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# Fault diagnosis method using MVMD signal reconstruction and MMDE-GNDO feature extraction and MPA-SVM

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To achieve a comprehensive and accurate diagnosis of faults in rolling bearings, a method for diagnosing rolling bearing faults has been proposed. This method is based on Multivariate Variational Mode Decomposition (MVMD) signal reconstruction, Multivariate Multiscale Dispersion Entropy (MMDE)-Generalized Normal Distribution Optimization (GNDO), and Marine predators' algorithmbased optimization support vector machine (MPA-SVM). Firstly, by using a joint evaluation function (energy\*|correlation coefficient|), the multi-channel vibration signals of rolling bearings after MVMD decomposition are denoised and reconstructed. Afterward, MMDE is applied to fuse the information from the reconstructed signal and construct a high-dimensional fault feature set. Following that, GNDO is used to select features and extract a subset of lowdimensional features that are sensitive and easy to classify. Finally, MPA is used to realize the adaptive selection of important parameters in the SVM classifier. Fault diagnosis experiments are carried out using datasets provided by the Case Western Reserve University (CWRU) and Paderborn University (PU). The MVMD signal reconstruction method can effectively filter out the noise components of each channel. MMDE-GNDO can availably mine multi-channel fault features and eliminate redundant (or interference) items. The MPA-SVM classifier can identify faults in different working conditions with an average accuracy of 99.72% and 100%, respectively. The results demonstrate the accuracy, efficiency, and stability of the proposed method.

#### KEYWORDS

multivariate variational mode decomposition, multivariate multiscale dispersion entropy, generalized normal distribution optimization, marine predators' algorithmbased optimization support vector machine, joint evaluation function, fault diagnosis

### **1** Introduction

Rolling bearings are one of the key components of rotating machinery, and their operating status directly affects the overall performance, work efficiency, and service life of the equipment. Because rolling bearings usually operate in very harsh operating environments, the probability of various failures is relatively high. It is of great significance for the safe operation of rotating machinery to effectively identify different operating states, different damage degrees, and different types of rolling bearing faults [1].

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Due to the development of artificial intelligence technology and the accumulation of industrial monitoring data, data-driven fault diagnosis methods have attracted more and more attention [2]. At present, analysis based on vibration signals is the most common method for diagnosing rolling bearing faults. The process of fault diagnosis can generally be divided into three main steps: signal processing, feature extraction and selection (i.e., dimensionality reduction), fault mode recognition, etc. Fault features can be extracted from the time domain (including statistical analysis and correlation analysis), frequency domain [including spectrum analysis and Fast Fourier transform (FFT), coherence analysis, spectrum refinement analysis, etc.], and time-frequency domain (including short-time Fourier transform, Wigner-Ville, wavelet packet analysis, wavelet analysis [3] and fractal-wavelet modeling [4, 5], etc.). Guido R C et al. [6] proposed discrete path transform. This method was designed as a novel manual feature extraction tool to achieve spoken language recognition. However, vibration signals are usually characterized by strong background noise, and nonstationary, nonlinear, and coupled modulation [7, 8]. In recent years, time-frequency analysis methods for vibration signals have been widely studied. For example, Empirical Mode Decomposition (EMD) [9] and Local Mean Decomposition (LMD) [10], but both have common issues (i.e., mode mixing). To suppress the modal aliasing phenomenon, Ensemble Empirical Mode Decomposition (EEMD) [11] and Ensemble Local Mean Decomposition (ELMD) [12] were proposed. Among them, ELMD is a noise-assisted analysis method proposed based on EEMD. Nonetheless, after adding noise in ELMD, there are problems such as residual noise pollution and a large amount of computation when reconstructing the signal. Different from EMD and its extended algorithm, Empirical Wavelet Transform (EWT) [13, 14] determines the modal components in different frequency bands by dividing the frequency spectrum of the signal. However, EWT has poor robustness and is susceptible to noise interference, resulting in too dense spectrum allocation, which in turn leads to overdecomposition of the results. Variational Mode Decomposition (VMD) [15] is more effective than EWT, EMD, and EEMD in extracting transient shocks because it uses a non-recursive method to obtain several modal components with sparse characteristics. However, the superiority of VMD depends on the accurate selection of the number of modes *K* and the penalty factor  $\alpha$ , which greatly restricts its application. At present, three major ways are used to obtain appropriate parameters, such as prior knowledge or center frequency observation method, optimization algorithm (for example, Genetic Algorithm, Whale Algorithm, and Bat Algorithm, etc.), and several single evaluation indicators (for instance, correlation coefficient, kurtosis, energy, etc.). Li et al. [16-18] proposed a series of VMD parameter adaptive methods and achieved the extraction of fault features for different rolling bearings. The above parameter-adaptive VMD methods have achieved some good results in the field of fault diagnosis, yet the modal components obtained by VMD still contain noise, especially periodic pulses are more sensitive to noise, which directly affects the effective extraction of fault features.

Currently, most vibration signals are only collected from a single accelerometer. If the fault location is different, the vibration signal strength and spectrum structure are not the same, which may cause misjudgment or missed judgment. For this reason, extending unary data-driven methods to multivariate becomes a research hotspot. Multivariate signal algorithms mainly face the two major challenges of aligning frequency information across multiple channels in each mode (called mode alignment) and feature fusion of multi-channel information [19]. For example, Bivariate Empirical Mode Decomposition (Bivariate EMD) [20], Multivariate Empirical Mode Decomposition (Multivariate EMD) [21], Complex Local Mean Decomposition (Complex LMD), etc. However, they all inherit the limitations of EMD and LMD, especially the problems of pattern aliasing and pattern splitting. MVMD is a generalization of VMD on multivariate or multi-channel datasets. MVMD [22] is used to extract the set of bandwidth modes of inherent multivariate modulated oscillations contained in the multivariate input signal, and the sum of the bandwidths of the signal modes is minimal. This approach addresses the pattern alignment problem [23] whereas there is still litter research on parameter setting and component optimization of MVMD. Gao J et al. [24] applied the MVMD method to DC circuit breaker fault detection. Under weak fault conditions affected by noise, faults of equipment under different working conditions can be detected correctly. But MVMD also inherits the shortcomings of VMD. Song Q et al. [25] utilized MVMD to adaptively decompose multivariate raw signals. However, its evaluation process is simple, which is not conducive to the accurate extraction of the optimal component.

Entropy [26] theory is a feature extraction method that quantifies the degree of irregularity and complexity of time series, such as Sample Entropy (SE), Fuzzy Entropy (FE), Dispersion Entropy (DE), and their Fractional [27], etc. In addition, Rostaghi M et al. [28] proposed fuzzy dispersion entropy (FDE) for signal nonlinear analysis. Common multiscale entropy methods include MSE [29], MFE [30], MDE [31], etc. By utilizing adaptive VMD and multiscale entropy, feature extraction of vibration signals can be achieved. Li Y et al. [32] proved that MDE has the characteristics of fast calculation speed and strong noise resistance through comparative analysis with methods such as MSE and MFE. In addition, Zhou X et al. [33] utilized adaptive VMD and Multipoint Optimal Minimum Entropy Deconvolution Algorithm (MOMEDA) to achieve feature extraction under weak fault impact components and environmental noise interference. Envelope demodulation is also widely used for fault feature extraction. By comparing the fault frequency obtained through envelope demodulation with the theoretical value, fault identification is achieved. Zhang B et al. [34] proposed a fault characteristic frequency -oriented criterion to determine all the informative frequency bands. In this way, all frequency components with fault information are enhanced. In the field of unary signal processing, these methods have been widely applied. But they can only analyze single-channel time series. In addition, the vibration signal collected by a single channel is often not enough to provide sufficient rolling bearing fault information to accurately identify the fault type. For multi-channel time series, the multi-scale entropy method can only be applied when the signals are independent and uncorrelated. Inspired by the theory of multidimensional embedding reconstruction, Kafantaris E et al. [35] proposed MMDE, which extended single-channel time series evaluation to multi-channel. Compared with single-channel time series evaluation, multi-channel time series evaluation can evaluate the dynamic interrelationship of multi-channel time series from the

perspectives of complexity, mutual predictability, and long-term correlation [36]. Ma Y et al. [37] utilized multivariate multiscale fuzzy distribution entropy to extract fault features. However, compared to distribution entropy, the performance of feature extraction using distribution entropy is not stable enough. Therefore, using the multi-channel nonlinear coupling characteristic of MMDE, the obtained entropy value can be used as a rolling bearing fault characteristic. It has recently been applied to fault feature extraction of multi-channel signals. Zhou J et al. [38] proposed multivariate local characteristic-scale decomposition and a 1.5-dimensional empirical envelope spectrum, which can effectively suppress the noise of the envelope signal and highlight the fault features of the signal. However, compared with entropy, the extraction of fault frequency is highly susceptible to interference from complex background noise.

