



A Non-inflammatory Role for Microglia in Autism Spectrum Disorders

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Autism spectrum disorder (ASD) is a neurodevelopmental disorder characterized by deficits in social interaction, difficulties with language, and repetitive/restricted behaviors. The etiology of ASD is still largely unclear, but immune dysfunction and abnormalities in synaptogenesis have repeatedly been implicated as contributing to the disease phenotype. However, an understanding of how and if these two processes are related has not firmly been established. As non-inflammatory roles of microglia become increasingly recognized as critical to normal neurodevelopment, it is important to consider how dysfunction in these processes might explain the seemingly disparate findings of immune dysfunction and aberrant synaptogenesis seen in ASD. In this review, we highlight research demonstrating the importance of microglia to the development of normal neural networks, review recent studies demonstrating abnormal microglia in autism, and discuss how the relationship between these processes may contribute to the development of autism and other neurodevelopmental disorders at the cellular level.

Keywords: autism spectrum disorder, neurodevelopment, microglia, glia, neurodevelopmental disorders

INTRODUCTION

Autism spectrum disorder (ASD) is a clinical neurodevelopmental syndrome characterized by abnormalities in social interaction and language, and restrictive/repetitive patterns of interest (1). Despite the large and seemingly increasing prevalence of ASD (2), the biological mechanisms underlying the broad ASD phenotype remain unclear. However, strong evidence has recently implicated both immune pathways and independently, abnormalities in neural synaptogenesis, as potentially underlying the clinical ASD phenotype. However, it is unclear how these two seemingly distinct processes are related to the risk for the development of autism. A large body of research has suggested a link between inflammation in the CNS and resultant destruction of neural networks as one potential link between these two processes (3). However, microglia - the resident immune cells of the CNS - are increasingly implicated in normal neurogenesis and the formation of neural networks in the unaffected developing brain (4-6). Furthermore, microglia have also been repeatedly shown to be abnormal in postmortem autistic brain tissue and cellular/animal models of ASD (7-10). Therefore, it is equally likely that inherent abnormalities in microglia that are required to properly shape developing neural networks may link these two seemingly disparate processes separate from microglia's traditional role as immune cells (Figure 1). In this review, we highlight research demonstrating the importance of microglia to the development of normal neural networks, review recent studies demonstrating abnormal immune signaling and microglia in ASD, and discuss how the relationship

OPEN ACCESS

Edited by: Ihssane Zouikr

RIKEN Brain Science Institute, Japan

Reviewed by:

Andrew MacLean, Tulane University School of Medicine, USA Anna Fogdell-Hahn, Karolinska Institutet, Sweden

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Specialty section:

This article was submitted to Multiple Sclerosis and Neuroimmunology, a section of the journal Frontiers in Neurology

> Received: 17 November 2015 Accepted: 19 January 2016 Published: 01 February 2016

Citation:

Edmonson CA, Ziats MN and Rennert OM (2016) A Noninflammatory Role for Microglia in Autism Spectrum Disorders. Front. Neurol. 7:9. doi: 10.3389/fneur.2016.00009

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between these two emerging areas of neurodevelopment research may at least partially contribute to the development of ASDs at the cellular level.

DISCUSSION

Microglia in Normal Neurodevelopment

The microglial cells of the CNS derive from myeloid progenitor cells in the yolk sac in early gestation, and travel to the brain to establish residency by early embryonic development (11). Traditionally, cellular neuroscience research has considered glial cells as predominately supportive to neurons during normal neurogenesis. In particular, microglia were traditionally viewed very narrowly as only becoming activated in response to pathological insults, similar to their peripheral tissue counterparts, and thus contributing little to normal neurodevelopmental processes (12–14). However, *in vivo* imaging techniques have repeatedly demonstrated that microglia are highly active during normal brain development and that they interact directly with neurons during critical period of neurogenesis (4, 5). Moreover, activity-dependent synapse and dendritic spine remodeling have been shown to be modulated in part by microglia in the mouse motor cortex and visual cortex (6). These and similar findings have helped to shift the view of normal cellular neurodevelopment from a primarily neuroncentric process to one that acknowledges the interactions between neurons and glia in properly wiring the brain's circuitry under normal physiological conditions.

Recent studies support the idea that microglial cells play a central role in early neurodevelopment; however, the specific mechanisms of how microglia contribute and what effect abnormalities in their contribution may have is only beginning to be understood. Some have suggested that microglia may be responsible for releasing trophic factors essential for early neuronal growth. This idea is supported by studies demonstrating that cultures of neuronal progenitor cells lacking microglia have decreased proliferation as compared to cultures containing microglia, and notably, this phenotype was rescued when microglia were read-ded to the culture medium (15). Additionally, it has been shown that inactivating microglia in the developing mouse cortex on postnatal day 5 resulted in increased neuronal apoptosis in layer 5 of the cerebral cortex (16). These studies imply that absence of trophic or other factors released by microglia have the potential to significantly alter the number of neurons within a specific brain region and therefore change the normal developmental trajectory of that region.

