



The Forest Fire Model: The Subtleties of Criticality and Scale Invariance

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Amongst the numerous models introduced with SOC, the Forest Fire Model (FFM) is particularly attractive for its close relationship to stochastic spreading, which is central to the study of systems as diverse as epidemics, rumors, or indeed, fires. However, since its introduction, the nature of the model's scale invariance has been controversial, and the lack of scaling observed in many studies diminished its theoretical attractiveness. In this study, we analyse the behavior of the tree density, the average cluster size and the largest cluster and show that the model could be of high practical relevance for the activation dynamics seen in brain and rain studies. From this perspective, its peculiar scaling properties should be regarded as an asset rather than a limitation.

OPEN ACCESS

Edited by:

Subhrangshu Sekhar Manna, S.N. Bose National Centre for Basic Sciences, India

Reviewed by:

Mahendra Verma, Indian Institute of Technology Kanpur, India Alberto Rosso, Centre National de la Recherche Scientifique (CNRS), France

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Specialty section:

This article was submitted to Interdisciplinary Physics, a section of the journal Frontiers in Physics

Received: 13 May 2020 Accepted: 09 June 2020 Published: 04 September 2020

Citation:

Palmieri L and Jensen HJ (2020) The Forest Fire Model: The Subtleties of Criticality and Scale Invariance. Front. Phys. 8:257. doi: 10.3389/fphy.2020.00257 Keywords: forest fire model, critical density, residence times, largest cluster, scale invariance, cluster size distribution, average cluster size, data collapse

1. INTRODUCTION

Soon after the seminal paper introducing Self-Organised Criticality (SOC) [1], it was suggested that examples of SOC could include models describing the spread of activation in a manner reminiscent of forest fires or infectious diseases. The degree to which these models were examples of scale invariance and criticality instantly became a subject of intense debate, see, e.g., [2, 3]. Despite the controversy and indications that the Drossel-Schwabl Forest Fire Model [2] lacks scale invariance [4, 5], the dynamics of the model is of a type that seems of direct relevance to many real systems such as the brain [6] and precipitation [7]. So if for no other reason, it is still worthwhile to develop a better understanding of the behavior generated by this kind of stochastic spreading and relaxation dynamics and to develop ways to probe the dynamics which can be applied to data from real systems.

SOC focuses on criticality in the sense of equilibrium statistical mechanics, and for this reason, one typically looks for scale invariance and dynamics that can tune sharply to a critical point. Conversely, broad crossover behavior is not seen as properly belonging to the SOC paradigm. However, the relevance of a theoretical scientific framework is, in the end, determined by how useful it is for the description and analysis of real systems. Seen from this perspective, it is of importance to bear in mind that exact fine-tuning to a completely scale-invariant state is not always observed in systems that exhibit SOC-like behavior. This is demonstrated, e.g., by the studies of the size distribution of rain showers [7], and the bursts of brain activity measured during fMRI scans [6]. Indeed, neither study finds sharp critical behavior but, despite the systems being of totally different microscopic nature, both identify similar indications of critical behavior in terms of approximate power laws and even features reminiscent of peaked, or perhaps diverging, fluctuations or susceptibilities. Moreover, both studies find that the dynamics pulls the systems into a crossover region of large fluctuations within which one most frequently finds configurations to reside. In other words, in both cases, the distribution of residence times,

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i.e., the amount of time spent at a certain value of the control parameter, is found to exhibit a broad peak centered about what appears to be a critical-like value of the control parameter. From our experience with equilibrium critical phenomena, one would expect a broad peak for small system sizes only, and that the width of the peak will shrink with increasing system size. Therefore, for systems as big as the atmosphere or with as many components as the brain, broad peaks would not be expected.

The experimental observations in [6, 7] suggest that the dynamics couples the control parameter to the fluctuations in a way that makes the system move around in a critical region, rather than tuning to a critical point. This is similar to suggestions previously put forward, such as [8, 9]. Intuitively, one may imagine something like the following in the case of precipitation: nucleation of drops can happen at a particular value of the vapor content. The vapor in the atmosphere over the ocean gradually builds up toward that value, and sometimes overshooting may even occur before nucleation is seeded. When precipitation events occur, a significant amount of vapor is removed from the atmosphere, and one observes oscillations between subcritical and supercritical regions. For some reason, the coupling between the driving (vapor formation) and the response (precipitation) produces such large fluctuations that a precise tuning to the critical value of the control parameter is excluded. A parallel situation may take place in the brain as neurones have to go through a refractory period before they are able to fire again after discharge.