On the premise of maintaining or improving the classification accuracy, the feature selection method is used to select some of the most effective features from the fault feature set to reduce the dimension of the feature space and improve the generalization ability. According to whether it is independent of the subsequent learning algorithm, it can be mainly divided into three types: filter, embedded and wrapper. Compared with other methods, the wrapped methods have higher overall accuracy [39]. Many scholars use the search method of swarm intelligence optimization algorithm as the search mechanism of wrapped feature selection to screen features. Thus, the problem of difficult implementation of exhaustive search can be solved. These include Particle Swarm Optimization (PSO) [40], Grey Wolf Optimization (GWO) [41], Whale Optimization Algorithm (WOA) [42] and other classic swarm intelligence optimization algorithms. The wrapping methods use the performance of the learner as the evaluation criteria of the feature subset. Therefore, this approach is also most conducive to selecting the optimal subset of features for the learner. Through feature dimensionality reduction, on the one hand, noise interference can be eliminated and the burden on the classifier can be reduced. On the other hand, the feature set can also be compressed to reduce storage requirements, etc. [43]. At the same time, some new algorithms with excellent performance have emerged, such as Atom Search Optimization (ASO) [44], Manta Ray Foraging Optimization (MRFO) [45], Slime Mold Algorithm (SMA) [46]. Zhang et al. proposed a Generalized Normal Distribution Optimization algorithm (GNDO) and applied it to the key parameters' extraction of photovoltaic models [39]. The parameter extraction results of this method are verified in terms of quality and stability, and outperform methods such as PSO and GWO. However, there are few studies on the feature selection of rolling bearing faults using GNDO.

After feature selection is performed on the high-dimensional feature set, fault classification and recognition of rolling bearing are required. Currently, machine learning methods are still highly used in fault diagnosis, especially SVM. Compared with methods such as matrix classification networks (including the multi-class fuzzy support matrix machine, the non-parallel bounded support matrix machine, the deep stacked support matrix machine, etc. [47]), deep learning (recently proposed graph attention network [48], convolutional-vector fusion network [49], deep convolutional generative adversarial network [50], etc. But the above deep learning methods all require sufficient sample data.), deep transfer learning

(for example, reinforcement ensemble deep transfer learning network [51], etc. The SVM is widely used because it does not require a large amount of sample data. Meanwhile, Classification and regression problems are simplified by not involving laws of large numbers and probability measures, etc. SVM models are generally robust and have good generalization capabilities. However, the performance of SVM mainly depends on the selection of key parameters (i.e., penalty factor C and kernel function  $\sigma$ ), as well as the quality of training samples. If the selection is inappropriate, there will be over-learning and under-learning phenomena, which will directly affect the robustness of the classification and regression models [52]. Among them, the kernel function will affect the complexity of the model in high-dimensional space. Moreover, if it is not selected properly, it will lead to a complex spatial distribution of training samples. In recent years, research on parameter optimization of SVM classifiers based on metaheuristic optimization algorithms has become common. Many scholars have been exploring the use of some new algorithms to optimize the parameters of SVM, such as the Salp Swarm Algorithm (SSA) [53], Butterfly Optimization Algorithm (BOA) [54], Harris-Hawkes Optimization algorithm (HHO) [55], etc. However, the above swarm intelligence optimization algorithms are prone to falling into local optima. The two selected SVM key parameters are not optimal. Recently, Wang Z et al. [56] proposed a method based on Beetle Antennae Search-based Support Vector Machined for the operation status monitoring and fault classification of wind turbines, which can reliably identify multiple types of faults. In 2020, Faramarzi A' et al. [57] proposed a Marine Predators Algorithm (MPA), inspired by the predator's predation strategy in nature. The algorithm considers top predators to have the greatest hunting prowess. Chen X et al. [58] used MPA-SVM to discriminate different types of faults in rolling bearings. The reliability of the method is proved through large number of experiments. Compared with other algorithms, MPA enhances the optimization effect [59]. It can obtain equivalent or better solutions than other methods at a lower computational cost [60]. To this end, this paper will use MPA to optimize SVM and achieve its parameter adaptation.

Although the various feature extraction and recognition methods mentioned above have been widely used in intelligent fault diagnosis of rolling bearings, there are still the following problems: 1. The influencing parameters in the decomposition process of MVMD are the same as those of VMD. On the one hand, the two key parameters must be preset, which is not suitable for direct application as an adaptive model in practical engineering applications. On the other hand, the selection of the evaluation function for the signal component is not appropriate or too simple. There is still noise in the modal components, making it impossible to analyse the signal effectively. 2. There are inevitably redundant (or interference) items in the high-dimensional features of MMDE, and the problem of low classification accuracy will follow. In addition, the fitness function selected by the current swarm intelligence optimization algorithm is too single. It is not conducive to selecting as few features as possible to obtain the highest possible fault diagnosis accuracy. At the same time, there are few attempts to use the GNDO method for feature selection of rolling bearing faults. 3. The classification accuracy of traditional SVM varies with changes in key parameters and does not have self-adaptability. The generalization ability of the SVM classifier needs to be further

improved. Meanwhile, most adaptive methods are not robust to changes in operating conditions or unseen conditions during model training.

Aiming at the above problems, a fault diagnosis method based on MVMD signal reconstruction, feature selection, and self-adaptive SVM is proposed. The main research work and innovative points of this paper are briefly summarized as follows: 1) A noise reduction and reconstruction method for MVMD signals is proposed. This method not only decomposes multi-channel vibration signals, but also introduces a joint evaluation function (energy\*|correlation coefficient|) to analyse each modal component. Signal noise reduction is achieved by selecting effective IMF components, and reconstruction is completed. 2) Propose MMDE-GNDO feature extraction and selection method. On the one hand, MMDE is used to realize multi-channel signal feature extraction; on the other hand, GNDO is used to select sensitive and easy-to-classify low-dimensional feature subsets from the high-dimensional feature set of rolling bearing faults. This can not only avoid the curse of dimensionality, but also improve the efficiency of fault classification and recognition. 3) Construct the MPA-SVM fault classifier. By combining with the optimal feature subset, it has strong adaptability, high optimization precision and fast convergence speed. Moreover, this method can obtain the optimal parameters of SVM in the shortest time. The implementation process is as follows: First, MVMD decomposition, noise reduction, and reconstruction are performed on the multi-channel vibration signals (including different operating conditions of rolling bearings). Second, MMDE-GNDO is used to realize feature extraction and selection as the optimal feature subset. Then, the MPA-SVM is trained with the optimal feature subset to construct the optimal adaptive classifier. Its unique feature lies in directly utilizing the fault classification accuracy of SVM as the fitness function of MPA, fully improving optimization efficiency. Finally, it is verified through continuous testing and applied to the fault diagnosis of rolling bearings. Through comparative analysis with other methods, its characteristics of accuracy, efficiency, and stability are validated.

The overall structure of this paper: Section 2 briefly introduces the MVMD theory and the multi-channel signal reconstruction process based on the joint evaluation function. Section 3 briefly introduces MMDE, GNDO theory, and the feature selection process of multi-channel signals based on MMDE-GNDO. Section 4 presents the process of optimizing SVM parameters using MPA. Section 5 gives a brief overview of the proposed fault diagnosis method. In Section 6, the superiority of this method is confirmed by the CWRU and PU-bearing data sets, and the experimental process, comparative analysis of each method, and preliminary conclusions are discussed in detail. Section 7 is the experimental conclusion of this paper.

### 2 Signal reconstruction method based on MVMD

# 2.1 Signal noise reduction and reconstruction

The MVMD method extends the traditional VMD algorithm from one-dimensional to multi-dimensional, which provides great

convenience for dealing with multi-variable or multi-channel data. The main goal of MVMD is to extract predefined *K* multivariate modulation oscillations  $u_k(t)$  from the input signal (as shown in Eq. 1) containing *C* data channels, namely,  $x(t) = [x_1(t), x_2(t), ... x_C(t)]$ .

$$x(t) = \sum_{k=1}^{K} u_k(t)$$
 (1)

Where:  $u_k(t) = [u_1(t), u_2(t), ...u_C(t)].$ 

The constrained relative optimization problem becomes Eq. 2:

$$\min_{\{u_{k,c}\},\{\omega_{k}\}} \left\{ \sum_{k} \sum_{c} \left\| \partial_{t} \left[ u_{+}^{k,c}(t) e^{-j\omega_{k}t} \right] \right\|_{2}^{2} \right\}$$

$$s.t.\sum_{k=1}^{K} u_{k,c}(t) = x_{c}(t), c = 1, 2, ..., C$$
(2)

Where: *c* and *k* are the number of channels and the number of modes of signal decomposition, respectively;  $u_{k,c}$  is the k - th mode of the c - th channel;  $\omega_k$  is the central frequency of the *k* mode;  $u_+^{k,c}(t)$  is the analytical representation of each element in the corresponding channel *c* and vector  $u_k(t)$ ;  $\partial_t$  is the time-dependent partial derivatives.

To solve the variational problem described above, the Lagrange representation of the augmented structure as Eq. 3, as follows:

$$L(\{u_{k}\},\{\omega_{k}\},\lambda_{c}) = \alpha \sum_{k} \sum_{c} \left\| \partial_{t} \left[ u_{+}^{k,c}(t) e^{-j\omega_{k}t} \right] \right\|_{2}^{2} + \sum_{c} \left\| x_{c}(t) - \sum_{k} u_{k,c}(t) \right\|_{2}^{2} + \sum_{c} \left\langle \lambda_{c}(t), x_{c}(t) - \sum_{k} u_{k,c}(t) \right\rangle$$
(3)

Where:  $\alpha$  is the penalty factor;  $\lambda_c(t)$  is the Lagrange multiplier;  $\langle ., . \rangle$  is the inner product.

