

# Good and bad sides of TGF $\beta$ -signaling in myocardial infarction

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Myocardial infarction is a prevailing cause of death in industrial countries. In spite of the good opportunities we have nowadays in interventional cardiology to reopen the clotted coronary arteries for reperfusion of ischemic areas, post-infarct remodeling emerges and contributes to unfavorable structural conversion processes in the myocardium, finally resulting in heart failure. The growth factor TGF $\beta$  is upregulated during these processes. In this review, an overview on the functional role of TGF $\beta$  signaling in the process of cardiac remodeling is given, as it can influence apoptosis, fibrosis and hypertrophy thereby predominantly aggravating ischemia/reperfusion injury.

## OPEN ACCESS

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## Introduction

Myocardial infarction is one of the most life-threatening diseases in industrial countries. Severe ischemia causes immediate necrotic cell death. Nevertheless timely reperfusion of ischemic areas also causes cell damage and promotes post-infarct remodeling finally leading to heart failure.

Post-infarct remodeling is a multifaceted structural conversion process in the myocardium comprising loss of cardiomyocytes by programmed cell death that is accompanied by compensatory induction of hypertrophic growth and fibrosis. All together these events result in adverse myocardial structures with progressive dilatation and reduced pump function.

Interestingly, the cytokine transforming growth factor beta (TGF $\beta$ ) has been described to influence each of the single components of the remodeling process, i.e., TGF $\beta$ 1 promotes myocardial fibrosis (Okada et al., 2005; Edgley et al., 2012), cardiomyocyte apoptosis (Schneiders et al., 2005) or cardiac hypertrophy (Huntgeburth et al., 2011). In addition, TGF $\beta$  up-regulation after myocardial infarction has been described by several groups (Hao et al., 1999; Vilahur et al., 2011; Li et al., 2012), and a significant relationship between reduced ejection fractions in patients after acute myocardial infarction and increases in TGF $\beta$  levels was found (Talasaz et al., 2013).

All these correlative findings between myocardial infarction and induction of TGF $\beta$  suggest contribution of TGF $\beta$  to post-infarct remodeling. In this review we now have a detailed look on the role of TGF $\beta$  during and after myocardial infarction.

## TGF $\beta$ Signaling Pathways

Of the three different TGF $\beta$  isoforms (1, 2, and 3) that have been identified, TGF $\beta$ 1 is the most prominent and most often analyzed form. In the heart several cell types are identified

as source of TGF $\beta$  release, as cardiomyocytes, endothelial cells, fibroblasts and macrophages can release TGF $\beta$ . Free oxygen radicals, as they are found in myocardial infarction, can induce TGF $\beta$  activation. Talasaz and coworkers demonstrated reduction of TGF $\beta$  levels in patients treated with N-acetylcysteine. This suggests that TGF $\beta$  levels are regulated by free oxygen radicals which were scavenged by N-acetylcysteine.

Binding of TGF $\beta$  to its specific receptor type II (T $\beta$ RII) enables phosphorylation and thus activation of type I receptors (T $\beta$ IR), also known as activin receptor like kinase (ALK). The serin/threonine-kinase activity of this receptor enables phosphorylation and activation of transcription factors of the SMAD family (Heldin et al., 1997; Euler-Taimor and Heger, 2006). Different T $\beta$ IR (ALK 1–7) can either activate the transcription factors SMAD2 and 3 or SMAD1 and 5. Thus, depending on the expression of these different T $\beta$ IR types, a cell either responds with SMAD2/3 or SMAD1/5 activation (Wharton and Derynck, 2009). At first, dual activation of SMAD2/3 via ALK5 and SMAD1/5 via ALK1 by TGF $\beta$  was described in endothelial cells (Goumans et al., 2002), but it is now also found in other cell types (Figure 1). Together with the constitutively present SMAD4 these receptor-activated SMADs form heteromers that translocate to the nucleus and control SMAD-dependent gene transcription. This canonical SMAD-pathway can be abrogated by presence of inhibitory SMAD 6 or 7. Although the canonical SMAD-pathway is regarded as the main pathway of TGF $\beta$ -signaling, there exist also several non-canonical pathways. Mediated via the TGF $\beta$ -specific receptor II, kinases like TAK1, RhoA, p38, and ERK can be activated (Dobaczewski et al., 2011) (Figure 1). A relatively new and broad aspect of TGF $\beta$ -signaling now is added by its influence on microRNA expression. TGF $\beta$ -induced

