



Poincaré Rotator for Vortexed Photons

Shinichi Saito*

Center for Exploratory Research Laboratory, Research & Development Group, Hitachi, Ltd., Tokyo, Japan

A Poincaré sphere is a powerful prescription to describe a polarized state of coherent photons, oscillating along certain directions. The polarized state is described by a vector in the sphere, and various passive optical components, such as polarization plates and quartz rotators are able to rotate the vectorial state by changing the phase and the amplitude among two orthogonal basis states. The polarization is originated from spin of photons, and recently, significant attentions have been made for optical Orbital Angular Momentum (OAM) as another fundamental degree of freedom for photons. The beam shape of photons with OAM is a vortex with a topological charge at the core, and the state of vortexed photons can be described by a hyper-Poincaré sphere. Here, we propose a compact Poincaré rotator, which controls a vortexed state of photons in a silicon photonic platform, based on Finite-Difference Time-Domain (FDTD) simulations. A ring-shaped gear is evanescently coupled to two silicon photonic waveguides, which convert optical momentum to OAM with both left and right vortexed states. By controlling the relative phase and the amplitude of two traveling waves in input ports, we can control the vortexed states in the hyper-Poincaré sphere for photons out of the gear. The impact of the geometrical Pancharatnam-Berry-Guoy's phase and the conservation law of spin and OAM for vortexed photons out of the gear are discussed.

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***Correspondence:** Shinichi Saito shinichi.saito.qt@hitachi.com

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1. INTRODUCTION

Planck discovered momentum *p* of a photon is determined by its wavelength λ in a vacuum as

$$p = \frac{h}{\lambda} = \hbar \frac{2\pi}{\lambda} = \hbar k,\tag{1}$$

where *h* is the Plank constant [1], $\hbar = h/(2\pi)$ is the Dirac constant [2], and *k* is the wavenumber, through investigations on black body radiation [3, 4]. This discovery was a landmark for the development of quantum mechanics, which is based on fundamental principles of all elementary particles [5–7]. The quantization condition of the Plank formula was further refined by the Bohr-Sommerfeld model [3, 4, 8, 9],

$$\oint_C \mathbf{p} \cdot d\mathbf{q} = nh,\tag{2}$$

where \mathbf{q} is a generalized coordinate, which is the conjugate of the momentum \mathbf{p} for a counter integration along a closed loop of *C*, and *n* is a quantum number for this orbital. By applying the quantization condition for the orbitals of electrons in an atom, the electronic structures

were systematically classified by radial and azimuthal quantum numbers for energy and angular momentum to explain various material properties based on atomic orbitals for the periodic table [3, 4, 8, 9]. In modern quantum mechanics, momentum and angular momentum are understood based on generators of translational and rotational symmetries of the system [5–7, 10– 13]. It is interesting to be aware that both photons and electrons are characterized by integer quantum numbers of orbitals, regardless of the difference in statistics governed by Bose-Einstein and Fermi-Dirac distribution functions, respectively [14–18].

Despite its historical importance on the nature of photons, it is relatively recent to pay attention to optical Orbital Angular Momentum (OAM) [19-46]. Allen et al. showed that the optical beam with Laguerre-Gauss mode carry OAM of hm per photon along the direction of propagation, where m is the integer quantum number to characterize the helical rotation of the phase front [19]. In order to allow the finite OAM ($|m| \neq 0$), the amplitude of the Laguerre-Gauss mode must have nodes at the core, and m is the winding number of the phase along the closed contour perpendicular to the direction of propagation [19, 32, 33, 47-49]. m is also called as a topological charge [19, 21, 22, 26, 32, 33, 35, 38, 39]. From fundamental points of views, it was argued whether it is possible to split spin angular momentum and OAM from the total angular momentum defined from the Poynting vector [24], or not [20, 27, 34]. This issue is posing a critical question whether we can treat OAM as a similar degree of freedom with polarization [50, 51] for internal spin degree of freedom. It is beyond our scope of this paper to explore the splitting issue of spin and OAM in a rigorous way [20, 27, 34].

Practically, however, it is well-established that a state with OAM is described in a hyper-Poincaré sphere [21, 28, 36, 37] similar to a Poincaré sphere [52–54] for visualizing Stokes parameters [47, 48, 52, 54–64] of polarization states. Polarization states are described by two level systems corresponding to an arbitrary orthogonal states, such as left ($|L\rangle$) and right ($|R\rangle$) circularly polarized states, horizontally ($|H\rangle$) and vertically ($|V\rangle$) linear-polarized states, or diagonally ($|D\rangle$) and anti-diagonally ($|A\rangle$) polarized linear-polarized states [47, 48, 52, 54–64]. We can also consider corresponding states with OAM in the hyper-Poincaré sphere [21, 28, 36, 37]. We propose to call a beam with OAM as *vortexed* in a close similarity to polarized photons.

Padgett and Courtial proposed to use a hyper-Poincaré sphere by using a superposition states of left- and right- vortexed states, and demonstrated such a superposition state is controlled by a phase-shift, generated by rotated cylindrical lenses [21, 65]. In the definition of the work of Padgett and Courtial [21], the duality between vortexed states and polarized states was discussed in general, and the polarization state of the vortexed state was not specified. Thus, the superposition of left- and right vortexed states with a certain fixed polarization state was considered [21]. Later, Milione et al. clarified the polarization states for photons with OAM, and showed rich vortexed states could be realized by allowing the superposition states of orthogonally polarized states with a proper OAM [28]. Here, instead of considering leftand right-vortexed states, arbitrary polarized states with a certain vortexed state were clarified, and the further superposition states with different OAM states were also discussed [28]. More recently, several groups successfully generated arbitrary OAM states by transferring polarized states to vortexed states [36, 37, 66].

In order to change the polarized state in Poincaré sphere, we can utilize various passive and active optical components, such as retarder plates, phase-shifters, Mach-Zehnder modulators, quartz rotators and so on [48, 50, 51, 60, 62, 64]. We can also use several optical components, such as vortex retarders [25, 31] and novel micro-gears [29, 67–69] for the generations of beams with OAM.

In particular, the micro-gears [29, 68, 69] in a silicon (Si) photonic platform [70, 71] are promising to encode various vortexed states, such as amplitudes, phases, and vorticities (topological charges) for high-speed optical communications [72] as well as for potential quantum communications [46].

Nevertheless, it is not so straightforward to manipulate vortexed states dynamically for photons in the hyper-Poincaré sphere, compared with the polarized photons without OAM, due to the lack of appropriate phase-shifters and rotators for OAM, compared with those for polarization.

Motivated by these fundamental progresses on OAM and practical advances in Si photonics, here, we propose a Poincaré rotator to generate a beam with an arbitrary vortexed photons in an integrated optical circuit. We use Finite-Difference Time-Domain (FDTD) simulations for an Si micro-gear [29] evanescently coupled to two Si photonic wire waveguides (**Figure 1**). We discuss the impacts of the conservation law of OAM and spin as well as the geometrical Pancharatnam-Berry-Guoy's phase [26, 48, 73–76] for vortexed photons.

