



## Impact of Variable Speed on Collective Movement of Animal Groups

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The collective dynamics and structure of animal groups has attracted the attention of scientists across a broad range of fields. A variety of agent-based models have been developed to help understand the emergence of coordinated collective behavior from simple interaction rules. A common, simplifying assumption of such collective movement models, is that individual agents move with a constant speed. In this work we critically reasses this assumption. First, we discuss experimental data showcasing the omnipresent speed variability observed in different species of live fish and artificial agents (RoboFish). Based on theoretical considerations accounting for inertia and rotational friction, we derive a functional dependence of the turning response of individuals on their instantaneous speed, which is confirmed by experimental data. We then investigate the interplay of variable speed and speed-dependent turning on self-organized collective behavior by implementing an agent-based model which accounts for both these effects. We show that, besides the average speed of individuals, the variability in individual speed can have a dramatic impact on the emergent collective dynamics: a group which differs to another only in a lower speed variability of its individuals (groups being identical in all other behavioral parameters), can be in the polarized state while the other group is disordered. We find that the local coupling between group polarization and individual speed is strongest at the order-disorder transition, and that, in contrast to fixed speed models, the group's spatial extent does not have a maximum at the transition. Furthermore, we demonstrate a decrease in polarization with group size for groups of individuals with variable speed, and a sudden decrease in mean individual speed at a critical group size (N = 4 for Voronoi interactions) linked to a topological transition from an all-to-all to a distributed spatial interaction network. Overall, our work highlights the importance to account for fundamental kinematic constraints in general, and variable speed in particular, when modeling self-organized collective dynamics.

Keywords: collective motion, biophysics, mathematical models, variable speed, social interactions, group size, phase transition

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## **1 INTRODUCTION**

The emergent, highly coordinated, collective movements of schools of fish, flocks of birds and insect swarms, are fascinating examples of biological self-organization. Our understanding of these collective systems has been significantly advanced over the past years through diverse research efforts in biology [1–5], mathematics [6–8], computer science [9, 10], engineering [11, 12], and statistical physics [13–17].

In addition to empirical observations, mathematical models are an important tool for studying self-organization and collective behavior, and have been instrumental in uncovering general principles of how robust, large-scale coordination can emerge from simple, local interactions between self-propelled agents [10, 18, 19].

When formulating models, in general and for animal collectives, one has to balance simplicity/generality and detailed resemblance to experimental systems. From a statistical physics point of view, it is viable to assume some sort of universality of the collective dynamics even in farfrom-equilibrium situations. Thus, as long as the model accounts for crucial aspects of the microscopic dynamics, other microscopic details become irrelevant for the macroscopic behavior for sufficiently large systems over a long temporal scale. However, 1) there is no general way to tell when the system is sufficiently large, and 2) animal groups consist of tens to hundreds, rarely thousands or more, individuals. Therefore, animal collectives should be rather viewed as mesoscopic systems, where the actual details of individual movement behavior may play an important role [20], and caution is advised when simplifying modeling assumptions.

A particularly prominent simplification often encountered in models of collective behavior is the assumption of constant speed of individual agents [13, 21-23]; for exceptions see [24-28]. However, although animals may generally tend to move at a certain, often preferred, speed, they are also able to flexibly modify their speed, ranging from non-moving to the maximum of their movement capacity. Speed adaptation due to environmental factors or social interactions [2]-ignored in constant speed models-as well as heterogeneity thereof, may play a decisive role in the ability of groups to coordinate their movement and thereby the structure of animal groups [29]. Indeed, experiments demonstrated that speed influences the collective behavior strongly, via a coupling to polarization/ alignment [3, 22, 25, 29-31] which could also be shown at the local scale [25], i.e. regions in the shoal with faster fish are more polarized. In most former simulation studies, agents' speed was modelled to modify the turning rate or the assumed social forces, but was generally set to be fixed rendering speed to a mere parameter [3, 21, 22, 32]. However, a couple of studies have shown that variable speed has repercussions on group-level patterns and can lead to qualitatively new, emergent phenomena on the group level as for example bi-stable behavior with respect to polarization [24, 25]. In this bistable region, the group remains in the initiated collective state (ordered vs. disordered) because 1) the strong alignment force maintains the order (stable order) or 2) the velocity alignment reduces the

speed, because the magnitude of the mean neighbor velocity is low, which allows a faster turning initiated by noise (stable disorder). These findings demonstrate the important role of feedbacks between speed, turning, and social interactions for the emergence and stability of collective states.

These feedbacks incorporate both, the physics and behavioral side of collective behavior. Due to this combination, they have not been explored, to our best knowledge, in the field of 1) active aligning particle models [16, 18, 33, 34], which are often variants of the Vicsek model that either lack inertia, repulsion and/or speed variability (none of those is present in the Vicsek model), 2) active Brownian particles [35] which consider speed variability and repulsion but operate normally in the over-damped limit (no inertia) and rarely take alignment into account, 3) burst-coast models [26–28, 36] which model swimming behavior in greater detail (distinct phases of de- and acceleration) but either speeds are picked randomly independent of social interactions and current state [27, 36] or the focus was to resemble experimentally observed individual behavior in detail without investigating emergent effects on the collective level [26, 28].

