



# Length-Based Assessment of Fish Stocks in a Data-Poor, Jointly Exploited (China and Vietnam) Fishing Ground, Northern South China Sea

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The Beibu Gulf is one of the most important fishing grounds in the South China Sea (SCS), and the fisheries resources in this area are exploited by both China and Vietnam. In recent decades, some indications of overfishing have appeared, including declining catch rates, frequently changing catch composition, and shrinking body sizes in main commercial fish species. Due to limited data availability, only a small subset of exploited fish stocks in this area has been assessed. Here, we applied two length-based methods, electronic length frequency analysis (ELEFAN) and length-based Bayesian biomass estimation (LBB), to stock assessment of nine exploited fish species in the Beibu Gulf. There were total 53, 652 length records of 30 target stocks used in this study during the survey period from 1960 to 2015. The results showed that the two length-based methods presented different ability in estimating exploitation rate ( $E$ ), and the estimated  $E$  ranged from 0.34 to 0.87 using ELEFAN method while ranged from 0.26 to 0.86 using LBB method. The prior information from ELEFAN method was effective for LBB method, as there were significant differences in 66.7% of the 30 target stocks in estimated  $L_{inf}$ , and 93.3% in estimated  $B/B_{MSY}$ , using LBB method with and without prior information. The estimated  $L_C/L_{C_{opt}}$  and  $B/B_{MSY}$  of LBB method suggest a pressing situation for the fisheries in the Beibu Gulf, as 86.7% of the 30 target stocks had been suffering from growth overfishing ( $L_C/L_{C_{opt}} < 1$ ), and 83.3% had been overexploited or fully exploited ( $B/B_{MSY} \leq 1.2$ ). In addition, we suggest using both ELEFAN and LBB methods to fit length-frequency data of data-poor fish stocks because they are complementary in estimating management reference points. We also emphasize collaboration mechanism should be established by China and Vietnam for the sustainability and recovery of fishery resources in the Beibu Gulf.

**Keywords:** Beibu Gulf, electronic length frequency analysis, length-based Bayesian estimation, prior information, exploitation rate, management

## INTRODUCTION

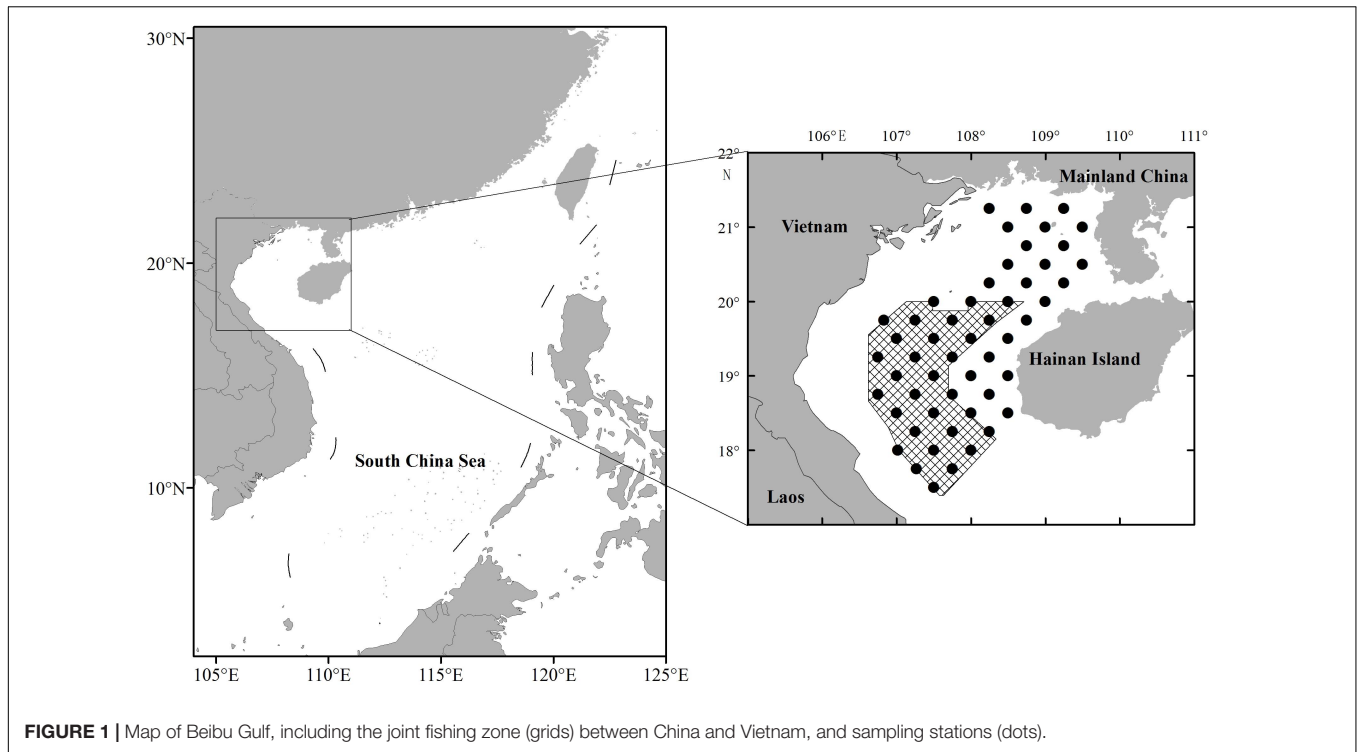
Marine fisheries resources are an important source of animal protein and micronutrients, and provide employment opportunities and income for people worldwide [Food and Agriculture Organization (FAO) (2016); Pauly and Zeller, 2016]. As a result of widespread overfishing leading to sequential depletion of exploited stocks, global fishery catch has been stagnating, then gradually decreasing since the late 1980s (Kleisner et al., 2013). Stock assessment is a basic work to carry out modern management and maintain fishery sustainability. With the improvement of computer simulation ability and multi-disciplinary collaboration, stock assessment methods have been developed rapidly. The stock assessment models tend to be more diversified, and their structures become more complicated (Maunder and Punt, 2013). The classical assessment models always need a large amount of statistical and survey data, including catch, abundance index and even age structure. However, most of exploited fisheries, especially in developing countries, do not have the data required for traditional methods and are considered data-poor. Consequently, only 20% of global catch comes from assessed species, and less than 1% of species have been assessed (Costello et al., 2012). The severity of this problem has been gradually realized, and increasing alternative methods for data-poor fisheries have been building in recent years (Dick and Maccall, 2011; Martell and Froese, 2013; Cadrin and Dickey-Collas, 2015; Hordyk et al., 2015; Froese et al., 2018).

