



Projecting Marine Mammal Distribution in a Changing Climate

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Climate-related shifts in marine mammal range and distribution have been observed in some populations; however, the nature and magnitude of future responses are uncertain in novel environments projected under climate change. This poses a challenge for agencies charged with management and conservation of these species. Specialized diets, restricted ranges, or reliance on specific substrates or sites (e.g., for pupping) make many marine mammal populations particularly vulnerable to climate change. High-latitude, predominantly ice-obligate, species have experienced some of the largest changes in habitat and distribution and these are expected to continue. Efforts to predict and project marine mammal distributions to date have emphasized data-driven statistical habitat models. These have proven successful for short time-scale (e.g., seasonal) management activities, but confidence that such relationships will hold for multi-decade projections and novel environments is limited. Recent advances in mechanistic modeling of marine mammals (i.e., models that rely on robust physiological and ecological principles expected to hold under climate change) may address this limitation. The success of such approaches rests on continued advances in marine mammal ecology, behavior, and physiology together with improved regional climate projections. The broad scope of this challenge suggests initial priorities be placed on vulnerable species or populations (those already experiencing declines or projected to undergo ecological shifts resulting from climate

changes that are consistent across climate projections) and species or populations for which ample data already exist (with the hope that these may inform climate change sensitivities in less well observed species or populations elsewhere). The sustained monitoring networks, novel observations, and modeling advances required to more confidently project marine mammal distributions in a changing climate will ultimately benefit management decisions across time-scales, further promoting the resilience of marine mammal populations.

Keywords: marine mammal distribution and abundance, climate-change, marine ecosystems, predicting and forecasting, Marine mammal conservation

INTRODUCTION

Marine mammals are exposed to a variety of threats and habitat perturbations from human activities (e.g., by-catch incidental to commercial fisheries, industrial noise, ship-strike) on regional and global scales. Human activities can lead to changes in marine mammal distribution, such as extirpation due to whaling or hunting [e.g., southern right whales (*Eubalaena australis*) in New Zealand Patenaude et al., 1998; Carroll et al., 2014] and temporary abandonment of portions of a range [e.g., gray whales (*Eschrichtius robustus*) in Laguna Guerrero Negro, Baja California, Mexico Bryant et al., 1984]. Alteration of oceanographic conditions and processes due to anthropogenic global climate change are expected to profoundly influence ecosystems (i.e., ocean warming, acidification, and deoxygenation) (Burrows et al., 2011; IPCC, 2014) and, in turn, marine mammal distributions in the foreseeable future (Learmonth et al., 2006; Schumann et al., 2013; Laidre et al., 2015). While the full nature and scope of climate-driven impacts on marine mammals are unclear, changes in population ranges and regional abundance are expected (Learmonth et al., 2006).

Shifting ranges of various marine species have been observed across all ocean regions (Poloczanska et al., 2016). Integration of long time series and modeling studies have demonstrated climate change-associated distributional changes in numerous marine fish and invertebrate populations (e.g., Perry et al., 2005; Nye et al., 2009; Pinsky et al., 2013; Poloczanska et al., 2013; Walsh et al., 2015), including commercially important North Atlantic Ocean species in multi-billion dollar fishing industries (NMFS, 2016). Additional shifts in these taxa, as well as the potential for accelerated rates of change, are expected as warming continues (Hazen et al., 2013; Lynch et al., 2015; Hare et al., 2016).

Shifts have included important prey items for marine mammals. Rising temperatures in the Gulf of Maine, for example, have prompted *Calanus finmarchicus*, a major food source for herring, mackerel, and North Atlantic right whales (*Eubalaena glacialis*), to prematurely exit winter dormancy, denying key predators a lipid rich food source in spring and summer (Runge et al., 2015). Such changes in the quality, timing, and abundance of key zooplankton and forage fish species may confound efficient transfer of marine primary and secondary production to higher trophic levels with corresponding cascading implications for food webs (Peterson, 2009; Lauria et al., 2012; Sydeman et al., 2015), including those involving marine mammals (Trathan et al., 2007; Moore and Huntington, 2008).

Range shifts associated with climate change have been observed in some marine mammal populations (e.g., Kovacs et al., 2011; Clarke et al., 2013; Hamilton et al., 2015). Substantive shifts in the distribution of marine mammals and other large marine vertebrates have been predicted (e.g., IWC, 2010; Gilles et al., 2011; Becker et al., 2012; Hezel et al., 2012; Keller et al., 2012; Gregr et al., 2013; Hazen et al., 2013; Mannocci et al., 2014). As such shifts occur in novel environments expected under climate change, protective measures for marine mammals will need to be adapted. However, capabilities available to managers to anticipate and react to such changes are currently limited.

MARINE MAMMAL MANAGEMENT-AUTHORITIES AND MANDATES

Conservation of marine mammals and their habitats is generally the responsibility of federal, state, and provincial governments, in some cases working with co-management partners. Anthropogenic threats to marine mammal populations are largely managed in the United States through policies and conservation activities developed under the Marine Mammal Protection Act (MMPA) and Endangered Species Act (ESA) and in other nations through similar statutes (e.g., Canada's Species at Risk Act; Australia's Environment Protection and Biodiversity Conservation Act). Under these statutes, agencies are required to develop programs to assess and permit various ocean-use activities (e.g., oil and gas development and production, renewable energy facility siting, and development), minimize threats to marine mammal habitat, engage in endangered species recovery planning, and assess global climate change as it impacts the ecology and health of marine mammal populations. In addition, marine mammals can be large consumers of commercially important fish species, and any shifts in their distribution may therefore be of concern to fisheries management programs that also will need to adapt to such changes. Moreover, marine mammals are integral parts of their ecosystems—as both predators and prey—and ecosystem-based fishery management will need to take into account any changes in marine mammal distribution, abundance and predator-prey relationships. Agencies involved in marine mammal management and conservation, as well as those engaged in managing subsistence harvests, will increasingly need means to

anticipate shifts in marine mammal distribution and abundance resulting from climate change (e.g., Laidre et al., 2015).

