

## Individual Watershed Areas in Sickle Cell Anemia: An Arterial Spin Labeling Study

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Stotesbury H, Hales PW, Hood AM, Koelbel M, Kawadler JM, Saunders DE, Sahota S, Rees DC, Wilkey O, Layton M, Pelidis M, Inusa BPD, Howard J, Chakravorty S, Clark CA and Kirkham FJ (2022) Individual Watershed Areas in Sickle Cell Anemia: An Arterial Spin Labeling Study. Front. Physiol. 13:865391. doi: 10.3389/fphys.2022.865391 Previous studies have pointed to a role for regional cerebral hemodynamic stress in neurological complications in patients with sickle cell anemia (SCA), with watershed regions identified as particularly at risk of ischemic tissue injury. Using single- and multi-inflow time (TI) arterial spin labeling sequences (ASL) in 94 patients with SCA and 42 controls, the present study sought to investigate cerebral blood flow (CBF) and bolus arrival times (BAT) across gray matter, white matter with early arrival times, and in individual watershed areas (iWSAs). In iWSAs, associations between hemodynamic parameters, lesion burden, white matter integrity, and general cognitive performance were also explored. In patients, increases in CBF and reductions in BAT were observed in association with reduced arterial oxygen content across gray matter and white matter with early arrival times using both sequences (all p < 0.001, d = -1.55 - 2.21). Across iWSAs, there was a discrepancy between sequences, with estimates based on the single-TI sequence indicating higher CBF in association with reduced arterial oxygen content in SCA patients, and estimates based on the multi-TI sequence indicating no significant between-group differences or associations with arterial oxygen content. Lesion burden was similar between white matter with early arrival times and iWSAs in both patients and controls, and using both sequences, only trend-level associations between iWSA CBF and iWSA lesion burden were observed in patients. Further, using the multi-TI sequence in patients, increased iWSA CBF was associated with reduced iWSA microstructural tissue integrity and slower processing speed. Taken together, the results highlight the need for researchers to consider BAT when estimating CBF using single-TI sequences. Moreover, the findings demonstrate the feasibility of multi-TI ASL for objective delineation of iWSAs and for detection of regional hemodynamic stress that is associated with reduced microstructural tissue integrity and slower processing speed. This technique may hold promise for future studies and treatment trials.

Keywords: MRI, arterial spin labeling, silent cerebral infarction, cerebral hemodynamics, hemoglobinopathies, cognition, intelligence quotient (IQ), processing speed index

### INTRODUCTION

Sickle cell anemia (SCA) is one of the most prevalent and severe monogenic disorders, with an estimated 20–25 million people affected worldwide (Aygun and Odame, 2012). Historically, SCA was largely characterised by episodes of acute illness, with pain crises often referred to as the "hallmark" complication (Ellison and Shaw, 2007), and interim periods of relative health as "steady-state" periods. However, with increasing lifeexpectancy in high-income countries (Gardner et al., 2016), chronic complications resulting from progressive end-organ disease are increasingly described (Chaturvedi et al., 2018).

Among the most common complications are those affecting the brain, including overt stroke, silent cerebral infarction (SCI), reduction in microstructural white matter integrity, and cognitive impairment (Prussien et al., 2019; Stotesbury et al., 2021). In recent years, evidence that cerebral hemodynamic stress may play a role in brain complications has been accumulating (Jordan and DeBaun, 2018; Stotesbury et al., 2019; Wang et al., 2021). However, several unique and interdependent features of SCA pathology pose considerable challenges to understanding the nature of this role, including a right-shifted oxygen dissociation curve (Milner, 1974; Needleman et al., 1999), altered red cell rheology (Connes et al., 2016; Brousse et al., 2021), reduced oxygen-carrying capacity, and abnormal cerebral blood velocity and flow (CBF) (Behpour et al., 2013; Jordan and DeBaun, 2018).