At present, two types of methods are commonly used in data-poor fisheries, the catch-based methods and the length-based methods (Liang et al., 2020). The catch-based methods estimate sustainable yield or maximum sustainable yield (MSY) of the target population using catch time series and auxiliary data, e.g., intrinsic rate of increase, natural mortality, and age at maturity. The length-based methods can use length-frequency data to estimate growth, mortality and development status, e.g., exploitation rate, and relative stock size ( $B/B_{MSY}$ ). Electronic length frequency analysis (ELEFAN) is widely used to fit von Bertalanffy growth function and estimate growth and mortality parameters for data-poor fisheries (Pauly and David, 1981). It enables users to formulate some management options for fisheries, especially in data-poor, tropical areas. Recently, a new length-based method, length-based Bayesian biomass estimation (LBB), was developed to estimate  $B/B_{MSY}$ , and the current exploited biomass relative to the unexploited biomass ( $B/B_0$ ) for data-poor fish stocks (Froese et al., 2018). Compared to statistical catch data, length-frequency data is more convenient to collect due to the lower time and economic cost. Size-related measures (e.g., mean length, length at first sexual maturity) have long been used as indicators of response to population decline, especially in tropical waters where fish age are difficult to be identified, and data poor areas where historical catch data are not counted accurately. The length-based methods avoids relying on this incomplete dataset and instead used size composition data gathered from a range of sources to generate species-level assessments (Nadon et al., 2015), which obviously improves fisheries management in

developing countries (Baldé et al., 2019). In addition, the assessment efficiency of catch-based methods largely depends on the accuracy of statistical catch data. However, marine fisheries catch data were distorted due to neglected small-scale fisheries, illegal fisheries, and discarded bycatch (Watson and Pauly, 2001; Pauly and Zeller, 2016). The systematic distortions in catch trends will impact the assessment results and prevent effective management.

The South China Sea (SCS) is located at the center of the Indo-West Pacific region, and is a representative sea of data-poor fisheries (Zhang et al., 2017). Despite its vast sea area, most of the fishing efforts and landings from the People's Republic of China (here after referred to as 'China') are concentrated in the northern continental shelf (Qiu et al., 2008). The northern SCS are important spawning and feeding grounds for commercial fish stocks, as well as marine fishing grounds. Since China's reform and opening up, the demand for seafood has increased with fast growth in the economy of coastal areas. Rapid growth in the number of marine fishing vessels and catches from the 1970s to 1990s had resulted in the decline of offshore fishery resources in the northern SCS (Zhang et al., 2017), especially in typical semi-closed bays (Zhang et al., 2020a,b). The Beibu Gulf covers an area of  $12.8 \times 10^3 \text{ km}^2$ , and is surrounded by the land territories of China and Socialist Republic of Vietnam (here after referred to as 'Vietnam') (Figure 1). It is highly productive and rich in fishery resources, and has been one of China's four major fishing grounds (Qiu et al., 2008). The Chinese and Vietnamese governments signed a Fishery Cooperative Agreement in 2000 (Qiu et al., 2008), and designed a joint fishing zone (Figure 1) in the Beibu Gulf (allow fishing for both countries). In recent six decades, fish community structure in Beibu Gulf has changed observably, from demersal to pelagic species and from high-trophic-level to low-trophic-level species (Su et al., 2021). The major commercial fish stocks, e.g., threadfin porgy *Evynnis cardinalis*, tend to be smaller body size, and earlier sexual maturity (Zhang et al., 2020a). It is commonly agreed that for risk avoidance and economic benefits, biomass ( $B$ ) of fish stocks must be above the MSY level ( $B_{MSY}$ ) and fishing pressure ( $F$ ) must be below the MSY level ( $F_{MSY}$ ) based on the MSY framework (Froese et al., 2020). However, limited research on fish stock assessment based on the MSY framework has been undertaken (Zhang et al., 2017), so it is necessary to establish alternative methods in the Beibu Gulf.

In this paper, we applied the two above-mentioned length-based methods (ELEFAN and LBB) to stock assessment for nine exploited fish stocks in the Beibu Gulf. ELEFAN is widely used in fish stock assessment in Chinese waters, and many of the main commercial species have been assessed by this method, e.g., largehead hairtail *Trichiurus japonicus* (Zhou et al., 2002), small yellow croaker *Larimichthys polyactis* (Liu et al., 2012). LBB is a newly developed method and has been recently introduced to stock assessment in Chinese waters (Liang et al., 2020; Zhang et al., 2021b). The assumptions and computational procedures of the two methods are quite different (Pauly and David, 1981; Froese et al., 2018). Until now, how prior information affect the performance of LBB method and comparison of assessment results with ELEFAN method in data-poor fisheries have not been



documented. The objectives of this study were to: (1) provide an overview of exploitation status of exploited fish stocks in the Beibu Gulf; (2) compare the assessment results of the two length-based methods; and (3) compare the performance of LBB method with and without prior information. The results may contribute to providing a scientific basis to assist sustainable utilization and management of fish stocks in data-poor areas.

## MATERIALS AND METHODS

### Data Collection

Length data analyzed in this study were from bottom-trawl surveys (1960–2015) conducted by the SCS Fisheries Research Institute. The sampling stations (Figure 1) were predetermined before the surveys and consistent from year to year. Each station was investigated once and trawled for 1 h, with an average hauling speed of 3–4 knots in all surveys. The mesh size of the bottom-trawl nets ranged from 120 to 200 mm, with 30–40 mm cod-end mesh size in all the surveys. Surveys were conducted monthly in the 1960s and quarterly in other periods (Table 1). All captured fishery samples were identified to the species level, and biological data including length, weight, sexual maturity and stomach fullness for main commercial species were measured. The individuals were randomly sampled for measurement and laboratory bioassays. For each species, if fewer than 50 individuals were caught in a station, all were cryopreserved for laboratory bioassays; otherwise, 50 individuals were sampled randomly and measured. For each fish, the standard length was measured to the nearest millimeter.

Nine exploited fish stocks, including Japanese scad (*Decapterus maruadsi*), threadfin porgy (*Evynnis cardinalis*), yellowbelly threadfin bream (*Nemipterus bathybius*), golden threadfin bream (*Nemipterus virgatus*), red bigeye (*Priacanthus macracanthus*), purple-spotted bigeye (*Priacanthus tayenus*), brushtooth lizardfish (*Saurida undosquamis*), Japanese jack mackerel (*Trachurus japonicus*), and largehead hairtail (*Trichiurus japonicus*), were selected in this study regarding their high economic values and large catches in the northern SCS (Qiu et al., 2008; Zhang et al., 2017; Su et al., 2021). There were total 53, 652 length records of the nine fish species during different sampling years used in this study (total 30 assessment sequences in Table 1). Anal length was used for *T. japonicus*, fork length for *D. maruadsi* and *T. japonicus*, and body length for the other six fish species.

### ELEFAN Method

The growth of the fish stocks was modeled by the von Bertalanffy equation (von Bertalanffy, 1938):

$$L_t = L_{inf}(1 - \exp(-K(t - t_0))) \quad (1)$$

where  $L_t$  is length (cm) at age  $t$ ,  $L_{inf}$  the asymptotic length,  $K$  is the von Bertalanffy growth coefficient, and  $t_0$  is the theoretical age at length zero. The ELEFAN I routines incorporated in the FiSAT II (Gayanilo and Pauly, 1997) software were used to fit growth curves to the restructured length-frequency data. Using both the “automatic search routine” and the “response surface analysis” within ELEFAN, it was possible to achieve the best fit for the growth curve (best-fitting combination of  $L_{inf}$  and  $K$ ) to the length-frequency data.

