

# Functional response properties of VIP-expressing inhibitory neurons in mouse visual and auditory cortex

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Despite accounting for about 20% of all the layer 2/3 inhibitory interneurons, the vasoactive intestinal polypeptide (VIP) expressing neurons remain the least thoroughly studied of the major inhibitory subtypes. In recent studies, VIP neurons have been shown to be activated by a variety of cortico-cortical and neuromodulatory inputs, but their basic sensory response properties remain poorly characterized. We set out to explore the functional properties of layer 2/3 VIP neurons in the primary visual (V1) and primary auditory cortex (A1), using two-photon imaging guided patch recordings. We found that in the V1, VIP neurons were generally broadly tuned, with their sensory response properties resembling those of parvalbumin (PV) expressing neurons. With the exception of response latency, they did not exhibit a significant difference from PV neurons across any of the properties tested, including overlap index, response modulation, orientation selectivity, and direction selectivity. In the A1, on the other hand, VIP neurons had a strong tendency to be intensity selective, which is a property associated with a subset of putative pyramidal cells and virtually absent in PV neurons. VIP neurons had a best intensity that was significantly lower than that of PV and putative pyramidal neurons. Finally, sensory evoked spike responses of VIP neurons were delayed relative to pyramidal and PV neurons in both the V1 and A1. Combined, these results demonstrate that the sensory response properties of VIP neurons do not fit a simple model of being either PV-like broadly tuned or pyramidal-like narrowly tuned. Instead, the selectivity pattern varies with sensory area and can even be, as in the case of low sound intensity responsiveness, distinct from both PV and pyramidal neurons.

**Keywords:** receptive field property, visual receptive field, tonal receptive field, orientation selectivity, direction selectivity, frequency tuning, intensity selectivity, interneuron

## Introduction

Inhibitory interneurons are known to play important roles in shaping sensory cortical processing (Isaacson and Scanziani, 2011; Zhang et al., 2011). While their low density and high diversity (Kawaguchi and Kubota, 1997; Markram et al., 2004; Ascoli et al., 2008) continues to pose challenges, the use of molecular markers to divide them into nearly non-overlapping groups has greatly simplified systematic investigations of their precise

function (Gonchar and Burkhalter, 1997; Rudy et al., 2011). Three such inhibitory subtypes that have been most widely used are the parvalbumin (PV), somatostatin (SOM), and the vasoactive intestinal polypeptide (VIP) expressing neurons. Together, these three types of neuron cover the great majority of the inhibitory population (Rudy et al., 2011).

In the sensory cortex, PV neurons integrate inputs from a broad range of surrounding excitatory neurons (Hofer et al., 2011). This connectivity pattern gives rise to their broad tuning and the ability to reflect the overall level of surrounding neuronal activity (Kerlin et al., 2010; Ma et al., 2010; but see Runyan et al., 2010). As such, PV neurons are thought to play a normalization role (Carandini and Heeger, 2011), decreasing the activity of excitatory neurons if the overall level of excitation is too strong while preserving their tuning (Atallah et al., 2012; Wilson et al., 2012; Lee et al., 2014). On the other hand, SOM neurons, which most notably include Martinotti cells that target distal dendrites of pyramidal neurons, are more sharply tuned and their functional properties in both the visual and auditory cortex somewhat resemble those of pyramidal neurons (Ma et al., 2010; Li et al., 2014a). It has previously been suggested that they mediate subtractive rather than divisive inhibition (Wilson et al., 2012).

More recently, several studies have suggested that the function of SOM neurons might be better understood by looking at when they get inactivated rather than when they get activated (Gentet et al., 2012; Kvitsiani et al., 2013; Lee et al., 2013). This inactivation is likely mediated through another major subtype of interneurons, the VIP expressing interneurons, which were found to preferentially inhibit SOM neurons (Pfeffer et al., 2013; Pi et al., 2013). VIP neurons are a subgroup of the diverse ionotropic serotonin receptor 5HT<sub>3a</sub> (5HT<sub>3aR</sub>) expressing group of neurons (Lee et al., 2010). They often co-express other markers that have previously been used to delineate inhibitory subtypes, including calretinin, cholecystokinin, and others (Cauli et al., 2000; Lee et al., 2010; Miyoshi et al., 2010; Xu et al., 2010). It is perhaps due to the obvious neuromodulatory association (Porter et al., 1999; F  r  zou et al., 2002, 2007; Lee et al., 2010; Alitto and Dan, 2012; Arroyo et al., 2012) that the VIP neurons have been mostly studied in the behavioral rather than sensory-processing context. In particular, they have recently been shown to be activated by reinforcement signals (reward and punishment), distinct behavioral states as well as by long range cortico-cortical inputs (Pi et al., 2013; Lee et al., 2013; Fu et al., 2014; Zhang et al., 2014). In these cases, activation of VIP neurons inhibits SOM neurons, resulting in an increase of excitatory neuron responses. The exact purpose of this circuit is still debated, but an immediate effect could be a temporary shift of inhibition from dendrites toward soma while in longer term the disinhibition could serve a permissive role in enabling plasticity on the distal dendrites (Letzkus et al., 2011; Jiang et al., 2013; Pfeffer et al., 2013). Whichever is the case, it is important that we not only understand the modulatory inputs to VIP neurons but also the driving inputs from the local sensory network that likely determine which subpopulation of VIP neurons gets activated during relevant behavioral events. While such basic characterization is readily

available for PV and SOM neurons, VIP neurons remain poorly examined. In the present study we set out to fill in this gap by directly targeting genetically labeled VIP neurons using the two-photon imaging guided loose-patch recording method (Liu et al., 2009). We found that in the visual cortex VIP neurons were broadly tuned, functionally similar to PV neurons except for the delayed responses. On the other hand, in the auditory cortex VIP neurons had the surprising tendency to be intensity selective, which clearly distinguishes them from auditory PV neurons.

## Materials and Methods

### Animal Preparation

All experimental procedures used in this study were approved under the Animal Care and Use Committee at the University of Southern California. The VIP-ires-Cre (Taniguchi et al., 2011) or PV-Cre driver line was crossed with the Ai14 (td-Tomato) reporter line (The Jackson laboratory). Animals were housed in a vivarium with a 12/12-h light/dark cycle. Adult female mice (C57BL6 background, 2–3 months old) were sedated with chlorprothixene (0.05 mL of 4 mg/mL) and anesthetized with urethane (1.2 g/kg). Local anesthesia was applied by administering bupivacaine subcutaneously. The body temperature was maintained at 37.5°C by a feedback heating system (Harvard Apparatus, Holliston, MA, USA). Surgical procedure was performed to expose the visual cortex or auditory cortex as previously described (Niell and Stryker, 2008; Liu et al., 2009, 2010; Li et al., 2014b). Eyelids were sutured during the surgical procedure. For recording visual responses, the right eyelid was re-opened after the surgery. The eye was rinsed with saline and a thin layer of silicone oil (30,000 centistokes) was applied to prevent drying while allowing clear optical transmission. Multiunit recordings were performed to determine the retinotopic map and location of the primary visual (V1) or primary auditory cortex (A1), as previously described (Liu et al., 2009, 2010; Li et al., 2014b). Two-photon guided recordings were performed immediately after the pre-mapping. Throughout the procedure, the depth of anesthesia was monitored by regular toe pinches, and if necessary, the mouse was supplemented with 20% of the original dose of urethane.

