



Immune Thrombocytopenia Induced by Immune Checkpoint Inhibitors in Solid Cancer: Case Report and Literature Review

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Liu X, Liang X, Liang J, Li Y and Wang J (2020) Immune Thrombocytopenia Induced by Immune Checkpoint Inhibitors in Solid Cancer: Case Report and Literature Review. Front. Oncol. 10:530478. doi: 10.3389/fonc.2020.530478 Immune checkpoint inhibitors, including antibodies targeting programmed cell death protein-1 (PD-1) and its receptor programmed cell death ligand-1 (PD-L1), represent promising therapeutic strategies for advanced human malignancies. However, a subgroup of patients experiences various autoimmune toxicities, termed immune-related adverse events (irAEs), that occur as a result of on-target and off-tumor autoimmune responses. Although irAEs are generally confirmed to be less severe than toxicities caused by conventional chemotherapy and targeted therapy, uncommon irAEs, such as immune thrombocytopenia, may occur with a very low incidence and sometimes be severe or fatal. This review focuses on the epidemiology, clinical presentation, and prognosis of immune thrombocytopenia occurring in advanced cancer patients induced by immune checkpoint inhibitors, especially in those with PD-1 or PD-L1 inhibitor treatment. We also first present one patient with non-small cell lung cancer who received the PD-L1 inhibitor durvalumab and developed severe thrombocytopenia.

Keywords: programmed cell death 1 inhibitor, programmed cell death ligand 1, immune thrombocytopenia, immune checkpoint inhibitor, durvalumab, immune-related adverse event

INTRODUCTION

Immune checkpoint inhibitors (ICIs) are intended to destroy tumor cells by disrupting the immunoinhibitory signals mediated by programmed cell death protein-1 (PD-1), programmed cell death ligand-1 (PD-L1), and cytotoxic T-lymphocyte-associated protein 4 (CTLA-4) and unleash the power of the body's immune system (1). In particular, immunotherapy with anti-PD-1/PD-L1 antibodies has resulted in durable tumor remission and changed the treatment landscape in non-small cell lung cancer (NSCLC) and melanoma, and the application of PD-1/PD-L1 inhibitors is expending to various other human tumors (2).

Abbreviations: ALK, Anaplastic lymphoma kinase translocations; CT, Computerized tomography; CTLA-4, Cytotoxic T-lymphocyte-associated protein 4; EGFR, Endothelial growth factor receptor; FDA, United States Food and Drug Administration; irAEs, Immune-related adverse events; ICI, Immune checkpoint inhibitor; IVIG, Intravenous immunoglobulin; NSCLC, Non-small cell lung cancer; PD-1, Programmed cell death protein-1; PD-L1, Programmed death ligand-1; TPO, thrombopoietin; TRA, thrombopoietin receptor agonist.

ICIs are generally recognized as tolerable agents that are associated with unique side effects termed immune-related adverse effects (irAEs), and these toxicities may concern any organ or tissue. However, irAEs present in nearly 50% of patients treated with PD-1/PD-L1 inhibitors, and 10%-15% of patients are likely to develop grade 3-4 or potentially life-threatening events with variable clinical implications, leading to a discontinuation of immunotherapy. Fatigue, loss of appetite, rash, pruritis, pneumonitis, hepatitis, and hypothyroidism are identified as usual irAEs (3). Use of PD-1/PD-L1 inhibitors also results in a variety of irAEs at a low frequency, such as eye, cardiac, neurological, and hematologic toxicities. Hematologic disorders include aplastic anemia, neutropenia, thrombocytopenia, bi-cytopenia, hypereosinophilia, and pancytopenia. Generally, hematologic complications are much less frequently found in patients treated with anti-PD-1/PD-L1 therapy compared to those induced with classic cytotoxic chemotherapy, but some are potentially life threatening. An increased number of cases of hematologic disorders have been reported since 2017, which may be attributed to increased application of ICIs and improved recognition of adverse effects (4). In particular, immune thrombocytopenia induced by ICIs has been reported in a few NSCLC, melanoma, and other malignancies (5-25). We herein describe one case of immune thrombocytopenia induced by the PD-L1 inhibitor durvalumab and its clinical management. We also review the epidemiology, clinical presentation, and prognosis of immune thrombocytopenia occurring in advanced cancer patients caused by ICIs.

