



# Optimizing Texture Modified Foods for Oro-pharyngeal Dysphagia: A Difficult but Possible Target?

#### Samir G. Sukkar<sup>1\*</sup>, Norbert Maggi<sup>2</sup>, Beatrice Travalca Cupillo<sup>3</sup> and Carmelina Ruggiero<sup>2</sup>

<sup>1</sup> Clinical Nutrition Unit, IRCCS Ospedale Policlinico San Martino di Genova, Genova, Italy, <sup>2</sup> Department of Informatics, Bioengineering, Robotics and Systems Engineering, University of Genova, Genova, Italy, <sup>3</sup> Phoniatric Unit, IRCCS Ospedale Policlinico San Martino di Genova, Genova, Italy

Dysphagia is a swallowing disorder characterized by the difficulty in transferring solid foods and/or liquids from the oral cavity to the stomach, imparing autonomous, and safe oral feeding. The main problems deriving from dysphagia are tracheo-bronchial aspiration, aspiration pneumonia, malnutrition and dehydration. In order to overcome dysphagia-induced problems, over the years water and food thickening has been used, focusing specifically on viscosity increase, but limited results have been obtained. Elastic components and their effects on the cohesiveness on the bolus should be taken into account in the first place. We provide an analysis of dysphagia and suggest possible corrections to the protocols which are being used at present, taking into account rheological properties of food and the effect of saliva on the bolus. We reckon that considering such aspects in the dysphagia management market and healthcare catering would result in significant clinical risk reduction.

## OPEN ACCESS

## Edited by:

Alessio Molfino, Sapienza Università di Roma, Italy

#### Reviewed by:

Paolo Orlandoni, Istituto Nazionale di Riposo e Cura per Anziani (IRCCS), Italy Ulkan Kilic, University of Health Sciences, Turkey

> \***Correspondence:** Samir G. Sukkar

samir.sukkar@hsanmartino.it

#### Specialty section:

This article was submitted to Clinical Nutrition, a section of the journal Frontiers in Nutrition

Received: 07 May 2018 Accepted: 17 July 2018 Published: 07 August 2018

#### Citation:

Sukkar SG, Maggi N, Travalca Cupillo B and Ruggiero C (2018) Optimizing Texture Modified Foods for Oro-pharyngeal Dysphagia: A Difficult but Possible Target? Front. Nutr. 5:68. doi: 10.3389/fnut.2018.00068

Frontiers in Nutrition | www.frontiersin.org

Keywords: cohesiveness, viscosity, viscoelasticity, dysphagia, aspiration pneumonia, texture-modifies food, thickeners

# INTRODUCTION

Oropharyngeal dysphagia (OD) is a dysfunction of the digestive system, consisting of a difficulty in swallowing which affects the proper transit of the bolus in the upper digestive tract. The main complications of dysphagia are tracheo-bronchial aspiration (food transit in the airways with choking), aspiration pneumonia (pneumonia caused by food in the lungs), malnutrition and dehydration. Dysphagia can be a result of neurological disorders (such as stroke, Parkinson's disease, multiple sclerosis) or muscular disorders (such as metabolic myopathy, muscular dystrophy, myasthenia gravis). It can relate to solid foods only, to semi-liquid or liquid foods, and also to various different consistencies. Dysphagic patients fail to control food flow, which is fast and turbulent (1, 2), through the oropharynx, with the risk of misdirection through the respiratory tract.

A strategy that has been usually implemented over the years to overcome this problem is the use of thickened foods, according to the belief that the transit time of modified consistency food products is higher than normal and this gives the glottis more time to close (3). At present, dietary treatment for dysphagia has focused to a great extent on fluid thickening by viscosity increase (4). It could be expected that chronic dysphagia patients would improve using thickened fluids (with nectar, honey, and pudding consistency) and specially made and nutritional enriched texture modified foods (pureed and minced). Even thought, it seems to be difficult to increase dietary intake

1

with pureed and thickened food (with unspecified consistency) for elderly people with chronic dysphagia when small, frequent meals are provided (5). It is felt that patients with OD are likely to obtain a better dietary intake with texture-modified foods rather than with normal food. However, Foley et al. (6) found that there is a lack of evidence on the importance of texture modified foods and thickened fluids related to the amount of diet intake for adults with acute dysphagia. Moreover, Andersen et al. found that the evidence supporting the use of texture modified foods and thickened fluids is not strong and that more studies are needed for the management of dysphagia (7). It is also important to take into account that there is no evidence for texture modified foods and thickened fluids about the prevention of aspiration pneumonia in patients with chronic dysphagia (8-10). In some clinical conditions, the risk of aspiration can also be reduced by chin-down or chin-tack procedures, in which the chin and the neck are approached, making tongue base and epiglottis closer to the posterior pharyngeal wall (11). In any case, the approach to the problem of dysphagia treatment must be supported by counselling and individual education regarding the consistency of the food, normal, and abnormal swallowing and safe swallowing techniques for the prevention of aspiration.

The National Dysphagia Diet (NDD) published in 2002 by the American Dietetic Association (12) provides guidelines about thickened dietary supplements. Its recommendations have been challenged in many respects. After one year from its publication, Mc Callum pointed out that the four categories of NDD guideline are vague and impractical in clinical applications (13). According to Quinchia et al. there would not be scientific evidence or rationale given by NDD for the temperature and shear rate chosen for the scales. Furthermore, these scales only considered viscous properties and elasticity was not even mentioned (14). Some researchers think that the NDD definitions are "obscure definitions" and believe that the guideline can't be used easily in real applications (15). Optimum rheological properties of food and drink for a specific kind of dysphagia are only unsatisfactorily known and have not been effectively validated (16). However, the NDD is described as the most creditable guideline in the literature. The most important lack of this guideline is considering only one rheological parameter (i.e., single point viscosity) as classification criterion. Nowadays, modern rheological instruments and techniques should allow to review this guideline (17).