### *In Vivo* Two-Photon Imaging Guided Recording

*In vivo* two-photon imaging was performed with a custom-built imaging system. A mode-locked Ti:sapphire laser (MaiTai Broadband, Spectra-Physics) was tuned at 910 nm with the output power at 10–30 mW for layer 2/3 neurons at a depth from 150 to 300  $\mu$ m. Scanning was controlled by a custom-modified scanning software (Scanimage 3.5, from Dr. K. Svoboda's Laboratory, Janelia Farm, Ashburn, VA, USA; Pologruto et al., 2003). The depth of the patched cell was directly determined under imaging. For cell-attached recording, the glass electrode, with 8–10 M $\Omega$  impedance, was filled with a potassium-based intrapipette solution (in mM): 125 K-gluconate, 4 MgATP, 0.3 GTP, 10 phosphocreatine, 10 HEPES, 1 EGTA, pH 7.2, and

0.15 mM calcein (Invitrogen). The pipette tip was navigated in the cortex and patched onto a fluorescent soma as previously described (Liu et al., 2009). After confirming a successful targeting (Liu et al., 2009), a loose seal was formed (with 100–500 M $\Omega$  resistance) and maintained throughout the course of the recording. Spike responses were recorded with an Axopatch 200B amplifier (Molecular Devices). Loose-patch recording was made under voltage-clamp mode and the command potential was adjusted so that the baseline current was close to 0 pA. The recorded signal was filtered at 10 kHz and sampled at 20 kHz.

## Visual Stimulation

Software for visual stimulation was custom-developed using LabView (National Instruments) and MATLAB (MathWorks). Visual stimuli were provided by a 34.5 cm  $\times$  25.9 cm monitor (refresh rate 75 Hz, mean luminance  $\sim$ 12 cd/m<sup>2</sup>) placed 25 cm away from the right eye. The center of the monitor was placed at 45° azimuth (corresponding to the monocular zone), 0° elevation, and it covered  $\pm$ 35° horizontally and  $\pm$ 27° vertically of the visual field of the mouse. To map spatial receptive fields (RFs), bright and dark squares over a gray background (contrast 70 and  $-$ 70%, respectively) within an 11  $\times$  11 grid (grid size 5°) were flashed individually (duration = 200 ms, interstimulus interval = 300 ms) in a pseudo-random sequence. The sign of contrast (On or Off) was determined randomly. Each location was stimulated for 8–24 times, and the same number of On and Off stimuli were applied. The On and Off subfields were derived from responses to the onset of bright and dark stimuli, respectively. To measure orientation tuning, drifting sinusoidal gratings of 12 directions (30° step) with temporal frequency of 2 Hz and spatial frequency 0.04 cycle/° were presented on the full screen for 2 s with an interstimulus interval of 5.5 s. The grating started to drift 5 s after it appeared on the screen, and stopped drifting for 0.5 s. Grating of another orientation then appeared immediately. The mean luminance of the screen was thus kept constant. The 12 patterns were presented in a random sequence, and were repeated 5–10 times. For the measurement of response modulation, drifting sinusoidal gratings of preferred direction (with temporal frequency of 2 Hz) were presented for 50–100 cycles, at various spatial frequencies (0.01, 0.02, 0.04, 0.08, 0.16, 0.32 cycle/°).

## Visual Data Analysis

For flash stimuli, stimulus-evoked spikes were counted within a 150 ms time window starting at the response onset. To quantify the evoked firing rate for each cell, responses to 4–5 flash stimuli at the RF center were selected to calculate an average firing rate (with baseline subtracted). For drifting gratings, spikes were counted within a 70–2000 ms window after the onset of the drift. The baseline activity (average spike number in the same length of duration before the onset of stimuli) was subtracted from stimulus-evoked spike numbers. To analyze RF structure, subfield was identified as an area where pixels with significant evoked responses (with peak firing rate larger than 3 SDs of baseline activity) were spatially contiguous. On and Off subfields were fitted with ellipses. The outline of the ellipse was determined as such that it could cross as many pixels at the boundary

as possible. An overlap index (OI; Hirsch et al., 2003) was calculated for cells exhibiting both On and Off subfields. The OI is defined as:

$$OI = \frac{0.5W_1 + 0.5W_2 - d}{0.5W_1 + 0.5W_2 + d}$$

where  $d$  is the distance between the centers of two ellipses,  $W_1$  and  $W_2$  are the widths of them, respectively, which are the segments of the line that connects the two centers intercepted by the ellipses. The modulation ratio  $M = R(F_1)/R(F_0)$  was calculated for responses to gratings at optimal spatial frequency. The post-stimulus spike time histogram (PSTH) was first generated from all the cycles for responses over multiple repetitions.  $R(F_1)$  was calculated from the PSTH as the amplitude of the best-fitting sinusoid at the modulation frequency (Mata and Ringach, 2005).  $R(F_0)$  was the mean spike rate during the drifting grating stimulus (baseline subtracted). The strength of orientation selectivity was quantified with a global measure of orientation selectivity (Dragoi et al., 2000):

Global orientation selectivity index (OSI) =

$$\frac{\sqrt{(\sum_i (R(\theta_i) * \sin(2\theta_i)))^2 + (\sum_i (R(\theta_i) * \cos(2\theta_i)))^2}}{\sum_i R(\theta_i)}$$

where,  $\theta_i$  is the angle of the moving direction of the grating.  $R(\theta_i)$  is the spike response amplitude (with baseline subtracted) at angle  $\theta_i$ . The direction selectivity index (DSI) was defined as  $(R_{\text{pref}} - R_{\text{null}})/(R_{\text{pref}} + R_{\text{null}})$ ,  $R_{\text{pref}}$  is the maximum response and  $R_{\text{null}}$  is the response at the opposite direction. To quantify the onset latency of evoked responses, PSTH was generated from spikes evoked by all the flash stimuli (bin size = 4 ms). The onset of spiking responses was defined as the time point at which firing rate exceeded 3 SDs of baseline activity.

## Auditory Stimulation and Data Analysis

All the auditory experiments were carried out in a sound-attenuation room. The left auditory cortex was exposed, and the ear canal on the same side was plugged with a piece of clay wrapped with a thin layer of cotton. Tone pips (50-ms duration, 3-ms ramp) of various frequencies (2–32 kHz, 0.1 octave interval) and intensities [10–70 dB sound pressure level (SPL), at 10-dB interval] were generated by a custom software (LabView, National Instruments) through a 16-bit National Instruments interface, and delivered through a calibrated speaker (DT Tucker-Davis Technologies) to the contralateral ear. The 287 testing stimuli were presented in a pseudo-random sequence. All the experiments were carried out in the low-to-middle frequency regions (representing  $\sim$ 5–20 kHz; identified during pre-mapping) of the A1. Tone-driven spikes were counted within a 10 to 110-ms time window after the onset of tones. Tonal receptive fields (TRFs) were reconstructed according to the array sequence. The spectral resolution of the frequency-intensity space was tripled by first dividing each bin into three sub-bins with the same value and next smoothing/weighted averaging with a (0.5, 1, 0.5) kernel. Boundaries of the spike TRF were determined following previous descriptions (Sutter and

Schreiner, 1991; Schumacher et al., 2011). In short, a threshold at the value equal to the spontaneous spike rate plus 20% of the peak-evoked rate was used to define significant evoked responses. Responses to frequency-intensity combinations that met this criterion were considered to fall within the TRF of the neuron, which generated the contour of the TRF (Sun et al., 2010, 2013; Xiong et al., 2013; Li et al., 2014b). Intensity threshold was defined as the minimum intensity to evoke a significant excitatory response. Characteristic frequency (CF) was defined as the frequency (Hz) at which the lowest SPL was necessary to evoke a significant excitatory response. In the case of PV cells which exhibited broad frequency tuning even at the intensity threshold, the frequency at the center of the frequency responding range was chosen as the CF. Bandwidth (BW) of TRF was determined as the total frequency range for effective tones, at the intensity level of 10 dB above the threshold (i.e., BW10), with the exception of several intensity-selective VIP neurons which responded poorly at 10-dB above the threshold. For the latter neurons, we used BW directly at the threshold instead. To generate an intensity tuning curve, spike rates at the CF and two neighboring frequencies were averaged for each tone intensity. Intensity selectivity index (ISI) was calculated as 1 minus the ratio between the spike count at 30 dB above the best intensity (i.e., the intensity that produced maximum spike count) or the highest intensity tested and that at the best intensity. Onset latency of spike response was determined from the generated PSTH as the lag between the stimulus onset and the time point where spike rate exceeded the average baseline by 3 SDs of baseline fluctuations.

