



# Cerebral oxygen delivery and consumption during evoked neural activity

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Increases in neural activity evoke increases in the delivery and consumption of oxygen. Beyond observations of cerebral tissue and blood oxygen, the role and properties of cerebral oxygen delivery and consumption during changes in brain function are not well understood. This work overviews the current knowledge of functional oxygen delivery and consumption and introduces recent and preliminary findings to explore the mechanisms by which oxygen is delivered to tissue as well as the temporal dynamics of oxygen metabolism. Vascular oxygen tension measurements have shown that a relatively large amount of oxygen exits pial arterioles prior to capillaries. Additionally, increases in cerebral blood flow (CBF) induced by evoked neural activation are accompanied by arterial vasodilation and also by increases in arteriolar oxygenation. This increase contributes not only to the down-stream delivery of oxygen to tissue, but also to delivery of additional oxygen to extra-vascular spaces surrounding the arterioles. On the other hand, the changes in tissue oxygen tension due to functional increases in oxygen consumption have been investigated using a method to suppress the evoked CBF response. The functional decreases in tissue oxygen tension induced by increases in oxygen consumption are slow to evoked changes in CBF under control conditions. Preliminary findings obtained using flavoprotein autofluorescence imaging suggest cellular oxidative metabolism changes at a faster rate than the average changes in tissue oxygen. These issues are important in the determination of the dynamic changes in tissue oxygen metabolism from hemoglobin-based imaging techniques such as blood oxygenation-level dependent functional magnetic resonance imaging (fMRI).

**Keywords:**  $P_{O_2}$ , oxygen, hemoglobin, CBF,  $CMR_{O_2}$ , flavoprotein, fMRI

## INTRODUCTION

The wide-spread use of imaging methods that are sensitive to the cerebral oxygenation level of blood, such as blood oxygenation-level dependent functional magnetic resonance imaging (BOLD fMRI), has sparked significant interest in the properties and role of oxygen delivery and consumption in the brain, particularly during changes in brain function. In general, oxygen is transported to the brain by blood and it is delivered to tissue at the capillary level by diffusion. In tissue, oxygen diffuses until it is used up in cellular mitochondria. With increases in neural activity, the cerebral metabolic rate of oxygen consumption ( $CMR_{O_2}$ ) increases (Herscovitch et al., 1985; Fox et al., 1988; Fiat et al., 1993; Davis et al., 1998; Kim et al., 1999; Mayhew et al., 2000; Hyder et al., 2001; Shulman et al., 2001; Boas et al., 2003). In addition, the delivery (or supply) of oxygen to tissue also increases through increases in cerebral blood flow (CBF) (Buxton and Frank, 1997; Davis et al., 1998; Hyder et al., 1998; Kim et al., 1999; Lauritzen, 2001; Zheng et al., 2002). The increase in CBF is produced at least in part by the dilation of feeding arteries, and hence, increases in cerebral blood volume (CBV) have also been observed (Berwick et al., 2005; Vanzetta et al., 2005; Hillman et al., 2007; Kim et al., 2007). This general picture appears to be coherent because it is expected that increases in neural activity (e.g., synaptic transmission and firing rate) require additional energy, which is supplied by increases in oxidative metabolism. As a result, blood flow increases to satisfy the consumption (or demand) of tissue oxygen.

However, the role and properties of oxygen delivery and consumption have remained unclear. For instance, steady-state increases in oxygen delivery have been consistently observed to exceed the steady-state increases in oxygen consumption by a ratio between 2 and 3 (Uludag et al., 2004). It is this disproportionate ratio that is exploited to image brain function in humans and animals using methods like BOLD fMRI. Fueling our lack of understanding is the fact that neural activity is a highly dynamic process and dynamic measurements of oxygen consumption (and delivery) have been largely unavailable. As a result, the use of blood oxygenation methods to interpret and quantify brain function remains uncertain. Because these processes are not simple and many important variables are not routinely measured, models have been employed to explore, interpret and quantify the dynamics of this process (Zheng et al., 2002; Valabregue et al., 2003; Huppert et al., 2007; Boas et al., 2008). However, the dynamic properties of tissue oxygen consumption with changes in brain function have been difficult to measure, leaving this portion of the model to educated assumptions.

A thorough understanding of cerebral oxygen delivery and consumption is important not only to understand this fundamental metabolic process but also for the quantification of the changes in blood oxygen saturation which are then used to calculate the changes in tissue oxygen metabolism. This physiological parameter can be very useful in research and clinical studies to assess the functional state of tissue. In this work, we will overview the current

knowledge of functional oxygen delivery and consumption and introduce recent findings to explore the role of the large delivery of oxygen to tissue and the temporal dynamics of oxygen consumption. Specifically, previously reported data from our group (Vazquez et al., 2010) will be used in combination with a model of the transport of oxygen to aid in the investigation of these questions. These data will also be used to explore the impact of vascular wall  $P_{O_2}$  measurements on the longitudinal gradient of oxygen along the cerebro-vascular tree. Preliminary findings of the functional changes in tissue  $P_{O_2}$  as a function of depth over superficial layers will also be explored. Lastly, preliminary findings of the dynamic changes in cellular oxidative metabolism with evoked function obtained using flavoprotein autofluorescence imaging (FAI) are also presented.

## BACKGROUND

The mammalian brain is very sensitive to the amount of oxygen. This is exemplified by the disruption of brain function within minutes after discontinuing oxygen supply (e.g., respiratory arrest). Oxygen in air is present at a concentration of 20.9% under standard temperature and pressure (i.e., 25°C and 1 atm). It is transported from air to the lungs by respiration and it dissolves in blood where it mostly binds hemoglobin in red blood cells. The ability of a medium such as blood (plasma) to dissolve oxygen is described by Henry's Law and the solubility coefficient ( $\alpha$ ), which has been determined to be  $1.39 \times 10^{-3}$  mM/mmHg (Popel, 1989). In the lungs, the inspired oxygen tension is about 150 mmHg under standard body temperature and pressure (i.e., 37°C and fully saturated water vapor gas), setting an upper bound for the oxygen tension of blood. A single hemoglobin molecule is able to bind four oxygen molecules such that the total concentration of oxygen in blood depends mostly on the concentration of hemoglobin, although free oxygen dissolved in blood plasma, reported as the partial pressure or tension of oxygen in blood, also contributes a small amount. The affinity of oxygen to hemoglobin depends on the blood oxygen tension and it is described by the oxygen dissociation curve (ODC) (Popel, 1989; Jensen, 2004). It is worth noting that the ODC is influenced by temperature, pH and carbon dioxide tension (the latter two compose the Bohr effect). A common expression for the ODC is the Hill equation (Eq. 1) which is parametrized by the  $P_{50}$  (the oxygen tension at which blood hemoglobin is 50% saturated by oxygen) and the Hill coefficient ( $h$ ). The kinetics of the association and dissociation of oxygen from hemoglobin have been determined to take tens of milliseconds under normal conditions (Gibson et al., 1955; Popel, 1989). Hence, if an instantaneous equilibrium is assumed, the total concentration of blood concentration can be described by Eq. 1 as the sum of the oxygen dissolved in plasma ( $C_p$ ) and the oxygen bound to hemoglobin, where [Hb] is the concentration of hemoglobin in blood. Using the values in **Table 1**, an arterial oxygen tension of 100 mmHg would correspond to a dissolved oxygen concentration ( $C_p$ ) of 0.14 mM and a total oxygen concentration ( $C_c$ ) of 6.56 mM.

$$C_c = C_p + \frac{4[\text{Hb}]}{1 + \left(\frac{\alpha P_{50}}{C_p}\right)^h} \quad (1)$$

