



# Protective immunity and vaccination against cutaneous leishmaniasis

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Although a great deal of knowledge has been gained from studies on the immunobiology of leishmaniasis, there is still no universally acceptable, safe, and effective vaccine against the disease. This strongly suggests that we still do not completely understand the factors that control and/or regulate the development and sustenance of anti-*Leishmania* immunity, particularly those associated with secondary (memory) immunity. Such an understanding is critically important for designing safe, effective, and universally acceptable vaccine against the disease. Here we review the literature on the correlate of protective anti-*Leishmania* immunity and vaccination strategies against leishmaniasis with a bias emphasis on experimental cutaneous leishmaniasis.

**Keywords:** cutaneous leishmaniasis, innate immunity, adaptive immunity, infection-induced resistance, vaccine, vaccination

## INTRODUCTION

Protozoan parasites in the genus *Leishmania* are the causative agents of a spectrum of human diseases collectively known as leishmaniasis. The disease is endemic in 88 countries, affects 12 million people currently, and over 350 million more at risk (Desjeux, 1996). It is important to note that these estimates may not reflect the true burden of the disease due to underreporting (Singh et al., 2006, 2010). Also, the fact that leishmaniasis is reportable in only 33 out of the 88 endemic countries more prevalent in very low income group means that there are many undiagnosed as well as asymptomatic cases since transmission occur in rural areas where there is little or no access to medical care<sup>1</sup>.

Leishmaniasis can manifest in three major clinical forms: the self-healing simple cutaneous leishmaniasis (CL) that occurs as skin lesions, the mucocutaneous leishmaniasis (MCL) that affects mucous membranes of the oral and nasal cavities and the deadly visceral leishmaniasis (VL) that affects visceral organs such as spleen and liver (Reithinger et al., 2007). CL is the most common clinical form of the disease and the most studied experimentally. In contrast, VL is the most clinically relevant disease because of its high morbidity and mortality. Over 90% of CL occur mostly in seven countries namely Afghanistan, Algeria, Brazil, Iran, Peru, Saudi Arabia, and Syria, whereas most (>95%) of the VL cases is concentrated in Bangladesh, India, Nepal, Sudan, Ethiopia, and Brazil (Chappuis et al., 2007). Although treatment is available, the current drugs used for treatment are highly toxic, expensive, and cases of resistance have been reported (Lira et al., 1999). Recent epidemics have been reported in endemic areas and there is evidence of spread of leishmaniasis into previously

non-endemic areas. For instance soldiers returning from active duty in endemic areas have been diagnosed with leishmaniasis (van Thiel et al., 2010; Bailey, 2011). Furthermore, Human Immunodeficiency Virus (HIV)/*Leishmania* co-infection is an alarming threat in some countries in Africa and Asia where HIV/AIDS is also endemic. As such, VL is now included as an important opportunistic infection in HIV infected patients<sup>2</sup>. Currently, only three anti-*Leishmania* vaccines have been approved and licensed. These include two human vaccines; a killed vaccine for immunotherapy in Brazil and a live vaccine in Uzbekistan; and a recombinant vaccine for prophylaxis in dogs in Brazil (Palatnik-de-Sousa, 2008). However, the efficacy of these vaccines remains controversial, particularly when compared with those against viral and bacteria infections. The lack of an effective prophylactic vaccine suggests that we still do not fully understand the factors that regulate the induction and maintenance of anti-*Leishmania* immunity. Understanding these factors is critical for the design of effective vaccine and/or vaccination strategy against leishmaniasis.

## INNATE IMMUNITY TO LEISHMANIA INFECTION NEUTROPHILS, MACROPHAGES AND DENDRITIC CELLS

*Leishmania* parasites are transmitted to humans and other vertebrate host through the bite of sand fly vectors. The sand fly inoculum contains live, apoptotic or dead promastigotes (van Zandbergen et al., 2006), and salivary components (Lerner and Shoemaker, 1992), which play critical roles in shaping the host's immune response (Mougneau et al., 2011). Following the injection of metacyclic promastigotes into the skin, they interact with

<sup>1</sup>[http://apps.who.int/gb/ebwha/pdf\\_files/WHA60/A60\\_10-en.pdf](http://apps.who.int/gb/ebwha/pdf_files/WHA60/A60_10-en.pdf)

<sup>2</sup><http://www.who.int/leishmaniasis/burden/en/>

multiple cell types (neutrophils, macrophages, dendritic cells, keratinocytes, and langerhans cells) where they then transform into intracellular amastigotes (Mougneau et al., 2011). In particular, phagocytosis of parasites by macrophages induces the release of multiple chemoattractant factors such as MCP-1 and CXCL1, leading to recruitment of monocytes and neutrophils (Racoosin and Beverley, 1997). Both *in vitro* and *in vivo* studies have shown that neutrophils influence the outcome of *Leishmania* infection through several ways including intracellular killing after phagocytosis, extracellular killing through the release of neutrophil extracellular traps (NETS), and through cooperation with macrophages (John and Hunter, 2008; Peters et al., 2008; Mougneau et al., 2011). In addition, the uptake of promastigotes by neutrophils inhibits cellular apoptotic signals thereby prolonging their lifespan (Aga et al., 2002). These “long-lived” neutrophils become transiently unable to kill *Leishmania* and acts as “Trojan horses” for dissemination of parasites to other cells, particularly macrophages (Aga et al., 2002; van Zandbergen et al., 2004). Interestingly, a recent work suggests that contrary to the observations in *Leishmania major*, neutrophils may play a protective role in *L. braziliensis* infection (Novais et al., 2009), suggesting that these innate immune cells may play distinct roles in CL caused by *L. major* and *L. braziliensis*.