## Statistical Analysis

All of the statistical testing was done in matlab and involved comparisons between VIP, putative pyramidal, and PV neurons. We first used the Shapiro–Wilk test to check whether the distributions for the tested property are close to normal for all the groups. In the case they were, we tested for difference in means using ANOVA, otherwise we tested for difference in medians using the non-parametric Kruskal–Wallis test. Rejection of null hypothesis by ANOVA was followed by Tukey–Kramer multiple comparisons test to find significant differences between groups. Rejection of null hypothesis by Kruskal–Wallis was followed by Dunn’s multiple comparisons test. All of the reported *p*-values are after the multiple comparisons adjustments.

## Results

### General Characterization of VIP Neurons in the Primary Visual and Auditory Cortex

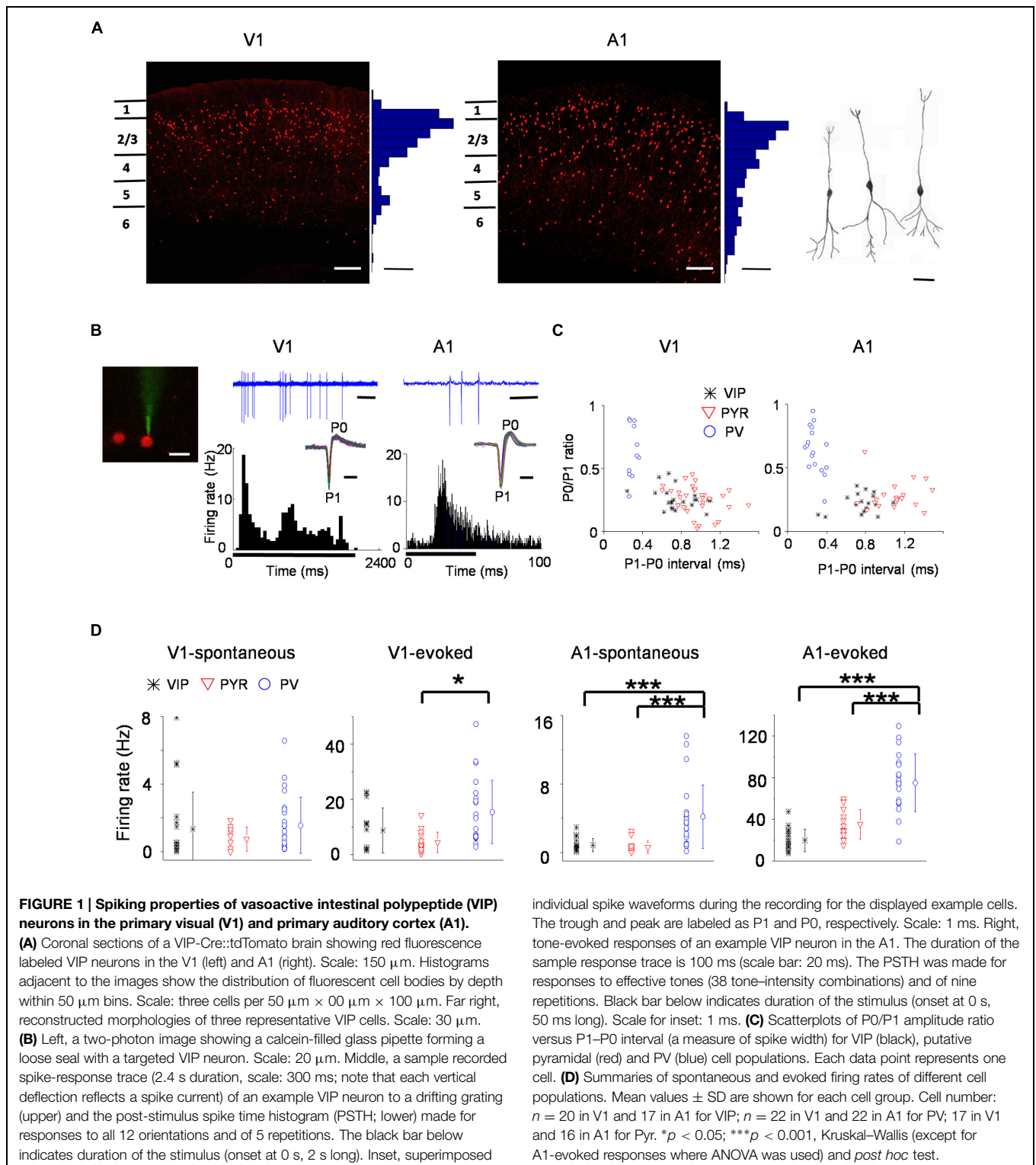
To study VIP neurons, we genetically labeled VIP-positive GABAergic neurons by crossing VIP-Cre driver mice (Taniguchi et al., 2011) with Ai14, a Cre-dependent TdTomato reporter line (Madisen et al., 2012). This resulted in labeling of VIP neurons with red fluorescence. Labeled VIP neurons are the densest in layer 2/3 of both the V1 and A1, although they are present in both upper and deep layers of the sensory cortices (Figure 1A, left and middle panel). Consistent with previous observations (Taniguchi et al., 2011), these VIP neurons

exhibited a bipolar morphology (Figure 1A, right panel). Using a previously described method (Liu et al., 2009), we obtained *in vivo* cell-attached loose-patch recordings from fluorescence labeled neurons in layer 2/3 of either V1 or A1. After forming a loose seal on a targeted cell (Figure 1B, left panel), we presented visual (flash squares and drifting gratings) or auditory (pure tones) stimuli depending on the targeted cortical region, and recorded the cell’s spike responses to sensory stimulation (Figure 1B, middle and right panels). For each individual stimulus, responses of 5–15 repetitions were obtained. Under our experimental condition, the shape of recorded spikes remained reasonably stable throughout the recording session (Figure 1B, insets in the middle and right panels), allowing us to characterize basic spike shape properties such as trough-to-peak interval (i.e., P1–P0 interval) and peak/trough amplitude ratio (i.e., P0/P1 ratio). To compare VIP neurons to other cell types, we also recorded from fluorescence labeled PV expressing neurons in PV-Cre::Ai14 mice, and unlabeled neurons (putative excitatory/pyramidal neurons) in these mice.

As shown in Figure 1C, the PV and putative excitatory neuron populations were segregated according to P1–P0 interval. PV neurons showed shorter P1–P0 intervals than the putative excitatory cells, and they also tended to have higher P0/P1 ratios. The majority of VIP neurons had spike shapes resembling those of putative pyramidal cells (Figure 1C). Since there was a large overlap of P1–P0 interval between the VIP and putative excitatory neuron populations, spike waveforms of VIP neurons were in general individually indistinguishable from putative excitatory cell spikes, although on average they were significantly narrower compared to putative excitatory cells (P1–P0 interval:  $0.76 \pm 0.19$  ms versus  $1.0 \pm 0.23$  ms,  $p < 0.005$ , two-tailed *t*-test). Additionally, there were a few outliers which had narrow spikes similar to PV cells, but these VIP cells tended to have lower P0/P1 ratios than PV neurons (Figure 1C). Such trend was observed in both the V1 and A1.