To solve the unconstrained variational problem after this transformation, the Alternate Direction Method of Multipliers (ADMM) method is used to implement alternate updates [61]. For the algorithm process, please refer to [62]. Then, calculate and the centre frequency to get the decomposed signal components.

Like the mode update method of the VMD, the mode updates can be obtained to Eq. 4, as follows:

$$\hat{\mu}_{k,c}^{n+1}(\omega) = \frac{\hat{\lambda}_{c}(\omega) - \sum_{i \neq k} \hat{\mu}_{i,c}(\omega) + \frac{\hat{\lambda}_{c}(\omega)}{2}}{1 + 2\alpha(\omega - \omega_{k})^{2}}$$
(4)

Get the centre frequency update to Eq. 5 as:

$$\omega_{k}^{n+1} = \frac{\sum_{c} \int_{0}^{\infty} \omega \left| \hat{u}_{k,c} \left( \omega \right) \right|^{2} d\omega}{\sum_{c} \int_{0}^{\infty} \left| \hat{u}_{k,c} \left( \omega \right) \right|^{2} d\omega}$$
(5)

The frequency bands of the signal are adaptively decomposed by updating the relation to obtain K narrowband IMF components. In addition, because the MVMD method can calculate data from multiple channels at the same time, the frequency consistency between channels is guaranteed, and the signal analysis tends to be more stable.

However, the effect of MVMD signal processing is also closely related to the two parameters of the penalty factor  $\alpha$  and the number of decompositions *K*. In order to solve the influence of artificial empirical parameter setting on the multivariate signal decomposition results, this paper uses the peak method to determine K. Meanwhile, MVMD introduces a secondary penalty factor to ensure the reconstruction accuracy of the signal. The penalty factor  $\alpha$  not only has an effect impact on the convergence speed, but also has an impact on the decomposition results. In this paper, the search method is used to determine  $\alpha$  and analyze each IMF component. It is necessary to accurately reflect that each decomposed signal component only contains a single vibration mode, and there are no false components or redundant modes. Then, effective IMF components are screened out based on the evaluation function. Finally, the vibration signal of the rolling bearing is reconstructed to eliminate noise interference.

# 2.2 Multivariate variational mode decomposition

For signal noise reduction and reconstruction, the existing single index evaluation function can characterize the sparsity of the signal. However, it is very easy to decompose to obtain a set of simple harmonic signal components in the actual application process. This type of harmonic signal belongs to false components. Their energy only accounts for a small portion of the original signal. Therefore, under the premise of avoiding false components (or redundant modes), it is necessary to ensure that the IMF components have a high energy proportion while maintaining a strong correlation with the original signal [63]. Then, to determine the appropriate decomposition number K and penalty factor  $\alpha$ , this paper applies the joint evaluation function to the optimization process of MVMD components. Finally, screen the IMF components that can accurately reflect the fault to reconstruct a new vibration signal.

To this end, the energy evaluation index Energy (as shown in Eq. 6) is introduced first:

Energy = 
$$\sum_{k=1}^{K} \frac{u_k(t)^2}{f(t)^2}$$
 (6)

where:  $u_k(t)$  is the signal component; f(t) is the original signal; K is the number of IMF components; *Energy* represents the sum of the energy proportions of each signal component, and its value range is *Energy*  $\leq 1$ .

At the same time, to avoid the occurrence of the abovementioned negative optimization situation mentioned above, the selected signal components should retain most of their energy. Because of the possible false components or redundant modes, this article introduces the correlation evaluation index *Correlation* (as shown in Eq. 7):

$$Correlation = \frac{\sum_{n=1}^{N} (x(n) - \bar{x}) (y(n) - \bar{y})}{\sqrt{\sum_{n=1}^{N} (x(n) - \bar{x})^2 \sum_{n=1}^{N} (y(n) - \bar{y})^2}}$$
(7)

Where: x(n) and y(n) are the signal sequence respectively;  $\bar{x}$  and  $\bar{y}$  are the mean value of the signal respectively; N is the signal length; is the correlation coefficient between x(n) and y(n), representing the correlation coefficient between each IMF and the original signal, and its value range is |*Correlation*|  $\leq 1$ .



The above two evaluation indicators have the same order of magnitude, so this paper uses the product operation to construct a joint evaluation function (as shown in Eq. 8):

$$Comprehensive = Energy^*|Correlation|$$
(8)

In the equation: *Comprehensive* represents both the energy of the signal component and the correlation with the original signal;  $|\cdot|$  is the absolute value

The detailed process is shown in Figure 1, and the algorithm steps are as follows:

- (1) First, the multi-channel, original rolling bearing vibration signals are input into the MVMD.
- (2) Use the peak method to determine the main mode number *M* of the signal and its corresponding center frequency of the signal.
- (3) Initialize the MVMD parameters, the decomposition parameter K is assigned as M, and the center frequency is initialized as {ωk} = {f<sub>i</sub>}. At the same time, use the search method to determine the penalty factor α. Among them, the search range α ∈ [100, 5000], and the step size is 100. If there is noise in the signal, it needs to be set τ to reduce the influence of noise.
- (4) Using the MVMD algorithm to decompose the multi-channel original vibration signal.
- (5) After the IMF components are sorted by the joint evaluation function *Comprehensive* (i.e., {*Energy*\*|*Correlation*|}), the top *N* effective components are screened out according to the signal proportion. The above effective components are used to reconstruct a new vibration signal after noise reduction.
- (6) Finally, the noise-reduced signal is reconstructed, and new multi-channel vibration signals are respectively output.

In summary, this paper first utilizes MVMD to decompose multichannel vibration signals and obtain M IMF components. Then, the joint evaluation function is used to select the first N components, and the above components are used to achieve signal denoising and reconstruction. By utilizing the reconstructed signal, subsequent feature extraction can be performed.

### 3 Multi fault signal feature extraction

# 3.1 Multivariate multiscale dispersion entropy

MMDE is obtained by multi-scale expansion based on Multivariate Dispersion Entropy (MDE), which can obtain coarse-grained time series information of multi-channel time series at multiple scales. Therefore, under the premise of noise reduction and reconstruction of multi-channel rolling bearing vibration signals, this paper uses MMDE to extract fault features. MMDE allows multivariate quantification of Dispersion Entropy (DE) from multi-channel time series. Meanwhile, temporal, and spatial dynamics across multiple time scales will be considered. For a set of *P*-channel time series  $X = \{x_{k,i}\}_{k=1,2,\dots,p}^{i=1,2,\dots,N}$  with length *N*, the calculation steps of MMDE can be found as follows [64]:

- 1. Production of univariate quantized time-series:  $U = \{u_{k,i}\}_{k=1,2,\dots,p}^{i=1,2,\dots,N}$ .
- 2. Formulation of multivariate embedded vectors.
- 3. Mapping to multiple dispersion patterns.
- 4. Calculation of Dispersion Pattern Relative Frequency.
- 5. Calculation of Multivariate Dispersion Entropy: *MMDE*(*X*, *m*, *c*, *stau*, *Scale*), where *X* are multivariate signals, *m* is scalar embedding value, *c* is number of classes (it usually set *c* = 5, 6, or 7), *stau* is scalar time lag value (it is usually equal to 1), *Scale* is maximum number of scale factors. All parameters of MMDE are set as follows: *m* is 2, *c* is 5, stau is 1, *Scale* is 20, referring to the setting method in Ref. [36].

Finally, the multi-channel vibration signals are processed by using the MVMD signal reconstruction method in the previous section, and then the fault MMDE of different working conditions is calculated. Thus, the fault feature set (as shown in Eq. 9) is constructed, characterized by high dimensionality:

$$FeaSet_{mvMDE} = [F_1, F_2, ...F_{Scale}]$$
(9)

In the equation: F is the fault feature obtained by using MMDE, *Scale* is the dimension.

However, since the rolling bearing fault feature set obtained by using MMDE usually has problems such as high dimensionality, nonlinearity, and redundancy, it will bring certain difficulties to the subsequent data processing and fault diagnosis. For this reason, it is particularly important to introduce feature selection methods.

