



# Challenge and Prospect of Traditional Chinese Medicine in Depression Treatment

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Current medication for depression is inadequate and far from ideal. Development of novel antidepressant drugs is a pressing task. The discovery of ketamine and related agents represents a new era in drug discovery for the rapid treatment of depression. Due to potential neurotoxicity, short-lasting efficacy, the limitation of a single target approach, and a limited role in depression prevention of these agents, additional approaches or drugs that exert synergy and compatibility, with the rapid-acting agents are required for better treatment of depression. Traditional Chinese Medicine (TCM) is a systems medicine and its clinical experience and integrated theory for diagnosis and treatment provides an alternative method of novel drug discovery in depression treatment. In TCM, there are numerous claimed effective antidepressant formulas, but comprehensive research and evidence-based clinical studies are required for their acceptance as a treatment. In this essay, we review current attempts in the discovery of new agents, TCM drug formulation, and TCM treatment of depression, and discuss the challenges and opportunities of TCM in the new era of antidepressant discovery. TCM could provide an important resource in the discovery of novel agents, assistance of the rapid-acting antidepressants, development of new agents for female patients, and the prevention of depression at its early stages. The study of depression in conjunction with TCM therefore not only provides an opportunity to scientifically evaluate the benefits and risks of TCM, but also accelerates the development of novel antidepressant agents by combining the principle of modern molecular medicine with the ideas of empirical systems medicine.

#### Keywords: depression, depression treatment, TCM, antidepressant, systems medicine, TCM formula

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### INTRODUCTION

Depression is a chronic, recurrent, potentially life-threatening mental illness that affects approximately 15–20% of the global population (Manji et al., 2001; Charney, 2004) and it is thought to be caused by a complex interplay of genetic vulnerabilities and unfavorable environmental events. However, the specific genes or non-genetic events are poorly defined (Nestler et al., 2000). Many genes or physical and emotional factors might be involved in the etiology of

depression. Most experts therefore agree that depression should be viewed as a multigenetic and multifactorial syndrome.

# DEVELOPMENT OF NEW ANTIDEPRESSANTS

Current monoaminergic systems-based medications for the treatment of depression are far from ideal. Over the past three decades, although immense efforts have been focused on the development of novel treatments toward new potential targets beyond the monoamine hypothesis, none of these attempts have succeeded in the development of any fundamentally novel medications (Berton and Nestler, 2006).

The recent finding that ketamine, a NMDA receptor antagonist, produces rapid improvement in depression has shifted efforts toward novel agents that target the glutamatergic system (Berman et al., 2000; Zarate et al., 2006). The mechanism of ketamine action has been identified as the activation of AMPA receptors, which subsequently releases BDNF and activates mTOR signaling (Maeng et al., 2008; Zhou et al., 2014; Lepack et al., 2015). Studies on ketamine has led to the investigation of several rapid-acting agents that target the NMDA receptor, including the selective GluN2B antagonists, traxoprodil (Preskorn et al., 2008) and Ro-25-6891 (Li et al., 2011), and a NMDA receptor positive allosteric modulator, rapastinel (Burgdorf et al., 2013). Despite their drug abuse and neurotoxicity potential, these agents have shown promise as rapid-acting antidepressants and clinical studies are currently underway (Gerhard and Duman, 2018).

There are many reasons why searching for new antidepressants is difficult. First, most potential target proteins are broadly expressed throughout the brain and peripheral tissues and exert diverse physiological effects in different brain regions. It increases the concern of the neurotoxicity of any agent directed against these targets. Second, depression is a multifactorial and multigenetic syndrome, in which symptoms can vary from patient to patient. Depression is not a unified syndrome. It is impossible to find a specific gene responsible for induction or termination of depression in all patients. Third, single target approaches have been successful when applied to well-validated targets in the treatment of monogenic diseases, but is less effective for multifactorial and multigenic diseases, in which various entities with different underlying pathological mechanisms exist (Butcher, 2005). Therefore, improved strategies for effective treatment of depressive syndrome should simultaneously target dysregulation of several genes involved in the pathophysiology of depression. Moreover, considering their psychotomimetic, dissociative, and abuse potentials, the rapidacting agents might be beneficial only for patients with severe forms of depression. For most patients with mild and moderate forms of depression, however, the best healing approach should be to identify the principal cause that is unique to each individual patient and then to apply an appropriate medical treatment or psychotherapy to correct the homeostatic imbalance. A systems biology-orientated healing approach to this problem may exist in Traditional Chinese Medicine (TCM).