More recentely, there are other concerns about the use of thickened foods. In the food industry, hydrocolloids are widely used to modify the sensory properties of foods to obtain a specific viscosity and palatability. While all hydrocolloids are able to thicken and give viscosity to aqueous solutions, not all hydrocolloids are able to structure themselves in such a way as to form a three-dimensional network that can contain the solvent (gel). In the case of thickening, the biopolymers used cause non-specific entanglement which, above a certain concentration, results in an increase in stickiness. On the other hand, gels are composed of polymeric molecules that form an interconnected cross-linked network that provides the system with increased rigidity (18). The thickening of nutritional mixtures using hydrocolloids mostly derives from long polymer chains that get entangled together when their concentration increases. In diluted systems, these molecules can move freely and viscosity decreases. In this respect, after the intake of a thickened food mixture, saliva dilutes it and breaks it up, making viscosity decrease considerably. This inconvenience occurs specially when using starch-based thickeners, because of  $\alpha$ -amylase, an enzyme contained in saliva that breaks the chains of amylose and amylopectin that are components of the starch. The use of gums for food thickening can mitigate but not entirely eliminate unwanted viscosity reduction. Oral processing of food (especially if prolonged as in the case of patients suffering from dysphagia) still leads to a reduction in viscosity even when gum-based thickeners are used. These aspects should be considered in the management of dysphagia therapy, to prevent patients receiving food with inappropriate consistency, taking into account the physician's instructions (19-21).

From a clinical point of view, viscosity modification has reduced the risk of aspiration, while the preparation of minced and pureed foods is irrelevant for this risk. Special attention should be paid to the change in the cohesiveness of these foods after homogenization and other methods that result in a plurality of physical changes. The present work focuses on the cohesiveness of the bolus in this respect.

# **RHEOLOGICAL PROPERTIES OF FOOD**

Sometimes, from a clinical point of view, the administration of a thickened mixture can achieve limited benefits, especially when an appropriate posture is not associated (e.g., in case of delayed pharyngeal response, reduced posterior motility of the base of tongue etc). Foods normally exhibit a viscoelastic behaviour and, in order to improve food cohesiveness, viscoelasticity has been recently recognised as crucial to improve swallowing and decrease the risk of "breathing food" in the airways. Cohesiveness is a mechanical feature that is part of textural properties of the food. It can be defined as the strength of the internal bond making up the body of the sample (22). Therefore, scientific efforts should focus on the production of highercohesive mixtures using substances that produce an increase in cohesiveness forces (H-bonds, van der Waals, electrostatic, hydrophobic, and hydrophilic forces), among the non-aqueous components of the solution rather than focus on increasing viscosity due to the exchange of moments between molecules. In this respect, it is also important to note that cohesiveness, like other physical properties, can be based on more basic rheological foundations and, specifically, is related to the elastic modulus (23). In order to improve dietary therapy for dysphagia not only the viscous component (loss modulus) has to be considered, but also the elastic component (storage modulus) has to be taken into account. In this respect, rheological properties, as mechanical properties that affect deformation and material flow in the presence of stress, play a key role. The Japanese dysphagia modified diet classification paid attention to the hardness, adhesiveness, and cohesiveness of the foods (24). Specifically, easy to swallow foods are defined as a texture that satisfies three criteria: (1) under 15,000 N/m2 in hardness, (2) under 1000 J/m2 in adhesiveness, and (3) between 0.2 and 0.9 in cohesiveness (25, 26).

The aim of rheology is the measurement of the properties of materials that affect their behaviour (deformation and flow) when they are subjected to external forces. In recent years much work concerning food rheology has been carried out (27, 28) and can provide study and application opportunities in many respects.

Food is primarily made out of biopolymers and watery arrangements containing broken up sugars and macromolecules, such as for example, proteins, polysaccharides, and lipids from an extensive variety of plant and animal sources. Moreover, water is a main part of foods and plays a noteworthy part in the formation of edible structures and in their stockpiling steadiness.

The characterization of thickened food is very important in order to identify key parameters to improve the formulation of dysphagic foods by evaluating the contribution that different hydrocolloids can make to edible mixtures. In general, the rheological measurement techniques that are used can be divided into three groups: fundamental, imitative, and empirical. An overview on these techniques is shown in **Table 1**.

Fundamental techniques can measure well-defined rheological properties. This category includes dynamic oscillator rheometry (storage and loss modulus measurement), creep test, and stress relaxation test. Dynamic oscillator rheometry is used for storage and loss modulus measurement and is the most common dynamic method for viscoelasticity measurements; creep test can measure a strain as a function of time when a constant stress is applied; stress relaxation test observed the decrease in stress in response to the same amount of strain applied. Fundamental measurements provide exactly what it is measured, but are slow and the instrumentation is expensive.

Imitation methods are less common and imitate the conditions to which food is subject in practice. The instrumentation that can be used are the texturometers [Texture Profile Analysis (TPA)] which provide multiple parameters (such as modulus, hardness, brittleness, adhesiveness, elasticity/springiness, and cohesiveness). These methods give a complete measurement of texture, but are not suitable for routine work.

Empirical tests measure properties that are not well-defined, but may be related to experience with properties of interest (e.g., Bostwick or Adam consistometers). Unfortunately, these tests are dependent on both equipment and sample geometry, so it may be difficult to compare data between different samples. To this category belong the tests proposed by IDDSI (29), which are intended to provide a standard terminology by using some rapid and easy to perform tests. The major advantages of empirical tests are the simplicity and rapidity of performing, on the other hand the disadvantages are that the procedures are arbitrary and it is not possible to convert data to other systems.