In general, individuals in a group can differ in their phenotype (e.g. animal personality) that can be strongly linked and result in differences in movement speed [3, 37–39]). The inter-individual variability in preferred movement speed has been found to influence spatial self-sorting (faster individuals sort to the front of the shoal), cohesion and polarization of groups [3, 21, 30], but its role decreases with larger group sizes [39]. Importantly, already in behaviorally homogeneous groups, with individuals having highly similar preferred speeds, their instantaneous speed will dynamically vary over time due to individuals' direct response to social and environmental cues as well as internal decision processes. Both these types of speed variability will be important for the collective movement dynamics.

In this study, we focus on investigating the role of withinindividual speed variability on emergent, self-organized collective movement using an agent-based model. It is meant to represent real animal groups, and we will discuss the role of inertia and friction and how these link speed, turning and social interactions. We will first provide an experimental motivation for our modeling ansatz by showing the ubiquity of speed variability in living and robotic fish and providing evidence for coupling between turning behavior and instantaneous speed, which can be theoretically understood by considering self-propelled movement with inertia. Inspired by these results, we will then investigate an agent-based model and demonstrate how the ability of individuals to flexibly adapt their speed in response to social interactions and fluctuations has major consequence for the emergent collective dynamics.

## 2 METHODS

## 2.1 Experimental Data

In order to determine the extent of within-individual variability in movement speeds, we analyzed previously published data sets of individual movement of the Trinidadian guppy [30] and TABLE 1 | List of previously published tracking data used in our analysis. The table lists major characteristics of the datasets we used to show within-individual speed variability. The # of tracks indicates the number of individual tracks used for the analysis. Du to the initial study designs and questions, tracks may represent repeated measures of the same (Guppy: 20 individuals, RoboFish 1 replica) or different individuals (Molly single ind, Molly groups: 8 with 4 ind. per group). Please find exact study designs in the respective references.

	RoboFish	Guppy	Molly (single)	Molly (group)
Species	_	Poecilia reticulata	Poecilia formosa	Poecilia formosa
# of tracks	39	40	35	32
Observation time	10 min	10 min	6 min	5 min
Arena dimensions	88 × 88 cm	88 × 88 cm	48.5 cm diameter	60 × 30 cm
Water depth	7.5 cm	7.5 cm	3 cm	5 cm
Frame acquisition	30 FPS	30 FPS	30 FPS	30 FPS
Sex	_	Female	Female	Female
Tracking method	BioTracker [41]	BioTracker	Ethovision (10.1)	Ethovision (XT12)
References	Jolles et al. [30]	Jolles et al. [30]	Bierbach et al. [38]	Doran et al. [40]

individual and group movement of the clonal Amazon molly [38, 40] as well as a biomimetic robot ("RoboFish", [3]). We include the RoboFish to highlight that the movement constraints due to inertia and turning friction are general and not limited to biological agents. All data sets consist of positional tracking data from laboratory observations with a sampling frame rate of 30 fps, circular or rectangular arenas smaller than 1 square meter in size and only female fish, as summarized in **Table 1** and explained in more detail in **Supplementary Material Section I**.

#### 2.2 Processing of Trajectories

The tracking data obtained for the different species and the robotic fish encodes the position  $\mathbf{x}_i(t) = [x_i(t), y_i(t)]^T$  of the individual *i* for each frame *t*. We approximate the velocity of each individual from subsequent positions by computing:

$$\mathbf{v}_{i,x}(t+\Delta t) = \frac{\mathbf{x}_i(t+\Delta t) - \mathbf{x}_i(t)}{\Delta t}.$$
 (1)

We can approximate the direction of motion of individual *i* by  $\varphi_i(t) = \arctan(v_{i,y}(t), v_{i,x}(t))$ . Similar to **Eq. 1**, we compute the angular speed  $\dot{\varphi}(t)$  of each individual.

# 2.3 Fundamental Relations Between Speed and Turning

The fundamental equation of motion for a self-propelled agent *i* reads:

$$\frac{\mathrm{d}\mathbf{v}_{i}(t)}{\mathrm{d}t} = \frac{1}{m}\mathbf{F}_{i}(t) \tag{2}$$

with  $\mathbf{v}_i$  as the velocity vector of the agent, *m* it's mass and  $\mathbf{F}_i$  being total force acting on it. Please note that, in the following, we omit the explicit time dependence for simplicity. The velocity vector can be expressed via the speed  $v_i$  and the heading angle  $\varphi_i$  to  $\mathbf{v}_i = v_i [\cos \varphi_i, \sin \varphi_i]^T = v_i \, \hat{\mathbf{e}}_{v,i}$ . We can reformulate (in detail shown in **Supplementary Material Section II**) the velocity dynamics in terms of speed and heading angle dynamics [42] to

$$\frac{\mathrm{d}v_i}{\mathrm{d}t} = \frac{\mathbf{F}_i}{m} \cdot \hat{\mathbf{e}}_{v,i} \tag{3}$$

$$\frac{\mathrm{d}\varphi_i}{\mathrm{d}t} = \frac{\mathbf{F}_i}{\nu_i \ m} \cdot \hat{\mathbf{e}}_{\varphi,i} \text{ with } \hat{\mathbf{e}}_{\nu,i} = \begin{bmatrix} \cos\varphi_i\\ \sin\varphi_i \end{bmatrix}.$$
(4)

