



Numerical Investigation on the Dynamic Responses and Failure Criterion of RC Beam Under Impact

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During the period of service, the infrastructure is subjected to various forms of impact. To investigate the impact responses of a reinforced concrete (RC) beam, a numerical model of the RC beam under impact was developed by the finite element package LS-DYNA in this study. The numerical model was verified by using the drop hammer test on the RC beam. Using the numerical model, the midspan displacement of the RC beam is analyzed under the interaction of impact mass and impact velocity. The results show that the response surface of midspan displacement can be fitted as a binary power function of impact mass and impact velocity. The midspan displacement under various impact conditions with equal impulse or equal impact energy is different. Within the scope of a low-speed impact, the midspan displacement decreases with an increase in the impact mass under the equal impulse, while it increases with an increase in the impact mass under the equal impact energy. In addition, the impact failure of the RC beam is judged by the deformation criterion. The threshold value of the ductility coefficient is recommended to be set as 15 in the impact-resistant design for RC beams in civil engineering structures within the scope of a low-speed impact.

Keywords: RC beam, impact response, midspan displacement, response surface, failure criterion

1 INTRODUCTION

Compared with the static load, an impact releases a large amount of energy in a short period time, which often results in serious damage to structures. In recent years, dynamic responses of reinforced concrete (RC) members under impact have drawn much more attention. Some researchers have used the drop hammer device or the lateral impact device to carry out impact tests on reinforced concrete members (Fujikake et al., 2009; Sha and Hao, 2013; Zhao et al., 2017; Ye et al., 2021), and other researchers have used numerical simulation methods to carry out impact analysis on reinforced concrete members (Cai et al., 2017; Saleh et al., 2019; He et al., 2020; Tran et al., 2021). Several impact tests have primarily been performed to study the dynamic responses of RC beams under impact. Tachibana et al. (2010) carried out a drop hammer test on eight RC beams to investigate the influence of various beam spans, cross-sectional dimensions, and reinforcement ratios with characteristic values like the impact force and the energy absorbed by the beams. Zeng and Xu (2012), Xu and Zeng (2014) carried out a drop hammer test on six RC beams to investigate the effects of different impact masses and initial impact velocities on the dynamic responses. Some basic results have been obtained in these research studies, and the impact force is the main analysis content of the dynamic response. The impact force versus displacement curves are always analyzed to obtain the energy absorption of

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the RC members, and the peak impact force or the peak reaction force caused by the impact force is considered as the bearing capacity for the impact design of RC beams. However, these views would not be appropriate for RC members under impact. Ohnuma (1987) found that the peak impact force is considerably larger than the ultimate static bearing capacity of RC beams. From this result, we may deduce that the cross section would be unusually large if the impact force is taken as the design value. The reason may be that the inertial effect on the RC beam is significant, and a large portion of the impact force is applied to accelerate the beam and overcome the inertial force (Guo et al., 2017). In addition, the drop hammer test on 10 RC beams conducted by Soleimani et al. (2007) showed a time lag between the peak impact force and the peak support reaction, which means the force-based structural impact design is not suitable, and the peak impact force or the peak reaction force may not be directly used as the bearing capacity for the impact design of RC beams. Comparatively, a displacement-based design could be suitable for the impact design. Furthermore, the existing

studies almost consider the effects of impact mass and impact velocity on dynamic responses of RC beams independently. Therefore, it is of great significance to further analyze the impact responses of RC beams under the interaction of impact mass and impact velocity. A more reasonable failure criterion of the RC beam under impact is necessary, instead of the bearing force.