## Viscosity and Viscoelasticity

Viscosity can be defined as the resistance of a fluid to flow and is due to the intramolecular cohesion forces that attract molecules together and to the exchange of moments between molecules. More specifically, we can consider a fluid between two large parallel plates, one of which is boundary and the other is moving with a velocity v in the x direction. The fluid can be regarded as made of infinitesimal layers (**Figure 1**). The layer closer to the moving plate will move in the x direction at a velocity almost equal to v, conversely the layer next to the stationary plate will move very slowly. Considering a fluid with a very large number of layers, the sliding among layers generates a velocity gradient that it is called shear. The relationship between the frictional force f applied to a surface of area A and velocity gradient dv/dx was stated by Newton and is summarized by

$$f = \eta A\left(\frac{dv}{dy}\right)$$

where  $\eta$  is defined as coefficient of viscosity or simply viscosity; f/A = F is the shear stress and  $d\nu/dy = G$  is the shear rate. When  $\eta$  is a constant, the fluid is called Newtonian otherwise the fluid is non-Newtonian and  $\eta$  is a function of *F* or *G*.

Several guidelines have been adopted for dysphagia treatment, but normally only food viscosity is taken into account. (12, 30). In these guidelines, foods are clustered into groups based on viscosity that is commonly measured at shear rate of 50  $s^{-1}$ as a representative shear rate for the mouth cavity, however there is a lack of convention about the shear rate used to define viscosity (31). It is also important to note that on the basis of sensory viscosity analysis it has been estimated that the shear rare during swallowing varies greatly and are within a range of 5 to 1000 s<sup>-1</sup> (28). This high variation makes guidelines based on cutting forces of 50 s<sup>-1</sup> unrealistic. Viscosity is constant and independent from the shear rate for Newtonian fluids only, and considering only a single shear rate in the swallowing process is too simplistic (32). For a non-Newtonian fluid viscosity is also called apparent viscosity because it is possible to define it for each value of shear rate. If we consider a non-Newtonian fluid (as most of the foods) viscosity changes when the shear rate changes. Specifically, viscosity decreases for shear thinning fluids (e.g., concentrated fruit juice, melted chocolate, salad dressing) and increases for shear thickening fluids (e.g., corn starch suspensions or some particular types of honey (Eucalyptus ficifolia, Eucalyptus eugeniodes) (33). Shear thickening fluids are found much more rarely. This behaviour of non-Newtonian fluids is known as viscoelastic and in this case, fluids show both elastic and viscous components.

A viscoelastic material shows both elastic properties and viscous properties at the same time. Viscoelasticity can be characterized as linear or non-linear viscoelasticity. For linear viscoelasticity, the rheological properties depend only on time and not on the magnitude or the stress that is applied, while for non-linear viscoelasticity the mechanical properties of the material are influenced by the time and by the magnitude of the stress. The range in which materials show a linear behaviour is defined as linear viscoelastic region (LVR). Normally, food materials show linear viscoelastic activities if they are tested in low stress conditions (linear range), and non-linear behaviour for large deformations (**Figure 2**). The linear range can be determined by experimental measurements. Chewing and swallowing processes may involve high deformations outside

#### TABLE 1 | Rheological measurement techniques.

Categories	Definition	Instrumentation/test	Advantages	Disadvantages
Fundamental	Measure rheological properties like viscosity and	Dynamic oscillator rheometer Creep test	Parameters are physically well defined	Expensive equipment Poor correlation with sensory
	elasticity	Stress relaxation test	Measurements are reproducible Useful for structure-property relationship	Slow to perform
Imitation	Mimic the condition to which the food is subjected during eating/processing	Texture Profile Analysis Farinograph Visco-Amylo-Graph	Closely duplicate mastication or sensory methods Good correlation with real situation	Measures parameters which are often poorly defined, but appear to relate to textural quality
Empirical	Stimulates the conditions to which materials are subjected in practice	Puncture and penetration test Extrusion test Flow funnel Bostwick or Adam consistometers	Good correlation with real situation Easy and fast to perform Inexpensive equipment	Measured parameter are poorly defined Arbitrary procedure



the LVR, therefore the investigation of food samples in that region is also important. The study of non-linear viscoelasticity is much more complex than linear viscoelasticity and complex calculations are needed to characterize sample behaviour in the non-linear region (34), mostly when the composition of the bolus is complicated. In the LVR the relationship between stress and strain can depend only on the rate of deformation that introduces a time dependence characterizing the viscoelastic behaviour. If the strain stress ratio depends not only on time, but also on the strain applied (usually for high strain values) it is said that the behaviour is viscoelastic non-linear.

The complex modulus  $G^*$  is a property of viscoelastic materials and it is composed of two parts: the storage modulus G' that represents the elastic component of the material and the loss modulus G'' which characterizes the viscous behaviour

$$G^* = G' + iG''$$

where *i* is the imaginary unit.

Small Amplitude Oscillatory Shear Testing (SAOS) can be used for the measurement of linear elastic and viscous



components of materials and can be performed using a rheometer, an instrument capable to measure the stress linked with a specific deformation or motion. The test is performed applying a sinusoidal strain and measuring the corresponding stress on the sample. The stress response  $\sigma$  to the sinusoidal strain  $\gamma$  is expressed by

$$\sigma(t) = \gamma_0 G^{'}(\omega) \sin(\omega t) + \gamma_0 G^{''}(\omega) \cos(\omega t)$$

Another property that is often used is the loss tangent, which expresses the relative aspects of the viscous and elastic components and is given by

$$\tan \delta \left( \omega \right) = \frac{G''(\omega)}{G'(\omega)}$$

 $\delta$  represents the phase difference between the applied strain and the response stress. In the case of a fully elastic sample  $\delta$  is equal



to 90°, while in the case of Newtonian fluids the applied stress and the response are in phase ( $\delta = 0$ ; **Figure 3**).

The texture modification of minced or pureed foods by agents that modify viscosity only is often used, but is not highly effective. The effects of viscoelastic properties and of other rheological parameters, such as yield stress (the stress in which the material starts to deform plastically) and structure recovery, on swallowing along with sensory evaluation of dysphagia-oriented products and the effect of saliva on the bolus should be assessed for non-Newtonian fluids, such as minced, and pureed foods (17).