**Table 1 | Parameter values used for the model described in Eqs 1–3.**

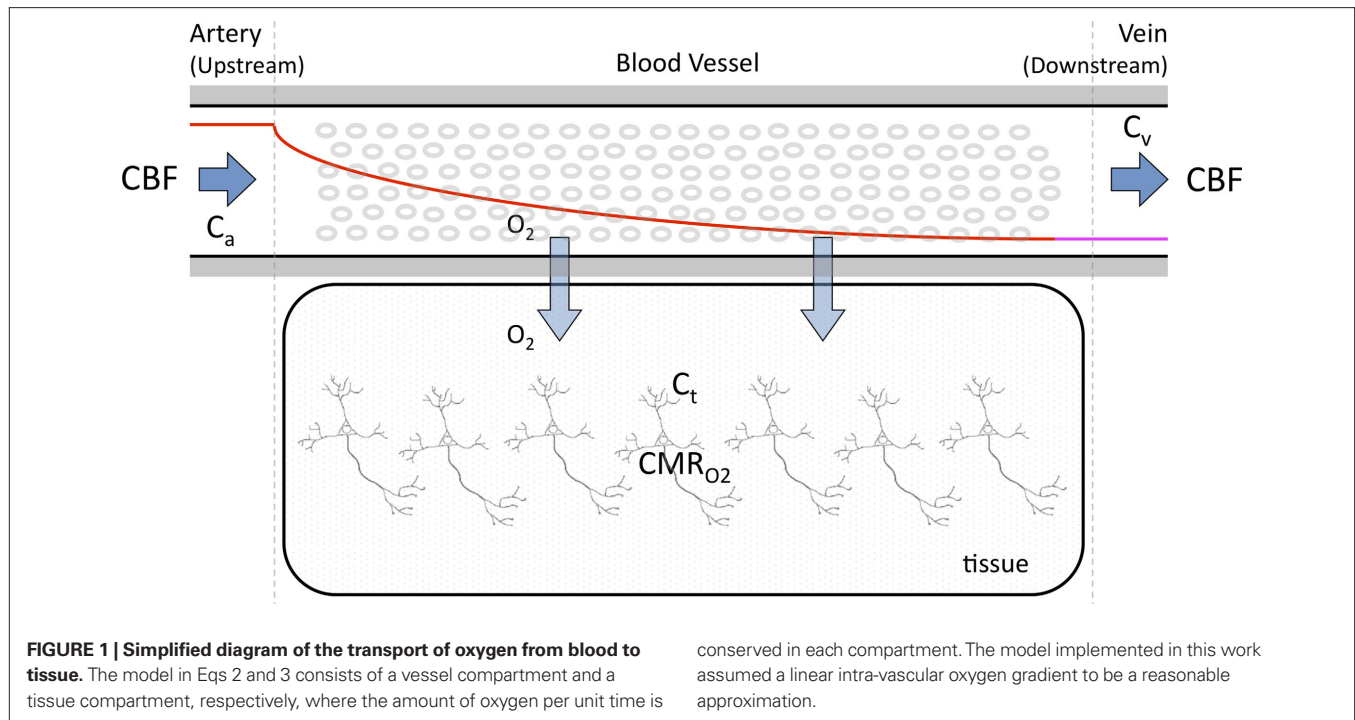
Parameter	Value	Remarks
[Hb]	1.72 mM	Vazquez et al. (2010)
$\alpha$	$1.39 \times 10^{-3}$ mM/mmHg	Popel (1989)
$P_{50}$	38 mmHg	Gray et al. (1964)
$h$	2.73	Valabregue et al. (2003)
$CBF_0$	150 ml/min	Kim et al. (2007)
$PS_c$	612 ml/min	Medium-to-small arterial vessel compartment

A relatively simple model can be used to describe the transport of oxygen by conservation of mass assuming that a single vascular compartment with a linear axial and radial oxygen gradient is a reasonable approximation (Eq. 2 and **Figure 1**) (Valabregue et al., 2003). In this fashion, the average amount of oxygen (represented by the product of the compartment's volume  $V_c$  and the average oxygen concentration  $C_c$ ) is described by the average amount of oxygen entering the compartment upstream ( $CBF C_a$ ), the amount of oxygen leaving the compartment down-stream ( $CBF C_v$ ) and the amount of oxygen delivered to tissue (represented by the right-most term in Eq. 2). In the latter term, the transport of oxygen out of the vascular space (orthogonal to the direction of flow) is related to the product of the oxygen permeability and surface area of exchange ( $PS_c$ ) (see **Table 1**). Similarly, the average amount of oxygen in a tissue compartment (represented by the product of the tissue compartment volume  $V_t$  and the average tissue oxygen concentration  $C_t$ ) can be simply described by the difference between the amount entering the tissue compartment and the amount of oxygen consumed ( $CMR_{O_2}$ ) (Eq. 3). A more in-depth description of this model (Eqs 1–3) and its assumptions can be found in (Popel, 1989; Valabregue et al., 2003).

$$\text{Vessel: } V_c \frac{dC_c(t)}{dt} = CBF(t)(C_a(t) - C_v(t)) - PS_c(C_p(t) - C_t(t)) \quad (2)$$

$$\text{Tissue: } V_t \frac{dC_t(t)}{dt} = PS_c(C_p(t) - C_t(t)) - CMR_{O_2}(t) \quad (3)$$

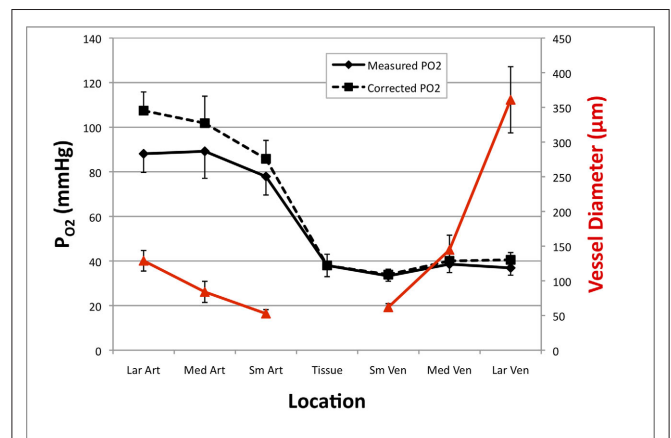
Cerebral oxygen tension is classically measured using polarographic oxygen microelectrodes (Fatt, 1976; Siesjö, 1978). A significant advantage of this method is that it can quantify the absolute tension of free dissolved oxygen with good spatial resolution (typical volumes of about 10  $\mu\text{m}$  in radius or greater). A significant disadvantage of this method is its single point measurement and invasiveness since the electrode must be physically placed at the desired sampling location. In addition, the measurement of intra-vascular  $P_{O_2}$  is generally limited to the surface of blood vessels which may not necessarily indicate the intra-vascular  $P_{O_2}$ , especially for larger arteries where the vascular wall is thick (a promising new method might overcome some of these shortcomings (Yaseen, et al., 2009)). Although other methods can be used to measure oxygen tension (e.g., phosphorescence quenching), most reports overviewed below used oxygen microelectrodes to measure oxygen tension in blood vessels and tissue.



## OXYGEN DELIVERY

Oxygen is transported to the brain by the arterial vasculature and most of the blood oxygen is exchanged into tissue in cerebral capillaries by diffusion. Therefore, a longitudinal or axial oxygen concentration gradient exists between cerebral arteries and veins. In addition, the venous (or down-stream) concentration of oxygen directly depends on the flow rate. A few reports of the arterial and venous cerebral oxygen tension in the literature show that the largest drop in vascular  $P_{O_2}$  occurs in tissue across capillaries (17 mmHg) (Vovenko, 1999) and between pre-penetrating pial arterioles and post-emerging pial venules (44 mmHg; **Figure 2**, Small Artery to Small Vein locations, or SmArt to SmVen, respectively).

Interestingly, the gradient of oxygen is decreasing along the traversal of blood within the arterial tree becoming significantly lower in small pre-penetrating pial arterioles (Vovenko, 1999; Vazquez et al., 2010). Specifically, in a report by Vovenko (1999) the resting arterial oxygen tension dropped by 23 mmHg prior to entering the capillaries. In a recent study by our group (Vazquez et al., 2010), the arterial oxygen tension dropped by 11 mmHg in the pial surface prior to the arteries penetrating into the cortex (**Figure 2**, medium to small artery locations). Similar measurements in other tissues (e.g., muscle) have also shown a similar efflux of oxygen from arterial vessels (Duling and Berne, 1970). In many studies, vascular  $P_{O_2}$  measurements are made at the vessel wall, which underestimates the intra-luminal vascular  $P_{O_2}$ . A conventional correction to  $P_{O_2}$  measurements at the vessel wall considers the wall thickness and the gradient of oxygen across the wall (Ivanov et al., 1999). In a study by Duling and Berne (1970) these two parameters were measured in cerebral arteries and they found an average  $P_{O_2}$  gradient of about 1 mmHg/ $\mu\text{m}$  and an average wall thickness of 15% of the intra-luminal diameter. These findings were used to perform a zero-order correction of our arterial



**FIGURE 2 | Average resting cerebral  $P_{O_2}$  gradient in tissue and pial vasculature (solid black line).**  $P_{O_2}$  measurements were obtained from the vessel wall and the intra-vascular  $P_{O_2}$  (corrected) was estimated using oxygen gradient and wall thickness estimates from the literature (dashed black line). The average vessel diameter is also presented (solid red line) with its corresponding axis on the right. In the horizontal axis, "Lar" was used to denote the largest visible branch of the targeted pial artery ("Art") and vein ("Ven"). These locations are also referred to as large artery and large vein, respectively. "Sm" was used to denote the targeted pre-penetrating arterial branch (also called small artery) and post-emerging venous branch (small vein). "Med" was used to denote the branch location of measurement between the "Lar" and "Sm" locations in each artery and vein (also referred to as medium artery and medium vein). Error bars indicate the standard error ( $n = 6, 6, 9, 9, 6, 6$  for LarArt, MedArt, SmArt, Tissue, SmVen, MedVen, and LarVen, respectively). This data was adapted from Vazquez et al. (2010).

