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# Socioeconomic inequality in overweight/obesity among US children: NHANES 2001 to 2018

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**Background:** Previous research has found that the prevalence of childhood overweight/obesity varies depending on household income, ethnicity, and sex. The goal of our research is to examine changes over time in socioeconomic inequality and the prevalence of overweight/obesity among American children under five by sex and ethnicity.

**Methods:** This cross-sectional analysis used data from the National Health and Nutrition Examination Surveys (NHANES) collected from 2001–02 to 2017–18. Overweight/obesity in children under five [Body Mass Index (BMI)-for-age z-score >2 standard deviations] was defined according to the World Health Organization (WHO) growth reference standard. The slope inequality index (SII) and the concentration index (CIX) were used to measure the socioeconomic inequality in overweight/obesity.

Results: Between 2001–02 and 2011–12, childhood overweight/obesity in the United States decreased from 7.3% to 6.3%, and had increased to 8.1% by 2017–18. However, this pattern varied widely by ethnicity and sex. For both the 2015-16 and 2017-18 surveys, overweight/obesity was more concentrated in the poorest household quintile for overall Caucasian children ((SII = -11.83, IC 95% = -23.17, -0.49 and CIX = -7.368, IC 95% = -13.92, -0.82) and (SII = -11.52, IC 95% = -22.13, -0.91 and CIX = -7.24, IC 95% = -13.27, -1.21), respectively) and for males of other ethnicities  $[(\mathsf{SII} = -13.93, \ \mathsf{IC} \ 95\% = -26.95, \ -0.92) \ \text{and} \ \mathsf{CIX} = -8.55, \ \mathsf{IC} \ 95\% = -0.86, \ -16.25]$ and (SII = -21.19, IC 95% = -40.65, -1.74) and CIX = -13.11, IC 95% = -1.42,-24.80), respectively). In the last three surveys, overweight/obesity was also more concentrated in the poorest household quintile for the overall children of other ethnicities. With the exception of African American females in the 2013-14 survey, for whom overweight/obesity was significantly concentrated in a quintile of the richest households (SII = 12.60, 95% CI = 0.24, 24.97 and CIX = 7.86, 95% CI = 15.59, 0.12); overweight/obesity was found to be concentrated in the richest household quintile for overall African American children, but not significantly so.

**Conclusions:** Our findings give an update and reinforce the notion that overweight/ obesity in children under the age of five has increased and that related wealth inequalities are a public health problem in the United States.

#### KEYWORDS

overweight  $\boldsymbol{\vartheta}$  obesity, children under five, concentration index, slope index of inequality, NHANES

## Introduction

Overweight/Obesity among children in the United States has increased dramatically over the last three decades (1, 2), rising from 5% in 1971-1974 to 13.4% in 2017-2018 among children aged 2-5 years (3). Previous research has found that the prevalence of childhood obesity by family income varies by ethnic group, and sex (4, 5). During 2017-2018, Obesity was most prevalent in Hispanic children aged 2-19 years (25.6%), followed by African Americans (24.2%), Caucasians (16.1%), and Asians (8.7%) (6), and was greater in low-income households (18.9%) than in highincome households (10.9%) (7, 8). Studies of National Health and Nutrition Examination Surveys (NHANES) data have used the concentration index to quantify socioeconomic inequalities in overweight/obesity among adolescents (5) and adults (9). Several authors have recommended the use of inequality measures to study social inequalities in health (10-12). Unlike the standard method (regression analysis), it has the advantage of using the entire population to overcome the effect of small sample sizes in some subgroups and allows comparisons over time (13, 14). Given the complexity of obesity (15), which has many contributing elements such as biological, behavioral, genetic, environmental, and developmental components (16), it is crucial to identify the presence and evolution of socioeconomic inequalities between ethnicity groups over time. Therefore, this study aimed to assess the levels and changes over time in socioeconomic inequalities of overweight/obesity among American children under the age of five by sex and ethnicity, using NHANES data from 2001-02 to 2017-18.