We also compared the overall level of spiking activity of VIP neurons to PV and putative excitatory cells (Figure 1D). In the V1, the spontaneous spike rate of VIP neurons was comparable to that of PV cells, whereas in the A1 it was significantly lower than that of PV cells (V1:  $1.3 \pm 2.2$  Hz for VIP,  $1.5 \pm 1.7$  Hz for PV; A1:  $0.85 \pm 0.78$  Hz for VIP,  $4.2 \pm 3.7$  Hz for PV). The spontaneous rate of putative pyramidal cells was in general lower ( $0.74 \pm 0.71$  Hz in V1;  $0.59 \pm 0.72$  Hz in A1). The evoked spike rate of VIP neurons was not significantly different from that of putative pyramidal neurons in either V1 or A1 (V1:  $8.7 \pm 8.2$  Hz for VIP,  $4.4 \pm 3.7$  Hz for Pyr; A1:  $19.7 \pm 10.8$  Hz for VIP,  $35.3 \pm 14$  Hz for Pyr). On the other hand, the evoked activity of PV neurons was higher than both cell types in the V1 and A1 (V1:  $15.4 \pm 11.5$  Hz; A1:  $75 \pm 28$  Hz). Since SOM neurons inhibit VIP neurons (Pfeffer et al., 2013), the difference in the relative activity levels of VIP neurons in the V1 versus A1 could possibly be due to SOM neurons being generally more active in the auditory cortex than in the visual cortex of anesthetized animals (see Ma et al., 2010; Adesnik et al., 2012; Li et al., 2014a).

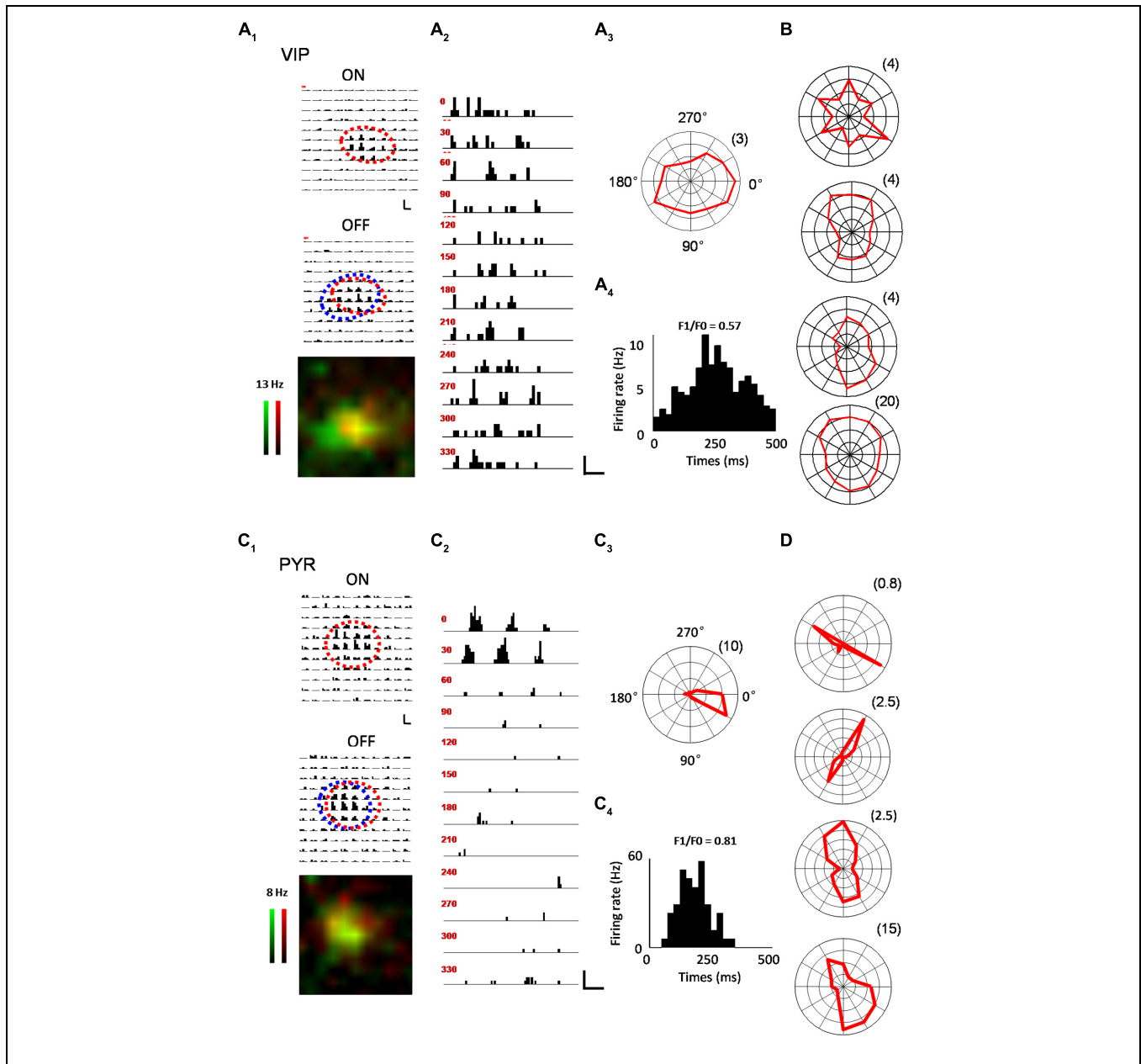




### Visual Response Properties of VIP Neurons

In the V1, we were interested in several key receptive field properties that have previously been used to characterize functional responses of excitatory and inhibitory neurons (Liu et al., 2009; Ma et al., 2010). For a subset of recorded VIP

neurons, we first applied a set of bright and dark flash squares to map the On and Off subfields, respectively (see Materials and Methods). As shown by an example VIP neuron in **Figure 2A**, the cell exhibited largely overlapped On and Off response regions (**Figure 2A<sub>1</sub>**), suggesting that it was a complex cell (Skottun



**FIGURE 2 | Characterization of visual response properties.** (A) An example VIP neuron. **A<sub>1</sub>**, array of PSTHs for the responses to  $11 \times 11$  flash bright (On, upper) and dark (Off, lower) squares of 10 repetitions. The duration of flashing stimuli is indicated by a red bar. Scale: 12 Hz, 200 ms. Fitted On and Off response regions are marked by red and blue ovals, respectively. Bottom, superimposed color maps for On (red) and Off (green) responses. Color scale represents evoked firing rate. **A<sub>2</sub>**, PSTHs for responses to drifting sinusoidal gratings at 12 different directions. Scale:

10 Hz, 400 ms. **A<sub>3</sub>**, Polar graph plot of orientation-dependent response levels. The radius of the polar plot (firing rate in Hz) is indicated within parentheses. **A<sub>4</sub>**, Cycle averaged PSTH for spike responses evoked by drifting sinusoidal grating at optimal direction and spatial frequency. The modulation ratio  $F1/F0$  is indicated. (B) Polar graph plots for four more example VIP neurons. (C) An example pyramidal neuron. Data are displayed in the same manner as in (A). Scale in **C<sub>2</sub>**: 33 Hz, 400 ms. (D) Polar graph plots for four more example pyramidal neurons.

et al., 1991; Niell and Stryker, 2008). We next measured its orientation tuning by presenting drifting sinusoidal gratings at 12 different directions (Figure 2A<sub>2</sub>). As shown by the polar graph plotting (Figure 2A<sub>3</sub>), the VIP neuron had a more or less clear orientation bias, but the level of tuning selectivity was rather weak. From the cycle-averaged PSTH for the responses at the optimal orientation/direction and spatial frequency, we

measured the degree of response modulation by calculating the ratio of the modulation depth of the response ( $F1$  component) to the elevation of the response ( $F0$  component), i.e., the  $F1/F0$  ratio (Skottun et al., 1991; see Materials and Methods). The VIP neuron's response was only weakly modulated, as evidenced by the  $F1/F0$  ratio smaller than 1 (Figure 2A<sub>4</sub>), which is consistent with the notion that the cell was a complex cell

(Skottun et al., 1991; Niell and Stryker, 2008). Polar graphs for another four example VIP neurons are shown in **Figure 2B**. All these cells exhibited only weak orientation tuning. As a comparison, the On and Off subfields and orientation tuning of example putative pyramidal cells are shown in a similar manner in **Figures 2C,D**. Notably, the putative pyramidal cells looked much more sharply tuned for orientation than the VIP cells (**Figures 2C<sub>3</sub>,D**).