A link between the behavior observed in brain activity and rainfalls [6, 7] and the Forest Fire Model was already suggested in [10]. Inspired by these studies, we analyse in detail the residence time distribution (which corresponds to the tree density distribution in the FFM) and its relationship to the order parameters used in standard percolation [11] and the brain study [6], namely the average cluster size and the normalized size of the largest cluster. We find that there is indeed a critical-like region where the fluctuations peak up and where the system seems to spend most of the time, exactly like in the brain and rain observations. Furthermore, analyzing the distribution of the nonnormalized largest cluster, we find that it displays scale invariance for our reachable system sizes and that its first moment seemingly grows superlinearly with the activity θ , in a way that reminds the superlinear growth of the instantaneous correlation length observed in [12].

2. MODEL DESCRIPTION

As reported in [3], the original forest fire model proposed in [13] was revised in [2] and became known in the literature as the Drossel-Schwabl Forest Fire Model (DS-FFM), despite the resulting model coinciding with the one introduced a few years before in [14] as *Self-organised percolation*. In the following, we will analyse the model proposed in [2, 14] and refer to it simply as forest fire model (FFM). The FFM consists of a dissipative dynamic that involves the occupation of empty sites (planting of trees) and the removing of clusters of trees (burning of a

forest). In the following, we will restrict our simulations to a twodimensional square grid with periodic boundary conditions and side length *L*. Our implementation follows [4, 10, 12, 15–17], and is summarized by the following pseudocode [10]. An efficient implementation of the FFM can be found at [18].

Algorithm 1: Forest Fire Model
while True do
for $i = 1:\theta$ do
select randomly a site s
if s is empty then
s becomes occupied
end if
end for
select randomly a site s
if s is occupied then
collect statistics
burn the cluster connected to s
end if
end while

Clusters are computed considering 4 neighbors for each site (2 horizontal and 2 vertical). In order to avoid finite-size effects, θ has to be tuned, taking into account the system size L^2 . In our simulations, we keep fixed the ratio $k = \frac{\theta}{L^2}$ as in [12]. The great majority of the simulations are done at $k = 10^{-3}$, but we also present results for smaller values of k in **Figures 3**, **10**. Since the model is known for taking a long time to thermalize, we performed $5 \cdot 10^6$ burning steps for thermalization and 10^6 to collect the statistics as in [4]. The only exception is for the heatmaps in **Figures 7**, **8**, where 10^7 datapoints were used to produce the statistics.

3. RESULTS

3.1. The Distribution of Densities

To compute the distribution of the densities $P(\rho)$, we assign one spatially averaged density ρ to each generated configuration and sample the distribution over the ensemble. In this way, we obtain an object that is equivalent to the distribution of residence times in [6, 7]. In the early studies of the FFM, the average density of trees $\langle \rho \rangle$ was assumed to behave as

$$\langle \rho \rangle = \rho_{\infty} - a\theta^{-b} \tag{1}$$

Where ρ_{∞} is the supposedly asymptotic density of trees and a and b are two constants. The value of the power was estimated as b = 0.47 in [19, 20] and b = 0.5 in [15]. However, it was noted in [5] that for $\theta \gtrsim 10^4$ the average density starts to deviate dramatically from the estimates made at lower values, ending up to be more than 100 standard deviations higher than the expected value for $\theta \sim 10^5$, and seemingly growing as a pure power law for $\theta \gtrsim 10^4$. Assuming that the power law behavior holds asymptotically in θ , it was estimated in [5] that the tree density would reach the percolation density ($\rho_p \simeq 0.5927...$) for $\theta \sim 10^{40}$.



To avoid finite-size effects, in [5] the values of θ and *L* were chosen as follows: for every value of θ , several simulations were carried out at different system sizes, and it was verified that the distribution of the rms radius, cluster sizes, and burning time were independent of *L*. The distribution of densities, on the other hand, depends strongly on the system size. For a fixed value of θ , increasing the system size would make the variance of the distribution decrease as L^{-2} in the absence of finite size effects [4]. Conversely, fixing *L* and increasing θ would lead to an increase in the standard deviation and $\langle \rho \rangle$, as can be seen in **Figure 1**. In the following, we will focus our attention on the behavior of *P*(ρ) for increasing system sizes and fixed values of *k*.