Although neutrophils are the most abundant cells at the infection site during the first few hours to days, the pattern, and magnitude of monocyte influx may be more important in shaping the outcome of infection. About 1 week after infection, monocytes invade the infection site (Leon et al., 2007) where they differentiate into monocytes-derived dendritic cells (mDCs) that take up parasites (Mougneau et al., 2011). Via a TLR-9-dependent pathway, mDCs play a vital role in the production of IL-12 and Type 1 IFNs, leading to activation of Natural Killer (NK) cells, the production of Interferon gamma (IFN- $\gamma$ ), and the subsequent Th1 response (Liese et al., 2008). In general, DCs are essential for the initiation and regulation of anti-*Leishmania* adaptive immunity (Kane and Mosser, 2000). The magnitude of IL-12 production by infected DCs critically affects the outcome *L. major* infection. Dendritic cells from the susceptible BALB/c mice produce less IL-12 following *L. major* infection and their T cells respond very poorly to IL-12 due to the down regulation of the IL-12 receptor  $\beta$  (IL-12R $\beta$ ) chain. In contrast, the resistant C57BL/6 mice produce more and maintain their IL-12 responsiveness throughout the course of infection (Himmelrich et al., 1998).

The tissue resident macrophages are the definitive host cells for parasite survival and replication. In addition, classical activation of infected macrophages by IFN- $\gamma$  and tumor necrosis factor (TNF) stimulates the production of inducible nitric oxide (iNOS), an enzyme that catalyzes L-arginine to generate nitric oxide (NO; Liew et al., 1990a). NO is a powerful cytostatic and cytotoxic molecule and plays a major role in killing many intracellular parasites, including *Leishmania*. Thus, in leishmaniasis, macrophages play a dual role; they represent an important cell population responsible for killing of the parasites and also the major site of parasite replication (Birnbaum and Craft, 2011).

#### NATURAL KILLER (NK) CELLS

Natural Killer cells are important innate components and their contribution to protective immunity against *Leishmania* infection

has been studied extensively. NK cells purified from unexposed human PBMCs proliferate and secrete IFN- $\gamma$  in response to *Leishmania* antigen (Nylen et al., 2003). Depletion of NK cells within the first 7 days of *L. major* infection in mice leads to significant reduction in IFN- $\gamma$  production and higher parasite burden (Laurenti et al., 1999) suggesting an important role of NK cells during the early innate response to *Leishmania* infection. In support of this, a robust NK cell IFN- $\gamma$  response was associated with enhanced resistance to *L. major* infection in the C3H/HeN mice whereas diminished NK activity was observed in the susceptible BALB/c mice (Scharton and Scott, 1993). NK cells can also control infection by directly lysing infected macrophages or parasites (Scharton and Scott, 1993), although this effect seem to be parasite species dependent (Korbel et al., 2004).

#### ADAPTIVE IMMUNITY TO CUTANEOUS LEISHMANIASIS

Because *Leishmania* are obligate intracellular parasites, cell-mediated immunity is required for control of the infection and hence T cells are indispensable for resistance. T cell deficient mice are highly susceptible to *Leishmania* infection, and adoptive transfer of T cells restores resistance in these mice (Varkila et al., 1993). Both CD4<sup>+</sup> and CD8<sup>+</sup> T cells are important for optimal primary immunity to *L. major* although their relative contribution may depend on experimental conditions and parasite strains/species.

#### CD4<sup>+</sup> T HELPER CELLS AND RESISTANCE TO *L. MAJOR*

Following the identification of distinct mouse CD4<sup>+</sup> T helper cell subsets by Mosmann et al. (1986), it was demonstrated that IFN- $\gamma$  production by CD4<sup>+</sup> T cells was associated with healing of *L. major*-infected C57BL/6 mice, while IL-4 production was associated with susceptibility in the BALB/c mice (Heinzel et al., 1989). Scott et al. (1988) demonstrated that adoptive transfer of polarized T cell clones can change the outcome of *L. major* infection: Th1 clones were “protective” while Th2 clones were “non-protective” (Scott et al., 1988). Holaday et al. (1991) further confirmed this finding by transferring Th1-like or Th2-like cell lines into SCID mice, which resulted in the recipient mice becoming resistant or susceptible, respectively. Thus, the balance of Th1/Th2 cytokines determines disease outcome in mouse model of CL: healing in resistant mice is associated with the development of CD4<sup>+</sup> Th1 cells that produce IFN- $\gamma$  whereas susceptibility is associated with an early IL-4 production by CD4<sup>+</sup> T cells that promotes the development and expansion of Th2 cells (Locksley et al., 1995).

The overarching question has been what factors direct the preferential Th1 and Th2 cell development in the resistant and susceptible mice, respectively, following infection. The single most dominant factor appears to be the production of IL-12 by DCs and responsiveness to this cytokine by T cells during the initial phase of infection. Dendritic cells from the highly susceptible BALB/c produces less IL-12 and their T cells respond poorly to this cytokine due to low expression of IL-12R $\beta$  chain (Himmelrich et al., 1998). In contrast, infected C57BL/6 mice produce more and maintain their IL-12 responsiveness throughout infection (Louis et al., 1998a,b). Indeed, treatment of infected BALB/c mice with rIL-12 early during infection renders them resistant (Afonso et al., 1994) whereas administration of anti-IL-12 antibodies renders B6 mice susceptible (Heinzel et al., 1995).

While IL-12 is the dominant cytokine that drives Th1 development leading to resistance, the factors that drive expansion of Th2 cells in the susceptible mice are not completely understood. Studies show that the early production of IL-4 by unique population of CD4<sup>+</sup> T cells promotes the development and expansion of Th2 cells in the susceptible mice (Scott, 1989; Scott et al., 1989; Reiner and Locksley, 1995; Himmelrich et al., 2000). These cells respond to peptides derived from the *Leishmania* homolog of activated protein kinase (LACK) protein by producing high levels of IL-4 leading to concomitant expansion of Th2 cells (Mougeon et al., 1995). However, the contribution of these cells to susceptibility in other strains of mice has been equivocal. Both IL-4 and IL-13 synergize in mediating susceptibility to *L. major* infection (Matthews et al., 2000), although the relative contribution of IL-13 has been recently challenged (Sosa et al., 2001) and may indeed be dependent on parasite specie (Alexander et al., 2002).