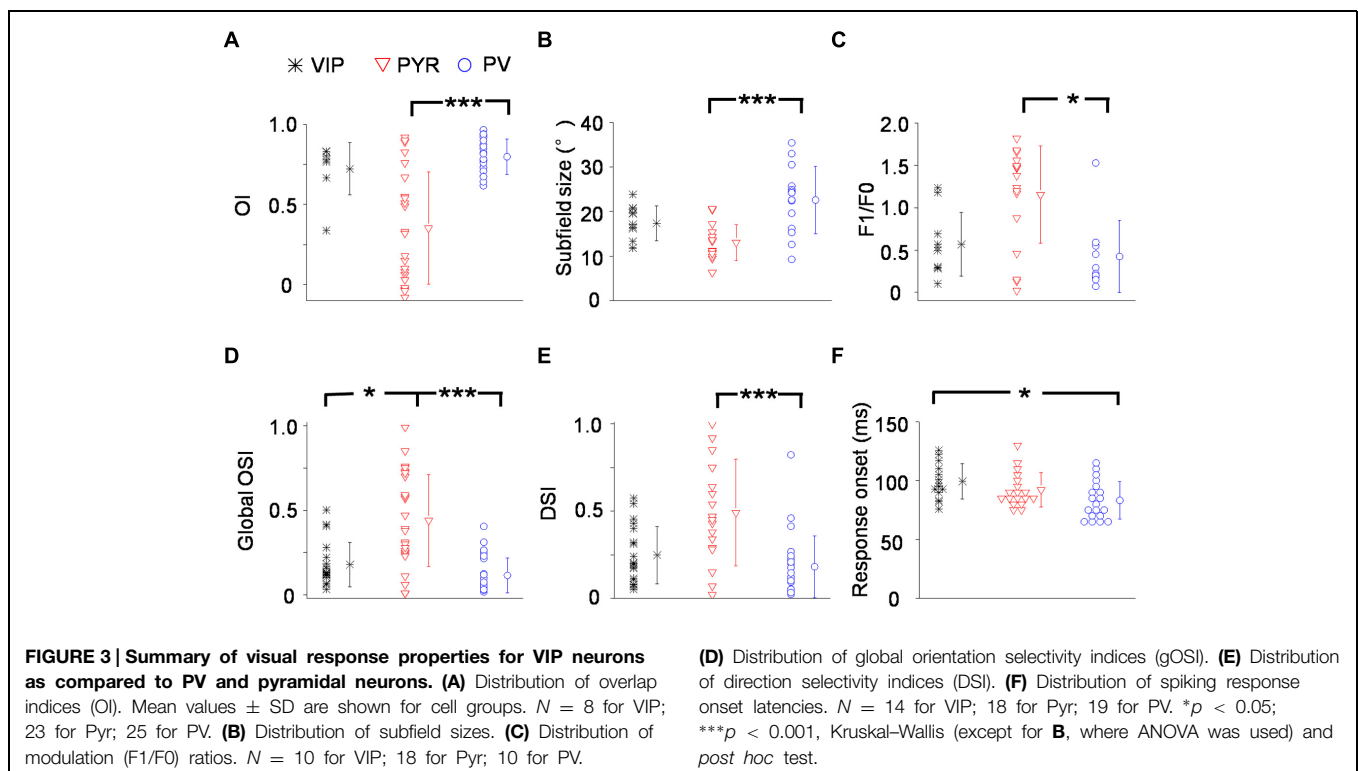
Out of 14 VIP neurons tested with flash stimuli 8 exhibited both ON and OFF spike subfields. The rest of the neurons (6/14) only displayed an OFF subfield. We fit each spike subfield with an ellipse. An OI was calculated to measure the spatial overlap between the On and Off subfields of an individual neuron (see Materials and Methods). As shown in **Figure 3A**, OIs of putative pyramidal cells spanned 0 and 1, consistent with the notion that these cells exhibited both simple- and complex-cell properties (Niell and Stryker, 2008; Liu et al., 2009). VIP neurons, however, exhibited mostly large OIs similar as PV cells, indicating a high degree of overlap between the On and Off response regions. Therefore VIP and PV neurons were mostly complex cells. VIP neurons tended to have slightly larger subfields than pyramidal cells ( $17.0 \pm 4.0^\circ$  versus  $13.0 \pm 4.0^\circ$ ,  $p = 0.09$ ), with the latter having significantly smaller subfields compared to PV neurons ( $13.0 \pm 4.0^\circ$  versus  $23.6 \pm 7.5^\circ$ ,  $p < 0.001$ ; **Figure 3B**). As for response modulation under sinusoidal gratings, VIP and PV neurons exhibited similar F1/F0 ratios, which tended to be lower than those of the putative pyramidal cells (**Figure 3C**), consistent with the finding that VIP and PV neurons mostly exhibited complex-cell properties (**Figure 3A**). Both VIP and PV neurons also had a lower OSI (**Figure 3D**) and a lower DSI

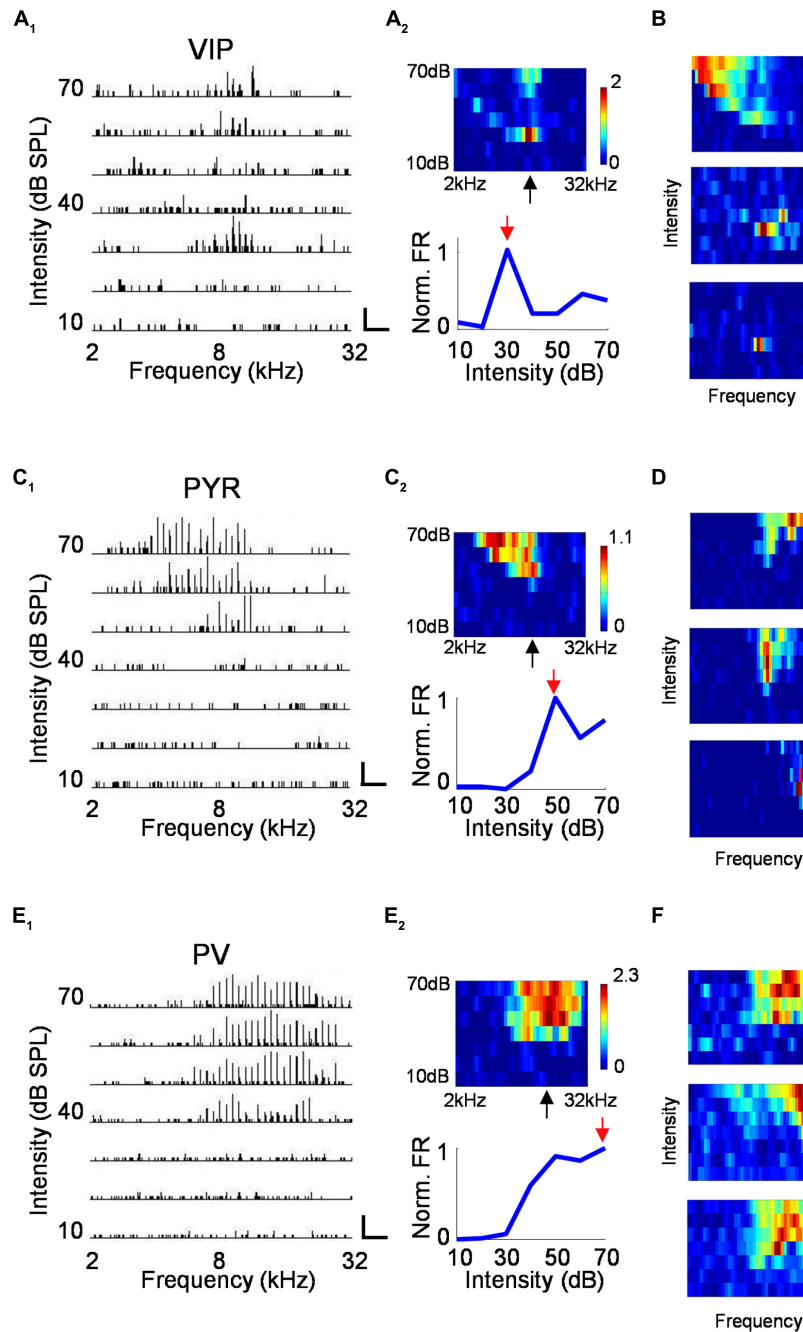
(**Figure 3E**) as compared to the putative pyramidal cells, although in the case of DSI the difference between VIP and putative pyramidal cells did not reach significance ( $p = 0.063$ ). All these data demonstrate that in the V1, the functional properties of VIP neurons, while appearing intermediate between pyramidal and PV neurons, more closely resemble those of PV cells. These two types of neuron both have weaker feature selectivity, including spatial, orientation, and direction selectivity, than pyramidal neurons.