While most agencies have broad authority to reduce anthropogenic threats (e.g., those arising from commercial, industrial, or military activities), agencies charged with management do not currently have the ability to regulate greenhouse gases, the primary driver of global climate change (IPCC, 2014). Thus, limited resources, regulatory inertia, and lack of public consensus constrain many agencies' abilities to react with appropriate protective measures to climate-driven changes in marine mammal distribution. In addition, as centers of abundance or areas of aggregation shift when existing habitats undergo change, existing threat-reduction measures may become obsolete or require modification as marine mammal distributions shift. For example, the location of a renewable energy or other industrial facility may be selected based on an assessment that its effects on marine mammal populations are anticipated to be relatively low. However, if the composition and regional abundance of those populations change, the facility may have greater overall impact than originally expected, and permitting of the facility may need to be re-assessed with a substantial investment of time and resources and lost opportunities to protect key species. Marine Protected Areas (including for marine mammals; Hoyt, 2011) and critical habitats are also established based on the existing occurrence of marine taxa; but these areas would have little conservation value if key species undergo shifts in distribution. An ability to track and anticipate such changes would aid in the protection of those species.

In rapidly changing ecosystems, including those in high-latitudes (Forcada et al., 2006; Laidre et al., 2008, 2015; Ragen et al., 2008), or in instances where populations are already in decline (e.g., Shelden et al., 2015), managers face a heightened need to respond with appropriate protective measures. Near- and long-term conservation planning would be enhanced by increased capacity to anticipate marine mammal response to changes in habitats brought about by climate change. Long-term planning may be particularly important given the long lifespans, long maturation periods, and low recruitment rates of many marine mammal species and will be important for those with complex social structures.

Conservation and planning actions occur on various spatial scales and temporal planning horizons (Figure 1), motivating marine mammal distribution and abundance predictions across a similar range of space and time-scales. These may include nowcasts and short-term (<1 week) forecasts (Hazen et al., 2016), to seasonal predictions up to 6 months in the future, to multi-year forecasts, increasing to decadal or century-scale projections. In the United States for example, endangered species recovery plans are updated on 5-year cycles, while permitting for industrial activities, such as the siting or construction of offshore renewable energy facilities and oil and gas exploration and development activities, tends to be on the order of decades (BOEM, 2016; Figure 1). On even longer time scales, designation of endangered status often necessitates evaluation of species persistence on the order of a century (e.g., Angliss et al., 2002). Conversely, measures developed to reduce the risk of whales being struck by ships (e.g., Silber et al., 2012) or limit marine mammal exposure to military operations (e.g., NOAA, 2015) may

be established in finite areas and be implemented only for seasons to a few years (NOAA, 2013). While the emphasis here is meeting the challenge of projecting marine mammal distributions in a changing climate on multi-decadal to century time-scales, we note that decisions across multiple time-scales can contribute to the long-term resilience of marine mammal populations. We will thus discuss and contrast approaches across time-scales.

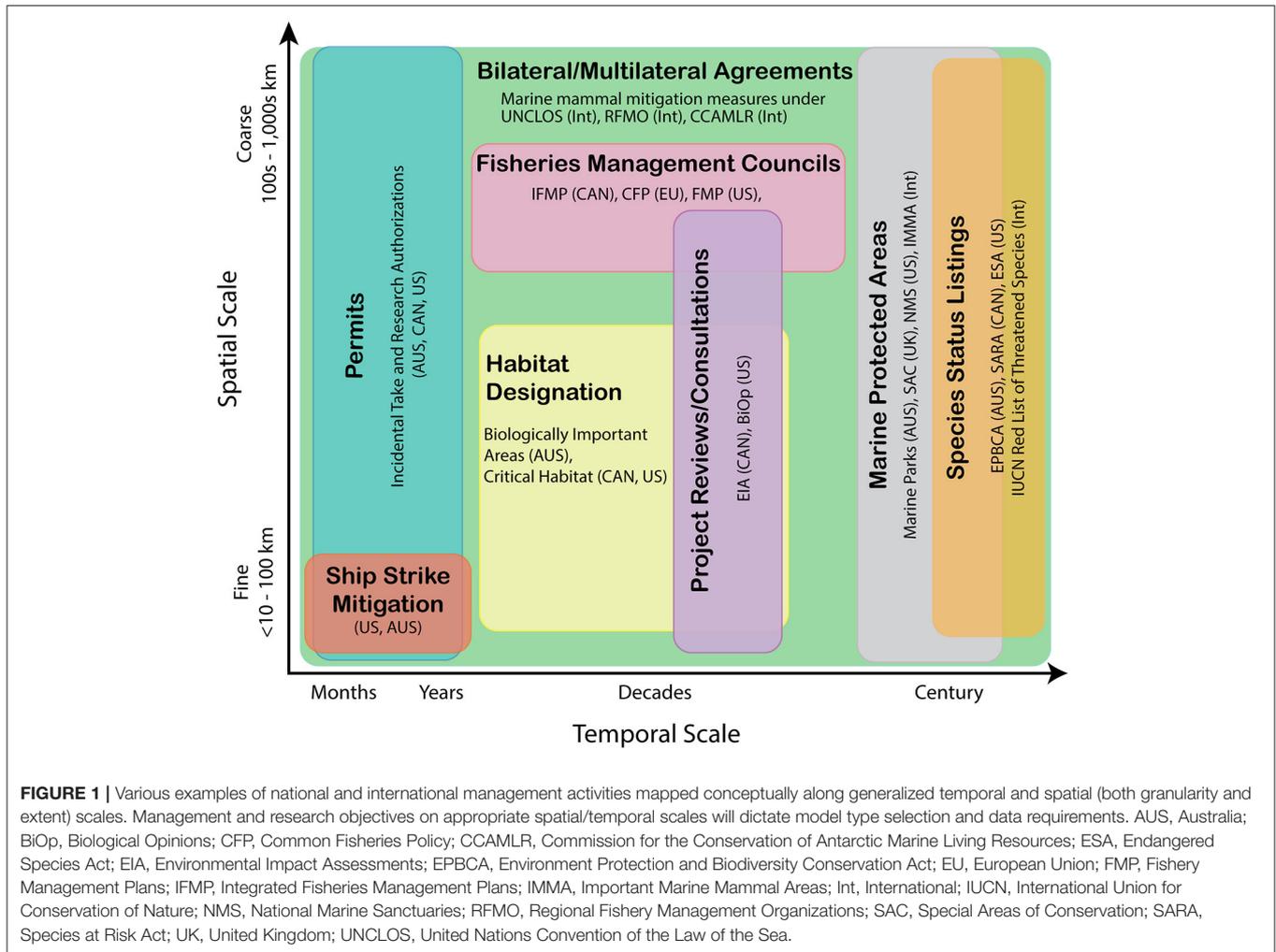
MARINE MAMMAL ECOLOGY AND CLIMATE CHANGE