A displacement-based design is an effective design method, especially when the structure has large deformation. In this study, the displacement response of RC beams under impact, which provides a theoretical basis for the displacement-based structural impact design, is mainly analyzed under the interaction of impact mass and impact velocity. In order to achieve it, a numerical model is developed by the finite element package LS-DYNA. The numerical model is calibrated with the testing results of the drop hammer impact on the RC beam specimen. Using the calibrated numerical model, the midspan displacement of RC beams under equal impulse or equal impact energy, in which the impact condition, the impact mass, and impact velocity are varied

ρ	IRATE	ERODE	RECOV	PRED	G	к	α	θ	λ	β
2.4 × 10 ⁻⁹	1	1.05	0	0	1.196×10 ⁴	1.595×10 ⁴	15.32	0.321	10.5	1.929 × 10 ⁻²
α ₁	θ_{1}	λ_1	β_1	α2	θ_2	λ_2	β_2	R	Xo	W
7.474 × 10 ⁻¹	9.443 × 10 ⁻⁴	1.700 × 10 ⁻¹	6.213 × 10 ⁻²	0.66	1.126 × 10 ^{−3}	0.16	6.213 × 10 ⁻²	5	94.65	0.05
D_1	D_2	В	$G_{\rm fc}$	D	Gft	G _{fs}	pwrc	pwrt	pmod	$\eta_{ m OC}$
2.500×10^{-4}	3.490 × 10 ⁻⁷	1.00×10 ²	7.895	0.1	0.07895	0.07895	5	. 1	0	1.338 × 10 ⁻⁶
N _c	$\eta_{ m Ot}$	Nt	overc	overt	Srate	repow				
-0.763	0	0.48	25.02	25.02	1	1				





simultaneously, is analyzed. In addition, the failure of RC beam is judged according to the deformation criterion.

2 BRIEF OF TEST

In the drop hammer impact test on the RC beam conducted by the authors, the beam specimen had 1,800 mm length, with a cross section of 250 mm in depth and 150 mm in width. As shown in **Figure 1**, the longitudinal reinforcements at both top and bottom sides were 16 mm, with the yield strength of 430 MPa and the ultimate strength of 578 MPa. The thickness of the longitudinal reinforcement protection layer was 35 mm, and the reinforcement ratio was 1.25%. The 10-mm bars spaced at 100 mm apart with the yield strength of 594 MPa were used as stirrups. The stirrup reinforcement ratio was 1.05%. To avoid the measurement error caused by the concrete spalling, a flat steel plate with a size of $5 \times 50 \times 150$ was welded on the steel cage

at the bottom of the midspan to measure the displacement response. The hinge supports were arranged at both ends of the beam. Each support was composed of an upper cover plate, a lower bottom plate, and two pull rods between them, and the beam was clamped between the upper and lower plates. The beam specimen was impacted at the midspan by the impact mass, m, of 400 kg and the impact velocity, v, of 6 m/s.

3 NUMERICAL MODEL

3.1 Geometric and Element

A 3D numerical model of the RC beam is developed by the finite element package LS-DYNA to simulate the drop hammer impact test. To save the calculation cost, only half of the midspan symmetry specimen is established, and the span displacement of the node on the symmetric plane is constrained. As shown in **Figure 2**, the model is mirrored by the midspan plane.



The model consists of concrete, a reinforcement cage, a hinged support, and a drop hammer. The concrete is discretized into eightnode solid elements with one-point Gauss integration and viscous hourglass control. The longitudinal and transverse reinforcement bars are discretized into truss elements. The perfect bond between reinforcement and adjacent concrete is assumed, and common nodes are defined between the reinforcement elements and the concrete elements. Because of their small thickness, the upper and lower plates of the hinged supports are discretized into eight-node solid elements with complete integration to avoid the hourglass model. The pull rods are modeled by two-node discrete spring elements. The drop hammer is simplified and modeled as a cylinder, and the eight-node constant stress solid element is used to model it.