Therefore, without any further assumptions, we see that the turning is inversely proportional to the current speed, i.e.  $d\varphi_i/dt \propto 1/v_i$ . However, the inverse proportionality results in instantaneous turning for  $v_i = 0$ , which is unrealistic and is caused by assuming a point-like object. To provide a simple correction for this unreasonable assumption, we follow [43] and introduce a rotational friction force acting on the velocity

$$\frac{\mathrm{d}\mathbf{v}_i}{\mathrm{d}t} = \frac{1}{m} \left( \mathbf{F}_i - \alpha \ \frac{\mathrm{d}\varphi_i}{\mathrm{d}t} \ \hat{\mathbf{e}}_{\varphi,i} \right) \tag{5}$$

with  $\alpha$  as rotational friction coefficient. If we repeat the steps from above analogously, the speed dynamics remain unchanged (**Eq. 3**) but the change in heading angle reads now:

$$\frac{\mathrm{d}\varphi_i}{\mathrm{d}t} = \frac{\mathbf{F}_i}{(\nu_i + \alpha) \ m} \cdot \hat{\mathbf{e}}_{\varphi,i} \ . \tag{6}$$

In the context of self-propelled agents, the above relation implies that the turning rate of an individual, in response to a force  $\mathbf{F}_i$  acting on the agent or generated by the agent itself, depends on its speed  $v_i$ . For a constant force  $|\mathbf{F}_i| = const.$ , faster agents will turn slower. Alternatively, in order to turn at the same rate, individuals moving at different speeds have to adjust the strength of their turning force linearly with their current speed. We emphasize that this fundamental relation, ignored in most models of collective behavior explicitly modeling turning rates, holds both for fixed speeds  $v_i := v_{0,i} = const.$ , as well as for variable speeds  $v_i := v_i(t)$ .

# 2.4 Fitting Experimental Data and Model Comparison

We introduced in the preceding **Section 2.3 Eq. 4** that accounts for inertia effects and **Eq. 6** that additionally accounts for constraints due to turning friction. Here we treat the force in angular direction  $F_{\varphi} = \mathbf{F} \cdot \hat{\mathbf{e}}_{\varphi}$ , and the rotational friction coefficient  $\alpha$  as parameters. In order to fit and state which of



which aims at minimizing the velocity difference to the mean neighbor velocity  $\langle \mathbf{v} \rangle_{N_i}$ , and a distance regulating force  $\mathbf{F}_{i,d}$ , their sum corresponds to the social force  $\mathbf{F}_{i,soc}$ (**A**). For simplicity the distance regulating force has a sigmoidal distance dependence: it is repulsive for distances closer, attractive for distances larger than a preferred distance  $r_d$  (**A**,**B**). Note, the sign of the projection of  $\mathbf{F}_{i,d}$  onto the distance vector  $\hat{\mathbf{r}}_j$  defines the direction towards (+) or away (–) from the respective neighbor *j*. The neighborhood of a focal agent (red arrow) is defined by its Voronoi neighbors (black arrows in red cells, **C**).

the two models represents the data best, we use a maximum likelihood estimation and compare both fits using the Akaike Information Criterion (AIC) [44] and the Bayesian Information Criterion (BIC) [45]. This procedure has the advantage, over an ordinary least square fit, that the AIC and BIC can be computed without requiring normally distributed residuals. Note that **Eq. 6** has one parameter more ( $\alpha$ ), and AIC and BIC penalize a larger number of parameters and therefore prevent overfitting, whereby the penalty term is larger for the BIC. The model with the lowest AIC or BIC is preferred [46, 47].

## 2.5 The Model

As explained in **Section 2.3**, our model mimics the movement behavior of real fish by obeying fundamental physics relations (inertia and friction). This is mathematically expressed in **Eqs 5**, **6**. Additionally, the interaction between fish is modelled by using the continuous version (i.e. overlapping instead of discrete zones) of a well established three-zone model that traditionally uses fixed speed [19]. The force acting on an individual *i* has a self-propulsion term (including noise) and a social term. We can express this as:  $\mathbf{F}_i(t) = \mathbf{F}_{i,\text{sp}}(t) + \mathbf{F}_{i,\text{social}}(t)$ . The self-propulsion force takes into account two main factors: 1) the tendency of an individual to keep a preferred speed  $v_0$  and 2) the fluctuations on the linear speed v and the angular speed  $\dot{\phi}$ 

$$\mathbf{F}_{i,\text{sp}}(t) = \left(\beta\left(\nu_0 - \nu_i(t)\right) + \sqrt{2D_\nu} \,\xi_\nu(t)\right)\hat{\mathbf{e}}_{\nu,i} + \left(\sqrt{2D_\varphi} \,\xi_\varphi(t)\right)\hat{\mathbf{e}}_{\varphi,i},\tag{7}$$

where  $\beta$  is the speed relaxation coefficient, leading to the relaxation of the speed towards the preferred speed  $\nu_0$  in the absence of other perturbations with the time constant  $\tau_{\nu} = \beta^{-1}$ . For solitary agents in the absence of external forces, the width of the speed distribution will be inversely proportional to  $\beta$ , i.e. low  $\beta$  corresponds to large speed variability and high  $\beta$  to small variability around  $\nu_0$ .  $D_{\nu}$  and  $D_{\varphi}$  are diffusion coefficients setting the noise intensity in  $\nu$  and  $\varphi$ , respectively, whereas  $\xi_{\nu}$  and  $\xi_{\varphi}$  are independent, Gaussian white noise processes. The social interactions are explained in detail in the following.