Marine mammals have unique ecologies with complex life cycles that make predicting responses to climate change more difficult and, in some cases, make the species especially vulnerable to climate change impacts. Perhaps most notable amongst these traits in the context of climate change is endothermy, which provides for a broader range of temperature tolerance in marine mammals relative to fish. This could be a mechanism that adds resilience in marine mammal populations, but also makes for less predictable responses relative to fish or invertebrates that show isotherm-following behaviors (Pinsky et al., 2013).

Endothermy also elevates the importance of predicting changes in food resources relative to warming signals. While progress has been made in projecting large-scale prey resource changes (e.g., Stock et al., 2014; Lefort et al., 2015), marine mammal species such as blue whales (*Balaenoptera musculus*) show tight coupling to smaller scale oceanographic features (Fiedler et al., 1998; Moore et al., 2002; Croll et al., 2005) associated with high euphausiid (krill) abundance (Santora et al., 2011). Similar relations have been exhibited by bowhead whales (*Balaena mysticetus*) across the Arctic (Laidre et al., 2007; Citta et al., 2015; George et al., 2015) and North Atlantic right whales (Baumgartner et al., 2003; Baumgartner and Mate, 2005). Such aggregations remain poorly resolved in even high-resolution models and may exhibit climate change responses that differ from large-scale changes.

Other common traits are long lifespan, low birth rate, and long generation time. These traits are not conducive to rapid evolutionary adaptation (Trathan et al., 2007), making behavioral flexibility critical for adaptation to changing climatologies. Fortunately, some marine mammals have exhibited substantial behavioral and prey selection flexibility (Palacios et al., 2013). For example, humpback whales (*Megaptera novaeangliae*) remained abundant and were suspected to adapt foraging behavior to new prey species when climate conditions were unfavorable to common target prey species (Benson et al., 2002; Fleming et al., 2016). Failure to account for such flexibility could lead to unrealistically pessimistic projections.

Alternatively, many marine mammal species exhibit strong dependence on habitat types or oceanographic features that may be highly vulnerable to climate change, or high fidelity to particular sites or migration routes. For example, ringed seals (*Pusa hispida*) rely on sea ice habitat for pupping and sufficient snow to hide young from predators (Smith and Stirling, 1975; Laidre et al., 2008; Kovacs et al., 2011; NOAA, 2012). Hawaiian monk seals (*Neomonachus schauinslandi*) may lose haul-out sites to sea level rise; thus, understanding the climate-related threats to



this species might require a different type of model (e.g., dynamic shoreline evolution) for forecasting its distribution (Baker et al., 2006). Failure to account for unique vulnerabilities arising from such life-cycle strategies may result in unrealistically optimistic projections.

Lastly, some marine mammals are near the top of marine food webs, introducing a potentially strong dependence on integrated food web effects (Doney et al., 2012; Sydeman et al., 2015). Recent studies suggest robust amplification of productivity changes at higher trophic levels (Chust et al., 2014; Stock et al., 2014, 2017; Lefort et al., 2015). This potential sensitivity is further heightened by mid-trophic level fishing (Forcada et al., 2012), motivating work to analyze marine mammal responses in a food web context.

CURRENT APPROACHES FOR PREDICTING AND PROJECTING MARINE MAMMAL OCCURRENCE AND DISTRIBUTION

Two components are essential for predicting marine mammal occurrence and distribution. The first is a model capable

of estimating marine mammal distribution and/or abundance given a set of physical and/or ecological variables (e.g., ocean temperature, food resources). The second is the capability to project the states of the relevant physical and ecological variables at the time and space scales of interest. Models are designed to address a variety of research questions (Guisan and Thuiller, 2005), and a range of model types have been used for the first component (Gregg et al., 2013; Palacios et al., 2013). Early efforts employed simple visual mapping to describe marine mammal seasonal occurrence. For example, Winn et al. (1986) used North Atlantic right whale sighting records to develop a simple conceptual model of the species' seasonal distribution.

