8.89 \pm 7.41$	238	92
Potential specific conductivity	Kp	kg m <sup>-1</sup> MPa <sup>-1</sup> s <sup>-1</sup>	$132.9 \pm 181.2$	134	77	$76.8\pm92.4$	104	15	$108.4 \pm 151.4$	238	92

Given are the acronyms used in the text, measurement units, averages ± standard deviations of the traits, observation counts (nob), and species numbers (nsp).

## MATERIALS AND METHODS

### **Study Sites**

This study investigates stem sap flux, wood anatomy and aboveground biomass increment of 99 tropical tree species from 37 families using measurements from 265 tree individuals (Supplementary Table S1), combining data from two long-term studies in plot networks on the Indonesian islands Sumatra and Sulawesi comprising a total of 22 plots (Supplementary Figure S1). Structural variables (wood density, tree diameter, tree height) were recorded from every tree individual, while wood anatomy was measured on 238, sap flux density on 178, and biomass increment on 152 tree individuals, respectively (see Table 1 for details). All individuals were mature trees with DBH  $\geq$  10 cm. On Sumatra, sampled trees were randomly chosen in equal proportions from dominant and co-dominant trees grouped in three tree size classes: larger (DBH > 50 cm), medium (50–25 cm) and smaller diameter (10–25 cm). On Sulawesi, measurements were performed on 15 species from dominant families chosen to represent slow-growing mid-story species as well as fast-growing upper canopy species, both from late stages of forest succession.

On the island of Sumatra, the field measurements were conducted in 16 lowland rainforest sites in Jambi province (01°47′ - 02°09′ S, 102°34′ - 103°20′ E; 80 m a.s.l.), which were mixed dipterocarp forests in a close-to-natural state but subject to logging activities in the past, or secondary forests comprising a mixture of regrown native species (see Drescher et al., 2016). In the region, average annual temperature is 27.4  $\pm$  1.0°C (data from 1991 to 2011; mean  $\pm$  SD), and annual precipitation is  $2,235 \pm 385$  mm. From June to September, the occurrence of a somewhat drier season (<120 mm monthly precipitation) is possible. Soil types in the region are sandy or clayey Acrisols (Allen et al., 2015). These study sites were part of EFForTS, a large collaborative research project on the ecological and socioeconomic consequences of rainforest transformation. On the island of Sulawesi, we used data from six study plots established in the frame of the STORMA project (see Hertel et al., 2009; Moser et al., 2014), situated in largely undisturbed oldgrowth rainforest at pre-montane elevation in the Pono Valley on the western boundary of Lore Lindu National Park close to Palu, Central Sulawesi province ( $01^{\circ}29.6'$  S,  $120^{\circ}03.4'$  E; 1,050 m a.s.l.). The soils of this forest are Acrisols over metamorphic rocks. The climate is wet all around the year, with mean annual precipitation of 2,700 mm and mean annual air temperature of 20.8°C (Moser et al., 2014).

## **Tree Morphological Characteristics**

Tree height was measured with a Vertex III height meter (Haglöf, Långsele, Sweden) and tree diameter was recorded with diametric measuring tape at breast height (130 cm) or 10 cm above obstacles such as buttress roots. Stem sapwood samples with a diameter of 5.15 mm and a length between 4 and 7 cm were taken of each tree with an increment corer (Haglöf, Långsele, Sweden). Wood density (WD, g cm<sup>-3</sup>), defined as oven-dry weight over wet volume, was calculated for each core. The fresh volume of each sample was determined by Archimedes' principle, or by using the length and diameter of the core assuming a cylindrical shape (Schüller et al., 2013). Subsequently, samples were oven-dried for 48 h at 105°C before determining their dry weight.

# Aboveground Biomass and Biomass Increment

Aboveground biomass was calculated with the R package BIOMASS (Réjou-Méchain et al., 2017) using the allometric equation of Chave et al. (2014) for tropical wet forests: AGB = $0.0673 \times (WD \times DBH^2 \times H)^{0.976}$ , where AGB is the estimated aboveground biomass (kg), DBH the diameter at breast height (cm), H total tree height (m), and WD stem wood density (g cm<sup>-3</sup>). We measured diameter increment using dendrometer tapes (UMS GmbH, München, Germany) or conducted repeated measurements with measuring tapes at marked positions on the stem. To estimate plant growth performance, we calculated aboveground woody biomass increment (ABI; kg tree<sup>-1</sup> yr<sup>-1</sup>) as the difference in tree biomass estimates (based on allometric regression models) over the measurement interval divided by the length of the measurement interval in years. Stem radial increment was monitored on trees equipped with dendrometers every 3 months from 2012 to 2017 in Sumatra and from 2007

to 2009 in Sulawesi, while a complete census of the remaining trees was conducted at the end of the study period. Tree height was measured only at the beginning of the study, as we expected the measurement error to be larger than height growth in the investigation period. The most extreme 2% of values recorded for AGB increment were excluded from further analysis, as they were most likely affected by measurement errors due to shifts in measurement positions on the stem.

