



Steady-State 3D Trapping and Manipulation of Microbubbles Using Thermocapillary

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An experimental and theoretical study on the 3D trapping and manipulation of microbubbles by means low power laser-induced temperature gradients induced in ethanol by bulk light absorption ($\lambda = 1550$ nm) is presented. Two optical fibers were used: One for bubble generation (OF_G) and the other for both trapping and manipulation (OF_T). Light from a Q-switched pulsed laser ($\lambda = 532$ nm and pulse width $\tau_p = 5$ ns) propagates in fiber OF_G and gets absorbed at silver nanoparticles (AgNPs), previously photodeposited, at the distal end of a fiber optic core, generating the microbubbles. In the fiber OF_T, light of low power CW laser was used to trap and manipulate the bubbles by thermocapillary induced by light bulk absorption in ethanol. The microbubble generated on OF_G migrates toward the fiber OF_T. The equilibrium between the buoyancy force F_B , drag force F_D and the Marangoni force (also known as thermocapillary force) F_M gives rise to a 3D stably trapping and manipulation of the microbubble for the best time to our best knowledge.

Keywords: trapping, manipulation, microbubble, thermocapillary, Marangoni force

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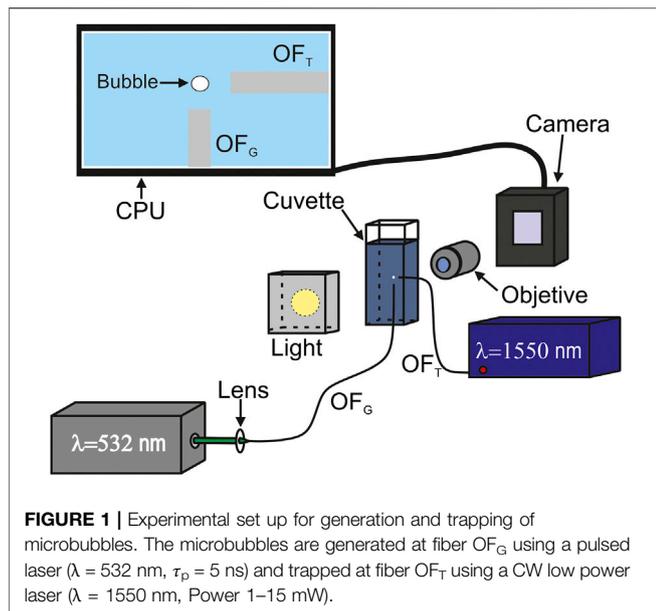
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INTRODUCTION

Trapping and manipulation of microbubbles have become a very useful tool in various applications such as manipulation of micro-objects [1, 2], fabrication of micro-valves [3, 4], photolithography [5], among others. There are different techniques for generating, trapping, and manipulating microbubbles in liquids using thermal [6–8], acoustic [9, 10], and optical effects [11–13]. Thermal effects have been considered as an unwanted side effect on optical trapping; however, forces of thermal origin are orders of magnitude greater than optical ones, thus offering plenty of opportunities for the manipulation of micro-objects [1, 2]. In fact, generation and 2D trapping of microbubbles using light induced temperature gradient using absorbent thin films deposited on one of the substrates has been demonstrated for several authors [5, 14, 15]. Later, absorption in the bulk and from nano/microparticles suspended on the liquid were used to achieve thermal trapping and manipulation [8, 16, 17]. More recently, Benerjee et al. [18], reported the trapping and 2D manipulation of bubbles due thermal bluming and Marangoni effect triggered by light absorption of a focused CW laser on colloidal particles suspended in isopropanol. However, they require rather large optical powers >100 mW; besides, they did neither show steady-state trapping nor stably manipulation. Recently, our research group has demonstrated both the generation and quasi-steady-state trapping and manipulation of single microbubbles in optical fibers using the Marangoni effect [19, 20].



Here, we report the 3D trapping and manipulation of a microbubble through temperature gradients generated by light absorption. Two optical fibers were used: One for bubble generation (OF_G) and the other for trapping and manipulation (OF_T). In the fiber OF_G, light from a Q-switched pulsed laser propagates and gets absorbed at silver nanoparticles, previously photodeposited at the distal end of the fiber optic core, generating the microbubbles. In the second fiber OF_T, the light from a low power CW laser is used to trap and manipulate the bubbles by Marangoni force induced by light absorption in ethanol. The generated microbubble on fiber OF_G migrates toward the fiber OF_T. The equilibrium trapping position around the fiber OF_T is determined by the balance between the buoyancy force (F_B), drag force (F_D), and the Marangoni force, also known as thermocapillary force (F_M). To our best knowledge, this is the first time that 3D stable trapping and manipulation of the microbubble in liquids is reported.