Statistical habitat models use environmental data and species occurrence data to generate predictions of the distribution of the species. The environmental predictors chosen for these models usually pertain to biological mechanisms (e.g., temperature is an important physiological constraint; chlorophyll-*a* has often proved to be a meaningful proxy for ocean productivity and food resources), which helps to avoid spurious correlations. For example, Baumgartner and Mate (2005) used water depth, depth gradient, bottom hydrographic properties, sea surface temperature (SST), chlorophyll concentration, and other features

to characterize North Atlantic right whale habitat. Becker et al. (2016) developed predictive habitat-based models of cetacean density and distribution for a number of species in the California Current System using a variety of dynamic environmental variables, including temperature, salinity, sea surface height, and mixed layer depth. Models used to predict distribution patterns, given observed environmental variables, may also be used to project a species' distribution using expected future values of the environmental variables (Becker et al., 2012).

In Panels 1 and 2, we highlight two examples of such statistical models in detail. Case study 1 describes “nowcasts” (i.e., estimates of the current ocean state) and seasonal predictions for 11 marine mammal species in the California Current based on habitat-based density models (Becker et al., 2016). Case study 2 applies similar empirically-driven approaches to assess potential challenges for ringed seal pup survival related to sea-ice and snowpack changes projected over the next century due to global warming (Hezel et al., 2012).

There are several limitations associated with statistical correlative models when used in a predictive context (Elith and Graham, 2009; Monahan, 2009; Webber et al., 2011). First, the relationships inferred from field data may not adequately describe the critical factors determining species distributions. Existing data on species distributions reflect the realized rather than potential habitat (i.e., the area where the intrinsic rate of population growth is >0 ; Araujo and Guisan, 2006; Soberon and Nakamura, 2009). This realized habitat implicitly reflects interactions with predators, prey species, and barriers to movement and comprises a level of structural detail not generally included in sets of climate predictors or even present in climate change projections. Predictions and projections based on realized niches thus presume that the impacts of these implicit factors act to maintain present realized habitat boundaries.

A second limitation is that, although the mechanisms by which environmental conditions affect biology and species distributions are considered, these relationships are empirically-defined from available data on past conditions and may not represent future conditions. Stationarity of these relationships is assumed when such models are used in a predictive context. This is clearly reasonable when predicting for conditions that have analogs in the historical record, but becomes less reliable for responses to extreme events or for the novel conditions expected under climate change (Williams et al., 2007; Hothorn et al., 2011).

Relatively recent advances in observational data collection and access to large marine environmental databases (e.g., the National Oceanographic Data Center; NCEI, 2017 or the Southwest Fisheries Science Center's ERDDAP site, SWFSC, 2017) provide an improved foundation for statistical habitat models, but would not address structural uncertainties in models that arise from incomplete understanding of species interactions and physiological thresholds. This level of knowledge would instead require a shift from reliance on correlations between marine mammals and their environment toward models that more clearly establish functional relationships with the physical and biological underpinnings of habitat utilization (Gregg et al.,

2013; Cribb et al., 2015). These relationships enable development of process-based models that yield robust predictions of species distributions rooted in ecological understanding (Palacios et al., 2013). While such models have been traditionally developed for a broad range of zooplankton and higher trophic level species, including forage fish (e.g., Ito et al., 2015; Rose et al., 2015), migratory predators (e.g., Lehodey et al., 2008) and other commercially and ecologically important species (e.g., Cury et al., 2008; Fiechter et al., 2015), marine mammal case studies are also starting to appear. For example, California sea lion (*Zalophus californianus*) foraging patterns and feeding success were simulated using sub-models for biogeochemical processes, regional ocean circulation, and forage fish abundance (Fiechter et al., 2016). The parameterization of more mechanistic models is challenging, but will also benefit from data collection advances referenced above. In data-poor situations, mechanistic models informed by general principles can help define the scope of potential marine mammal responses by testing the implications of general principles drawn from theory or data-rich regions (Friedrichs et al., 2007). While such exercises inevitably lead to large uncertainty bounds, they can help prioritize future observations on quantities or processes within existing uncertainty bounds (Plaganyi et al., 2011).

Predictions and projections for future states of drivers of marine resource abundance and distribution are generally derived from global climate and earth system models (Stock et al., 2011; Hobday et al., 2016; Tommasi et al., 2017a). Several characteristics of global climate and earth system models have shaped their application in projecting marine mammal distribution and abundance under climate change (Stock et al., 2011). The coarse resolution of global climate models (often $1-2^\circ$, or 100–200 km ocean grids in past assessments of the Intergovernmental Panel on Climate Change, IPCC) can generate significant coastal biases (Scales et al., 2017). Large inter-model differences in regional climate change projections limit confidence in scenarios for the future states of drivers of marine resource distribution and abundance (Hawkins and Sutton, 2009; Frölicher et al., 2016). Limited resolution of marine food webs in most earth system models may underestimate the potential amplification of climate change effects for higher trophic levels, particularly at regional scales (Chust et al., 2014; Stock et al., 2014, 2017; Lefort et al., 2015). As a result of these limitations, confidence in predicting the magnitude and direction of climate change trends that are capable of altering marine resource distributions is generally greatest at ocean-basin spatial scales and multi-decadal to century time-scales. In the absence of refined resolution via downscaling or high-resolution global models (see below), regional inferences must focus on the magnitude and direction of changes due to large-scale greenhouse gas accumulation, and include the caveat that unresolved regional responses may significantly modify these trends (e.g., Hare et al., 2012; Lynch et al., 2015).