**TABLE 1** | Summary of samplings and length data for nine fish stocks collected during 1962–2012 for stock assessment in Beibu Gulf, South China Sea.

Species	Sequence	Sampling year	Standard length range (mm)	Numbers of individuals measured	Sampling intervals
<i>Decapterus maruadsi</i>	1	1992	90–270	635	Quarterly
	2	1998	32–300	1714	Quarterly
	3	2006	76–264	1049	Quarterly
	4	2007	67–250	1028	Quarterly
	5	2009	86–235	1226	Quarterly
	6	2010	96–215	465	Quarterly
	7	2012	75–256	1318	Quarterly
<i>Evynnis cardinalis</i>	8	1962	40–240	5201	Monthly
	9	1999	57–230	1120	Quarterly
	10	2006	41–196	2055	Quarterly
	11	2015	23–202	2783	Quarterly
<i>Nemipterus bathybius</i>	12	1992	51–220	650	Quarterly
	13	1997	51–200	870	Quarterly
	14	2009	41–230	450	Quarterly
<i>Nemipterus virgatus</i>	15	1960	36–282	6781	Monthly
	16	1962	46–275	2356	Monthly
	17	1992	68–300	976	Quarterly
	18	1998	43–300	3168	Quarterly
	19	2006	60–316	1467	Quarterly
	20	2007	75–308	670	Quarterly
	21	2009	63–310	828	Quarterly
	22	2012	75–272	545	Quarterly
	23	1999	55–300	1722	Quarterly
<i>Priacanthus macracanthus</i>	24	2015	57–303	1295	Quarterly
	25	1999	55–287	421	Quarterly
<i>Priacanthus tayenus</i>	26	1999	11–435	6467	Quarterly
<i>Saurida undosquamis</i>	27	1999	90–290	1170	Quarterly
<i>Trachurus japonicus</i>	28	1982	132–605	432	Quarterly
<i>Trichiurus japonicus</i>	29	1999	20–670	3662	Quarterly
	30	2015	61–528	1128	Quarterly

The parameter  $t_0$  were calculated using the empirical equation (Pauly, 1983):

$$\log_{10}(-t_0) = -0.3922 - 0.275 \log_{10} L_{\text{inf}} - 1.038 \log_{10} K \quad (2)$$

Total mortality ( $Z$ ) was estimated by the length-converted catch curve procedure (Pauly, 1983):

$$\ln(N_i / \Delta t_i) = c - Z t_i' \quad (3)$$

where  $N_i$  is the number of fish caught in a given length class  $i$ ,  $t_i'$  is the relative age corresponding to length class  $i$ ,  $\Delta t_i$  is the time needed for growing through the length class  $i$ , and  $c$  is the intercept of the linear equation, respectively.

The instantaneous natural mortality ( $M$ ) was calculated (Pauly, 1983) by:

$$\ln M = -0.0152 - 0.279 \ln L_{\text{inf}} + 0.654 \ln k + 0.463 \ln T \quad (4)$$

where  $T$  is the mean environmental temperature. Fishing mortality ( $F$ ) was calculated by subtracting  $M$  from  $Z$ , and the exploitation ratio ( $E$ ) was obtained from  $F/Z$ .

## LBB Method

Growth in body length is also assumed to follow the von Bertalanffy growth function (von Bertalanffy, 1938) in the LBB method (Froese et al., 2018).

Most of commercially exploited fish species grow throughout their lifetime, and their body size would approach the asymptotic length  $L_{\text{inf}}$  if mortality were zero, which can be expressed by:

$$P_{L/L_{\text{inf}}} = \left(1 - \frac{L}{L_{\text{inf}}}\right)^{M/K} \quad (5)$$

where  $P_{L/L_{\text{inf}}}$  is the probability to survive to length  $L/L_{\text{inf}}$ , which is solely a function of the  $M/K$  ratio.

The LBB method assumes that the selectivity of fishing gear is trawl-like, i.e., small individuals (length  $< L_x$ ) can not be caught, all individuals will be caught if exceed a certain body size (length  $> L_{\text{start}}$ ), and part of the individuals are caught when length between  $L_x$  and  $L_{\text{start}}$ . The gear selectivity can be expressed by the following equation:

$$S_L = \frac{1}{1 + e^{-\alpha(L-L_c)}} \quad (6)$$

where  $S_L$  is the fraction of individuals that are retained by the gear at length  $L$ ,  $L_c$  is the length where 50% of the individuals are retained by the gear, and  $\alpha$  represents the steepness of the ogive (Quinn and Deriso, 1999).

Combining the equations (1), (5), and (6), and rearranging lead to:

$$N_{L_i} = N_{L_{i-1}} \left( \frac{L_{inf} - L_i}{L_{inf} - L_{i-1}} \right)^{\frac{M}{K} + \frac{F}{K} S_{L_i}} \quad (7)$$

$$C_{L_i} = N_{L_i} S_{L_i} \quad (8)$$

where  $N_{L_i}$  and  $N_{L_{i-1}}$  are the numbers of individuals in length class  $L_i$  and the previous length class  $L_{i-1}$ , respectively. To minimize the required parameters, the ratios  $M/K$  and  $F/M$  are estimated, instead of the absolute values of  $F$ ,  $M$ , and  $K$  in the LBB analysis. In other words, the increase in fish body length can be used as a proxy for its life time, and by using ratios instead of absolute values the units of time and biomass cancel out (Froese et al., 2018).

The Bayesian Gibbs sampler JAGS within R statistical language (version 4.0.3) was used to fit the observed proportions at-length to their expected values:

$$\hat{p}_{L_i} = \frac{\hat{N}_{L_i}}{\sum \hat{N}_{L_i}} \quad (9)$$

where  $p_{L_i}$  is the observed proportions-at-length,  $\hat{p}_{L_i}$  is the mean values for  $p_{L_i}$ ,  $\hat{N}_{L_i}$  denotes the mean values for  $N_{L_i}$ , which has been mentioned in equation (7).

The observed and predicted length distributions were then fitted by assuming Dirichlet-multinomial distribution (Thorson et al., 2017), which was proposed for fitting size and age composition in stock assessment models using a Bayesian framework. Proportions-at-length assume Dirichlet-multinomial distribution with an effective sample size of 1,000, which was chosen based on desirable performance across various simulation-testing trial scenarios (Froese et al., 2018).