### 3.2 Fault signal feature selection

This paper introduces GNDO into the dimensionality reduction process of fault feature set to extract sensitive and low-dimensional fault features. The normal distribution theory is the inspiration of GNDO. For the design inspiration, implementation framework, and pseudocode of GNDO, please refer to [65]. The information-sharing strategies designed in GNDO include local search and global search. (1) Local search. The optimized generalized normal distribution model can be established using Eq. 10, as follows:

$$v_i^t = \mu_i + \delta_i \times \eta, i = 1, 2, 3, ..., N$$
(10)

where:  $v_i^t$  is the trajectory vector of the i - th individual at time  $t, \mu_i$  is the generalized mean position of the i - th individual,  $\delta_i$  is the generalized standard deviation, and  $\eta$  is the penalty factor. Additionally,  $\mu_i$ ,  $\delta_i$ ,  $\eta$  can be defined respectively as Eqs 11–13:

$$\mu_i = \frac{1}{3} \left( x_i^t + x_{Best}^t + M \right) \tag{11}$$

$$\delta_{i} = \sqrt{\frac{1}{3} \left[ \left( x_{i}^{t} - \mu \right)^{2} + \left( x_{Best}^{t} - \mu \right)^{2} + \left( M - \mu \right)^{2} \right]}$$
(12)

$$\eta = \begin{cases} \sqrt{-\log(\lambda_1)} \times \cos(2\pi\lambda_2), & \text{if } a \le b\\ \sqrt{-\log(\lambda_1)} \times \cos(2\pi\lambda_2 + \pi), & \text{otherwise} \end{cases}$$
(13)

In the equation: a, b,  $\lambda_1$  and  $\lambda_2$  are random numbers from 0 to 1,  $X_{Best}^t$  is the current best position and M is the average position of the current whole. In addition, Eq. 14 can be used to calculate M, as follows:

$$M = \frac{\sum_{i=1}^{N} x_i^t}{N} \tag{14}$$

(2) Global search. The global search for GNDO is based on three randomly selected individuals and can be expressed as:

$$v_i^t = x_i^t + \underbrace{\beta \times (|\lambda_3| \times v_1)}_{localinformationsharing} + \underbrace{(1 - \beta) \times (|\lambda_4| \times v_2)}_{Globalinformationsharing}$$
(15)

where:  $\lambda_3$  and  $\lambda_4$  are random numbers. These two numbers obey the standard normal distribution.  $\beta$  is called the tuning parameter. It is a random number with a value between 0 and 1.  $v_1$  and  $v_2$  are two trajectory vectors. In addition,  $v_1$  and  $v_2$  can be calculated by the following equation:

$$v_{1} = \begin{cases} x_{i}^{t} - x_{p1}^{t}, & if \quad f(x_{i}^{t}) < f(x_{p1}^{t}) \\ x_{p1}^{t} - x_{i}^{t}, & otherwise \end{cases}$$
(16)

$$v_{2} = \begin{cases} x_{p2}^{t} - x_{p1}^{t}, & \text{if } f(x_{p2}^{t}) < f(x_{p3}^{t}) \\ x_{p3}^{t} - x_{p2}^{t}, & \text{otherwise} \end{cases}$$
(17)

In the equation:  $P_1$ ,  $P_2$  and  $P_3$  are three random numbers selected from 1 to N, satisfying  $P_1 \neq P_2 \neq P_3 \neq i$ . Given Eqs 16, 17, the second term on the right side of Eq. 15 can be called a local learning term, which means that the solution  $P_1$  shares information with the solution *i*. The third item on the right side of Eq. 15 can be called global shared information, which means that individuals  $P_2$  and  $P_3$  provide information to individual *i*. Tuning parameter  $\beta$  is used to balance the two information sharing strategies. In addition,  $\lambda_3$  and  $\lambda_4$  are random numbers with standard normal distribution, which can make GNDO have a larger search space in the process of performing global search. The absolute sign in Eq. 15 is consistent with the screening mechanism in Eqs 16, 17.

Through the iterative operation of local search and global search, the population and individual positions are updated. Finally, the optimal position that gradually converges to the solution space is obtained, that is, the optimal combination of fault features. Using GNDO to realize rolling bearing fault feature selection is designed based on local and global search strategies. These two strategies are equally important to GNDO and have the same probability of being selected.



Furthermore, like other swarm intelligence optimization algorithms, the population in GNDO (as shown in Eq. 18) is initialized as:

$$x_{i,j}^{t} = l_{j} + (u_{j} - l_{j}) \times \lambda_{5}, i = 1, 2, 3, ..., N, j = 1, 2, 3, ..., D$$
(18)

where: *D* is the number of design variables,  $l_j$  is the lower boundary of the j - th design variable,  $u_j$  is the upper boundary of the j - thdesign variable, and  $\lambda_5$  is a random number between 0 and 1. Note that the i - th individual may not find a better solution by location exploitation strategy or global exploration strategy.

To better introduce solutions to the next-generation population, GNDO designs a screening mechanism, which can be specifically expressed as Eq. 19:

$$x_{i}^{t+1} = \begin{cases} v_{i}^{t}, & if \quad f(v_{i}^{t}) < f(x_{i}^{t}) \\ x_{i}^{t}, & otherwise \end{cases}$$
(19)

# 3.3 Construction of optimal feature subset based on MMDE-GNDO

In this paper, GNDO is exploited to solve multi-objective optimization (i.e., feature selection) problems. In the GNDO population, each individual represents a set of feature combinations in the rolling bearing fault dataset, the so-called feature subset. The number of raw features in the dataset determines the individual dimension. This paper aims to select as few features as possible to achieve the highest accuracy in fault classification [66]. The fitness function for evaluating the quality of an individual needs to consider two factors at the same time. For this reason, this paper adopts the superposition operation to construct the joint fitness function, and the two assign corresponding index coefficients. As defined in Eq. 20:

$$f_{MMDE-GNDO} = \alpha \times \frac{\left(100 - Accuary(t_{MMDE-GNDO})\right)}{100} + \beta \times \frac{|R|}{|N|}$$
(20)

In the equation:  $t_{mvMDE-GNDO}$  is the number of iterations, Accuary ( $t_{mvMDE-GNDO}$ ) is the classification recognition rate of the classifier after  $t_{mvMDE-GNDO}$  iterations (this paper uses the MPA-SVM classification algorithm to evaluate the advantages and disadvantages of feature subsets), |R| is the number of features contained in the current individual, |N| is the number of original features in the data set,  $\alpha$  and  $\beta$  are coordination parameters to balance classification accuracy and feature subset length, and  $\beta = 1 - \alpha$ ,  $\alpha \in [0, 1]$ . After 20 times of experimental analysis, it is concluded that a value of 0.99 for  $\alpha$  is better.

GNDO is used to optimize the fault feature set to obtain its sensitive and low-dimensional features. Finally, construct an optimal, subset of fault features (as shown in Eq. 21):

$$FeaSub_{MMDE-GNDO} = |F_1, F_2, ..., F_{TopR}|, TopR \in [1, Scale]$$
(21)

where: *F* is the fault feature selected by MMDE-GNDO, and 1, 2, ...*TopR* is the first *TopR* items selected.

The specific algorithm flow of MMDE-GNDO is shown in Figure 2, and the detailed steps are as follows.

First, input the reconstructed multi-channel bearing vibration signal. By calculating the MMDE, construct the  $X^*Y$ -dimensional bearing fault feature vector  $FeaSet_{MMDE}$ .

- (2) GNDO initialization. Initialize the population by constructing an X\*Y-order matrix, where X is the number of individuals in the population, and Y is the number of bearing samples.
- (3) Through the iterative operation of local search and global search in GNDO, the population and individual positions are updated. On the one hand, local search assigns the fitness value of each individual with a rank-based method (Rank based Method), and obtains the current optimal solution (that is, the current best bearing fault feature combination) and the best position vector (that is, the multidimensional vector space s position). On the other hand, the global search updates the position of the target individual based on the position of the random individual (Random individual), expanding the search space.

- (4) Determine the ending conditions for the iterative process (that is, the maximum number of iterations  $t_{MMDE-GNDO}$  is reached, and the value is 20). If not, repeat step (3) to update the bearing feature subset until the end condition of the iteration process is met (obtain the best position that gradually converges in the solution space, that is, the best bearing fault feature combination).
- (5) Finally, output the globally optimal, low-dimensional bearing fault feature vector *FeaSub<sub>MMDE-GNDO</sub>*.

# 4 Adaptive fault diagnosis model construction (MPA-SVM)

SVM is a machine learning method highly used in the field of rolling bearing fault diagnosis, which is a typical small sample problem. To solve the problem of optimal parameter selection, MPA is introduced to optimize it and obtain the optimal self-adaptive model.

First, its inspiration comes from the natural advantage rules in the best foraging strategy. The algorithm principle is as follows:

1) Similar to most meta-heuristic algorithms, MPA is a method based on population. Among them, in the first experiment, the initial solution (as shown in Eq. 22) is uniformly distributed in the search space:

$$X_0 = X_{min} + rand \left( X_{max} - X_{min} \right) \tag{22}$$

- 2) The MPA optimization process is divided into three main optimization stages. Consider different speed ratios while simulating the entire activity of predators and prey: The first stage: the speed is relatively large. Second stage: same speed ratio. Third stage: In the case of low speed, the predator moves faster than the prey. Each stage accounts for 1/3 of the entire iterative process.
- 3) Eddy formation and *FADs'* effect. Another factor that changes the behaviors of marine predators is environmental factors, such as eddy current formation or Fish Aggregation Devices (FADs). To avoid falling into local optimum, 20% of the time will take longer jumps in different dimensions. The FADs effect is mathematically expressed by Eq. 23:

$$\overrightarrow{Prey_{i}} = \begin{cases} \overrightarrow{Prey_{i}} + CF[\vec{X}_{min} + \vec{R}(\vec{X}_{max} - \vec{X}_{min})] \otimes \vec{U} & \text{if } r \leq FADs \\ \overrightarrow{Prey_{i}} + [FADs(1-r) + r](\overrightarrow{Prey_{r1}} - \overrightarrow{Prey_{r2}}) & \text{if } r > FADs \end{cases}$$
(23)

In the equation, *FADs* is the probability that affects the optimization process, with a value of 0.2; *U* is a binary vector containing 0 and 1; *r* is a uniform random number in the range [0,1];  $\overrightarrow{X_{max}}$  and  $\overrightarrow{X_{min}}$  are vectors of the maximum and minimum values of the dimension, respectively; and the subscripts  $r_1$  and  $r_2$  are the random exponents of the prey matrix.