Global climate model configurations similar to those used for long-term climate change projections are also applied to short-term predictions on seasonal to multi-annual scales that have proven valuable for a range of marine resource applications

(Hobday et al., 2016; Tommasi et al., 2017a). Analysis to date has suggested that skillful seasonal and, in some cases, multi-annual sea surface temperature predictions are possible for many regions despite coarse model resolution (Stock et al., 2015; Tommasi et al., 2017b). Seasonal to multi-annual predictions of ocean productivity, oxygen and other potential factors important to marine resource distributions and abundance have provided some cause for optimism (S  ferian et al., 2014; Gehlen et al., 2015), but have not been assessed with the same rigor as ocean temperatures, in part due to the sparsity of data with which to robustly characterize the model skill. The use of short-term forecasts in dynamic management approaches (Maxwell et al., 2015; Dunn et al., 2016; Tommasi et al., 2017b) may also contribute to building long-term resilience (Hobday et al., 2016; Tommasi et al., 2017a).

IMPROVING MARINE MAMMAL DISTRIBUTION PROJECTIONS

Uncertainty in projected marine mammal distributions ultimately reflects the integration of uncertainties in climate, food resources and marine mammal ecology, physiology and behavior (Cheung et al., 2016; Payne et al., 2016). Each of these components is likely to make a significant contribution to the combined uncertainty. The complexity of marine mammal ecology, physiology and behavior (see “Marine Mammal Ecology and Climate Change”), however, suggests that marine mammal responses to changing habitat and prey distributions may be a dominant uncertainty source for climate change projections. This suggests the importance of: (a) better understanding marine mammal behavior in order to improve the ecological and mechanistic underpinning of statistical habitat models; and (b) continued development and application of mechanistic population models as critical steps to increasing confidence in marine mammal projections under climate change. Confidence in physical climate change projections rests in large part on the foundation of climate models on robust physical principles that are expected to hold as climate changes (Randall et al., 2007). Solidifying the ecological and physiological principles upon which marine resource projections are based is equally critical (Stock et al., 2011).

Key requirements for credible mechanistic models are observations that provide the understanding and constraints necessary to build and validate such models. To support modeling efforts, research plans are needed that extend beyond the collection and dissemination of marine mammal occurrence and environmental correlate data, particularly where critical management needs exist or where correlative modeling studies are already underway. For example, improved understanding of how prey resources drive marine mammal distribution as well as the underlying physical and biological features that dictate prey occurrence (Fiedler et al., 1998; Croll et al., 2005; Santora et al., 2013; Schroeder et al., 2014) will likely lead to advances in marine mammal distribution modeling.

Monitoring of marine mammals and their habitats has become increasingly sophisticated, yet despite the broad

distribution of many species well outside continental shelves, most monitoring programs are largely focused in coastal and nearshore areas, where most anthropogenic activities are concentrated. As a result, validating models and detecting changes in distribution and regional abundance of many species may be difficult. Monitoring networks are needed that incorporate additional assets outside areas where marine mammals are traditionally studied. Research vessel surveys allow the collection of broad-scale data on marine mammals and their environment, but such surveys are costly and often limited by ship-time availability. Technologies such as passive acoustics, satellite telemetry, remote sensing, and autonomous underwater vehicles (AUVs) are also well-suited for collecting data on marine mammal occurrence and important physical and oceanographic data and may provide cost-effective alternatives, especially in remote areas. As such, long-term planning for data collection and monitoring is needed, accompanied by fiscal planning for access to appropriate platforms for gathering priority data.

Another critical challenge in projecting marine mammal responses to climate change is uncertainty in regional climate and earth system projections (Hawkins and Sutton, 2009; Fr  licher et al., 2016). Improved resolution of regional climate processes is a focal point of current climate research (Xie et al., 2015). Refined resolution in global climate models has allowed them to better resolve coastal processes and, in some cases, to reduce regional model biases (Saba et al., 2016). Dynamical downscaling offers a second means of improving coastal process resolution (e.g., Hermann et al., 2016; Holt et al., 2016), but the underlying global climate models are still subject to biases that propagate through regional model boundaries (e.g., Meier et al., 2006). Lastly, climate variability, which present-day models suggest has limited predictability beyond a year for all but a few regions of the ocean (Meehl et al., 2014), will continue to contribute uncertainty to future climate states (e.g., Deser et al., 2012). These results suggest that some gains in the accuracy and precision of regional climate change projections are likely, but progress will be difficult. Future marine mammal projections at regional scales must thus prioritize using a range of climate projections to ensure the best possible estimate of the range of potential marine mammal responses.

To be effective, future modeling efforts must involve researchers from diverse disciplines, including climate science, ecology, physical oceanography, marine mammal biology, and marine resource management. These multidisciplinary research efforts will be essential to identify how ongoing marine mammal modeling studies might be refined, better characterized to reduce the uncertainties in projections on different time and spatial scales, and build their value in informing pressing management decisions—both relating to marine mammals directly as well as for other interacting ecosystem components. Thus, marine mammal populations of highest concern, settings where protective measures are needed most, and the temporal and spatial scales for management actions need to be identified. In addition, biological and climate change modelers must provide feedback on the limits of

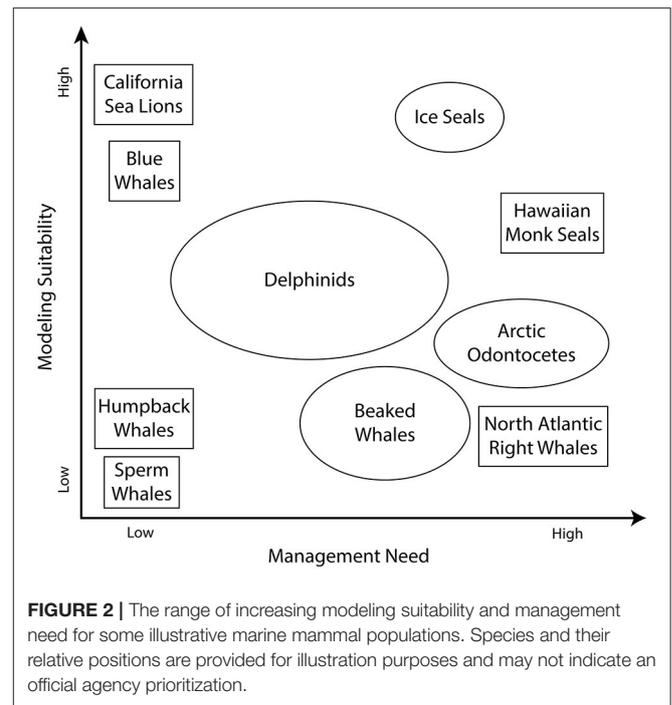
modeling efforts and describe likely levels of uncertainty in model outputs.