## **TCM AND DEPRESSION**

Traditional Chinese Medicine is an experience-based medicine that has been developed in China over thousands of years, and emphasizes the integrity of the human body and the physical or emotional effects of the external environment on internal stability. Dynamic homeostasis is its basic principle. In TCM, all illnesses result from a homeostatic imbalance, which can occur because of a variety of social or environmental factors. Treatments therefore aim to restore internal balance. Based on its "systems" point of view, a typical treatment approach in TCM views the body as a whole entity and cures an illness not only by alleviating its symptoms, but also restoring internal balance.

More importantly, in TCM, a combination of multiple drugs is often used to ensure the effective action on various targets (Figure 1). According to TCM, depression is caused by an imbalance within organ systems that eventually results in dysregulation of the brain function, and it is viewed as a multifactorial illness with a variety of pathological mechanisms in different patients. Depression may be caused by stagnation of "Qi" (vital energy), dysregulation of blood circulation, "Re" (inflammation), dampness and phlegm in the body. A TCM practitioner typically identifies the principal cause of depression that is unique to each patient and adopts an acupuncture or medical treatment. Release of stagnated vital energy is the general therapeutic principle for depression (Feng et al., 2016). It may be supplemented by activating blood circulation, suppressing inflammation, or by eliminating phlegm and dampness.

The systems, multi-drug, multi-target approach of TCM fits perfectly within the multifactorial pathophysiology of depression. There are numerous TCM empirical antidepressant formulas, which are widely used to treat patients with depression in East Asia today. Clinical research has demonstrated that these antidepressant formulas are effective (Yeung et al., 2015). On the other hand, although great efforts have been made to scientifically elucidate these formulas, our understanding of their mechanism of action, bioactive constituents, and pharmacology of synergy and compatibility of formulation, remains at a rudimentary level. When facing this challenge in the new era of antidepressant discovery, we wonder what can be learnt from ancient systems medicine in antidepressant discovery and how can empirical TCM be integrated into mainstream research, in the neuropharmacology of depression treatment? Here, we discuss future research directions and the possible role of TCM in the treatment of depression.

# PROSPECT OF TCM IN DEPRESSION TREATMENT

Clinical experience and systems biology-orientated TCM provides an excellent paradigm to aid the development of novel medications for the effective pharmacotherapy of depression. In turn, the study of depression within TCM also provides an opportunity to scientifically interpret the benefits and risks of TCM antidepressant formulas.

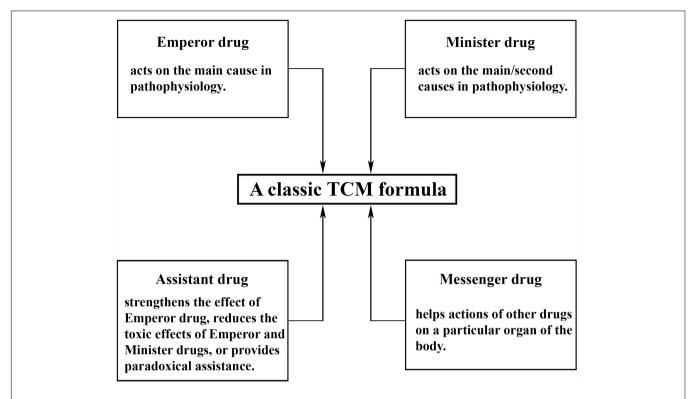


FIGURE 1 | Composition of a typical Traditional Chinese Medicine (TCM) formula. In general, there are four classes of drugs in each formula: emperor drugs, minister drugs, messenger drugs, and assistant drugs. One TCM formula contains at least one emperor drug supplemented by minister, assistant, and messenger drugs at varying numbers from zero to multiple, in order to ensure effective actions on various targets simultaneously. The empirical composite formulas have been proven to have greater efficacy and safety than single drugs in clinical practices, possibly due to their synergistic interaction and mutual detoxification (Ung et al., 2007). The composition and dosage depend on the signs or symptoms of individual patients and can be modified to fit specific individuals more accurately, in accordance with the idea of individual therapy in modern medicine. The major risk of TCM is thought to be the use if a combination of several herbs with some uncertain factors, such as toxicity, consistency of bioactive ingredients, drug-drug interaction, and so on. All of these present major challenges the development of TCM but can be managed by proper processing to minimize toxic effects, standardization of formulas by quality control to ensure a consistent level of bioactive ingredients and reproducible pharmacological actions, proper dosing and avoiding usage at the same time as other drugs, respectively.