CASE PRESENTATION

In July 2018, an 82-year-old woman was referred to our hospital. Her medical history was not remarkable. A computed tomography (CT) scan of the chest revealed a mass in her left upper lobe with mediastinum lymph node metastasis and left pleural effusion. She was initially diagnosed with lung adenocarcinoma by fiberoptic bronchoscopy. Molecular mutation analysis showed that her tumor did not harbor any driver gene alterations, such as EGFR, ALK, and ROS1. Immunohistochemical staining of tumor tissue showed that PD-L1 expression was found in >25% of tumor cells. In August 2018, she entered a clinical study and received her first infusion of the anti-PD-L1 antibody durvalumab as first-line therapy. At that time, baseline blood tests were normal. After 4 infusions of durvalumab, she got a partial response by a CT scan and no severe toxicities in October 2018, and immunotherapy was continued. Shortly after receiving 20 cycles of durvalumab treatment, this patient developed thrombocytopenia (platelet level: $100 \times 10^3/\mu$ L) with normal hemoglobin and normal white cell counts. Unfortunately, 2 weeks later, her thrombocytopenia worsened with a sudden decrease to $38 \times 10^3/\mu$ L platelet level. A bone narrow biopsy was performed on October 20, 2018, and showed no dysplastic changes. Abnormality of lymphoid and megakaryocytic cell lines were not found, and evidence of metastatic cancer invasion was not present. Antinuclear and antiplatelet antibodies were not abnormal. Other laboratory tests were normal or negative. After excluding chemotherapy, infectious etiology, or other drug-induced thrombocytopenia, we considered a diagnosis of immune thrombocytopenia induced by durvalumab. She received a high-dose steroid (1 mg/kg) for 4 consecutive days and was treated with recombinant human thrombopoietin (TPO), but platelets did not recover. She received two platelet transfusions, but the platelet count was not increased. The lowest platelet level was $12 \times 10^3 / \mu$ L on October 15, 2019. Thus, immunotherapy with durvalumab was definitely discontinued because of unacceptable toxicities. Subsequent treatments, including continuing platelet transfusions and infusion of intravenous immunoglobulin (IVIG), were not effective (Figure 1). Finally, this patient unfortunately died of acute upper gastrointestinal hemorrhage.

INCIDENCE AND ONSET OF IMMUNE THROMBOCYTOPENIA INDUCED BY ICIS

Fatigue, loss of appetite, rash, pruritis, pneumonitis, hepatitis, and hypothyroidism are identified as usual irAEs. However, hematologic disorders are uncommon. Rare cases of leukopenia, thrombocytopenia, bi-cytopenia, hypereosinophilia, and pancytopenia have been reported. Basically, thrombocytopenia was reported as an independent hematologic adverse event. Recently, a NSCLC patient who developed concomitant



immune-related thrombocytopenia and hypothyroidism following nivolumab treatment was also reported (5).

Although ICIs have been approved for treating various types of human malignancies, immune-related thrombocytopenia is most likely to be reported in NSCLC (5–11, 24, 25), melanoma (12–20), lymphoma (21), pancreatic cancer (22), and renal cell carcinoma (23), which is consistent with retrospective data from multiple institutions (26). Previous case and retrospective studies show that immune thrombocytopenia occurred in cancer patients treated with PD-1 inhibitor monotherapy, such as nivolumab or pembrolizumab, or a CTLA-4 inhibitor, or a combination with PD-1 and a CTLA-4 inhibitor (**Table 1**). Here, we report the first case of a lung cancer patient who developed a late-onset autoimmune cause of thrombocytopenia during PD-L1 inhibitor durvalumab monotherapy.

The absolute frequency of hematologic irAEs, including immune thrombocytopenia, is unknown. The frequency of thrombocytopenia in clinical phase II–III trials involving nivolumab monotherapy or combined with brentuximab is between 6% and 13% for all grades and between 1% and 10%