In **Figures 2**, **3** we plot the distribution of densities rescaling the x-axis by θ^{ν} , and tune ν to align the peaks of the distributions computed at different values of θ . Interestingly, in both cases the curves seem to collapse on the same shape for quite a wide range of θ , suggesting that the distribution has reached a stationary state and that increasing θ would imply only a shift of $\langle \rho \rangle$. However, increasing θ even further clearly shows that the asymptotic behavior suggested by the data collapse is only transient, as the curves start to deviate considerably from the shape observed at lower values of θ , as can be seen in **Figure 4**. Rescaling ρ by $\theta^{0.005}$ one can align the position of the peaks in **Figure 4** for $\theta \gtrsim 10^4$, but it is clear that, in order to perform a data collapse, the y-axis must be rescaled as well, as the height of the peaks decreases with θ .

For a fixed θ , we found that $P(\rho)$ is well fitted by a Gaussian distribution. In **Figure 5**, we plot the mean and standard deviations of $P(\rho)$ for $k = 10^{-3}$ and find that the average density seemingly increases as a power-law for $\theta \gtrsim 10^4$, in agreement with the numerics presented in [5]. Although the mean $\mu(\theta)$ seems to enter an asymptotic regime after $\theta \gtrsim 10^4$, it is less



FIGURE 2 | Distribution of densities $P(\rho)$ computed at different values of θ and $k = 10^{-3}$. Although rescaling the x-axis by $\theta^{0.018}$ seems to make it possible to perform a data collapse for $\theta \in [250, 1000]$, this does not hold for larger values of θ .



FIGURE 3 | Distribution of densities $P(\rho)$ computed at different values of θ and $k = 10^{-4}$. Although rescaling the x-axis by $\theta^{0.008}$ seems to make it possible to perform a data collapse for $\theta \in [2000, 3025]$, this does not hold for larger values of θ .

convincing whether the standard deviation $\sigma(\theta)$ has reached its asymptotic form.

If we assume a Gaussian behavior for $P(\rho)$ and that the mean and the standard deviation behave as

$$\mu = a\theta^{\nu_{\mu}} \text{ and } \sigma = b\theta^{\nu_{\sigma}}.$$
 (2)



FIGURE 4 | Distribution of densities $P(\rho)$ computed at different values of θ and $k = 10^{-3}$. The x-axis has been rescaled by $\theta^{0.005}$ to align the position of the peaks at large values of θ .



then plotting $P(\rho)\sigma(\theta)$ vs. $\frac{\rho-\mu}{\sigma}$ should produce a standard normal distribution for systems at $\theta \gtrsim 10^4$. To estimate the asymptotic behavior, we used the last six data points for $\mu(\theta)$ and the last three data points for $\sigma(\theta)$ in **Figure 5**, finding

$$a = 0.388 \pm 0.001$$
 and $v_{\mu} = 0.0049 \pm 0.0003$

and

$$b=0.007\pm0.004$$
 and $\nu_{\sigma}=0.099\pm0.047$

using 95% confidence bounds.



Using these estimates, we find that a data collapse seems to hold well for very large values of θ , as can be seen in **Figure 6**. However, such extrapolations have to be taken with great care, firstly because of the small data available for the fit and the not so convincing behavior of $\sigma(\theta)$, and secondly because the average density can never exceed 1, meaning that the apparent power-law growth has to stop at some point.

Since $v_{\sigma} > v_{\mu}$, the fluctuations grow at a faster rate than the average, as one would expect for a system close to criticality. From the ratio $\frac{\sigma(\theta)}{\mu(\theta)} \sim 0.018 \cdot \theta^{0.094}$, one can obtain a crude estimate for when the fluctuations would become of the same order of magnitude as the average. This would happen at $\theta \sim 10^{18}$ and $\langle \rho \rangle \simeq 0.478$. However, this argument can hardly hold, as it would imply that within two standard deviations, we would have values of the densities that exceed $\rho = 1$, which is, of course, impossible. Therefore, we can conclude that for $k = 10^{-3}$ and within the Gaussian approximation, we can expect the standard deviation to be smaller than the average, despite growing at a faster rate.

As a reference, we computed a rough estimate of the value θ^* that would correspond to an average density equal to the percolation threshold $\mu(\theta^*) = \rho_p$. This gives $\theta^* \sim 10^{37}$, which is consistent with the estimate made in [5] once the confidence bounds on *a* and ν_{μ} are taken into account for both datasets. However, there is no reason why the asymptotic density of the FFM should be the same as the critical percolation density, as even for very large systems the clusters would still be correlated and therefore intrinsically different from a percolation process.