## Materials and methods

#### Data sources and study design

Our analyses focused on data from children under the age of five who took part in the NHANES from 2001-02 to 2017-18, a total of nine surveys. The NHANES is a study conducted by the Centers for Disease Control and Prevention (CDC) that gathers cross-sectional data on the health, nutrition, and health behavior of the civilian noninstitutionalized population in the United States. The survey used a multistage stratified cluster probability sampling approach, involving careful selection by geographic region, home composition, and person, to ensure a nationally representative sample. Participants in each survey were invited to engage in an interview in their homes, followed by physical examinations in a mobile examination center (MEC). The revision of subgroup proportions within the total population was taken into account during sample weighting methods (17). The databases and detailed information on the sampling procedure are freely available on the CDC website (18). In a brief, we only included participants who had available anthropometric measurements of height/length and body weight. To prevent the effect of unhealthy weights for length/ height (19, 20), children with a BMI-for-age z-score of less than -6.0 SD or greater than +6.0 SD ratio were excluded from the analysis (21). Children with missing values of the family income/poverty were also excluded from the analysis. In compliance with the NHANES protocol, informed consent was obtained from the parents/legally authorized representatives of subjects that are under 16. The study was approved by the National Center for Health Statistics' institutional review board (17, 22).

#### Malnutrition indicators

The BMI-for-age z-score for children was calculated using the WHO tools, which are freely available online (23). We categorized children as overweight/obesity or not based on whether their body mass index (BMI) for their age z-score was above two standard deviations (SD) of the WHO growth reference standard (19).

#### Ethnicity

After obtaining a list with an open-ended response, data on race/ ethnicity was obtained from a family member's self-report, and the variable was divided into three categories as follows: Caucasians (Non-Hispanic Whites), African American (Non-Hispanic African Americans), and other ethnicity (including Mexican Americans, other Hispanics and other races) (17). Because of the small sample sizes in the NHANES surveys, the ethnic groups "other Hispanics" and "other races (including multiracial)" were added to "Mexican Americans".

# Indicator of socioeconomic status (wealth Index)

The poverty-income ratio (PIR) was used as the indicator of socio-economic status. The family income-to-poverty ratio was calculated by the Census Bureau by dividing total annual household income (adjusted for inflation) by the poverty line, while controlling for family size, year and state (24). The variables from the nine surveys were summed and divided into five quintiles with an equal number of participants in each quintile. The division was adjusted for ethnicity and survey years. The labels for the quintiles are as follows: Quintile 1 (Q1): poorest; Quintile 2 (Q2): poor; Quintile 3 (Q3): middle; Quintile 4 (Q4): rich; and Quintile 5 (Q5): richest.

#### Other demographic variables

Participants' ages were separated into six groups: less than 6 months, 6–11 months, 12–23 months, 24–35 months, 36–47 months and 48–59 months. The participants were categorized according to their sex into two groups: Male and Female.