It is relatively well established that global gray matter CBF is on average elevated in "steady-state" patients with SCA. Elevated CBF occurs in the context of reduced arterial oxygen content (Bush et al., 2016; Stotesbury et al., 2022), indicative of a compensatory mechanism, which appears to maintain oxygen delivery when averaged globally (Chai et al., 2019), but is associated with reduced cerebrovascular reserve (Nur et al., 2009; Prohovnik et al., 2009; Kim et al., 2016; Kosinski et al., 2017; Václavů et al., 2019) and abnormal oxygen extraction fraction (OEF) (Jordan et al., 2016; Bush A. M. et al., 2018; Fields et al., 2018; Vaclavu et al., 2018; Watchmaker et al., 2018). Although several studies also indicate that global white matter CBF is on average elevated (Helton et al., 2009; Fields et al., 2015, 2018; Stotesbury et al., 2022), the elevation appears to be lower than that observed for gray matter, and may therefore be insufficient to maintain oxygen delivery during acute illness (e.g., acute anemic events, acute chest syndrome), particularly in the deep watershed white matter, where vascular supply and CBF are already inherently low (Stotesbury et al., 2018a, 2019).

Results from one relatively recent study in adolescents and young adults are consistent with this notion, and suggest that global white matter oxygen delivery is significantly reduced even in "steady-state" patients compared to controls (Chai et al., 2019). Using arterial spin labeling (ASL), the authors found significantly elevated global gray matter CBF in patients, but no differences in global white matter CBF, potentially indicating inadequate compensatory vasodilation in white matter. Importantly, through t-score maps, the authors showed the reduction in white matter oxygen delivery to be disproportionate in regions corresponding visually with the deep watershed regions that appear to be particularly vulnerable to SCI and microstructural injury (Chai et al., 2019). Taken together, these findings are consistent with the "perfusion paradox" that has been described in other vascular beds, with hyper-perfusion in the macro-circulation, and relative hypo-perfusion in the microcirculation (Nath et al., 2004).

Further indicative of regional vulnerability to hemodynamic stress, two recent studies showed considerable overlap between the areas of highest SCI density and lowest CBF (Ford et al., 2018) and highest OEF (Fields et al., 2018) in independent SCA cohorts. The "at-risk" regions identified in both studies again corresponded visually with the deep watershed regions. Both studies used the traditional pediatric Silent Infarct Transfusion Trial definition of SCI, requiring an area of abnormally high signal intensity on T2weighted and/or fluid-attenuated inversion recovery (FLAIR) magnetic resonance imaging (MRI), measuring at least 3 mm in greatest dimension, visible on two planes, and with no corresponding focal neurological deficit. The studies also respectively based their SCI density map on low-resolution images from the Silent Infarct Transfusion Trial (Ford et al., 2018) or a combination of low- and high-resolution images performed for clinical care over a 10-year period (Fields et al., 2018). Studies relying on lower field strength magnets (i.e. 1.5T) and lower-resolution sequences (i.e. 3-5 mm slice thicknesses) are by definition likely to miss some lesions meeting traditional SCI criteria, and there is evidence that lesion detectability increases with increasing magnetic field strength (van der Land et al., 2015b). Moreover, both studies used manual delineation of lesions and both thresholded their density maps, either to include SCIs from 90% of the cohort, or to include only areas of SCI occurring in two or more participants. It is therefore likely that smaller white matter hyperintensities, and/or hyperintensities occurring in less commonly affected regions, were excluded and/or missed.

Further, prior hemodynamic MRI studies in patients with SCA have primarily used phase-contrast imaging (Vu et al., 2021), dynamic susceptibility contrast imaging (Kirkham et al., 2001) or ASL data collected at a single inflow time (single-TI, Bush A. et al., 2018; Chai et al., 2019; van den Tweel et al., 2009). Whilst dynamic susceptibility contrast imaging requires injection of a gadolinium-based contrast agent, and phase-contrast imaging only allows for whole-brain estimation of CBF in major arteries, single-TI ASL techniques allow for non-invasive estimation of CBF on a voxel-wise basis but rely on a number of assumptions. In single-TI ASL, radiofrequency pulses are applied to magnetically label blood water in the cervical arteries. After a few seconds (the inflow time, TI) the magnetically labeled blood water flows into and exchanges with brain tissue water. An image is then acquired and subtracted from a control image with no labeled blood water.

The signal difference is used to provide an estimate of the amount of blood that has been delivered at that particular inflow time.