2. MODEL

2.1. Device Structure

The device structure is schematically shown in **Figure 1**. We assume the use of Si-On-Insulator (SOI) substrate with the top Si layer thickness *t* of 220 nm, on the buried-oxide (BOX) thickness of 2μ m or beyond on top of the supporting Si substrate [70, 71]. Two standard straight wire waveguides are designed to be single mode with the width *W* of 440 nm. The polarization of the mode propagating in the Si wire waveguide is the quasi-Transverse-Electric (TE) mode [47, 48, 70], such that the electric field is dominated by the horizontal linearly polarized state with the momentum $p = \hbar k_{n_{\text{eff}}}$, given by the wavenumber $k_{n_{\text{eff}}} = 2\pi n_{\text{eff}}/\lambda$ with the effective refractive index of n_{eff} .

The Si wire waveguides are located near the Si micro-gear (**Figures 1A,B,D,E**) with the distance *d* of 100nm. We have also considered a ring resonator (**Figure 1C**) for the reference. The width of both gears and a ring is the same width (*W*) with the waveguide. The radius *R* of gears and a ring is 1μ m.

For the gear, we introduced the grating in the inner surface of the ring. The depth of the dip dr = W/2 is designed to be half of the waveguide width. We have calculated modes for gears with the grating number N of 10 (Figure 1B), 9 (Figure 1D), and 8 (Figure 1E), respectively, in order to see the impact of the grating to the conservation law. The wavelength we have considered in this work is fixed at $\lambda = 1,540$ nm, for which the grating of N = 10 satisfies the Bragg reflection condition.





Two input ports of 1 and 2 are used to inject photons from the waveguide into the gear. Photons from port 1 will couple to the gear to rotate along the right hand direction, seen from the top of the chip (from the +z direction), while photons from port 2 will rotate the gear along the left hand direction. The idea is to control the phase and the amplitude of the input ports to generate the vortexed photons under a superposition state between left and right vortexed states.

One might think that one bus arrangement is enough to generate both left- and right- vortexed states, as demonstrated in previous works [29, 68]. In our case, however, we are considering to connect the waveguides to integrated Si photonic optical modulators [70, 71, 77] to allow the phase-shift for left- and right-vortices. Unfortunately, the coupling efficiency to a ring resonator from a waveguide is not high [70, 78, 79], and it is extremely sensitive to temperatures. Therefore, we must avoid interferences from the lights passing into the other ports. So far, on-chip isolators [80] are not integrated as an option for standard foundries of Si photonics chip, yet. A practical option at this moment was to employ two-bus arrangements [69, 81], such that the transmitted lights in the through-ports could be terminated to avoid the back reflections.

Here, we had better to explain our definition of the rotation. We use a standard right handed (x, y, z) coordinate as shown in Figure 1. (x, y) define the plane for the surface of the SOI substrate, and z is perpendicular to the substrate. We define the direction of rotation of photons, seen from the detector side. We believe this is a natural direction to describe the rotation, since we consider the rotation of the phase front in the (x, y) plane for a vortex, propagating along the +z direction. Consequently, the phase front of the left vortex is rotating in the counter clock wise (left rotation), seen from the +z direction, while the phase front of the right vortex is rotating in the clock wise (right rotation). We had better to clarify the way to describe the time evolution of the wave. We use $e^{i(kz-\omega t)}$ for the plane wave propagating along +z direction over t with the wavenumber of k and the angular frequency of ω . Physicists prefer this definition, while engineers prefer $e^{-i(kz-\omega t)}$. Due to the duality for the definition of the imaginary number, $i^2 = (-i)^2 = 1$, both definitions work, properly. The use of a complex electric field is a useful technique to calculate an electro-magnetic field, and there is no issue to extract the observable real electric field by calculating the sum of the complex field and its complex conjugate at the end of the calculation [47, 48, 60]. But, the interpretation to consider the direction of the oscillation will be opposite in the complex fields of E, such that we needed to clarify to avoid unnecessary confusion. We are also aware that some physicists prefer to define the direction of rotation of photons, seen from the source side. This is in fact quite common, for example, to define the rotation of the screw driver or to consider the use of a handle to drive a car. However, as far as we use the left handed $\mathbf{x} = (x, y, z)$ coordinate, it is easier to show modes and phase angles in the (x, y) plane, such that the natural direction of the observation is from +zdirection, which is the detector side. We needed to emphasize this point, since our results would be complicated, if we are not sure about our definition. We apologize from the beginning for those who are not happy about our convention.

We also use the cylindrical coordinate $\mathbf{r} = (r, \phi, z)$, as shown in **Figure 1C**. The unit vectors along the radical and the azimuthal directions are \mathbf{n}_r and \mathbf{n}_{ϕ} , respectively. These unit vectors depend on the locations, $\mathbf{n}_r = \mathbf{n}_r(r, \phi) = (\cos \phi, \sin \phi, 0)$ and $\mathbf{n}_{\phi} = \mathbf{n}_{\phi}(r, \phi) = (-\sin \phi, \cos \phi, 0)$, such that we must take care of the difference of the fixed unit vectors to define the (x, y, z)coordinate. Even if the components of (r, ϕ) are constant over the area, the real vectors in (x, y) coordinate are changing over the space.

2.2. Ring: Momentum to Orbital Angular Momentum Converter

First, we have simulated a standard ring resonator [70, 82] (Figure 1C) to understand how OAM is generated. The single mode in the waveguide has no orbital angular momentum, and it is propagating with the momentum of $\mathbf{p} = (p_x, 0, 0)$ injected from the input port 1 (Figure 2). If we do not have the gear, \mathbf{p} is conserved due to the translational symmetry of the wire waveguide along the *x* direction. This symmetry is broken due to the presence of the ring, and the modes in the waveguide and the ring are degenerate at the point of contact, where the resonance to the ring waveguide is taking place [70, 82], and the fraction of photons are transferred from the waveguide to the ring resonator.

The single mode of the input is oscillating predominantly along *y* direction, since it is a TE mode, given by $\mathbf{E} = (E_x, E_y) \approx$ $(0, E_y)$. We use the arbitrary units throughout this paper, and the input mode is normalized as

$$\int dy \, |E_y|^2 = 1. \tag{3}$$

In order to see how the direction of oscillation has been changed, we have projected $\mathbf{E} = (E_x, E_y)$ to the azimuthal and the radical directions to get each component as

$$E_{\phi} = \mathbf{E} \cdot \mathbf{n}_{\phi} = -E_x \sin \phi + E_y \cos \phi \tag{4}$$

$$E_r = \mathbf{E} \cdot \mathbf{n}_r = E_x \cos \phi + E_y \sin \phi, \qquad (5)$$

respectively. As shown in **Figure 2**, the mode in the ring resonator is predominantly oscillating in the radical direction (**Figures 2E,F**), such that the direction of the oscillation is perpendicular to the direction of the propagation. This is consistent with the single TE mode nature of the ring resonator. Nevertheless, some interesting features are already going on, since the unit vector \mathbf{n}_r is changing the direction along the circulation. Therefore, the polarization is changing azimuthally from horizontal, diagonal, vertical, vertical, back to horizontal upon the rotation. In other words, the average spin angular momentum becomes zero for the resonant mode, circulating in the ring resonator, because of the change in the direction of oscillation upon the time evolution. On the other hand, OAM becomes non-zero, since the mode acquired the phase as

$$E_r \propto \mathrm{e}^{im\phi},$$
 (6)



where the m is the OAM component along the quantization axis of z. We confirm that it is the eignemode of the OAM operator

$$\hat{L}_z E_r = \frac{\hbar}{i} \frac{\partial}{\partial \phi} E_r = \hbar m E_r.$$
⁽⁷⁾