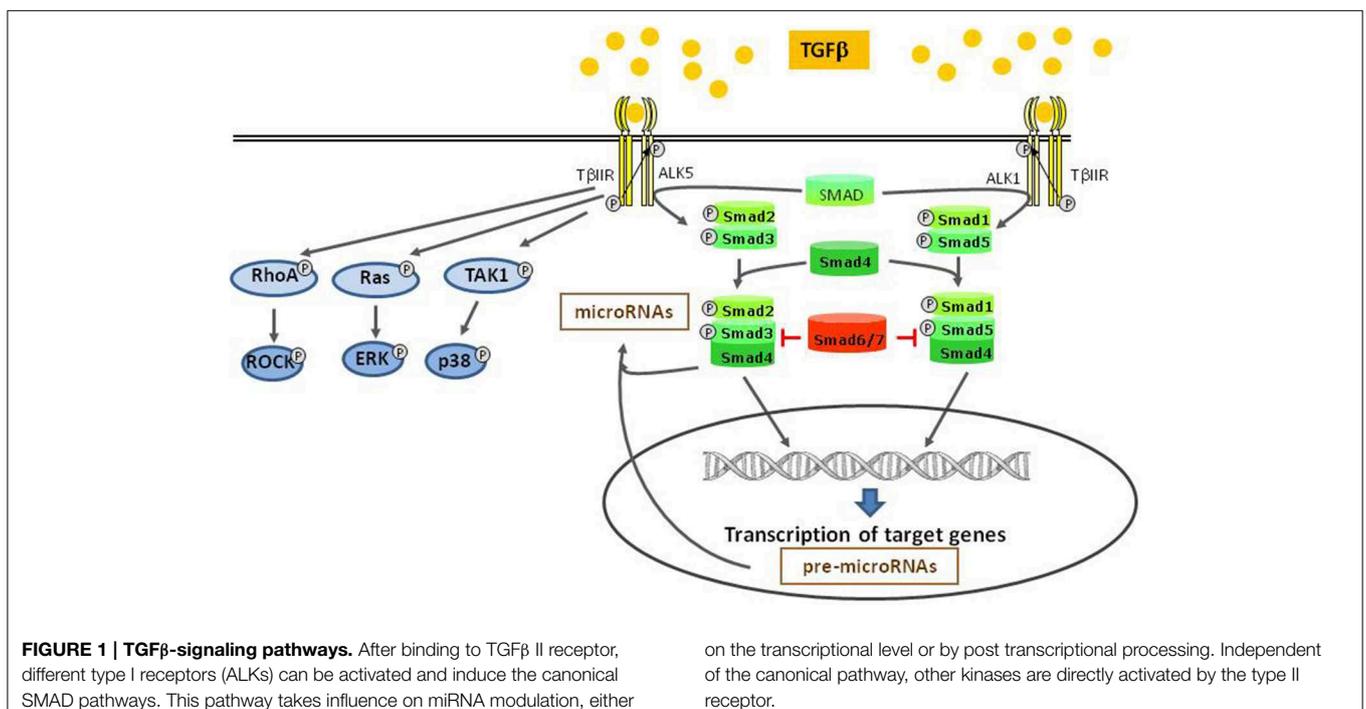
SMADs can bind to microRNA promoters thereby enhancing or reducing their transcription. In addition, SMADs can also contribute to post-translational microRNA processing by association with the Drosha-complex that is responsible for cutting pri-microRNAs into its active forms (Blahna and Hata, 2012).

Due to this broad spectrum of signaling points that can be targeted by TGF $\beta$ , an ample number of diverse functions of TGF $\beta$  are found. The cell type and the cellular environment determine the number and kind of TGF $\beta$ -receptors and the intracellular milieu, both of which have an impact on the cell reaction upon TGF $\beta$  stimulation. These complex signaling components of TGF $\beta$  may also be the reason for the different actions of TGF $\beta$  in ischemic-reperfused myocardium.