The discovery of ketamine and related agents, represent a new era in drug discovery for the rapid treatment of depression. This does not mean that TCM cannot play a role in the development of novel antidepressants or approaches. Conversely, we expect that the study of TCM will attract attention and participation from outstanding scientists in the near future, for several reasons. First, side effects and the potential for drug abuse are critical problems these glutamate transmission-targeted rapid-acting agents face, although, it has been claimed that some agents have fewer psychotomimetic side effects than ketamine (Zarate et al., 2006; Preskorn et al., 2008). These side effects limit their chronic use. New agents that can be used on a daily sustained basis are required. Second, the rapid-acting agents are directed toward glutamate transmission and treatment with these agents increases spine synapse formation. However, new agent-induced synapses are lost after 1 week (Zarate et al., 2006; Abdallah et al., 2015). This reveals that glutamate transmission is a critical factor but not the principal cause in the pathophysiology of depression. Although these agents can rapidly but temporarily alleviate depressive symptoms, new agents that can sustain synaptic as well as the therapeutic actions of the rapid-acting agents are needed. Third, it is common that patients with depression also display

other symptoms, such as chronic fatigue, chronic pain, heartburn, lupus, chronic constipation, and so on. Additional medications as adjuvants directed toward those symptoms are needed. Lastly, like monoamine-based antidepressants, rapid-acting agents have a limited value in preventing depression at its early stages. New approaches or agents that can correct internal imbalances in order to prevent early stage symptoms of depression are needed.

What can TCM do in the new era of new antidepressants discovery? Here, we list several aspects, not limited to, in which TCM can exert its excellence in the development of new antidepressants or approaches.

### **Developing New Antidepressant Agents**

Identification of bioactive ingredients will significantly improve our understanding of the mechanisms of action as well as benefits/risks of a TCM antidepressant formula at the molecular level. This effort will also be critical in developing a TCM antidepressant formula as a novel antidepressant agent. It can be exemplified with the study on ibogaine, a hallucinogenic alkaloid found in *Tabernanthe iboga*, and Yuanzhi-1, a triterpenoid saponin separated from *Polygala tenuifolia*. Both herbs are empirically used for the treatment of depression. Ibogaine has

been shown to inhibit both serotonin and dopamine transporters but is unique among the transporter ligands in which it inhibits non-competitively and apparently binds to the extracellular surface of a cytoplasmic-facing transporter conformation (Jacobs et al., 2007; Bulling et al., 2012). On the other hand, Yuanzhi-1 was reported to inhibit all three monoamine transporters with a high potency in a low nanomolar range (Jin et al., 2014, 2015). The mechanism underlying antidepressant-like effects was thought to influence on monoaminergic systems, but lack of selectivity for serotonin or serotonin/norepinephrine reuptake increases concerns around their addictive side effects, due to rewarding effects evoked by elevated synaptic concentrations of dopamine in the brain.

Another example relevant to TCM is scopolamine, which is a natural compound isolated from the *Solanaceae* family of plants and which has been used for surgical anesthesia. Scopolamine is a non-selective mAChR antagonist and has been reported to have rapid antidepressant effects within days, through the blockade of mAChR1 on GABA interneurons (Drevets et al., 2013; Voleti et al., 2013; Wohleb et al., 2016a). These studies provide evidence that scopolamine treatment results in a rapid burst of glutamate in the medial prefrontal cortex and increases the number of spine synapses.

With many conventional and newly emerged pharmacological targets in depression treatment, including various neurotransmitter metabolic enzymes, transporters, receptors, second messenger systems, and proteins involved in the neurotrophic cascades, it should be feasible to identify bioactive constituents that exert specific interactions with the target proteins.