In our example of **Figure 2**, the input mode from the port 1 is coupled to the resonator mode of m = -10 and it is rotating along the clock-wise right direction, as is evident from the phase evolution from blue (the phase of $-\pi$), green, to red (the phase of π) toward the right circulation (**Figures 2C,D**). This corresponds to the right circulation over the time evolution. Due to the rotational symmetry of the ring, OAM is preserved, if it is isolated. In reality, the ring resonator is coupled to wire waveguides, such that the loss is expected to loose some fractions of photons leaking from the resonator. We also confirmed that E_{ϕ} also has the same phase and OAM of m = -10.

In this classical example, we see that the momentum of photons in the waveguide is transferred to OAM in the ring resonator. Therefore, the ring resonator works as a converter of momentum to OAM. Due to the broken symmetry of the system, the momentum is not conserved, and it adiabatically changes the direction of the propagation. In the ring, it is circulating in the right direction, such that the mode acquired OAM.

It is also interesting to be aware that the Bohr-Sommerfeld quantization condition [3, 4, 8, 9],

$$\oint_C p_\phi \cdot R d\phi = hm, \tag{8}$$

is certainly satisfied to obtain the azimuthal component of the momentum

$$p_{\phi} = h \frac{m}{2\pi R} = \frac{h}{\lambda_{n_{\text{eff}}}} \tag{9}$$

and the effective wavelength in the waveguide

$$\lambda_{n_{\rm eff}} = \frac{2\pi R}{m}.$$
 (10)

It is also important to have a node at the center of the ring. In the ring waveguide, the amplitude of the mode vanishes at the center due to the absence of the material of a high refractive index, and therefore it is obvious. Even if we use a disk instead of the ring, the Whispering-Gallery-Mode (WGM) [67] has a node at the center. Otherwise, OAM would diverge at the center [19]. Thus, the finite *m* is also called as topological charge, since it characterizes the nature of the electric fields surrounding it. We had better to emphasize, though, that there is no physical observable in the unit of charge. There is no singularity of the fields, either. It is just a node, and *m* is the winding number of the phase to characterize the vortex, and *m* is the quantization integer for the OAM component along the direction of the propagation.

2.3. Gear: Generator of Vortices and Conservation Law for Optical Angular Momentum

Next, we discuss about the generation of vortices out of the gear, coupled to the Si wire waveguide [29, 68, 69]. Our design is much smaller than the original proposal of Cai et al. [29], and we found an interplay between spin and OAM upon the conservation law.



above the gear. (A) The amplitude $(|E_x|)$ and (B) the phase (ϕ_x) of the horizontal electric field E_x . (C) The amplitude $(|E_x|)$ and (D) the phase (ϕ_y) of the horizontal electric field E_y . They show $E_y \sim -iE_x \propto e^{i\phi}$, showing the direct tensor product state of the right-circularly-polarized state and the left-vortexed state, $|\bigcirc\rangle_{spin} \otimes |\bigcirc\rangle_{orbit}$. (E) The local intensity, s_0 , (F) local horizontal/vertical spin component, s_1 , (G) local diagonal/anti-diagonal spin component, s_2 , and (H) local left/right spin component, s_1 . Normalized Stokes parameters of (I) S_0 , (J) S_1 , (K) S_2 , and (L) S_3 . White arrows in (F–H) schematically show the direction of oscillations.

In the design of Cai et al. [29], the depth of the grating is not significant, such that the small azimuthal component of E_{ϕ} was scattered by the grating. On the other hand, the width of our grating is the half of the width of the waveguide, dr = W/2, (**Figure 1B**) and strong scattering of the entire mode is expected in our design.

First, we have simulated for the gear of N = 10 (Figure 1B). In this design, the Bragg reflection condition is satisfied, such that the grating will give the momentum of $\hbar 2\pi/\Lambda$, where $\Lambda = 2\pi R/N \sim 628$ nm is the period of the grating, and we expect

$$p_{\phi} - \hbar \frac{2\pi}{\Lambda} \approx 0 \tag{11}$$

due to the momentum conservation law in a periodic system [48, 83–85]. Using the effective refractive index of the grating as the average value of Si and SiO₂ as $n_{\text{eff}} = (3.48 + 1.44)/2 = 2.46$, we obtain $\lambda_{n_{\text{eff}}} \sim 626$ nm, and thus $\lambda_{n_{\text{eff}}} \sim \Lambda$. Therefore, the momentum of photons in the plane vanishes upon acquiring the Bragg momentum, and photons will be projected out of the gear.

The amplitudes and phases of the mode simulated at $z = 1\mu m$ above the gear is shown in **Figure 2**. To our surprise, OAM was not zero, and both E_x and E_y showed the clear anti-clock-wise (left) circulation (**Figures 3A–D**) with the OAM quantum number of m' = +1, where we used ' to stand for the quantum number after the scattering. This corresponds to the left circulation of a vortex over the time evolution. We have numerically confirmed that OAM of the left-vortexed state (**Figures 3B,D**) is

$$m = \oint_C \frac{d\phi}{2\pi} \nabla \phi_x = \oint_C \frac{d\phi}{2\pi} \nabla \phi_y = 1, \qquad (12)$$

which means that the winding number gives the expected z component of OAM.

The amplitudes of $|E_x|$ and $|E_y|$ show the presence of a node at the center of vortex. Moreover, if we compare the phase ϕ_x of E_x with the phase ϕ_y of E_y , it shows $\phi_y = \phi_x - \pi/2$, which means that spin s' = -1, showing the right-circularly-polarized state. Consequently, our numerical simulation shows the left vortexed



FIGURE 4 | Right-circularly-polarized state, generated from the gear of N = 9. The input port 1 is used to inject photons to the waveguide, and the mode profile is obtained at $z = 1 \mu m$ above the gear. (A) The amplitude ($|E_x|$) and (B) the phase (ϕ_x) of the horizontal electric field E_x . (C) The amplitude ($|E_x|$) and (D) the phase (ϕ_y) of the horizontal electric field E_x . Such that the polarization is right-circularly-polarized state, $|\circlearrowright\rangle_{\text{spin}}$.