The following equations are used to approximate the population status through the estimated quantities  $L_{inf}$ ,  $L_c$ ,  $M/K$ , and  $F/K$ . First, the length  $L_{opt}$  representing the maximum biomass of unexploited cohort is obtained from:

$$L_{opt} = L_{inf} \left( \frac{3}{3 + \frac{M}{K}} \right) \quad (10)$$

With a given fishing pressure  $F/M$ , the length at first capture  $L_{c\_opt}$  that maximizes catch and biomass can be obtained from:

$$L_{c\_opt} = \frac{L_{inf}(2 + 3\frac{F}{M})}{(1 + \frac{F}{M})(3 + \frac{M}{K})} \quad (11)$$

An index catch per unit of effort ( $CPUE'/R$ ) is obtained as dividing relative yield-per-recruit ( $Y'/R$ ) by  $F/M$ , which can be described as:

$$\frac{CPUE'}{R} = \frac{\frac{Y'}{R}}{\frac{F}{M}} = \frac{1}{1 + \frac{F}{M}} (1 - L_c/L_{inf})^{M/K} \left( 1 - \frac{3(1 - L_c/L_{inf})}{1 + 1/(M/K + F/K)} + \frac{3(1 - L_c/L_{inf})^2}{1 + 2/(M/K + F/K)} - \frac{(1 - L_c/L_{inf})^3}{1 + 3/(M/K + F/K)} \right) \quad (12)$$

The relative biomass in the exploited phase of the fish population if no fishing takes place is given by:

$$\frac{B'_{0 > L_c}}{R} = (1 - L_c/L_{inf})^{M/K} \left( 1 - \frac{3(1 - L_c/L_{inf})}{1 + \frac{M}{K}} + \frac{3(1 - L_c/L_{inf})^2}{1 + \frac{2M}{K}} - \frac{(1 - L_c/L_{inf})^3}{1 + \frac{3M}{K}} \right) \quad (13)$$

where  $B'_{0 > L_c}$  denotes the exploitable fraction ( $> L_c$ ) of the unfished biomass ( $B_0$ ).

The ratio of fished to unfished biomass is described as:

$$\frac{B}{B_0} = \frac{\frac{CPUE'}{R}}{\frac{B'_{0 > L_c}}{R}} \quad (14)$$

A proxy for the relative biomass that can produce  $B_{MSY}/B_0$  was obtained by re-running Equations (12–14) with  $F/M = 1$  and  $L_c = L_{c\_opt}$  (Froese et al., 2018).

Hordyk et al. (2019) indicated that the LBB analysis did not correct for the pile-up effect (pile-up of abundance observations in length classes used as bins in length-frequency analyses), and may result in a biased estimate of  $F$  and  $M/K$ . Therefore, we applied other two modified LBB model (Froese et al., 2019) on the length data of the 9 exploited fish species from Beibu Gulf. The two models, LBB-1 (full correction for the pile-up effect), and LBB-2 (let the Bayesian model determine the degree of correction based on the best fit to the available data) were based on the original LBB equation, and corrected for the pile-up effect.

In this study, we also analyzed the performance of LBB method with and without prior information. The prior information of parameters  $L_{inf}$  and  $Z/K$  were from the output of ELEFAN method. All the analysis was implemented using LBB\_33a.R, an R-code algorithm presented by Froese et al. (2018, 2019). Fish stocks were classified to three exploitation statuses based on the estimates of  $B/B_{MSY}$ , overexploited status was assigned where  $B/B_{MSY} < 0.8$ , fully exploited status where  $0.8 \leq B/B_{MSY} \leq 1.2$ , and underdeveloped status where  $B/B_{MSY} > 1.2$  (Amorim et al., 2019). Besides, the stocks are considered as suffering from growth overfishing when the estimated  $L_c/L_{c\_opt} < 1$  (Liang et al., 2020; Zhang et al., 2021b).

## RESULTS

### Comparison of Assessment Results Between ELEFAN and LBB Method

The estimated asymptotic lengths for all assessment sequences ranged from 22.0 to 70.0 cm using ELEFAN method while ranged from 22.0 to 70.3 cm using LBB method. There were not significant differences in estimated  $L_{inf}$  between ELEFAN method and LBB method, in all assessment sequences ( $p > 0.05$ ), except *D. maruadsi* stock of 2006 ( $t = 5.37$ ,  $p < 0.05$ ), and *T. japonicas* stock of 1982 ( $t = 3.12$ ,  $p < 0.05$ ). The estimated  $Z/K$  for all assessment sequences ranged from 3.36 to 11.19 using ELEFAN method while ranged from 2.3 to 12.0 using LBB method. There

**TABLE 2** | Comparison of estimated parameters between ELEFAN method and LBB method with prior information.

Species	Sequence	Sampling years	ELEFAN method			LBB method		
			$L_{inf}$ (cm)	Z/K	E	$L_{inf}$ (cm)	Z/K	E
<i>Decapterus maruadsi</i>	1	1992	29.7	4.26	0.70	30.3 (29.8–30.8)	4.4 (4.1–4.7)	0.76
	2	1998	32.0	4.35	0.72	31.7 (31.1–32.2)	4.5 (4.1–5.2)	0.79
	3	2006	<b>30.5</b>	<b>5.48</b>	<b>0.76</b>	<b>27.5 (27.0–28.0)</b>	<b>2.7 (2.5–2.9)</b>	<b>0.64</b>
	4	2007	32.9	3.57	0.73	32.9 (32.3–33.4)	3.6 (3.3–3.7)	0.78
	5	2009	26.8	5.33	0.78	27.7(27.2–28.1)	4.9 (4.4–5.3)	0.80
	6	2010	25.5	<b>4.09</b>	<b>0.71</b>	26.3 (25.8–26.6)	<b>12 (11–13)</b>	<b>0.86</b>
	7	2012	23.9	3.36	<b>0.63</b>	24.2 (23.9–24.5)	3.2 (2.9–3.5)	<b>0.73</b>
<i>Evynnis cardinalis</i>	8	1962	27.6	4.89	<b>0.49</b>	27.9 (27.4–28.4)	5 (4.6–5.2)	<b>0.58</b>
	9	1999	25.9	<b>6.92</b>	0.62	22.0 (22.4–23.1)	<b>5.6 (5.4–5.8)</b>	0.58
	10	2006	23.0	5.94	<b>0.60</b>	22.7 (22.3–23.2)	6.3 (5.9–6.7)	<b>0.69</b>
	11	2015	23.5	<b>5.79</b>	<b>0.58</b>	23.4 (23.1–23.9)	<b>6.8 (6.5–7.3)</b>	<b>0.70</b>
<i>Nemipterus bathybius</i>	12	1992	24.2	<b>5.67</b>	<b>0.57</b>	24.4 (24.1–24.8)	<b>3.1 (2.9–3.3)</b>	<b>0.51</b>
	13	1997	22.0	<b>6.29</b>	0.62	22.1 (22.0–22.3)	<b>2.6 (2.5–2.7)</b>	0.61
	14	2009	23.6	<b>5.54</b>	0.58	24.1 (23.8–24.5)	<b>4.9 (4.6–5.1)</b>	0.62
<i>Nemipterus virgatus</i>	15	1960	32.6	<b>4.08</b>	<b>0.34</b>	32.1 (31.5–32.6)	<b>2.3 (2.1–2.4)</b>	<b>0.26</b>
	16	1962	33.5	4.37	<b>0.47</b>	33.1 (32.4–33.6)	4.3 (4–4.8)	<b>0.58</b>
	17	1992	34.1	<b>6.85</b>	<b>0.62</b>	34.6 (34.1–35.2)	<b>9.3 (8.4–10)</b>	<b>0.73</b>
	18	1998	32.9	<b>6.89</b>	0.61	33.6 (33.1–34.4)	<b>4.1 (3.9–4.4)</b>	0.56
	19	2006	32.1	<b>6.63</b>	0.60	32.8 (32.3–33.4)	<b>6 (5.7–6.3)</b>	0.62
	20	2007	31.5	<b>8.45</b>	0.71	31.5 (30.9–32.1)	<b>11 (10–12)</b>	0.77
	21	2009	31.2	9.40	0.74	31.4 (30.8–32)	8.9 (8.3–9.4)	0.75
	22	2012	32.0	<b>7.07</b>	<b>0.56</b>	32.5 (32.0–33.0)	<b>12 (11–13)</b>	<b>0.77</b>
<i>Priacanthus macracanthus</i>	23	1999	29.3	<b>5.06</b>	<b>0.68</b>	28.5 (28.2–29.2)	<b>2.8 (2.6–3.1)</b>	<b>0.58</b>
	24	2015	29.1	<b>6.53</b>	<b>0.70</b>	29 (28.4–29.4)	<b>3.5 (3.3–3.8)</b>	<b>0.59</b>
<i>Priacanthus tayenus</i>	25	1999	29.4	5.72	0.68	29.4 (29.3–29.7)	5.8 (5.5–6.1)	0.67
<i>Saurida undosquamis</i>	26	1999	45.5	<b>5.07</b>	<b>0.56</b>	45.5 (44.4–46.3)	<b>8.7 (8.2–9.5)</b>	<b>0.77</b>
<i>Trachurus japonicus</i>	27	1999	31.2	5.12	<b>0.58</b>	31.6 (31.1–32.0)	5.3 (4.9–5.5)	<b>0.67</b>
<i>Trichiurus japonicus</i>	28	1982	<b>62.2</b>	<b>4.57</b>	<b>0.71</b>	<b>58.2 (57.2–59.3)</b>	<b>3.7 (3.4–4.3)</b>	<b>0.80</b>
	29	1999	70.0	<b>11.19</b>	0.87	70.3 (68.5–71.6)	<b>7.8 (7.5–8.2)</b>	0.84
	30	2015	58.5	<b>5.86</b>	0.59	58.3 (57.3–59.1)	<b>5.3 (5–5.5)</b>	0.63