Although there have been relatively few studies in clinical populations, multi-TI ASL techniques eliminate the need for assumptions about arterial transit times by acquiring images at multiple TIs, allowing the passage of labeled blood water to be fully quantified on a voxel-wise basis (Buxton et al., 1998). In the multi-TI studies that have been conducted to date, patients with SCA have been shown to exhibit increased CBF and reduced bolus (i.e. blood) arrival times (BAT) across gray (Juttukonda et al., 2017; Kawadler et al., 2018; Stotesbury et al., 2022) and white matter (Stotesbury et al., 2022). Interestingly, across highsignal regions of the sagittal sinus, both CBF and BAT have been found to be increased (Stotesbury et al., 2022), potentially reflecting increased resistance in the microcirculation secondary to abnormal erythrocyte rheology (Stotesbury et al., 2022) and functional shunting (Østergaard et al., 2016; Juttukonda et al., 2019). Using multi-TI ASL, increased CBF has also been associated with worse cognitive performance across white matter and high-signal sagittal sinus regions, but not across gray matter (Stotesbury et al., 2022).

Theoretically, BAT maps can be used to extract, physiologically rather than visually, individual watershed white matter regions (iWSAs) with late inflow times. Despite accumulating evidence indicating that these regions may be particularly vulnerable to hemodynamic stress, SCI, and microstructural injury in several populations with cerebrovascular disease, including SCA, iWSAs have so far primarily been explored using dynamic susceptibility contrast imaging techniques in elderly populations with carotid artery stenosis (Kaczmarz et al., 2021). This is in part because ASL still suffers from relatively low signal to noise ratio, and the signal in deep white matter may be unreliable. Low signal to noise ratio is however likely to be less of an issue in populations with higher CBF, such as healthy children and young adults, as well as patients with SCA of all ages. Moreover, it is possible to identify and remove voxels with unreliable signal prior to analysis (Wu et al., 2013).

Building on this work, the purpose of this study was therefore to use both single-TI and multi-TI ASL to compare CBF and BAT in relation to arterial oxygen content in three regions of interest (ROIs) in patients with SCA and controls; gray matter, white matter with early arrival times, and for the first time using ASL, in iWSAs. Based on the aforementioned studies, we hypothesised that while CBF would be increased and BAT reduced in grey matter and white matter with early arrival times in patients with SCA, there would be no between group differences in iWSAs. Lesions burden in iWSAs was also compared between patients and controls, and associations between hemodynamic parameters, lesion burden, white matter integrity and cognitive outcome were explored in this region.

#### METHODS

#### **Participants**

Patients were recruited to two concurrent studies with overlapping MRI and cognitive assessment protocols between 2015 and 2019: the Sleep Asthma Cohort follow-up (SAC) (Rosen et al., 2014) and the Prevention of Morbidity in Sickle Cell Anemia baseline investigation (POMS) (Howard et al., 2018). Controls were siblings and race-matched peers (i.e. Black British) of patients recruited to SAC. Patients were ineligible for POMS study participation if they were receiving nocturnal respiratory support at the time of enrollment, participating in a clinical trial evaluating blood transfusion or oxygen therapy, or had chronic lung disease (other than asthma) or existing respiratory failure. Additional exclusion criteria for the POMS study were hospital admissions for acute sickle complications within 1 month of enrollment, more than six hospital admissions for acute sickle complications within 12 months of enrollment, overnight oximetry showing mean overnight saturation of less than 90% for more than 30% of total sleep time, severe sleep apnea defined by 4% oxygen desaturation index >15/h, and chronic blood transfusion or transfusion within 3 months of enrollment. For the SAC study, patients were enrolled without regard to past sickle- or sleep-related morbidity or transfusion status. We have previously described average ASL parameters across grey matter, white matter, and high signal regions of the sagittal sinus in this sample of participants (Stotesbury et al., 2022).