EXPERIMENTAL SECTION

A beam from a pulsed second harmonic laser ($\lambda = 532$ nm, $\tau_p = 5$ ns, Spectra-Physics Q-switching Mod. Explorer 532–200-E) is coupled into a multimode optical fiber (OF_G, 50/125 μm), using an aspherical lens with a focal distance of 4.5 mm as shown in **Figure 1**. Previously, AgNPs were immobilized using the photodeposition technique at the distal end of the fiber OF_G [21–23]. The optical power loss caused by AgNPs absorption was approximately 2 dB. When light impinges on the AgNPs, they are heated up well beyond the ethanol's boiling temperature leading to the creation of thermocavitation bubbles [20]. A second laser, continuous wave (CW) laser ($\lambda = 1,550$ nm, Thorlabs model SFL1550S, and current controller model CDL1015) with single-mode optical fiber output (OF_T, 9/125 μm) was used for trapping and manipulation of the microbubbles generated at fiber

OF_G. No nanoparticles were photodeposited on fiber OF_T; thermal effects were generated by light absorption in the bulk ethanol (ethanol absorption coefficient at $\lambda = 1,550$ nm is $\alpha \sim 5.63$ cm⁻¹ or ~ 166 μm penetration length [24]). The fibers OF_G and OF_T were placed in different configurations inside a 3 ml plastic cell. The visualization of the generation, trapping, and manipulation was done through a 5 \times microscope objective (Newport M-5X), a white LED, and a Motic3 camera (3 Mpx resolution) connected to a CPU.

EXPERIMENTAL RESULTS

Figure 2 shows the generation and trapping of a microbubble for different fiber OFT positions (fiber end facing downwards, horizontally, and upwards). The AgNPs strongly absorb light from the laser at 532 nm increasing its temperature. By heat transfer, the surrounding liquid is heated up well beyond its boiling temperature and eventually, evaporates explosively creating a microbubble that is expelled from the fiber end [20]. The longer the pulsed laser is on, the larger the bubble's diameter [20]. In particular, the radius reached by the microbubble was approximately $R \sim 42$ μm for all the cases shown in **Figure 2**. The microbubble ascends through the fluid due to buoyancy, and in minor scale by convective currents, as shown in **Figures 2B,E,H**. When the bubble leaves the fiber OFG, the CW laser ($\lambda = 1,550$ nm) is turned on heating up the liquid along its propagation path eventually generating a temperature gradient attracting the microbubble toward it. This region is located along the propagation axis of the fiber OFT, when the forces (Marangoni, drag, and buoyancy) are in equilibrium (see **Figures 2C,F,I**) then the microbubble becomes trapped. The separation distance d between the fiber end OFT and the center of the microbubble when the fiber OFT was facing downwards, horizontally, and upwards was: ~ 75 , ~ 100 , and ~ 350 μm , respectively.

The 3D manipulation of a microbubble when the fiber end OF_T was facing downwards, horizontally, and upwards can be seen in visualizations 1, 2, and 3, respectively. For each of the cases shown in visualizations, the radius of the microbubble was $R \sim 42$ μm . Note that the bubble follows the fiber OF_T displacements since the temperature gradient moves along with it. When the fiber OF_T is pointing upwards the microbubble is trapped at a greater distance d . Trapping is possible for different microbubble radii, as shown in **Figure 3**.

Microbubbles of radius $R \geq 130$ μm get in contact with the fiber OF_T, as one can see in **Figures 4A,B**. For these large bubbles, trapping becomes unstable and manipulation is not possible. Thus, a good criterion for an upper limit of bubble manipulation is to choose those bubbles whose diameter is comparable with the OF_T's diameter. On the other hand, the lower limit of trapped bubbles size could not determine since bubbles with diameter ≤ 30 μm are very difficult to create as they grow very fast (≤ 100 ms) which is comparable to the response time of our mechanical shutter. However, with the proper shutter, the laser could be turned on from μs to ms and, thus, obtaining smaller bubbles.

Polystyrene microparticles (diameter ~ 1 μm) were dispersed in ethanol to be used as tracers to measure the velocity of the