# Adjuvating the Rapid-Acting Antidepressant Agents

Although rapid-acting agents produce rapid antidepressant responses, the effects last for about 1 week, at which time patients typically relapse (Duman, 2018). Therefore, additional agents that have synergistic activity and compatibility with the rapid-acting agents are required to preserve therapeutic efficacy. In TCM practice, a patient with depression is usually given a formula to use on a daily sustained basis for several weeks, in order to alleviate depressive symptoms. The composite formula is not a unified formulation, but is rather based on symptoms within organ systems, prescribed to fit each individual patient and aims to activate blood circulation, eliminate phlegm and dampness, correct digestive and gastrointestinal dysfunction, or to improve immune function, etc. In this context, TCM could exert its strengths as an adjuvant to the rapid- acting antidepressants through different underlying mechanisms.

Among numerous TCM formulas, we used one prescribed for the treatment of gastrointestinal (GI) diseases, "Dai-Kenchu-To" (DKT in Japanese), as an example to further illustrate that TCM could be an ideal adjuvant. It is well known that the bidirectional communication between GI microbiota and the brain links the CNS with peripheral intestinal functions by means of neural, endocrine, immune, and humoral interactions (Rhee et al., 2009; Diaz Heijtz et al., 2011). Therefore, it has been suggested that the

GI tract and microbiota are involved in the pathophysiology and etiology of depression (Schroeder et al., 2007; Desbonnet et al., 2008; Bravo et al., 2011; Arseneault-Breard et al., 2012). Patients with GI tract-induced depression might not show complete remission within a week after treatment with a rapid-acting antidepressant, as an additional medication, directed toward the GI tract, is also required for a better treatment.

Dai-Kenchu-To is a three-herb decoction used for the treatment of GI disorders, including postoperative paralytic ileus, ischemic intestinal disorders, irritable bowel syndrome, and Crohn's disease (Hasebe et al., 2016). It has been reported that DKT treatment prevents bacterial translocation and maintains microbiome diversity in rats with acute stress (Yoshikawa et al., 2013). Studies have also showed that DKT increases intestinal blood flow in rats (Murata et al., 2002) and small intestinal movement in dogs (Jin et al., 2001), and prevents postoperative intestinal obstruction in rats (Tokita et al., 2007). From these studies, it is clear that DKT significantly improves GI tract function and can eliminate the risk of GI tract dysregulation in the pathophysiology of depression. Therefore, DKT should have a synergistic activity with the rapid-acting agents in GI tractinduced depression treatment, but additional research is required in order to assess its synergistic efficiency and compatibility.

# **Developing New Antidepressant Agents** for Women

Studies reported that females are twice as likely to suffer from depression (Kuehner, 2017) and that antidepressants have differential efficacy in males and females (Soldin and Mattison, 2009; Mazure and Jones, 2015). However, our knowledge on the gender-specific pathophysiology of depression is limited and currently no antidepressants specific to women are available in the market. It should be noted that women that suffer from depression present additional variables for the treatment of depression. Studies have revealed that women are more likely to experience depression during times of hormonal flux postpartum and during perimenopausal periods (Ahokas et al., 2001; Parker and Brotchie, 2004). Circulating sex hormones and differences in inflammatory, neurotrophic, and serotonergic responses to unfavorable events between sexes have been suggested as important factors in the pathophysiology of gender-specific depression (Bangasser and Valentino, 2014; Duman, 2017; Labonté et al., 2017). Therefore, a better understanding of the gender-specific pathophysiology of depression could help reveal novel and gender-specific therapeutic targets or approaches.

There are several TCM antidepressant formulas suitable for women which can be modified according to individual symptoms and additional variables. A representative formula for postpartum depression treatment is "Xiaoyao-san" (XYS), which is prepared from a mixture of eight herbs. It should be emphasized that in this formula, *Angelica sinensis* is commonly used to enrich blood, promote blood circulation, and to treat blood deficiency and menstrual disorders such as dysmenorrhea and irregular menstrual cycles (Wu and Hsieh, 2011). Preclinical studies have indicated that XYS exerts an antidepressant activity