In food analysis, measurements are very often carried out only in the LVR, in which viscoelastic moduli are well defined and with specific physical information. Measurements in the LVR very often do not correlate with sensory and oral processing data (35). It is possible to measure material behaviour beyond LVR using the large amplitude oscillatory shear (LAOS) technique (36), which exploits the protocol developed by Ewoldt et al. (37) and then validated by Melito et al. (38), in which the higher order harmonics are analysed by the Fourier transform. Although the LAOS technique has been widely used in the study of polymers and their properties, its use in the food industry does not seem to occur today, and very few studies have been carried out as relates to the nonlinear viscoelasticity of foods (39) and of products for dysphagics (14).

## **Extensional Flow**

A property that should be considered is the elongation behaviour of food mixtures. During the pharyngeal phase of swallowing the bolus is subjected to extensional deformations. Extensional viscosity can be defined according to the type of deformation the material undergoes and can be uniaxial, biaxial, or planar. In the simplest case of uniaxial deformation, when the material is extended in one direction at the rate of  $\dot{\varepsilon}$ , it undergoes a symmetrical contraction in the other two dimensions equal to half of the set deformation ( $\dot{\varepsilon}/2$ ). Extensional viscosity may be expressed as

$$\eta_e(\dot{\varepsilon}) = \frac{\sigma_{xx} - \sigma_{zz}}{\dot{\varepsilon}}$$

Where E is the velocity (V) gradient

$$\dot{\varepsilon} = \frac{\partial V}{\partial x}$$
 (in uniaxial deformation)

For Newtonian fluids a coefficient, known as "coefficient of viscous traction," has been determined that binds shear viscosity with extensional viscosity by Trouton (40). For these fluids, it can be noted that the extensional viscosity turns out to be three times the shear viscosity. For viscoelastic materials, however, extensional viscosity follows the Trouton rule only for small extension values, while for high deformation values the Trouton ratio can be very high. Bearing in mind the fact that for a Newtonian fluid G' = 0 occurs, it is evident that the elastic component plays a fundamental role with respect to the

extensional behaviour of a non Newtonian fluid, even if it is not possible to consider separately the viscous and elastic effects due to the non-linearity of the materials (41). Although extensional viscosity has not been much discussed so far for dysphagia, an additive that can provide a high extensional viscosity may contribute to bolus cohesiveness during the swallowing process (42).

# The Role of Saliva

Saliva is a watery substance secreted by salivary glands and contains a mixture of proteins, electrolytes, and small organic compounds. Saliva secreted in absence of stimuli is called unstimulated saliva, whereas saliva produced by a stimulus is stimulated saliva. It is worthy to be noted that the composition of saliva and its physical properties may vary depending of the type of the stimulus. Saliva has high elasticity and low viscosity. Moreover, different types of stimulation can lead to different features of saliva. Mechanically stimulated saliva is more watery and less elastic than the one produced by an acid solution stimulus. (43). Extensional properties of saliva seem to be performed by mucin glycoprotein (44). Saliva has a pivotal role for the formation of a "swallow-safe" bolus, besides lubrication that is its primary rheological function (45). The mouth has a large number of receptors, including texture receptors (46), and acts as a rheometer: when foods are eaten, mastication, and mixing with saliva occur until the bolus is regarded as safe to be swallowed (47).

# **Bolus Properties for a Safe Swallow**

Researchers during the years have qualitatively identified the bolus properties for a safe swallow. Peyron et al. (48) observed an increase in adhesiveness, springiness and cohesiveness, and on the contrary a decrease in the bolus particle size distribution during the masticatory process. Cohesiveness can be defined as the force that binds particles of a substance together and is the molecular attraction exerted across a surface within liquid or solid that resists internal rupture. The role of saliva in increasing cohesiveness is fundamental. Human mucin components MUC5 and MUC7B (glycosylated proteins) naturally secreted in food stimulated saliva (49, 50) determines bolus formation by ensuring food aggregation and cohesion and increases viscoelastic properties (51).

Chen et Lolivret argue the importance of bolus extensional stretch-ability for the swallowing (52), Prinz et al. by a mathematical model focused on particle size reduction and viscosity of saliva, pointed out the importance of a peak of cohesive forces between the particles (53). Gray-Stuart defined as key property of the bolus its volume and deformability. Precise measurement of bolus swallowability is difficult and not yet developed, even though a first attempt has been made by Ng et al. (54).

Specifically, measurements of viscoelasticity and cohesiveness of the bolus and also of texture-modified food (non-Newtonian fluids obtained by transformation of foods with saliva) would be advisable.

# DISCUSSION AND CONCLUSIONS

Despite the fact that all guidelines for the preparation of dysphagic meals are only referred to food viscosity before it is introduced into the mouth, it is also important to take into account that the mixing of saliva with foodstuff may change its rheological properties. Although few analyses regarding viscosity and thickening after the mixing with saliva have been performed (19, 21, 55), these findings must be taken into account in dietary prescriptions for dysphagia.

Leonard et al. show that the risk of aspiration in dysphagic patients significantly decreases when gum-based thickeners are used, and even though the elastic moduli were not considered, an increase in G' due to the presence of the gum can be hypothesized (56). Specifically, even though very little information is available about thickened food characterization related to viscoelastic properties, it has been found that guar gum may contribute to an increase of storage modulus (57). Similar results can be achieved using xanthan gum thickener (58). The fundamental difficulty in measuring the rheological properties of food is that every individual-healthy or suffering of dysphagia-has different physiological characteristics (such as masticatory function or salivary secretion) that can affect the measurement and lack reproducibility (59). However, it is also interesting to note that an excessive increase in elasticity leads to an increase in the difficulty of swallowing the bolus (60). This must be taken into account for the identification of elasticity and viscosity values that can lead to an optimal formulation of the food. It is also important to point out that for equal concentration of thickening agents, the different food condition, such as temperature or higher salt content, may lead to a significant variation in the rheological properties of the resulting thickened food (61). It can be inferred that the bolus cohesivity plays an essential role in safe bolus safety and swallowing. The exclusive attention on the viscosity aspect in foodstuff preparation for dysphagia treatment is reductive and may not be sufficient to prevent pulmonary aspiration.