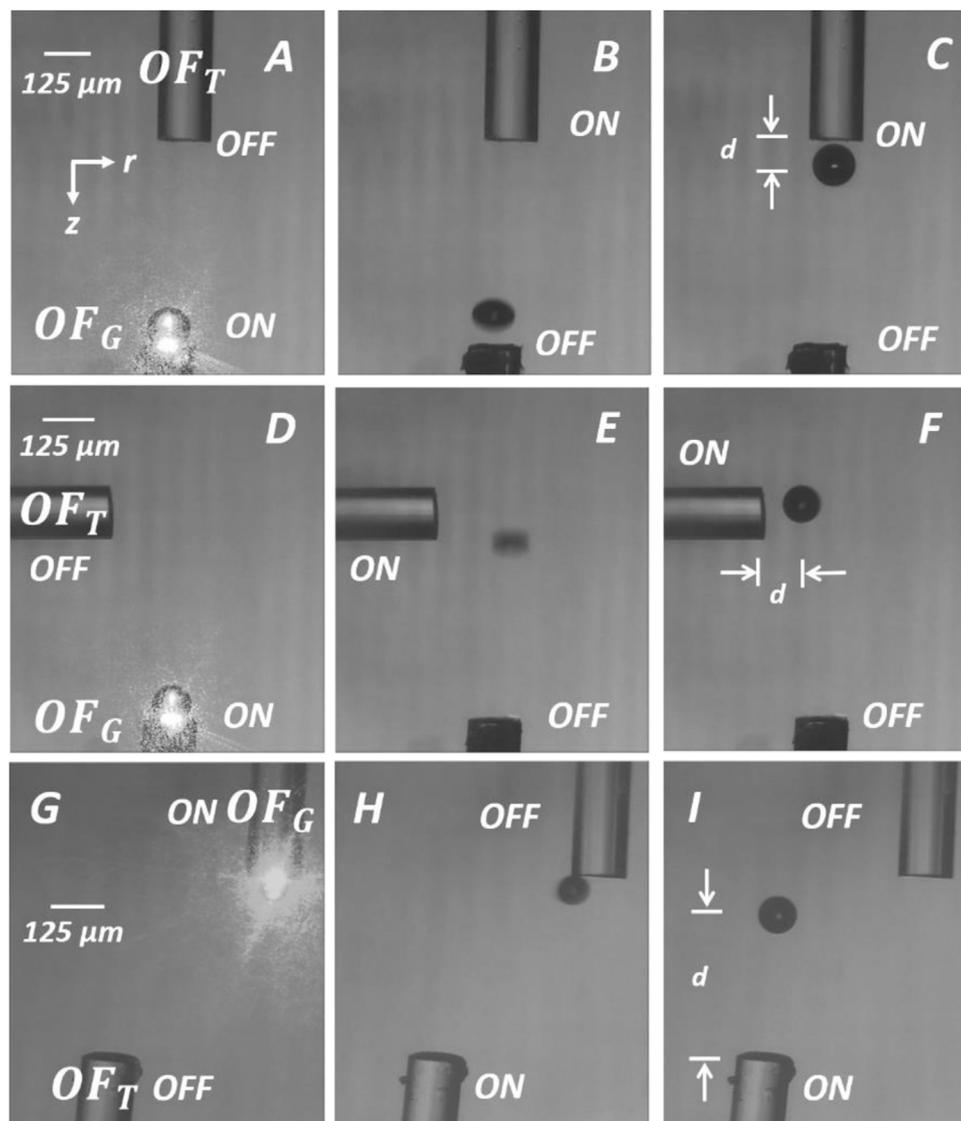


FIGURE 2 | Generation and 3D trapping of a microbubble for different fiber OF_T positions: facing downwards 2A, horizontally 2D, and upwards 2G. Bubble release from the fiber OF_G : 2B, 2E, and 2H. Bubble trapping 2C, 2F, and 2I. See visualizations 1, 2, and 3 for more details.

convective currents induced by light absorption with a power of 2 mW. **Figure 5** shows the tracking of a cluster of microparticles due to the convective currents and the obtained velocity of these currents along the propagation axis. By video analysis, the velocity of the convective currents around of the optical fiber end ($z = 120 \mu\text{m}$) was found to be $\sim 0.64 \text{ mm/s}$ in the upward direction. For the largest used power in this work (15 mW) and for the same region ($z = 120 \mu\text{m}$), the speed scales almost linearly $\sim 7.7 \text{ mm/s}$.

DISCUSSION

Experimental results show the trapping and manipulation of microbubbles, previously generated, using optically-induced temperature gradients caused by light absorption in ethanol

[20]. Microbubbles are generated in ethanol by thermocavitation, i.e., the explosive phase transition from liquid to vapor around its critical-point (243°C) [25] after light from a pulsed laser is absorbed at AgNPs deposited at the end of an optical fiber. One key characteristic of thermocavitation is that bubbles remain in contact with the interface (in this case fiber end with photodeposited AgNPs) at all times. Upon collapse, the bubble takes a toroidal shape due to a reentrant jet that eventually hits the hot surface and is instantaneously evaporated [25]. This vapor microbubble is expelled from the fiber end with an exponentially decaying velocity as they move away from the fiber. The repetition rate of the laser is 10 kHz, so every $100 \mu\text{s}$, a microbubble is expelled from the fiber creating a column of bubbles moving away from the fiber. Since the bubble velocity is continually decreasing, they eventually catch