### Trapping TGF $\beta$ by Soluble TGF $\beta$ Receptors Unmask Protective and Detrimental Effects of TGF $\beta$ in Myocardial Infarction

As said above, several studies described upregulation of TGF $\beta$  and SMADs after myocardial infarction. These findings point to a role of TGF $\beta$  in the infarcted heart, and prompted studies using inhibitors of TGF $\beta$ /SMAD signaling in order to determine the role of this pathway in post-infarct remodeling.

For efficient blockade of TGF $\beta$ -signaling expression of a soluble TGF $\beta$  receptor (sT $\beta$ IR) was used. Ikeuchi et al. (2004) injected plasmids that encoded sT $\beta$ IR in the tibial muscle of mice, followed by electroporation to improve gene transfection. This single injection resulted in increased serum levels



of sT $\beta$ IIR reaching maximal expression levels 7–10 days after transfection. To determine the influence of this inhibitor on post-infarction remodeling, mice were transfected at different time points. These studies revealed different outcomes of TGF $\beta$  inhibition, depending on the time of inhibition. When mice were transfected 7 days before permanent coronary ligation, mortality rate up to 24 h of myocardial infarction was dramatically enhanced by TGF $\beta$ -inhibition, although infarct size did not change. Enhanced mortality in the sT $\beta$ IIR-treated myocardial infarction group was accompanied by an exacerbated LV dysfunction and immune response, indicated by enhanced cytokine expression and infiltration of neutrophils. Interestingly, when transfection was performed at the same time as the ligation and 7 days thereafter, survival rate and infarct size 4 weeks after coronary ligation was not influenced by sT $\beta$ IIR. Echocardiography revealed reduction of left ventricular dilatation and improved LV function due to sT $\beta$ IIR. In addition, sT $\beta$ IIR reduced myocyte cross sectional area and collagen volume fraction, thereby reducing myocardial hypertrophy in late remodeling. These findings indicate that enhancement of TGF $\beta$ -levels in ischemic hearts have protective characters in the early phase, but is detrimental in the late phase of post-myocardial infarction remodeling. Thus, timing of anti-TGF $\beta$ -treatment seems to be decisive for the outcome of an effective therapeutic use in myocardial infarction.

Another study that also used sT $\beta$ IIR for TGF $\beta$ -inhibition in post-myocardial infarction comes from Okada et al. (2005). They used adenoviral transfection with the sT $\beta$ IIR-gene. This produced a maximal increase in sT $\beta$ IIR plasma levels 7 days after transfection of mice. In accordance with the results of Ikeuchi et al., Okada and coworkers found enhanced survival rates in sT $\beta$ IIR-transfected mice 4 weeks after myocardial infarction when sT $\beta$ IIR-transfection was performed 3 days after permanent coronary artery ligation. LV function was improved. Apoptosis was reduced among myofibroblasts, whereas sT $\beta$ IIR did not change amounts of apoptotic endothelial cells or cardiomyocytes. The anti-apoptotic effect of sT $\beta$ IIR may contribute to the enhanced amount of myofibroblasts in the post-myocardial infarction scar tissue, and these cells may improve geometry and thereby also function of the heart. Okada et al. also investigated effects of sT $\beta$ IIR on the chronic phase in myocardial infarction by treating mice with sT $\beta$ IIR 4 weeks after myocardial infarction. However, this treatment had no effect on survival, LV function or LV geometry.

Thus, in the same models of myocardial infarction, produced by permanent coronary artery ligation, application of sT $\beta$ IIR has either protective or detrimental effects. The outcome of sT $\beta$ IIR application mainly depends on the time point of sT $\beta$ IIR treatment. Therefore, the investigations of Ikeuchie and Okada together suggest that there is only a narrow time window for an effective treatment of myocardial infarction with sT $\beta$ IIR. It should not be used too early, as sT $\beta$ IIR then abrogates protective TGF $\beta$  effects in the early post-infarction remodeling, and it should not be too late as it is no more effective when applied after scar formation has been completed.