### 2.5.1 Social Interactions

We consider a social force that combines two fundamental types of interactions among individuals: 1) an alignment force  $\mathbf{F}_{i,alg}$  and 2) a distance-regulating force  $\mathbf{F}_{i,d}$  (Figures 1A,B). Thus, we can express the total social force as  $\mathbf{F}_{i,\text{social}}(t) = \mathbf{F}_{i,alg}(t) + \mathbf{F}_{i,d}(t)$ . We use Voronoi tesselation to define the neighborhood of a focal individual *i*, which is labeled as  $\mathbb{N}_i$  (Figure 1C). A Voronoi interaction network can, on the one hand, be efficiently computed, while on the other hand it is a good approximation of visual interaction networks [48]. The mathematical expression of the alignment force is:

$$\mathbf{F}_{i,alg}\left(t\right) = \frac{1}{\left|\mathbb{N}_{i}\right|} \sum_{j \in \mathbb{N}_{i}} \mu_{alg} \, \mathbf{v}_{ji}\left(t\right),\tag{8}$$

where  $\mu_{alg}$  is the alignment strength and  $\mathbf{v}_{ji}(t) = \mathbf{v}_j(t) - \mathbf{v}_i(t)$ . The distance-regulating social force assumes a preferred distance  $r_d$  that individuals try to maintain between each other. It is defined as:

$$\mathbf{F}_{i,d}(t) = \frac{1}{|\mathbb{N}_i|} \sum_{j \in \mathbb{N}_i} \mu_d \tanh\left(m_d \left(r_{ji}(t) - r_d\right)\right) \, \hat{\mathbf{r}}_{ji}(t), \qquad (9)$$

where  $\hat{\mathbf{r}}_{ji} = (\mathbf{r}_j - \mathbf{r}_i)/|\mathbf{r}_j - \mathbf{r}_i|$  is a unitary vector from agent *i* to agent j,  $r_{ji} = |\mathbf{r}_j - \mathbf{r}_i|$ ,  $\mu_d$  is the strength of the force and  $m_d$  is the slope of the change from repulsion  $(r_{ii} < r_d)$  and attraction  $(r_{ii} > r_d)$  (Figure 1B). In principle, it is possible to extract a specific functional form of the repulsion and attraction interaction from experimental data [2, 27, 49, 50]. However, these functions will likely depend on the species and the ecological context, whereas the qualitative role of variable speed discussed below does not depend on the specific choice of the functional form of the inter-individual attraction-repulsion interactions. Therefore, for the sake of simplicity and generality, we have chosen a rather simple (sigmoidal) distance dependence for the distance regulating force controlled by only three parameters  $(\mu_d, m_d, r_d)$ , with the key property being a finite preferred distance  $r_d$ , which individuals try to keep to their neighbors. Note, that without the distance regulating force the

**TABLE 2** | Default model parameters. If figures represent simulation with a different set of parameters, it is explicitly stated in the caption. The units are given in general length L and time T units.

Single				Collective					
preferred speed	V <sub>0</sub>	1	[L/T]	group size	Ν	400	[1]		
speed relaxation	β	0.2	[1/T]	alignment strength	$\mu_{alg}$	2	[1/T]		
turn friction	α	1	[L/T]	distance strength	$\mu_d$	2	$[L/T^2]$		
angular noise	$D_{\varphi}$	1	$[L^2/T^3]$	distance slope	$m_d$	2	[1/L]		
velocity noise	$D_v$	0.4	$[L^2/T^3]$	preferred distance	r <sub>d</sub>	1	[ <i>L</i> ]		

model would be purely topological [16], but it could not reproduce the in nature omnipresent short-range repulsion and long-range attraction [2, 27, 49, 50].

#### 2.5.2 The Equations of Motion

By considering the self-propulsion and social forces described above, we can write the explicit equations of motion for individuals, which resemble the equations in [24]:

$$\frac{\mathrm{d}\nu_{i}(t)}{\mathrm{d}t} = \beta(\nu_{0} - \nu_{i}(t)) + F_{i,\mathrm{social},\nu}(t) + \sqrt{2D_{\nu}} \xi_{\nu}(t)$$
(10)

$$\frac{\mathrm{d}\varphi_{i}(t)}{\mathrm{d}t} = \frac{1}{\nu_{i}(t) + \alpha} \Big( F_{i,\mathrm{social},\varphi}(t) + \sqrt{2D_{\varphi}} \xi_{\varphi}(t) \Big), \qquad (11)$$

with  $F_{i,\text{social},\nu}(t) = \mathbf{F}_{i,\text{social}}(t) \cdot \hat{\mathbf{e}}_{\nu,i}(t)$  and  $F_{i,\text{social},\varphi}(t) = \mathbf{F}_{i,\text{social}}(t) \cdot \hat{\mathbf{e}}_{\varphi,i}(t)$  beeing the projections of the social force on the heading direction  $\hat{\mathbf{e}}_{\nu,i}$  and on the turning direction  $\hat{\mathbf{e}}_{\varphi,i}$ .