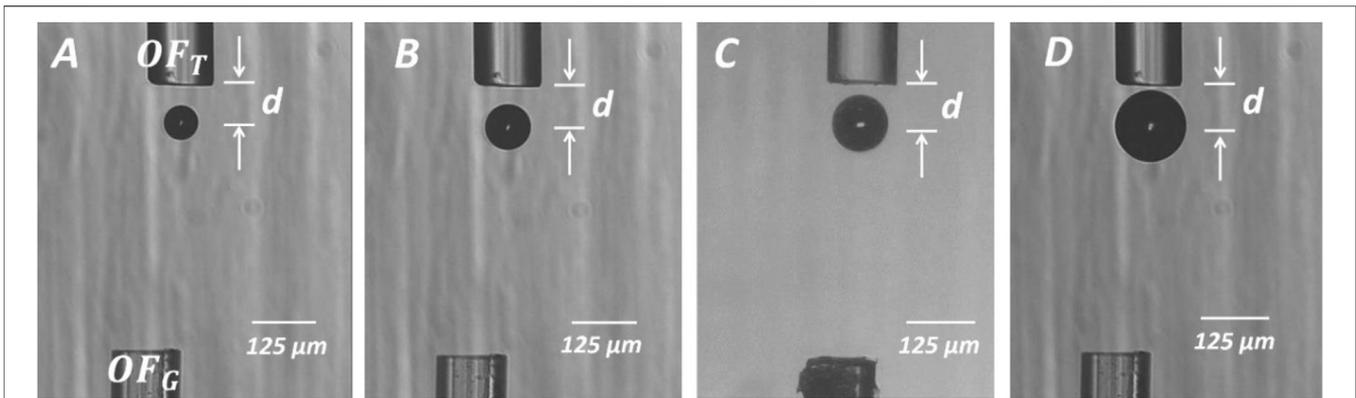


FIGURE 3 | Trapping of microbubbles through a temperature gradient induced with a power of 2 mW for different radii R and its corresponding trapping distance d : **(A)** $R \sim 32 \mu\text{m}$ and $d \sim 71 \mu\text{m}$, **(B)** $R \sim 42$ and $d \sim 76 \mu\text{m}$, **(C)** $R \sim 50 \mu\text{m}$ and $d \sim 74 \mu\text{m}$, and **(D)** $R \sim 62 \mu\text{m}$ and $d \sim 78 \mu\text{m}$.

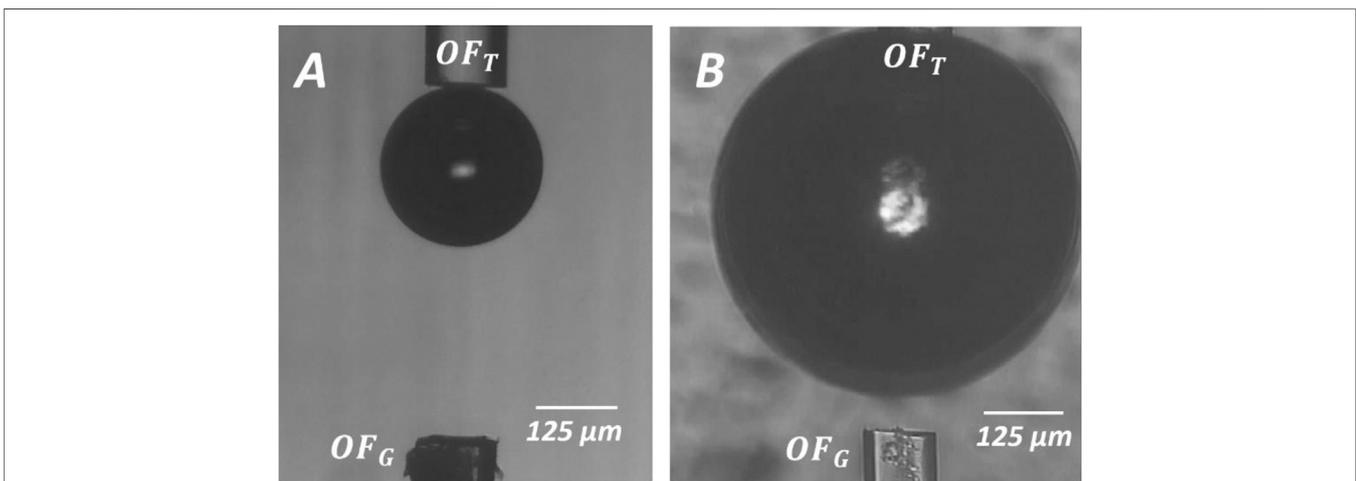
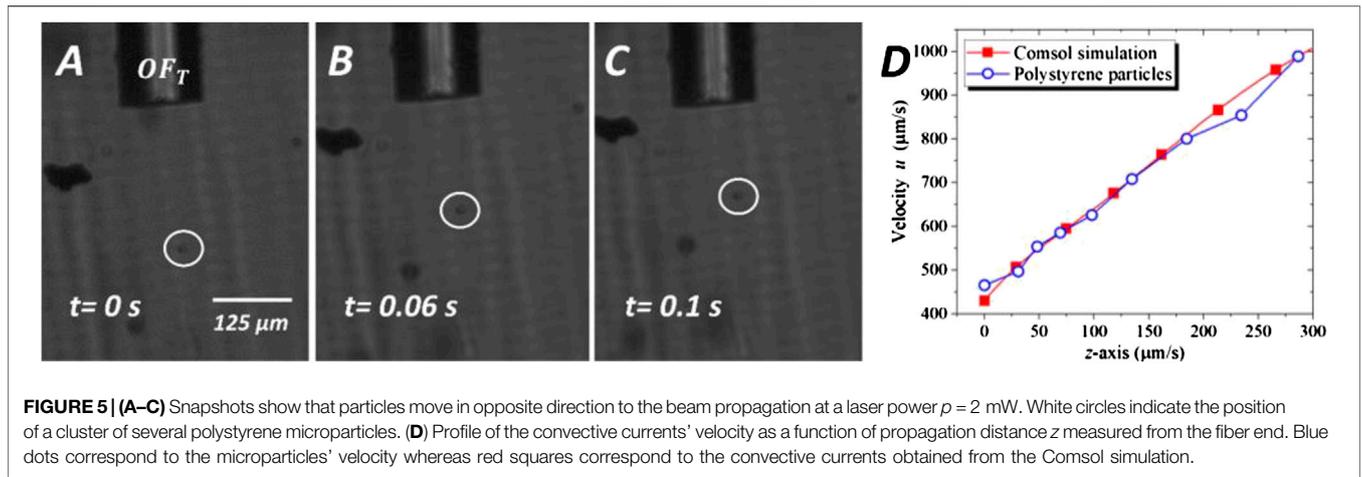