### CD8<sup>+</sup> T CELLS AND RESISTANCE TO *L. MAJOR*

Because activated CD8<sup>+</sup> T cells also produce IFN- $\gamma$  (a critical macrophage activating cytokine for intracellular parasite destruction), it was speculated that they would also contribute to optimal immunity to *L. major*. Interestingly, several studies demonstrated that mice lacking CD8<sup>+</sup> T cells or MHC-Class I expression were not impaired in their ability to control primary *L. major* infections (Wang et al., 1993; Huber et al., 1998). However, recent studies utilizing low dose intradermal infection that closely mimic natural infections show that CD8<sup>+</sup> T cells are important for anti-*Leishmania* immunity. Inoculation of low dose metacyclic promastigotes into the ear dermis of CD8 deficient C57BL/6 mice leads to uncontrolled parasite proliferation. We found that low dose infection-induced a transient Th2 response in naive wild type (WT) mice (Uzonna et al., 2004a). In the absence of CD8<sup>+</sup> T cells, the transient Th2 response was sustained, suggesting that the major role of CD8<sup>+</sup> T cells is to produce IFN- $\gamma$  that down-modulates the early CD4<sup>+</sup> Th2 cell development (Uzonna et al., 2004a).

## ROLE OF CYTOKINES

### INTERFERON GAMMA (IFN- $\gamma$ )

Interferon gamma is a critical cytokine for resistance to *L. major* infection in mice because it plays a crucial role for macrophage activation leading to the production of microbicidal molecules. In addition, IFN- $\gamma$  is also necessary for the down regulation of Th2 cytokines and suppression of Th2 cell development. A single injection of anti-IFN- $\gamma$  antibodies to resistant mice 2 days prior to *L. major* infection resulted in Th2 response and increased susceptibility (Belosevic et al., 1989; Scott, 1991). In contrast, administration of rIFN- $\gamma$  at the time of infection of the susceptible mice dramatically reduced lesion sizes and parasite burden (Scott, 1991). Furthermore, IFN- $\gamma$  or IFN- $\gamma$ -R deficient mice on the usually resistant C57BL/6 background develop progressive lesion associated with uncontrolled parasite proliferation after *L. major* infection (Swihart et al., 1995).

### TUMOR NECROSIS FACTOR SUPERFAMILY OF CYTOKINES (TNFSF)

Members of the TNF superfamily of cytokines and their cognate receptors also play significant roles in modulating disease outcome

in experimental CL. TNF has been shown to play a protective role by synergizing with IFN- $\gamma$  in mediating parasite killing (Liew et al., 1990a,b). Peritoneal macrophages from TNFR1 deficient mice are grossly defective in NO production and their ability to kill parasites *in vitro*. In contrast, macrophages from TNFR2 deficient mice are normal; suggesting that TNF-dependent macrophage activation for *in vitro* parasite killing is mediated via signaling through TNFR1 (Nashleanas and Scott, 2000). Treatment of infected mice with recombinant TNF resulted in reduced lesion size and lower parasite burden, while the administration of anti-TNF antibodies results in larger lesions and higher parasite burden (Titus et al., 1989). Disruption of the TNF gene in the resistant mice leads to visceralization of *L. major* infection and death within a few weeks (Wilhelm et al., 2001).

Recently, we showed that both lymphotoxin beta (LT $\beta$ ), and LIGHT (LT-like, exhibits inducible expression and competes with HSV glycoprotein D for herpes virus entry mediator, a receptor expressed by T lymphocytes) contribute to resistance to *L. major*. LT $\beta$  deficient mice on the resistant C57BL/6 background developed chronic non-healing lesion after infection with *L. major* and this was associated with decreased IL-12 and antigen-specific IFN- $\gamma$  production (Xu et al., 2007a). In contrast, blockade of LIGHT signaling led to acute and fatal leishmaniasis, which was associated with uncontrolled parasite proliferation, severely impaired IL-12 production, and poor CD4<sup>+</sup> Th1 cell response (Xu et al., 2007b). While intra-lesional treatment of infected mice with rIL-12 completely reversed the susceptibility of LIGHT deficient mice to *L. major*, it only partially reduced parasite proliferation in infected LT $\beta$  deficient mice proliferation (Xu et al., 2007a), suggesting that LIGHT and LT $\beta$  may exert their effects through different but non-mutually exclusive manner. The differences in the outcome of infection in LIGHT and LT $\beta$  deficient mice suggests that LIGHT plays a more important role in regulating outcome of *L. major* infection than LT $\beta$ .

### INTERLEUKIN (IL)-4 AND IL-13

In contrast to elevated IFN- $\gamma$  level in *L. major*-infected resistant mice, high levels of IL-4 are found in infected susceptible BALB/c mice and is associated with disease progression. Administration of IL-4 neutralizing antibodies renders susceptible BALB/c mice resistant to *L. major* (Heinzel et al., 1993). Surprisingly, IL-4 deficient BALB/c mice remain susceptible to *L. major* (LV39 substrain) infection (Noben-Trauth and Kropf, 1996). In contrast, IL-4R $\alpha$  deficient BALB/c mice are highly resistant to *L. major* substrain IR173, suggesting that another cytokine that signal through IL-4R $\alpha$  contributes to the susceptibility in BALB/mice. Signaling through IL-4R $\alpha$  subunit is shared between IL-4 and IL-13, and IL-13 is a major Th2 cytokine (Mueller et al., 2002). Indeed, studies show that IL-13 promotes disease in *L. major*-infected mice (Mohrs et al., 1999; Noben-Trauth et al., 1999; Matthews et al., 2000; Brombacher, 2003). Interestingly, murine lymphocytes do not express any IL-13 receptors (Brombacher, 2000), indicating that the effects of IL-13 are mediated indirectly through other cell types, most likely APCs. IL-13 has been found to down-regulate macrophage functions including IL-12 (Skeen et al., 1996), iNOS (Paludan et al., 1997; Rutschman et al., 2001), and TNF (Doyle et al., 1994; Di Santo et al., 1997) production. In addition, IL-13

up-regulates PGE<sub>2</sub> production (Rey et al., 1999), which could in turn inhibit IL-12Rβ<sub>2</sub> expression on T cells (Wu et al., 1998) leading to impaired Th1 cell development and susceptibility to *L. major* infection (Farrell and Kirkpatrick, 1987; Milano et al., 1996).