The bold numbers represent significantly different results ( $p < 0.05$ ) between ELEFAN and LBB method. The numbers between brackets represent 95% credible intervals for the parameters.

were not significant differences in estimated Z/K between ELEFAN method and LBB method in 11 assessment sequences (No. 1, 2, 4, 5, 7, 8, 10, 16, 21, 25, and 27, Table 2).

The estimated exploitation rates for all assessment sequences ranged from 0.34 to 0.87 using ELEFAN method while ranged from 0.26 to 0.86 using LBB method. The lowest value of exploitation rate occurred in *N. virgatus* stock of 1960 using both the two method. The highest value of exploitation rate occurred in *T. japonicus* stock of 1999, and *D. maruadsi* stock of 2010 for ELEFAN method and LBB method, respectively. There were significant differences in estimated E between ELEFAN method and LBB method in 16 assessment sequences (Table 2). Estimated exploitation rates for three assessment sequences, *E. cardinalis* stock of 1962 and *N. virgatus* stock of 1960 and 1962 were below 0.5 using LBB method, and only *N. virgatus* stock of 1960 were below 0.5 using LBB method (Table 2). Therefore,

most of the fish stocks faced with overfishing during the assessment years.

## LBB Method With and Without Prior Information

There were significant differences in 20 assessment sequences ( $p < 0.05$ ) in estimated  $L_{inf}$  using LBB method with and without prior information, and 10 assessment sequences (No. 5, 7, 12, 18, 20, 23, 24, 25, 26, and 28) were insensitive to the prior information (Table 3). As for estimated  $B/B_{MSY}$ , there were not significant differences using LBB method with and without prior information in only 2 assessment sequences (No. 6 and 17). In terms of exploitation statuses ( $B/B_{MSY}$ ), 9 assessment sequences (No. 3, 4, 8, 13, 14, 16, 18, 23, and 24) showed different exploitation status using LBB method with and without prior information. For example, *D. maruadsi* stock of 2006 and 2007 were in overexploited status using LBB with