#### 2.5.3 Parameter Choice and Boundary Conditions

All simulations are performed with no boundary conditions (open space) and the model parameters are summarized in **Table 2**. The length scale is defined by the preferred distance  $r_d = 1$ , which can be associated to the body size. With a preferred speed of  $v_0 = 1$  the agents travel on average in one time unit their preferred distance. With a distance regulating force strength of  $\mu_d = 2$  and  $\beta \le 2$  agents are able to prevent a collision by stopping. The angular noise  $D_{\varphi} = 1$  is the counterpart of the alignment strength  $\mu_{alg} = 2$  (same magnitude as  $\mu_d$ ), i.e. increasing one has the same effect as decreasing the other. Since the latter is varied in this study, the effect of both is explored. The same holds for the velocity noise  $D_{\nu} = 0.4$  and the speed relaxation coefficient  $\beta$ . Note that with dimensionless equations, e.g. declaring the characteristic length and time as  $L = r_d$  and  $T = r_d/v_0$ , two parameters could be reduced. However, to allow an easier interpretation, we refrain from doing so.

### **3 RESULTS**

#### **3.1 Experimental Data of Individual Fish**

The two species of fish as well as the robotic agent exhibited qualitatively highly similar behavior: 1) non-negligible speed variability (Figures 2A-C), and 2) a strong decrease of turning rate with increasing speed (Figures 2E-G), which reflects the potential effects of inertia (see methods). Applying the same analyses on trajectories of our model simulation, we find the same patterns Figures 2D,H.





**TABLE 3** Statistical model comparison. Akaike (AIC) and Baysian (BIC) information criterion for a model without  $\binom{d\varphi}{dt} = F/v$  and with  $\binom{d\varphi}{dt} = F/(v + \alpha)$  turning-friction  $\alpha$  for each model-species. For the same experimental data, the model with the smaller AIC or BIC is preferable, whereby the BIC penalizes additional parameters stronger and thus is more conservative. The values of the parameters  $\alpha$  and F are listed in **Supplementary Table S4**.

	RoboFish		Guppy		Molly		Mollies (N = 4)		Model	
	AIC	BIC	AIC	BIC	AIC	BIC	AIC	BIC	AIC	BIC
$\frac{d\varphi}{dt} = F/v$	780	784	949	952	656	659	194	195	483	485
$\frac{d\varphi}{dt} = F/(v + \alpha)$	711	718	864	871	625	631	181	185	445	450



**FIGURE 3** | Influence of preferred speed and speed variability on polarization and speed-polarization coupling. The preferred speed  $v_0$  and the speed variability (modulated by the speed relaxation  $\beta$ ) both affect the individual speed (**A**) and modulation of either of these parameters may induce orientational order marked by a high polarization  $\Phi$  (**B**). The transition to order can be understood by a local coupling between the local average speed  $\langle v \rangle_R$  and the local polarization  $\Phi_R$ , which we quantified via their correlation *Corr* ( $\langle v \rangle_R$ ,  $\Phi_R$ ) (**C**). The local averages consider all individuals within a circle of radius R = 3 around a focal one (red circle in inset (**E**). Note that  $\langle v \rangle_R$  is not the local group velocity (where a positive correlation with order is trivial) but the local average of the individual speed magnitudes. The specific dependence between local speed and order for three specific parameter choices (marked by square, circle and cross in (**C**) is shown for the disordered state (**D**), the phase transition region (**E**) and the ordered state (**F**). A snapshot of a simulation (inset (**E**)) where agents with higher speed are darker colored, with parameters corresponding to the black circle in (**C**). The parameters of the simulations are listed in **Table 2**; **Supplementary Table S5**.

We find for all cases a speed distribution that shows a strong variation in speed (**Figures 2A–D**). The Coefficient of variation (COV( $\nu$ ) =  $\sigma_{\nu}/\langle \nu \rangle$ ) of individual speed for the different datasets is: COV(5) = 0.92 ± 28 (RoboFish), 0.57 ± 0.1 (guppy), 0.92 ± 0.78 (single molly), 0.68 ± 0.03 (model).

The model-fit to explain the four datasets was significantly ( $\Delta AIC \in [31, 85]$ ,  $\Delta BIC \in [28, 81]$ ) improved by taking turning friction into account (**Figures 2E–H**; **Table 3**; same for the group molly data: inset **Figure 2G**).

# **3.2 Collective Level Consequence of Speed Variability**

The individual turning of our simulated agents resembles qualitatively (in terms of the functional dependence on speed) the behavior of real fish. This allows us now to explore how social interactions in combination with variable speed and turning restriction affect collective behavior in groups with N = 400.

## 3.2.1 Order Induced by Individual-Level Speed and Speed-Variation

Animals can vary in their preferred speed  $v_0$ , but also in their speed variability over time. We parametrized the latter by the speed relaxation coefficient  $\beta$  (see methods). For socially interacting agents, we find the mean individual speed  $\langle v \rangle$  close to the preferred speed  $v_0$  but only in the ordered state (**Figures 3A,B**). Interestingly, it is possible to change groups from an ordered to a disordered state by just changing the preferred speed and/or the speed variability. As shown for real, robotic and simulated fish (**Figures 2E-H**) individuals' turning rate is slower the higher their speed. This causes rotational random forces to be

damped for groups with larger speeds, facilitating order due to inertial restrictions on turning (Figure 3B).