# Wood Anatomical and Derived Hydraulic Traits

For the xylem anatomical analysis, we used the outermost 4 cm of the sapwood from the increment cores. In total, 134 samples from Sumatra belonging to 80 species and 104 samples from Sulawesi belonging to 15 species were analyzed. The samples were stored in 70% ethanol and stained with safranin (1% in 50% ethanol, Merck, Darmstadt, Germany) before sectioning. Subsequently, 10-20 µm semi-thin cross-sections of the entire 4 cm sample were cut with a sliding microtome (G.S.L.1, WSL, Birmensdorf, Switzerland). Photographs of the sections were taken with a stereo-microscope with an automatic stage equipped with a digital camera (SteREOV20, Carl Zeiss MicroImaging GmbH, Göttingen, Gemany) at 100x magnification. Image processing was done with Adobe Photoshop CS6 (version 13.0.1, Adobe Systems Incorporated, USA) and the particle analysis-function of ImageJ v. 1.50e (Schneider et al., 2012). All vessels of a section (mean  $\pm$  SD: 64.22  $\pm$  25.03 mm<sup>2</sup>) were analyzed, yielding 100-500 measured vessels per sample. The hydraulically weighted average vessel diameter  $(D_{\rm h}, \mu m)$  was calculated from the measured vessel diameters  $D_i$  as  $D_h = \sum D_i^5 / \sum D_i^4$  (Sperry et al., 1994). The potential hydraulic conductivity ( $K_p$ , kg m<sup>-1</sup> MPa<sup>-1</sup> s<sup>-1</sup>) of a wood segment was calculated based on Hagen-Poiseuille's law as  $K_p = (\pi \times \rho \times \sum D_i^4)/(128 \eta \times A_{xylem})$ , where  $D_i$  is the diameter of each single vessel *i*,  $\eta$  the water viscosity  $(1.002 \times 10^{-9} \text{ MPa s})$  and  $\rho$  the density of water (998.2 kg m<sup>-3</sup>), both at 20°C, and  $A_{xylem}$  (m<sup>2</sup>) the analyzed sapwood area.

### Sap Flux Measurements and Water Use

To derive daily tree water use rates, xylem sap flux density  $(J_s,$  $g \text{ cm}^{-2} d^{-1}$ ) was recorded with the thermal dissipation probe (TPD; Granier, 1985) technique [see Horna et al. (2011), Röll et al. (2019)]. Pairs of 20 mm long and 2 mm wide heating probes were inserted in northern and southern orientation into the sapwood at breast height (1.30 m) or above buttress roots; the upper probe was heated with a constant power of 0.2 W, resulting in a current of 120 mA. Subsequently, sensors were covered with reflective aluminum foil attached to isolative material and covered by plastic foil to minimize temperature gradients caused by radiation. The sensors were read by a CR1000 data logger (Campbell Scientific Inc., Logan, USA) and connected using an AM16/32 multiplexers, with data collected every 30 sec, averaged and logged at 10 min (Sumatra) or 30 min intervals (Sulawesi). Sap flux density was calculated according to the original empirical calibration coefficients given by Granier (1985) as  $J_s = 119$  $\times$  K<sup>1.231</sup>, where K is a temperature constant determined as  $K = (\Delta T_0 - \Delta T) / \Delta T$ , where  $\Delta T_0$  is the maximum temperature difference at zero flux night-time conditions, and  $\Delta T$  is the actual temperature difference between heated and reference probe. Several recent studies pointed out that using the original equation parameters given by Granier (1985) may result in a systematic underestimation of real flow, and recommend species-specific sensor calibration, which is hardly feasible in species-rich tropical forest settings (Steppe et al., 2010; Fuchs et al., 2017). However, a recent review of sap flow calibration studies concluded that notwithstanding this systematic bias, TDP sensors can be used when sap flow responses to environmental variables in relative terms are the primary focus of the study (Flo et al., 2019).