$P_{O_2}$  measurements obtained at the vessel wall and, as a result, the longitudinal  $P_{O_2}$  gradient along the arterial tree slightly steepened to 16 mmHg (from 101.8 to 85.8 mmHg, **Figure 2**). In addition,



the study by Vovenko, was performed under a microscope at high magnification using sharp microelectrodes that were carefully positioned inside the vessel wall in close proximity to the luminal space to avoid this potential source of error. In both studies a significant decrease in arterial  $P_{O_2}$  was observed, hence showing that, similar to other tissues, cerebral arteries are indeed permeable to oxygen. The significance of this arterial oxygen gradient is that it allows for the control of the delivery of oxygen to down-stream vasculature through increases in blood flow.

It has been long hypothesized that this delivery of oxygen by the arterial vasculature serves to satisfy the metabolic demands of vascular cells and also the demands of surrounding tissue (Tsai et al., 2003). However, recall that the total efflux of oxygen depends on that bound to hemoglobin and the ODC. In arteries,  $P_{O_2}$  is relatively high but the total amount of oxygen transported to tissue is not as large as that in capillaries. Nonetheless, measurements of the radial arterial  $P_{O_2}$  gradient beyond the vascular wall have shown that the oxygen tension in tissue surrounding arteries is significantly larger than the  $P_{O_2}$  in capillaries (Duling et al., 1979; Sharan et al., 2008). This gradient was observed to take tens of micrometers to equilibrate with the average tissue oxygen tension. These findings indicate that sufficient oxygen escapes arterial blood and reaches surrounding tissue for consumption and that capillaries are not the sole source of oxygen delivery. However, the functional role of this source of oxygen is unclear.

Lastly, after the passage of blood through arteries and capillaries, it enters the venous vasculature where it continually pools with blood from other cortical areas as the branching order decreases. In contrast to the arterial vasculature, no significant differences in oxygen tension were reported along the venous tree although significant variability in the oxygen tension within the draining venous tree was reported (Vovenko, 1999; Vazquez et al., 2010). Interestingly, both studies by Vovenko and our group reported a larger average  $P_{O_2}$  in the largest sampled venous location compared to the smallest sampled venous location (by 3–5 mmHg) although these differences were not statistically significant. To account for potential errors stemming from vascular wall measurements, the radial oxygen gradient in veins was measured to be 0.1 mmHg/ $\mu\text{m}$  (Vovenko, 1999; Tsai et al., 2003). In addition, the venous vascular wall is thinner than that of arteries (about 10% of the venous lumen diameter) (Burton, 1954). These values were also used to perform a zero-order correction of the venous  $P_{O_2}$  measurements and small increases in the venous  $P_{O_2}$  were obtained (Figure 2). Such increases in venous  $P_{O_2}$  have also been reported in other tissues (Tsai et al., 2003). In the brain, this increase in venous  $P_{O_2}$  has been attributed to the presence of arterio-venous shunts and the draining of blood from other parts of the brain with different metabolic demands (Tsai et al., 2003).

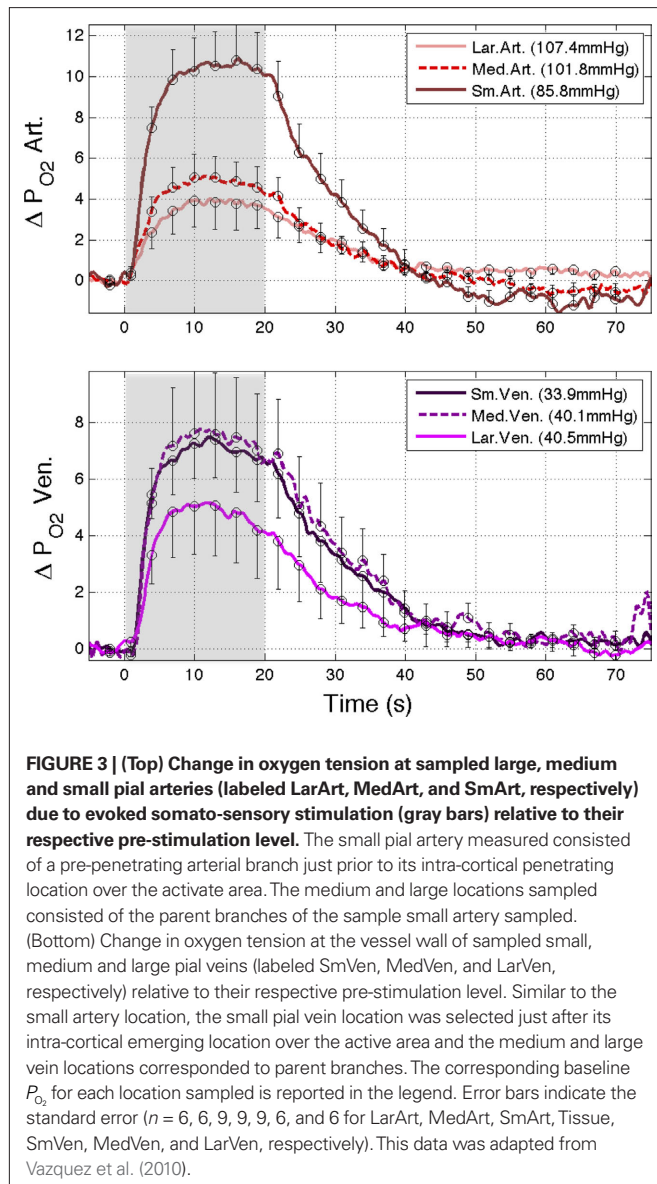
#### ARTERIAL $P_{O_2}$ CHANGES DURING INCREASED NEURAL ACTIVITY

It is well-known that increases in neural activity induce increases in CBF and that this dynamic process is responsible for the hyper-oxygenation of both tissue and the down-stream venous vasculature. However, changes in arterial oxygenation with increases in neural activity had not been investigated. To this end, our group investigated the impact of evoked neural activity on arterial, tissue and venous  $P_{O_2}$  in the isoflurane-anesthetized rat (Vazquez et al., 2010). The neural stimulus consisted of electrical stimulation of the rat's forelimb with the following parameters: 1 ms pulses at a frequency

of 3 Hz, amplitude of 1.5 mA, total duration of 20 s, repeated every 80 s. These parameters have been shown to produce consistent neural responses and robust hemodynamic responses (Masamoto et al., 2009). CBF was measured using a laser Doppler flowmeter (LDF) and it was observed to increase by  $44 \pm 12\%$  ( $n = 9$ ; standard error reported unless otherwise stated) over the last 10 s of the stimulation period, in part due to increases in the vascular diameter of arteries of about 5%. More importantly,  $P_{O_2}$  was measured in pial arteries, pial veins and tissue locations using oxygen microelectrodes and, upon stimulation, vascular  $P_{O_2}$  was observed to increase in pial arteries and veins at all the locations sampled (Figure 3). The average arterial oxygen tension was observed to increase by  $4 \pm 1$  ( $n = 6$ ),  $5 \pm 1$  ( $n = 6$ ) and  $11 \pm 2$  mmHg ( $n = 9$ ) at the large, medium and small pial artery locations, respectively, during the last 10 s of the stimulation period. Note that the arterial longitudinal gradient decreased on average from 16 mmHg at rest to 10 mmHg during stimulation conditions. The average venous  $P_{O_2}$  was observed to increase by  $7 \pm 2$  ( $n = 9$ ),  $7 \pm 2$  ( $n = 6$ ) and  $5 \pm 2$  mmHg ( $n = 6$ ) at the small, medium and large pial vein locations, respectively. Temporally, the earliest average increase in vascular  $P_{O_2}$  was observed in medium and small pial arteries.