In a highly cohesive material it is more difficult to separate the particles than in a low cohesive material. According to Cichero, cohesiveness of the bolus conditions the initiation of the swallow rather than just the size of the particles of food/fluid. In the oral phase, chewing and mixing with saliva as a binding agent is useful to produce a bolus that is both lubricated and cohesive (62). Nowadays, there is not a robust and standardized methodology, acknowledged by the scientific community as a valid way of evaluating cohesiveness (63, 64).

From a literature analysis, it can be noted that although dietary changes in the consistency of the foods and fluid thickening improve the nutritional state of patients with chronic dysphagia, the reduction of the risk of aspiration pneumonia remains debatable (7, 65). Deceptively, expert stakeholders (industries and clinical rheologists) have underlined the need to increase viscosity as the main target of dietetic intervention in dysphagia and suggest that many minced or pureed food could be mixed with xanthan gum or modified maltodextrines amylase resistant. In this respect, the evaluation of cohesiveness of semiliquid and semisolid is mandatory.

It is worth noting that in stroke patients it would appear that consistency modification mediated by the use of traditional thickeners is of equal risk with respect to the individual choices of the dysphagic ones. Finally, individual counselling on swallowing strategies seem to be of great importance. Individual counselling with a joined follow up, about texture-modified food and thickened fluid, may decrease the risk of aspiration pneumonia in people with acute dysphagia (66). Moreover, the education of people with chronic dysphagia to the use of "chin-down" technique can reduce the risk of aspiration pneumonia as much as eating foods with modified consistency (67). However, individual counselling together with the prescription of texturemodified foods and thickened fluids, seems to be no better than self-chosen consistency of food. Specifically, there is no evidence as relates to a reduction of the risk of aspiration pneumonia in people with chronic dysphagia (68). The lack of effectiveness of modified consistency food might be attributed to a systematic error due to the use of substances that are inappropriate or not suitable for improving cohesiveness and viscoelasticity in LVR and also in non LVR. These features are crucial for improving swelling of the bolus. The structural changes in food mixtures should be oriented to a flake off minimization of the bolus. Moreover, because of compression and elongation of the bolus, it will be easier to travel through a site where mobility and speed of transit are impaired for neuromuscular reasons (reduction of penetration and suction in the respiratory tract).

Even from a diagnostic point of view, evaluations based on viscosimetric data (since nutritional mixtures behave as non-Newtonian fluids) cannot contribute to the investigation of cohesiveness, and therefore the information generated by viscosimetry is only partial (7). Moreover, recent findings show that reaching a certain degree of viscosity at 50 s<sup>-1</sup>, as suggested by many guidelines for meal preparations for dysphagic patients, is not satisfactory in order to avoiding the risk of aspiration and allowing a safe swallowing (69) and can affect breathingswallowing coordination (70). Unfortunately, to date, a robust technique for measuring cohesiveness is still lacking. Even if the TPA test using double compression is often used, there is a reduced correlation between measurement and sensory perception (64). It could be useful to carry out new studies of viscoelasticity and cohesiveness of products for dysphagia and thickened food especially in non LVR using LAOS technique.

Many studies have been carried out with regard to xanthan gum and guar gum and their use as thickening agents for the preparation of dysphagic foods. Only few studies have involved other types of thickeners (hydrocolloids) which are commonly used as additives in the food industry (18, 71). Moreover, the use of a single type of polysaccharide thickeners may not be optimal to meet all requirements for dysphagic foods. One solution would be to prepare mixtures whose synergistic effect could improve viscous and elastic bolus characteristics and maintain them sufficiently also within the LVR, both for obtaining safe food for swallowing and for improving palatability and flavour release from the food (72). In this respect, another disadvantage of treating dysphagia with thickened foods is that many patients are not satisfied with meals with modified consistency and their consumption on a daily basis



(73). Furthermore, while many tests have been carried out on different foods, even at different temperatures, there is very little evidence of rheological properties of the bolus immediately before swallowing, completely neglecting the activity of saliva in the oral cavity. Moreover, it would be important to evaluate thickeners not only on healthy volunteers, but also on dysphagic patients who may have different salivary compositions that could differently affect the rheological behaviour of the bolus.

A further problem is the lack of standardization. The IDDSI framework (29) has introduced a standardization of the terms associated with the consistency of food on the basis of simple empirical tests that can be implemented in the hospital or at the patient's home (**Figure 4**). However, a classification based on rheological properties of the food (that can be adapted to each patient phenotype based on the degree of the disease) has not yet been considered. It would also be useful to develop clinical trials for the quantitative definition of optimal food cohesiveness, which at present derives exclusively from careful observation of the tolerance of different types of foods.

The problem of evaluating products for dysphagia from the bedside must be reversed to the production of the products by

## REFERENCES

- Parkinson C, Sherman P. The influence of turbulent flow on the sensory assessment of viscosity in the mouth. J Text Stud. (1971) 2:451–9. doi: 10.1111/j.1745-4603.1971.tb00593.x
- Cichero JAY. Thickening agents used for dysphagia management: effect on bioavailability of water, medication and feelings of satiety. *Nutr J.* (2013) 12:54. doi: 10.1186/1475-2891-12-54
- Steele CM. The blind scientists and the elephant of swallowing: a review of instrumental perspectives on swallowing physiology. J Text Stud. (2015) 46:122–37. doi: 10.1111/jtxs.12101
- Barbiera F, Bosetti A, Ceravolo MG, Cortinovis F, Crippa A, Facchin N, et al. ADI nutritional recommendations for dysphagia. *Mediter J Nutr Metabol.* (2009) 2:49–80. doi: 10.1007/s12349-009-0043-9

industry and catering companies. Therefore, empirical analyses (i.e., IDDSI), which are valid where practical conditions need to be easy and simple to assess, should be replaced by more rigorous analyses, which should be carried out upstream, i.e., in the industry or in large industrial kitchens typical of the conservative food industry. In the food industry, rheological characteristics have to be defined to guarantee the quality perceived by the consumer and to ensure the mass production of repeatable and standardised products. In this respect, additional quality criteria and clinical guidelines based on rheological methodologies will need to be introduced for industry and health-care catering in order to ensure the reduction of clinical risk associated with dysphagic diets, giving indications to the clinician who must decide on these patients.