4) The best-adapted position is selected as the top predator position. The process is to calculate the corresponding fitness by updating the position of the predator. Then, compare it with the previous fitness value. 5) Repeat steps 2-4 until the termination condition is met. Then, the top predator coordinate position is output.

This paper utilizes MPA to effectively optimize the two key parameters of SVM. That is, after each iteration, the SVM classifier model is evaluated through the fitness function.

In summary, by using MPA to construct an adaptive SVM classifier to accurately identify rolling bearing faults. The detailed steps of this method are as follows:

- The normalized data set is input. Then, it is randomly divided into training samples and test samples in a certain proportion.
- (2) Initialize parameters for MPA and SVM. The algorithm population and the number of iterations set to 20 and 20, respectively. The dimension of parameter optimization is set to 2, and its value range of (C,  $\sigma$ ) is set. The maximum and minimum values of C and  $\sigma$  are set to 100 and 0.01, respectively, according to the parameter setting method in Ref. [67].
- (3) Obtain the initial position. The fitness function in this optimization process is Eq. 24, and the initial value is set to infinity.

$$fitness_{SVM} = \frac{(100 - Accuary(t_{SVM}))}{100}$$
(24)

In the equation,  $t_{SVM}$  is the number of iterations, and  $Accurcy(t_{SVM})$  is the classification accuracy after  $t_{SVM}$  iterations. The smaller the fitness function value, the more accurate it is.

- (4) Perform iterative optimization to update the prey location.
- (5) Determine the iteration end condition (i.e., *Iter* reaches \_*Iter*); if not, repeat steps (3)–(4) until the iteration end condition is satisfied. Then, the global optimal parameter combination is output.
- (6) Use the combination ( $C_{best}$ ,  $\sigma_{best}$ ) to build the optimal SVM classifier.
- (7) The test samples are input into the self-adaptive SVM classifier for rolling bearing fault pattern recognition and output the results.

The MPA-SVM execution process is shown in Figure 3, which includes the adaptive SVM (MPA-SVM classifier) part.

# 5 Rolling bearing fault diagnosis method

To diagnose rolling bearing faults more comprehensively and accurately, an intelligent fault diagnosis method based on MVMD signal reconstruction and MMDE-GNDO and MPA-SVM is proposed. The specific implementation process can be described as follows:

 Using the industrial Internet platform [68], a rolling bearing condition monitoring system is built. Through the cloud/edge collaboration technology, the vibration signal is uploaded



from the edge to the cloud, and the rolling bearing fault database is continuously expanded.

(2) To successfully achieve multi-channel fault data fusion of rolling bearings, the model and parameter settings of each acceleration sensor need to be consistent, and vibration acceleration signals in the X-Y-Z coordinate directions should be collected. Under the sampling frequency  $f_s$ , the vibration signals collected by sensors at different monitoring points and different operating conditions are analyzed. Multi-channel signals of rolling bearings are decomposed by MVMD to obtain *K* signal components.

At the same time, to accurately extract different types of fault features of rolling bearings, the joint evaluation function is used to denoise the vibration signal and reconstruct it.

- (3) To characterize different types of faults of rolling bearings, MMDE is used to calculate the entropy of each vibration signal in different channels and scales. Then, all the entropy is combined to construct the most original fault feature set (i.e., high-dimensional).
- (4) To further remove the redundancy or noise in the original feature set, GNDO is used for feature selection. Thus, the feature dimension is reduced to obtain a low-dimensional, sensitive, and independent optimal fault feature subset.
- (5) The cloud uses steps (2)–(4) to obtain the optimal and lowdimensional fault feature subset, and inputs it into the MPA-SVM classifier for training to obtain the optimal fault diagnosis model. Finally, the model is used for rolling bearing fault

classification testing to achieve accurate identification of different operating conditions and different types of faults.

(6) The penalty factor C<sub>best</sub> and kernel function σ<sub>best</sub> are migrated to the edge in time to construct the latest MPA-SVM classifier. The edge end analyses the multi-channel rolling bearing vibration signal through steps (2)–(4) to realize online fault diagnosis.

In summary, the method proposed in this paper is shown in Figure 3, which is applied to intelligent fault diagnosis of rolling bearings based on cloud/edge collaboration technology.

### 6 Experiment validations

Two sets of rolling bearing data sets from CWRU [69] and PU [70] are used for experimental analysis to confirm and demonstrate the viability of the suggested method in diagnosing rolling bearing faults. At the same time, as compared to other ways. All experiments were conducted by using the tool software MATLAB R2018b (64bit). The hardware configuration of the device is: Intel (R) Core (TM) i9-10900K CPU @ 3.70 GHz, 3.70 GHz 32 GB RAM.

# 6.1 Experiment validations for CWRU bearing datasets

The CWRU Experimental Platform is shown in Figure 4. The Rolling Bearing Fault Diagnosis device is mainly composed of a



#### TABLE 1 Description of 36 working states of rolling bearing.

Fault status	Fault type (mils)	Approximate speed of motor (r/min)	Label	Channel	Number of training samples	Number of testing samples
Boll	007, 014, 021	1797, 1772, 1750, 1730	01-12	DE, FE, BA	40	20
Inner Race	007, 014, 021	1797, 1772, 1750, 1730	13-24	DE, FE, BA	40	20
OuterRace@6	007, 014, 021	1797, 1772, 1750, 1730	25-36	DE, FE, BA	40	20





motor, torque sensor/encoder, power meter and electronic control equipment. Rolling bearings operate under different fault conditions, different operating speeds, and different degrees of damage. The signals were mainly obtained from the motor shell drive end, the fan end 12 o' clock position accelerometer, and the basic accelerometer on the motor support plate. All types of signals were vibration signals. The experimental data include three fault states, three damage degrees, and four operating speeds, a total of 36 operating conditions. Details are shown in Table 1. By collecting the vibration signal of the drive end, the sampling frequency is 12 kHz and the number of sampling points is 4,096. The number of samples of various types of signals is 60 (among them, 40 training samples and 20 test samples are randomly selected 20 times), and a

total of 2,160 sets of signals are collected. The waveforms of some multi-channel signal samples are shown in Figure 5.

# 6.1.1 MVMD and signal reconstruction for three channels

Firstly, this paper uses MVMD to decompose the multi-channel rolling bearing vibration signal. Through experimental analysis, the important parameter settings of MVMD are obtained, K is 7,  $\alpha$  is 2000,  $\tau$  is 0. To this end, the signals of the three channels of the DE end, the FE end, and the BA end are subjected to multivariate variational mode decomposition, and the results of signal decomposition are shown in Figure 6. Among them, the original waveforms (a1), (b1), (c1) of each channel and the Fourier transform of the 7-order IMF component after decomposition are included. MVMD can not only effectively extract the useful IMF components of each channel, but also avoid modal aliasing. At the same time, the error between the reconstructed signal and the original signal is reduced. However, from Figures 6A2, B2, C2, the amplitude of some IMF components is small, so not all components have a strong correlation with bearing vibration signals. It is worth noting that some components can not only improve the classification accuracy, but also bring some interference. Therefore, how to effectively select and process this component information is very important for identifying bearing vibration signals.

Therefore, the joint evaluation function is introduced to comprehensively evaluate each component of the signal. The evaluation process not only considers the proportion of IMF component energy in the original signal, but also fully retains the IMF component with a strong correlation with the original signal. The proportion of components is set to greater than 90%. The specific evaluation indicators mainly include Comprehensive, Correlation, Energy, Envelope Spectrum, Kurtosis, etc. By sorting, the first 4 order components with a higher proportion are selected to rebuild the signal, which can realize the noise reduction of the original signal.

The time domain waveforms of the reconstructed signals of each channel are shown in Figures 6A3, B3, C3. Finally, the first fourorder component Fourier transform after MVMD decomposition is used, as shown in Figures 6A4, B4, C4. In the subsequent comparative experiments, the noise reduction and reconstruction methods of each signal are compared and analysed to verify the advantages of the proposed method. It can also be seen from the figure that the proposed method can effectively select effective signal IMF components in each channel.

Through different MVMD signal denoising and reconstruction methods, new multi-channel rolling bearing vibration signals are obtained respectively. Using the reconstructed signal, the corresponding MMDE is solved. It is necessary to use the optimal feature selection method to select the most suitable subset of features and reduce the fault feature dimension of the rolling bearing. At the same time, it can also reduce the computational pressure of the classifier.

#### 6.1.2 Feature selection based on GNDO (for CWRU)

The MMDE-GNDO method is used to achieve feature dimensionality reduction, where X is 20, Y is 2,160, and the number of iterations  $Max\_Iter$  is 20. Through iterative update, the optimal and low-dimensional feature subset  $X^*Y$  is finally

obtained. To fully reflect the advantages of this method in feature dimension reduction, other methods (including different signal reconstruction methods and feature selection methods) are introduced for comparison. Among them, the feature subset constructed by using the joint evaluation function to reconstruct the signal has a low dimension and is relatively stable. Several other feature selection methods were used for comparative analysis based on this strategy. Through thorough experimental analysis, the following conclusions have been drawn. The features selected using GNDO are as follows: E1, E2, E3, E7, E8, E10, E15. Just scales 10 and 15 are chosen, and the first 8 critical features are entirely kept. This allows the GNDO approach to choose the features more effectively in MMDE scales 9 to 20, which significantly reduces feature redundancy and interference between various types of bearing failures. Yet, the issues exist with MRFO (E2, E3, E4, E5, E7, E10, E15, E19, E20), HHO (E1, E2, E5, E6, E8, E9, E12, E14, E18), MPA (E1, E2, E3, E4, E5, E6, E7, E15), and BOA (E2, E4, E5, E10, E14, E15, E16, E19), namely, high dimensionality. There is a difficulty in that important features are filtered out, even though ASO (E2, E3, E4, E5, E10, E12), GWO (E2, E4, E6, E7, E10, E15), and SMA (E2, E3, E4, E6, E8, E12) can use a lower dimensional feature space. In addition, although EO (E2, E3, E5, E6, E10, E12, E14) and PSO (E2, E3, E4, E5, E6, E7, E15) have the same dimensionality as GNDO, the selected features are not crucial.