in stress-induced animal models through various underlying mechanisms, including the elevation of 5-HT contents in both the cerebral cortex and the hippocampus, the regulation of the HPA axis, the improvement of BDNF expression in the hippocampus, and the reduction of cytokine levels in serum (Jing et al., 2015). XYS has also shown immense promise as an antidepressant agent in postpartum depression treatment in several clinical studies (Li et al., 2016; Yang et al., 2018). However, the mechanism of action that underlies genderspecific depression treatment is poorly understood. Most of the preclinical studies on XYS have been performed in male rodents, and most clinical studies did not provide genderspecific effects of treatment responses. It is therefore necessary to conduct these studies in female animal models, to obtain gender-specific clinical data, particularly on the cardiovascular system, neuroendocrine responses, circulating hormones, and the cognitive control circuits, to improve our understanding of its mechanism of action.

# Preventing Depression at Its Early Stages

Clinical and basic studies have significantly improved our understanding of the pathophysiology of depression, revealing that numerous pathological factors are involved in the development of depression. Notable factors and systems include brain neurotransmitter systems, the HPA axis and cortisol, the innate immune system and inflammatory cytokines, ovarian steroids, the GI system, adipose tissue and related peptides, the microbiome, vascular endothelial growth factor, and gene polymorphisms (Duman et al., 2016). These systems influence one's susceptibility to depression and lead to an increased incidence of depression. Interactions between these systems and the CNS, and within these systems constitute an immense network across organ systems in the pathophysiology of depression. Current efforts are focusing on the identification of specific interactions between these systems, and a large-scale, integrated network or database including all the interactions in the pathophysiology of depression still remains to be generated. Once we attain such a network, we will be able to elevate our study from the molecular level to the systems level, which will revolutionize our understanding of complex biological regulatory interactions in the pathophysiology of depression, as well as providing much needed knowledge to develop new approaches to prevent depression.

Traditional Chinese Medicine is a systems medicine which can play a role in the prevention of depression. Here, we provide an example to further illustrate that TCM could prevent depression at its early stages. Immune dysregulation, specifically of inflammatory processes, is associated with symptoms of depression. In particular, increased levels of circulating pro-inflammatory cytokines and the subsequent activation of brain-resident microglia contribute to neurobiological changes that underlie depression which then lead to depressive behavioral symptoms (Wohleb et al., 2016b). Thus, agents that exert anti-inflammatory activity could be used to

prevent depression before immune dysregulation triggers neurobiological changes in the brain. We have recently investigated anti-inflammatory activity of one major category, "Oing-Re-Yao" (medicines that treats inflammation related syndrome) (Guan et al., 2018). A total of 54 herbs in this category were studied by examining their effects on six main mechanisms that underlie inflammation. Our results indicated that 93% of the herbs exerted anti-inflammatory activity via at least one underlying mechanism and 68% via two or more mechanisms. TCM formulas that aim to alleviate inflammation-induced depressive symptoms generally contain at least one herb in this category. Thus, we asked if "Qing-Re-Yao"-containing antidepressant formulas can be used to prevent inflammation-induced depressive symptoms. Several benefits have generally been acknowledged. First, TCM herbs can be used on a daily basis, like most offcounter daily supplements in pharmacy stores. Second, most TCM herbs exert their action via multiple mechanisms simultaneously. Compared to single-target drugs, multitargeted drugs have more potential to treat an illness with multiple symptoms, such as depression. Third, TCM is a personalized medicine. Susceptibility to external challenges and resulting biological changes vary from person to person. Inflammation can be caused by different underlying mechanisms and corresponding treatments need to be adjusted to fit each individual. Altogether, "Qing-Re-Yao" is a good candidate for preventative anti-inflammation medicine and could play an irreplaceable role in the prevention of inflammation-associated depressive symptoms.

In summary, for TCM, both challenges and opportunities exist in the new era of antidepressant discovery. The clinical experience and "systems" concept of TCM for diagnosis and treatment, could provide an alternative way to improve our understanding of the pathophysiological mechanisms that underlie depression as well as provide ways to discover novel antidepressant agents or approaches. We believe that translational medicine for complex human diseases, such as depression, would benefit from the combination of principle modern molecular medicine with certain ideas of empirical TCM.

#### **AUTHOR CONTRIBUTIONS**

All authors listed have made a substantial, direct and intellectual contribution to the work, and approved it for publication.

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