In summary, the longitudinal arterial  $P_{O_2}$  gradient was observed to decrease with increase in function. This is due, at least in part, to the functional increase in CBF. In general, an increase in arterial oxygen tension will also increase the extra-vascular oxygen tension (Figure 1). To investigate the mechanism(s) behind the decrease in the longitudinal arterial  $P_{O_2}$  gradient, our experimental data were used in combination with the model in Eqs 1 and 2 to estimate the required increases in extra-vascular  $P_{O_2}$  to describe the data. For this exercise, the LDF data was assumed to represent CBF, the medium artery  $P_{O_2}$  was used to represent the input  $P_{O_2}$  of the vascular compartment ( $C_a$ ) and the tissue  $P_{O_2}$  was used as an estimate of the extra-vascular  $P_{O_2}$  ( $C_t$ ). The model was then used to compare the predicted output  $P_{O_2}$  ( $C_v$ ) with the measured small artery  $P_{O_2}$  data (Figure 1). The values considered for the parameters of the model are listed in Table 1. Considering only the steady-state changes, it was calculated that a large increase in the extra-vascular  $P_{O_2}$  is necessary to describe the small artery  $P_{O_2}$  data (output) and lower resting extra-vascular  $P_{O_2}$  levels. Recall that the tissue  $P_{O_2}$  level was measured in these experiments at a depth of 300  $\mu\text{m}$  which includes capillary exchange (Figure 4, top panel, and Table 1). The change in the extra-vascular  $P_{O_2}$  was calculated to decrease as the resting extra-vascular  $P_{O_2}$  level increased.

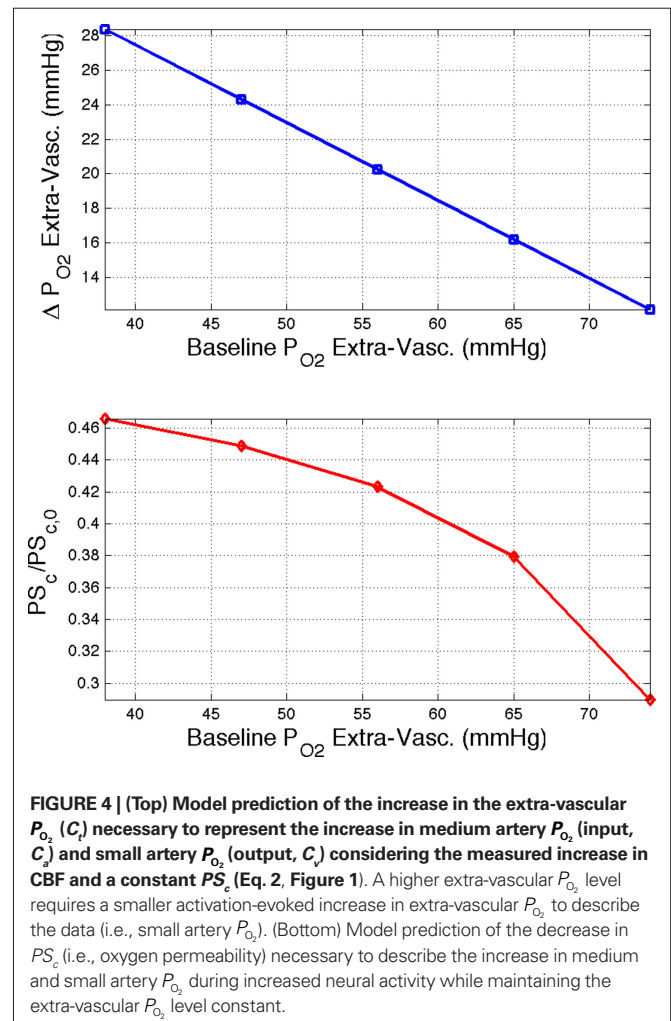
Another possibility is that the extra-vascular  $P_{O_2}$  level does not change and that the increases in CBF (i.e., blood velocity) impact the permeability of oxygen. Under these assumptions, the relative change in PS was calculated to significantly drop with increases in CBF and this decrease grows as the resting extra-vascular  $P_{O_2}$  level increases (Figure 4, bottom panel). Decreases in oxygen permeability with increases in blood flow have been reported (Tsai et al., 2003; Lamkin-Kennard et al., 2004; Chen et al., 2006), though not of this magnitude. To determine which mechanism(s) contribute to significant hyper-oxygenation in arteries, it is necessary to assess the baseline  $P_{O_2}$  at the pial surface as well as the magnitude of superficial tissue  $P_{O_2}$  changes with increased brain activity, if any. The  $P_{O_2}$  of tissue is known to be heterogeneous, including that of the pial surface. This has been attributed to the relative heterogeneous



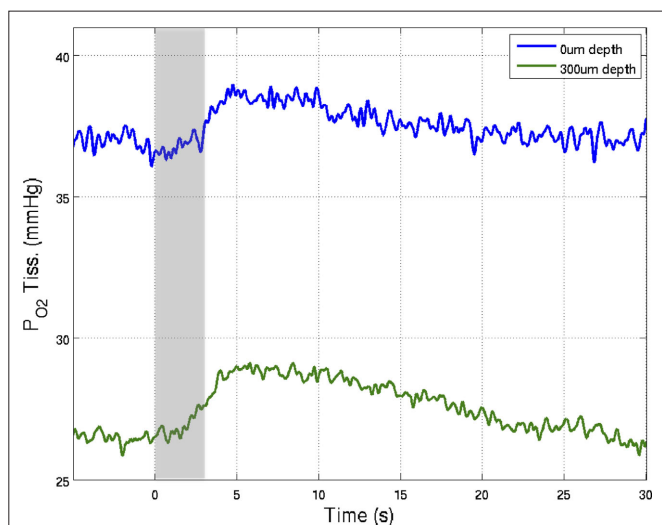
distribution of capillaries, arteries and veins in the brain (Popel, 1989; Tsai et al., 2003). Nonetheless, preliminary tissue  $P_{O_2}$  measurements at the pial surface and deeper in tissue performed in our laboratory have shown that the surface  $P_{O_2}$  level is higher than that at 300  $\mu\text{m}$  in depth, but the functional increase in tissue  $P_{O_2}$  is somewhat smaller at the surface compared to deeper in tissue (Figure 5). Other reports in the literature have also found a higher tissue  $P_{O_2}$  near or at the pial surface (Masamoto et al., 2004; Sharan et al., 2008). Therefore, we conclude that the increase in arterial  $P_{O_2}$  requires a decrease in the arterial permeability of oxygen in addition to the increase in CBF which contribute to the hyper-oxygenation of surrounding tissue.

#### TISSUE OXYGEN DELIVERY DURING INCREASED NEURAL ACTIVITY

The increase in arterial oxygen tension supplies the sub-serving tissues with a relatively large amount of oxygen. Computer simulation work performed by our group determined that, given an



estimate of the tissue  $\text{CMR}_{O_2}$  response, both the upstream arterial  $P_{O_2}$  and the intra-cortical area of exchange (by way of an increase in CBV) need to increase in order to fully describe the measured changes in tissue  $P_{O_2}$  (Vazquez et al., 2008). The data and simulation work in that study found that the delivery of oxygen required a peak increase of 14 mmHg in tissue  $P_{O_2}$  to describe the data. The increase in oxygen delivery can be allocated as contributions from CBF increases alone (6 mmHg for a constant input oxygenation), increases in the input arterial oxygenation (4 mmHg for an increase of 10 mmHg in arterial  $P_{O_2}$ ) and other mechanisms (4 mmHg), such as an increase in exchange area. The data in Figure 3 shows similar increases in arterial oxygenation and the residual increase in oxygen delivery can be attributed to increases in exchange area (CBV). In fact, functional increases in intra-cortical blood volume have been measured using MRI in similar experiments (Kim et al., 2007). Alternatively, another mechanism to increase the delivery of oxygen can be through a shift in the ODC due to pH increases and the binding of  $\text{CO}_2$  to hemoglobin (i.e., the Bohr effect). To examine this mechanism, our previous simulation work was expanded to consider the possibility of shifts in the blood  $P_{50}$  (Eq. 1) as the sole source of the increases in tissue oxygen delivery (i.e., no arterial  $P_{O_2}$  or exchange