#### Statistical analysis

The descriptive analysis was used to summarize the sample's characteristics. Graphical methods were used to visualize how overweight/obesity in children differed by ethnicity over time. The probability values were determined using column proportion tests. We used Equiplot charts to illustrate the prevalence of overweight/ obesity in each wealth quintile by sex and ethnicity for each survey year. The line between the prevalence of the first and last wealth quintiles shows the degree of dispersion; the longer the line, the greater the socioeconomic dispersion. The slope inequality index (SII) and concentration index (CIX) were then calculated for each survey year and group data to estimate wealth inequality in overweight/obesity by ethnicity (25). To analyze health inequalities across wealth quintiles, it is recommended that both absolute and relative measures of inequality be used simultaneously (26). When the results of both inequality measures are significant, inequality between quintiles is asserted. The SII is a weighted, absolute measure of inequality that uses a logistic regression to represent the absolute difference in estimated values of a health indicator between the poorest and richest quintiles, while controlling for all other wealth quintiles (25, 27). The CIX is a weighted, relative measure of inequality that is related to the Gini coefficient. It calculates the magnitude and direction of health inequality. It is defined as twice the area between the concentration curve and the diagonal, ranging from 1 to 1. The concentration curve represents the cumulative percentage of the health variable relative to the cumulative percentage of the sample, ranked by socioeconomic status from the most disadvantaged group to the most advantaged group (9, 13). In the CIX analysis, we used the Erreygers correction, as suggested by other researchers who have studied health inequalities (28-30). Understanding and interpreting the SII and CIX is easy. With values ranging from -1 to 1, the SII or CIX is a composite description of inequality across the population. The index is zero when there is perfect equality. When the values are negative, children from the poorest quintile are most affected by overweight/obesity; When the values are positive, children from the richest quintile are most affected by overweight/obesity. The magnitude of the index reveals the level of inequality (25). The CIX allowed comparisons of wealth inequality between surveys for annualized change, plots of the CIX indices and 95% confidence intervals were made. When the CIX value of the annualized change is positive or negative, wealth inequality is said to have decreased or increased, respectively. The analyses were weighted and found to be appropriate for the complex NHANES survey design. Probability values for statistical tests, where 2-sided pvalues <0.05 were considered significant. Analyses were performed using Stata (STATA Corp., LP, College Station, Texas), and graphical representations were created using GraphPad Prism 9.

## Results

Analyses of pooled data from NHANES 2001–02 through 2017– 18 (**Table 1**) revealed that children aged 2 years and older made up the majority of respondents in all ethnic groups; Males outnumbered females (50.9% and 49.1%, respectively). Pooled data covering 2001– 2018 showed that Caucasians had a prevalence of 6.7%, African Americans had a prevalence of 8.6%, and other ethnicities had a prevalence of 8.0%. Between 2001–02 and 2011–12, the prevalence of childhood overweight/obesity in the United States decreased from 7.3% to 6.3%, and had increased to 8.1% by 2017–18. However, this pattern varied widely by ethnicity and sex (Figure 1). From 2011–12 to 2017–18, the prevalence of overweight/obesity in males has steadily increased from 5.9% to 10.5%. While the prevalence of overweight/obesity among females began to rise in 2009–2010 (5.9%), stabilized between 2013–14 and 2015–16 (8.5%), and then decreased to 5.5% in 2017–18.

The greatest dispersions between the richest and poorest quintiles were observed among males of other ethnicities (**Figure 2**), with the poorest quintile becoming more overweight/obesity in recent years (from 10.0% to 8.5% between 2009–10 and 2017–18) and the richest quintile becoming less overweight/obesity (from 8.3% to 0.3% between 2009–10 and 2017–18). Between 2015–16 and 2017–18, the gap between the richest and poorest Caucasian females widened, with an increase in overweight/obesity among the poorest and a decrease among the richest (from 12.2% to 14.6% and 8.0% to 2.8%, respectively).

Figure 3 plots the SII and CIX results, along with their 95% confidence intervals. For both the 2015-16 and 2017-18 surveys, the SII and CIX were significantly lower than 0 among the overall Caucasian children ((SII = -11.83, IC 95% = -23.17, -0.49 and CIX = -7.368, IC 95% = -13.92, -0.82) and (SII = -11.52, IC 95% = -22.13, -0.91 and CIX = -7.24, IC 95% = -13.27, -1.21), respectively) and among males of other ethnicities [(SII = -13.93, IC 95% = -26.95, -0.92) and CIX = -8.55, IC 95% = -0.86, -16.25] and (SII = -21.19, IC 95% = -40.65, -1.74) and CIX = -13.11, IC 95% = -1.42, -24.80), respectively). Indicating a higher concentration of overweight/obesity in a quintile of the poorest households. With the exception of African American females in the 2013-14 survey, for whom SII and CIX were significant (SII = 12.60, 95% CI = 0.24, 24.97 and CIX = 7.86, 95% CI = 15.59, 0.12), SII and CIX were non-significantly larger than 0 in the overall African American children, indicating a higher concentration of overweight/obesity in a quintile of the richest households.