### INTERLEUKIN-10

Another key cytokine that regulate disease outcome in *Leishmania*-infected mice is IL-10. The susceptibility of BALB/c mice to *L. major* is IL-10 dependent, because IL-4Rα deficient BALB/c mice remain highly susceptible to *L. major* infection and this susceptibility could be abolished by treatment with anti-IL-10R antibody (Noben-Trauth et al., 2003). In addition, IL-10 deficient BALB/c mice are resistant to *L. major* infection despite intact IL-4 signaling (Noben-Trauth et al., 2003). IL-10 mediates its effect by blocking macrophage activation by IFN-γ thereby preventing the production of parasitocidal NO (Chatelain et al., 1999). IL-10 also directly inhibits the development of Th1 cells and their production of IFN-γ (Fiorentino et al., 1991; Mosmann and Moore, 1991). Both macrophages (Von Stebut, 2007) and CD4<sup>+</sup> Th2 cells (Anderson et al., 2007) are important sources of IL-10 in *Leishmania*-infected mice. Interestingly, IL-10<sup>-/-</sup> mice on C57BL/6 background do not show any enhanced resistance to *L. amazonensis*, despite mounting a stronger Th1-type response (Jones et al., 2002). Moreover, another study reported that IL-10<sup>-/-</sup> BALB/c mice infected with *L. mexicana* and *L. amazonensis* fail to control the disease progression but the lesions were less severe than their WT controls, suggesting the genetic background and parasite species may influence the requirement for IL-10 in resistance (Padigel et al., 2003). Healing from primary infection with *L. major* is typically accompanied with parasite persistence (Aebischer et al., 1993). IL-10 produced by regulatory T (T<sub>regs</sub>) cells has also been linked to parasite persistence at the primary site of infection (Belkaid et al., 2002). IL-10 produced by Treg is responsible for a persistent chronic infection. Taken together, these data clearly indicate a central role for IL-10 in susceptibility, immunopathology, and parasite persistence in *L. major*-infected mice.

### ROLE OF IL-17 AND TH17 CELLS

T helper 17 (Th17) cells are new T helper cell subsets that produce interleukin IL-17A (also called IL-17), a pro-inflammatory cytokine that play important pathologic role in several immune-mediated disease (Chang et al., 2011; Zhang et al., 2011). However, their protective function in various infectious diseases has also been reported (Mou et al., 2010; Wu et al., 2010). T cells from infected susceptible BALB/c mice produce more IL-17 than those from the resistant C57BL/6 mice and *L. major*-infected IL-17 deficient BALB/c mice develop smaller lesions and harbor lower parasites compared to their WT counterpart mice (Lopez Kostka et al., 2009). The increased resistance to *L. major* in IL-17 deficient mice was associated with decreased CXCL2 accumulation and fewer neutrophils in lesions (Lopez Kostka et al., 2009). In contrast, some studies provide indirect evidence that IL-17 may be associated with enhanced susceptibility to *L. major* infection (Akilov et al., 2009; Anderson et al., 2009; Makala et al., 2011; Reinhard et al., 2011). The pathogenic role of IL-17 has also been found in human mucosal leishmaniasis (Boaventura et al., 2010). Interestingly, Th17 and IL-17 have been shown be associated with

enhanced control of *L. donovani* (Pitta et al., 2009) infections in mice. Also the self-healing of *L. braziliensis* infection in mice has been strongly correlated with the expansion of Th17 cells (Vargas-Inchaustegui et al., 2008). Thus, it appears that the role of IL-17 may be related to the specie of *Leishmania* organism.

### REGULATORY T CELLS IN LEISHMANIA INFECTION

Regulatory T cells are a specialized CD4<sup>+</sup>CD25<sup>+</sup>Foxp3<sup>+</sup> T cell subsets that suppress the activation of immune system and therefore are important for maintaining immune homeostasis and self-tolerance. During *L. major* infection, CD4<sup>+</sup>CD25<sup>+</sup> T<sub>regs</sub> accumulate at the primary infection site in both human and mouse, where they suppress parasite elimination by CD4<sup>+</sup>CD25<sup>-</sup> effector T cells, mediate disease chronicity, and their depletion leads to parasite clearance (Belkaid et al., 2002; Anderson et al., 2005; Campanelli et al., 2006; Bourreau et al., 2009). T<sub>regs</sub> are also directly responsible for *Leishmania* reactivation (Mendez et al., 2004; Lages et al., 2008) because transfer of T<sub>regs</sub> purified from infected mice into healed mice is sufficient to trigger disease reactivation (Mendez et al., 2004). Interestingly, it was shown that proliferation and cytokine (IL-10) production by these T<sub>regs</sub> occur in *Leishmania*-specific manner (Suffia et al., 2006).

Several studies have shown that homing of T<sub>regs</sub> to *L. major*-infected dermal sites promotes establishment of infection and long-term survival of the parasite (Suffia et al., 2005; Yurchenko et al., 2006). The expression of alpha and beta integrin is necessary for homing of T<sub>regs</sub> to the site of *L. major* infection (Suffia et al., 2005). CD103 deficient BALB/c mice are resistant to *L. major* infection and the susceptible phenotype can be restored by adoptive transfer of WT T<sub>regs</sub> into CD103<sup>-/-</sup> mice (Suffia et al., 2005). Natural T<sub>regs</sub> preferentially express the chemokine receptor, CCR5, compared to conventional CD4<sup>+</sup> T cells. Adoptive transfer of CCR5<sup>-/-</sup> T<sub>regs</sub> results in an increased magnitude of parasite-specific, IFN-γ-producing CD4<sup>+</sup> T cells at the infection sites and significant reduction in parasite numbers, which is consistent with the enhanced resistance of CCR5<sup>-/-</sup> mice to *L. major* infection (Yurchenko et al., 2006).