state, given by the extended Jones vector [48, 52, 55–57, 60]

$$|\mathbf{L}\rangle = \frac{\mathrm{e}^{-i\frac{\pi}{4}}}{\sqrt{2}} \begin{pmatrix} 1\\ -i \end{pmatrix} \mathrm{e}^{i\phi},\tag{13}$$

where the first component is proportional to E_x and the second component is proportional to E_y . The polarization state is described by the SU(2) state [5–7, 10, 11]. Here, we have omitted to include the radial dependence, given by the Laguerre-Gauss function or the Hermite-Gauss function [19, 48]. The global phase factor of $e^{-i\frac{\pi}{4}}$ is not necessary but useful to understand the azimuthal component. The global phase also depends on the U(1) wave from $e^{-i(kz-\omega t)}$, standing for the propagation along z and t, and the choice of the phase of $e^{-i\frac{\pi}{4}}$ is just coming from our random choice of the detector position at $z = 1\mu$ m. The important point of our left-vortexed state $|L\rangle$ is based on the fact that it is a direct tensor product state of spin and OAM with opposite rotation. Therefore, it can also be described as

$$|L\rangle = | \circlearrowright\rangle_{spin} \otimes | \circlearrowright\rangle_{orbit}.$$
 (14)

We described this state as left-vortexed state, since we are primarily interested in a vortex for the present work.

Consequently, the conservation law of angular momentum is described as

$$s + m + N = s' + m',$$
 (15)

where s = 0, m = -10, N = 10, s' = -1, and m' = 1. Therefore, both spin and OAM are involved upon the scattering from our grating gear to produce a vortex, while the total angular momentum along the direction of propagation is zero due to the opposite rotation by spin.

The importance of the conservation law of spin and OAM of photons in a micro-gear was first discussed by Shao et al. [68], where the local spin components were experimentally measured

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and spin-orbit interaction was demonstrated. They used the gear with $R = 80\mu$ m, and the conservation law of angular momentum at the local edge of the grating was discussed [68]. Our design is based on $R = 1\mu$ m, and the entire mode profile affects the conservation law of angular momentum. Following the analysis [68], we have also calculated the local spin density of photons, $\mathbf{s} = (s_1, s_2, s_3)$, defined by

$$s_{1} = \mathbf{E}^{\dagger} \hat{\sigma}_{3} \mathbf{E} = \left(E_{x}^{*} E_{y}^{*}\right) \begin{pmatrix} 1 & 0\\ 0 & -1 \end{pmatrix} \begin{pmatrix} E_{x}\\ E_{y} \end{pmatrix} = |E_{x}|^{2} - |E_{y}|^{2} (16)$$

$$s_{2} = \mathbf{E}^{\dagger} \hat{\sigma}_{1} \mathbf{E} = \left(E_{x}^{*} E_{y}^{*}\right) \begin{pmatrix} 0 & 1\\ 1 & 0 \end{pmatrix} \begin{pmatrix} E_{x}\\ E_{y} \end{pmatrix} = E_{x}^{*} E_{y} - E_{y}^{*} E_{x} \quad (17)$$

$$s_{3} = \mathbf{E}^{\dagger} \hat{\sigma}_{2} \mathbf{E} = \left(E_{x}^{*} E_{y}^{*} \right) \begin{pmatrix} 0 & -i \\ i & 0 \end{pmatrix} \begin{pmatrix} E_{x} \\ E_{y} \end{pmatrix} = -iE_{x}^{*}E_{y} + iE_{y}^{*}E_{x},$$
(18)

which satisfy $s_0 = \sqrt{s_1^2 + s_2^2 + s_3^2} = |E_x|^2 + |E_y|^2$ (**Figure 3E**). We have also calculated the local Stokes parameters, given by

$$S_1 = S_1(\mathbf{x}) = \frac{s_1}{s_0} \tag{19}$$

$$S_2 = S_3(\mathbf{x}) = \frac{s_2}{s_0} \tag{20}$$

$$S_3 = S_2(\mathbf{x}) = \frac{s_3}{s_0},$$
 (21)

which is normalized as $\sqrt{S_1^2 + S_2^2 + S_3^2} = 1$ at each point **x**, while $S_0 = S_0(\mathbf{x})$ (**Figure 3I**) is a normalized s_0 with its maximum value. As shown in **Figures 3H,L**, the local density of the right-circularly polarized state (s_3 and S_3) is distributed over the ring, which describes the opposite rotation of the polarization to the rotation of the left-vortex state, described by the left rotation of the phases (**Figures 3B,D**). We also found components of locally linearly-polarized states (s_1 and s_2), which are changing signs depending on the positions (**Figures 3F,G,J,K**) and described by

$$|\text{local spin}\rangle = E_{\text{spin}} \begin{pmatrix} -\sin(\phi) \\ \cos(\phi) \end{pmatrix}.$$
 (22)









However, the overall contribution of this component to the total spin vanishes after the spatial integration, since both negative and positive values appeared equally (**Figures 3F,G,J,K**). Moreover, the contribution of this component to OAM also vanishes, which is confirmed by calculating

$$\oint_{C} d\phi \langle \text{local spin} | \hat{L}_{z} | \text{local spin} \rangle$$

$$= \oint_{C} d\phi \left(-\sin(\phi) \cos(\phi) \right) \frac{\hbar}{i} \frac{\partial}{\partial \phi} \begin{pmatrix} -\sin(\phi) \\ \cos(\phi) \end{pmatrix} = 0. \quad (23)$$

It is interesting to be aware that the local spin component is also circulating along the azimuthal direction (schematically shown as arrows in **Figures 3F,G**), due to the rotation of the phases (**Figures 3B,D**). Numerically, we have obtained the spatial averages of Stokes parameters, $\mathbf{\bar{S}} = (\bar{S}_1, \bar{S}_2, \bar{S}_3) =$ (-0.01, +0.01, -0.52) for the left-vortexed state. The spatial average of the OAM, \bar{m} , was 0.53. The reduction of the expected OAM of 1 from the left vortex is attributed to the contribution of the local spin component with vanishing OAM. This means that about 50% of the mode is made of the purely left-vortexed state under right polarization, while another 50% of the mode is made of the local spin components, which are characterized by spatially rotating linear polarization. Therefore, the efficiency to generate the left vortex out of our gear is about 50%.

In order to confirm our picture on the conservation law of angular momentum, we have also simulated for the gear of N = 9 (**Figures 1D**, **4**). In this case, the node completely disappeared from the mode (**Figures 2A,C**), such that OAM cannot be sustained and we obtained m' = 0 (**Figure 4**). On the other hand, we confirmed the same phase difference at the center of the mode as before $\phi_y = \phi_x - \pi/2$. Therefore, the state is simply described by a polarization state



FIGURE 7 | Pancharatnam-Berry-Gouy phase for a vortex generated from the gear. The contribution from the polarization would change the sign of the complex electric field, and the orbital angular momentum will also add to the phase change upon crossing of the focal point. The chirality of a vortex would not be changed upon focusing.

$$|\circlearrowright\rangle_{\rm spin} = \frac{e^{-i\frac{\pi}{4}}}{\sqrt{2}} \begin{pmatrix} 1\\ -i \end{pmatrix}, \tag{24}$$

which is the right-circulary-polarized state without a vortex. The nodeless mode profile was not reported in the previous work [29], such that our results are coming from the difference of the design of the gear. In our example, the conservation law for angular momentum is given by

$$s + m + N = s' + m',$$
 (25)

where s = 0, m = -10, N = 9, s' = -1, and m' = 0. Therefore, the difference between N = 10 and N = 9 is exactly what we expected.