Annualized changes in relative wealth inequality are plotted in Figure 4. The annualized relative changes in the CIX among African Americans for both males and females are not significantly different from 0 in terms of wealth disparity. indicating a negligible difference between years. The CIX of wealth inequality for overweight/obesity showed annualized relative decreases between 2005-06 and 2007-08 among Caucasian males (CIX = -13.81, 95% CI = -4.30, -23.32), and between 2011-12 and 2013-14 among Caucasian females (CIX = -13.31, 95% CI = -1.11, -25.52), indicating an increase in wealth inequality. Between 2003-04 and 2005-2006, the CIX for overweight showed an annualized relative decrease among females of other ethnicities (CIX = -16.77, 95% CI = -5.94, -27.61), indicating an increase in wealth inequality. In contrast, a decrease in the CIX for males over the same period (CIX = -11.93, 95% CI = -1.20, -22.65) was followed by an increase between 2005-06 and 2007-2008 (CIX = 19.0, 95% CI = 30.14, 7.94), indicating a significant increase followed by a significant decrease in wealth inequality.

	Caucasian		African American		Others		Overall	
	N	%	N	%	N	%	N	%
Participants	3,635	100	2,544	100	4,954	100	11,133	100
Age in month								
<6	537	8.6 <sup>B</sup>	278	8.5	712	8.7 <sup>AB</sup>	1,527	8.6
6-11	610	10.1 <sup>BC</sup>	311	9.2	833	10.1 <sup>B</sup>	1,754	10.0
12-23	739	20.1 <sup>B</sup>	538	19.2	1,043	20.8 <sup>AB</sup>	2,320	20.2
24-35	755	20.8 <sup>BC</sup>	542	19.5 <sup>C</sup>	914	18.6	2,211	20.0
36-47	481	19.4	429	21.5 <sup>AC</sup>	693	19.7 <sup>A</sup>	1,603	19.8
≥48	513	21.0	446	22.2 <sup>A</sup>	759	22.1 <sup>A</sup>	1,718	21.5
Sex								
Male	1,898	51.0 <sup>C</sup>	1,306	51.1 <sup>AC</sup>	2,470	50.6	5,674	50.9
Female	1,737	49.0 <sup>B</sup>	1,238	48.9	2,484	49.4 <sup>AB</sup>	5,459	49.1
Wealth Quintiles								
Poorest	1,113	19.7	515	20.1 <sup>AC</sup>	1,094	20.0 <sup>A</sup>	2,722	19.8
Poor	782	20.2 <sup>BC</sup>	520	20.0 <sup>A</sup>	1,080	19.8	2,382	20.1
Middle	622	20.2 <sup>BC</sup>	496	19.6	993	19.9 <sup>B</sup>	2,111	20.0
Rich	557	19.2	517	20.0 <sup>C</sup>	924	20.1 <sup>AB</sup>	1,998	19.6
Richest	561	20.6 <sup>BC</sup>	496	20.3	863	20.2	1,920	20.5
Overweight (%)	252	6.7	218	8.6 <sup>A</sup>	488	10.0 <sup>AB</sup>	958	8.0
Surveys								
2001-02	427	9.9 <sup>C</sup>	333	9.9 <sup>C</sup>	479	8.0	1,239	9.3
2003-04	411	11.6 <sup>C</sup>	373	11.8 <sup>AC</sup>	557	9.9	1,341	11.1
2005-06	445	12.5 <sup>BC</sup>	346	11.9 <sup>C</sup>	703	10.4	1,494	11.8
2007-08	457	11.6 <sup>C</sup>	251	12.8 <sup>AC</sup>	591	11.4	1,299	11.7
2009-10	474	12.1 <sup>B</sup>	223	11.7	616	12.6 <sup>AB</sup>	1,313	12.2
2011-12	263	10.7	339	12.5 <sup>AC</sup>	574	12.1 <sup>A</sup>	1,176	11.4
2013-14	378	10.9 <sup>B</sup>	257	10.7	543	12.4 <sup>AB</sup>	1,178	11.3
2015-16	384	10.2 <sup>B</sup>	238	10.0	537	12.2 <sup>AB</sup>	1,159	10.8
2017-18	396	10.5 <sup>B</sup>	184	8.7	354	10.9 <sup>AB</sup>	934	10.4