PRIORITY ACTIONS AND EXEMPLAR SPECIES

The broad scope of the challenges identified above (“Current Approaches for Predicting and Projecting Marine Mammal Occurrence and Distribution”) and the limited resources available to meet these challenges suggests initial prioritization of species/populations that represent a high management need and represent a high suitability to be modeled. Species that represent high management need are: (a) depleted or currently undergoing declines in abundance; (b) exposed to multiple (and perhaps compounding) anthropogenic threats; or (c) occur in high latitudes or other regions undergoing rapid change. Species with a high modeling suitability may: (a) already have multiple aspects of their ecology quantified; or (b) occur in ecosystems modeled by previous or ongoing efforts. In contrast, it may be of relatively limited value to devote resources to populations that are abundant and increasing in number [e.g., sperm whales (*Physeter macrocephalus*)] or of relatively low management priority, or for which modeling suitability may be limited (Figure 2).

Some marine mammal populations with limited ranges are exhibiting slow population growth or undergoing declines in abundance, such as the Cook Inlet beluga whale (*Delphinapterus leucas*) and vaquita (*Phocoena sinus*) (NMFS, 2016; Jaramillo-Legorreta et al., 2017). Note that for these species, fisheries bycatch and other factors may be the proximal cause for the severe depletion or extinction. However, given their highly-limited ranges, vulnerability to climate change is heightened as habitat and ecosystem perturbations become added stressors. Other species or populations with limited ranges, specialized diets, or similarly limiting ecological features may also be particularly vulnerable to habitat perturbations or large-scale ecological shifts. These populations, in addition to those exposed to the effects of various human activities such as bycatch in fisheries, underwater industrial noise, or competition for commercially valuable fish species, are high-priority candidates for refining forecast modeling studies. Ongoing efforts are focused on developing approaches to identify which protected marine vertebrates (i.e., pinnipeds, cetaceans, and turtles) are likely to be vulnerable to climate change and the attributes that make them vulnerable (Link et al., 2015), using an approach similar to that used for commercial fisheries (Pecl et al., 2014; Morrison et al., 2015; Hare et al., 2016). Such vulnerability assessments can inform decisions regarding priorities for assessing future impacts on marine mammals.

High-latitude marine systems are among the regions responding most rapidly to climate change (e.g., Doney et al., 2012; Hobday and Pecl, 2014; Thomas et al., 2016). As a result, marine mammal populations in these regions are likely to undergo range expansions/contractions or changes in local abundance sooner and perhaps more profoundly than in other regions, particularly those at lower latitudes (e.g., Laidre et al.,



2008; Moore and Huntington, 2008; Gilg et al., 2012). These changes may lead to novel species interactions (Doney et al., 2012). Shifts in occurrence or availability of key prey species may also be expected in these high-latitude areas (McBride et al., 2014; Thomas et al., 2016). Ice-obligate species that use ice as a platform for raising young (e.g., ringed seal pupping lairs) (NOAA, 2012) or for hunting (e.g., for polar bears, *Ursus maritimus*), or whose prey species are closely or directly linked to ice [e.g., Antarctic fur seals (*Arctocephalus gazella*) (Forcada et al., 2008)] may be particularly vulnerable to declines in the extent of seasonal and multi-year ice. While some forecasting work has already been done for polar bears, ice seals, and walrus (*Odobenus rosmarus*) in the context of endangered species determinations under the ESA (Jay et al., 2011; Regehr et al., 2016), additional work is required to develop and refine models for species residing or occurring seasonally in high latitudes.

Forecast uncertainties will be less in cases where the species' ecology is relatively well understood and plentiful occurrence and environmental data exist than for data-poor populations. Thus, modeling populations about which much is already known is of particular importance because such studies may help elucidate potential climate change sensitivities that can strategically guide conservation and management efforts for less well observed regions.

Given the level of effort that has been devoted to characterizing their marine mammal populations and physical and biological components, the California Current and Bering Sea ecosystems are particularly important areas for such modeling efforts (Moore, 2008; Friday et al., 2013; Redfern et al., 2013; Dransfield et al., 2014; Becker et al., 2016). Continuation of these studies should be encouraged because ample environmental

and species distribution data already exist, linkages between species occurrence and their environmental drivers have been explored, and these systems have been extensively modeled. Modeling studies of multiple trophic levels, species complexes, and well-understood systems (predator-prey relationships in particular) are a means to capitalize on interdisciplinary work already underway, represent cost-effective ways to maximize use of limited analytical resources, and provide opportunities for model validation with application to other taxa. They also help foster collaborative work with other modeling studies (e.g., fisheries, ecosystem) in the region studied. This will be most effective in regions where species interactions and relations to the physical environment are already well known. Thus, candidates for exploratory study of species complexes might include biogeographic provinces such as along shelf breaks, and portions of Bering Sea, Gulf Stream, and Antarctic ecosystems.