Like previous studies of the FFM, we are unable to settle the true asymptotic scaling behavior of the model, which is still unreachable with today's computers. However, we showed that *effectively*, the distribution of densities remains Gaussian for very large system sizes and significant ranges of θ . In the next section, we will study the relationship between $P(\rho)$ and the order parameters used in percolation and in [6], and show that $P(\rho)$ defines a wide region where one can observe critical-like behavior.

3.2. The Most Frequently Visited Region

Even though the studies of precipitation [7] and brain activity [6] related their findings to critical behavior, both observed a remarkable broad onset of the order parameter, which is certainly not the behavior seen as one approaches the critical point of a second-order phase transition of an infinite system. The absence of a sharp onset of the order parameter is remarkable because, for system sizes like the earth atmosphere or the human brain, one would not expect any significant finite-size effect if the usual phenomenology of equilibrium phase transitions were any guidance.

While the activation dynamics characteristic of precipitation and brain phenomena are not at all similar to thermal equilibrium dynamics, both systems are at least at a schematic qualitative level similar to the dissipative dynamics of the FFM, with its cycles of loading and discharging. Here we want to investigate further the relationship between the distribution of residence times and the order parameter suggested in [10] and to determine to what extent the FFM exhibits an onset region similar to those observed in rain and brain. If that is the case, we may perhaps take this as indicative of a kind of "universality" different from the stringent universality definition we know from equilibrium systems and more of a pragmatic nature. Needless to say, could such a universality be established, it may be a great help in attempts to classify the behavior of activation dynamics in complex systems. Furthermore, it could be taken as indicative of a level of emergent behavior that is independent of the microscopic details, since rain and brain clearly do operate on totally different substrates.

We are of course just repeating the original hope of SOC research and suggesting that systems of entirely different nature may indeed exhibit similar emergent collective behavior, even if the dynamics does not operate in a critical state, but rather is found to inhabit a broad region of approximately scale-free nature. Crucial for our suggestion is the observation that the true asymptotic behavior of the FFM appears to happen for such enormous systems sizes that they hardly are of relevance to real macroscopic systems. In contrast, the quasi-scale free behavior observed in the FFM for intermediate system sizes [4, 5] may very well be helpful for the understanding of observations such as those presented in [6, 7].

To investigate the presence of a critical-like region in the FFM, we focus on the onset of measures that characterize the clusters of trees and keep track of the frequencies at which the system visits different regions of the parameter space. The order parameter for precipitation [7] was taken to be the precipitation rate and for the brain [6], the normalized size of the largest cluster of activated voxels in the fMRI scans—a choice that appears very natural in the light of ordinary percolation analysis.



FIGURE 7 | Heatmap representing the bivariate histogram of the average cluster size and the density.



In **Figures 7**, **8** we present the contour plot of the bivariate histograms of the average cluster size [11] $\langle S \rangle$ vs. the density ρ , and of the largest cluster normalized to the number of trees S_{max} vs. ρ . The color map represents the probability of observing a certain point in the parameter space, which is the same as the proportion of time spent by the system at that point. To create the heatmaps, we sampled 10⁷ configurations and grouped points with similar probabilities for better visual representation. Therefore, the histograms are not perfectly normalized. The

study of both the average cluster size $\langle S \rangle$ and the normalized largest cluster S_{max} is inspired by the resemblance between the clusters of sites occupied by trees and the ordinary geometrical percolation transition. In percolation, either $\langle S \rangle$ or S_{max} are used to construct an order parameter.

It is clear from the heat-maps that the density around $\rho^* \simeq 0.4$ stands out and that precisely like in the precipitation study [7], and even more so in the brain study [6], ρ^* is indicative of an onset of the order parameter. Furthermore, the region over which the creation of large events happens is very broad, and the system spends a significant fraction of time in the *critical* region. We know from the Gaussianity of $P(\rho)$, see **Figure 6**, that this region stays broad for any reachable system size and values of θ . This very much suggests that the FFM's quasi-tuning to a critical region is a stylistic feature of important relevance.

3.3. The Distribution of the Largest Cluster

We now turn our attention to the non-normalized largest cluster, which we indicate with Λ to distinguish it from S_{max} . For a fixed system size and θ , we find that the distribution of the largest cluster $P(\Lambda)$ is very well fitted by a Fréchet distribution, which corresponds to the class of extreme value statistics with a power-law tail. The good agreement of $P(\Lambda)$ with a Fréchet distribution implies that the correlations between consecutive configurations generating the clusters are sufficiently weak to be ignored.