FIGURE 4 | Trapping of microbubbles with a power of 2 mW. Large bubbles touch the optical fiber OF_T **(A)** $R \sim 130 \mu\text{m}$, and **(B)** $R \sim 333 \mu\text{m}$. For microbubbles of radius $R \geq 130 \mu\text{m}$ trapping becomes unstable and manipulation is not possible.

up and coalesce creating a larger continuously growing bubble. Thus, microbubble size, from tens to hundreds of micrometers in diameter, can be precisely generated by controlling the on-time of the laser (or equivalently, the number of pulses) [20]. This continuously growing bubble can be temporally trapped by the same fiber OF_G until it reaches a size such that buoyancy force overcomes the Marangoni force and the bubble leaves the trap. This temporarily trapping last only 55 s. In this work, this bubble is released by turning the pulsed laser off after the bubble reached a certain size and later trapped using another optical fiber with no nanoparticles deposited. This time, the trapping laser is a CW low power laser. The microbubble can be steadily trapped and manipulated for up to 10 min. In order to achieve this goal, switch on/off synchronization between the lasers is necessary.

In order to understand the trapping mechanism, we analyze the involved forces using COMSOL Multiphysics 5.2 simulations. Light

from the CW laser ($\lambda = 1550 \text{ nm}$) is exponentially attenuated ($\alpha \sim 5.63 \text{ cm}^{-1}$) inside the ethanol, generating a transversal and longitudinal temperature gradient. Without loss of generalization, we will assume that the trapping fiber is pointing downwards so the microbubble will move up toward the trapping fiber by buoyancy force F_B . When it is in close proximity to the temperature gradient, it will experience the Marangoni force F_M . Due to the temperature gradient, convective currents are also generated within the fluid creating an additional drag force F_D . When the microbubble is trapped, an equilibrium between the buoyancy F_B , drag F_D , and Marangoni F_M forces is established. The direction of Marangoni's force is always directed toward the heat source while the buoyancy force and drag force, always points upwards. The equilibrium position is located at a distance d , measured from the tip of the fiber OF_T until the bubble geometrical center. Optical forces are not taken into account because their magnitudes are three orders of



magnitude smaller than the buoyancy force and six orders of magnitude smaller than the Marangoni force F_M [19].

When the light is incident upon the ethanol a portion of it is absorbed by the ethanol, producing a temperature gradient ∇T that heats the ethanol up according to the heat transfer equation (where a steady-state condition is assumed) is given by [26]:

$$\rho C_p \mathbf{u} \cdot \nabla T = \nabla \cdot (k \nabla T) + Q, \quad (1)$$

where ρ is the ethanol density, C_p is the heat capacity, \mathbf{u} is the fluid's field velocity, k is the thermal conductivity, and $Q = \alpha I$ is the heat source per volume unit with α the absorption coefficient and I the optical intensity of the Gaussian beam. To model the fluid's field velocity, both the heat transfer equation given by Eq. 1 and the Navier-Stokes equations for incompressible fluids, given by Eqs 2 and 3, are solved by finite element method (Comsol Multiphysics).

$$\rho (\mathbf{u} \cdot \nabla) \mathbf{u} = \nabla \cdot [-p\mathbf{H} + \mu(\nabla \mathbf{u} + (\nabla \mathbf{u})^T)] + \mathbf{F}, \quad (2)$$

$$\rho \nabla \cdot \mathbf{u} = 0, \quad (3)$$

where \mathbf{H} is the identity matrix, μ is the ethanol viscosity, and \mathbf{F} is the volumetric force per volume unit defined as $\mathbf{F} = \mathbf{g}(\rho - \rho_0)$ where \mathbf{g} is the gravitational acceleration, ρ is the ethanol density at temperature T , and $\rho_0 = 789 \text{ kg/m}^3$ is the ethanol density at room temperature.