In addition to providing trophic support to developing neurons, it has also been shown that microglial cells play a central role in the normal postnatal apoptosis and phagocytosis of neurons and their connections, which are naturally over-produced and then "pruned" away based on experience-dependent usage (17). Specifically, numerous studies over the last decade have shown that microglia are essential in both initiating cell death and phagocytizing dying neurons in the developing retina, spinal cord, cerebellum, hippocampus, and cerebral cortex (12, 18). It is not completely understood how exactly microglia carry out this process of cell death; however, it is thought to involve the release of nerve growth factors, the production of superoxide ions, and/or the increased expression of microglial surface proteins CD11b and DAP12, which initiate signal transduction leading to apoptosis (19). Complement activation has also been implicated in the mechanism of synaptic pruning in microglia (20-22). This idea is supported by data showing that disruption of CR3/C3 signaling leads to increases in synapse numbers and connectivity (23). Interestingly, we previously found differential expression of DAP12 in the prefrontal cortex (PFC) of postmortem brain tissue from children with ASD as compared to controls (24). Additionally, it is known that mutations in DAP12 lead to Nasu-Hakola disease (OMIM# 221770), a rare autosomal recessive disorder characterized by bone abnormalities and adult-onset neuropsychiatric features, such as social disinhibition, distractibility, and lack of appropriate emotionality (25).

Apart from directly impacting the number of neurons in the developing brain, microglial cells have been shown to be involved in more nuanced aspects of synaptogenesis, such as in controlling the number of synapses, and in regulating synapse maturation and function (26-28). Synapse pruning is thought to be regulated by both spontaneous- and experience-driven processes, and recent studies have shown that microglia may be involved in both of these mechanisms. It has been shown that microglial cells contact dendritic spines, presynaptic terminals, and synaptic clefts during a critical period in the development of the mouse visual cortex, and additionally that the size of dendritic spines change after contact with microglia (29). Perhaps the most substantial mechanistic linkage of microglia to normal neurodevelopment and behavior was a study that assessed for both cellular and behavioral outcomes of mice lacking the Fractalkine receptor CX3CR1 that is expressed exclusively on microglia in the CNS (26). This study showed that CX3CR1 knockout mice had delayed synaptic pruning, resulting in excessive and

electrophysiologically immature synapses. Moreover, related studies have even suggested that the behavioral phenotype of these mice is altered. For instance, it was demonstrated that mice lacking CX3CR1 had decreased functional brain connectivity, deficits in synaptic pruning, and behavioral changes associated with the autism phenotype, such as deficits in social interaction and increased repetitive behaviors (30).

Microglia in ASD

Although microglia's role in normal neurodevelopment is beginning to be well-recognized, parallel research into the role of microglia in autism and other neurodevelopmental disorders apart from their classic inflammatory function is only in its infancy. However, there is a large body of literature assessing aberrant immune function in peripheral tissue of children with ASD. For instance, numerous studies have suggested the innate immune response is globally abnormal in autistic patients (31-34). Specifically, peripheral blood monocytes have been shown to differ significantly in autistic patients. For instance, they secrete a cytokine pattern upon stimulation that is altered and more responsive to certain TLR ligands (35). Autistic patients also have higher plasma levels of factors involved with normal macrophage/ monocyte activation, such as macrophage inhibitory factor and neopterin, and some studies have shown increased absolute monocytes on standard complete blood count in children with ASD as compared to controls (36, 37). Additionally, chemokines were shown to be increased in the plasma of children with ASD compared to age-matched typically developing controls and children with developmental disabilities other than ASD, and this increased chemokine production was associated with higher aberrant behavior scores and more impaired developmental and adaptive function (33). However, only more recently have rigorous assessment of microglia in postmortem brain tissue from patients with ASD been undertaken.

In 2005, Vargas and colleagues demonstrated that postmortem brain tissue from patients with autism exhibits an increased microglial density in gray matter and an activated microglial morphology; additionally, altered cytokine profiles were found in both ASD postmortem brain tissue and cerebrospinal fluid (7). Since this study, multiple other groups have reported increased microglial cell density in postmortem autism brains (8, 10), and we also reported increased numbers of microglial cell-specific surface markers in postmortem autism PFC as compared to control brains (24). Notably, the cellular volume of the activated microglia is two to four times the volume of quiescent microglial cells, and thus the increase in cell density may be partly related to increases in the number of activated microglia not overall microglial cell number alone (8). Additionally, it has been proposed that an increase in activated microglia may explain the increase in head circumference and brain volume observed in young children with autism (38), although this remains controversial as does the finding of increased head circumference in ASD in general (39).