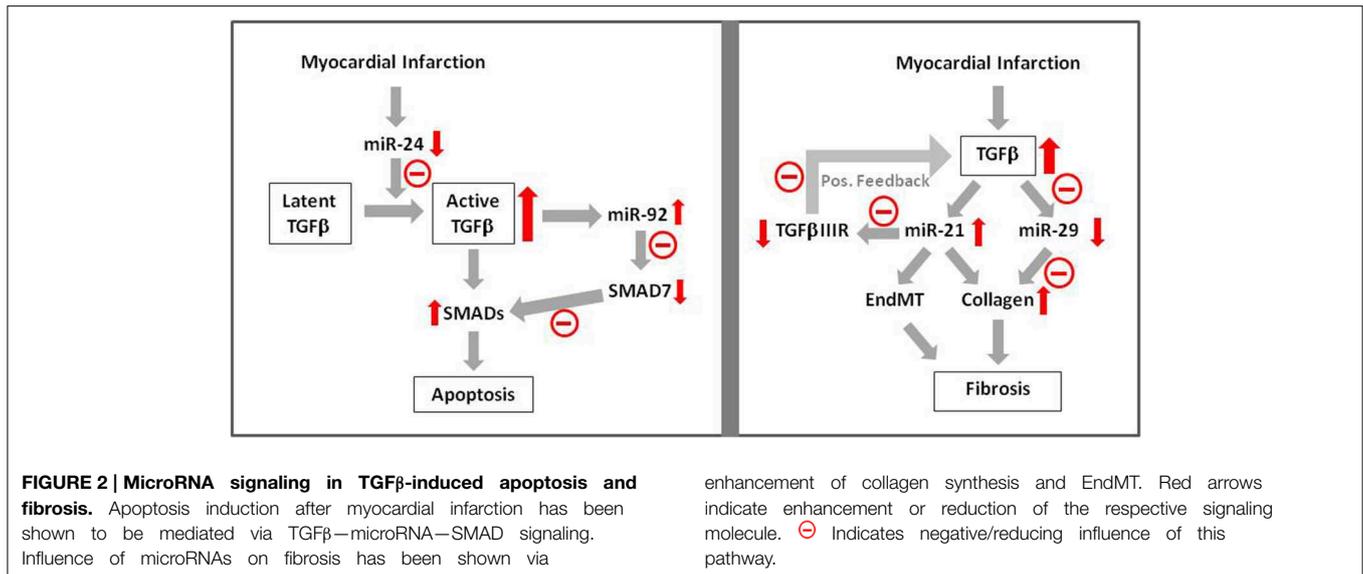
## Detrimental Effects of TGF $\beta$ : SMAD-Signaling and Apoptosis in Myocardial Infarction

The above mentioned investigations used sT $\beta$ IIR to block all possible downstream signaling pathways of TGF $\beta$ . The broad action of this inhibitor may cause the pleiotropic effects in cardio-protection and dysfunction. For more target-oriented approaches inhibitors of specific TGF $\beta$ -signaling pathways can be used. In regard to this, first and foremost inhibitors of SMAD signaling have to be considered.

In isolated cardiomyocytes of adult rat, TGF $\beta$  has been shown to induce apoptotic cell death (Schneiders et al., 2005). This apoptosis induction could be blocked by prior transformation of cardiomyocytes with SMAD-decoy-oligonucleotides. These decoy-oligos scavenge SMADs intracellularly and thus interrupt SMAD-mediated TGF $\beta$ -effects. In addition, blocking T $\beta$ IR by SB431542, a potent and specific inhibitor of ALK4, 5, and 7 (Inman et al., 2002), interrupted SMAD2 signaling and apoptosis induction due to TGF $\beta$  stimulation of cardiomyocytes (Heger et al., 2011). Apoptosis-related target genes of SMADs in cardiomyocytes have not been defined yet. However, in other cell types and tissues SMAD-regulated pro-apoptotic genes, like PUMA or Bim, have been defined (Spender et al., 2013 and Ha Thi et al., 2013), and may be possible target genes in cardiomyocytes. Due to the fact that TGF $\beta$  promotes apoptosis via SMAD-signaling in cardiomyocytes, this pathway may contribute to cardiomyocytes loss after myocardial infarction *in vivo*.