On Sumatra, sap flux density was measured for a minimum of 3 weeks on eight dominant and co-dominant tree individuals, which account for the major part of stand water use on each of the 16 plots, yielding 88 stems from 58 species in total. On Sulawesi, measurements were performed on up to 14 stems of each species on the six plots, which were continuously measured from July 2007 until April 2009, yielding 90 trees in total. We harmonized sap flux density by calculating the average of 3 representative days under similar evaporative demand were maximum values were reached. Sunny conditions with a daily average vapor pressure deficit (VPD) between 0.64 and 0.82 kPa (mean  $\pm$  SD: 0.71  $\pm$ 0.09 kPa) were chosen to obtain consistent estimates of daily mean sap flux density  $(J_s, g \text{ cm}^{-2} \text{ d}^{-1})$  for day-time hours (6) am to 7 pm). We chose this range for reasons of comparability because most trees had already reached their maximum flux at a VPD of 0.7 kPa (Supplementary Figures S2, S3). For details on microclimatic measurements in the respective study regions (i.e., air temperature and relative air humidity), see Drescher et al. (2016) for Sumatra and Horna et al. (2011) for Sulawesi.

To calculate daily water use per tree (DWU, kg d<sup>-1</sup>),  $J_s$ was multiplied with the corresponding sapwood area  $(A_s, cm^2)$ . We used a subsample of 66 tree individuals belonging to 13 species covering a large range of tree height (9.5-81.0 m) and wood density values  $(0.340-0.682 \text{ g cm}^{-3})$  for estimating  $A_{\rm s}$  with dye injection to determine the maximum depth of hydraulically active xylem (e.g., Meinzer et al., 2001). During the morning hours and before the onset of high transpiration rates, a hole was drilled with an increment corer (Haglöf, Långsele, Sweden) and a plastic tube filled with a 0.1% indigocarmin dye solution attached to the stem and sealed with modeling clay (Terostat IX, Henkel, Düsseldorf, Germany). The tube was fixed to the stem in horizontal orientation but with a slight inclination in order to avoid a pressure gradient that might actively force the dye into the sapwood, and refilled if necessary. In the afternoon, a second core was extracted 5 cm above the first hole, and sapwood depth measured with a caliper. The resulting measurements of sapwood depth were used to establish a relationship between the conductive sapwood area and diameter at breast height (P < 0.0001,  $r^2 = 0.75$ ), which was used to predict the  $A_s$  of all trees equipped with sap flux sensors according to  $A_s = 3.4794 \times \exp[0.7801 \times \exp(0.4689 \times$ ln(*DBH*))] (**Supplementary Figure S4**).

### **Statistical Analyses**

All statistical analyses were performed in R v. 3.6.1 (R Core Team, 2019) in the framework of the tidyverse package (Wickham et al., 2019).

The relationship between  $D_h$ , WD and a series of growth-, water use- and size-related traits as well as the relationship between DWU and ABI were analyzed using standardized major axis (SMA) regressions of species averages of those variables using R package smatr v. 3.4-8 (Warton et al., 2012). As most of the variables in the dataset were strongly right-skewed, all traits except wood density were natural log-transformed prior to analysis.

The presumed causal relationships between tree size and various plant functional traits were analyzed with a structural equation modeling (SEM) approach based on the R package lavaan v. 0.6-3 (Rosseel, 2012). Following Grace et al. (2012), we first developed a meta-model that reflected our a priori theoretical expectations about the relationships between wood density, wood anatomical traits and tree size, and the way these variables affect biomass increment and sap flow (Figure 1A). Initial data inspection suggested that the dataset was more accurately characterized by separating between the (area-specific) sap flux density and the (tree-specific) overall daily water use, and replacing the causal link between wood density and wood anatomical properties by a correlative relationship (Figure 1B). We described "hydraulic efficiency" by a latent variable measured through vessel diameter D<sub>h</sub>, vessel density VD and potential hydraulic conductivity  $K_p$ , and "tree size" by a latent variable linked to tree height and diameter. Biomass increment, water use and sap flux density were represented by the associated variables ABI, DWU, and  $J_s$ , respectively, resulting in the causal diagram displayed in Supplementary Figure S5.

A summary of the structural variables used in the model and wood density (average values of each trait and number of replicates per species) is given in **Supplementary Table S1**. Before model fitting, all variables except wood density were natural log-transformed. Subsequently, all variables were centered and scaled to aid convergence. As the number of observations varied between species and the number of species was unevenly distributed between Sumatra and Sulawesi (**Table 1**), we decided to work with species-level averages of the observed variables to circumvent problems related to the nested data structure and to reduce the impact of missing data. The dataset used for model fitting included species-level averages of all available data of the 265 individuals from 99 species.

