

# Oral immunoglobulin levels are not a good surrogate for cervical immunoglobulin levels

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Background: We sought to determine whether oral secretions could be used as a surrogate for cervical secretions for monitoring cervical immunoglobulin (Ig) levels. To do so, we examined (1) whether oral IgG and IgA levels correlated with those observed at the cervix, and (2) whether time of menstrual cycle and other factors previously reported to influence cervical Ig levels were associated with oral IgG and IgA levels. Methods: We obtained oral samples from a group of 85 Costa Rican woman 25-35 years of age measured at three time points during one menstrual cycle. Total IgG and IgA levels were measured by ELISA. Generalized estimating equations methods that account for repeated measures were used to evaluate the association between oral and cervical lg levels and to evaluate the association between various covariates and oral IgA and IgG levels. Results: We did not observe an association between oral and cervical IgG [linear regression coefficient (LRC) 0.01; 95% Cl, -0.05 to 0.07] and IgA levels (LRC 0.02; 95% Cl, -0.04 to 0.08). Oral IgG and IgA levels were not influenced by phase of menstrual cycle, in contrast to what has previously been observed for cervical Ig levels. Conclusion: Our data suggest that oral IgG and IgA measures are not a good surrogate for cervical IgG and IgA levels. Future studies should examine whether antigen-specific antibody responses induced by vaccination correlate across mucosal sites.

Keywords: oral fluid, IgG, IgA, cervical secretion, menstrual cycle

### **INTRODUCTION**

During the past decade, oral sampling has gained increased attention as an alternative to plasma in monitoring immunity in epidemiological studies. Oral sampling has a number of advantages when compared to venipuncture, including the fact that sampling is simple, non-invasive, painless, and allows for self-collection (Wade and Haegele, 1991; Kirschbaum and Hellhammer, 1994). Many biomarkers have been evaluated in oral secretions including hormones (Riad-Fahmy et al., 1982; Wade and Haegele, 1991), vaccine-induced antibodies (Kozlowski et al., 1999; Rowhani-Rahbar et al., 2009), and cytokines (Streckfus et al., 2002). This makes oral secretions a relevant source for monitoring hormone levels and antibody responses in vaccinated individuals. We were interested in determining whether oral immunoglobulin (Ig) levels represent a good surrogate for cervical mucosal Ig levels. This is of particular relevance for monitoring recipients of the recently licensed prophylactic HPV vaccines (Muñoz et al., 2009; Paavonen et al., 2009). The new HPV vaccines induce a strong antibody response that is believed to be responsible for the protection against vaccine-related type infections. Because vaccination is administered systemically whereas protection occurs locally at the genital tract mucosa, we have had an interest in better understanding the patterns of both systemic and local immune responses and their interrelationship.

We and others have shown that Ig levels in cervical secretions may be hormonally influenced, as reflected by fluctuations in cervical IgG and IgA during the menstrual cycle, with levels being lowest around ovulation and higher in the follicular and luteal phases of the cycle (Nardelli-Haefliger et al., 2003; Safaeian et al., 2009a). In contrast, systemic Ig levels have been shown not to be affected by the menstrual cycle and do not correlate with cervical Ig levels (Safaeian et al., 2009b).

It is still unknown whether oral levels of Ig reflect those at the cervix. To determine whether oral secretions can be used as an alternative to cervical secretions to monitor local Ig levels, we examined (1) the association between oral and cervical Ig (both IgG and IgA) levels, and (2) the determinants of total oral IgG and IgA levels to see whether they are similar to those observed at the cervix.

#### **MATERIALS AND METHODS**

#### POPULATION

To evaluate mucosal and systemic levels of total IgG and IgA over the menstrual cycle, a subset of young adult women from Costa Rica who were participants in a NCI-sponsored study designed to examine the natural history of HPV infection and cervical intraepithelial neoplasia (Herrero et al., 1997) were contacted if they were 25–35 years old, had no evidence of high-grade cervical lesions, were not pregnant, and had an intact uterus. The details of this study have been described previously (Safaeian et al., 2009a). In brief, a total of 202 women were invited to participate and asked to agree to an interview and the collection of cervical and oral secretions (blood was also collected and results reported previously; Safaeian et al., 2009b) at three time points during one menstrual cycle within the following time frames: visit 1 (follicular phase) 5-8 days after cycle onset, visit 2 (periovulatory phase) 14-16 days after cycle onset, and visit 3 (luteal phase) 19-22 days after cycle onset. After excluding women who were pregnant (n=3), currently breast-feeding (n = 17), or had menstrual cycles that were either too short (<25 days) or too long (>36 days; n = 28), we selected for study a subset of 104 women, 20 of whom were oral contraceptive users (the remaining were naturally cycling). For the present analysis, we further excluded women who did not provide specimens at all three visits (n = 1), whose oral sponge weight was unusually low (lower than the mean weight of dry sponges; n = 4), whose cervical sponge weight was unusually low (n = 13), and whose cervical IgG and IgA levels were considered to be outliers (n = 1). A total of 85 women were included in the final analysis. All participants signed an informed consent, and the study was approved by the ethical committees at the National Cancer Institute, USA and INCIENSA, Costa Rica.