# **AUTHOR CONTRIBUTIONS**

SS and NM contributed equally to conception and design of the study. NM wrote the first draft of the manuscript. SS and NM wrote sections of the manuscript. All authors contributed to manuscript revision, read, and approved the submitted version.

- Taylor KA, Barr SI. Provision of small, frequent meals does not improve energy intake of elderly residents with dysphagia who live in an extendedcare facility. J Am Diet Assoc. (2006) 106:1115–8. doi: 10.1016/j.jada.2006. 04.014
- Foley N, Finestone H, Woodbury MG, Teasell R, Greene Finestone L. Energy and protein intakes of acute stroke patients. J Nutr Health Aging (2006) 10:171–5.
- Andersen UT, Beck AM, Kjaersgaard A, Hansen T, Poulsen I. Systematic review and evidence based recommendations on texture modified foods and thickened fluids for adults (≥18 years) with oropharyngeal dysphagia. *e-SPEN* J. (2013) 8:e127–e34. doi: 10.1016/j.clnme.2013.05.003
- Loeb MB, Becker M, Eady A, Walker-Dilks C. Interventions to prevent aspiration pneumonia in older adults: a systematic review. J Am Geriat Soc. (2003) 51:1018–22. doi: 10.1046/j.1365-2389.2003.51318.x

- Foley N, Teasell R, Salter K, Kruger E, Martino R. Dysphagia treatment post stroke: a systematic review of randomised controlled trials. *Age Ageing* (2008) 37:258–64. doi: 10.1093/ageing/afn064
- Kaneoka A, Pisegna JM, Saito H, Lo M, Felling K, Haga N, et al. A systematic review and meta-analysis of pneumonia associated with thin liquid vs. thickened liquid intake in patients who aspirate. *Clin Rehabil.* (2016) 31:1116–25. doi: 10.1177/0269215516677739
- Terré R, Mearin F. Effectiveness of chin-down posture to prevent tracheal aspiration in dysphagia secondary to acquired brain injury. A videofluoroscopy study. *Neurogastroenterol Motil.* (2012) 24:414–9. doi: 10.1111/j.1365-2982.2011.01869.x
- 12. National Dysphagia Diet Task Force, American Dietetic Association. *National Dysphagia Diet: Standardization for Optimal Care*. Chicago, IL: American Dietetic Association (2002).
- McCallum SL. The national dysphagia diet: Implementation at a regional rehabilitation center and hospital system. J Am Diet Assoc. (2003) 103:381–4. doi: 10.1053/jada.2003.50074
- Quinchia LA, Valencia C, Partal P, Franco JM, Brito-de la Fuente E, Gallegos C. Linear and non-linear viscoelasticity of puddings for nutritional management of dysphagia. *Food Hydrocolloids* (2011) 25:586–93. doi: 10.1016/j.foodhyd.2010.07.006
- 15. Paik N-J, Han TR, Park JW, Lee EK, Park MS, Hwang I-K. Categorization of dysphagia diets with the line spread test11No commercial party having a direct financial interest in the results of the research supporting this article has or will confer a benefit on the author(s) or on any organization with which the author(s) is/are associated. *Arch Phys Med Rehabil.* (2004) 85:857–61. doi: 10.1016/j.apmr.2003.08.079
- Cichero JAY, Steele C, Duivestein J, Clavé P, Chen J, Kayashita J, et al. The need for international terminology and definitions for texturemodified foods and thickened liquids used in dysphagia management: foundations of a global initiative. *Curr Phys Med Rehabil Rep.* (2013) 1:280–91. doi: 10.1007/s40141-013-0024-z
- Zargaraan A, Rastmanesh R, Fadavi G, Zayeri F, Mohammadifar MA. Rheological aspects of dysphagia-oriented food products: a mini review. *Food Sci Human Wellness* (2013) 2:173–8. doi: 10.1016/j.fshw.2013.11.002
- Saha D, Bhattacharya S. Hydrocolloids as thickening and gelling agents in food: a critical review. J Food Sci Technol. (2010) 47:587–97. doi: 10.1007/s13197-010-0162-6
- Hanson B, O'Leary MT, Smith CH. The effect of saliva on the viscosity of thickened drinks. Dysphagia (2011) 27:10–9. doi: 10.1007/s00455-011-9330-8
- 20. Kato T, Kitabatake N, Doi E. Effects of saliva on the viscosity of gum solutions. Agric Biol Chem. (2014) 50:3207–8. doi: 10.1080/00021369.1986.10867911
- Vallons KJR, Oudhuis LAACM, Helmens HJ, Kistemaker C. The effect of oral processing on the viscosity of thickened drinks for patients with dysphagia. *Ann Rehabil Med.* (2015) 39:772–7. doi: 10.5535/arm.2015.39.5.772
- Szczesniak AS. Classification of textural characteristics. J Food Sci. (1963) 28:385–9. doi: 10.1111/j.1365-2621.1963.tb00215.x
- Sherman P. A texture profile of foodstuffs based upon welldefined rheological properties. J Food Sci. (1969) 34:458–62. doi: 10.1111/j.1365-2621.1969.tb12804.x
- Japan Feeding and Swallowing Rehabilitation Society. Japan eating and swallowing rehabilitation society swallowing regular food classification 2013. *Jpn Feed Swallow Rehabil Soc J.* (2013) 17:255–67.
- Wada S, Kawate N, Mizuma M. What type of food can older adults masticate?: evaluation of mastication performance using color-changeable chewing gum. *Dysphagia* (2017) 32:636–43. doi: 10.1007/s00455-017-9807-1
- Japan Ministry of Health Labor and Welfare. *Pharmaceutical and Food Safety,* Department of food Safety. Notice No 0212001. Japan Ministry of Health Labor and Welfare (2009).
- Fischer P, Windhab EJ. Rheology of food materials. Curr Opin Colloid Int Sci. (2011) 16:36–40. doi: 10.1016/j.cocis.2010.07.003
- la Fuente EB, Turcanu M, Ekberg O, Gallegos C. Rheological aspects of swallowing and dysphagia: shear and elongational flows. In: *Medical Radiology*. Berlin; Heidelberg: Springer (2017). p. 1–30. doi: 10.1007/174\_2017\_119
- 29. Cichero JAY, Lam P, Steele CM, Hanson B, Chen J, Dantas RO, et al. Development of international terminology and definitions for texture-modified foods and thickened fluids used in dysphagia

management: the IDDSI framework. *Dysphagia* (2016) 32:293-314. doi: 10.1007/s00455-016-9758-y