**TABLE 3** | Comparison of assessment results of LBB method with and without prior information.

Species	Sequence	Sampling years	With prior information			Without prior information		
			$L_{inf}$ (cm)	$L_c/L_{c\_opt}$	$B/B_{MSY}$	$L_{inf}$ (cm)	$L_c/L_{c\_opt}$	$B/B_{MSY}$
<i>Decapterus maruadsi</i>	1	1992	30.3 (29.8–30.8)	0.65	0.33 (0.27–0.41)	31.6 (31.1–32.2)	0.68	0.4 (0.28–0.56)
	2	1998	31.7 (31.1–32.2)	0.79	0.33 (0.24–0.45)	30.7 (30.3–31.1)	0.84	0.47 (0.31–0.74)
	3	2006	27.5 (27.0–28.0)	0.75	<b>0.88 (0.63–1.2)</b>	26.1 (25.8–26.6)	0.93	<b>1.7 (0.36–3)</b>
	4	2007	32.9 (32.3–33.4)	0.46	<b>0.24 (0.18–0.3)</b>	29.9 (29.3–30.6)	0.64	<b>0.98 (0.48–1.7)</b>
	5	2009	27.7 (27.2–28.1)	0.75	0.31 (0.23–0.41)	27.5 (27.1–27.9)	0.87	0.58 (0.38–0.84)
	6	2010	26.3 (25.8–26.6)	0.85	0.13 (0.098–0.16)	29.6 (29.3–30.2)	0.84	0.13 (0.091–0.16)
	7	2012	24.2 (23.9–24.5)	0.95	0.58 (0.43–0.77)	23.9 (23.6–24.3)	0.86	0.38 (0.22–0.56)
<i>Eynniss cardinalis</i>	8	1962	27.9 (27.4–28.4)	0.74	<b>0.86 (0.62–1)</b>	25.4 (25–25.8)	0.63	<b>0.54 (0.33–0.86)</b>
	9	1999	22.0 (22.4–23.1)	0.54	2.5 (1–4.4)	21.0 (20.8–21.3)	0.38	1.6 (0.51–2.7)
	10	2006	22.7 (22.3–23.2)	0.82	0.56 (0.44–0.65)	23.7 (23.4–24.1)	0.6	0.16 (0.11–0.23)
<i>Nemipterus bathybius</i>	11	2015	23.4 (23.1–23.9)	0.78	0.53 (0.45–0.64)	21.2 (20.8–21.4)	0.7	0.36 (0.25–0.48)
	12	1992	24.4 (24.1–24.8)	<b>1.2</b>	2.1 (0.82–3.7)	23.9 (23.6–24.3)	<b>0.94</b>	1.3 (0.81–2)
	13	1997	22.1 (22.0–22.3)	<b>1.5</b>	<b>3 (0.55–8.8)</b>	25.0 (24.7–25.5)	<b>0.83</b>	<b>0.52 (0.35–72)</b>
<i>Nemipterus virgatus</i>	14	2009	24.1 (23.8–24.5)	0.9	<b>0.89 (0.68–1.1)</b>	27.6 (27.1–28.2)	0.61	<b>0.2 (0.14–0.3)</b>
	15	1960	32.1 (31.5–32.6)	<b>1.0</b>	2.7 (1.1–5.5)	27.7 (27.5–28)	<b>0.74</b>	2 (0.68–6.3)
	16	1962	33.1 (32.4–33.6)	0.86	<b>0.96 (0.74–1.2)</b>	30.7 (30.1–31.2)	0.7	<b>0.5 (0.26–0.76)</b>
<i>Priacanthus macracanthus</i>	17	1992	34.6 (34.1–35.2)	1.1	0.59 (0.46–0.73)	31.7 (31.2–32.2)	1.1	0.54 (0.39–0.7)
	18	1998	33.6 (33.1–34.4)	0.92	<b>1.5 (1.1–2.2)</b>	32.8 (32.2–33.4)	0.69	<b>0.68 (0.46–1)</b>
	19	2006	32.8 (32.3–33.4)	0.76	0.7 (0.59–0.83)	29.9 (29.3–30.3)	0.65	0.42 (0.27–0.6)
	20	2007	31.5 (30.9–32.1)	0.81	0.27 (0.23–0.31)	31.1 (30.6–31.6)	0.64	0.1 (0.069–0.14)
	21	2009	31.4 (30.8–32.0)	0.73	0.33 (0.27–0.39)	38.1 (37.4–38.8)	0.46	0.052 (0.035–0.077)
	22	2012	32.5 (32.0–33.0)	0.89	0.4 (0.33–0.47)	26.5 (25.9–26.9)	0.78	0.29 (0.2–0.39)
	23	1999	28.5 (28.2–29.2)	0.73	<b>1.1 (0.8–1.7)</b>	27.8 (27.3–28.2)	0.77	<b>1.4 (0.67–2.3)</b>
<i>Priacanthus tayenus</i>	24	2015	29 (28.4–29.4)	0.66	<b>0.96 (0.64–1.2)</b>	29.8 (29.3–30.4)	0.55	<b>0.47 (0.31–0.64)</b>
	25	1999	29.4 (29.3–29.7)	0.62	2.3 (0.72–4.1)	29.3 (29.2–29.5)	0.56	1.9 (0.47–3.6)
<i>Saurida undosquamis</i>	26	1999	45.5 (44.4–46.3)	0.55	0.21 (0.17–0.24)	44.2 (43.6–44.9)	0.46	0.085 (0.57–0.12)
<i>Trachurus japonicus</i>	27	1999	31.6 (31.1–32.0)	0.94	0.72 (0.57–0.87)	34.8 (34.2–35.3)	0.69	0.22 (0.15–0.32)
<i>Trichiurus japonicus</i>	28	1982	58.2 (57.2–59.3)	0.87	0.42 (0.29–0.59)	58.1 (57.4–59.1)	0.67	0.096 (0.03–0.24)
	29	1999	70.3 (68.5–71.6)	0.3	0.067 (0.057–0.082)	67.0 (65.8–68.3)	0.33	0.1 (0.079–0.13)
	30	2015	58.3 (57.3–59.1)	0.5	0.54 (0.45–0.66)	63.1 (62.1–64)	0.35	0.12 (0.085–0.17)

The bold numbers represent inconsistent results ( $B/B_{MSY}$  determining the exploitation status and  $L_c/L_{c\_opt}$  determining whether growth overfishing) by LBB method with and without prior information. The numbers between brackets represent 95% credible intervals for the parameters.

prior information, but in fully exploited and underdeveloped status without prior information. Four assessment sequences (No. 12, 13, 15, and 17) showed growth overfishing were not happening using LBB method with prior information, and only 1 assessment sequence (No. 17) showed the same results without prior information (Table 3).

## Model Performance of Three Types of LBB Methods

Reference point outputs (Table 4) showed that the three types of LBB methods (original LBB, LBB-1, and LBB-2) produced

the same results when detecting the occurrence of growth overfishing, i.e., 86.7% of the 30 target stocks were facing growth overfishing ( $L_c/L_{c\_opt} < 1$ ), except for 4 assessment sequences (No. 12, 13, 15, and 17). The original LBB and LBB-2 models produced the same decisions if the stocks had been overfished ( $B/B_{MSY}$ ). LBB-1 model produced similar results with the other two models, except for 5 assessment sequences (No. 3, 8, 18, 23, and 24). The underestimation of estimated  $B/B_{MSY}$  for the 5 assessment sequences made their exploitation status negatively, i.e., the original LBB and LBB-2 models indicated 4 stocks (No. 3, 8, 23, and 24) were fully exploited while LBB-1 showed they