3.2 Material Model

3.2.1 Concrete

The material model plays an essential role when undertaking non-linear finite element analysis. A number of material models available in LS-DYNA can be used to model the behavior of the concrete material, of which the continuous surface cap model is commonly used in the analysis of concrete structures under low-speed impact. Both elastic deformation and failure of concrete used in structures when involved in a collision with an impactor have been implemented into the CSCM model (Murry, 2007). In this study, the CSCM model with a strain rate effect is used to predict the concrete performance. The dynamic compressive strength f_d can be expressed as follows:

$$f_{\rm d} = f_{\rm s} + E\eta_0 \dot{\varepsilon}^{(1-n)},\tag{1}$$

where f_s is the unconfined static compression strength, *E* is the elastic modulus, $\dot{\varepsilon}$ is the strain rate, and η_0 and *n* are the strain rate effect parameters, which are specified by the SHPB test.



In the previous equation,

$$E = 4700\sqrt{f_s} \tag{2}$$

Ductile damage, $d(\tau_c)$, and brittle damage, $d(\tau_t)$, are introduced into the CSCM model to simulate the damage of a concrete element. Ductile damage accumulates when the pressure is compressive, which is defined as follows:

$$d(\tau_{\rm c}) = \frac{d_{\rm max}}{B} \left[\frac{1+B}{1+B \cdot \exp\left[-A(\tau_{\rm c} - \tau_{\rm 0c}) \right]} - 1 \right], \qquad (3)$$

where the parameters A and B set the shape of the softening curve plotted as stress-displacement or stress-strain, d_{max} is the



TABLE 2 Comparison of dynamic responses.							
	Test	Numerical	Error (%)				
F _d (kN)	605.5	621.8	2.7				
<i>d</i> _p (mm)	65.1	64.2	-1.4				
d _p (mm) d _r (mm)	54.4	57.9	6.4				

maximum damage level, and $\tau_{\rm c}$ is an energy-type term, which is defined as follows:

$$\tau_c = \sqrt{\frac{1}{2}\sigma_{ij}\varepsilon_{ij}},\tag{4}$$

where σ_{ij} and ε_{ij} are the elasto-plastic stresses and strain. Ductile damage initiates when τ_d exceeds the initial threshold τ_{0c} .

Brittle damage accumulates when the pressure is tensile, which is defined as follows:

$$d(\tau_{t}) = \frac{0.999}{D} \left[\frac{1+D}{1+D \cdot \exp[-C(\tau_{t}-\tau_{0t})]} - 1 \right], \quad (5)$$

where the parameters *C* and *D* set the shape of the softening curve; energy-type term τ_t accumulation depends on the maximum principal strain, ε_{max} , as follows:

$$\tau_{\rm t} = \sqrt{E\varepsilon_{\rm max}^2} \tag{6}$$

The concrete element erodes when the damage parameter d > 0.99, which means it loses all its strength and stiffness. The detailed parameters of the CSCM model are shown in **Table 1**.

3.2.2 Reinforcement

The elastic–plastic model with kinematic hardening is employed for the reinforcement. The plastic hardening modulus is assumed as 1% of the elastic modulus, which is 2.1×10^5 MPa. The Poisson ratio is 0.3. The strain rate effect is taken into account by using the Cowper–Symonds model with the parameters given as C = 40 and



p = 5 (Cowper and Symonds, 1957). Thus, the yield stress of reinforcement can be expressed as follows:

$$\sigma_{\rm y} = \left[1 + \left(\frac{\dot{\varepsilon}}{C}\right)^{\frac{1}{p}}\right] \sigma_0,\tag{7}$$

where σ_0 is the initial yield stress and $\dot{\varepsilon}$ is the strain rate.

The strain failure criterion is adopted to simulate the failure of reinforcement elements. It means the reinforcement element erodes when it exceeds the strain threshold value, $\varepsilon_{\rm f}$, which is taken as 0.1 according to the percentage elongation of this material.

3.2.3 Hinged Support and Drop Hammer

The elastic model with elastic modulus 2.1×10^5 MPa is employed for the upper and lower plates of hinged support. The elastic spring model is employed for the pull rod between the upper and lower plates. Based on the geometry dimension of the pull rod with 18 mm in the cross-sectional diameter and 250 mm in length, the elastic stiffness of the spring is taken as follows:

$$k = \frac{1}{250} \times 2.1 \times 10^5 \times \frac{\pi}{4} \times 18^2 = 213754 \,(\text{N/mm}). \tag{8}$$

The drop hammer is assumed as a rigid body due to its much higher stiffness than the beam specimen, and the total mass of the drop hammer is 400 kg by controlling its density in the numerical simulation.