The model was fit with a robust estimator with Huber-White standard errors to accommodate deviations from multivariate normality, and using full information maximum likelihood to account for pairwise missing data. We started by fitting the model described in Supplementary Figure S5. Model adequacy was then evaluated by the inspection of modification indices (cf. Rosseel, 2012). Model fit was assessed by the scaled  $\chi^2$ value and its corresponding P-value, Root Mean Square Error of Approximation (RMSEA) and Comparative Fit Index (CFI). Subsequent inspection of modification indices suggested that an important path was missing in the initial model based on Supplementary Figure S5, as this model did not account for the significant residual covariance between VD and  $K_p$ . After allowing for a correlation between these variables, there was no indication for missing paths and the model no longer showed significant deviations from the observed data (model  $\chi^2$  =

15.39, df = 15, P = 0.442) and achieved a high goodness of fit (CFI = 0.999, RMSEA = 0.016).

# RESULTS

# Unadjusted Relationships With Wood Anatomy and Wood Density

Species averages of wood anatomical and size-related variables were correlated with sap flux density, biomass increment, and water use (**Figure 2**). Wood density (WD), on the other hand, was only weakly associated with most other variables in the dataset (**Figure 2**). This is reflected in the results of the SMA regressions (**Figure 3**), which revealed a significant positive scaling relationship between hydraulically-weighted vessel diameter and biomass increment (*ABI*; r = 0.38, P < 0.001), daily water use (*DWU*; r = 0.56, P < 0.001), sap flux density ( $I_s$ ; r = 0.38, P < 0.001), and tree height (H; r = 0.39, P < 0.001), while their relationships to *WD* were insignificant with the exception of sap flux density (r = -0.30, P = 0.011; cf. **Figure 3**, **Supplementary Table S2**).

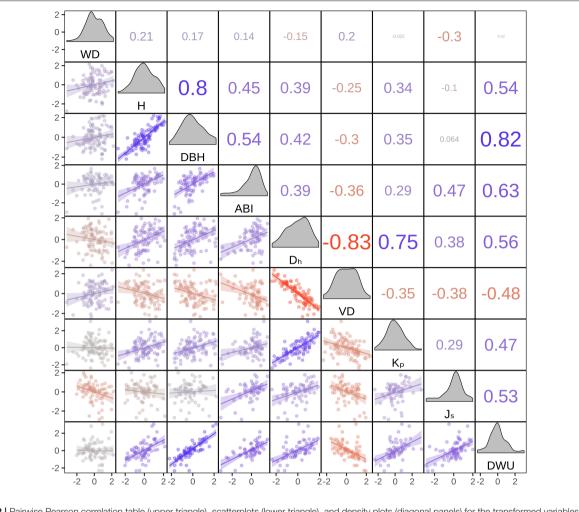
# Relationship Between Biomass Increment and Daily Water Use

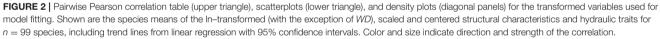
*ABI* had a highly significant positive relationship with *DWU* (r = 0.63, P < 0.001; cf. Figures 2, 4), with a scaling coefficient of 1.271 (95% CI 1.028 – 1.572; cf. Supplementary Table S2). However, our structural equation model indicated that the strong correlation between the two variables was largely driven by their shared positive relationship with  $J_s$  and average tree size (cf. Figures 2, 3). After accounting for the influence of these variables, no significant residual correlation remained between *ABI* and *DWU* (r = 0.145, z = 1.09, P = 0.28; Table 2, Figure 5).

# Determinants of Sap Flux Density and Water Use

We found  $J_s$  to be significantly higher for species with higher hydraulic efficiency (standardized path coefficient 0.42, z = 3.21, P = 0.001; **Table 2, Figure 5**), and lower for species with a lower wood density (-0.22, z = -2.08, P = 0.038; **Table 2, Figure 5**). While average tree size did not have a direct effect on  $J_s$ , we found an indirect size effect mediated by hydraulic efficiency (0.43, z = 3.817, P < 0.001; **Table 2, Figure 5**), indicating that larger tree species had higher sap flux rates because of their significantly higher hydraulic efficiency. In either case, the low fraction of 23.8% of explained variance in  $J_s$  (**Table 2, Figure 5**) shows that differences in tree size, WD and wood anatomical variables only explained a small fraction of the interspecific differences in  $J_s$ .

In total, the model explained 91.9% of the variance in *DWU* (**Table 2, Figure 5**). As expected, *DWU* increased highly significantly with tree size (0.85, z = 12.64, P < 0.001; **Table 2, Figure 5**) and  $J_s$  (0.51, z = 9.943, P < 0.001; **Table 2, Figure 5**). After accounting for the effects of these two factors, no significant direct effect of hydraulic efficiency on DWU remained, indicating that the positive association between DWU and wood anatomical





traits (cf. **Figures 2**, **3**) was fully explained by their effect on  $J_s$ . However, there was a small but significant positive effect of *WD* on *DWU* (0.09, z = 2.37, P = 0.018; **Table 2**, **Figure 5**) (i.e., given the same values of  $J_s$  and tree size, trees with harder wood had a slightly higher water use).