#### TABLE 1 Sociodemographic characteristics of children under five years of age by ethnicity, NHANES 2001–2018.

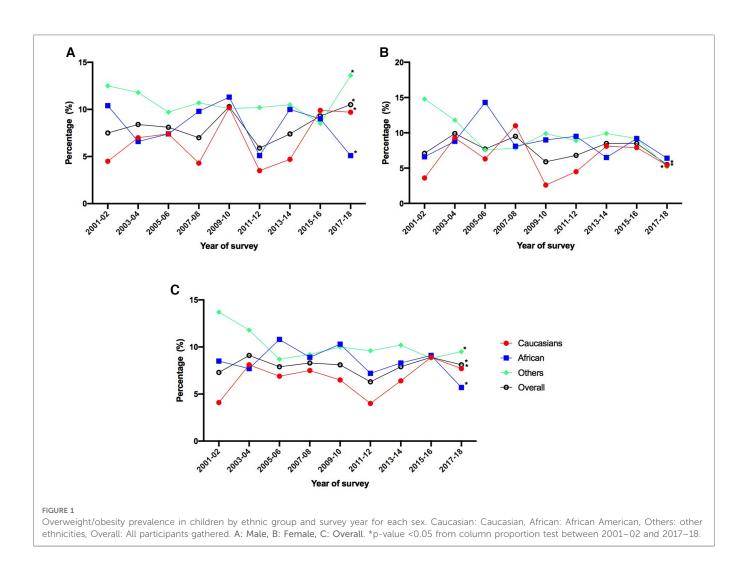
N, number of participants; %, weighted percentage; Others, other ethnicities; Overall, all ethnic groups gathered. Results are based on two-tailed tests. For each significant pair, the key from the category with the smallest proportion of columns appears in the category with the largest proportion of columns. Level of significance for uppercase letters (A, B, C): *p*-value <0.05 from columns proportion test.

## Discussion

recent surveys, with the poorest children of Caucasians and other ethnicities bearing the brunt of the burden.

The concentration index (CIX) technique has been applied in the area of adolescent overweight in the 1999–2002 NHANES surveys (5). The present study focused on children under 5 years of age and used the CIX technique to examine trends in socioeconomic inequality of overweight/obesity from the 2001–02 to 2017–18 surveys. The findings of this study showed that the prevalence of childhood overweight/obesity in the United States declined between 2001–02 and 2011–12, then increased up until 2017–18. This pattern varied greatly by ethnic group and by sex. Wealth inequalities in overweight/obesity have emerged significantly in

Our findings are consistent with previous research that found a significant decrease in the prevalence of overweight/obesity among children aged 2–5 years between 2003–04 and 2011–12 (1, 31) and reported that overweight/obesity varies by ethnic groups, with African Americans, American Indians, and Mexican Americans being more affected than non-Hispanic Whites (4, 32). There are hereditary risks for childhood obesity that have been described. When one or both parents are overweight/obese, the risk of having an overweight/obese child increases by 2–3 times or 15 times, respectively (33). The authors identified a number of factors that



have contributed to the obesity epidemic among African Americans, including incorrect BMI classification, which may have led to 30%–60% of African American children being classified as overweight/ obese (34). In addition, racial segregation (35, 36) and racism (37, 38) may prevent African Americans from escaping obesogenic factors associated with their environments (39).

Our findings are consistent with previous research suggesting that obesity is not always concentrated in low-income households (2, 5, 7, 40). Children from low-income Caucasian and Hispanic households were most likely to be overweight or obese. However, this relationship did not apply to African American children (41, 42). Although more African American children in the richest households were overweight or obese than in the poorest, the difference was only marginally significant (with the exception of 2013–14).