- 30. Dietitians Association of Australia and The Speech Pathology Association of Australia Limited. Texture-modified foods and thickened fluids as used for individuals with dysphagia: Australian standardised labels and definitions. *Nutr Diet* (2007) 64:S53–76. doi: 10.1111/j.1747-0080.2007.00153.x
- Steele CM, Alsanei WA, Ayanikalath S, Barbon CEA, Chen J, Cichero JAY, et al. The influence of food texture and liquid consistency modification on swallowing physiology and function: a systematic review. *Dysphagia* (2014) 30:2–26. doi: 10.1007/s00455-014-9578-x
- Nicosia MA. Theoretical estimation of shear rate during the oral phase of swallowing: effect of partial slip. J Text Stud. (2013) 44:132–9. doi: 10.1111/jtxs.12005
- Pryce-Jones J. The rheology of honey. In: Blair GWS, editor. Foodstuffs Their Plasticity, Fluidity and Consistency. Amsterdam: North-Holland Publishing Company (1953). p. 148–76.
- 34. Steffe JF. Rheological Methods in Food Process Engineering. East Lansing, MI: Freeman Press (1996).
- Melito HS, Daubert CR, Foegeding EA. Relationships between nonlinear viscoelastic behavior and rheological, sensory and oral processing behavior of commercial cheese. J Text Stud. (2013) 44:253–88. doi: 10.1111/jtxs. 12021
- Ptaszek P. Large Amplitude Oscillatory Shear (LAOS) measurement and fourier-transform rheology: application to food. *Adv Food Rheol Appl.* (2017) 2017:87–123. doi: 10.1016/B978-0-08-100431-9.00005-X
- Ewoldt RH, Hosoi AE, McKinley GH. New measures for characterizing nonlinear viscoelasticity in large amplitude oscillatory shear. J Rheol. (2008) 52:1427–58. doi: 10.1122/1.2970095
- Melito HS, Daubert CR, Foegeding EA. Validation of a large amplitude oscillatory shear protocol. J Food Eng. (2012) 113:124–35. doi: 10.1016/j.jfoodeng.2012.05.008
- Yazar G, Duvarci O, Tavman S, Kokini JL. Non-linear rheological behavior of gluten-free flour doughs and correlations of LAOS parameters with gluten-free bread properties. J Cereal Sci. (2017) 74:28–36. doi: 10.1016/j.jcs.2017.01.008
- Trouton FT. On the coefficient of viscous traction and its relation to that of viscosity. Proc R Soc A Math Phys Eng Sci. (1906) 77:426–40.
- Petrie CJS. Extensional viscosity: a critical discussion. J Non-Newtonian Fluid Mech. (2006) 137:15–23. doi: 10.1016/j.jnnfm.2006.01.011
- Zhu J, Mizunuma H. Shear and extensional flow rheology of mucilages derived from natural foods. *Nihon Reoroji Gakkaishi* (2017) 45:91–9. doi: 10.1678/rheology.45.91
- Stokes JR, Davies GA. Viscoelasticity of human whole saliva collected after acid and mechanical stimulation. *Biorheology* (2007) 44:141–60.
- Singh B, Vijay A, Inui T, Dodds M, Proctor G, Carpenter G. Factors that influence the extensional rheological property of saliva. *Plos ONE* (2015) 10:e0135792. doi: 10.1371/journal.pone.0135792
- 45. Schwarz WH. The rheology of saliva. J Dental Res. (1987) 66(Suppl. 2):660-6.
- Rolls ET. Taste, olfactory, and food reward value processing in the brain. *Prog Neurobiol.* (2015) 127–128:64–90. doi: 10.1016/j.pneurobio.2015. 03.002
- Coster ST, Schwarz WH. Rheology and the swallow-safe bolus. *Dysphagia* (1987) 1:113–8. doi: 10.1007/BF02412327
- Peyron MA, Gierczynski I, Hartmann C, Loret C, Dardevet D, Martin N, et al. Role of physical bolus properties as sensory inputs in the trigger of swallowing. *PLoS ONE* (2011) 6:e21167. doi: 10.1371/journal.pone.0021167
- Johansson D, Stading M, Diogo Löfgren C, Christersson C. Effect of acid stimulation on the dynamic rheological properties of human saliva. *Ann Trans Nordic Rheol Soc.* (2011) 19:27–31.
- Sonesson M, Wickström C, Kinnby B, Ericson D, Matsson L. Mucins MUC5B and MUC7 in minor salivary gland secretion of children and adults. *Arch Oral Biol.* (2008) 53:523–7. doi: 10.1016/j.archoralbio.2008.01.002
- Sarkar A, Goh KKT, Singh H. Colloidal stability and interactions of milkprotein-stabilized emulsions in an artificial saliva. *Food Hydrocolloids* (2009) 23:1270–8. doi: 10.1016/j.foodhyd.2008.09.008
- Chen J, Lolivret L. The determining role of bolus rheology in triggering a swallowing. *Food Hydrocolloids* (2011) 25:325–32. doi: 10.1016/j.foodhyd.2010.06.010