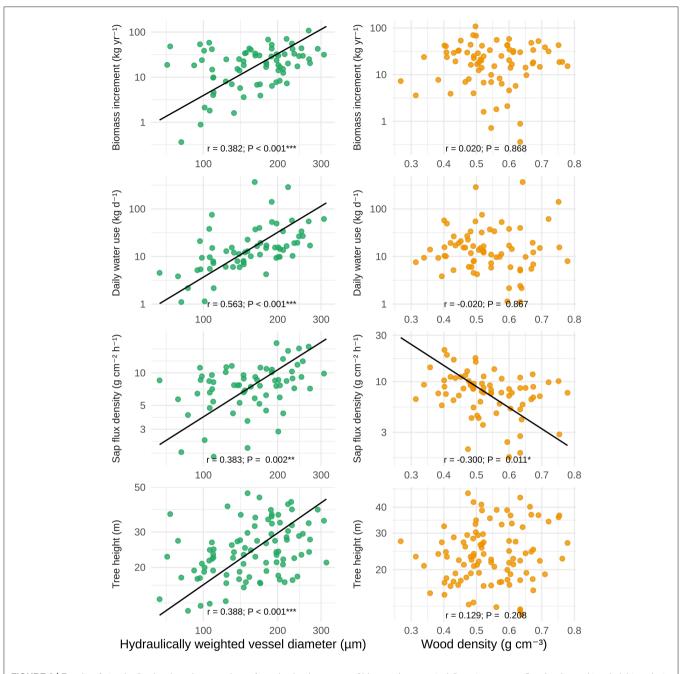
## **Determinants of Plant Productivity**

As anticipated, average tree size had a highly significant positive effect on the rate of aboveground biomass accumulation (0.51, z = 4.30, P < 0.001; **Table 2**, **Figure 5**). After accounting for this size effect, there was no evidence for direct effects of hydraulic efficiency and WD on ABI. However, species of a given average size had a significantly higher ABI when they had higher sap flux densities (0.31, z = 3.01, P = 0.003; **Table 2**, **Figure 5**), indicating that the effect of both WD and wood hydraulic properties on ABI was entirely mediated through their effect on  $J_s$ . Together, the predictor variables explained 49.1% of the variance in ABI (**Table 2**, **Figure 5**).

# DISCUSSION

# Drivers of Productivity-Water Use-Relationships

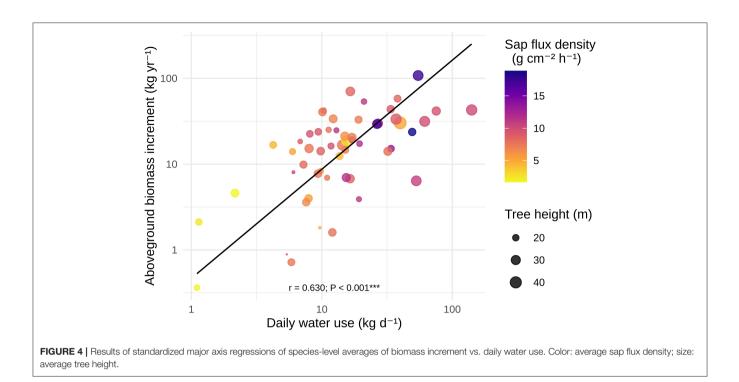
In line with our expectations, we found aboveground biomass increment to be tightly positively associated with water use across a wide range of tropical tree species in this sample of Southeast Asian trees. We further show that differences in average tree size and sap flux density are sufficient to fully explain the relationship between aboveground biomass increment (*ABI*) and daily water use (*DWU*): at a given average size, tree species that can achieve higher sap flux rates use more water and grow faster. In turn, sap flux density was confirmed to be significantly higher in trees that possess lighter sapwood with a higher hydraulic efficiency. This reaffirms the importance of an efficient vascular system as a prerequisite for high productivity and water use (cf. Tyree, 2003; Brodribb, 2009). Although these findings are merely supportive of previously discussed concepts, to our knowledge we are the first to demonstrate this interdependence in a





comprehensive observational dataset of both wood anatomical and empirically determined hydraulic traits of tropical rainforest species, and to combine it with growth measurements conducted for the same species.