Although the distribution of cluster sizes does not obey simple scaling [4, 5], it was found in [12] that the distribution of instantaneous correlation lengths is scale-invariant for $k = 10^{-3}$ and system sizes at least as big as $\theta = 9,000$. Given that $P(\lambda)$ is fat-tailed, we now turn our attention to its scaling properties and see if simple scaling applies.

In order to measure Λ , one has to maintain and keep track of all clusters at all times, which makes the task more computationally intensive than just sampling the density ρ . For this reason, we analyzed systems sizes that are smaller than those used for the analysis of $P(\rho)$. In our simulations, we used $k = 10^{-3}$ and values of θ up to 4000, but we also checked the scaling for $k = 5 \cdot 10^{-4}$.

Assuming that simple scaling holds, we can expect $P(\Lambda)$ to follow

$$P(\Lambda) = aG\left(\frac{\Lambda}{\Lambda_c(\theta)}\right)\Lambda^{-\tau}$$
(3)

for $\Lambda_0 \ll \Lambda \ll \Lambda_c$, where Λ_0 is a constant lower cutoff and Λ_c is an upper cutoff that diverges with θ . In Equation (3), *a* is a constant metric factor, τ is the critical exponent, and *G* is a universal function that decays quickly for $\Lambda \gg \Lambda_c$. The form that is usually assumed for the upper cutoff is $\Lambda_c = b\theta^{\nu}$, where *b* is another constant metric factor and ν is the spatial dimensionality of the observable Λ [3].

If the data are consistent with the simple scaling ansatz, then it is possible to perform a data collapse by plotting $P(\Lambda)\Lambda^{\tau}$ vs. the rescaled variable $\frac{\Lambda}{\Lambda_c}$ for different values of θ . In **Figure 9**, we performed a data collapse using $\nu = 1.055$ and $\tau = 1.04$. We also estimated the critical exponents analyzing the first two



FIGURE 9 Data collapse for the distribution of the largest cluster $P(\Lambda)$ at $k = 10^{-3}$.

moments of Equation (3) and fitting the data with $\langle \Lambda \rangle = c_1 \theta^{\alpha_1}$ and $\langle \Lambda^2 \rangle = c_2 \theta^{\alpha_2}$, obtaining

$$c_1 = 10.7 \pm 0.8$$
 and $\alpha_1 = 1.04 \pm 0.01$

and

$$c_2 = 110 \pm 36$$
 and $\alpha_2 = 2.11 \pm 0.04$

using 95% confidence bounds.

From the exponents of the first two moments one can easily recover v and τ using Equation (3):

$$\nu = \alpha_2 - \alpha_1 \quad \tau = 2 - \frac{\alpha_1}{\nu} \tag{4}$$

Using our estimates for α_1 and α_2 , we get

$$\nu = 1.07 \pm 0.04 \quad \tau = 1.03 \pm 0.04 \tag{5}$$

using 95% confidence bounds.

These estimates for ν and τ are consistent with the ones obtained via data collapse and that have been used in **Figure 9**. Finally, we applied the whole procedure a second time using a smaller value of *k*, namely $k = 5 \cdot 10^{-4}$ and θ up to 2000. From the data collapse in **Figure 10** we obtained an estimate of $\nu = 1.065$ and $\tau = 1.04$, while fitting the first two moments we obtained:

$$c_1 = 12.0 \pm 0.7$$
 and $\alpha_1 = 1.05 \pm 0.01$

and

$$c_2 = 148 \pm 15$$
 and $\alpha_2 = 2.12 \pm 0.02$



which are consistent with the data collapse and with those estimated for $k = 10^{-3}$.

Although we had to restrict our simulations to values of $\theta < 10^4$, we observe a very robust scaling for the largest cluster Λ , which is not observed in the distribution of cluster sizes P(S) over the same range of θ [4]. Interestingly, we found that $\langle \Lambda \rangle \sim \theta^{1.04}$, a super-linear growth which indicates that the correlations in the system increase rapidly with θ . This behavior is consistent with the analysis of the distribution of instantaneous correlation lengths $P(\xi)$ performed in [12], where it was found that the average instantaneous correlation length grows as $\langle \xi \rangle \sim \theta^{0.56}$ over the same range of θ .