Further evidence for this hypothesis comes from a recent study from Guo et al. (2014), demonstrating contribution of miR-24 to apoptosis after myocardial infarction. They observed downregulation of miR-24 and apoptosis induction after myocardial infarction. Cardiomyocyte-specific miR-24 overexpression in transgenic mice prevented myocardial infarction-induced apoptosis and improved cardiac function. In another study it has been shown that miR-24 prevents processing of latent TGF $\beta$  to its active form (Wang et al., 2012), indicating that reduction of TGF $\beta$ -levels may have contributed to reduced apoptosis after myocardial infarction. Besides miR-24 other microRNAs may also play a role in TGF $\beta$ -induced apoptosis after myocardial infarction. In H9c2 cells induction of apoptosis by hypoxia/reoxygenation was shown to be dependent on induction of miR92a (Zhang et al., 2014). Antagomirs against miR-92a abolished the apoptotic response and increased the levels of the inhibitory SMAD7, thereby indicating that suppression of SMAD-signaling may have contributed to inhibition of hypoxia/reoxygenation induced apoptosis. Thus, induction of microRNAs in ischemic-reperfused myocardium seems to boost TGF $\beta$ /SMAD-signaling in order to enhance apoptosis which then may contribute to adverse remodeling (**Figure 2**).

In several investigations preserved cardiac performance due to reduction of cardiomyocyte apoptosis during myocardial infarction has been shown. In those studies classical inhibitors of the apoptotic signaling cascades were used. Reduced infarct sizes and improved functions after myocardial infarction were found in Fas- or Bax-deficient mice (Hochhauser et al., 2003; Lee et al.,



2003). Overexpression of anti-apoptotic proteins like Bcl2 or IAP (inhibitor of apoptosis) also reduced infarct size in hearts (Chen et al., 2001; Chua et al., 2007). Whether specific interference with the SMAD2/3 pathway indeed conveys protection against apoptosis in myocardial infarction *in vivo* and if this will improve survival rates in patients still has to be proven.

Just recently, a cardioprotective role in myocardial infarction has been shown for another TGF $\beta$ -family member, namely BMP2 (Ebelt et al., 2013). BMP2 mediates its signaling via TGF $\beta$ I-receptor types (ALK1, 2, or 3), resulting in activation of SMAD1/5/8. Now it has been proven that activation of this pathway by a single bolus injection of BMP2 post-myocardial infarction is able to reduce apoptotic cell death of cardiomyocytes and improves cardiac function, although mortality rates of mice were not affected.

Thus, with regards to apoptosis induction after myocardial infarction, SMAD family members may either be detrimental, as under TGF $\beta$ -stimulation (Figure 3), or confer protective effects as shown under BMP2-stimulation. The detrimental TGF $\beta$ -effects on cardiac apoptosis can be modulated by microRNAs (Figure 2).

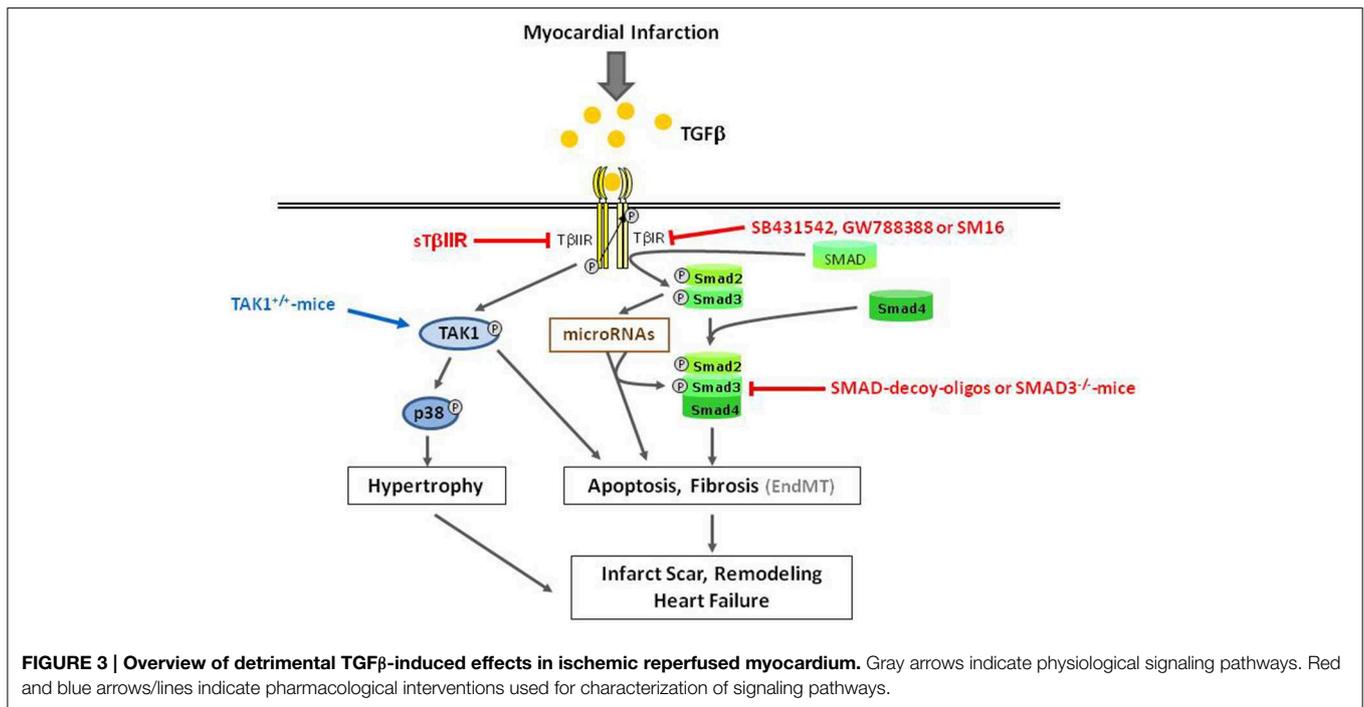
### Detrimental Effects of TGF $\beta$ : SMAD-Signaling and Fibrosis