Under the fault of different working conditions, the entropy mean distribution curves of MMDE at different scales are shown in Figure 7. It can be seen from the figure that the fault feature subset dimension constructed by MMDE-GNDO is 7, and its advantage is that the feature aliasing between different types of bearing faults is relatively small. Therefore, it is used as the optimal and low-dimensional feature subset (7\*2,160) and input into the fault classifier of rolling bearing (i.e., MPA-SVM) to analyse and verify different types of rolling bearing faults.

#### 6.1.3 Analysis of intelligent fault diagnosis

By constructing the MPA-SVM classifier, the optimal and lowdimensional rolling bearing fault feature sets are trained and tested, and the final classification results are obtained. To fully validate the practicality and universality of the method proposed in this paper, different types of fault feature sets and different fault diagnosis models are introduced for comparative analysis. The bearing fault feature set is constructed by multi-channel and different singlechannel signals, different MVMD signal denoising and reconstruction methods and different feature selection methods. The fault diagnosis model is all kinds of self-adaptive SVM classifiers, and each classifier runs 20 times to verify its reliability and stability. The optimal parameters of SVM, average recognition accuracy, average operation time, classification standard deviation, other information are recorded for and subsequent comprehensive analysis.

## 6.1.3.1 Comparison 1. Comparison of MVMD signal reconstruction and feature selection methods

To verify that the multi-channel signal can more fully characterize different types of bearing faults, the multi-channel signal is processed by the MVMD signal denoising and reconstruction method, and the respective MMDE is solved. Finally, the MPA-SVM model is used for fault classification. By



The mean distribution of different scale entropy in various states **(A)** Four types of faults in B007, **(B)** Four types of faults in B014, **(C)** Four types of faults in B014, **(D)** Four types of faults in IR007, **(E)** Four types of faults in IR014, **(F)** Four types of faults in IR021, **(G)** Four types of faults in OR007, **(H)** Four types of faults in OR014, **(I)** Four types of faults in OR021)].

TABLE 2 Comparison of	of average classification	recognition rate	and operation	time based	on different	fault feature sets.
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Adaptive model	Different types of fault feature set	Feature selection algorithm—GNDO				
		Mean accuracy (%)	Standard deviation	Mean time (s)		
MPA-SVM	A2: multi-channels Reconstruction (Multivariate)	99.72	2.916e-14	46.55		
	B2: multi-channels (Multivariate)	97.22	2.916e-14	59.15		
	C2: Single channel (DE End) Reconstruction	96.24	0.0621	48.99		
	D2: Single channel (FE End) Reconstruction	97.50	0	60.63		
	E2: Single channel (BA End) Reconstruction	96.94	4.374e-14	51.17		
	F2: Single channel (DE End)	97.74	0.0617	49.54		
	G2: Single channel (FE End)	98.19	4.374e-14	55.53		
	H2: Single channel (BA End)	97.64	1.458e-14	77.59		



introducing a total of 8 feature sets (A1-H1 and A2-H2) of multichannel original signals and single-channel signals (including 3 VMD reconstructed signals and 3 original signals), a large and sufficient comparative analysis was conducted, as shown in Table 2 and Figure 8. The recognition rate of rolling bearing fault feature set A1 is 99.17%, the standard deviation is 2.916e-14, and the operation time is 116.44 s. Compared with B1, C1, D1, E1, F1, G1, H1, the average classification recognition rates were 2.27%, 4.12%, 2.24%, 2.59%, 1.81%, 1.39%, 1.68% higher. This fully proves that the multichannel reconstructed signal can characterize different types of faults more comprehensively. However, in comparison, the operation time of MPA-SVM is relatively prolonged by 31.44 s, 19.14 s, 16.68 s, 14.34 s, 33.24 s, 34.49 s and 28.98 s, respectively. Therefore, it is necessary to consider the optimization of the rolling bearing fault feature set to reduce the pressure of classification operation.

To fully reduce the operating pressure of MPA-SVM, this paper proposes to use GNDO to optimize high-dimensional features before fault classification, as shown in Figure 10. Comparing Figure 8A -A1 with Figure 8B -A2, the recognition rate is further increased by 0.55%-99.72%. This is sufficient to indicate the presence of redundancy or interference in the fault feature set. At the same time, the operation time is significantly reduced by 69.89 s, and the efficiency is significantly improved. In addition, through the comparison between B1 and B2, C1 and C2, D1 and D2, E1 and E2, F1 and F2, G1 and G2, H1 and H2, on the one hand, the average classification recognition rate is relatively higher than 0.32%, 1.19%, 0.57%, 0.36%, 0.38%, 0.41%, 0.15%. On the other hand, the operation time of MPA-SVM is shortened by 25.85 s, 48.30 s, 36.30 s, 45.41 s, 33.66 s, 26.42 s, and 9.87 s respectively. This shows that multi-channel signals not only need to be denoised and reconstructed before feature extraction, but also should be optimized for high-dimensional feature sets (dimensionality reduction).

To verify the comprehensive evaluation method for noise reduction and reconstruction of rolling bearing vibration signals, some traditional (or classical) methods such as Energy, Correlation, Envelope Spectrum, and Kurtosis are compared. The multi-channel

signals are denoised and reconstructed by using the above methods, and finally sent to the MPA-SVM classifier for analysis. The average fault classification accuracy of the comprehensive method is 99.17%, which is 1.39%, 2.41%, 4.96% and 7.85% higher than other methods. However, the operation time is 116.44 s, which is significantly higher than other methods. The details of each method are shown in Table 3 (a) Original high-dimensional features. Therefore, this paper uses GNDO to optimize high-dimensional features to improve the efficiency of classification and recognition. After feature optimization by this method, the average recognition accuracy can reach 99.72%, which is 1.66%, 4.59%, 5.98%, and 9.72% higher than other methods, and the classification standard deviation is only 2.916e-14 (i.e., the stability of the classifier is good). At the same time, the operation time is only 46.55 s, which is 4.48 s, 4.57 s, 19.02 s and 15.93 s shorter than other methods, and the recognition efficiency is significantly improved. The details of various methods are shown in Table 3 (b) Feature Selection Algorithm-GNDO. Therefore, the proposed method is superior to the existing single vibration signal-denoising and reconstruction methods. At the same time, feature selection is also needed.

Furthermore, by comparing with 9 methods such as EO, MRFO, GWO, ASO, MPA, SMA, PSO, HHO, BOA, etc., the superiority of using the GNDO method to achieve fault feature dimensionality reduction in this paper is verified. The low-dimensional feature set optimized by each method is input into the fault classifier (i.e., MPA-SVM) for fault classification. Firstly, the key parameter optimization of SVM is achieved through MPA. Compared with the average classification accuracy, it is 0.41%, 0.55%, 0.55%, 0.56%, 0.83%, 1.11%, 1.11%, 1.39%, and 1.48% higher respectively. Compared with the operation time consumption, it is reduced by 9.51 s, 27.47 s, 6.21 s, 2.74 s, 11.88 s, 7.32 s, 7.31 s, 20.05 s, and 20.35 s respectively. The experimental results show that the GNDO method can improve the fault diagnosis accuracy of rolling bearings. Through the detailed comparison of Table 4, the necessity of using this method for secondary feature extraction is confirmed. Therefore, this paper ultimately uses GNDO to achieve fault feature selection. Meanwhile, the optimal parameter combination for SVM is

Fault features	Reconstruction method	Best_c	Best_σ	Mean accuracy (%)	Standard deviation	Mean time (s)
(a) Original high-dimensional	Kurtosis	100	0.65	91.32	0.0712	99.02
reatures	Envelope Spectrum	85.32	3.24	94.21	0.0653	96.44
	Correlation	100	0.94	96.76	0.1296	85.83
	Energy	72.49	18.17	97.78	2.916e-14	102.33
	Comprehensive	99.71	30.80	99.17	2.916e-14	116.44
(b) Feature Selection	Kurtosis	100	4.71	91.46	0.0843	62.48
Algorithm—GNDO	Envelope Spectrum	65.53	20.53	95.28	2.916e-14	65.57
	Correlation	100	1.60	97.22	0.0621	51.12
	Energy	91.94	32.86	98.19	4.374e-14	51.03
	Comprehensive	91.24	66.17	99.72	2.916e-14	46.55

#### TABLE 3 Comparison of fault diagnosis indexes of different signal denoising and reconstruction methods (MPA-SVM).

TABLE 4 Fault diagnosis results of different feature selection methods (MPA-SVM).