Finally, we compared the onset timing of evoked responses of different cell types (**Figure 3F**). From the PSTH generated for responses to flash stimuli around the center of the subfield of the cell's optimal contrast (On or Off), we determined the onset of evoked responses as the time point at which the firing rate exceeded the baseline by three SDs (see Materials and Methods). In general, there were large variations of response latency. Nonetheless, we found that the onset latency of VIP neuron responses tended to be longer than putative pyramidal cells and was significantly longer than PV neurons ( $99 \pm 15$  ms for VIP;  $90 \pm 15$  ms for Pyr;  $83 \pm 16$  ms for PV). Therefore, the evoked responses of VIP neurons are relatively delayed compared to the other two cell types.

### Auditory Response Properties of VIP Neurons

For neurons recorded in the A1, we mapped the frequency-intensity TRF by presenting to the mouse tone pips of different frequencies (2–32 kHz) and intensities (10–70 dB SPL at 10 dB





**FIGURE 4 | Characterization of auditory response properties.** (A) An example VIP neuron. **A<sub>1</sub>**, tonal receptive field plotted as an array of PSTHs (five repetitions) to tones of varying intensity (10–70 dB SPL, 10 dB steps) and frequency (2–32 kHz, 0.1-octave steps). Scale: 29 Hz, 500 ms. Tone duration was 100 ms. **A<sub>2</sub>**, top, color map representation of the tonal receptive field (TRF). Pixel color represents the average number of spikes evoked per stimulus repetition within a 100-ms analysis window. Black arrow points to the characteristic frequency (CF). Bottom, response levels along the intensity,

measured at and close to the CF and normalized by the maximum response level. Red arrow points to the best intensity. (B) Color maps for TRFs of another three example VIP neurons. Color scale (from top to bottom): 3.4; 1.3; 0.9 for maximum. (C,D) Example pyramidal neurons. Data are presented in the same manner as in (A,B). Scale in **C<sub>1</sub>**: 50 Hz, 250 ms. Color scale for (D; from top to bottom): 2.8; 2.2; 1.8 for maximum. (E,F) Example PV neurons. Scale in **E<sub>1</sub>**: 75 Hz, 250 ms. Color scale for (D; from top to bottom): 4.2; 3.5; 2.8 for maximum.

step). **Figure 4A<sub>1</sub>** plots the PSTHs for spike responses of a VIP neuron to all testing tone stimuli, arranged according to the corresponding frequency and intensity. The measured firing

rates are displayed with a color map representing the frequency–intensity space (**Figure 4A<sub>2</sub>**, upper panel). Surprisingly, we found that many VIP neurons were strongly tuned for intensity, as