#### SAMPLE COLLECTION AND EXTRACTION

Oral samples were collected using the cellulose-based Ultracell sponges by holding a sponge on the inner cheek for 15 s and turning it over to collect oral secretions for another 15 s on the opposite cheek. Collection of cervical secretions has been described previously (Kemp et al., 2008; Safaeian et al., 2009a). Following collection, the sponges (both oral and cervical) were immediately stored in liquid nitrogen. The secretions were extracted from Ultracell sponges according to the following protocol. Three hundred microliter of extraction buffer (PBS, Invitrogen, Grand Island, NY, USA; 1.5% NaCl, Sigma-Aldrich, St. Louis, MO, USA; 100 µg/ml Aprotinin, Sigma-Aldrich; 150 ng/ml mouse IgG1, extraction control, BD Biosciences, San Jose, CA, USA) was added to the top of each sponge. The sponges were incubated at 4°C for 30 min prior to centrifugation at  $13,000 \times g$  for 15 min at 4°C. An additional 300 µl of extraction buffer (sans extraction control) was added to each sponge and immediately centrifuged. Prior to adding  $4 \mu l$ of fetal calf serum for storage, 20 µl of extract was saved for protein analysis, and 5 µl was tested for presence of hemoglobin (Hemastix, Bayer, Elkhart, IN, USA).

#### DETERMINATION OF IMMUNOGLOBULIN LEVELS

Total human IgG, human IgA, and extraction control (mouse IgG1) were measured in duplicate using an ELISA according to the manufacturer's protocol (Bethyl Laboratories, Montgomery, TX, USA). In order to account for variations in the amount of oral secretion collected between participants, the antibody levels were standardized based on the following formula: [specimen weight (g) – mean dry sponge weight (g) + 0.6 (g)]/[specimen weight (g) – mean dry sponge weight]; 0.6 (g) refers to the weight of the extraction buffer added to each specimen. Furthermore, we added a standardized amount of mouse IgG1 to the extraction

#### **STATISTICAL ANALYSES**

The IgG and IgA levels were normalized by log transformation. Geometric mean titers (GMT) of oral IgG and IgA were computed and reported by categories of the covariates examined. Our main objective was to examine the association of oral IgG and IgA levels with cervical IgG and IgA, respectively. Other variables considered as potential confounders were: menstrual cycle phase (follicular, periovulatory, and luteal), oral contraceptive use (current versus not), age (25-29, 30-32, and 33-35 years), smoking (current versus not), cervical HPV infection (current versus not), acute illness (current versus not), parity (0, 1–3, and  $\geq$ 4), saliva sponge weight (<0.21 versus >0.21 g), and presence of hemoglobin (negative, trace, and positive). The Kruskal-Wallis test was used to assess significant changes in oral IgG and IgA levels during the three menstrual cycle phases. Because we had three observations for each participant, we applied generalized estimating equations (GEE) models for correlated data to determine which factors were associated with oral IgG and IgA levels. Robust methods for linear regression with unstructured correlation structure were used to estimate standard errors and coefficients adjusted for multiple observations for each participant. A p < 0.05 is considered significant. LRC and associated 95% confidence intervals (CI) are presented. Analysis was performed with STATA 9.2 (StataCorp LP, College Station, TX, USA), SAS 9.3, and JMP 7 statistical programs (SAS Institute Inc., Cary, NC, USA).



**FIGURE 1 | Association of oral IgG to oral IgA levels.** Oral samples collected from the three phases of the menstrual cycle from 85 participants were measured for IgG and IgA levels. The graph represents a scatter plot of untransformed levels of oral IgG and IgA from all three phases of the menstrual cycle from each participant, and a *p*-value <0.05 was considered significant. LRC, Linear Regression Coefficient.