Authors	Year	Tumor type	Age (years)	Gender	ICI	Cycles	Lowest PLT (/ul)	Concurrent irAE	PA-IgG (ng/10 ⁷ cells)	irAE Treatment	BRT	Final outcome
Lung cancer												
Present case	201	19 LAC	82	Female	D	12	12000	None	NR	Steroid, IVIG	PR	Died of irAE
Mouri et al. (24)	202	20 LAC	66	Male	Ρ	1	3000	None	154	Steroid	PR	Recovered
Dickey et al. (25)	202	20 LSCC	60	Female	Ρ	5	77000	Anemia	NR	Steroid	PD	Recovered
Hasegawa et al.	(6) 201	19 LAC	82	Female	Ν	2	2000	None	223	Steroid, IVIG, TRA	NR	Died of irAE
Song et al. (7)	201	9 NSCLC	65	Male	Ρ	7	0	None	NR	Steroid, IVIG, TRA	SD	NR
Mori et al. (8)	201	19 NSCLC	77	Male	Ν	1	2000	Pneumonitis	1130	Steroid	SD	Recovered
Tokumo et al. (1 ⁻) 201	18 LAC	56	Male	Ν	3	19000	Pancytopenia	NR	Steroid, IVIG	PR	Died of Cancer
Jotatsu et al. (5)	201	17 NSCLC	62	Male	Ν	2	1600	Hypothyroidism	473	Steroid	PR	Recovered
Karakas et al. (9)	201	17 NSCLC	78	Male	Ν	6	5000	None	NR	Steroid	NR	Died of Cancer
Bagley et al. (10) Melanoma	201	I6 LSCC	34	Male	Ν	8	33000	None	NR	TRA	PR	Recovered
Berger et al. (12)	2019	Melanoma	57	Female	Ρ	11	10000	Anemia	NR	Steroid, CTX, IVIG	PD	Died of Cancer
Sadaat et al. (13)	2018	Melanoma	58	Male	Ρ	6	10100	HLH	NR	Steroid	PR	Recovered
Philipp et al. (14)	2018	Melanoma	46	Female	N, I	2	7000	Neutropenia	NR	Steroid, IVIG	CR	Recovered
Takimoto et al. (15)	2018	Melanoma	79	Female	N, I	2	2000	None	NR	Steroid, IVIG, TRA	CR	Recovered
Shiuan et al. (16)	2017	Melanoma	47	Female	N, I	1	5000	None		Steroid, IVIG, rituximab, TRA	PR	Recovered
Shiuan et al. (16)	2017	Melanoma	45	Female	N, I	1	75000	None	NR	Steroid, IVIG, rituximab	PD	NR
Pföhler et al. (20)		Melanoma	73	Male	Ρ	1	10800	None	NR	Steroid	PD	Died of Cancer
nadomi et al. (17)		Melanoma	73	Male	Ν	7	23000	Bi-cytopenia	28.4	Steroid	PD	Died of Cancer
Kanameishi et al. (18)		Melanoma	75	Female	Ν	2	2000	None	NR	Steroid, IVIG, TRA	NR	Recovered
_e Roy et al. (19)		Melanoma	34	Female	Ρ	1	100	None	NR	Steroid, IVIG	NR	Recovered
₋e Roy et al 19) Others	2016	Melanoma	51	Female	Ρ	9	9000	None	NR	Steroid	CR	Recovered
yama et al. (22)	2020	Pancreatic cancer	54	Female	Ν	2	12000	None	Slightly elevated	Steroid, IVIG, CSA	NR	Recovered
Hata et al. (23)	2020	RCC	70	Male	Ν	8	17000	None	73	Steroid, IVIG, TRA	NR	Died of Cancer
Bulbul et al. (21)	2017	HL	32	Female	Ν	15	29000	Leucopenia	ND	Steroid, IVIG	CR	Recovered

LAC, lung adenocarcinoma; NSCLC, non-small cell lung cancer; LSCC, lung squamous cell carcinoma; RCC, renal cell carcinoma; HL, Hodgkin's lymphoma; ICI, immune checkpoint inhibitor; D, durvalumab; N, nivolumab; P, pembrolizumab; I, ipilimumab; irAE, immune-related adverse event; HLH, Hemophagocytic Lymphohistiocytosis; NR, not reported; ND, not detected; IVIG, intravenous immunoglobulin; TRA, thrombopoietin receptor agonist; CTX, cyclophosphamide; BRT, best response to treatment; PR, partial response; CR, complete response; SD, stable disease; PD, progressive disease; CSA, cyclosporine.