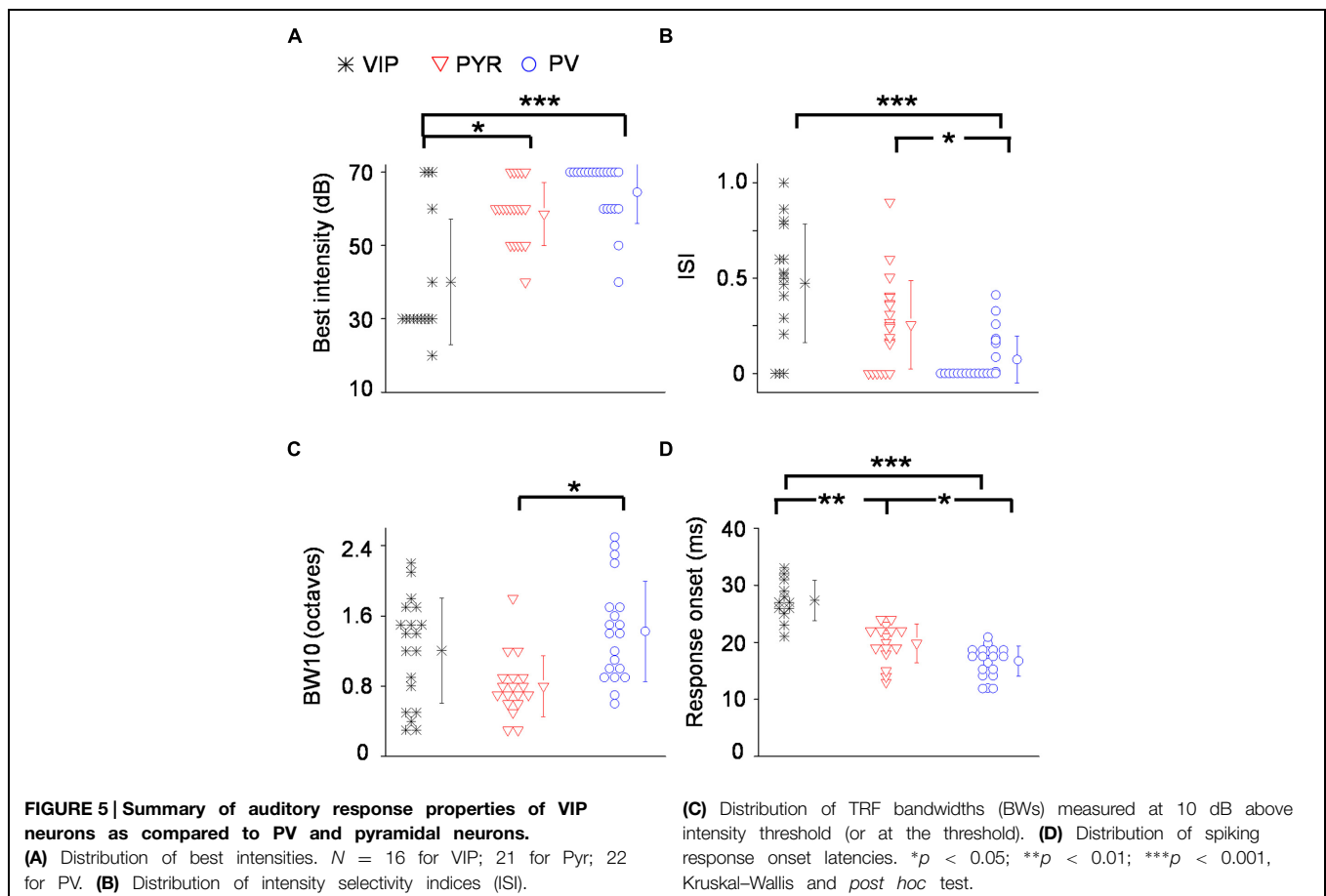


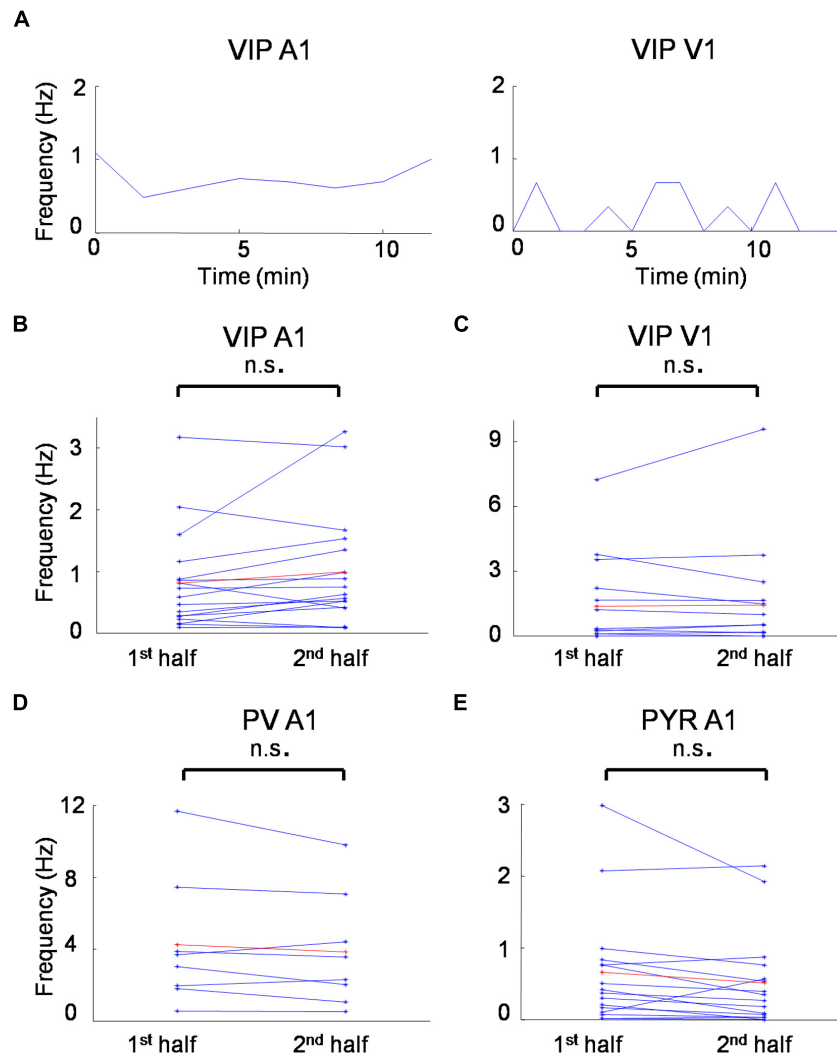
evidenced by the greatly increased firing rate near the intensity threshold, which was then followed by lowered firing rates at higher sound intensities (Figure 4A<sub>2</sub>, lower panel). Additional example VIP TRFs are shown in Figure 4B. In extreme cases, intensity-tuned VIP neuron displayed an enclosed, “o”-shaped TRF (Figure 4B, middle and lower panel). While intensity-tuned TRFs were often observed for VIP neurons, they were not or rarely observed for putative pyramidal cells and PV neurons, which usually exhibited conventional “V” or “U”-shaped TRFs (Figures 4C–F). VIP neurons not exhibiting “o”-shaped TRFs tended to show broadly tuned TRFs which may resemble those of PV cells (Figure 4B, upper panel).

To quantify the degree of intensity tuning, we derived a rate-level function, which is defined as the mean evoked firing rate along all the testing intensities, confined to frequencies at and around the CF (see Materials and Methods). We used this function for two different measures. First, we determined the best intensity of the neuron, defined as the intensity at which the strongest response was observed. More than half of the VIP neurons responded most strongly at 30 dB SPL which was at or 10 dB above their absolute intensity threshold (Figure 5A). In comparison, the best intensity of putative pyramidal cells tended to be much higher at 50 or 60 dB SPL. The best intensity alone does not tell us how strongly the responses are modulated by intensity; for instance, the responses could peak at a low

sound intensity and then stay the same at higher intensities. To assess the strength of intensity tuning, we calculated an intensity-selectivity index (ISI), defined as 1 minus (response at 30 dB above the best intensity or the highest intensity tested)/(response at the best intensity). For half of the VIP neurons (8 out of 16 cells, 50%), this index was above 0.5, meaning that these cells were intensity selective (Figure 5B). In comparison, the ISIs of putative pyramidal cells largely spread below 0.5, and the percentage of intensity-selective cells (3 out of 21 cells, 14.3%) was much lower compared to the VIP population. However, the ISI measure did not reveal a significant difference between VIP and putative pyramidal neurons ( $0.47 \pm 0.3$  for VIP;  $0.26 \pm 0.23$  for Pyr,  $p = 0.13$ ). One could therefore conclude that VIP neurons tend to reach peak responses at lower intensities than pyramidal neurons, but the strength of intensity selectivity is then similar between the two cell types. Among the three types of cell, PV neurons exhibited the weakest intensity tuning (ISI =  $0.07 \pm 0.1$ ). None of the 22 recorded PV cells was intensity selective (with intensity-selectivity index  $>0.5$ ).

Next, we measured the TRF BW. At 10 dB above the intensity threshold (or at the threshold, see Materials and Methods), VIP neurons tended to respond to a broader range of frequencies than pyramidal neurons ( $1.2 \pm 0.6$  octave versus  $0.8 \pm 0.35$  octave,  $p = 0.06$ ; Figure 5C). PV neurons displayed significantly broader





**FIGURE 6 | Spontaneous firing rates during recording sessions.**

**(A)** Time course of average spontaneous firing rates for a VIP neuron in the A1 (left) and a VIP neuron in the V1 (right). Each data point is the measure of average spontaneous firing rate during the receptive field mapping of one repetition. **(B)** Average firing rates for the first and second half duration of the recording session for all the VIP cells recorded in the

A1. **(C)** Average firing rates for the first and second half duration of the recording session for all the VIP cells recorded in the V1. **(D)** Average firing rates for the first and second half duration of the recording session for all the PV cells recorded in the A1. **(E)** Average firing rates for the first and second half duration of the recording session for all the putative pyramidal cells recorded in the A1. n.s., non-significant, paired *t*-test.

BWs than pyramidal cells ( $1.4 \pm 0.6$  octave versus  $0.8 \pm 0.35$  octave,  $p < 0.05$ ; **Figure 5C**). Thus, similar to PV cells, VIP neurons tended to be broadly tuned, although some of their TRFs were enclosed.

Finally, we characterized the onset latency of spike responses to tones (**Figure 5D**). VIP neurons responded significantly later than putative pyramidal neurons ( $27.3 \pm 3.6$  ms versus  $19.8 \pm 3.4$  ms,  $p < 0.001$ ). Consistent with previous observations (Wu et al., 2008; Moore and Wehr, 2013), PV neurons responded significantly earlier than putative pyramidal cells ( $16.7 \pm 2.6$  ms versus  $19.8 \pm 3.4$  ms,  $p < 0.05$ ). On average, VIP neurons responded 7.5 ms later than putative pyramidal neurons, 10.6 ms later than PV neurons and within a single millisecond of SOM neuron firing (see Li

et al., 2014a). Therefore, the responses of VIP neurons in the A1 are much delayed relative to pyramidal and PV cells, and instead appear to be aligned with SOM neuron responses.

## Discussion

Understanding receptive field properties of different inhibitory cell types is crucial for unraveling their specific roles in sensory processing. In this study we characterized the sensory receptive field properties of VIP-expressing inhibitory neurons in the mouse visual and auditory cortex and compared them to those of putative pyramidal neurons and PV cells, with the latter

being the most prominent inhibitory cell type in the cortex. The average spontaneous firing rate was not significantly changed from the first to second half duration of recording for all the cell groups we recorded (**Figure 6**), indicating that the recording quality was relatively stable in our experimental conditions. We found that VIP neurons are robustly driven by sensory inputs, and that they are in general more broadly tuned than pyramidal neurons, similar as PV cells. However, VIP neurons also exhibit functional selectivity distinct from PV cells.