Furthermore, we have continued to simulate the structure of the gear with N = 8 (**Figure 1E**). In this case, the grating is far away from the perfect Bragg grating condition, such that the mode profiles are significantly distorted (**Figures 5A,C**). Nevertheless, they showed the presence of the node at the center (**Figures 5A,C**), and the phase is rotating along the clock-wise (right) direction (**Figures 5B,D**), which is completely opposite to the result for N = 10, as we expected from the conservation law. In this case, the vortexed state is described as

$$|\circlearrowright\rangle_{\rm spin}\otimes|\circlearrowright\rangle_{\rm orbit}=\frac{{\rm e}^{-i\frac{\pi}{4}}}{\sqrt{2}}\begin{pmatrix}1\\-i\end{pmatrix}{\rm e}^{-i\phi}$$
 (26)

The conservation law for angular momentum is given by

$$s + m + N = s' + m',$$
 (27)

where s = 0, m = -10, N = 8, s' = -1, and m' = -1.

In all cases, the angular momentum is conserved upon scattering by gratings. It is important to consider both spin and OAM, simultaneously, to understand the conservation law. On the other hand, we cannot understand why s' = -1 was always chosen for N = 10, 9, and 8, simply from the conservation law of angular momentum. This could be understood by the evolution of the phase front, as shown in Figure 6. The original input is TE polarized along y direction, such that the phase front of ϕ_v is going ahead of ϕ_x , and E_x acquires the same amplitude with that of E_y at the intersection only after the quarterly rotation (phases inside the ring of Figures 6B,C). As a result, we obtain $\phi_y = \phi_x - \pi/2$, if we compare the phases at the same position, leading to the generation of the vortex with right polarization (Figures 6N,P). Thus, the spin angular momentum is fixed, while OAM is determined by the conservation law of angular momentum.

2.4. Pancharatnam-Berry-Guoy's Phase

We have also considered the Pancharatnam-Berry-Guoy's phase on the generation of a vortex from the gear [26, 48, 73–76]. We considered the design of N = 10 (**Figure 1B**) and examined the evolution of mode profiles over the propagation along the +z direction (**Figure 6**). The input beam was injected from port 1, and it coupled evanescently to the gear. At the center of the waveguide at z = 0, the mode is circulating in the ring waveguide with OAM of m = -10. The phases of $\phi_x = \arg(E_x)$ and

 $\phi_{\gamma} = \arg(E_{\gamma})$ show the right circulation over time. If we closely look at ϕ_x and ϕ_y inside the ring, there is no phase difference between them ($\phi_x \sim \phi_y$), consistent with the dominated radical polarization (Figures 2E,F) and thus we confirm s = 0. The beam is diffracted by the Bragg condition by the grating of the gear, and the mode was emitted vertically from the surface of the device. The direction of propagation is vertical but is slightly pointing inside the gear, since the grating is located only inside of the ring resonator. Consequently, the emitted beam is focused at around $z = 0.5 \mu m$ (Figure 6G), and defocused again (Figures 6H,M). It is important to recognize the presence of a node even at the focussed point (Figure 6G) to maintain the vortex. We can recognize that the mode gradually changed its shape to accommodate the left circulation with OAM of m' =+1, which is opposite rotation with the circulation of m = -10in the ring. We also confirmed that $\phi_y = \phi_x - \pi/2$ for a vortex at $z \ge 0.5 \mu$ m, such that it is in the right-circularly polarized state.

We could also confirm the spatial rotation of ϕ_x and ϕ_y over z (**Figures 6K,N,L,P**), expected from $e^{i(kz-\omega t)}$. The phase front evolution of z is opposite to t, such that the chiral rotation of

the phase of the vortex over the space is opposite to the time evolution. The phase front has moved to the clock-wise (right) direction from **Figures 6K,L** to **Figures 6N,P**, respectively. This is in fact opposite to the left circulation of the vortex, and therefore, our interpretation of OAM and polarization of a vortex is consistent.

The schematic nature of the evolution of a vortex is shown in **Figure 7**. The chirality of the vortex cannot be changed upon the focussing, and the left circulation of the vortex is maintained. On the other hand, we had better to be careful about the geometrical phase facto of Pancharatnam-Berry-Gouy phase [19, 26, 73–76, 86], which is given by

$$\phi_{\rm G} = (2n + |m| + 1) \tan^{-1} \left(\frac{z}{z_0}\right),$$
 (28)

where *n* is the radial quantum number of the Laguerre-Gauss mode, and z_0 is the location of the focussing point [19, 48]. In the example of **Figure 6**, there is no node in the radial direction, except for the central core, and we can assign n = 0. In the



FIGURE 8 | Far-fields and Stokes parameters of left vortex from the gear of N = 10. The input port 1 is used to inject photons to the waveguide. Far-fields and Stokes parameters are shown (A–H) in a polar coordinate (r, θ , ϕ) and (I–P) in a cylindrical coordinate (r, ϕ , z). The ring-shape of the mode in the near-field could not be sustained in far-fields (A,C,E,I), because our mode is not collimated. Consequently, the vortex is not well-defined in far-fields, while the phase is still rotated over the hemisphere (J,L), reflecting the original nature of a left-vortexed state. The polarization is also dominated by the right-circularly polarized component (H,P) with $S_3 \sim -1$ for the high intensity region.

absence of OAM (m = 0), $\phi_{\rm G}$ would result in the phase change of the complex electric field, $(E_x, E_y) \rightarrow -(E_x, E_y)$. In our case, m' = -1 will give another factor of -1, and therefore, we expect no change of the sign upon focussing for the far field ($z \rightarrow \infty$).

For the vortex beam with the higher order OAM, the Pancharatnam-Berry-Gouy phase factor depends on the parity of m. If |m| is odd, the contribution would vanish together with the contribution from the polarization, as we saw for m' = -1. If |m| is even including m = 0, the phase change is expected upon crossing over the focal point. Therefore, the parity dependent interference is expected for a vortex, generated from the gear.

2.5. Far-Fields

We have also calculated far-fields and Stokes parameters in a polar coordinate (r, θ, ϕ) and in a cylindrical coordinate (r, ϕ, z) , as shown in **Figure 8**. In a polar coordinate, the polar (E_{ϕ}) and the azimuthal (E_{ϕ}) components are obtained (**Figures 8A–D**). In particular, the phase of E_{ϕ} is constant over the hemisphere (**Figure 8D**), showing the electric field is rotating with a fixed phase along the azimuthal direction. As noted before, the unit vector of azimuthal component depends on ϕ , ($\mathbf{n}_{\phi} = \mathbf{n}_{\phi}(r, \phi) = (-\sin \phi, \cos \phi, 0)$), such that the fixed phase means the vectorial direction of azimuthal component is also changing the direction along the rotation.