Recently, we found that mice with an inactivating knock-in mutation in the p110δ isoform of PI3K (termed p110δ<sup>D910A</sup>) are highly resistant to *L. major* (Liu et al., 2009). The enhanced resistance of p110δ<sup>D910A</sup> mice is related to defects in homing, expansion, and/or function of T<sub>regs</sub>, suggesting that signaling via the p110δ isoform of PI3K may regulate expansion and tissue homing of T<sub>regs</sub> (Liu et al., 2009). Indeed, CD4<sup>+</sup>CD25<sup>+</sup> cells from infected WT mice could transfer susceptibility to p110δ<sup>D910A</sup> mice. In contrast, transfer of CD4<sup>+</sup>CD25<sup>+</sup> T cells from naïve (uninfected) WT or infected p110δ<sup>D910A</sup> mice into naïve p110δ<sup>D910A</sup> mice failed to abolish their enhanced resistance to *L. major*. These observations suggest that CD4<sup>+</sup> T cells from p110δ<sup>D910A</sup> mice are intrinsically defective in their ability to differentiate into inducible T<sub>regs</sub> following infection with *L. major*.

### INFECTION-INDUCED RESISTANCE IN CUTANEOUS LEISHMANIASIS

Recovery from natural or experimental infections in humans and mice is associated with the development of strong and durable immunity to re-challenge infection. This so-called infection-induced resistance is the fundamental principle underlying

leishmanization, a practice in which individuals are deliberately injected with live organisms to protect against more serious ulcers after natural infection (Momeni and Amin-javaheri, 1995). Understanding the factors that regulate and mediate infection-induced resistance is critically important for designing an effective vaccine and vaccination strategies against leishmaniasis.

Infection-induced resistance in mice is mediated by IFN- $\gamma$ -producing CD4<sup>+</sup> T cells (Belosevic et al., 1989) and its maintenance is dependent on IL-12 produced by antigen presenting cells. Thus, the highly susceptible IL-12 deficient mice treated with rIL-12 develop Th1 response and resolve their lesion. However, in contrast to WT mice, these rIL-12-treated mice develop progressive disease and uncontrolled parasite replication upon re-challenge infection (Park et al., 2000). In fact, lesion disease reactivation occur at the primary infection site in healed IL-12-deficient mice upon cessation of IL-12 treatment (Park et al., 2000), suggesting that exogenous administration of rIL-12 was able to only promote short-term resistance. It is conceivable that IL-12 may be required for optimal proliferation and differentiation of memory CD4<sup>+</sup> T into IFN- $\gamma$  producing effector cells. Alternatively, IL-12 could be acting to enhance the development and survival of *Leishmania*-specific effector memory cells that are important for mediating rapid secondary anti-*Leishmania* immunity (Zaph et al., 2004; Liu and Uzonna, 2010).

Under certain conditions, infection-induced resistance can be lost and previously immune animals become highly susceptible to re-challenge infections (Uzonna et al., 2001; Belkaid et al., 2002). This loss of resistance has been linked to complete parasite clearance, suggesting that persistent parasites are important for the maintenance of anti-*Leishmania* immunity. Recent studies from our group show that infection-induced resistance could also be lost in the presence of persistent parasites (Okwor et al., 2009). Injection of killed parasites into mice that have healed their primary *L. major* infection results in rapid expansion of IL-10-producing T<sub>regs</sub>, a concomitant loss of infection-induced resistance and susceptibility to virulent *L. major* challenge (Okwor et al., 2009). Injection of avirulent live parasites does not cause loss of infection-induced resistance (Okwor et al., 2009); suggesting that killed and live parasites may be presented differently to T cells, particularly T<sub>regs</sub> differently.

## VACCINES FOR LEISHMANIASIS

Although experimental *L. major* infection has extensively enhanced our understanding of the factors that control the development of CD4<sup>+</sup> T helper cells *in vivo*, there is still no universally acceptable, safe, and effective vaccine against human leishmaniasis. Several vaccination trials in humans using killed *Leishmania* parasites yielded very disappointing results (Handman, 2001). In murine studies, several experimental vaccines are effective, but many of them rely on IL-12, or components that induce IL-12, as adjuvant (Afonso et al., 1994; Gurunathan et al., 1997, 1998; Scott, 1997). However, as with killed *Leishmania* vaccines, the protection wanes with time. These studies suggest that we need to know more about the requirements for maintenance of anti-*Leishmania* immunity in order to better define the correlates of protection. In the following sections, we review information on vaccination

strategies against CL and comment on their implications for developing effective vaccine against the disease.

## LEISHMANIZATION

Leishmanization which is the oldest and perhaps most effective vaccination strategy against CL, is the injection of live virulent parasites or tissue extracts from infected lesions into hidden parts of the body of non-immune individual with the aim of preventing the formation of visible lesions following natural infection. This practice was used successfully for a long time to contain epidemics of CL in the republics of the former Soviet Union, Israel, and Iran. However, the development of chronic (non-healing) lesions that require medical treatment and immunosuppression in a large percentage of leishmanized individuals (Greenblatt, 1980) has led to the abandonment of this practice. However, the practice is gradually making a comeback in certain endemic regions such as Iran (Tabbara et al., 2005), because despite the associated morbidities, leishmanization remains the only effective vaccine with proven efficacy in humans to date. Attempts are being made to make leishmanization safer, including the addition of killed parasites (Khamesipour et al., 2005) and the use of adjuvants such as CpG that promote rapid onset of anti-*Leishmania* immunity and swift healing of lesions (Mendez et al., 2003). CpG acts by inducing IL-12 and IFN- $\gamma$  production by dermal DCs, NK, and CD4<sup>+</sup> T cells, respectively (Laabs et al., 2009). In addition, CpG ODN may also promote IL-6 production leading to expansion of Th17 cells (Wu et al., 2010), and blockade of IL-6 production or signaling resulted in increased lesion development in mice infected with *L. major* (Wu et al., 2006, 2009). The use of genetically attenuated parasites may help eliminate the unwanted side effects associated leishmanization. Attenuated parasites such as *lpg2*-*L. major* persist at the local site of injection and its draining lymph node, does not cause pathology and protect mice against virulent *L. major* challenge (Spath et al., 2003a; Uzonna et al., 2004b).