In contrast, large speed-variability (low  $\beta$ ) may lead to disorder, while a narrow individual speed distribution (large  $\beta$ ) induces order. If the speed of an agent can vary, the velocity alignment can reduce the average speed of individuals: A focal agent *i* matches its velocity (direction and magnitude) with the mean velocity of its neighbors  $\langle \mathbf{v} \rangle_{\mathbb{N}_{*}}$ . However, for finite levels of directional fluctuations  $|\langle \mathbf{v} \rangle_{\mathbb{N}_i}| \leq v_i$ , in other words, it will decelerate due to an effective social friction associated with the speed matching of the alignment interaction [24]. The reduced speed allows a faster turning and consequently enhances the angular noise and therefore disorder (Figure 3B). Thus, in any collective system where individuals also match their speed and not only their orientation to the local neighborhood, a group can be in different collective states only depending on the individuals' speed variability. We numerically confirmed that if agents only align their orientation and do not match their speed, the change in order by a different speed variability does not exist.

The speed variability has another highly robust emergent consequence. It allows agents of the same collective to differ in their instantaneous speed and since higher speeds induce order, we observe correlations on the local level between mean individual speed  $\langle v \rangle_R$  and local polarization  $\Phi_R$  with R as the radius of the circle from which the average is computed (Figures **3C-F**). Please note that as we consider individual speed, the above correlation is different from the trivial correlation between local polarization and local group speed. The correlations between individual speed and local polarization is always positive and largest at the transition between disorder and order. The latter is a signature of phase transitions, where the susceptibility, i.e. the response to weak signals/fluctuations, is maximal. It means that information encoded in speed is best translated to a directional response at the transition region, and vice versa (likely to be beneficial in collective computation tasks).

The local coupling is an emergent consequence of the fundamental dependence of turning on speed. Thus, it is highly robust and the qualitatively same non-linear functional form was observed in experiments (compare **Figures 3D**-**F** with **Figure 1** in [25]). Most importantly, it weakens with low speed variability (**Figure 3C**) and does not exist for fixed speed models.

## 3.2.2 Mean Speed and Cohesion in Different Collective States

We have demonstrated above that the preferred speed and its variability can induce an order-disorder transition. Now, we keep the preferred speed fixed at  $v_0 = 1$  and change the alignment strength  $\mu_{alg}$ . By repeating this for different speed relaxation  $\beta$  we investigate how the collective behaves in the ordered and disordered state (controlled by  $\mu_{alg}$ ) under different speed variabilities.

The higher the speed variability of individuals, the larger alignment strengths are necessary for the collective to reach the ordered state (**Figure 4A**). This shift of the phase transition is more clearly depicted by shifting peaks of the susceptibility  $\chi$  (**Figure 4A** inset), which is defined by the fluctuations of the polarization  $\chi = N (\langle \Phi^2 \rangle - \langle \Phi \rangle^2)$ .

The collective phase transition impacts the individual dynamics as well: The mean individual speed  $\langle v \rangle$  shows a distinct minimum at the transition which vanishes for a high speed variability ( $\beta = 1$ , **Figure 4B**). The minimum in speed is related to the velocity alignment where a focal agent adjusts its velocity  $\mathbf{v}_i$  to the average velocity vector of its neighbors  $\langle \mathbf{v} \rangle_{\mathbb{N}_i}$ . In the disordered state  $\langle \mathbf{v} \rangle_{\mathbb{N}_i} \approx \mathbf{0}$ , i.e. the alignment interaction induces an effective social friction  $-\mu_{alg}v_i$  and thus slows the focal agent down [24]. It changes at the disorder-order transition where the neighborhood of each agent becomes increasingly polarized with increasing alignment strength. However, since there is always noise on the heading direction  $|\langle \mathbf{v} \rangle_{N_i}| < \nu_0$ , even in the strongly ordered state the individual speed is below the preferred speed  $\nu_0$ .

A very general qualitative change from fixed to variable speed can be observed in the group cohesion close to the phase transition. The area of the convex hull of the collective is maximal at the transition for fixed speeds. This maximum becomes less pronounced and finally vanishes with increasing speed variability (Figure 4C). The same holds for the nearest neighbor distance (Figure 4C inset). At the transition the directional correlation of the agents is maximal (i.e. susceptibility peaks, Figure 4A inset) and the directional fluctuations cause subgroups of the collective to head in different directions, leading to an expansion of the collective [19]. This expansion weakens with increasing speed variability because the distance regulating force can now lower the speed from a subgroup if it moves away from the shoal, effectively inhibiting expansion. A common consequence of weak cohesion is an increased probability for groups to split. However, for simplicity we assumed an unlimited attraction and alignment range, disabling fragmentation. The trend, that groups with a higher speed variability are more cohesive, is most striking at the transition, but holds in general in our model.

#### 3.2.3 Group Size Dependent Effects

Group size is among the most biologically most important and variable parameters in the context of grouping. Thus, we investigate in this last part how group and individual measures change with group size N.