The single most important predictor for the biomass production and water use of a tree species was its average size, highlighting the repeatedly documented central role of large trees for the productivity and water cycles of tropical forests (cf. Slik et al., 2013; Stephenson et al., 2014; Bastin et al., 2015, 2018; Lutz et al., 2018). This is not surprising as both carbon assimilation and transpiration directly depend on foliage area, which scales with tree mass (cf. Enquist et al., 1999; West, 1999; Brown et al., 2004). Furthermore, larger trees typically have access to higher light intensities (Coble and Cavaleri, 2014), which is a key variable for tropical tree growth (King et al., 2005; Rüger et al., 2011, 2012), and are exposed to higher evaporative demand, which enhances water use (Horna et al., 2011). In tropical forest with high vapor pressure deficits at midday hours, trees thereby



seem to rely on internal water storage to meet this high demand during the course of a day (James et al., 2003; Meinzer et al., 2003; Scholz et al., 2011), which is again size-dependent and permits larger trees to have their maximum of photosynthetic activity at later times (Meinzer et al., 2001). In consequence, growth and water turnover are highly coordinated (Smith and Sperry, 2014), as larger trees assimilate more carbon (Stephenson et al., 2014) and use more water (Wullschleger et al., 1998; Meinzer et al., 2005; Horna et al., 2011; Kunert et al., 2017; Link et al., 2020). The strong size dependence of important aspects of tree carbon and water relations has the potential to mask or confound relationships between other variables in studies focusing on functional traits. In correspondence, many recent studies have highlighted the great importance of including plant size as a covariate when studying relationships between tree functional traits (e.g., Hietz et al., 2016; Gleason et al., 2018; Lechthaler et al., 2019; Šímová et al., 2019). Indeed, we observed a meaningful influence of average tree size on wood anatomical properties related to hydraulic efficiency. These observations are in agreement with other studies showing that larger tropical trees possess wider vessels and higher potential conductivity, both at the stem base and in distal twigs (Poorter et al., 2010; Zach et al., 2010; Schuldt et al., 2013; Hietz et al., 2016). Tree height in particular has been singled out as the putative main driver of conduit dimensions (Coomes et al., 2007; Rosell et al., 2017; Olson et al., 2018; Fajardo et al., 2020). Interestingly, average tree size was virtually unrelated to sap flux density, indicating that the exposition of taller tree species to higher light levels and vapor pressure deficits (Horna et al., 2011; Schuldt et al., 2011) was fully offset by these changes in hydraulic anatomy along the flow path.

## Wood Anatomical Traits Outperform Wood Density as Predictors of Plant Growth and Water Use

In accordance with previous findings, our observations show that growth and water use are more closely related to the water transport capacity in the vascular system-mainly driven by larger average vessel diameters-than to wood density (Russo et al., 2010; Hoeber et al., 2014; Eller et al., 2018). As hypothesized, we found a significant link between wood anatomy and sap flux density on the species level. This effect was sufficiently large to explain the association of growth and water use with anatomical traits remaining after controlling for average tree size, demonstrating that the frequently reported relationship between productivity and wood properties is in fact caused by the limiting effect of these anatomical features on plant hydraulic conductance and water transport (cf. Brodribb, 2009). To our knowledge, our study is the first to confirm this relationship across a high number of broad-leaved tree species, although wood anatomical properties may critically influence sap flow calibration performance (Xie and Wan, 2018; Flo et al., 2019).

In principle, natural selection should favor the development of higher hydraulic efficiency per sapwood area to save xylem construction and maintenance costs (McCulloh and Sperry, 2005; Gleason et al., 2016). However, the advantage of large vessels and an efficient vascular network likely trades off with the vulnerability of conduits to embolize, which puts a practical limit on vessel dimensions (Tyree et al., 1994; Wheeler et al., 2005; Hajek et al., 2014). As trees in humid tropical forests are neither constrained by freeze-thaw embolism nor prolonged periods of soil desiccation, their maximum xylem hydraulic efficiency is