In addition to cell-level studies of microglia in autistic postmortem brain tissue, a number of whole-genome expression studies have investigated RNA expression patterns in ASD postmortem brain tissue as compared to neurotypical controls (40–42). A recurrent finding in these studies is alterations in functional gene ontology pathways related to the immune response. For instance, dysregulated levels of immune system-related genes have been demonstrated in multiple independent studies that have assessed many different brain regions (41-46). While these studies show that genes related to "immune" functions are aberrantly expressed in postmortem brain tissue from patients with ASD, they do not clarify whether underlying genetic variants cause this expression profile or if this pattern represents an epigenetic response to either endogenous or exogenous brain insults. Future work assessing for enrichment of genetic variants in genes exclusively expressed in microglia among patients with ASD would help answer this question and could be undertaken readily. Studies of epigenetic changes in individual cell types from postmortem autistic brain tissue may yield additional critical insight into the relative contribution of environmental factors on this repeatedly demonstrated gene expression profile.

While postmortem brain studies have begun identifying abnormal microglia in ASD, by their nature, they are inherently limited in attempting to ascertain if abnormal microglia are a reaction to, or the cause of, abnormal neurodevelopment. However, recent studies in rodent models of autism have begun to explore this question in more mechanistic detail. For instance, it was found that microglia from MeCP2 null mice, a model of Rett syndrome, produced a conditioned media that damaged synaptic connectivity via a glutamate-excitotoxicity mechanism (9). Importantly, much of the phenotype associated with this disorder was reversed after transplanting wild-type microglia with a functional MeCP2 gene, suggesting microglia play a key role in the pathogenesis of this disease. Moreover, it was recently demonstrated that the Rett phenotype may be partly related to MeCP2 direct modulation of microglial inflammatory gene transcription (47). Similarly, a large body of work has demonstrated that maternal inflammation during gestation may result in phenotypes similar to ASD in both primates and rodents (48-50). This important work begins to bridge the gap in understanding between inherited risk for ASD and environmental exposures; yet, a mechanistic understanding of this work that relates to known postmortem findings in humans with ASD is not yet clear nor does it fully encompass the known genetic risk for ASD. Our hypothesis presented here would be further supported by these studies, in that the inherited risk for ASD could result in "primed" abnormal microglial cells that in individuals exposed to maternal inflammation or other factors results in an exaggerated/abnormal microglial response that perturbs normal neural network development (48).

Much work remains to definitively link abnormal microglia with the broad autistic phenotype; however, the evidence presented provides strong support to the notion that microglial cells may be able to reconcile the two most consistent gene expression and cellular findings in autism – changes in synaptogenesis and

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immune pathways. This hypothesis is particularly exciting as inherent "hypomorphic" abnormalities in microglia at the genetic or epigenetic level and their resultant impaired functioning in neurodevelopment may help to explain the substantial contribution to autism risk that is thought to arise from interactions among intrinsic and environmental factors (51).

CONCLUSION

In summary, mounting evidence suggests that normal neurodevelopment represents a complex interplay between microglial cells and synaptic wiring. Furthermore, non-inflammatory roles for microglia in normal neurodevelopment are becoming increasingly identified. In parallel, abnormal immune signaling and microglial function are consistently demonstrated in postmortem tissue from autistic individuals as well as in mouse models of ASD. Previous research into altered immune function in autism has focused on the notion that microglia may cause destruction of already developed neural networks, perhaps in response to external insults, through their traditional inflammatory role. However, as their critical non-inflammatory role in normal neurodevelopmental becomes increasingly recognized, it is equally likely that this is what may be perturbed in ASD, yet was traditionally considered to be "immune dysregulation." Future research should attempt to integrate the genetic and epigenetic etiology, neuronal synaptic disconnectivity, and abnormal microglia/immune findings in autism in an attempt to determine the precise role non-immune functions of microglia may play in the pathogenesis of ASD and other neurodevelopmental disorders.

AUTHOR CONTRIBUTIONS

CAE and MNZ contributed equally to the conception, writing, and editing of the manuscript. OMR was involved in the conception and editing of the manuscript.

ACKNOWLEDGMENTS

We would like to thank Melissa Edmonson and Andres Balcazar Naranjo for help with figure preparation.

FUNDING

This work was supported by the Intramural Research Program (IRP) of the National Institute of Child Health and Human Development, NIH. MZ was also supported by the NIH-Cambridge Biomedical Scholars Program, and the Baylor College of Medicine Medical Scientist Training Program.

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