A predominant role of TGF $\beta$ /SMAD signaling has been elucidated in the context of fibrosis induction after myocardial infarction. Enhancement of TGF $\beta$  levels after myocardial infarction always goes along with increases in fibrotic areas. Not only associations between these events have been described, but also direct involvement of TGF $\beta$ /SMADs has been shown. Using SMAD3-deficient mice, Bujak et al. (2007) have demonstrated reduced interstitial fibrosis post-myocardial infarction and prevention of diastolic dysfunction. Furthermore, in isolated fibroblasts of SMAD3-deficient mice TGF $\beta$  could no more enhance collagen synthesis. SMAD3-deficient fibroblasts exhibit

reduced migratory potential, and reduced potential for trans-differentiation, that is consistent with a reduction in  $\alpha$ -smooth muscle actin expressing myofibroblasts with reduced contractile function in SMAD3-deficient hearts after myocardial infarction (Dobaczewski et al., 2010). All these parameters indicate a strong impact of TGF $\beta$ /SMAD3 on fibrosis in post-myocardial infarction. Interestingly, SMAD3-deficiency did not alter the inflammatory response in the myocardium. Therefore, targeting the SMAD3 pathway may open a therapeutic window to reduce adverse fibrotic TGF $\beta$ -effects without affecting its positive actions in the early immune responses.

Another path for interference in the TGF $\beta$ /SMAD pathway has been applied in a recent study of Tan et al. (2010). They used the novel T $\beta$ IR-inhibitor GW788388. When applied 1 week after coronary ligation in rats, SMAD2 activation, myofibroblast accumulation, collagen deposition, as well as systolic dysfunction was attenuated, while TGF $\beta$ -levels remained the same. No influence of GW788388 on macrophage accumulation, that is essential to facilitate initial wound healing in the infarct area, was found. Similar anti-fibrotic effects of another orally applied T $\beta$ IR-inhibitor, SM16, were recently demonstrated in a pressure-overload model after aortic banding (Engebretsen et al., 2014). However, SM16-treated mice exhibited increased mortality rates under pressure overload due to aortic rupture. Use of other T $\beta$ IR-inhibitors resulted in inflammatory heart valve lesions (Anderton et al., 2011). Thus, the therapeutic potential of pharmacologic inhibition of T $\beta$ IR to reduce cardiac fibrosis after myocardial infarction may be limited due to the mentioned adverse side effects (Figure 3).