## KILLED WHOLE PARASITE VACCINES

Killed parasite vaccines, also known as the first generation vaccines, represent the first bold step to tackle epidemics of CL by vaccination in endemic countries. Several factors were responsible for this vaccination strategy: it is easy and cheap to make, does not require sophisticated technology, and there is no worry about lesion development and reversion to virulence. However, standardization of parasite-derived vaccines from one culture to another is a major drawback that could impede the registration and marketing of killed vaccines. The use of killed parasites as vaccine dates back to the late 1930s in Brazil. A vaccine containing promastigotes of five killed *Leishmania* strains was shown to be safe and immunogenic as measured by the leishmanin skin test (LST) reactivity, but conferred only a small degree of protection (50%). Phase III clinical trials in Ecuador and Colombia showed that heat-killed *L. amazonensis* vaccine was safe, induced strong IFN- $\gamma$  response but did not prevent clinical disease (Velez et al., 2000; Armijos et al., 2004). The apparent lack of protection despite strong Th1 response is consistent with similar observations in mice and primates, and suggests that the induction of Th1 immune response may be necessary but not sufficient for protection against CL. In contrast to the reported benefits of heat-killed vaccines in South America,

studies utilizing heat-killed *L. major* with or without BCG in Iran and East African countries yielded disappointing results (Momeni et al., 1999; Khalil et al., 2000). Studies in Vervet monkeys show that killed *Leishmania* vaccine induced robust Th1 response but could not protect against virulent challenge (Sjolander et al., 1998; Gicheru et al., 2001). However, some reports show that vaccination with heat-killed parasites with strong adjuvants induces Th1 response and protects against virulent challenge in BALB/c mice but this wanes with time. The failure of killed parasites to induce long-term protection may be related to their inability to maintain memory cells (Okwor and Uzonna, 2008). However, we recently showed that repeated injection of killed parasites leads to robust expansion of effector-like memory T cells resulting in durable protection against virulent challenge (Okwor et al., 2010). Thus, provided enough effector memory-like cells are generated and continuously re-stimulated, there is no obligatory requirement for live parasites for maintaining anti-*Leishmania* immunity. These findings provide strong rationale for continued evaluation of mechanisms of secondary protective immunity against *L. major* and suggest that killed parasite-based vaccines could have promising benefits if appropriate vaccination strategies that enhance the generation of optimal memory T cells are employed.

#### LIVE-ATTENUATED PARASITE VACCINE

In order to harness the desirable attributes of leishmanization (parasite persistence and durable immunity) without the potential safety concerns (Muyombwe et al., 1997; Davoudi et al., 2005), different vaccination strategies involving attenuated parasites have been taken. These include long-term *in vitro* culture with or without antibiotic pressure (Daneshvar et al., 2009), irradiation (Rivier et al., 1993), chemical mutagenesis (Elhay et al., 1990), and more recently targeted deletion of essential virulence genes (Titus et al., 1995). Among these, targeted gene deletion has shown much promise because of the reduced risk of reversal to virulence. Kedzierski et al. (2008) showed that immunization with phosphomannomutase-deficient *L. major* protected the highly susceptible BALB/c mice against virulent challenge. The protection was associated with suppression of early IL-10 and IL-13 production as well as expansion of CD44<sup>hi</sup> CD4<sup>+</sup> and CD8<sup>+</sup> T cells. In a similar study, *Leishmania* parasite that lacks the gene that encodes for dihydrofolate reductase-thymidylate synthetase (*dhfr-ts*), which is essential for long-term parasite survival, was tested as potential vaccines (Titus et al., 1995; Brodskyn et al., 2000). This mutant parasite showed limited protection in mice against *L. major* and *L. amazonensis* infection but failed to protect non-human primates against virulent challenge (Amaral et al., 2002). This lack of protection may be attributed to the rapid elimination of the parasites from the host because parasite persistence is associated with maintenance of anti-*Leishmania* immunity (Aebischer et al., 1993; Uzonna et al., 2001). The deletion of *LPG2* gene that encodes an enzyme involved in the transport of GDP-mannose to the Golgi apparatus produced mutant parasites (termed *lpg2*<sup>-</sup>) that are able to persist indefinitely in infected mice without causing obvious pathology (Spath et al., 2003a). Vaccination of mice with these mutant parasites induced very strong protection against virulent *L. major* challenge (Spath et al., 2003b). Interestingly, the protection induced by *lpg2*<sup>-</sup> parasites was not associated with delayed-type

hypersensitivity (DTH) and enhanced IFN- $\gamma$  responses, suggesting that the induction of Th1-like responses might not always be essential or correlate with protective immunity (Kedzierski et al., 2006). Whether *lpg2*<sup>-</sup> could mediate protection in non-human primates has not yet been investigated. This information is important if *lpg2*<sup>-</sup> parasites could replace virulent organisms for leishmanization in disease endemic countries. Recent report showed that some *lpg2*<sup>-</sup> mutants could regain virulence through a compensatory but as yet undefined mechanism(s) (Spath et al., 2004). Thus, caution must be exercised in using this mutant parasite as a potential live-attenuated vaccine. The gene encoding cysteine proteinase in *L. mexicana* has also been targeted to create attenuated parasite for vaccination studies (Alexander et al., 1998). Cysteine proteinase deficient *L. mexicana* are highly attenuated *in vitro* and induced protection against a homologous challenge in hamsters (Saravia et al., 2006) and mice (Alexander et al., 1998).

Another live-attenuated vaccination approach involves the use of *Leishmania* strains that are not pathogenic to humans. Vaccination with *L. tarentolae*, a lizard parasite, was shown to induce DC maturation, Th1 response, and protection against virulent *L. donovani* challenge (Breton et al., 2005). In addition, vaccination with *L. tarentolae* expressing A2 (amastigote-specific) antigen of *L. donovani* induced strong Th1 response leading to protection against *L. donovani* challenge (Mizbani et al., 2009). The use of non-pathogenic *Leishmania* parasite would most probably eliminate the fear of disease development following vaccination. However, many questions remain to be answered, such as how long non-virulent parasites would persist in vaccinated host, the quality and durability of the primary and memory immune responses, and whether such immunity could cross protect against other *Leishmania* species.