Therefore, key candidate marine mammal populations for future modeling studies are those particularly vulnerable to climate change, with high management priorities, and/or for which ecological data already exist. Among these are ice seal species [e.g., ringed seals and bearded seals (*Erignathus barbatus*)], Arctic odontocetes [beluga, narwhal (*Monodon monoceros*)], and killer whales (*Orcinus orca*)], bowhead whales, North Pacific right whales (*Eubalaena japonica*), North Atlantic right whales, and cetacean species occurring in the Bering Sea [e.g., humpback and gray whales or California Current ecosystems [gray, fin (*Balaenoptera physalus*), and blue whales]; **Figure 2**].

CONCLUSIONS

Climate change presents unprecedented challenges for managers responsible for developing and implementing conservation measures for marine mammals. As marine mammals respond to climate change, protective measures must be developed that are responsive to provide adequate protection, which requires the ability to anticipate changes in occurrence, distribution, phenology, and relative abundance of populations. A range of models, varying in levels of complexity and with varying informational and computational requirements, have been developed to project climate change impacts on marine organisms. These models can be adjusted to appropriate temporal and spatial scales and use new and existing species-related data (e.g., life history, distributional ecology, behavioral responses, and population dynamics) to be more effective under projected climate conditions. Ongoing modeling studies can be strengthened by improving predictive capacities, increasing data

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- available for marine mammals and their habitats, particularly in areas poorly-sampled in the past.
- Marine resource managers and modelers need to work together to identify priority management needs and the strengths and weaknesses inherent in modeling studies. Agencies should engage in long-term fiscal planning to equip scientists and managers with tools and resources needed to increase data collection, enhance ongoing studies, and refine current models, particularly as they pertain to appropriate temporal and spatial scales relevant to conservation/management actions in settings where needs are greatest. Given the global scale and complexity of both climate change impacts and marine mammal distributions, multidisciplinary collaborations are necessary to develop new, and improve existing, models to better address conservation of living marine resources in an uncertain future.

AUTHOR CONTRIBUTIONS

GS and ML: Lead authors and workshop co-convenor. PT: Author, workshop co-convenor. JB, MB, EB, PB, DD, JeF, JaF, KF, RG, JH, AH, DH, KL, NM, LQ, JS, KS, PS, CS, WS, KV, and RW: workshop participants and contributing authors. All authors made substantial contributions to the workshop content and discussion of ideas, drafting and revising the manuscript, providing final approval of submitted version and agree to be accountable for the work.

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APPENDIX: PANEL

Case study 1. Modeling Cetacean Density in the Pacific Ocean

Predictive habitat-based density models were developed for 11 marine mammal species or species assemblages in the California Current Ecosystem, eastern tropical Pacific, and central Pacific Ocean (**Figure A1**). Models used a generalized additive modeling framework at ecosystem-dependent scales (2–120 km) and extensive line-transect survey data (e.g., Ferguson et al., 2006; Redfern et al., 2008; Forney et al., 2012; Becker et al., 2016). This approach has enabled comparisons of modeling framework effectiveness, evaluation of predictor variables at differing spatial and temporal resolutions, development of methods to characterize uncertainty in model predictions, and model validation (Barlow et al., 2009; Becker et al., 2010, 2014; Forney et al., 2015).

Dynamic environmental covariates included sea surface temperature (SST), salinity (SSS) and height (SSH), chlorophyll concentration (CHL), thermocline depth and strength, and mixed layer depth (MLD) collected *in situ* during transect surveys and sensed remotely. In addition, dynamic variables (including SST, SSS, MLD, and SSH) from ocean models have been used as potential predictors in habitat models (Becker et al., 2016). Habitat predictors from ocean circulation models all served as proxies for unmeasured underlying ecological processes linking cetaceans to their prey, and they provide opportunities for dynamic predictions.

Models have successfully captured variability in cetacean density and distribution at seasonal and interannual time scales (e.g., **Figure A2**, Forney et al., 2012; Becker et al., 2014, 2016), but they only reflect historical data and the variation therein and do not take into account current or future conditions. Becker et al. (2012) demonstrated that advanced satellite data and forecasts from ocean models allow “nowcasts” of marine mammal distributions on time scales of days to weeks and forecasts on time scales of 3–4 months. Ocean circulation models provide robust predictive models of cetacean distributions (Becker et al., 2016), showing promise for future predictions of marine mammal distributions in a changing climate. However, these models rely on proxy variables, and future forecasts can fail if the proxy relationships change; likewise, modeling

marine mammal distribution in one area may not be readily transferable (i.e., may perform poorly) to other locations. Future steps require additional model validation, particularly at different spatial resolutions and longer temporal scales.

Case Study 2. Projecting Ringed Seal Distributions

In the context of a biological review regarding the listing of the ringed seal as threatened or endangered under the ESA, ringed seal distributions were projected through the twenty-first century using a highly-simplified definition of habitat required by the species for the critical life history functions of whelping, nursing, and weaning pups. These functions occur in spring, a period when the pups are highly vulnerable to predation and hypothermia if there is insufficient snow cover for mothers to construct and maintain lairs on top of the ice. Studies of lair construction indicated that accumulated snow depths of at least 20 cm are required for drifts to form that are sufficiently deep (50–65 cm) for adequate birth lairs (NOAA, 2012). Therefore, ringed seal habitat and breeding distribution were assumed to be those areas of the Arctic where at least 20 cm of snow depth could be expected in the month of April. Output from global climate models predicts that although precipitation in the Arctic is expected to increase, much of it will fall as rain. Delayed autumn ice formation will mean that some of the snow that does fall will fall into open water rather than accumulating on the ice surface. Consequently, the area with snow depths above 20 cm in April was projected to decline under a broad range of plausible greenhouse gas emission scenarios, with the greatest decline up to 70% by the end of the twenty-first century under one emissions scenario (Hezel et al., 2012). This would result in a substantial loss of ringed seal reproductive habitat.