### RESULTS

A total of 85 women were included in this analysis. Each woman was sampled at three time points during a single menstrual cycle for a total of 255 oral samples with matching cervical samples. The overall geometric mean concentration of oral IgG and IgA from the 255 samples was 19.6 and 101  $\mu$ g/ml, respectively. The levels of total IgG in oral samples were 30-fold lower than the IgG levels found in the cervix (GMT for cervical IgG = 567  $\mu$ g/ml), and the levels of IgA in oral samples were 2.5-fold lower than the levels of IgA detected in the cervix (GMT for cervical IgA = 269  $\mu$ g/ml).



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Oral IgG levels were significantly associated with oral IgA levels from the 255 samples considered as a whole (LRC: 1.82; 95% CI, 1.70–1.96; **Figure 1**).

Because, we previously reported that cervical levels of IgG and IgA were significantly lower during the periovulatory phase of the menstrual cycle among naturally cycling women (Safaeian et al., 2009a), we examined whether the menstrual cycle affected oral IgG and IgA levels. In contrast to what we reported previously at the cervix, we observed no significant change in oral levels of IgG (p = 0.1) or IgA (p = 0.2) between phase of menstrual cycle (**Figure 2**). This was true overall (**Figure 2A**) and in analysis stratified by oral contraceptive use (**Figure 2B,C**).

**Figure 3A** depicts the association between oral IgG and cervical IgG from the 255 samples considered as a whole regardless of the phase of the menstrual cycle. Oral IgG levels were not associated with cervical IgG levels (LRC: 0.01; 95% CI, -0.05 to 0.07). Similarly as depicted in **Figure 3B**, oral IgA levels were not associated with cervical IgA levels (LRC: 0.02; 95% CI, -0.04 to 0.08).

When other potential determinants of oral IgG and IgA levels were evaluated (**Table 1**), only sponge weight was significantly associated with oral IgG (LCR: -0.72, 95% CI, -0.86 to -0.59) and oral IgA levels (LCR: -0.54; 95% CI, -0.66 to -0.42). Although not statistically significant, we did observe that oral IgG and IgA levels were elevated in specimens which hemoglobin was detectable by our Hemastix strip test (**Table 1**).

#### DISCUSSION

Saliva represents a potential alternative sample collection method for immune monitoring studies. This could simplify efforts to monitor the long-term immunological impact of vaccines such as the recently approved prophylactic HPV vaccines. However, it is still unknown whether saliva levels of antibodies reflect local levels at the cervix. In this study, we evaluated whether total oral Ig measures were good proxies for genital tract Ig levels. The aims were to (1) directly compare Ig levels measured in the oral cavity against those measured at the cervix and (2) examine whether factors previously found to affect levels of Ig at the cervix were also associated with oral Ig levels. We showed that IgG and IgA in oral secretions are lower than levels found in the cervix and not reflective of IgG or IgA levels in the cervix. The overall levels of oral IgG and IgA reported here are in agreement with other studies (Norhagen et al., 1989; Tamashiro and Constantine, 1994; Engstrom et al., 1996; Kozlowski et al., 1999), and the levels of oral IgG and IgA suggest that the collection device in our study absorbed whole saliva, which consists of all oral mucosa products. Furthermore, these results suggest that our collection and storage method preserved immunoglobulins even though oral fluid contains enzymes capable of degrading proteins such as immunoglobulins.

We examined the association of oral IgG and IgA with menstrual cycle and hormonal contraceptive use. Oral IgG and IgA levels were not affected by phase of menstrual cycle or by oral contraceptive use. These observations, while consistent with previously reported findings for plasma levels (Safaeian et al., 2009b), contrast with the reported strong effect of menstrual cycle and oral contraceptive use on cervical Ig levels (Safaeian et al., 2009a), further reinforcing the need for caution when using oral Ig measurements to reflect levels at the cervix. Although our study did not directly measure antigen-specific vaccine-induced antibody levels, our results suggest caution in using oral specimens to monitor long-term impact of vaccination programs such as the recently approved HPV vaccine.

The only statistically significant correlate of oral IgG and IgA we identified was oral sponge collection weight. The increased IgG and IgA levels among lighter weight sponges suggests that the calculated dilution factor may artificially increase the concentration of oral IgG and IgA when low levels of fluid are obtained.