for grades 3 to 4 (27-29). Phase II-III trials seem to report a higher frequency of immune thrombocytopenia than retrospective studies involving multiple institutions or large data sets. For example, Shiuan et al. reported nearly 0.5% of metastatic melanoma patients experienced immune thrombocytopenia by reviewing a total of 2360 patients with melanoma receiving checkpoint inhibitor therapy from five large institutions in the United States (16). Data from a descriptive observational study including cancer patients registered in three French pharmacovigilance databases showed that PD-1/PD-L1 inhibitorinduced hematological irAEs had a frequency of 0.5%. Of them, thrombocytopenia was also the most common type of hematological irAEs (26). Davis et al. identified 168 cases of immune thrombocytopenic purpura complicating ICI therapy in individual case safety reports using the World Health Organization's pharmacovigilance database VigiBase (4). Immune thrombocytopenic purpura was the most common reported hematologic toxicity. However, VigiBase does not capture the number of individuals actually exposed to a given ICI, so the absolute frequency of hematologic toxicities cannot be calculated. In a meta-analysis conducted by Wang et al., the relative risks of alland high-grade thrombocytopenia were lower in patients who received ICIs than those in control therapies (30). A low incidence of high-grade thrombocytopenia was observed in cancer patients treated with PD-1 inhibitor monotherapy compared to those with PD-1 inhibitor combination therapy (31). Combined analysis showed that only 2% of patients developed all-grade thrombocytopenia (95% CI: 1%-5%), but the use of a PD-1/PD-L1 inhibitor in combination therapy with ipilimumab, peptide vaccines, or chemotherapy had significantly higher risks than PD-1 inhibitor monotherapy (6%, 95% CI: 2%-18%) (30). However, the addition of pembrolizumab to chemotherapy failed to improve the risk of thrombocytopenia with an incidence of 5% for combination therapy in the randomized KEYNOTE-021 study (32). Although hematologic disorders have been found in diverse tumor types, the incidence rate and severity of all-grade thrombocytopenia, leukopenia, and neutropenia appeared to be similar in NSCLC and melanoma, which was reported in a meta-analysis (30).

Furthermore, immune thrombocytopenia is an unusual irAE, but deaths caused by this severe adverse event were reported. In a recently published meta-analysis involving 125 clinical trials and 20,128 patients, a total of 82 deaths (0.45%) were reviewed. Thrombocytopenic (1.2%) or immune thrombocytopenic purpura (1.2%) were identified as uncommon causes of treatment-related death (33). In VigiBase, death was reported in 11% of immune thrombocytopenia cases (4). Thus, immune thrombocytopenia is a potentially life-threatening side effect and should not be neglected in clinical practice.

Time of onset of development of immune thrombocytopenia is not clear. The risk for developing irAEs was usually highest during the initial 4 weeks of immunotherapy, but irAEs occurred in 5.7% of patients in the period from 4 weeks to the end of treatment as late-onset toxicity even in patients whose immunotherapy was terminated (34, 35). The median time to onset of hematological irAEs is similar to times for other irAEs. In a majority of reported cases, thrombocytopenia occurred as early as initial 1–2 cycles of treatment (5). Our and other case reports showed that it also could develop as a delayed toxicity in which thrombocytopenia happened after approximately 1–2 years of treatment (12, 21). Data from the VigiBase showed that immune thrombocytopenic purpura may develop at any time point with a median of 41 days (range from 14 to 321 days) (4).

DIAGNOSIS AND TREATMENT OF IMMUNE THROMBOCYTOPENIA INDUCED BY ICIS

Diagnosis of immune thrombocytopenia is difficult and challenging due to the lack of a specific test or marker. Although immune-related thrombocytopenia could be induced by ICIs, other secondary causes may lead to this disease, including infection and other therapeutic agents. The diagnosis depends on the exclusion of other causes of a low platelet count; additional investigations, such as bone marrow examination, may be necessary in some cases to exclude dysplasia or cancer invasion. In particular, when the irAEs concern platelets, it should be distinguished from a chemotherapy-induced thrombocytopenia for patients receiving chemotherapy plus immunotherapy because the prognosis and therapeutic management differs. Sometimes detecting the presence of a high platelet-associated IgG titer is helpful to confirm immune thrombocytopenia (8). Increased circulating PD-1+CD4+T cells and PD-L1+DCs and sPD-1 levels, which has been reported in idiopathic thrombocytopenic purpura, may be helpful in identifying immune-related thrombocytopenia (36, 37).

Approved treatments for immune thrombocytopenia are uncertain. Different clinical guidelines on the management of irAEs have been published by the European Society of Medical Oncology, the Society for Immunotherapy of Cancer, and the American Society of Clinical Oncology (38–40). Any new blood count abnormality, such as decreased platelet counts, should be considered as a potentially clinically significant event related to immunotherapy because the early onset of hematological toxicities developed as a grade 1 or 2 event could worsen within a short period. Similar to our case, platelet count was slightly decreased or only close to the lower limit of normal values ($100 \times 10^3/\mu$ L) before or during ICI therapy. It is possible that immunotherapy with ICIs aggravates thrombocytopenia *via* autoimmune activation, especially for elderly cancer patients.