**TABLE 4** | Comparison of assessment results of LBB method and two modified methods.

Species	Sequence	Sampling years	$L_c/L_{c\_opt}$			$B/B_{MSY}$			
			LBB	LBB-1	LBB-2	LBB	LBB-1	LBB-2	
<i>Decapterus maruadsi</i>	1	1992	0.65	0.63	0.64	0.33 (0.27–0.41)	0.23 (0.18–0.28)	0.27 (0.22–0.34)	
	2	1998	0.79	0.75	0.77	0.33 (0.24–0.45)	0.23 (0.18–0.27)	0.27 (0.18–0.38)	
	3	2006	0.75	0.71	0.74	0.88 (0.63–1.2)	<b>0.5 (0.38–0.61)</b>	0.82 (0.55–1.1)	
	4	2007	0.46	0.44	0.45	0.24 (0.18–0.3)	0.15 (0.11–0.18)	0.21 (0.16–0.29)	
	5	2009	0.75	0.72	0.74	0.31 (0.23–0.41)	0.22 (0.18–0.27)	0.28 (0.21–0.35)	
	6	2010	0.85	0.83	0.85	0.13 (0.098–0.16)	0.11 (0.083–0.14)	0.12 (0.093–0.15)	
	7	2012	0.95	0.92	0.92	0.58 (0.43–0.77)	0.4 (0.32–0.5)	0.41 (0.31–0.53)	
<i>Evynnis cardinalis</i>	8	1962	0.74	0.7	0.75	0.86 (0.62–1)	<b>0.58 (0.48–0.7)</b>	0.86 (0.66–1.1)	
	9	1999	0.54	0.63	0.54	2.5 (1–4.4)	2.5 (0.85–4.5)	2.5 (1.1–4.6)	
	10	2006	0.82	0.79	0.8	0.56 (0.44–0.65)	0.42 (0.36–0.49)	0.45 (0.38–0.55)	
	11	2015	0.78	0.75	0.77	0.53 (0.45–0.64)	0.39 (0.34–0.44)	0.48 (0.39–0.59)	
<i>Nemipterus bathybius</i>	12	1992	1.2	1.0	1.1	2.1 (0.82–3.7)	1.3 (0.95–1.5)	2.1 (0.71–3.8)	
	13	1997	1.5	1.2	1.3	3 (0.55–8.8)	1.4 (1–1.9)	2 (0.48–3.2)	
	14	2009	0.9	0.87	0.89	0.89 (0.68–1.1)	0.83 (0.75–0.94)	0.84 (0.72–0.91)	
<i>Nemipterus virgatus</i>	15	1960	1.0	1.0	1.0	2.7 (1.1–5.5)	2.6 (0.79–5.7)	2.6 (0.67–5.6)	
	16	1962	0.86	0.82	0.82	0.96 (0.74–1.2)	0.87 (0.75–0.91)	0.85 (0.83–0.91)	
	17	1992	1.1	1.1	1.1	0.59 (0.46–0.73)	0.48 (0.41–0.58)	0.58 (0.47–0.7)	
	18	1998	0.92	0.85	0.91	1.5 (1.1–2.2)	<b>0.99 (0.81–1.2)</b>	1.5 (0.86–2)	
	19	2006	0.76	0.74	0.76	0.7 (0.59–0.83)	0.54 (0.46–0.62)	0.7 (0.57–0.85)	
	20	2007	0.81	0.79	0.8	0.27 (0.23–0.31)	0.22 (0.19–0.25)	0.24 (0.2–0.29)	
	21	2009	0.73	0.72	0.72	0.33 (0.27–0.39)	0.28 (0.24–0.32)	0.31 (0.26–0.35)	
	22	2012	0.89	0.86	0.89	0.4 (0.33–0.47)	0.32 (0.28–0.36)	0.39 (0.34–0.46)	
	<i>Priacanthus macracanthus</i>	23	1999	0.73	0.67	0.72	1.1 (0.8–1.7)	<b>0.59 (0.46–0.73)</b>	1.1 (0.7–1.6)
		24	2015	0.66	0.62	0.67	0.96 (0.64–1.2)	<b>0.57 (0.42–0.71)</b>	0.93 (0.71–1.3)
<i>Priacanthus tayenus</i>	25	1999	0.62	0.76	0.63	2.3 (0.72–4.1)	2.5 (0.7–5)	2.3 (0.68–7.5)	
<i>Saurida undosquamis</i>	26	1999	0.55	0.54	0.54	0.21 (0.17–0.24)	0.17 (0.15–0.2)	0.17 (0.15–0.2)	
<i>Trachurus japonicus</i>	27	1999	0.94	0.91	0.93	0.72 (0.57–0.87)	0.55 (0.47–0.67)	0.62 (0.47–0.76)	
<i>Trichiurus japonicus</i>	28	1982	0.87	0.84	0.85	0.42 (0.29–0.59)	0.3 (0.22–0.39)	0.3 (0.23–0.37)	
	29	1999	0.30	0.30	0.30	0.067 (0.057–0.082)	0.052 (0.044–0.061)	0.067 (0.055–0.08)	
	30	2015	0.50	0.49	0.49	0.54 (0.45–0.66)	0.38 (0.31–0.46)	0.46 (0.33–0.61)	

Three length-based methods presented by Froese et al. (2019) are original LBB equation (LBB), correct for the pile-up effect (LBB-1), and let the Bayesian model determine the degree of correction based on the best fit to the available data (LBB-2). The bold numbers are inconsistent results (exploitation status) by LBB-2 comparing with other two models. The numbers between brackets represent 95% credible intervals for the parameters.

were overexploited; the original LBB and LBB-2 models showed *N. virgatus* stock of 1998 (No. 18) were underdeveloped while LBB-1 indicated it was fully exploited. The estimated  $B/B_{MSY}$  showed 83.4% of the 30 target stocks were in overexploited status or fully exploited status while *E. cardinalis* stock of 1999, *N. bathybius* of 1992 and 1997, *N. virgatus* of 1960, and *P. tayenus* of 1999 were in underdeveloped status (Table 4). In summary, the original LBB and LBB-2 models produced similar results, indicating Bayesian model can help determine the degree of correction.

## DISCUSSION

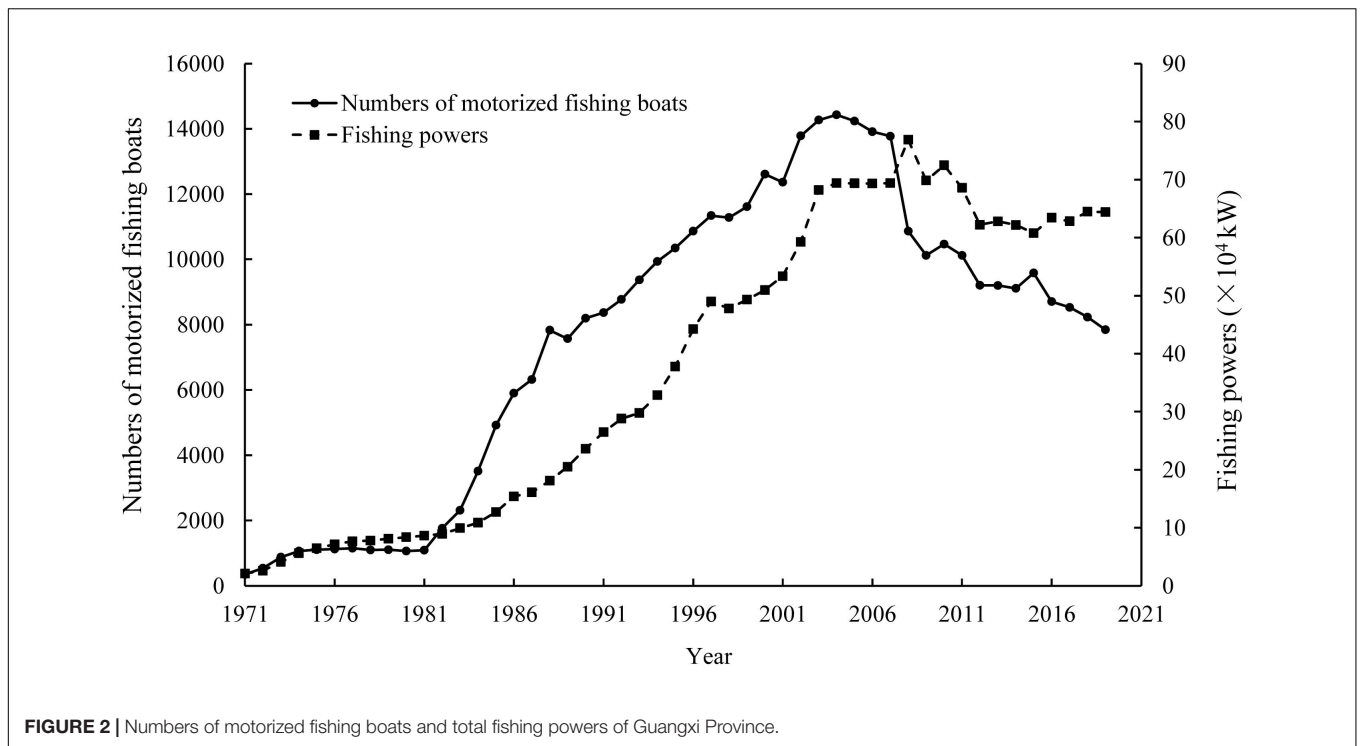
This study is the first attempt to apply both the traditional ELEFAN method and the newly developed LBB method across the main exploited fish stocks in the Beibu Gulf, and test the effect of prior information on LBB method. The results showed

that the two length-based methods presented different ability in estimating exploitation rates, and the prior information from ELEFAN method was effective for LBB method. The estimated  $L_c/L_{c\_opt}$  and  $B/B_{MSY}$  of LBB method suggest a pressing situation for the fisheries in Beibu Gulf, as 86.7% of the 30 target stocks had been suffering from growth overfishing, and 83.3% had been overexploited or fully exploited.