3.3 Constraint and Boundary Condition

Considering the form of hinged support, all the nodes at the bottom of the lower plate are bound to the point 45 mm directly below the bottom side by using a NODAL_RIGID_BODY constraint. The point is located at the midpoint of the central axis of the bolt passing through the ear plate, as shown in **Figure 3**.

The automatic surface-to-surface contact with the default setting of the parameters, based on the penalty method, is employed to simulate the contacts between the beam specimen



and the cover plates of the hinged support, and between the drop hammer and the beam specimen. The beam is defined as a slave segment, and the cover plates and the drop hammer are defined as a master segment, respectively. No friction is assumed in the contact between the slave and master segments. The impact velocity of the drop hammer is taken as 6 m/s, and the initial distance between the drop hammer and the top surface of the beams is set as 10 mm to save computational cost. The hourglass mode is controlled by the Flanagan–Belytschko stiffness form.

3.4 Mesh Convergence

The explicit integration method based on the central difference method is used to solve the motion equation of the structure. Considering the central difference method is conditionally stable, the time step should be smaller than the critical step, and the critical step of the model is as follows:

$$\Delta t = l/C,\tag{9}$$

where *C* is the stress wave velocity of the element, which depends on the material properties of the element, and *l* is the geometric size of the element, that is, the minimum height for the 8-node element and the length for the two-node element. It can be seen that if the model mesh is too fine and the value of *l* is small, the model critical step length Δt will be reduced, thereby increasing the computational cost. If the mesh of the model is too thick, it may cause the model stiffness to be too large, and the simulation result would be seriously inconsistent with the actual.

For the beam specimen, a mesh convergence test is carried out by reducing the mesh size. Three element sizes of 25 mm, 12.5 mm, and 10 mm are used in the main region of the concrete and reinforcement. The mesh convergence test results are listed as 56.5, 64.2, and 64.2 mm of the peak midspan displacement, correspondingly. It can be found that the results of midspan displacement for element sizes of 12.5 and 10 mm are quite close. Furthermore, element refinement will not improve the accuracy significantly, while the computational efficiency will largely decrease. Therefore, the optimum element size of 12.5 mm is adopted as the main element size in this study. In total, the total



semi-structural model consists of 21,232 solid elements, 632 truss elements, and two discrete spring elements.

3.5 Verification

To verify the numerical model, the damage pattern of the beam specimen under the drop hammer test is compared with the numerical result. The test result is shown in **Figure 4A**. The cracks of the beam are concentrated in the middle of the span, and the bending cracks in the middle of the span are well developed and close to the top of the beam. Obvious shear cracks are developed near the center of the span, and a local concrete crashing occurs on the upper surface of the beam, which is in contact with the drop hammer. The simulation failure mode is shown in **Figure 4B**. The numerical contour plots are indicated by the damage level ranging from 0 to 1. Flexural failure for the specimen is observed, and the eroded concrete element is mainly concentrated in the midspan of the beam, and results in vertical and oblique cracks at the bottom of the beam and local damage at the top. The numerical model can



FIGURE 10 | Response surface of midspan displacement: (A) Peak value dp; (B) Residual value dr.



simulate the damage pattern of the beam under impact accurately.

Figures 5, 6 present the comparisons between the numerical and the test results in terms of the impact force and the midspan displacement curves of the specimen. As shown in Figure 5, the peak impact force, F_{d} , and its duration time are simulated with accuracy. When the contact takes place, the drop hammer penetrates the beam immediately and causes the peak impact force. Following the peak impulse, the curve vibrates around the plateau value. This phenomenon may be attributed to the interaction between the drop hammer and the beam. Then, the beam deflects downward and tends to depart from the drop hammer; hence, the interaction between the two components decreases, and the impact force descends simultaneously. As shown in Figure 6, the curve of midspan displacement rises to the peak value, d_p , when the contact takes place, and then turns to descend to a residual value, d_r . As shown in Table 2, the results of dynamic responses between the test and the numerical simulation agree quite well.