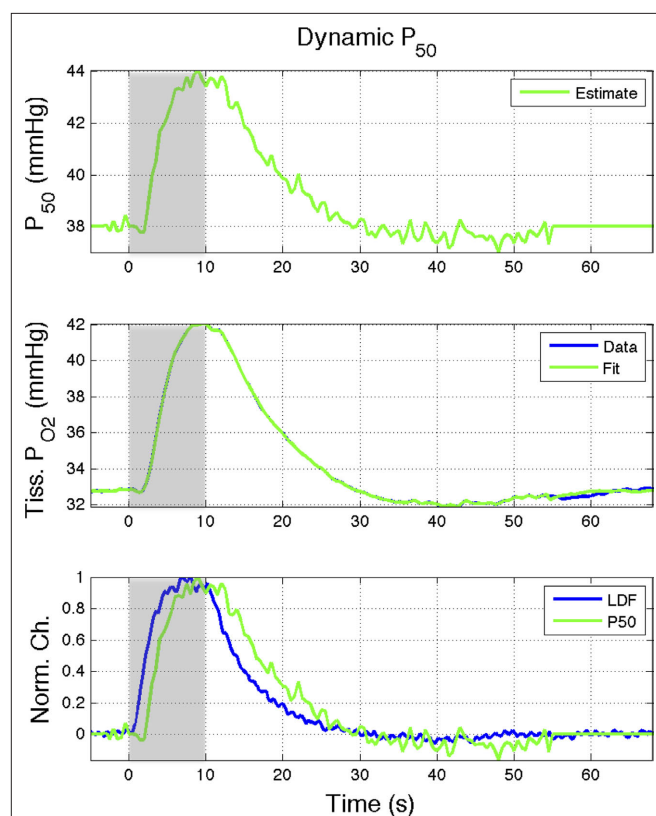


**FIGURE 5 | Preliminary measurements of the increase in tissue  $P_{O_2}$  that result from increases in somato-sensory stimulation at two different depth locations: at the cortical surface (0  $\mu\text{m}$ , blue line) and 300  $\mu\text{m}$  below the surface (green line). In this experiment, the stimulation period was significantly shorter (3 s), hence the changes in  $P_{O_2}$  were not as large as those in Figure 3. Although these functional responses were not obtained simultaneously, CBF changes were recorded at both depths using LDF and the evoked CBF responses were essentially the same. The  $P_{O_2}$  at the surface was about 10 mmHg larger than that at 300  $\mu\text{m}$  depth.**

area increases). The results from this simulation show that an average increase in  $P_{50}$  from 38 to 43.7 mmHg was necessary to fully describe the measured changes in tissue  $P_{O_2}$  (Figure 6). Under resting conditions, arterio-venous differences in blood pH and  $P_{CO_2}$  are about  $-0.05$  and 6 mmHg, respectively (Vovenko, 1999), which would in turn increase the  $P_{50}$  of blood by about 1.5 mmHg (Severinghaus, 1979). Measurements of the changes in cerebral pH and/or  $P_{CO_2}$  with function are necessary to determine if this mechanism contributes to the delivery of oxygen to tissue. Interestingly, this mechanism has also been proposed as that responsible for the slight increases in the venous  $P_{O_2}$  longitudinal gradient (Tsai et al., 2003).

## OXYGEN CONSUMPTION

Oxygen in tissue is consumed in mitochondria as part of oxidative metabolism. Although this metabolic pathway yields much more energy than glycolysis, the role of oxidative metabolism in satisfying the energetic needs of neural tissue during increased function has been extensively debated (Shulman et al., 2001). This is partly due to the lack of adequate methods to directly measure oxidative metabolism. For example, methods such as  $O^{15}$ -PET and  $O^{17}$ -NMR are directly sensitive to  $CMR_{O_2}$  but require a steady-state of exogenous label to reach tissue (Herscovitch et al., 1985; Fiat et al., 1993). Nonetheless, these and similar techniques have been used to show measurable increases in oxidative metabolism with increases in neural activity (Hyder et al., 2001; Rothman et al., 2003). Much of the scientific interest to measure functional changes in cerebral metabolism stem not only from its physiologically relevant role in maintaining function, but also in part due to its sensitivity to



**FIGURE 6 | Effect of the blood  $P_{50}$  (Eq 1) on the delivery of oxygen to tissue. A 15% increase in  $P_{50}$  (top panel) was found to represent the tissue  $P_{O_2}$  data (middle panel; Vazquez et al., 2008). (Bottom panel) The estimated changes in  $P_{50}$  were temporally similar to the changes in CBF measured in that study although lagged the CBF response (measured using LDF) by about 1.5 s at 50% amplitude. Increases of this magnitude are not likely to be physiological; however, this mechanism may contribute to the hyper-oxygenation of tissue during increases in neural activity.**

spiking activity. Thompson et al. (2003) measured the changes in tissue oxygen tension and spiking activity with visual stimulation in the primary visual cortex of cats. They showed that increases in spiking activity to preferred-oriented visual stimuli were accompanied by decreases in tissue oxygen tension due to a dominant metabolic response. On the other hand, this  $CMR_{O_2}$ -driven decrease was not evident during the presentation of non-preferred orientation visual stimuli which did not elicit significant changes in spiking activity.

## CHANGES IN TISSUE $P_{O_2}$ INDUCED BY CHANGES IN $CMR_{O_2}$

The dynamic changes of tissue oxygen tension can be measured using oxygen microelectrodes as done by Thompson and others (Ances et al., 2001; Thompson et al., 2003; Masamoto et al., 2003). However, this method does not directly measure the functional changes in  $CMR_{O_2}$  because the tissue oxygen tension is also manipulated by the concomitant CBF response. Because  $CMR_{O_2}$  and CBF modulate the oxygen tension of tissue in opposite fashion, the dynamics of oxidative metabolism have been difficult to measure even when using oxygen specific methods such as oxygen microelectrodes. One solution to overcome this difficulty is to suppress the evoked CBF



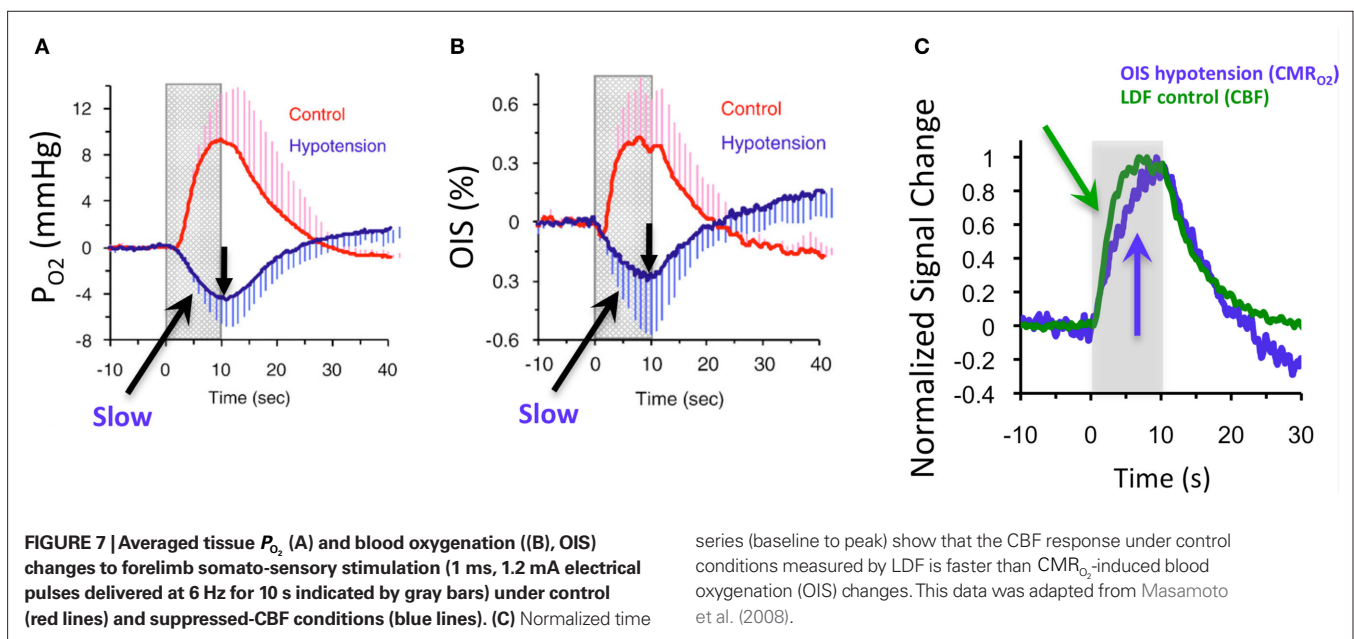
response. For this purpose, our group implemented an experimental condition which relies on the administration of a clinical vasodilator, sodium nitroprusside (sNP) (Fukuda et al., 2006; Nagaoka et al., 2006; Masamoto et al., 2008). This agent dilates the vasculature effectively suppressing evoked increases in CBF (and CBV) due to neural stimulation. However, the delivery of this agent significantly reduces the systemic blood pressure (its intended clinical use). To verify that this agent does not disrupt neural function, measurements of local field potential and spiking activity with evoked stimulation prior to, during and after the administration of the agent were performed. These experiments showed that the evoked spiking and local field potential activity during the agent administration was not significantly different than the activity prior to or after agent administration (Fukuda et al., 2006; Masamoto et al., 2008).