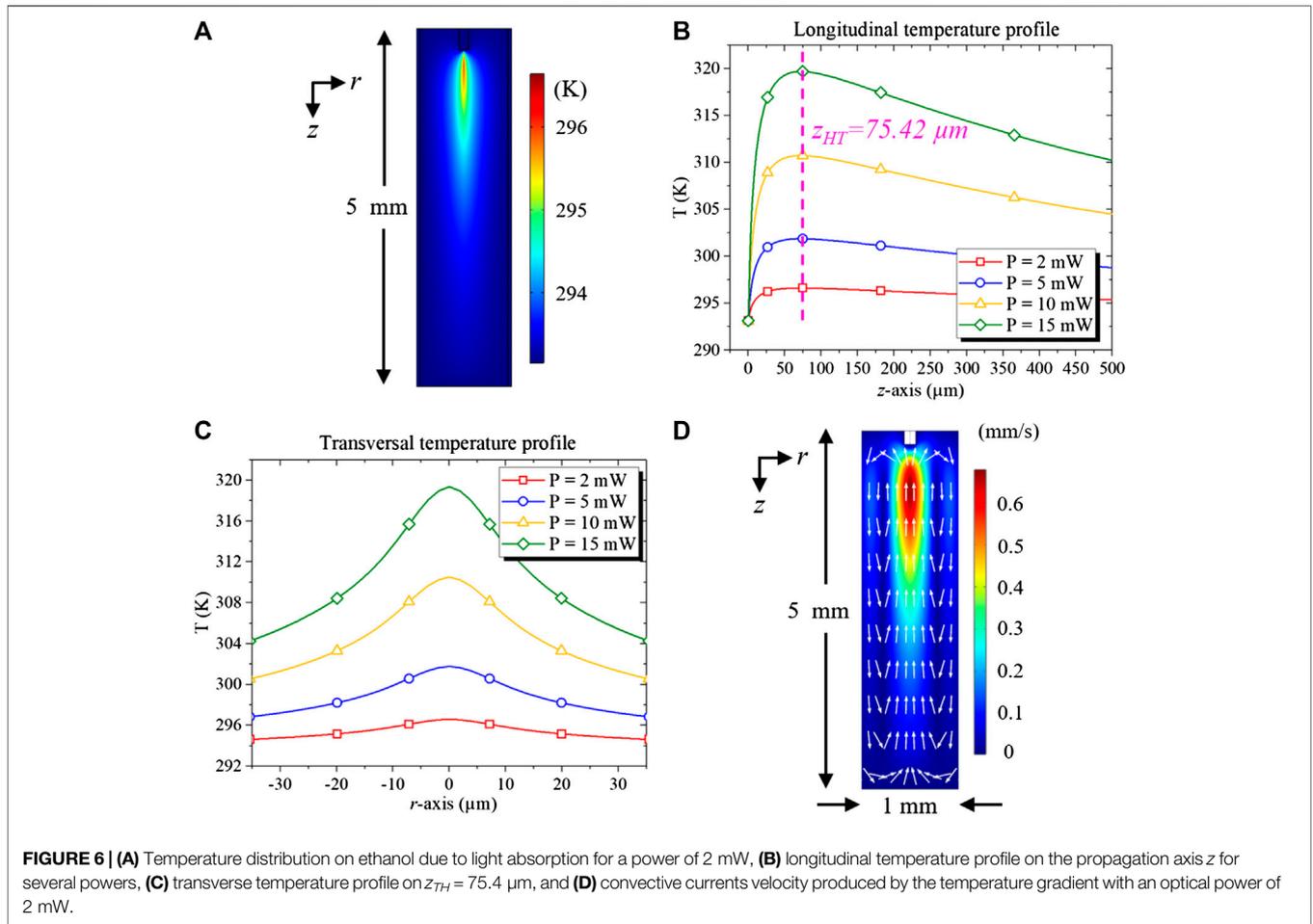
The simulation was carried out assuming a 2D configuration given the geometry of the problem, non-slip boundary condition, and initial room temperature in all boundaries. We use an inhomogeneous mesh been finer in the vicinity of the optical fiber (element size = $1.5 \mu\text{m}$) in order to reduce the computing time. We considered a Gaussian laser beam whose spot corresponds to the fiber core radius $\omega_0 = 4.5 \mu\text{m}$, the absorption coefficient of ethanol of $\alpha = 5.63 \text{ cm}^{-1}$, a cuvette with square geometry of width 1 mm, and height 5 mm. The origin of the coordinate system is set at the optical fiber end. The cuvette used in the experiments is much larger than the cuvette used in the simulation but the results (temperature and liquid's velocity) do not change much ($\sim 2\%$) respect to real cuvette. We prefer to keep the small container for the sake of computational time.

Figure 1 shows the spatial temperature distribution induced by a 2 mW power laser. As expected, the temperature increases as it travels inside the liquid, reaches a peak, and eventually decreases exponentially according to Beer-Lambert law to room temperature for $z \geq 2 \text{ mm}$. **Figure 6B** shows the temperature distribution along the propagation distance for different optical powers showing the same general behavior. Note the abrupt rise of the temperature from room temperature until the peak one which occurs at a distance $z_{HT} \sim 75.4 \mu\text{m}$ independently of the laser power (but determined by the absorption coefficient). Note that the temperature increases linearly with the power from $\sim 3.4 \text{ K}$ for 2 mW to $\sim 26.8 \text{ K}$ for the highest power of 15 mW. **Figure 6C** show the transverse temperature profile at the highest temperature (i.e. $z_{HT} = 75.4 \mu\text{m}$) with a spatial profile much wider than the Gaussian beam one as consequence of heat diffusion. Finally, **Figure 6D** shows the velocity of the convective currents generated within the ethanol with an optical power of 2 mW. The convective currents peak velocity is about 0.7 mm/s (around $z \sim 440 \mu\text{m}$) in concordance with the measured velocity (see **Figure 7**). The associated drag force F_D exerted on the bubble is given by:

$$F_D = 6\pi\mu R u, \quad (4)$$

where $\mu = 1.17 \times 10^{-3} \text{ Pa} \cdot \text{s}$ [24]. For an optical power of 2 mW, the peak drag force is $\sim 0.1 \text{ nN}$, i.e., an order of magnitude smaller than the buoyancy force. However, when the highest power is used (15 mW), the drag force is comparable to the buoyancy force and cannot longer be neglected.