Comparing the damage pattern, impact force, and midspan displacement response obtained from the test and numerical simulation, it is found that the numerical model in this study can provide an accurate simulation of impact responses of the RC beam.

4 DISPLACEMENT ANALYSIS

4.1 Response Surface of Midspan Displacement

Using the verified numerical model, the midspan displacement of the RC beam under the same impact velocity v = 6 m/s and different impact masses *m* is analyzed. The results are shown in **Figure 7**. It can be seen that the peak midspan displacement of the RC beam increases with the impact mass in a certain range. Beyond the range, the beam fractures are as shown in **Figure 8**. The residual displacement d_r also increases with the impact mass, and the value of d_r is relatively lower than d_p .

The midspan displacement of the RC beam under the same impact mass m = 400 kg and different impact velocities v is analyzed. The results are shown in **Figure 9**. It can be seen that similar to the variation law reflected in **Figure 7**, the peak and residual midspan displacements of the RC beam increase with the impact velocity in a certain range. Beyond the range, the beam fractures.



Based on the previous analysis, it can be concluded that both the impact mass and the impact velocity have effects on the midspan displacement of the RC beam. To investigate the integrated effects, the midspan displacement of the RC beam under the impact mass and impact velocity varies at the same time. The results are shown in **Figure 10**.

The power function is used to fit the response surface of midspan displacement, as shown in **Figure 10**. Thus, d_p and d_r can be expressed as**Eq. 10** and **Eq. 11**, in which the dimension is mm for d_p and d_r , and is kg and m/s for m and v, respectively. As shown in **Figure 11**, the analytical solution results of**Eq. 10** and **Eq. 11** are compared with the numerical results with the correlation coefficient R is 0.997 and 0.999, respectively. Therefore, it can be concluded that the impact mass and the impact velocity have integrated effects on the midspan displacement of the RC beam, and the response surface of the midspan displacement can be fitted as the binary power function of impact mass and impact velocity.

$$d_{\rm p}(m,v) = 0.29 - 0.0043m^{0.94} - 0.043v^{1.65} + 0.012m^{0.94} \cdot v^{1.65}$$
(10)

$$d_{\rm r}(m,v) = -0.97 - 0.0074m^{0.93} - 0.00045v^{1.60} + 0.012m^{0.93} \cdot v^{1.60},$$
(11)

where the units of m, v, and d are kg, m/s, and mm, respectively.

With the change in the RC beam parameters, such as the dimensions, the strengths of the reinforced bar and concrete, and the reinforcement ratio, the impact response surface of midspan displacement would be fitted as binary power functions with other constants.

4.2 Impact Analysis Under Equal Impulse or Equal Impact Energy

The point on the response surface shown in **Figure 10** represents the midspan displacement of the RC beam under various impact



mass and impact velocity combinations, and the isoline represents various impact combinations with the same midspan displacement response.

In the drop hammer test mentioned earlier, the RC beam specimen is subjected to the impact with the impulse $p = mv = 400 \times 6 = 2400$ N/s. The peak and residual displacement of the RC beam under various impact combinations with the equal impulse, 2,400 N/s, are analyzed within the scope of low-speed impact, in which the velocity is no more than 20 m/s. The value scope is on the basis of the impact accident occurring in structural engineering (Perry and Burns, 1965; Mainstone, 1966; Struck and Voggenreiter, 1975). From the analysis results shown in **Figure 12**, it can be seen that the midspan displacement response of the RC beam is different under various impact combinations, and it decreases with an increase in the impact mass under an equal impulse.