Our findings showed that among Caucasians and other ethnicities, wealth inequalities in childhood overweight/obesity emerged significantly in 2015–16 and 2017–18, which is consistent with previous research indicating that the strength of the relationship between income and the prevalence of overweight/obesity has increased over time (2); whereas other studies have found this relationship to be absent (5) or inconsistent across racial/ethnic groups (40).

The current study also found that the prevalence of overweight/ obesity among male children of other ethnicities revealed persistent wealth inequalities between 2001–02 and 2017–18. These inequalities were due in part to a continual rise in the prevalence of overweight in the poorest group since 2009–10, while a continual decline had been seen in the richest group over the same time period. A stronger association between family income and childhood overweight was observed among Mexican-American children aged 2–5 years; however, the correlation did not differ significantly between males and females, independent of racial/ ethnic groups (40). Another study found an inverse association among Mexican-American females only (43).

In the 2001–02 and 2003–04 surveys, overweight/obesity was concentrated among females of other ethnicities in the richest group, but the direction completely changed in 2005–06, with the poorest being the most affected. These findings are partially consistent with a previous study, which found that the direction of the relationship between income and overweight/obesity shifted for all children after 2004 (40).

Our findings are consistent with previous findings that overweight/obesity was more concentrated among African American males and females in the richest group. In contrast, our findings from 2001 to 2018 pooled data revealed that this relationship was significant only in African American females. It has been reported that obesity is increasingly linked to poverty, food insecurity (44) and the risk of being passed on to future generations. Authors have reported that childhood obesity is