- Prinz JF, Lucas PW. An optimization model for mastication and swallowing in mammals. Proc R Soc B Biol Sci. (1997) 264:1715–21.
- Ng GCF, Gray-Stuart EM, Morgenstern MP, Jones JR, Grigg NP, Bronlund JE. The slip extrusion test: a novel method to characterise bolus properties. *J Text Stud.* (2017) 48:294–301. doi: 10.1111/jtxs.12254
- Gallegos C, Brito-de la Fuente E, Clavé P, Costa A, Assegehegn G. Nutritional aspects of dysphagia management. (2017) 81:271–318. doi: 10.1016/bs.afnr.2016.11.008
- Leonard RJ, White C, McKenzie S, Belafsky PC. Effects of bolus rheology on aspiration in patients with dysphagia. J Acad Nutrit Dietet. (2014) 114:590–4. doi: 10.1016/j.jand.2013.07.037
- Cho HM, Yoo B. Rheological characteristics of cold thickened beverages containing xanthan gum-based food thickeners used for dysphagia diets. J Acad Nutrit Dietet. (2015) 115:106–11. doi: 10.1016/j.jand.2014. 08.028
- Seo CW, Yoo B. Steady and dynamic shear rheological properties of gum-based food thickeners used for diet modification of patients with dysphagia: effect of concentration. *Dysphagia* (2012) 28:205–11. doi: 10.1007/s00455-012-9433-x
- Ishihara S, Nakauma M, Funami T, Odake S, Nishinari K. Swallowing profiles of food polysaccharide gels in relation to bolus rheology. *Food Hydrocolloids* (2011) 25:1016–24. doi: 10.1016/j.foodhyd.2010.09.022
- Hayakawa F, Kazami Y, Ishihara S, Nakao S, Nakauma M, Funami T, et al. Characterization of eating difficulty by sensory evaluation of hydrocolloid gels. *Food Hydrocolloids* (2014) 38:95–103. doi: 10.1016/j.foodhyd.2013.11.007
- Cho HM, Yoo W, Yoo B. Effect of NaCl Addition on rheological behaviors of commercial gum-based food thickener used for dysphagia diets. *Prev Nutrit Food Sci.* (2015) 20:137–42. doi: 10.3746/pnf.2015.20.2.137
- 62. Cichero JAY, Murdoch BE. *Dysphagia: Foundation, Theory and Practice.* Hoboken, NJ: Wiley (2006).
- Edsman KLM, Wiebensjö ÅM, Risberg AM, Öhrlund JÅ. Is there a method that can measure cohesivity? cohesion by sensory evaluation compared with other test methods. *Dermatol Surgery* (2015) 41:S365–72. doi: 10.1097/DSS.00000000000550
- Tobin AB, Heunemann P, Wemmer J, Stokes JR, Nicholson T, Windhab EJ, et al. Cohesiveness and flowability of particulated solid and semisolid food systems. *Food Function* (2017) 8:3647–53. doi: 10.1039/C7FO0 0715A
- 65. Beck AM, Kjaersgaard A, Hansen T, Poulsen I. Systematic review and evidence based recommendations on texture modified foods and thickened liquids for

adults (above 17 years) with oropharyngeal dysphagia – An updated clinical guideline. *Clin Nutr.* (2017). doi: 10.1016/j.clnu.2017.09.002. [Epub ahead of print].

- Carnaby G, Hankey GJ, Pizzi J. Behavioural intervention for dysphagia in acute stroke: a randomised controlled trial. *Lancet Neurol.* (2006) 5:31–7. doi: 10.1016/S1474-4422(05)70252-0
- Robbins J, Gensler G, Hind J, Logemann JA, Lindblad AS, Brandt D, et al. Comparison of 2 interventions for liquid aspiration on pneumonia incidence: a randomized trial. *Ann Intern Med.* (2008) 148:509–18. doi: 10.7326/0003-4819-148-7-200804010-00007
- DePippo KL, Holas MA, Reding MJ, Mandel FS, Lesser ML. Dysphagia therapy following stroke: A controlled trial. *Neurology* (1994) 44:1655–60. doi: 10.1212/WNL.44.9.1655
- Sharma M, Kristo E, Corredig M, Duizer L. Effect of hydrocolloid type on texture of pureed carrots: Rheological and sensory measures. *Food Hydrocolloids* (2017) 63:478–87. doi: 10.1016/j.foodhyd.2016.09.040
- Yamada T, Matsuo K, Izawa M, Yamada S, Masuda Y, Ogasawara T. Effects of age and viscosity on food transport and breathing-swallowing coordination during eating of two-phase food in nursing home residents. *Geriatr Gerontol Int.* (2017) 17:2171–7. doi: 10.1111/ggi.13056
- Müller A, Dolder A, Jenzer H. PP-017 Dysphagia patients need semisolid oral dosage forms prepared by thickening liquids. *Eur J Hospital Pharmacy* (2014) 21(Suppl. 1):A128.2–A9. doi: 10.1136/ejhpharm-2013-000436.316
- 72. Funami T. In vivo and rheological approaches for characterizing food oral processing and usefulness of polysaccharides as texture modifiers- a review. *Food Hydrocolloids* (2017) 68:2–14. doi: 10.1016/j.foodhyd.2017.01.020
- Ortega O, Martín A, Clavé P. Diagnosis and Management of Oropharyngeal Dysphagia Among Older Persons, State of the Art. J Am Med Direct Assoc. (2017) 18:576–82. doi: 10.1016/j.jamda.2017.02.015

**Conflict of Interest Statement:** The authors declare that the research was conducted in the absence of any commercial or financial relationships that could be construed as a potential conflict of interest.

Copyright © 2018 Sukkar, Maggi, Travalca Cupillo and Ruggiero. This is an openaccess article distributed under the terms of the Creative Commons Attribution License (CC BY). The use, distribution or reproduction in other forums is permitted, provided the original author(s) and the copyright owner(s) are credited and that the original publication in this journal is cited, in accordance with accepted academic practice. No use, distribution or reproduction is permitted which does not comply with these terms.