#### TABLE 2 | Estimated coefficients for the final path model.

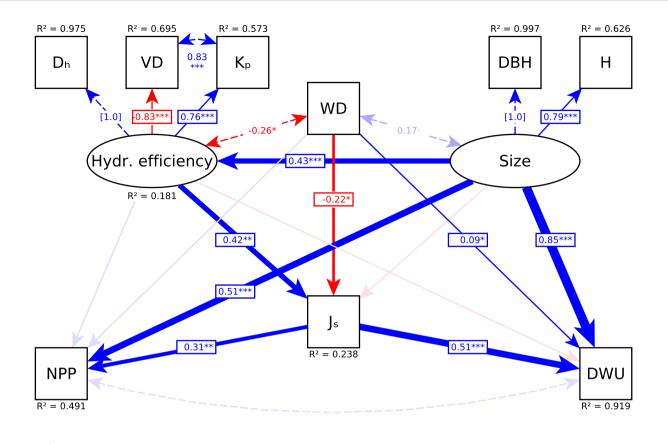
	Term			Standardized coefficient	Unscaled coefficient	z value	P(> z )
Path coefficients	Hydr.Eff.	~	Size	0.425	0.423	3.817	<0.001***
	$J_s$	$\sim$	WD	-0.220	-0.220	-2.075	0.038*
		$\sim$	Size	-0.149	-0.149	-0.933	0.351
		~	Hydr.Eff.	0.424	0.427	3.211	0.001**
	DWU	$\sim$	WD	0.087	0.089	2.369	0.018*
		$\sim$	Size	0.846	0.870	12.640	<0.001***
		$\sim$	Hydr.Eff.	-0.054	-0.056	-1.408	0.159
		$\sim$	$J_{S}$	0.509	0.523	9.943	<0.001***
	ABI	$\sim$	WD	0.158	0.166	1.545	0.122
		$\sim$	Size	0.506	0.534	4.298	<0.001***
		$\sim$	Hydr.Eff.	0.150	0.159	1.485	0.138
		$\sim$	Js	0.314	0.331	3.009	0.003**
Indicator variables	Hydr.Eff.	=~	D <sub>h</sub>	0.988	1.000		
		$=$ $\sim$	Kp	0.757	0.765	11.349	<0.001***
		$=$ $\sim$	VD	0.833	-0.842	-13.354	<0.001***
	Size	=~	DBH	0.999	1.000		
		$=$ $\sim$	Н	0.791	0.792	10.780	<0.001***
Residual covariances	DWU	~~	ABI	0.145	0.032	1.092	0.275
	Kp	$\sim \sim$	VD	0.833	0.300	5.009	< 0.001***
	Hydr.Eff.	$\sim \sim$	WD	-0.258	-0.230	-2.557	0.011*
	Size	$\sim \sim$	WD	0.173	0.171	1.793	0.073.
Variance parameters	D <sub>h</sub>			0.025	0.025	0.678	0.498
	Kp			0.427	0.426	4.910	<0.001***
	VD			0.305	0.305	4.765	<0.001***
	DBH			0.003	0.002	0.074	0.941
	Н			0.374	0.371	6.815	<0.001***
	$J_{s}$			0.762	0.753	5.845	<0.001***
	DWU			0.081	0.085	2.345	0.036*
	ABI			0.509	0.559	6.178	<0.001***
	Hydr.Eff.			0.819	0.801	6.744	< 0.001***
	Size			1.000	0.987	7.569	<0.001***

Given are the standardized and unscaled estimates for path coefficients and variance parameters with the corresponding z-statistics and P-values for the hypothesis that the estimated coefficients are different from zero (note that one path coefficient for the coefficients of each latent variable is fixed to 1 by default to avoid identifiability issues). \*P < 0.05, \*\*P < 0.01, \*\*\*P < 0.001.

expected to be high (Schuldt et al., 2013; Gleason et al., 2016; Liu et al., 2019).

A positive influence of vessel size (and thus larger potential hydraulic conductivity) on growth rates has repeatedly been demonstrated (Poorter et al., 2010; Hietz et al., 2016; Gleason et al., 2018). Wood density, on the other hand, is known to be associated with most structural and functional wood properties (Chave et al., 2009). However, it ultimately constitutes an emergent property of wood structure rather than an unambiguous functional trait, with a large range of combinations of wood anatomical features resulting in the same wood density (Ziemińska et al., 2013, 2015). Thus, wood density is at least partially decoupled from wood anatomical traits (Zanne et al., 2010; Fan et al., 2012; Schuldt et al., 2013).

In tropical trees, harder wood tends to be associated with lower growth rates (e.g., Muller-Landau, 2004; King et al., 2006; Poorter et al., 2010; Rüger et al., 2012; Hoeber et al., 2014). Our results indicate that this relationship is a consequence of the negative relationship of wood density with both hydraulic efficiency and sap flux density, while wood density had no direct causal effect on *ABI* and only a minor effect on *DWU*. Due to the much closer mechanistic link of wood anatomical traits to  $J_s$ , their larger effect size on  $J_s$  compared to wood density (*WD*) is not surprising. As *WD* does not have a direct mechanistic link to water transport, the observed effect on  $J_s$  most likely results from a correlation with wood anatomical attributes that were not covered by our latent variable for hydraulic efficiency [e.g., pit membrane characteristics (Choat et al., 2008; Jansen et al.,



**FIGURE 5** Path diagram of the final model (model  $\chi^2 = 15.39$ ,  $d_f = 15$ , P = 0.442; CFI = 0.999, RMSEA = 0.016). Shown are causal relationships with scaled path coefficients (one-sided arrows), residual correlations (two-sided dashed arrows), and explained variances P < 0.05, P < 0.01, and P < 0.001. Positive links are displayed in blue, negative links in red and insignificant links in transparent color. Paths with coefficients fixed to one for computational reasons ( $D_h$  and DBH) are displayed as dashed arrows. See **Table 1** for abbreviations.