Basically, regular management includes the use of recombinant human TPO or romiplostim, a recombinant TPO receptor agonist (TRA), and platelet transfusion. Other treatment strategies, such as IVIG, steroids (methylprednisolone pulse with maintenance therapy), splenectomy, using other immunosuppressive agents such as azathioprine and rituximab, were required. Of these interventions, steroids were the most frequently used agent for treating mild thrombocytopenia, but they are not always effective in managing immune-related severe thrombocytopenia caused by ICIs. Previous investigations indicate that idiopathic thrombocytopenic purpura patients with the HLA-DRB1*0410 or HLA-DRB1*0405 allele were originally resistant to steroid treatment (6), which needs to be validated in immune thrombocytopenia secondary to ICIs. Accordingly, these patients who are resistant to steroid treatment could timely switch to other treatments, such as IVIG, rituximab, and a TRA (16). On the other hand, the predictive value of irAEs caused by immunotherapy have been evaluated by a variety of retrospective studies (41-44). Objective response rate and survival were significantly improved in patients who experienced different irAEs compared with those who did not experienced them. Unlike other common specific irAEs (45), hematologic events, such as thrombocytopenia, has not been found to be linked to increased efficacy of immunotherapy, but half of the previously reported cases with immune thrombocytopenia responded well to immunotherapy (Table 1). In the present case report, this patient had a deep disease response beyond 12 months of immunotherapy. Thus, monitoring symptoms of bleeding and the blood cell count during and after any immunotherapy is very important to help recognize and identify patients at risk of bleeding early, especially those whose tumors were responsive to immunotherapy, and rapidly interfere with steroids and other agents to obtain consistent benefits and good outcomes.

MECHANISM OF IMMUNE THROMBOCYTOPENIA INDUCED BY ICIS

Although at least six different mechanisms of drug-induced thrombocytopenia have been proposed, the mechanism underlying thrombocytopenia induced by immune checkpoint blockade remains unclear (46). It is reasonable that the activation of the body's immune system contributes to immune-related thrombocytopenia and other hematologic disorders. The activation of CD4+ helper T cells and CD8+ cytotoxic T cells is involved in the immune response in patients receiving CTLA-4 or PD-1/PD-L1 inhibitors, resulting in the damage to hematopoietic stem cells (47). Furthermore, a circulating immune response may contribute to a decreased thrombocyte count. In NSCLC cases, nivolumab induced or increased production of platelet-specific IgG autoantibodies that could promote platelet destruction with immature platelets and megakaryocytes without abnormal cells in a bone marrow biopsy (5, 8). The pathogenesis of thrombocytopenia induced by ICIs is postulated to be similar to classical immune thrombocytopenia, including idiopathic thrombocytopenic purpura. For example, Wu et al. found that the levels of interferon-y, interleukin-17, and sPD-1 in the serum of patients with idiopathic thrombocytopenic purpura were increased, and IL-4 and transforming growth factor- β were decreased. Furthermore, activation of PD-1/PD-L1 signaling with sPD-L1

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may restore the imbalance of Th1/Th2 and Treg/Th17 cell subtypes, which could be a therapeutic strategy for idiopathic thrombocytopenic purpura or immune thrombocytopenia (36).

CONCLUSIONS

Autoimmune hematologic toxicities induced by ICIs, including thrombocytopenia, are viewed as rare irAEs, and increased application of ICIs in advanced malignancies contributes to increased reports of immune thrombocytopenia, but it should not be neglected in treating patients with ICIs because it is potentially life threatening in some cases. Oncologists should bear in mind that decreasing platelet counts represent an early sign of immune-related thrombocytopenia. In patients with immune thrombocytopenia, the risk of bleeding, arterial thromboembolism, or venous thrombosis is increased. Careful recognition, diagnosis, and differential diagnosis are required. Clinical management includes the use of steroid, IVIG, and platelet transfusion. However, the true mechanism of immunotherapy-related thrombocytopenia and its pathogenesis is unknown and further investigation is awaited.

ETHICS STATEMENT

Written informed consent was obtained from the participant for the publication of this case report and any potentially identifying clinical information.

AUTHOR CONTRIBUTIONS

XLL was involved in the identification and selection of patient cases and drafted the manuscript. XJL and JL were involved in the drafting and editing of the manuscript. YL reviewed and edited the manuscript. JW was involved in the identification, selection, and management of patient cases and reviewed and edited the manuscript. All authors contributed to the article and approved the submitted version.

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Conflict of Interest: The authors declare that the research was conducted in the absence of any commercial or financial relationships that could be construed as a potential conflict of interest.

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