As shown in **Figures 6**, 7, our near-field mode profile is not collimated at all. Therefore, the vortex and associated OAM are not maintained in far-fields (**Figures 8E,I,K**), and the mode

is spreading over the hemisphere. The intensity profiles are spreading over the polar angle of 10 to 40°. Nevertheless, the original nature of rotated phase is sustained, as shown in the rotated phases of ϕ_x (**Figure 8J**) and ϕ_y (**Figure 8L**), reflecting the left-vortexed nature in the near-field. The polarization of the mode is also reflecting the original mode in the near-field, and the right polarized component of $S_3 \sim -1$ (**Figures 8H,P**) is dominated at the region of high intensities.

3. POINCARÉ ROTATOR

In this section, we discuss about our main results for the proposed Poincaré rotator (**Figure 1A**). As shown in the previous section, we could generate the left-vortexed state $|L\rangle$ by injecting photons from the port 1 of the Si wire waveguide. Due to the mirror symmetry between the port 1 and the port 2 together with the gear, we can generate the right-vortexed state $|R\rangle$, which is completely opposite chiral rotation to the left-vortex, by injecting photons from the port 2. The idea, here, is to inject from both ports after adjusting the relative amplitudes and phases of the injected beams, to generate an arbitrary vortexed state

$$|\Theta, \Phi\rangle = e^{-i\frac{\Phi}{2}}\cos\left(\frac{\Theta}{2}\right)|L\rangle + e^{i\frac{\Phi}{2}}\sin\left(\frac{\Theta}{2}\right)|R\rangle$$
 (29)

in the hyper-Poincaré sphere. In modern Si photonic technologies, it is easy to control both amplitudes and phases by







using integrated photonic circuits, such that we can control the superposition state in the hyper-Poincaré sphere.

3.1. Left and Right Vortices

First, we continue to evaluate the left-vortexed state, injected from the port 1. We have calculated azimuthal and radical components for $|L\rangle$ to obtain

$$E_{\phi} = \left(\frac{e^{-i\frac{\pi}{4}}}{\sqrt{2}}\right)e^{i\phi}\left(-\sin\phi - i\cos\phi\right) = -\frac{1}{2}(1+i) \quad (30)$$

$$E_r = \left(\frac{e^{-i\frac{\pi}{4}}}{\sqrt{2}}\right)e^{i\phi}\left(\cos\phi - i\sin\phi\right) = \frac{1}{2}(1-i).$$
 (31)

Our numerical results support this picture, as shown in **Figure 9**. Both E_{ϕ} and E_r are almost constant over the location of the ring, where the intensity is maximized. The overall intensity of E_{ϕ} is larger than E_r , as explained by the dominant scattering of evanescent component of E_{ϕ} at the internal grating [29]. The constant E_{ϕ} does not preclude, however, the existence of the finite OAM of m' = -1, as ϕ_x and ϕ_y are clearly rotating to the left over time. The rotated local spin component of $|\text{local spin}\rangle$ also gives rise to a contribute for E_{ϕ} , since $E_{\text{spin}}(\sin^2(\phi) + \cos^2(\phi)) = E_{\text{spin}}$, which also gives a constant E_{ϕ} along the direction of the rotation (ϕ) .

Similarly, we have also calculated the mode profiles for the input from the port 2 to generate $|R\rangle$. We confirmed that the

vortex is approximately expressed as

$$|\mathbf{R}\rangle = \frac{\mathrm{e}^{-i\frac{\pi}{4}}}{\sqrt{2}} \begin{pmatrix} 1\\ i \end{pmatrix} \mathrm{e}^{-i\phi},\tag{32}$$

which means the generation of the right-vortexed state. As we expected the total angular momentum is zero, since it is the direct tensor product state with the left-circularly polarized state,

$$|\mathbf{R}\rangle = |\heartsuit\rangle_{\text{spin}} \otimes |\circlearrowright\rangle_{\text{orbit}}.$$
(33)

The conservation law of angular momentum upon the diffraction by the grating gear is given by

$$s + m + N = s' + m',$$
 (34)

where s = 0, m = +10, N = -10, s' = +1, and m' = -1.

The corresponding azimuthal and radical components for $|R\rangle$ become

$$E_{\phi} = \left(\frac{e^{-i\frac{\pi}{4}}}{\sqrt{2}}\right)e^{-i\phi}\left(-\sin\phi + i\cos\phi\right) = \frac{1}{2}(1+i) \quad (35)$$

$$E_r = \left(\frac{e^{-i\frac{\pi}{4}}}{\sqrt{2}}\right)e^{-i\phi}\left(\cos\phi + i\sin\phi\right) = \frac{1}{2}(1-i).$$
 (36)

Numerical simulation completely supports this expectation, as shown in **Figure 10**. E_{ϕ} (**Figures 10A,B**) changes its sign from

the corresponding left-vortexed state (**Figures 9A,B**), while the relative phase between real and imaginary parts are maintained, which are determined by the location z of the detector, due to the simple phase evolution of $e^{i(kz-\omega t)}$. On the other hand, E_r for the right vortex (**Figures 10D,E**) shows the same phase with the corresponding E_r for the left vortex (**Figures 9D,E**).

Therefore, we could prepare two orthogonal states of $|L\rangle$ and $|R\rangle$ simply by injecting photons from different ports. The orthogonality of the modes is guaranteed in 2-folds: one for the spin state as

$$_{\rm spin}\langle \circlearrowleft | \circlearrowright \rangle_{\rm spin} = \frac{1}{2} \left(1 - i \right) \begin{pmatrix} 1 \\ -i \end{pmatrix} = 0,$$
 (37)

and the other for OAM

(

$$\operatorname{orbit}(\circlearrowleft | \circlearrowright)_{\operatorname{orbit}} = \int_0^{2\pi} \frac{d\phi}{2\pi} e^{-2\phi i} = 0.$$
(38)

We can also confirm the proper normalization as

$$L|L\rangle = \frac{1}{2} \begin{pmatrix} 1 & i \end{pmatrix} \begin{pmatrix} 1 \\ -i \end{pmatrix} \int_0^{2\pi} \frac{d\phi}{2\pi} \ 1 = 1$$
(39)

$$\langle \mathbf{R} | \mathbf{R} \rangle = \frac{1}{2} \left(1 - i \right) \begin{pmatrix} 1 \\ i \end{pmatrix} \int_0^{2\pi} \frac{d\phi}{2\pi} \, 1 = 1. \tag{40}$$

3.2. Linearly Vortexed States

Now, we have prepared two states of $|L\rangle$ and $|R\rangle$, and we will discuss the superposition state among them. We assume that we can control the amplitudes and phases of two input beams from port 1 and port 2.