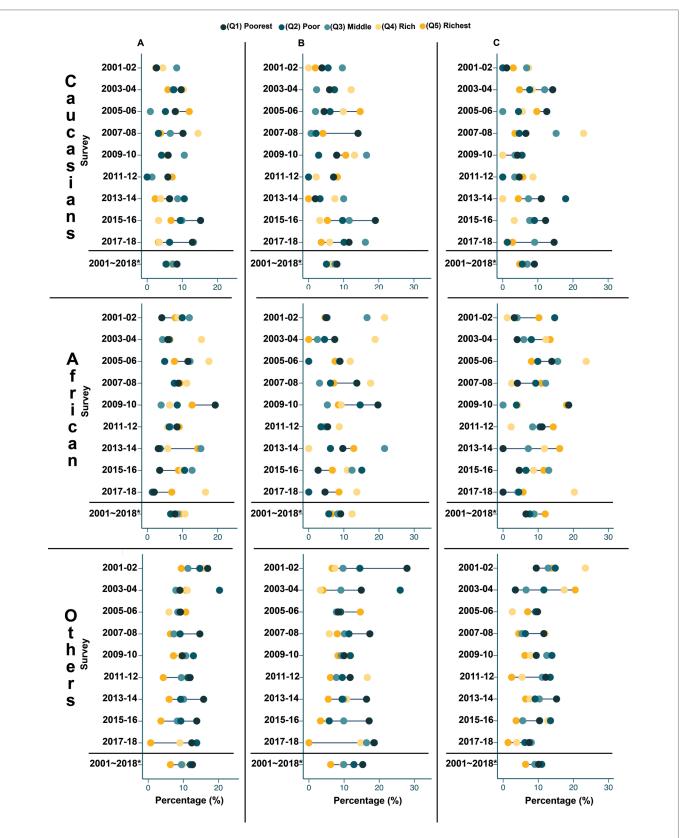
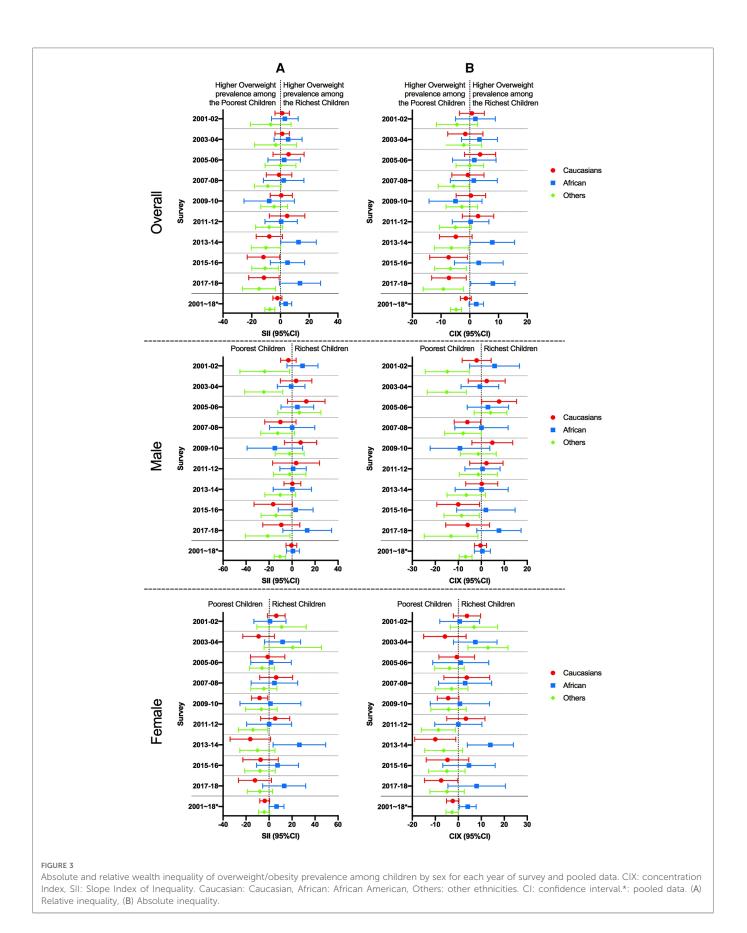
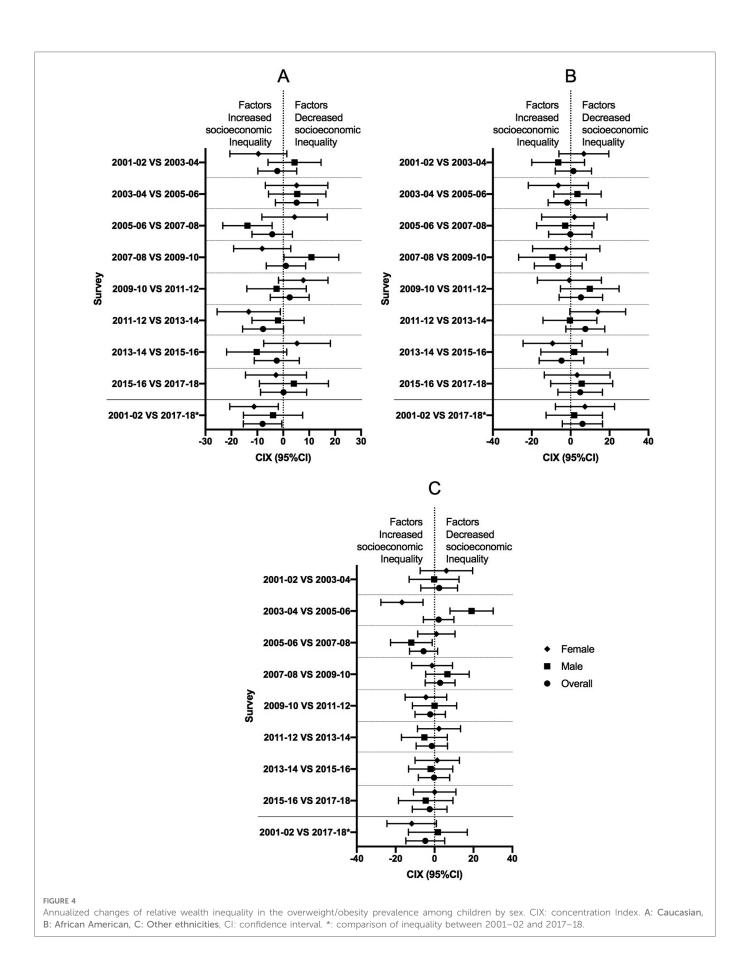