### Broadly Tuned Properties in the Visual Cortex

In a previous study, orientation tuning properties of a small number of VIP neurons have been examined with  $\text{Ca}^{2+}$  imaging, and the cell type of these neurons was identified *post hoc* with immunostaining for VIP (Kerlin et al., 2010). One concern over  $\text{Ca}^{2+}$  imaging is the potential non-linearity which can distort measurements of tuning of neuronal populations (Nauhaus et al., 2012). Here, we applied two-photon imaging guided loose-patch recordings from genetically labeled VIP neurons, which allowed us to collect data from a much larger number of specific cells and to measure their spike responses more accurately. We demonstrate that VIP neurons functionally resemble PV cells. They both have overlapping On and Off subfields and weakly modulated responses to gratings, and are more weakly selective for orientation and direction than pyramidal cells. The observation that VIP and PV neurons are both broadly tuned is in fact in agreement with the previously reported  $\text{Ca}^{2+}$  imaging results (Kerlin et al., 2010).

It has been found that the difference between orientation selectivity levels of PV and pyramidal neurons in layer 2/3 of the V1 can be attributed at least partially to differential specificity of intralaminar excitatory connectivity: while pyramidal cells preferentially receive excitatory inputs with similar orientation preferences, such selectivity is absent for excitatory inputs to PV neurons (Hofer et al., 2011). It is likely that VIP neurons also pool local excitatory inputs somewhat indiscriminately, resulting in their broad tuning. The functional significance of such broad pooling could be for VIP neurons to detect the general activity level of local excitatory networks, and thus to regulate the local microvessel output by releasing VIP which is a vasoactive substance (Cauli et al., 2004).

It has been proposed that broadly tuned PV neurons provide excitatory cells with broad inhibitory inputs, which helps to sharpen the tuning of excitatory neurons (Ma et al., 2010; Liu et al., 2011; Atallah et al., 2012). We have also reported previously that SOM neurons, another major inhibitory cell type, are as sharply tuned for orientation as excitatory neurons (Ma et al., 2010). Since VIP neurons preferentially inhibit SOM neurons but rarely inhibit pyramidal cells (Pfeffer et al., 2013; Pi et al., 2013), it is likely that the broadly tuned VIP neurons provide broad inhibitory inputs to SOM neurons, allowing their orientation tuning to be sharpened. VIP and PV neurons may thus compose two inhibitory control systems to modulate tuning properties of SOM and excitatory neurons, respectively.

### Intensity Tuned Properties in the Auditory Cortex

In the auditory cortex, we found in surprise that many of the VIP neurons are intensity selective, whereas PV and pyramidal neurons are not or only occasionally selective. This distinct feature of VIP neurons raises a question of how enclosed tonal receptive fields can be constructed in this cell group. For excitatory neurons, previous studies in rodents suggest that intensity selectivity can be created or sharpened through specific excitatory and inhibitory interplay in which excitation and inhibition have differential intensity tuning functions and/or have intensity-dependent variations of their relative onset timings (Wu et al., 2006; Tan et al., 2007; Zhou et al., 2012). Since VIP neurons preferentially receive inhibition from SOM neurons (Pfeffer et al., 2013), it is possible that the SOM neuron inhibition helps to sculpt the enclosed TRFs out of otherwise rather broadly tuned TRFs of VIP neurons. From previous studies, we know that SOM neurons have a higher intensity threshold than PV and pyramidal neurons, and that they are predominantly monotonic (i.e., non-intensity-tuned) cells similar as PV cells (Li et al., 2014a). These properties indicate that SOM neurons are in a suitable position to provide the appropriate type of inhibition (i.e., with a higher intensity threshold compared to excitation, and monotonic intensity tuning) to facilitate the creation of intensity selectivity in VIP neurons. If SOM neurons are indeed important for generating intensity selectivity of VIP neurons, we would expect that this distinct property of VIP neurons can be disrupted when SOM neuron activity is reduced. Future investigations are needed to test this idea.

A second question is how the intensity selectivity of VIP neurons might be useful. The major function of layer 2/3 VIP neurons is to disinhibit pyramidal cells (Pfeffer et al., 2013; Pi et al., 2013). Since many VIP neurons respond strongly to low-intensity stimuli, an intriguing possibility is that these VIP neurons can help to resolve weak auditory stimuli by disinhibiting distal dendrites of pyramidal neurons. In fact, this could also serve as a mechanism for helping generate intensity selectivity in a subset of pyramidal cells. In addition, VIP neurons can be activated by a variety of cortico-cortical inputs and reinforcement signals (Lee et al., 2013; Pi et al., 2013; Fu et al., 2014; Zhang et al., 2014). Through these modulatory pathways, the identity of a barely detectable stimulus may be better resolved in a context or behavioral context dependent manner.

### Diversity within the VIP Population

While in the present study we treat VIP neurons as a single group, it is known that VIP as a marker labels neurons with a range of morphologies, intrinsic excitability properties and molecular co-expression patterns (Markram et al., 2004; Lee et al., 2010). Although the small number of VIP neurons we examined in detail showed a bipolar morphology, it is possible that our data also includes small basket cells (also previously described as 'arcade cells') that often co-express CCK (Kawaguchi and Kubota, 1996, 1997; Wang et al., 2002). A small subset of VIP neurons have narrow spike waveforms similar to PV cells, implying that these

might be basket cells. However, we did not find these cells outliers in the distributions of functional properties. For example, one fast-spiking VIP neuron was intensity selective, while another was not intensity tuned. Moreover, even the typical bipolar VIP neurons may not be a homogeneous group (von Engelhardt et al., 2007). Despite this diversity within the VIP population, none of the properties we examined showed a strikingly double-peaked distribution that would imply two populations. Although it is possible that this is at least partly due to small sample sizes, it nevertheless suggests that the majority of layer 2/3 VIP neurons within a given region share similar basic functional properties.

## Delayed Responses

In both the visual and auditory cortex, we found that the spiking responses of VIP neurons evoked by transient stimuli (flash and tone pips) tend to have longer onset delays compared to PV and pyramidal cells. There are several possible interpretations of this finding. First, our recent study of slice recording coupled with optogenetic stimulation indicates that layer 2/3 PV and pyramidal cells receive direct thalamic inputs in both the V1 and A1, whereas layer 2/3 VIP neurons do not receive direct thalamic inputs (Ji et al., 2015). The thalamic input may drive the earlier spiking of PV and pyramidal cells. Second, while the laminar pattern of local excitatory inputs to layer 2/3 bipolar (presumptive VIP) cells are similar to pyramidal neurons, the pattern of inhibitory inputs is different: VIP neurons appear to receive relatively stronger inhibition from layer 4, likely from PV neurons (Xu and Callaway, 2009). This inhibition from layer 4 may effectively prevent early spiking of VIP neurons. A third

possibility is that the typical excitatory inputs VIP neurons receive from local sources may be generally weak, and cortico-cortical inputs from higher cortical areas or later responding subcortical areas tend to be more important drivers. While we lack precise information on the relative strength of local versus long range inputs to VIP neurons, there are known cases where VIP neurons receive stronger long range cortico-cortical inputs than pyramidal neurons (Lee et al., 2013; Zhang et al., 2014). A fourth possibility is that differential sensitivity of different cell types to anesthetics may contribute to their different response timings.

Finally, it should be noted that our experiments were performed in anesthetized mice, in which the cortical activity could be different from the way it normally functions in awake animals (Constantinople and Bruno, 2011). For example, in anesthetized mice SOM neurons are much less active than in some awake conditions (Adesnik et al., 2012). It will be necessary to systematically revisit these and other sensory response results in awake preparations and under specific behavioral contexts, considering the possible involvement of VIP neurons in the modulation of cortical responses by neuromodulatory and long range cortico-cortical inputs.

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**Conflict of Interest Statement:** The authors declare that the research was conducted in the absence of any commercial or financial relationships that could be construed as a potential conflict of interest.

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