Another opportunity to interfere with cardiac fibrosis due to TGF $\beta$ -induction is interference in microRNA pathways. van Rooij et al. (2008) have shown that TGF $\beta$  downregulates miR-29a in cardiac fibroblasts and this goes along with enhanced collagen expression. After myocardial infarction miR-29 expression is downregulated. This may contribute to enhanced myocardial fibrosis. Even stronger evidence for influence of microRNAs on



TGF $\beta$ -induced fibrosis in myocardial infarction can be attributed to miR-21. It is upregulated after myocardial infarction and its addition to fibroblasts enhances collagen synthesis. Enhancement of miR-21 expression by TGF $\beta$  is shown. miR-21 itself represses TGF $\beta$ RIII, a repressor of TGF $\beta$ -expression. Thus upon induction of TGF $\beta$ /miR-21 after myocardial infarction, TGF $\beta$ RIII expression is reduced. Lack of TGF $\beta$ RIII then facilitates further upregulation of TGF $\beta$ /miR-21 thereby boosting activation of fibroblasts and progression of cardiac fibrosis after myocardial infarction (Liang et al., 2012) (**Figure 2**).

Interestingly, cardiac fibrosis is not only established by fibroblast proliferation of resident cells, but also recruits fibroblasts from other cell sources, such as endothelial cells. After pressure overload about 30 % of fibroblasts are of endothelial origin (Zeisberg et al., 2007). These cells pass through endothelial mesenchymal transition (EndMT), so that they still express endothelial markers, such as CD31, but also gain fibroblast characteristics (Zeisberg et al., 2007). EndMT can be induced by TGF $\beta$ 1 and is abrogated in SMAD3 deficient mice, or by BMP7 treatment (Zeisberg et al., 2003). TGF $\beta$ -induced EndMT may contribute to fibrosis after myocardial infarction, since under hypoxic conditions endothelial cells release bio-active TGF $\beta$  (Akman et al., 2001). In addition, miR-21, that is found to be induced after myocardial infarction (Liang et al., 2012), was identified as a mediator of TGF $\beta$ -induced EndMT (Kumarswamy et al., 2012). Furthermore, our own recent findings revealed TGF $\beta$ -dependent EndMT in microvascular endothelial cells under hypoxic conditions (unpublished data) providing even stronger evidence for the occurrence of EndMT after myocardial infarction.

These effects of TGF $\beta$  on fibrosis development in myocardial infarction contribute to enhanced myocardial stiffness and dysfunction.

## Detrimental Effects of TGF $\beta$ : TAK1 and Myocardial Infarction

Besides the classical signaling pathway via SMADs, TGF $\beta$  can directly activate the TGF $\beta$ -receptor TGF $\beta$ -activated kinase (TAK1). This kinase influences events in ischemic-reperfused myocardium, and is related to hypertrophic responses.

The development of cardiac hypertrophy after myocardial infarction can also be supported by TGF $\beta$ . Although in isolated adult cardiomyocytes TGF $\beta$  does not directly stimulate hypertrophic growth, it can enhance the hypertrophic responsiveness of cardiomyocytes to  $\beta$ -adrenergic stimulation (Schlüter et al., 1995). Hypertrophy and enhanced TGF $\beta$ -levels most often correlate with TAK1 induction. This indicates that TAK1 may be involved in hypertrophy promoting effects of TGF $\beta$ . Support for this hypothesis comes from findings of Zhang et al. (2000), who demonstrate that an activating mutation of TAK1 expressed in myocardium of transgenic mice was sufficient to produce cardiac hypertrophy, but it also induces fibrosis and cardiac dysfunction. Activation of the TGF $\beta$ 1-TAK1-p38 MAPK pathway is also found after myocardial infarction and parallels the transcriptional upregulation of cardiac markers for ventricular hypertrophy, beta-myosin heavy chain and atrial natriuretic peptide, thereby indicating that this pathway may be involved in hypertrophic growth processes after myocardial infarction (Matsumoto-Ida et al., 2006) (**Figure 3**). However, direct evidence for TAK1-mediated hypertrophic processes in myocardial infarction has not yet been demonstrated.

Just recently it was shown that disruption of the TAB1 (transforming growth factor- $\beta$  (TGF $\beta$ -activated protein kinase 1 (TAK1)-binding protein 1) /p38 $\alpha$  interaction by cell-permeable peptides limits myocardial ischemia/reperfusion injury by

reduction of apoptotic cardiomyocytes and infarct size when applied 30 min before reperfusion (Wang et al., 2013). Thus, TAK1 might be involved not only in hypertrophy, but also fibrosis and apoptosis after myocardial infarction. In this context, the specific contributions of p38 kinases seem to mediate the non-canonical effects of TGF $\beta$ /TAK-signaling. The pathophysiological role of p38 is summarized in detail in a review by Marber et al. (2011), and, therefore, will not be further discussed at this point.