#### SUBUNIT VACCINES

Subunit vaccines are attractive because they lack the ability to cause disease and are relatively cheap to produce and standardize. Several *Leishmania* protein antigens have been used as subunit vaccine candidates against leishmaniasis. Vaccination with Leish-111f, a recombinant polyprotein vaccine that contains thiol-specific antioxidant (TSA), *L. major* stress inducible protein 1 (LmST11) and *L. major* elongation initiation factor (LeIF) was shown to protect against both visceral and CL (Coler et al., 2007). Phases I and II clinical trials for Leish-111f vaccine have been completed and show that the vaccine is safe and immunogenic in both healthy and adult patients with mucocutaneous and CL (Llanos-Cuentas et al., 2010). This vaccine has also been used therapeutically in combination with sodium stibogluconate for treatment of mucosal leishmaniasis (Llanos-Cuentas et al., 2010) and in combination with meglumine for the treatment of human CL (Nascimento et al., 2010). Interestingly, although a recent study suggested that the Leish-111f vaccine could also partially protect dogs against VL (Coler et al., 2007), it failed to induce any significant protection in vaccinated dogs in a well-controlled Phase III trial (Gradoni et al., 2005). More clinical studies are needed to determine the potential of using this vaccine to control human leishmaniasis. A more recent study showed that the polyprotein comprising of kinetoplastid membrane protein 11 (KMP11), Sterol 24-c-methyltransferase (SMT), A2, and cysteine proteinase B (CPB)

given with monophosphoryl lipid A (MPL-SE) as adjuvant was able to protect mice against visceral and CL caused by *L. infantum* and *L. major*, respectively (Goto et al., 2011). It will be interesting to determine the clinical efficacy of this vaccine as a prophylactic or therapeutic vaccine in human or canine leishmaniasis.

A large effort has focused on Gp63, also known as leishmanolysin, which is a major surface protein on *Leishmania* promastigotes, because of its protease activity and role in virulence (Yao et al., 2003). One study showed that vaccination with recombinant gp63 expressed in *E. coli* failed to protect mice against virulent *L. major* challenge (Handman et al., 1990). In another report, BALB/c mice vaccinated with recombinant gp63 (rgp63) encapsulated in cationic liposome with CpG ODN as an adjuvant had significant reduction in parasite burden, lower IL-4 (Th2) production, and higher Th1 response compared to mice that received rgp63 alone or rgp63 plus CpG ODN following virulent *L. major* challenge (Jaafari et al., 2007). In a more recent study, vaccination with a DNA vaccine containing rgp63 from *L. mexicana* induced better protective immunity in BALB/c mice as evidenced by higher serum levels of parasite-specific IgG2a, smaller lesion size and more robust lytic activity of the CTL induced in the DNA vaccinated mice compared to those that received the empty vector (Rezvan et al., 2011). Paradoxically, vaccination with rgp63 conferred partial protection in Vervet monkeys (Olobo et al., 1995). More studies are required to further elucidate the potential of using gp63 as a subunit vaccine either alone or in combination with other antigens.

Other *Leishmania* proteins that have been targeted for vaccination include the *Leishmania* homolog for receptors of activated C kinase (LACK), a conserved antigen expressed in both promastigote and amastigote life stages (Mougueau et al., 1995; Gurunathan et al., 1998; Melby et al., 2001) and the highly immunogenic cathepsin L-like cysteine proteinases (Rafati et al., 2003; Zadeh-Vakili et al., 2004). Recently a polyprotein vaccine made up of LACK, TSA, LbSTI, or LeIF was shown to protect mice against CL caused by *L. major* but failed to protect disease caused by *L. braziliensis* (Salay et al., 2007). This result is rather puzzling given that the vaccine consisted of components derived from antigens that are conserved across all *Leishmania* and hence should cross protect against different *Leishmania* species.

## DNA VACCINES

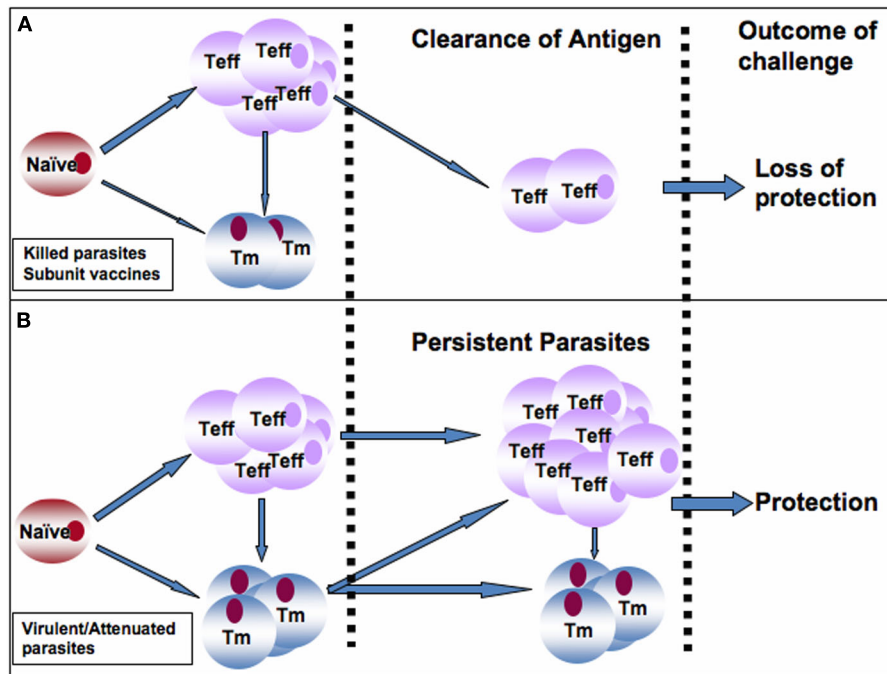
DNA vaccines are capable of eliciting strong immune responses similar to those induced by protein antigens and this could be further enhanced and modulated by the inclusion of adjuvants or cytokines (Alarcon et al., 1999; Restifo et al., 2000). Since protection against leishmaniasis requires the induction of early Th1 response, DNA vaccination is a very attractive strategy because of the propensity of DNA vaccines to elicit strong cell-mediated immunity (Gurunathan et al., 1997, 2000a). In addition, DNA vaccines mimic the protective effects of live vaccines without the potential danger of disease development, they are relatively easy and cheap to produce and unlike protein or live-attenuated vaccines, does not require the maintenance of “cold chain” sequence (Gurunathan et al., 2000b). Several experimental studies have shown that DNA vaccines confer strong protection against cutaneous (Doroud et al., 2011a,b) and visceral (Fragaki et al., 2001; Tewary et al., 2004; Saldarriaga et al., 2006; Masih et al., 2011;