FIGURE 2

Prevalence of overweight/obesity by wealth quintile, for each survey year and for pooled data. Caucasian: Caucasian, African: African American, Others: other ethnicities, Overall: All children from the same ethnic group gathered. (Q1): Quintile 1, (Q2): Quintile 2, (Q3): Quintile 3, (Q4): Quintile 4, (Q5): Quintile 5. A: Overall, B: Male, C: Female.



strongly related to the socioeconomic status of their parents, and that countries with the greatest wealth inequality have higher rates of childhood obesity (45). Mothers are less likely to breastfeed when they are obese and of low socioeconomic status. Thus, non-breastfed infants are more likely to have unhealthy eating habits, become obese and have delayed cognitive



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development by age 3 (45). On the other hand, evidence linking food insecurity and obesity is limited to adult women in developing countries (46).

The environment in which children and adolescents from lowincome households grow may also contribute to their increased risk of being overweight or obese (7, 47, 48). Children from lowincome or racial/ethnic minority families most frequently reside in underdeveloped areas with few stores (49), which restricts their access to nutritious foods like fruits and vegetables (50, 51) and encourages the consumption of inexpensive, high-calorie, high-fat foods (52). Moreover, these areas frequently lack parks for outdoor recreation (53). Debates are still ongoing about the relative culpability of energy intake vs. energy expenditure (physical activity) in weight gain. But most studies point to overconsumption of energy-dense foods as the primary culprit, and a decrease in physical activity is unlikely to be responsible for a dramatic increase in obesity in lower socioeconomic groups (54, 55).

Given the complexities of the factors influencing childhood obesity development (53, 56–58). Multidisciplinary interventions addressing individual, family, economic, environmental, social, and cultural barriers are required to prevent the progression of obesity (59).

To our knowledge, we are among the first to investigate wealth inequalities in overweight/obesity in United States children under the age of five using inequality measures proposed by the authors (5, 60). The advantage of using the SII and CIX is particularly relevant in the current study because it takes into account the entire population to overcome the effect of small sample sizes in some subgroups (5, 61). In addition, analysis on pooled data from all 9 surveys was conducted to increase the power of the analysis.

Limitations of this study include the cross-sectional nature of the NHANES data, which does not give insight on causality or causes; income may not correlate with individuals' actual socioeconomic status (62). This would necessitate the use of a longitudinal design to establish temporal precedence, as well as the inclusion of additional environmental, behavioral, and biological measurements (63). The CDC advises utilizing WHO growth standards for children under the age of two, and CDC growth benchmarks for children two and older (64). Nonetheless, we followed the WHO growth guidelines (65). Although these standards are probably not optimum for all parts of the pediatric population, they were applied to all children worldwide, regardless of ethnicity, socioeconomic status, or food type.

## Conclusion

This study adds to previous research using the CIX technique in the domain of wealth inequality in the overweight/obese population in the United States. Overall, our findings give an update and reinforce the notion that overweight/obesity in children under the age of five has increased and that related wealth inequalities are a public health problem in the United States. In the United States, rising childhood overweight/obesity is associated with rising wealth inequality. We propose that policies and programs aimed at preventing childhood overweight/obesity should include minorities and low socioeconomic groups, but that population-based treatments should target al.l ethnic groups.

## Data availability statement

Publicly available datasets were analyzed in this study. This data can be found here: https://www.cdc.gov/nchs/nhanes.

#### Ethics statement

The study was approved by the National Center for Health Statistics' institutional review board. Informed consent was obtained from the parents/legally authorized representatives of subjects that are under 16.

## Author contributions

SST and QL: contributed to the design of the research topic, data collection, and analysis. SST, YB and GK: wrote the main text of the manuscript. All authors contributed to the article and approved the submitted version.

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# Conflict of interest

The authors declare that the research was conducted in the absence of any commercial or financial relationships that could be construed as a potential conflict of interest.

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