One strength of this approach is that it is built on climate projections that are, qualitatively at least, broadly agreed to represent the best available science and project robust large-scale trends in Arctic sea ice. A significant limitation of this method for ringed seal habitat projection is that the species' habitat has been reduced to just two dimensions (sea ice extent and snow accumulation), a drastic oversimplification. Another limitation is that regional and finer scale variability may not be adequately reflected in the coarse resolution of the global circulation models used for the climate projections (Stock et al., 2011).

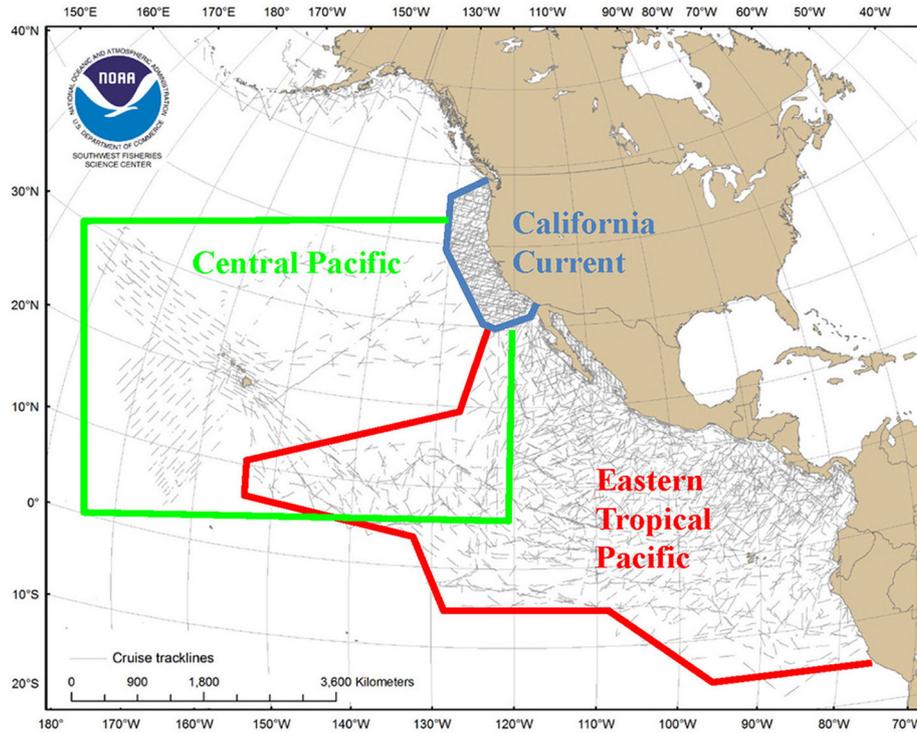


FIGURE A1 | (Case study 1) Transect coverage for surveys conducted by SWFSC between 1986 and 2006 in three broad study areas in the eastern North Pacific. Modified from Hamilton et al. (2009).

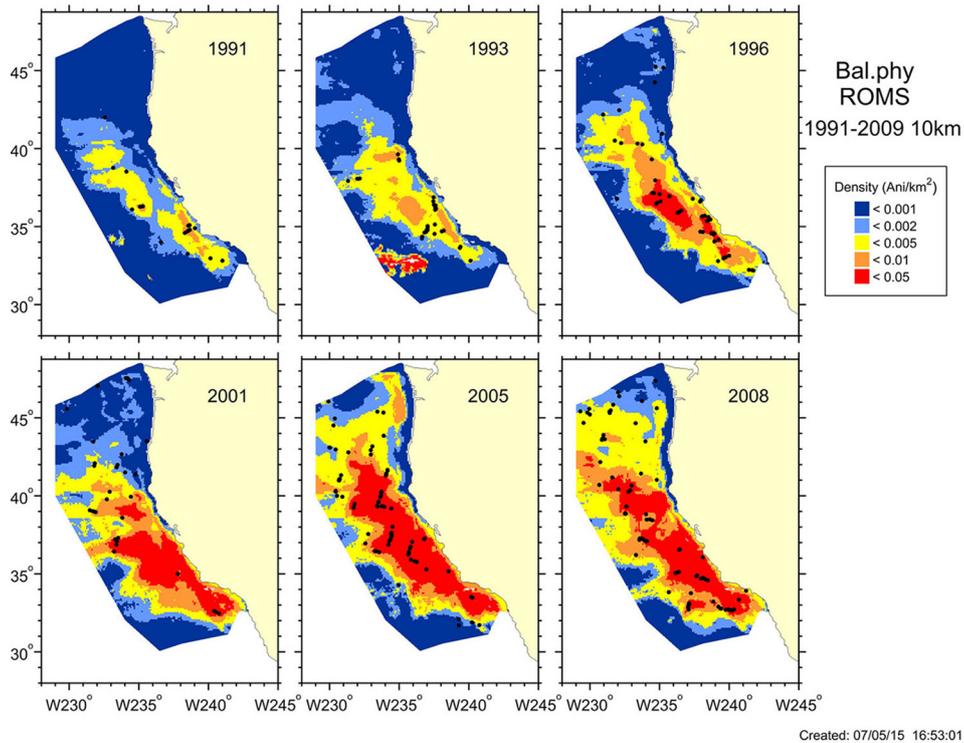


FIGURE A2 | (Case study 1) Model-based estimates of fin whale summer/fall density (animals/km²) and distribution for six different years, 1991–2009, in the California Current Ecosystem. Black dots show actual sighting locations during ship surveys conducted in each year. (Details provided in Becker et al., 2016).