Feature selection algorithm	Best_c	Best_σ	Mean accuracy (%)	Standard deviation	Mean time (s)
BOA	7.04	40.15	98.24	0.0932	66.90
ННО	59.02	79.14	98.33	2.916e-14	66.60
PSO	92.51	98.97	98.61	1.458e-14	53.86
SMA	100	87.99	98.61	1.458e-14	53.87
MPA	79.09	99.55	98.89	1.458e-14	58.43
ASO	59.59	94.38	99.06	0.0570	49.29
GWO	21.70	100	99.17	2.916e-14	52.76
MRFO	23.73	85.73	99.17	2.916e-14	74.02
EO	52.12	86.97	99.31	4.374e-14	56.06
GNDO	91.24	66.17	99.72	2.916e-14	46.55

obtained by using MPA, namely, ( $C_{best}$  is 91.24,  $\sigma_{best}$  is 66.17). Then, the MPA-SVM classifier is established, and the test samples are input into the optimization model for testing, as shown in Figure 9 [Confusion matrix (%)]. According to the above two graphs, the MPA-SVM classifier performs fault classification with an accuracy of 99.72% (only 2 of 720 test samples are misclassified, that is, 30: OuterRace@6-014-1772 is identified as 31: OuterRace@6-021-1750,35: OuterRace@6-021-1750 is identified as 34: OuterRace@ 6-021-1772). It has been demonstrated that the fault diagnosis method outlined in this study may successfully and precisely pinpoint rolling bearing defects in a variety of working environments.

### 6.1.3.2 Comparison 2. Comparison of self-adaptive SVM classifiers

The superiority of MPA-SVM classifiers is verified by comparing them with five self-adaptive SVM classifiers, including HBA-SVM, SMA-SVM, SSA-SVM, GWO-SVM, AND PSO-SVM. The detailed comparison is shown in Figure 10. The most noteworthy thing is that the average classification recognition accuracy of MPA-SVM, HBA-SVM, and SMA-SVM is 99.72%, which is 0.14%, 0.20%, and 0.41% higher than SSA-SVM, GWO-SVM, and PSO-SVM, respectively. The classification operation time of MPA-SVM is 46.55 s, which saves 29.20 s, 29.05 s, 45.58 s, 42.77 s, and 54.85 s compared with other classifiers. Similarly, comparative analysis is conducted using multi-channel raw signals and single-channel signals. Not only does it demonstrate that the classification recognition efficiency of MPA-SVM is significantly superior to other classifiers, but it further proves that the use of multi-channel signals can obtain richer fault features.

At the same time, five multi-channel signal noise reduction and reconstruction methods (sent to each classifier after GNDO feature selection) are compared and analysed by each classifier, as shown in Figure 11. It can be seen from the figure that the fault classification based on Comprehensive, Energy, Correlation, Envelope Spectrum, and Kurtosis methods is similar, and the classification effect of Comprehensive is the best. At the same time, the operation time of MPA-SVM is 46.55 s, 51.03 s, 51.12 s, 65.57 s, and 62.48 s, respectively, and the classification recognition efficiency is superior to other classifiers. In addition, the practicality and universality of Comprehensive MVMD signal





noise reduction and reconstruction have been further demonstrated.

Finally, the high-dimensional characteristics of the multi-channel reconstruction signal are used for fault classification, as shown in Table 5 (a) Original high-dimensional features. By comparing with

Table 5 (b) Feature Selection Algorithm - GNDO, the classification recognition rates of MPA-SVM, HBA-SVM, SMA-SVM, SSA-SVM, GWO-SVM and PSO-SVM decreased by 0.55%, 0.55%, 0.69%, 0.69%, 0.35% and 0.56%, respectively, but the operation time increased by 69.89 s, 84.89 s, 22.18 s, 83.20 s, 24.30 s, and 36.83 s, respectively. Once



TABLE 5 Multi-channels reconstruction signal fault features and fault classification of different self-adaptive SVM classifiers.

Fault features	Adaptive model	Best_c	Best_σ	Mean accuracy (%)	Mean time (s)
(a) Original high-dimensional features	PSO-SVM	71.48	33.39	98.75	138.23
	GWO-SVM	54.92	32.86	99.17	113.62
	SSA-SVM	83.31	24.58	98.89	175.33
	SMA-SVM	30.34	31.71	99.03	97.78
	HBA-SVM	26.02	31.60	99.17	160.64
	MPA-SVM	99.71	30.80	99.17	116.44
(b) Feature Selection Algorithm—GNDO	PSO-SVM	89.55	58.86	99.31	101.40
	GWO-SVM	92.27	64.46	99.52	89.32
	SSA-SVM	99.06	72.54	99.58	92.13
	SMA-SVM	59.22	61.26	99.72	75.60
	HBA-SVM	57.25	69.22	99.72	75.75
	MPA-SVM	91.24	66.17	99.72	46.55

again, it indicates that the classification recognition efficiency of MPA-SVM is significantly superior to other classifiers. At the same time, it indirectly proves that the feature selection method based on GNDO has a significant effect on improving the accuracy of fault classification.

# 6.2 Analysis of intelligent fault diagnosis for PU bearing datasets

To further validate the superiority of the proposed method, experiments are conducted on the PU datasets (as shown in Figure 12 for the fault diagnosis experimental platform). Experimental data is generated by installing rolling bearings of different damage types in the bearing test module. Bearing failures are divided into man-made damage and real damage. The real damaged bearings obtained from the acceleration life test bench were selected for the experiment. Bearing condition monitoring signals include vibration and current signals, where the vibration signal is sampled at a frequency of 64 KHz. In this analysis, the vibration signals were used to analyse the experimental data under the conditions of Rotational Speed of 1,500 rpm, Load Torque of 0.7 Nm, and Radial force of 1000N (Name of Setting: N15\_M07\_F10).

The real damage faults of 14 types of bearings were included in the experimental data, including 5 outer ring faults, 3 inner ring and



TABLE 6 Description of 14 working states of rolling bearing (Name of Setting: N15\_M07\_F10).

Bearing code	Bearing element	Label	Channel	Number of training samples	Number of testing samples
KA04, KA15, KA16, KA22, KA30	OuterRace (OR)	01-05	Vibration	40	20
KB23, KB24, KB27	IR (+OR)	06-08	Vibration	40	20
KI04, KI14, KI16, KI17, KI18, KI21	InnerRace (IR)	09-14	Vibration	40	20

outer ring composite faults, and 6 inner ring faults. The number of samples for various types of fault signals is 60 (including randomly cross-selected training samples 40 and testing samples 20, which were executed 20 times), a total of 840 sets of signals were collected, and the number of sampling points was 4,096. The detailed information is shown in Table 6.

# 6.2.1 Comparison 1. Comparison of MVMD signal reconstruction and feature selection methods (for PU)

Similarly, using the same parameter settings of the previous section CWRU, MVMD decomposition and reconstruction of 14 types of fault vibration signals were carried out, and highdimensional fault features of rolling bearings were extracted by MMDE. The proportion of components is also set to greater than 90%. Figure 13 shows the frequency domain plot for each IMF component of the raw data. It can be seen from the figure that the phenomenon of modal aliasing has been effectively avoided by MVMD. It is worth noting that selecting the first 4-dimensional IMF component with a large amplitude can better characterize the fault, and the rest is the interference component (which needs to be

filtered out). The original vibration signal is reconstructed by the Comprehensive method, and then the fault features are extracted. Through sufficient experimental comparison and analysis, it can be concluded that the feature distribution of the reconstructed signal is significantly better than that of the original signal. However, it is still not possible to obtain any useful information related to faults (different operating conditions of rolling bearings). Therefore, the extracted original features are input into MPA-SVM for fault classification identification. To fully demonstrate the superiority of the MVMD signal reconstruction method in this paper, the other four methods are compared, and the detailed information is shown in Table 7 (a) Original high-dimensional features. The fault classification recognition rate of the proposed method is 100%, the operation time is 10.55 s, and the classification standard deviation is 0 (which also demonstrates that the stability of the classifier is good), which is better than other reconstruction methods. The MVMD signal reconstruction method based on Comprehensive can effectively reduce the noise of rolling bearing vibration signals, which is once again proved.

However, due to the high feature dimension of rolling bearing faults, the operation efficiency of the MPA-SVM classifier is greatly



TABLE 7 Comparison of fault diagnosis indexes of different signal denoising and reconstruction methods (MP/	4-SVM)	).
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Fault features	Reconstruction method	Best_c	Best_σ	Mean accuracy (%)	Standard deviation	Mean time (s)
(a) Original high-dimensional	Kurtosis	76.15	39.70	96.43	4.374e-14	13.26
reatures	Envelope Spectrum	45.56	0.68	95.79	0.2485	11.64
	Correlation	16.39	6.72	98.21	0.0799	11.74
	Energy	77.18	0.66	99.54	0.3298	10.88
	Comprehensive	69.90	0.65	100	0	10.55
(b) Feature Selection	Kurtosis	9.35	27.31	96.43	4.374e-14	6.74
Algorithm—GNDO	Envelope Spectrum	0.91	43.34	97.05	0.2281	6.68
	Correlation	16.88	8.55	99.64	1.458e-14	4.72
	Energy	100	3.59	99.64	1.458e-14	5.65
	Comprehensive	16.34	5.53	100	0	4.34

reduced. Similarly, feature selection is made using GNDO (all parameter settings are consistent with the previous section). Finally, the optimal and low-dimensional fault features are input into MPA-SVM for identification. As shown in Table 7 (b) Feature Selection Algorithm—GNDO, the fault classification operation time is reduced by 6.21 s, 5.23 s, 7.02 s, 4.96 s, and 6.52 s, respectively, and the standard deviation of classification is still 0, and the efficiency is

significantly improved. Therefore, the GNDO method can effectively extract sensitive features in the high-dimensional fault features based on MMDE.