First, we will construct horizontally ($|H\rangle$) and vertically ($|V\rangle$) vortexed state. Considering the analogy to the polarization [48, 50, 51] and a spin 2-level system [5–7], we expect a unitary transformation

$$\begin{pmatrix} |L\rangle\\|R\rangle \end{pmatrix} = \frac{1}{\sqrt{2}} \begin{pmatrix} 1 & i\\ 1 & -i \end{pmatrix} \begin{pmatrix} |H\rangle\\|V\rangle \end{pmatrix},$$
(41)

whose inverse transformation becomes

$$\begin{pmatrix} |\mathbf{H}\rangle \\ |\mathbf{V}\rangle \end{pmatrix} = \frac{1}{\sqrt{2}} \begin{pmatrix} 1 & 1\\ -i & i \end{pmatrix} \begin{pmatrix} |\mathbf{L}\rangle \\ |\mathbf{R}\rangle \end{pmatrix}.$$
 (42)

Therefore, the horizontally vortexed state is given by injecting photons in the same amplitude and the same phase into both



FIGURE 11 | Horizontal vortex generated from the gear of N = 10. The input port 1 and 2 are used to inject photons to the waveguide, and two injected modes are in the same phase. The mode profile of a vortex is obtained at $z = 1\mu m$ above the gear. (A) Real part and (B) imaginary part of the horizontal complex electric field (E_x). (C) Real part and (D) imaginary part of the vertical complex electric field (E_y). A horizontal dipole is recognized for E_x with a node at the center. (E–H) The local spin components (s₀, s₁,s₂,s₃), and (I–L) normalised Stokes parameters (S₀, S₁,S₂,S₃) are also shown. The circularly polarized component of s₃ (H) was substantially reduced.



FIGURE 12 Vertical vortex generated from the gear of N = 10. The input port 1 and 2 are used to inject photons to the waveguide, and two injected modes are out of the phase. The mode profile of a vortex is obtained at $z = 1\mu m$ above the gear. (A) Real part and (B) imaginary part of the horizontal complex electric field (E_x). (C) Real part and (D) imaginary part of the vertical complex electric field (E_y). A vertical dipole is recognized for E_x with a node at the center. (E–H) The local spin components (s₀, s₁,s₂,s₃), and (I–L) normalised Stokes parameters (S₀, S₁,S₂,S₃) are also shown.

ports, to get

$$|\mathrm{H}\rangle = \frac{1}{\sqrt{2}} \left(|\mathrm{L}\rangle + |\mathrm{R}\rangle\right). \tag{43}$$

Numerically results for the generated vortex of |H) are shown in Figure 11. It is important to be aware that the node is maintained at the center of the vortex. The mode profile of E_x is a dipole-like shape, aligned horizontally. E_x exhibits horizontal distribution, while E_v has a profile along the vertical direction. The difference is coming from the phase difference between real parts and imaginary parts, due to the difference in polarization. Therefore, the E_{ν} component shows a conjugate vertically vortexed structure. If we would like to observe a purely horizontal vortexed state, we can use a polarizer to extract only the x component. Here, we shall call this mode as horizontally vortexed state, referring to the *x* component, while the y component is always its conjugate state. We have also calculated the local spin components (Figures 11E,F) and Stokes parameters (Figures 11I-L). The circularly polarized component was substantially compensated by the destructive superposition between left- and right-vortexed states.

On the contrary, the vertically vortexed state (Figure 12) is given by

$$|\mathbf{V}\rangle = \frac{-i}{\sqrt{2}} \left(|\mathbf{L}\rangle - |\mathbf{R}\rangle\right),\tag{44}$$

whose mode profile of E_x is a dipole-like shape, aligned vertically. The dipole-like shape of E_y is rotated 90°, which is in fact a conjugate horizontally vortexed state. It also has a node at the center.

If we include the spin state, these states correspond to a singlet state

$$|V\rangle = \frac{-i}{\sqrt{2}} \left(|\downarrow\rangle_{\rm spin}|\uparrow\rangle_{\rm orbit} - |\uparrow\rangle_{\rm spin}|\downarrow\rangle_{\rm orbit} \right), \quad (45)$$

and a triplet state

$$|\mathrm{H}\rangle = \frac{1}{\sqrt{2}} \left(|\downarrow\rangle_{\mathrm{spin}}|\uparrow\rangle_{\mathrm{orbit}} + |\uparrow\rangle_{\mathrm{spin}}|\downarrow\rangle_{\mathrm{orbit}} \right), \quad (46)$$

where we have used \uparrow and \downarrow instead of \circlearrowright and \circlearrowright , respectively, and we have omitted to show \otimes for simplicity. It is interesting



FIGURE 13 Diagonal vortex generated from the gear of N = 10. The input port 1 and 2 are used to inject photons to the waveguide, and the phase of the input 2 is $\pi/2$ ahead of the phase of the input 1. The mode profile of a vortex is obtained at $z = 1\mu$ m above the gear. (A) The amplitude ($|E_x|$) and (B) the phase (ϕ_x) of the horizontal electric field E_x . (C) The amplitude ($|E_x|$) and (D) the phase (ϕ_y) of the horizontal electric field E_y . The phase is not obviously rotating any more. A diagonal dipole is recognized for E_x with a node at the center. (E–H) The local spin components (s_0 , s_1 , s_2 , s_3), and (I–L) normalised Stokes parameters (S_0 , S_1 , S_2 , S_3) are also shown.

to make a superposition state by using both internal spin degree of freedom and OAM. Alternatively, if we describe these states by the horizontally (\leftrightarrow) and the vertically (\ddagger) polarized and vortexed states, we obtain a singlet state

$$|V\rangle = \frac{1}{\sqrt{2}} \left(| \leftrightarrow \rangle_{\text{spin}} | \updownarrow \rangle_{\text{orbit}} - | \updownarrow \rangle_{\text{spin}} | \leftrightarrow \rangle_{\text{orbit}} \right), \quad (47)$$

and a triplet state

$$|\mathrm{H}\rangle = \frac{1}{\sqrt{2}} \left(|\leftrightarrow\rangle_{\mathrm{spin}}|\leftrightarrow\rangle_{\mathrm{orbit}} + |\updownarrow\rangle_{\mathrm{spin}}|\updownarrow\rangle_{\mathrm{orbit}} \right). \quad (48)$$

If we use the gear of N = 8, we could also generate another two states for the triplet states

$$| \circlearrowleft \rangle_{\rm spin} | \circlearrowright \rangle_{\rm orbit} = | \uparrow \rangle_{\rm spin} | \uparrow \rangle_{\rm orbit}$$
(49)

$$|\circlearrowright\rangle_{\rm spin}|\circlearrowright\rangle_{\rm orbit} = |\downarrow\rangle_{\rm spin}|\downarrow\rangle_{\rm orbit}.$$
 (50)

For these states, the total sum of angular momentum between spin and OAM remain finite.

We are aware that the intensity of the intensity of $|H\rangle$ (Figure 11) is smaller than that of $|V\rangle$ (Figure 12). The reason

is presumably because of our Si photonic waveguide design. All the modes in the waveguide is in the TE mode, which is vertically polarized along y direction, and there exists a tiny amount of the longitudinal component, due to the transverse nature of electromagnetic waves. Regardless of the cylindrical symmetry of the gear, most of the photons are diffracted upwards by the grating without circulating the ring resonator. Therefore, the amount of the horizontally oscillating mode is reduced.