In the test, the RC beam specimen is subjected to the impact with the impact energy $E = 1/2mv^2 = 1/2 \times 400 \times 6^2 =$ 7,200 J. The peak and residual displacement of the RC beam under various impact combinations with the equal impact energy, 7,200 J, are analyzed within the scope of a low-speed impact, and the results are shown in **Figure 13**. From the figure, it can be seen that the midspan displacement response of the RC beam increases with an increase in the impact mass under an equal impact energy.

From the aforementioned analysis, it can be concluded that the impulse or impact energy could not be used as an independent indicator of the impact.

4.3 Impact Failure

The deformation criterion can well reflect the main failure reason of the RC element in the non-linear stage, and it can concisely reflect the failure degree of the element (Moehle, 1992; Kowalsky et al., 1995; Chopra and Goel, 2001). Therefore, the deformation criterion is suggested to be used to judge the impact failure of the RC beam. The deformation criterion takes the deformation exceeding the threshold value of the element as the criterion of failure and is usually expressed in the form of ductility coefficient μ as follows:

$$\mu \ge [\mu],\tag{12}$$

where $[\mu]$ is the threshold value of ductility coefficient, and μ can be expressed as follows:

$$\mu = d / d_{\rm y},\tag{13}$$

where d is the displacement of the RC beam and d_y is the yield one.

According to the section analysis, the yield displacement of the RC beam in the drop hammer test is 5 mm, and the residual displacement is $d_r = 54.4$ mm under the impact with the impact mass of 400 kg and the impact velocity 6 m/s. It is suggested that the threshold ductility coefficient, $[\mu]$, of the bending element in the protective structure under the chemical explosion should not exceed 10 referring to the literature (Tsinghua University, 1982). Thus, the RC beam failures under the impact based on the ductility coefficient $\mu = d_r/d_y = 54.4/5 = 10.88 \ge [\mu]$.

However, considering that the importance of common civil engineering structures is lower than the protective structures and that the adverse social effects caused by the soft impact are also relatively lower than those caused by the chemical explosion, the threshold value of ductility coefficient is set as 15 in this research. Thus, it can be concluded that the RC beam can resist the impact based on the ductility coefficient $\mu = 10.88 \le [\mu]$. Compared to the literature (Tsinghua University, 1982), the threshold value used in this research would be more applicable for the RC beam because of the general integrity of the beam shown in **Figure 4**. Based on $[\mu] = 15$, the maximum allowable residual midspan displacement of the beam specimen in this study can be calculated as 75 mm. The beam specimen does not fracture and can resist the impact within the scope of a low-speed impact, while the larger residual midspan displacement would mean the beam fracture.

5 CONCLUSION

This study presents a numerical model to simulate the impact responses of the RC beam. The numerical model is calibrated using the drop hammer test on the RC beam specimen. Using the verified numerical model, the displacement response of the RC beam is

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analyzed by considering the incorporation of the impact mass and impact velocity, and the impact failure of the RC beam is judged by the deformation criterion. The results are summarized as follows.

- 1) The impact mass and the impact velocity have integrated effects on the midspan displacement of the RC beam, and the response surface of the midspan displacement can be fitted as a binary power function of impact mass and impact velocity.
- 2) The midspan displacement response of the RC beam is different under various impact combinations with equal impulse, and it decreases with an increase in the impact mass, while it increases with an increase in the impact mass under various impact combinations with equal impact energy. The impulse or impact energy could not be used as an independent indicator of the impact.
- 3) The deformation criterion is recommended to be used to judge the impact failure of the RC beam, and the threshold value of the ductility coefficient is suggested to set as 15 in the impact-resistant design for RC beams in civil engineering structures within the scope of a low-speed impact.

DATA AVAILABILITY STATEMENT

The original contributions presented in the study are included in the article/Supplementary Material, further inquiries can be directed to the corresponding author.

AUTHOR CONTRIBUTIONS

JG performed the test and the data analyses and wrote the manuscript. GJ contributed to the data analyses. ZW contributed significantly to manuscript preparation. AH helped to perform the analysis with constructive discussions. JC contributed to the conception of the study.

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