Our group has used this suppressed-CBF condition to investigate the  $CMR_{O_2}$  response properties to evoked neural activity. In these experiments, BOLD fMRI and optical imaging of intrinsic signal (OIS) were used to represent the oxygenation of blood, and oxygen microelectrodes to represent the oxygenation of tissue, in anesthetized rats and cats (Fukuda et al., 2006; Nagaoka et al., 2006; Moon et al., 2007; Masamoto et al., 2008). OIS was implemented using 620 nm transmitted light which is sensitive to the absorption of light by deoxygenated hemoglobin and therefore has similar sensitivity to BOLD fMRI. In one of these reports (Masamoto et al., 2008), both tissue  $P_{O_2}$  and OIS were measured during evoked somato-sensory stimulation for 10 s under control and suppressed-CBF conditions in the isoflurane-anesthetized rat. Somato-sensory stimulation under control conditions evoked an increase in CBF of  $48 \pm 10\%$  ( $n = 5$ ; measured using LDF), while under suppressed-CBF conditions, the magnitude of the CBF response was significantly diminished, showing increases of 3–4% over baseline. More importantly, under suppressed-CBF conditions, the dynamic decreases in tissue  $P_{O_2}$  and in blood oxygenation (measured by OIS) induced by increases in  $CMR_{O_2}$  were considerably slow, taking over 10 s to peak

(blue lines in **Figures 7A,B**). In fact, the  $CMR_{O_2}$ -driven decreases in tissue  $P_{O_2}$  under suppressed-CBF conditions (blue line in **Figure 7C**) appear to be slower than the evoked CBF response measured by LDF under control conditions (green line in **Figure 7C**). In addition, the magnitude of the decreases in tissue  $P_{O_2}$  due to evoked increases in  $CMR_{O_2}$  was found to be very well correlated with the field potential responses of individual stimuli (Masamoto et al., 2008). These data were supplied to the model described by Eqs 1–3 to estimate the dynamic changes in  $CMR_{O_2}$ . The results obtained showed that the calculated  $CMR_{O_2}$  dynamics lagged changes in CBF by 2.2 s (measured as the time-to-50% peak during the response onset) (Vazquez et al., 2008). Collectively, these results suggest that temporal dynamics of the average  $CMR_{O_2}$  response are as slow as those of the hemodynamic response, if not slower.

#### DYNAMICS OF CELLULAR $CMR_{O_2}$ CHANGES MEASURED USING FAI

In general, two methods are known to be sensitive to the cellular oxidative metabolic rate, both of which are light-based and invasive. One relies on the absorption of light by cytochrome-c, a mitochondrial protein responsible for transporting electrons between complexes III and IV in the electron transport chain of the TCA cycle (Chance, 1968). The other relies on the fluorescence of tissue proteins that also participate in cellular metabolism. Much of the intrinsic fluorescence of living tissues, or autofluorescence, stems from the reduced form of the coenzyme nicotinamide adenine dinucleotide (NADH) and the oxidized form of flavin adenine dinucleotide (FAD) (Chance, 1968; Huang et al., 2002). These proteins are directly involved in the TCA cycle as proton carriers for the electron transport chain where NADH and  $FADH_2$  are oxidized to NAD and FAD, respectively. While NADH is fluorescent, NAD<sup>+</sup> is not, and the metabolic rate could be assessed by decreases in the fluorescence of NADH (Huang et al., 2002; Reinert et al., 2004). Different from NADH, its analog  $FADH_2$  is not fluorescent, but its oxidized form (FAD, also called flavoprotein) is fluorescent; hence, increases in

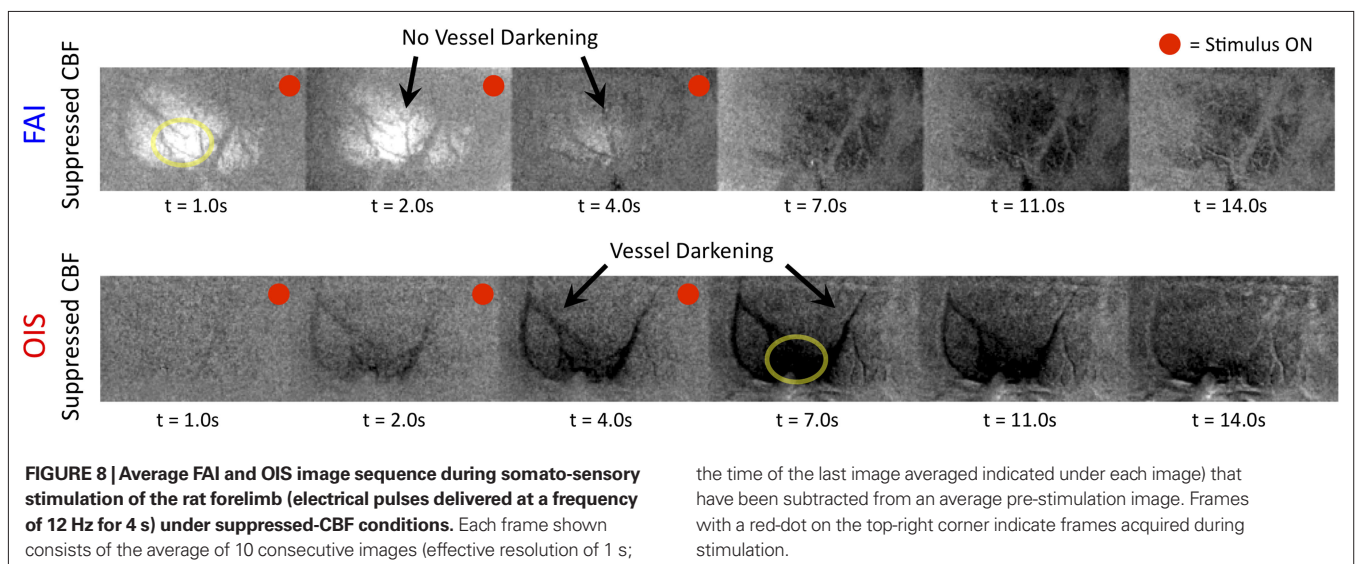


the metabolic rate can be assessed by increases in the fluorescence of FAD (Hassinen and Chance, 1968; Huang et al., 2002; Reinert et al., 2004). More importantly, FAD fluorescence changes *in vivo* are generally larger and easier to detect than that of NADH, although its concentration in tissue is lower (Reinert et al., 2004).