For low speed variability, the polarization remains high  $\Phi \leq 1$  independent on *N*. If speed variability is high, polarization decreases with increasing group size and we expect  $\Phi \rightarrow 0$  for even larger *N* (**Figure 5A**). Note that only in a narrow range close to the transition (marked by a large susceptibility, **Figure 5B**), the polarization saturates to intermediate values for large groups. The order-disorder transition for intermediate values of speed variability ( $\beta$ ), is of the same nature as discussed for **Figure 3A**.

It is of particular interest, given that the size of animal groups is a key parameter of collective behaviour, if there is a specific threshold where the collective patterns of the system show a qualitative change. We find that when modelling agents with high speed variability, the mean individual speed  $\langle v \rangle$  in our model undergoes a sudden change at N = 3 (**Figure 5C**). Until N = 3 the individual speed  $\langle v \rangle$  is larger than  $v_0$  and saturates towards  $v_0$ with decreasing speed variability. The reason for  $\langle v \rangle > v_0$  is that the speed distribution of individuals is asymmetric, with a



**FIGURE 4** | Variable speed affects collective behavior in different states. Polarization  $\Phi$ (**A**), the susceptibility  $\chi$  (inset **A**), the individual speed  $\langle s \rangle$  (**B**), the area of the convex hull (**C**) and the nearest neighbor distance *NIND* (inset **C**) are shown in dependence on the velocity alignment strength  $\mu_{alg}$ . Black crosses (**B**,**C**) mark the peak of the susceptibility, i.e. the location of the phase transition. The lines are color coded according to the speed relaxation as indicated at the colorbar, i.e.  $\beta \in \{1, 2, 4, 8, 16, 32, 64\}$ . The parameters of the simulations are listed in **Table 2**; **Supplementary Table S5**.



different speed relaxation  $\beta$  and group sizes *N*. The parameters of the simulations are listed in **Table 2**; **Supplementary Table S5** with the difference of a preferred speed of  $v_0 = 2$ .

long-tail at large speeds but cutoff at low speeds at v = 0 (**Figures 2A–D**), i.e. a maximum of the distribution is at  $v = v_0$  but the mean is larger. For larger groups with  $N \ge 4$ , the speed is lower than the preferred speed but saturates also to  $v_0$  in the fixed speed limit ( $\beta \rightarrow \infty$ ).

This abrupt change can be understood through the interplay of individual dynamics and fundamental property of the interaction network: 1) A focal agent decelerates stronger the more its heading deviates from the average polarization of its neighborhood, i.e.  $dv_i/dt \propto \Phi_{\mathbb{N}_i} \cdot \hat{\mathbf{e}}_{v,i} - 1$  (derived in **Supplementary Material Section III**). 2) For Voronoi-type interactions and for group size  $N \leq 3$ , we have an all-to-all interaction network, which is not the case for N > 3. The second point is illustrated in **Figure 6**, where for N > 3 a set  $\mathbb{D}_i$  of agents disconnected from the focal agent *i* can exists, i.e.  $\mathbb{D}_i = \mathbb{A} \setminus (\mathbb{N}_i \cup \{i\}) \neq \emptyset$  for N > 3 with  $\mathbb{A}$  as the set of all agents of the group. We confirmed this by computing the average number of neighbors during the simulations (**Figure 6**).



**FIGURE 6** | Qualitative topological change with group size. Average Voronoi neighbor number for different group sizes. The dashed purple line (inset) marks the numbers of neighbors of a fully connected group. Illustrations of a typical spatial constellation for a group of size N = 4. The focal agent (0), its neighbors (1, 3) and an agent that is not connected to the focal agent (2) are shown. Note that only for group sizes N > 3 the latter exists, which hampers directional synchronization of the collective. The parameters of the simulations are listed in **Table 2**; **Supplementary Table S5** with the difference of a preferred speed of v0 = 2.

In summary, for  $N \leq 3$  we have an all-to-all coupling, thus all agents receive the same social input, whereas for N > 3, centrally located individuals receive "independent" social inputs from different neighbors on different sides, which are not neighbors themselves, i.e. are not directly interacting. Thus, for N > 3 the centrally located individuals seek a compromise between two independent sources of information. As a consequence, the neighborhood of a focal agent located on the edge and the edge-agent itself, agree less in velocity. This results in slowing down the focal edge-agent, which in turn feeds back on the group behavior. To support this explanation we computed the vector product  $\Phi_{\mathbb{N}_i} \cdot \hat{\mathbf{e}}_{v,i}$  which shows a sudden decrease from N = 3 to N = 4 (Supplementary Figure S1).

## **4 DISCUSSION**

We provide detailed empirical insights of speed variability in fish, providing evidence that inertia together with rotational friction explain the reduced turning ability of individuals at higher speeds. With our model that incorporates both, we explored the effect of speed variability on the emergent collective behavior. We found, among others, that 1) besides differences in (average) speed, also differences in individual speed variability (keeping preferred individual speed constant) can result in a change in polarization, 2) local coupling between speed and order is largest at the order-disorder transition, 3) individual speed variability decreases speed and increases cohesion at the phase transition and 4) the mean individual speed drops suddenly at a threshold group size (N > 3) but only at sufficiently high speed variability, which is intrinsically linked to the fundamental structure of the interaction network.