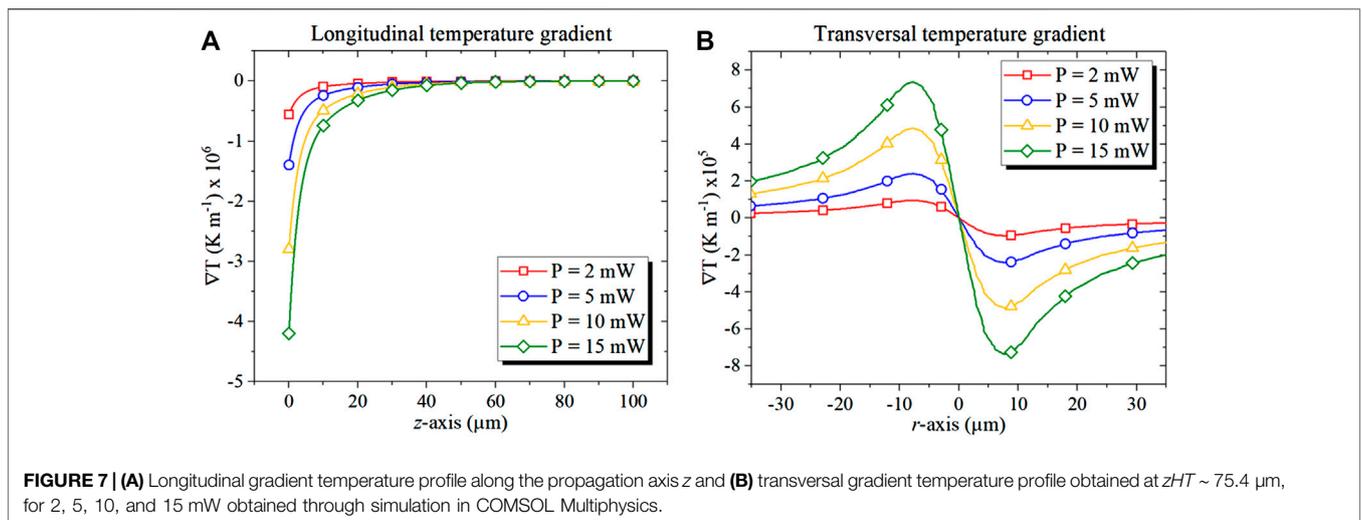
As **Figure 7A** shows, the longitudinal temperature gradient profile obtained from COMSOL Multiphysics is quite steep before $\sim 75.4 \mu\text{m}$ and after this point, the gradient is small but sufficiently large as to attract the bubble to the fiber. Note that all temperature gradients change sign at the same distance $z_{HT} \sim 75.4 \mu\text{m}$ as shown in **Figure 8B**. The temperature gradient shows great similarity to the optical gradient present in optical traps. In fact, the transversal and longitudinal temperature gradient result in a Marangoni force that traps the bubble in three dimensions, just as in optical trapping.

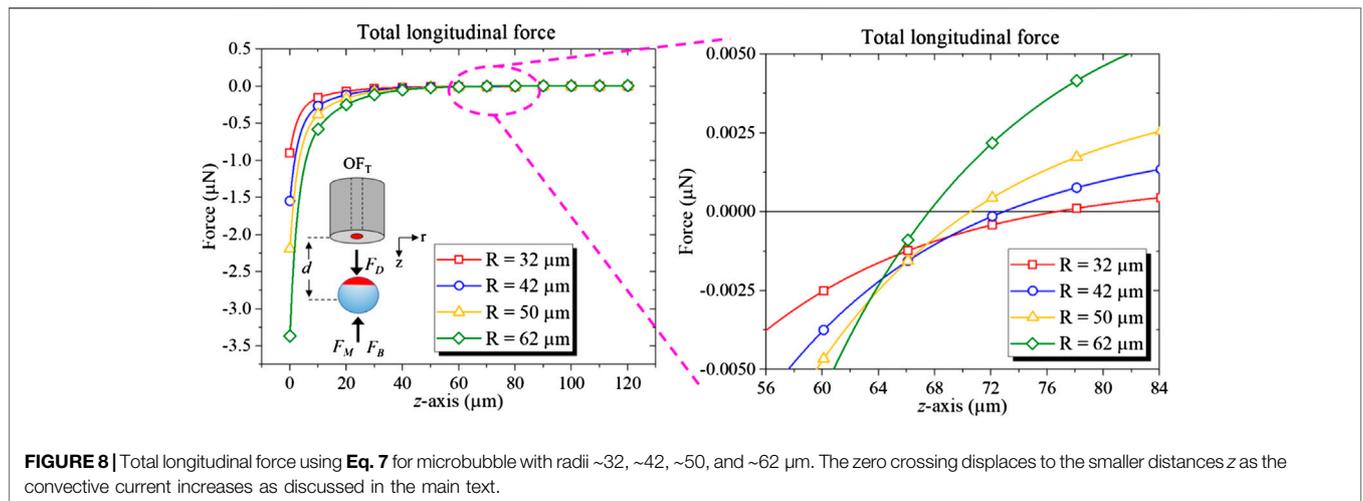


In the Marangoni force, a tangential stress on the bubble's wall owing to the temperature dependence of the surface tension is exerted; the bubble will move toward the heat source while the liquid flows to the colder regions with a force given by [19, 27]:

$$F_M = -2\pi R^2 \nabla T \frac{d\sigma}{dT} \quad (5)$$

where $\frac{d\sigma}{dT}$ is the temperature derivative of the surface tension of the liquid σ (-0.022 Nm^{-1}) [28]. The buoyancy force F_B which is given by:





$$F_B = \frac{4}{3} \pi \rho_0 g R^3, \tag{6}$$

where $\rho_0 = 789\text{kg/m}^3$ is the density of the liquid (ethanol) [28]. The total force F_T experienced by a trapped microbubble used in this study is:

$$F_T = \pm F_M + F_B \mp F_D, \tag{7}$$

where the \pm sign indicates if the fiber is pointing upwards or downwards, respectively. From Figure 7B and Eq. 5, we know that the bubble will be trapped transversally around $r = 0$, so lets analyze where the equilibrium position along z is located. Figure 7 shows the longitudinal total force. As expected, the Marangoni force is predominantly close to the optical fiber end where the temperature gradient is larger. When the total force F_T on the microbubble is equal to zero the microbubble will be trapped. The total longitudinal force for an optical power of 2 mW and microbubbles radius of 32, 42, 50, and 62 μm is zero at $z \sim 77, 73, 70.5,$ and $68 \mu\text{m}$, respectively (see Figure 8) which are very close to those measured (71–78 μm obtained from Figure 3). As the power increases, the contribution of the drag force becomes comparable to the buoyancy force and therefore the trapping distance decreases. One possible explanation for the disagreement between theory and experiment, rely on the fact that our simulation did not include the presence of the bubble. In addition, when the microbubble interacts with the beam laser there is an additional temperature profile that appears on the opposite (exit) surface of the microbubble that modifies the net temperature gradient. Since the Rayleigh distance for the trapping beam is $\sim 41 \mu\text{m}$, as the beam diffracts, the additional thermal gradient is stronger for smaller than larger bubbles (as seen in Figure 2). Nevertheless, this simple model explains reasonably well all the experiments reported.

In our previous work, we reported quasi-steady-state trapping for milliseconds up to 55 s before the bubble become so large that buoyancy dominates over all forces escaping the trap [20]. Here using CW low power laser, we can extend the trapping time

depending on the power used. For example, if a microbubble is trapped with a power 1–2 mW, the rate of vapor condensation exceeds that of evaporation and therefore the bubble will shrink over time. For a power of 1 mW, the microbubble decreases at a rate of ~ 2.3 and $\sim 0.96 \mu\text{m/s}$ for 2 mW. However, if the microbubble is trapped with a power ~ 3 mW, it increases its radius overtime at a rate of $\sim 0.53 \mu\text{m/s}$. Therefore, by fine-tuning the optical power it is possible to maintain an approximately constant ($\sim >1 \mu\text{m/min}$) size microbubble. For instance, in this work was possible to trap a microbubble of $R \sim 75 \mu\text{m}$ for an approximately 10 min with an optical power of approximately 2.7 mW. During this time, the microbubble increased in size by 10%. We believe the trapping time can be further extended if the laser beam is intensity-modulated, for example with a square pulse, in order to achieve a balance of the rates of evaporation and condensation.

CONCLUSIONS

In summary, we show for the first time, stable 3D trapping and the manipulation of microbubbles in absorbing liquids using a low power CW laser ($\lambda = 1,550 \text{ nm}$). Light absorption activates several phenomena (Marangoni effects, convective currents, and buoyancy), each one producing competing forces: the Marangoni F_M , drag force F_D , and the buoyancy F_B forces. The large 3D thermal gradient produced by the low power laser but rather modest temperature increase provides the Marangoni force while the others affect the final trapping position along the propagation distance. A careful balance of vapor condensation and evaporation rate induced by the trapping laser produce stably trapping for up to 10 min using an optical power as low as 2.7 mW. The setup could further simplified if the bubbles are generated by Joule heating as it is commonly done in sonocavitation experiments. This work opens up applications for trapping and 3D manipulation of microbubbles using thermal effects in the same way as optical trapping does. We foresee interesting applications in fields such as microfluidics for flow control, nanoparticle trapping, photolithography, among others.

AUTHOR CONTRIBUTIONS

AP-V and CT-Q carried out the photodeposition of silver nanoparticles on optical fiber end for generating microbubbles. JO-M and FM-P performed the experimental setup for trapping and manipulation of microbubbles with temperature gradients. JS-A and RR-G carried out the simulations of the Marangoni force. All the authors realized the data analysis, read, and approved the final manuscript.

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Conflict of Interest: The authors declare that the research was conducted in the absence of any commercial or financial relationships that could be construed as a potential conflict of interest.

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