By comparing with 9 methods, including EO (E4, E9, E12, E13, E15, E18, E19, E20), MRFO (E2, E5, E8, E9, E11, E12, E15, E16, E17, E20), GWO (E1, E2, E4, E9, E10, E20), ASO (E1, E2, E4, E8, E10, E11, E20), MPA (E1, E3, E4, E10, E20), SMA (E8, E9, E13,



#### FIGURE 14

The mean distribution of different Scale entropy in various states [(A) The top 7 types of fault features selected using GNDO feature selection, (B) The remaining 7 types of fault features selected using GNDO feature selection, (C) The original high-dimensional features of the first 7 types of faults, (D) The original high-dimensional features of the remaining 7 types of faults)].

TABLE 8 Fault	diagnosis	results o	of different	feature	selection	methods	(MPA-SVM).
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Feature selection algorithm	Best_c	Best_σ	Mean accuracy (%)	Standard deviation	Mean time (s)
BOA	6.05	58.03	99.07	0.1795	5.25
ННО	46.31	0.57	95.77	0.1308	8.18
PSO	100	2.04	96.55	0.6269	6.91
SMA	19.01	34.04	94.59	0.1308	7.38
MPA	97.18	2.97	99.61	0.1099	4.96
ASO	77.57	2.64	97.11	0.1099	5.03
GWO	100	2.54	98.07	0.2693	5.40
MRFO	69.02	1.11	98.77	0.1823	6.32
EO	29.38	38.08	96.43	4.374e-14	8.07
GNDO	16.34	5.53	100	0	4.34

E15, E18, E19, E20), PSO (E1, E5, E8, E10, E12, E15, E20), HHO (E4, E5, E6, E8, E10, E14, E15, E20), and BOA (E1, E2, E4, E6, E7, E8), the superiority of the GNDO method is demonstrated. The

fault feature subset dimension selected by GNDO is 7, which are as follows: E1, E2, E3, E5, E6, E10, E11. With the same parameter settings as the CWRU datasets, Figure 14 shows the MMDE mean



distribution curve for faults under different operating conditions. By comparing Figures 14A, B with Figures 14C, D, it can be intuitively seen that the degree of aliasing of the preferred lowdimensional fault features of GNDO is significantly weaker than that of the original fault features (high-dimensional). Pattern recognition is performed by using the input of the respective low-dimensional feature subsets to the self-adaptive classifier (MPA-SVM). In terms of average classification recognition accuracy, the GNDO method is 3.57%, 1.21%, 1.87%, 2.86%, 0.43%, 5.41%, 3.41%, 4.25% and 0.84% higher, respectively. Compared with the standard deviation of classification, it also fully reflects stability. Once again, GNDO is significantly superior to other methods, as detailed in Table 8 for comparison.

# 6.2.2 Comparison 2. Comparison of self-adaptive SVM classifiers (for PU)

After signal reconstruction based on MVMD and fault feature extraction and selection based on MMDE-GNDO, the optimal and low dimensional feature subset (7 \* 840) was obtained. Then, the MPA algorithm is used to optimize the SVM, and the key parameters are obtained: ( $C_{best}$  is 16.34,  $\sigma_{best}$  is 5.53). By establishing the MPA-SVM classifier, the test samples are input into the optimization model for testing. Finally, it is compared with five adaptive classifiers, including HBA-SVM, SMA-SVM, SSA-SVM, GWO-SVM, PSO-SVM. fault and The misidentification rate of all classifiers is 0, indicating that the fault characterization ability of the feature extraction method in this paper is better. MPA-SVM has the fastest operation speed, which is 2.25 s, 2.77 s, 3.49 s, 3.51 s, and 1.65 s less than other classifiers. Similarly, it is compared and analysed with other types of fault features, including feature selection after reconstruction signal feature extraction, no feature selection after reconstruction signal feature extraction, feature selection after original signal feature extraction, and no feature selection after original signal feature extraction. It is clear from Figure 15 and Table 9 (a) Original high-dimensional features and Table 9 (b) Feature Selection Algorithm—GNDO that the MPA-SVM performs best regardless of the characteristics used. On the other hand, it can also be fully demonstrated that both the MVMD signal reconstruction method and MMDE-GNDO in this paper are conducive to the effective selection of fault features.

At the same time, five MVMD signal reconstruction methods are compared and analysed by each classifier, as shown in Figure 16. As can be seen from the figure, the experimental results are almost consistent with the previous section. The classification effect based on the Comprehensive method is the best. Similarly, the operation time of MPA-SVM is 4.34 s, 7.11 s, 7.83 s, 7.85 s, and 5.99 s, all of which are superior to other classifiers. In addition, it can also fully demonstrate the practicality and universality of Comprehensive MVMD signal noise reduction and reconstruction methods.

### 7 Conclusion

In this paper, a method based on MVMD signal reconstruction, MMDE-GNDO, and MPA-SVM is proposed for fault diagnosis of rolling bearings. This method combines the advantages of MVMD in multi-channel vibration signal decomposition, joint evaluation function (Comprehensive) in signal noise reduction and reconstruction, MMDE in multivariate feature extraction, GNDO in feature optimization, and MPA-SVM in pattern recognition. Through extensive experimental analysis, the results have confirmed the effectiveness and superiority of the proposed method compared to existing methods. This method can achieve

Fault features	Adaptive model	Best_c	Best_σ	Mean accuracy (%)	Mean time (s)
(a) Original high-dimensional features	PSO-SVM	58.49	0.66	99.05	21.63
	GWO-SVM	75.13	0.83	99.95	10.50
	SSA-SVM	100	0.60	99.89	13.40
	SMA-SVM	50.31	0.80	99.71	10.90
	HBA-SVM	41.65	0.82	99.87	12.93
	MPA-SVM	16.34	5.53	100	10.55
(b) Feature Selection Algorithm - GNDO	PSO-SVM	59.24	1.74	100	5.99
	GWO-SVM	97.01	0.99	100	7.85
	SSA-SVM	34.98	5.33	100	7.83
	SMA-SVM	67.82	3.99	100	7.11
	HBA-SVM	22.87	6.55	100	6.59
	MPA-SVM	16.34	5.53	100	4.34

TABLE 9 Multi-channels reconstruction signal fault features and fault classification of different self-adaptive SVM classifiers.



the highest classification recognition rate with as few samples as possible, which is to some extent superior to deep learning methods. The main conclusions are summarized in the following three aspects:

(1) The proposed multi-signal noise reduction and reconstruction method can realize multi-channel signal decomposition by using MVMD on the one hand. On the other hand, the joint evaluation function can be effectively used to filter out the noise in the vibration signal, to realize multi-channel signal reconstruction. Compared with the existing classical methods (Energy, Correlation, Envelope Spectrum, Kurtosis), it has a better noise reduction effect, and can retain the signal IMF component that is conducive to fault classification as much as possible.

(2) On the one hand, the proposed MMDE-GNDO method can realize feature extraction from multivariate reconstruction signals and obtain a rich set of high-dimensional fault features. On the other hand, redundant (or noisy) information can be removed from the high-dimensional feature set, and the most sensitive and easily classifiable lowdimensional feature set can be obtained. Compared with other methods (9 methods such as EO, MRFO, GWO, etc.), the proposed GNDO method provides better feature optimization performance and higher fault diagnosis accuracy.

(3) The proposed MPA-SVM classifier can adaptively select the pattern recognition parameters of data samples ( $C_{best}$ ,  $\sigma_{best}$ ). Compared with classifiers such as HBA-SVM, SMA-SVM, SSA-SVM, GWO-SVM, PSO-SVM, etc., the highest recognition accuracy and the shortest operation time are fully displayed. The experimental results of rolling bearing fault diagnosis show that the method can correctly identify the faults of rolling bearings under different working conditions, and the recognition rates reach 99.72% and 100%, respectively.

However, the classification performance of this method will need further improvement under time-varying speed conditions. Meanwhile, in future research, we plan to compare and analyse the proposed adaptive SVM classifier with new methods such as adaptive CatBoost, adaptive LightGBM, and adaptive XGBoost, etc. By introducing computational effort, and complexity and applying it to other types of equipment faults (such as gearboxes, motors, one-way valves, etc.), strengthens comparison to determine its effectiveness and universality.

### Data availability statement

The raw data supporting the conclusion of this article will be made available by the authors, without undue reservation.

### Author contributions

MM: Conceptualization, Formal Analysis, Funding acquisition, Investigation, Methodology, Software, Writing-original draft. CZ: Conceptualization, Project administration, Resources, Supervision, Writing-review and editing. BX: Validation. Writing-review and editing. DL: Supervision, Validation, Writing-review and editing. JY: Validation, Writing-review and editing. SL: Data curation, Writing-original draft. YL: Data curation, Visualization, Writing-original draft. TT: Data curation, Writing-original draft.

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Author BX was employed by the company Shimge Pump Industry (Zhejiang) Co., Ltd.

The remaining authors declare that the research was conducted in the absence of any commercial or financial relationships that could be construed as a potential conflict of interest.

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