Mazumder et al., 2011) leishmaniasis. However, although DNA vaccination is considered a promising technology, it still remains an experimental practice because no development of such vaccines for use in humans has been reported so far. In addition, the conflicting reports on the protective efficacy of this vaccination strategy add to the confusion in the field (Kedzierski et al., 2006). There are also genuine concerns about ethics, safety, and delivery systems, which collectively have hampered the application of this technology in humans. At present, DNA vaccination remains a very attractive experimental research area with possible benefits in human medicine.

## CONCLUDING REMARKS

Vaccination is one of the most cost-effective methods for protection against infectious diseases. There is an in-depth understanding of the immunobiology of leishmaniasis and studies in this area of research have helped shed lights into the factors that regulate the induction, maintenance, and loss of cell-mediated immunity in infectious diseases. Therefore, it is very frustrating and disappointing that despite this enormous wealth of information, there is currently no generally and globally acceptable, effective, and efficacious vaccine against the disease in humans. The reasons for this failure are many, but primarily related to the obvious differences between mouse and human immune systems. In addition, the use of different vaccination protocols (nature of adjuvants, frequency of vaccination, and/or boost, time before challenge) and arbitrary markers or correlates of protection in murine studies have complicated the situation (Okwor and Uzonna, 2009). Researchers tend to select vaccination protocols that most likely will yield desirable results in mice studies, which are unrealistic in clinical settings and/or “real world” environment. Therefore, it is important for researchers in this field to set standards for vaccination studies, such as the time from immunization to challenge and the minimum duration of immunity before any experimental vaccine and/or vaccination protocol is deemed protective. In addition, most of the vaccination studies (particularly CL) utilize BALB/c mice, which do not mimic the clinical disease in humans. The CL in the C57BL/6 mice more closely resembles the human disease and hence it is imperative that vaccination studies be conducted in this strain of mice.

It is generally accepted that immunity in leishmaniasis is dependent on persistence of a small number of parasites at the primary site of infection (infection-induced resistance). However, whether live replicating parasites or just persistent antigen is required to maintain immunity is not very clear. Studies with genetically modified *Leishmania* parasites such *dhfr-ts* that are naturally cleared by the host after infection will be helpful in elucidating the role of live replicating parasites in the maintenance of anti-*Leishmania* immunity. Persistent antigens are believed to be important for the maintenance of effector memory-like T cells that mediate rapid anti-*Leishmania* immunity (Zaph et al., 2004; Liu and Uzonna, 2010; Okwor et al., 2010). Therefore, an ideal anti-*Leishmania* vaccine must maintain constant turnover of *Leishmania*-specific memory cells in vaccinated hosts, akin to what is obtained in persistently infected mice that retain infection-induced resistance (Figures 1A,B). This could be achieved by two mechanisms: strategic booster immunizations or vaccination with live-attenuated parasites (such as *lpg2*– *L. major*) that



**FIGURE 1 | Proposed model to explain the superior protective immunity induced by infection or vaccination with live-attenuated live parasites over those induced by killed parasites and subunit vaccines. (A)** Following vaccination with killed or subunit vaccines, a robust effector T cells (Teff) are generated from naive *Leishmania*-specific T cells at the expense of memory T (Tm) cells. It is plausible that some Teff cells could also convert into Tm cells. In the absence of persistence antigen (as seen following antigen clearance), the Teff cells are rapidly depleted leading to loss of immunity and susceptibility

to secondary virulent challenge. **(B)** In contrast, infection with virulent parasites or vaccination with live-attenuated or genetically modified parasites leads to generation of robust and balanced Teff and Tm cells, infection-induced immunity, and persistence of low number of parasites at the infection site and its draining lymph node. Persistent parasites promote constant generation and maintenance of Teff and Tm cells in the draining lymph node leading to maintenance of immunity and protection against secondary virulent challenges. This would eliminate the need for frequent booster immunizations.

persist indefinitely at the primary infection site and its draining lymph nodes. We favor vaccination strategies that lead to maintenance of low levels of parasite antigens at the site of inoculation, which would promote constant generation and maintenance of protective effector and memory cells (**Figure 1B**). Such a strategy would generate infection-induced resistance and therefore eliminate the need for frequent booster immunizations (**Figure 1B**). In this regard, we believe that attenuated parasites generated by targeted deletion of specific virulent genes (such as *lpg2-*) are ideal vaccine candidates because they meet these requirements: persistence without pathology and induction of protection against virulent challenge. Thus, *lpg2-* parasites could overcome the side effects associated with leishmanization while providing immunity comparable to virulent parasites (Liu et al submitted). Although we recently showed that it is possible to maintain *Leishmania*-specific memory cells (and hence immunity) in the absence of live replicating parasites by repeated inoculation of killed parasites (Okwor et al., 2010), however this vaccination protocol may not be feasible in humans. Thus, more studies are required to optimize this vaccination protocol and to determine how long

these cells will survive *in vivo* and also protect mice against virulent challenge. The use of nanoparticles as well as other slow release antigen delivery systems could help enhance durability of killed parasite and subunit vaccines. Currently, only few studies are looking into the use of nanoparticles as a vaccination strategy in leishmaniasis. Such studies are required to fully characterize the role of nanoparticles and similar technologies as vaccine strategies in leishmaniasis. In addition, there is need for increased use of bioinformatics and proteomics to identify new immune-dominant antigens and peptides that will be used as anti-*Leishmania* vaccine candidates.

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