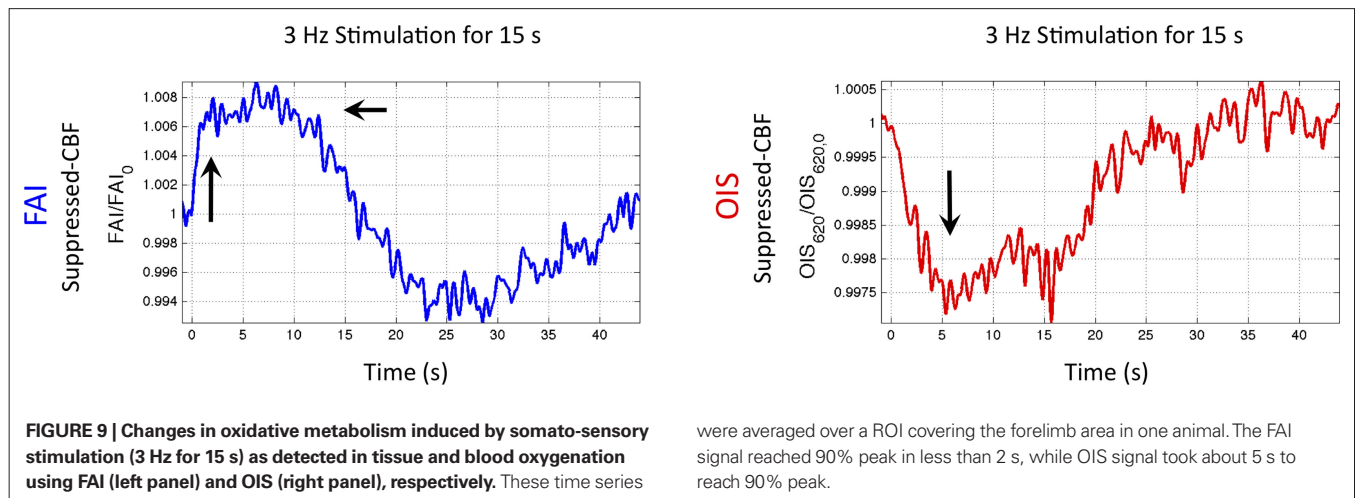
Flavoprotein autofluorescence measurements were pioneered by Dr. Britton Chance and has been extensively used to investigate muscle function and *ex vivo* cellular function in various tissues (Chance et al., 1962, 1979; Garland et al., 1967; Chance, 1968; Shuttleworth et al., 2003; Kasischke et al., 2004; Skala et al., 2007). Recently, FAI was adapted by several groups to image brain functional metabolism *in vivo*. Shibuki et al. (2003) implemented and verified the signal source of this method in rat brain slices and *in vivo*. They stimulated the rat cortex directly with a metal electrode and observed large sub-linear increases in FAD fluorescence as a function of stimulus frequency (as large as 20% for 100 Hz stimuli). They also used a more natural mechanical stimulus of the hind limb (50 Hz vibration) that lasted 1 s and produced increases in FAD fluorescence of about 3% in the somato-sensory cortex. The increase in fluorescence peaked 1 s after stimulation onset and was followed by an equally large decrease in signal that took longer to subside. They hypothesized that the signal decrease was due to the stimulus-evoked CBF (and CBV) response that absorbed the FAD fluorescence emission. To investigate this possibility, they applied a nitric oxide inhibitor ( $N^G$ -nitro-L-arginine) topically onto the cortex to reduce the CBF response and this condition indeed reduced the magnitude of the negative portion of the autofluorescence response. In another study, Reinert et al. (2004) studied FAI in the cerebellar cortex of mice using direct electrical stimulation and also found large increases in FAD fluorescence that were followed by a significant and prolonged decrease in fluorescence. They attributed this bi-phasic response to a time-dependent change in the metabolic cascade (a fast initial oxidation of FAD followed by a larger overall reduction in FAD) and to different metabolic contributions from neurons and glial cells. Lastly, Husson et al. (2007) demonstrated the use of FAI to map the orientation domains in the cat visual cortex. In this study, the spatial specificity of the orientation map obtained by FAI was estimated to be about 300  $\mu\text{m}$  while the specificity of the map obtained by

OIS (using 610 nm wavelength light) was estimated to be about 450  $\mu\text{m}$ , suggesting that metabolic imaging is more specific to the neuronal activation sites than hemodynamic imaging. Collectively, these studies have demonstrated the sensitivity and feasibility of this method to map functional metabolic responses *in vivo*. This method was recently implemented in our laboratory to investigate the temporal dynamics of the  $\text{CMR}_{\text{O}_2}$  response.

Preliminary FAI experiments have been performed in our laboratory during somato-sensory stimulation in isoflurane-anesthetized rats. The goal of these experiments is to determine the temporal evolution of the functional  $\text{CMR}_{\text{O}_2}$  response with increases in neural activity. Hence, these experiments have been carried out under suppressed-CBF conditions to avoid potential artifacts stemming from the stimulation-evoked vascular (CBF and CBV) response. Images were acquired using an epi-fluorescence microscope with filtered excitation light of  $470 \pm 20$  nm and emission band at  $525 \pm 25$  nm at 10 frames per second. Somato-sensory stimulation at a frequency of 12 Hz for 4 s shows that the FAI signal increase (brightening) is localized to the forelimb somato-sensory area and that it is sustained during the stimulation period (Figure 8). More importantly, FAI vascular response artifacts have been mitigated under suppressed-CBF conditions as evidenced by the gray-background intensity of the blood vessels. Therefore, we believe that the data presented, are mostly sensitive to the changes in the cellular oxidative metabolic rate. To further investigate the temporal differences between tissue and blood oxygenation signals driven by changes in  $\text{CMR}_{\text{O}_2}$ , FAI and OIS signals were measured in separate experiments using a longer stimulation period (15 s of forelimb stimulation at a frequency of 3 Hz). The FAI signal showed very fast temporal changes reaching 90% of peak amplitude within 1.5 s of stimulation onset and a rise time constant of 0.5 s for the onset portion of the response (Figure 9, left panel). A sustained plateau period was observed in the FAI response followed by a decrease during and after the stimulation period. By contrast, the changes in blood oxygenation measured by OIS showed slower temporal changes, reaching 90% of the peak decrease in 5 s with a rise time constant of 1.9 s (Figure 9, right panel). This method and the properties of the measured FAI responses are currently under







investigation in our laboratory. To summarize, the temporal evolution of the activation-evoked changes in FAI under suppressed-CBF conditions is significantly faster than that of OIS or tissue  $P_{O_2}$  signals (Figure 7), indicating that the changes in cellular oxidative metabolism are indeed rapid and take significantly longer to be reflected in the average oxygen tension of tissue and blood.

### CONCLUDING REMARKS

Increases in neural activity evoke increases in both CBF and  $CMR_{O_2}$ . These increases have been thought to reflect the metabolic demands of tissue. However, in terms of oxidative metabolism, the delivery of oxygen largely exceeds the consumption of oxygen in tissue. The delivery of oxygen is driven by the increases in CBF and its associated increases in CBV, but additional mechanisms are necessary to describe observations of tissue oxygen delivery. Arterial blood is highly saturated with oxygen and measurements of the vascular oxygen tension have shown that a relatively large amount of oxygen diffuses out of arteries prior to capillaries. This oxygen gradient along the arterial vasculature allows the mechanisms regulating CBF to also regulate the delivery of oxygen to sub-serving areas. In addition, neurally-evoked increases in CBF are also accompanied by increases in the arteriolar oxygen tension. This increase in arterial oxygenation contributes not only to the down-stream delivery of oxygen to tissue, but also to delivery of additional oxygen to extra-vascular spaces surrounding the arterioles. The supply of arterial oxygen to tissue has been hypothesized as necessary to homogenize the distribution of tissue oxygen. Nonetheless, other mechanisms beyond the increase in CBF and upstream oxygenation are necessary to fully describe the delivery of oxygen to tissue during evoked neural activity. While measurements of the tissue oxygen tension with increases in neural activity indicate that the role of CBF is not to maintain a constant average tissue oxygen tension, it is possible that the transient increases in tissue oxygen are necessary to maintain a minimum intra-cellular oxygen tension. Further study is necessary to determine the role of oxygen delivery to tissue with changes in neural activity, particularly in combination with the temporal dynamics of  $CMR_{O_2}$ .

The dynamics of tissue oxygen consumption have been difficult to measure because  $CMR_{O_2}$  and CBF modulate the oxygenation of tissue in opposite fashion. By suppressing the neurally-evoked CBF response, functional decreases in tissue oxygen tension elicited by

increases in  $CMR_{O_2}$  have been consistently observed. Moreover, the temporal evolution of the tissue  $P_{O_2}$  changes are consistently slower than the neurally-evoked changes in CBF elicited by the same stimulus under normal conditions. Preliminary experiments performed using FAI indicate that cellular oxidative metabolism changes at a faster rate than the average changes in tissue and blood oxygenation. This may be due to the small volume occupied by mitochondria, but formal experiments are necessary to determine the relationship between cellular oxidative metabolism and blood oxygenation. Nonetheless, this method shows great promise at elucidating the functional properties and dynamics of  $CMR_{O_2}$ .