Previous studies have shown that there was modest to high correlation between cervical and plasma anti-HPV16 levels (Nardelli-Haefliger et al., 2003; Kemp et al., 2008); however, this was shown to be the case among individuals who received the HPV vaccine only when menstrual cycle fluctuations were controlled by oral contraceptive use (Nardelli-Haefliger et al., 2003). Although antigen-specific responses have been reported to be detected in oral fluid from vaccine recipients (Rowhani-Rahbar et al., 2009), it is still unclear whether such responses would correlate with levels observed at the cervix among naturally cycling women.





and IgA **(B)** levels are plotted based on untransformed values and include the three phases of the menstrual cycle from each participant. A *p*-value <0.05 was considered significant, and LRC stands for Linear Regression Coefficient.

#### Table 1 | Association between covariates of interest and oral IgG and IgA ( $\mu$ g/mI) levels.

	N	lgG (μg/ml) GMTª	lgG LBC (95% Cl) <sup>b</sup>	lgA (μg/ml) GMTª	IgA LBC (95% CI) <sup>b</sup>
Oral Ig <sup>u</sup>	255	19.6		101.3	
Cervix Ig <sup>a</sup>	255	566.7	0.01 (-0.05 to 0.07)	268.6	0.02 (-0.04 to 0.08)
Plasma Ig <sup>a</sup>	255	8204.5	0.74 (0.12 to 1.35)	2030.1	-0.03 (-0.32 to 0.25)
AGE					
27–30	84	22	-0.05 (-0.11 to 0.01) <sup>c</sup>	98.5	0.01 (-0.04 to 0.06) <sup>c</sup>
31–33	96	19.4		101.0	
34–35	75	17.4		105.0	
ORAL COLLEC	TION SPONGE W	/EIGHT			
>0.21 g	130	12.9	Reference	74.9	Reference
<0.21 g	125	30.1	−0.72 (−0.86 to −0.59)	138.6	−0.54 (−0.66 to −0.42)
HEMOGLOBIN	IN ORAL SECRE	TIONS			
Negative	21	17.2	Reference	95.6	Reference
Trace	184	17.3	-0.09 (-0.44 to 0.26)	97.2	-0.04 (-0.34 to 0.26)
Positive	50	32.2	0.23 (-0.17 to 0.62)	120.7	0.10 (-0.23 to 0.43)
CURRENT OC	USE				
No	191	19.3	Reference	98.6	Reference
Yes	44	18.0	0.01 (-0.31 to 0.34)	104.2	0.06 (-0.2 to 0.32)
HPV INFECTIO	N				
No	207	19.5	Reference	102.1	Reference
Yes	42	18.5	-0.05 (-0.44 to 0.34)	95.1	-0.08 (-0.38 to 0.23)
PARITY					
0	30	19.8	Reference	124.4	Reference
1–3	162	17.5	-0.12 (-0.57 to 0.33)	91.6	-0.30 (-0.65 to 0.04)
≥4	63	25.7	0.27 (-0.23 to 0.77)	119.1	-0.04 (-0.43 to 0.35)
CURRENT ILLN	IESS				
No	174	19.9	Reference	101.6	Reference
Yes	81	18.9	-0.02 (-0.19 to 0.15)	100.6	0.02 (-0.13 to 0.16)
CURRENT SMC	OKER		· · · ·		
No	228	19.1	Reference	97.6	Reference
Yes	27	23.5	0.22 (-0.25 to 0.69)	139.1	0.35 (-0.01 to 0.72)

<sup>a</sup> Geometric mean values of IgG and IgA are based on log transformed values which have been transformed back to the original measurements.

<sup>b</sup>The Generalized Estimation Equation (GEE) model was used to evaluate the associations between the listed covariates and log transformed oral IgG and IgA values. The Linear Regression Coefficient (LRC) values are presented along with 95% CIs.

<sup>c</sup>Age entered as a continuous variable in the model.

<sup>a</sup>The immunoglobulin measures presented reflect the geometric mean of all samples regardless of the menstrual cycle.

Bold text represents an LRC being significant at p < 0.05.

In conclusion, our data suggest that oral IgG and IgA are not a good surrogate for total IgG and IgA measures in cervical secretions. Future studies will need to assess whether antigen-specific antibody responses in oral samples obtained from vaccinees may better reflect levels observed in cervix before such markers can be used as an alternative to cervical secretion measures when monitoring local antigen-specific humoral responses.

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