4. DISCUSSION

SOC was very much inspired by the successes of the renormalization group studies of equilibrium critical phenomena of the 1970-ties and its phenomenal understanding of the origin of universality classes. Initially, the discussions of SOC focused on accurately establishing the scaling behavior and related scaling exponents of the various models thought to represent the SOC phenomenology. The original sandpile model [1] was disappointingly far from simple scaling, and also the FFM turned out to behave very differently from the familiar scaling observed in equilibrium models such as the Ising model or geometrical percolation. Though there is at least one class of SOC models that exhibits clear scaling, namely the class represented by the Manna model [21], the beauty of strict scaling and universality classes defined by scaling exponents seems not to really capture the less than ideal critical behavior frequently observed in real systems, such as our two examples from rain and brain.

There is no doubt that the studies of the emergent dynamics of real complex systems from biology, geophysics, astrophysics, economics and more [22–24] keep identifying behavior which is qualitatively in the spirit of the hopes and dreams behind SOC, namely the lack of one characteristic scale in time and space, large fluctuations and no need for specific external tuning. So if the beauty of strict scaling and exact power laws does not carry over from equilibrium critical phenomena, the question is how we establish a systematic classification of the emergent phenomena observed in completely different systems. The study of the FFM and its comparison with the behavior of real systems suggests it is possible to establish a useful phenomenological understanding and classification reaching beyond the usual strict classification of universality classes defined in terms of shared scaling exponents.

The study presented here confirms earlier investigations of the behavior of the density ρ , and the change in the behavior of $\langle \rho \rangle$ for very large system sizes. Although we observed that the Gaussian behavior of $P(\rho)$ holds at least until $\theta = 10^5$, we also showed that it is possible to obtain very good but deceitful data collapses for $P(\rho)$ at different ranges of θ . If, on the one hand, this should serve as a warning for the analysis of the asymptotic scaling behavior of the FFM and other out of equilibrium systems, on the other hand, it shows that such *effective* scaling could be of guidance in the understanding and analysis of real systems that show similar dynamics.

Analyzing the average cluster size and the normalized largest cluster, we found that the FFM exhibits very similar behavior to the one observed in experimental studies of rain and brain [6, 7]. In particular, both studies and the FFM display a *critical region* over which both the residence time distribution and the order parameter peak up, meaning that the system spends most of the time in a highly susceptible state.

From the study of the largest cluster Λ it emerges that, although the FFM displays broken scaling in the distribution of cluster sizes, the distribution $P(\Lambda)$ appears to be scale-invariant at least for $\theta < 10^4$. Furthermore, $P(\Lambda)$ displays a super-linear growth of the first moment similar to the one observed for the average instantaneous correlation length in [12]. It is clear from the anomalous behavior of the density ρ that such results should be taken with care, as the model seems to enter a new regime when $\theta \gtrsim 10^4$. However, the robust scaling observed both in the largest cluster and in the instantaneous correlation length suggests that at least for system sizes below $\theta = 10^4$ there is a fast and scale-free growth of the correlations with the *activity* θ .

Although the FFM does not display the reassuring scaling observed in equilibrium models, its phenomenology appears to summarize elegantly and robustly the emergent dynamics of spreading and recharging seen in such disparate phenomena as rain precipitation and brain dynamics. Moreover, examples of broken scaling and non-exact powerlaws are ubiquitous in nature and in the scientific literature [25] and, for this reason, we believe that the characteristic behavior of the FFM should be seen as an asset rather than a limitation of the model.

DATA AVAILABILITY STATEMENT

The original contributions presented in the study are included in the article/**Supplementary Material**, further inquiries can be directed to the corresponding author.

AUTHOR CONTRIBUTIONS

LP performed the numerical simulations and analyses and produced the figures. Both authors discussed the results of the simulations and jointly wrote the manuscript.

FUNDING

LP gratefully acknowledges an EPSRC-Roth scholarship (Award Reference No. 1832407) from EPSRC and the Department of Mathematics at Imperial College London.

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ACKNOWLEDGMENTS

LP thankfully acknowledges the High-Performance Computing facilities provided by the Imperial College Research Computing Service (DOI: 10.14469/hpc/2232).

SUPPLEMENTARY MATERIAL

The Supplementary Material for this article can be found online at: https://www.frontiersin.org/articles/10.3389/fphy. 2020.00257/full#supplementary-material

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Conflict of Interest: The authors declare that the research was conducted in the absence of any commercial or financial relationships that could be construed as a potential conflict of interest.

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