3.3. Diagonally Vortexed States

Next, we have considered the diagonal $|D\rangle$ (Figure 13) and the anti-diagonal $|A\rangle$ (Figure 14) vortices. In analogy to the polarization, we obtain

$$|D\rangle = \frac{1}{\sqrt{2}} \left(|H\rangle + |V\rangle\right) \tag{51}$$

$$= \frac{\mathrm{e}^{-\frac{\pi}{4}i}}{\sqrt{2}} \left(|\mathrm{L}\rangle + i|\mathrm{R}\rangle \right) \tag{52}$$

$$|\mathbf{A}\rangle = \frac{1}{\sqrt{2}} \left(|\mathbf{H}\rangle - |\mathbf{V}\rangle\right) \tag{53}$$



FIGURE 14 | Anti-diagonal vortex generated from the gear of N = 10. The input port 1 and 2 are used to inject photons to the waveguide, and the phase of the input 1 is $\pi/2$ ahead of the phase of the input 2. The mode profile of a vortex is obtained at $z = 1\mu$ m above the gear. (A) The amplitude ($|E_x|$) and (B) the phase (ϕ_x) of the horizontal electric field E_x . (C) The amplitude ($|E_x|$) and (D) the phase (ϕ_y) of the horizontal electric field E_y . An anti-diagonal dipole is recognized for E_x with a node at the center. (E–H) The local spin components (s₀, s₁,s₂,s₃), and (I–L) normalised Stokes parameters (S₀, S₁,S₂,S₃) are also shown.

$$= \frac{e^{\frac{\pi}{4}i}}{\sqrt{2}} \left(|L\rangle - i|R\rangle \right).$$
(54)

Numerical results for these states are shown in **Figures 13**, **14**, respectively. A dipole is aligned diagonally in E_x for the diagonal vortex (**Figure 13A**), and anti-diagonally in E_x for the antidiagonal vortex (**Figure 14A**). Phases of these states are not rotating clearly, such that the average OAM components along z vanish.

If we include spin states, these states become

$$|D\rangle = \frac{1}{\sqrt{2}} \left(|\leftrightarrow\rangle_{spin}| \not \supset \rangle_{orbit} - |\updownarrow\rangle_{spin}| \not \supset \rangle_{orbit} \right) \quad (55)$$

$$|A\rangle = \frac{1}{\sqrt{2}} \left(|\leftrightarrow\rangle_{spin}| \searrow\rangle_{orbit} + |\updownarrow\rangle_{spin} | \swarrow\rangle_{orbit} \right).$$
(56)

We also confirmed the conjugate nature of these states between E_x and E_y , as shown in **Figures 13, 14**.

3.4. Hyper-Poincaré Sphere

By extending above ideas, we can generate a superposition state of left- and right- vortexed state $|\Theta, \Phi\rangle$, defined by the polar angle of Θ and the azimuthal angle of Φ in a hyper-Poincaré

sphere. Here, we consider a superposition state between left- and right-vortexed states, generated from the gear. In our design, the polarization degree of freedom is locked to a state, which is opposite to the direction of the rotation for a vortex. This is a significant limitation, compared to the hyper-Poincaré sphere, discussed by Milione et al. [28], and we cannot arbitrary change the polarization state for each vortex. Our hyper-Poincaré sphere is similar to the original proposal of Padgett and Courtial [21], and we would like to control by the gear coupled to the Si photonic wire waveguides (**Figure 1**).

In order to achieve it, the amplitude of the input 1 must be $\cos(\Theta/2)$ and the amplitude of the input 2 must be $\sin(\Theta/2)$, while the phase factor of the input 1 must be $e^{-i\Phi/2}$ and the phase factor of the input 2 must be $e^{i\Phi/2}$. This is easily achievable in a Si photonic platform [70, 71]. Therefore, the vortexed state can be controlled in our hyper-Poincaré sphere (**Figure 15**).

In order to see how the vortexed state is changed, it is convenient to define the OAM operator defined by

$$\hat{\mathcal{S}}_1 = \hbar m \,\hat{\sigma}_1 = \hbar m \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix} \tag{57}$$



$$\hat{S}_2 = \hbar m \,\hat{\sigma}_2 = \hbar m \begin{pmatrix} 0 & -i \\ i & 0 \end{pmatrix} \tag{58}$$

$$\hat{\mathcal{S}}_3 = \hbar m \,\hat{\sigma}_3 = \hbar m \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix},\tag{59}$$

where σ_i (i = 1,2, and 3) are the Pauli matrices, and these operators will act to the Hilbert space spanned by $|L\rangle$ and $|R\rangle$ as basis states. We consider the OAM average per particle, or we can multiply the density of photons in a coherent vortexed state, $|\Theta, \Phi\rangle$. These definitions are in a close analogy to the Stokes parameters for polarization [5–7, 10, 11, 48, 48, 50–52, 55–57, 60] toward the application to OAM [19, 21, 28, 36, 37, 52–54]. If we take the quantum mechanical average of these operators by $|\Theta, \Phi\rangle$, we obtain Stokes parameters for hyper-Poincaré sphere

$$S_1 = \langle \hat{S}_1 \rangle = \hbar m \sin(\Theta) \cos(\Phi) \tag{60}$$

$$S_2 = \langle \hat{S}_2 \rangle = \hbar m \sin(\Theta) \sin(\Phi)$$
 (61)

$$S_3 = \langle \hat{S}_3 \rangle = \hbar m \cos(\Theta), \tag{62}$$

and it is quite convenient to show these parameters in a 3dimensional Poincaré sphere, as shown in **Figure 15**. The polar angle of Θ is related to the relative amplitude among two orthogonal modes, and the azimuthal angle of Φ corresponds to the phase difference between the two modes. Therefore, the proposed photonic gear with coupled two Si photonic wire waveguides is one of the practical systems to control these parameters in a compact on-chip module. Obviously, there are many other ways to control both amplitudes and phases to control the superposition state of left and right vortexed states.

We have proposed a silicon micro-gear coupled to two silicon photonic wire waveguide to control the vortexed state, generated out of the gear. We have shown the importance of the conservation law of the total angular momentum of spin and orbital angular momentum. The generated vortexed state is described by a tensor product of spin and orbit, and we proposed to achieve the superposition state between two orthogonal vortexed states. The amplitudes and the phases can be controlled by standard optical modulators, such that the control of the vortexed state is highly feasible. We believe Stokes parameters in the Poincareé sphere are one to the most important description to represent the quantum nature of spin state of photons. By extending Stokes parameters naturally to the orbital angular momentum in a hyper-Poincaré sphere is an important step to utilize OAM for various practical applications including quantum technologies.

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DATA AVAILABILITY STATEMENT

The raw data supporting the conclusions of this article will be made available by the authors, without undue reservation.

AUTHOR CONTRIBUTIONS

The author confirms being the sole contributor of this work and has approved it for publication.

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Conflict of Interest: SS is employed by Hitachi, Ltd., Tokyo, Japan.

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