## Protective TGF $\beta$ -Effects

Until now, we discussed the numerous investigations that demonstrated detrimental effects of TGF $\beta$  in ischemic reperfused myocardium. However, there are also studies that showed cardioprotective effects of TGF $\beta$ 1. As mentioned above, Ikeuchi et al. (2004) revealed a protective time window for TGF $\beta$  in the early phase of myocardial infarction that was related to reduction of inflammatory responses in presence of TGF $\beta$ . Whereas in the later phase transient blockade of TGF $\beta$  was protective, indicating a detrimental role of TGF $\beta$  in late myocardial infarction. The exogenous application of TGF $\beta$  prior to reperfusion protected against cardiac injury, presumably by inhibiting neutrophils from adhering to endothelium (Lefer et al., 1993). Frantz et al. (2008) described a protective role of TGF $\beta$  also at later time points after myocardial infarction. Permanent blockade of TGF $\beta$  increased mortality rates and worsened left ventricular remodeling. This protection went along with alterations in the myocardial matrix. Thus, not only timing but also duration of TGF $\beta$  inhibition seems to influence the impact of TGF $\beta$  in ischemic reperfused myocardium.

To elicit the signaling mechanisms of protective TGF $\beta$  actions several studies on isolated cells or hearts were performed. In isolated cardiomyocytes TGF $\beta$  was shown to prevent hypoxia/reperfusion induced cell death, either attributed to apoptosis or necrosis (Baxter et al., 2001; Dandapat et al., 2008). Baxter and coworkers applied TGF $\beta$  at the beginning of reoxygenation, thereby indicating protection against reperfusion injury. This TGF $\beta$ -induced protection was conveyed by activation of ERK that belongs to the reperfusion injury salvage kinase (RISK)-pathway (Hausenloy and Yellon, 2004).

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Those findings about protective roles of TGF $\beta$  against cardiac cell death are in contrast to findings of apoptosis induction by TGF $\beta$  in cardiomyocytes. There are two main differences between these studies. Protective effects of TGF $\beta$  are found in neonatal or HL1 cells, whereas apoptosis promoting effects are described in adult cardiomyocytes. Thus, the age of animals or cardiomyocytes may change the TGF $\beta$ -responsiveness. Furthermore, the hypoxic, oxygen radical enriched environment might influence the response, as it is shown in HL1 cells. There, TGF $\beta$  acts via an anti-oxidative mechanism under hypoxic conditions (Dandapat et al., 2008).

Another interesting field of TGF $\beta$ -induced cardioprotection comes from stem cell research. Here induction of the regenerative myogenic differentiation potential of bone marrow derived stem cells has been demonstrated (Li et al., 2005). Stem cells that were pretreated with TGF $\beta$  and then implanted intramyocardially had an enhanced regeneration potential in infarcted myocardium and contributed to functional improvements after myocardial infarction.

Only few studies had a deeper look at the signaling molecules that convey TGF $\beta$ -induced protection against myocardial injury. From these studies it can be said that non-canonical TGF $\beta$ -pathways seem to play a predominant role, mediated via ERK, since in intact rat hearts the protective role of TGF $\beta$ -infusion in early reperfusion was blocked by an ERK inhibitor (Baxter et al., 2001).

## Conclusion Remarks

In conclusion, while TGF $\beta$ , applied or released at early times in myocardial infarction, act cardioprotective, most presumably via the non-canonical pathway; main influences of TGF $\beta$ , released at later time points after myocardial infarction, are the induction of apoptosis, hypertrophy, and fibrosis. These processes are conveyed via the SMAD2/3 signaling pathway, microRNAs and TAK1. Thus, targeting the classical SMAD or TAK pathways, or influencing SMAD/microRNA actions may provoke the best options for protection against TGF $\beta$ -induced adverse ischemic remodeling processes resulting in improved heart function after myocardial infarction.

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**Conflict of Interest Statement:** The author declares that the research was conducted in the absence of any commercial or financial relationships that could be construed as a potential conflict of interest.

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