## Model Performance

There have been burgeoning literatures on the use of relatively simple methods to evaluate data-poor fisheries status. These approaches range from using life history characteristics as a guide to the vulnerability of fishing (Goodwin et al., 2006; Punt et al., 2011; McCully Phillips et al., 2015), to more holistic evaluations for obtaining management reference points and harvest control rules (Cope and Punt, 2009), or extensive assessment-based meta-analysis (Armelloni et al., 2021) using





catch data, and auxiliary information (e.g., age-length data, life history parameters). Among the various data, fish growth in body length is discrepant by species and convenient to access.

Fish growth in body length of ELEFAN and LBB method both are assumed to follow von Bertalanffy equation (Pauly and David, 1981; Froese et al., 2018). However, the parameters in the two methods are estimated in different ways: the growth and mortality parameters (i.e.,  $M$ ,  $F$ , and  $K$ ) in ELEFAN method can be directly calculated from empirical formulas or procedures while the ratios  $M/K$  and  $F/M$  are estimated, instead of the absolute values of  $F$ ,  $M$ , and  $K$  in the LBB method. Compared with LBB method, ELEFAN method can provide the estimated growth and mortality parameters which are essential in full stock assessment or ecosystem based assessment, but relatively limited management reference points. Gulland (1983) recommend that 0.5 may be the suitable exploitation rate for fish stocks in temperate water. However, fish stocks in tropical and subtropical areas (e.g., the Beibu Gulf) have short life-cycles and rapid growth, and they can sustain high exploitation rates (Wang et al., 2012). Therefore, the exploitation rate may be insufficient for the fishery management in Beibu Gulf while  $L_c/L_{c\_opt}$  and  $B/B_{MSY}$  provided by LBB method are commonly used management reference points (Zhang et al., 2021b). Our study showed the prior information from ELEFAN method was effective for LBB method (**Supplementary Figure 1**) because most of the assessment sequences produced significantly different results with and without prior information.

The parameters of LBB method are calculated by a Bayesian Monte Carlo Markov Chain (MCMC) approach (Cowles and Carlin, 1996), which has been widely used in fishery data analysis (Haddon, 2010). With this Bayesian framework, it

is straightforward to calculate credible intervals for multiple parameters. In this study, 95% credible intervals of  $B/B_{MSY}$  and  $L_c/L_{c\_opt}$  were calculated, and these results can provide alternative information sources which can support decision-making. Besides, we also applied two modified LBB models (LBB-1 and LBB-2) with corrections for the pile-up effect (Froese et al., 2019; Hordyk et al., 2019). The results (**Table 4**) showed estimations of the original LBB method have been little affected by the pile-up effect for most of the assessment sequences.

In addition, we suggest using both ELEFAN and LBB methods to fit length-frequency data of data-poor fish stocks because they are complementary in estimating management reference points.

## Challenges in Fisheries Management for the Beibu Gulf

The Beibu Gulf has multiple ecosystems with estuaries, mangroves, coral reefs, and shelves, which provide comfortable habitats for spawning, feeding, and nursery areas for abundant fish species (Qiu et al., 2008). As reported to date, 960 fish species inhabit this embayment, which belonging to 162 fish families. The main fishing gears are trawl, purse seine, gill net, hook and set nets, and the trawl fishery accounts for more than 70% of the total catch (Zou et al., 2013). There are not available statistical catch data in the Beibu Gulf because catch data are gathered by administrative districts (e.g., provinces in China), instead of sea areas. Therefore, it was considered to be a data-poor fishing ground, and the length-based methods used in this study may fill a gap in knowledge of biomass levels and exploitation status of main fish stocks in the Beibu Gulf.

Zou et al. (2013) has estimated the fishery catch of the Beibu Gulf to be  $85.7 \times 10^4$  t in 2012, including  $65.7 \times 10^4$  t caught by China, and  $20.0 \times 10^4$  t caught by Vietnam. The numbers of motorized fishing boats and total fishing powers of Guangxi Province were selected to represent the long-term trend of fishing efforts in the Beibu Gulf (Figure 2). Before China's reform and opening up in 1978, the number of motorized fishing boats in Guangxi Province was less than 1200, and total fishing powers was no more than  $8.5 \times 10^4$  kW. In 1980s and 1990s, the fishing efforts had been rapidly increasing and some indications of overfishing have appeared, e.g., catch rates trend downward (Qiu et al., 2008), catch composition has changed significantly (Chen et al., 2011), and miniaturization, early sexual maturity and accelerated growth have occurred in main commercial fish species (Zhang et al., 2020a). The indications of overfishing were consistent with our assessment results, which showed the assessed fish stocks had been overexploited in the 1990s.

In the recent two decades, a series of conservative management measures have been implemented by Chinese government in the SCS, including "double control" system, summer fishing moratorium, "zero-growth" and "negative-growth" strategies (Shen and Heino, 2014; Cao et al., 2017). Benefiting from the management measures, the fishing efforts had been stabilized and then decreased (Figure 2). Recent studies have shown that the management measures to reduce fishing pressure had been playing an important role for fishery resources recovery, e.g., the average daily yields of fishing boats and output values have increased after the summer fishing moratorium (Su et al., 2019), and these measures have a positive influence on the biological characteristics of this commercial fish species (Zhang et al., 2021a). However, our results showed the main commercial fish stocks were still in overexploited status in recent years (Table 4). Beibu Gulf is a co-developed area of fishery resources by both China and Vietnam, but until now, only China makes policy efforts to reduce fishing pressure. For example, during the 3.5-months summer fishing moratorium, all fishing gears (except for rod fishing) of China stopped fishing in the Beibu Gulf, but the fishing boats of Vietnam were still active in this sea area. Therefore, we emphasize collaboration mechanism should be established by the two countries for management and sustainability of fishery resources in the Beibu Gulf.

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## DATA AVAILABILITY STATEMENT

The raw data supporting the conclusions of this article will be made available by the authors, without undue reservation.

## ETHICS STATEMENT

The animal study was reviewed and approved by South China Sea Fisheries Research Institute Animal Welfare Committee.

## AUTHOR CONTRIBUTIONS

KZ conceived the study and wrote the first draft. JL, GH, and DS performed the data analyses and prepared the graphs. ZH, ZC, and YQ provided the original length data and revised the manuscript. All the authors contributed to the article and approved the submitted version.

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## SUPPLEMENTARY MATERIAL

The Supplementary Material for this article can be found online at: <https://www.frontiersin.org/articles/10.3389/fmars.2021.718052/full#supplementary-material>

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