Our finding that higher speeds increase the polarization, is explained by the decrease of individuals turning rate at higher individual speeds that will inhibit individual directional noise, and thus facilitate stronger group polarization. Importantly, this speed-dependent turning effect comes on top of previously identified positive impact of higher speeds on group order [3]. The transition from ordered to disordered motion with speed was reported in experiments [22, 25, 29, 31]. However, corresponding models incorporating a dependence of turning rate on speed were based on fitting experimental data and not on the fundamental physics of inertia and rotational friction (see e.g. [22, 25]). This order-disorder transition induced by speed might enhance collective computation, such as the collective gradient sensing reported in golden shiners [1]: Fish swam fast in brighter and slow in darker regions and due to cohesion could collectively stay in the shade. A variable speed model that correctly accounts for inertia, could enhance the tendency of the collective to stay in the desired environment because there, low speeds increase disorder and thereby further decrease group speed prolonging the time in shade.

Additionally, we found that individual speed variability as well can change the polarization. The reason behind this dependence is speed matching, part of the social velocity alignment, that can lead to a decelerating *social friction*, where an agent adapts to the mean local group speed of its neighborhood [24]. We confirmed numerically (not shown) that this dependence vanishes if agents only align their orientation but do not match their speed. However, 1) this leads to extremely elongated groups that are unable to stay cohesive which is biologically unrealistic, 2) if agents ignore the speed of their conspecifics, flight cascades [51, 52] would not exist and 3) speed matching is experimentally confirmed for fish [3, 39]. Thus, our implementation of the alignment force, which incorporates speed matching, is very justified, and thus we also expect that the polarization dependence on speed variability is robust (implying our related findings as group size influence on speed and polarization).

We report a maximum of the local correlation between polarization and speed at the disorder-order transition and therefore elaborate the connection to collective computation by supporting the criticality hypothesis [53, 54]: information encoded in speed is most strongly linked to directional information at the transition, or the "critical point". In other words, individuals within a group show the strongest response to directional information via speed adaptations and vise versa at this transition. We also found that a minimum in cohesion at the transition exists for fixed-speed models, but weakens or even vanishes with increasing speed variability. This has important implications for studies that investigate collective behavior at criticality [19]. For example, a weak cohesion is associated with a high probability of group splits (smaller group size) and lower densities. Both effects decrease the defense against predators (safety in number [55], confusion effect [56]) and thus make the transition region less biological favorable. This effect would weaken/ vanish in a variable speed model. Bode et al. [36] predict an opposite effect of speed variability on cohesion in a burst coast model where the speed variability is modulated via the length of the bursts (phases of acceleration), whereby they refer to fish with shorter bursts as more agitated fish. Shorter bursts allow more direction changes per time and thus also a better response to positional information of conspecifics, enhancing cohesion while reducing speed variability. This discrepancy shows, that it is important to clarify in future research how the general speed variability (explored in our model) plays together with the characteristics of distinct movement phases.

In our model with a high speed variability (low  $\beta$ ), we observe a decrease in polarization with increasing group size. Linking our results again to criticality: only at the disorder-order transition does the polarization saturates for large groups to intermediate values. Recently, the same functional dependence was experimentally observed and reproduced in a model with only pairwise interactions [23]. Thus, we present an alternative explanation only based on variability in individual speed. In general, specific experimental data can be mimicked by a multitude of models which differ strongly in their microscopic interactions [21, 28, 57-59]. However, those models are most often fit to a specific experimental setup, i.e. to a certain group size, tank size and depth, and need to be recalibrated if the setup changes [22]. We avoid this with a distance regulating and alignment force that have simple, yet generic forms motivated by experimental observations [2, 27, 49, 50]. Additionally, we ensured robustness of the local coupling by repeating the simulations with circular reflecting boundary conditions.

We report a sudden speed decrease in our variable speed model at a critical group size of N = 3, linked to a transition from an all-to-all

network to a distributed spatial network. It might offer alternative means to test hypotheses about the underlying interaction network in real animal groups [48, 60]. In our model Voronoi-interactions cause the specific size threshold at N = 3, but for example for k-nearest neighbor interaction a group is all-to-all connected up to a threshold size directly set by k, i.e. for N < k. However, in order to observe this qualitative change the neighbors also need to match their speeds [3, 39] instead of only matching their movement direction, but, as discussed above, this assumption is reasonable. There might be also other limitations to this approach (e.g. we assume individual behavior does not change with group size [59]), however the emergent speed-interaction network coupling clearly shows how taking into account variable speed may introduce novel effects at the group level via the self-organized interplay of speed and orientation dynamics and social interactions.

To summarize, we have shown that speed variability affects polarization (on the local- and group level), cohesion (especially at the order-disorder transition) and can lead to new emergent transitions at specific groups sizes. Thus, we conclude that extreme caution should be taken when drawing strong conclusions on collective behavior of animal groups based on agent-based models with fixed speed.

## DATA AVAILABILITY STATEMENT

The experimental data sets are available in repositories from previous articles ([38], [40], [30]). The code to run the agent-

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based model is available at github (https://github.com/PaPeK/ swarm-variable-speed).

## **AUTHOR CONTRIBUTIONS**

PK, LGN, and PR designed the analysis and wrote the paper. TL, JJ, and DB provided the experimental data and commented on the draft.

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## SUPPLEMENTARY MATERIAL

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