2009; Li et al., 2016)]. It is further notable that in agreement with the null model of Francis et al. (2017), no direct WD effects on biomass increment could be identified. The lack of WD effects on productivity may be a consequence of assessing it on a biomass increment basis, which implicitly accounts for construction costs. It is worth noting that WD and hydraulic efficiency together only explain a comparatively low fraction of variance in sap flux density in our dataset ( $r^2 = 0.24$ , cf. Figure 5), which puts a limit on the magnitude of their indirect effect on biomass increment and water use. If, as our data suggest, a large part of the correlation between biomass increment, water use and wood properties results from their common dependence on tree size, the question arises whether their importance for parameterizing dynamic vegetation and earth system models and for understanding responses to environmental change (e.g., Fisher et al., 2018; Griffin-Nolan et al., 2018; Olson et al., 2018; Mencuccini et al., 2019) may be overstated.

# Accounting for Confounding Size Effects in Ecological Datasets

The present study illustrates that focusing on pairwise relationships between plant traits without accounting for the complex, multivariate nature of ecological datasets can

result in fundamentally different interpretations. Our approach highlights that many commonly observed plant performancehydraulic trait relationships may be attributed to a confounding effect of tree size (cf. Poorter et al., 2010; Fan et al., 2012; Hietz et al., 2016; Gleason et al., 2018). The latter influences the hydraulic efficiency of the vascular system through its effects on a species' wood anatomical properties, while simultaneously being strongly associated with biomass increment and water use. To be able to interpret functional plant trait relationships in the context of plant performance, it is therefore necessary to account for the effects of tree size, which has been reportedly neglected in the past. A common strategy to avoid confounding size effects is to compare species under "optimal" growth conditions and at maturity, when species are more likely to express their full trait potential (Poorter and Bongers, 2006; Pérez-Harguindeguy et al., 2013). However, the definition of both "mature" trees and "optimal" growth conditions across various ecosystems remains disputable, especially since observed hydraulic adaptations are inevitably interlinked with climate and the maximum potential height a tree can reach (Liu et al., 2019; Medeiros et al., 2019; Šímová et al., 2019). Given the intrinsic complexity of ecological datasets, where tree size is only one of many potential confounders, it may often be preferable to explicitly account for the network of relationships between variables. Adopting a structural equation modeling approach did not only enable us to account for the aforementioned size effects, but also permitted to differentiate between direct and indirect effects, and to test the hypothesis that our model as a whole is consistent with the observed data.

### CONCLUSIONS

Our study provides empirical evidence that the biomass increment and water use of tropical trees are highly coordinated. We show that for tree species of a given average size, differences in stem increment and water use are explained by hydraulic adjustments resulting from differences in wood structure. The highly significant relationship between wood anatomy and sap flux density and the significant effect of the latter on growth provide evidence that the vascular water transport system indeed can be considered as a "functional backbone of terrestrial productivity" (Brodribb, 2009). However, their explanatory power is dwarfed by the magnitude of size effects, illustrating the importance of average tree size as a driver of forest productivity. This highlights the need to account for confounding size effects when interpreting species-level relationships between size-related variables such as water use and biomass increment.

## DATA AVAILABILITY STATEMENT

The raw data supporting the conclusions of this article will be made available by the authors, without undue reservation.

## **AUTHOR CONTRIBUTIONS**

BS, DHe, DHö, and CL developed the original research questions. MK, P-AW, and GM carried out the biomass increment and structural measurements, and MK, BS, and RL the wood

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anatomical analyses. AR and BS collected the sap flow data. RL performed the data analysis and statistical interpretation in close collaboration with MK and BS. MK and RL wrote the first version of the manuscript, which was discussed and revised by all authors. All authors contributed to the article and approved the submitted version.

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## SUPPLEMENTARY MATERIAL

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**Conflict of Interest:** The authors declare that the research was conducted in the absence of any commercial or financial relationships that could be construed as a potential conflict of interest.

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