The findings described in this work have considerable implications for the quantification of the changes in  $CMR_{O_2}$  (and blood oxygen saturation) from hemoglobin-based imaging techniques like BOLD fMRI and deoxygenated hemoglobin-weighted OIS. The models used to calculate  $CMR_{O_2}$  from blood oxygenation data typically assume that arterial blood is fully saturated with oxygen and that the delivery and consumption of oxygen is rapid such that changes in venous blood oxygen saturation reflect the changes in tissue oxygen consumption. In this review, experimental support is presented for arterial oxygen saturation levels that are high but not 100%, particularly at the level of intra-cortical arteries. It is our opinion that for typical fMRI studies, where voxel sizes span millimeters, an arterial oxygen saturation level near 100% is probably a reasonable assumption. However, for intra-cortical voxels in higher resolution studies (e.g., voxel sizes of hundreds of micrometers), the arterial oxygen saturation level has dropped significantly and the assumption of fully saturated arterial blood may introduce significant errors to the  $CMR_{O_2}$  calculation. This can be potentially corrected if the input oxygen saturation is known. Notwithstanding, lower-resolution calibrated fMRI studies or ROI-wide analyses of calibrated fMRI data are not likely to be significantly impacted by this source of error. Another potential issue is that of blood volume changes. Traditionally, arterial blood was thought to be fully saturated with oxygen and does not contribute a BOLD signal change regardless of arterial blood volume changes, such that only changes in venous blood volume and saturation contribute to the BOLD signal (Buxton et al., 2004; Davis et al., 1998; Kim et al., 1999). There is a growing consensus that the changes in arterial volume are significantly larger than those of venous volume (which, like capillary blood volume, appear to be

small) (Lee et al., 2001; Kim et al., 2007; Vazquez et al., 2010). As a result, changes in arterial volume (and saturation) likely contribute a BOLD signal change. The impact and/or necessity of arterial BOLD signal contributions to traditional calibrated fMRI models needs to be investigated. Lastly, the temporal scale of the changes in cellular oxidative metabolism (as indicated by FAI) and blood oxygenation (as indicated by OIS and  $P_{O_2}$ ) is significantly different, even though the temporal changes between tissue  $P_{O_2}$  and blood oxygenation are closer. These findings suggest that calculated  $CMR_{O_2}$  values using traditional calibrated fMRI models over transition regions are largely underestimated with respect to cellular oxidative metabolism, but to a lesser extent with respect to the average oxygenation of tissue. At least several models have been published that incorporate an arterial compartment and non-steady  $CMR_{O_2}$  dynamics (Zheng et al., 2005; Huppert et al., 2007; Uludag et al., 2009). The error introduced by these assumptions needs to be evaluated to determine appropriate models that can accurately quantify the changes in tissue  $CMR_{O_2}$ .

## MATERIALS AND METHODS

The experimental methods for the vascular  $P_{O_2}$  measurements are reported in Vazquez et al. (2010), and those of the tissue  $P_{O_2}$  measurements recorded under control and suppressed-CBF conditions are reported in Masamoto et al. (2008) and Vazquez et al. (2008). Only new, previously unreported studies are described in this section. Five male Sprague-Dawley rats were used in this work ( $n = 2$  for the tissue  $P_{O_2}$  depth experiments and  $n = 3$  for the FAI experiments) following an experimental protocol approved by the University of Pittsburgh Institutional Animal Care and Use Committee. The experimental procedure used was similar to our previous studies (Masamoto et al., 2008; Vazquez et al., 2010). Briefly, the animals were initially anesthetized using isoflurane (5% for induction, 2% for surgery), nitrous oxide (50–65%) and oxygen (35–50%) for intubation and placement of catheters in the femoral artery and femoral vein. The respiration rate and volume were controlled using a ventilator. After intubation, the animals were placed in a stereotaxic frame and the skull was exposed over the somato-sensory area. A well was made using dental acrylic surrounding an area  $5\text{ mm} \times 7\text{ mm}$  on the left side of the skull, centered 3.5 mm lateral and 1.5 mm rostral from Bregma. The skull in this area was then removed using a dental drill. The dura matter was resected and the CSF fluid was released around the fourth ventricle area to minimize herniation. The well and the CSF release areas were then filled with 1.0% agarose gel at body temperature<sup>1</sup>. Two needle electrodes were placed in the right forepaw between digits 2 and 4 for electrical stimulation. The anesthesia and breathing mixture were then changed to isoflurane (1.2–1.4%), oxygen (~10%) and air (~90%) for experimental recording. Rectal temperature was maintained at 37°C throughout with a DC temperature control module. The arterial blood pressure, respiration rate, heart rate, rectal temperature, end-tidal  $CO_2$  tension and isoflurane level were monitored and recorded using a polygraph data acquisition software.

<sup>1</sup>This preparation opens the possibility of oxygen in room air being supplied through the craniotomy. In our experiments, we observed while placing the  $PO_2$  probes that the  $PO_2$  gradient would settle close to the pial surface  $PO_2$  level a few hundred micrometers over the cortical surface. In addition, in placing the  $PO_2$  probes over the superior surface of arteries, the  $PO_2$  reading would increase prior to the probe reaching an artery. These observations indicated that a significant amount of oxygen is not likely supplied through the craniotomy.

## TISSUE $P_{O_2}$ DEPTH EXPERIMENTS

An optical imaging experiment ( $620 \pm 5\text{ nm}$  transmitted light) was initially performed to map the somato-sensory area for  $P_{O_2}$  micro-electrode placement (Vazquez et al., 2010). Tissue  $P_{O_2}$  measurements were performed using an oxygen microelectrode (APOX, Unisense, Aarhus, Denmark) with tip diameter of  $30\ \mu\text{m}$ . The oxygen microelectrode was penetrated as close as possible to the activation focus location to a depth of  $300\ \mu\text{m}$  perpendicular to the cortical surface using a micromanipulator. A LDF probe (Perimed, Stockholm, Sweden) was placed over the cortical surface covering the tissue  $P_{O_2}$  probe location. The changes in tissue  $P_{O_2}$  and LDF were recorded during somato-sensory stimulation (1 ms pulses at 1.5 mA for 3 s at a frequency of 6 Hz repeated every 35 s, 10 times) at this depth ( $300\ \mu\text{m}$ ) and also at the cortical surface ( $0\ \mu\text{m}$ ). The oxygen microelectrode was calibrated before and after each experiment with 0%, 21%, and 100% oxygen in saline solution at 37°C. In addition, the stability of the current reading was checked before and after each experiment to ensure reliable measurements.

## FAI AND OIS EXPERIMENTS

Flavoprotein autofluorescence images were acquired over a  $5.5 \times 4.1\text{ mm}^2$  area using an epi-fluorescence microscope (MVX-10, Olympus, Tokyo, Japan) and a digital cooled-CCD camera (CoolSnap HQ2, Photometrics, Princeton, NJ, USA) during somato-sensory stimulation of the rat forelimb. A mercury lamp light source coupled to a low-noise power supply (Opti-quip, Inc.) was used. The transmitted light was filtered between 450 and 490 nm while the camera recorded the fluorescence emission between 500 and 550 nm at 10 frames per second. Images were acquired under suppressed-CBF conditions, which required the intra-venous infusion of sNP (2 mg/kg in saline) (Masamoto et al., 2008). The infusion rate of the sNP was adjusted to maintain a mean arterial blood pressure between 40 and 45 mmHg over the course of the stimulation experiment. Two different forelimb stimulation experiments were performed: (1) 1 ms, 1.5 mA pulses at a frequency of 12 Hz for 4 s every 16 s repeated 10 times; (2) 1 ms, 1.5 mA pulses every 3 Hz for 15 s every 45 s repeated eight times. The infusion of the agent was terminated after approximately 30 min. FAI experiments were followed by optical imaging OIS experiments. Oblique light guides transmitting filtered red light (620 nm) were used for illumination and a matching barrier filter was placed prior to the camera. Prior to averaging, a ROI was outlined over the skull or dental acrylic to regress out unwanted fluctuations stemming from fluctuations in the light source from all the acquired images. The data from all the trials in an experiment were then averaged and a ROI time series were generated. The activation area ROI was obtained by thresholding a difference image obtained by averaging the images 2 s prior to stimulation onset and the two images obtained 2 s immediately after stimulation onset.

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