#### **Cognitive and Socio-Economic Measures**

Intelligence quotient (IQ) was estimated using the two-subtest Wechsler Abbreviated Scale of Intelligence (WASI; POMS participants, Wechsler, 2013), the Wechsler Intelligence Scale for Children (WISC-IV; SAC participants <16 years, Wechsler, 2008), or the Wechsler Adult Intelligence Scale (WAIS-IV; SAC participants >16 years, Wechsler, 2008). Subtests from the WISC-IV or WAIS-IV measuring working memory and processing speed were used to calculate composite indices (Working Memory Index and Processing Speed Index, respectively). Participants were assessed as close to the date of MRI as possible, with 76% undergoing both on the same day, and all undergoing both within 4.5 months.

#### **Hematological Measures and Treatment**

In patients, hydroxycarbamide/hydroxyurea use, chronic transfusion regimens, and the closest routine full blood count to date of MRI were collected from relevant medical records. In controls, hematocrit and hemoglobin were estimated based on age and sex using appropriate literature values (Castro et al., 1987). Peripheral oxygen saturation was recorded using a pulse oximeter. For four patients with missing hemoglobin, and seven controls with missing oxygen saturation, group means were substituted. Assuming pO2, the partial pressure of oxygen, is 100 Torr in alveoli and arterial blood in subjects breathing room air, arterial oxygen content was calculated as:

 $(1.34 \times \text{Hemoglobin } (g/dl) \times \% \text{Oxygen Saturation}) + (0.003 \times \text{pO}_2)$ 

#### **MRI** Acquisition

MRI was performed on a 3T Siemens Prisma (Erlangen, Germany) MRI scanner with 80mT/m gradients and a 64-channel receive head coil at Great Ormond Street Children's Hospital. The protocol included:

- a prototype single-TI pseudo-continuous ASL (pCASL) acquisition, with background suppression, and a 3D gradient-and-spin-echo readout (repetition time (TR) = 4,620 ms, echo time (TE) = 21.8 ms, labeling duration = 1800 ms, post-labeling delay = 1500 ms, repetitions = 10, field of view = 220 mm, matrix size =  $64 \times 62$ , in-plane resolution =  $1.7 \times 1.7$  mm (after x2 zero-filling), number of partitions = 24, slice thickness = 4.0 mm, turbo factor = 12, echo-planar imaging (EPI) factor = 31, segments = 2, with parallel imaging, generalized autocalibrating partial parallel acquisition (GRAPPA) acceleration factor = 2). A proton-density weighted (M0) image was also acquired (TR = 4000 ms), with specifications identical to the pCASL acquisition but with the labeling pulses removed. Total acquisition time was 3 min 19 s.
- a prototype multi-TI pulsed ASL (PASL) acquisition, with background suppression, and a 3D gradient-and-spin-echo readout (10 inflow times with one acquisition per TI, ranging 350–2600 ms in 250 ms intervals, and TR of 3,300 ms; all other readout parameters were identical to the pCASL acquisition. Q2TIPS (Luh et al., 1999) RF pulses were applied 700 ms after the labeling pulse to define the temporal width of the bolus. As for the single-TI acquisition, a proton-density weighted map was acquired (TR = 4000 ms) with labeling removed. Total acquisition time was 2 min 25 s
- an axial diffusion-weighted 2D spin-echo multi-shot acquisition with echoplanar imaging readout (TR = 3,050 ms, TE = 60 ms, 2 shells at b = 1,000 s/mm2 and b = 2,200 s/mm2 with 60 non-collinear diffusion directions per shell and 13 interleaved b = 0 images, voxel size =  $2 \times 2 \times 2$  mm)
- a coronal high-resolution 3D FLAIR sequence (TR = 5000 ms, TE = 395 ms, voxel size = 0.65 × 0.65 × 1.0 mm, scan time = 6 min 22 s),
- an axial 2D T2-w turbo spin echo sequence (TR = 8420 ms, TE = 68 ms, voxel size = 0.50 × 0.50 × 4.0 mm, scan time = 2 min, 50 s)
- a T1-w magnetization-prepared rapid acquisition gradient echo sequence (TR = 2,300 ms, TE = 2.74 ms, TI = 909 ms, flip angle = 8°, voxel size = 1 × 1 × 1 mm, scan time = 5 min, 21 s)
- 3D time-of-flight magnetic resonance angiography (TR = 21.0 ms, TE = 3.4 ms, scan time = 5 min, 33 s).

#### **Radiological Measures**

A neuroradiologist (D.S.) diagnosed lesions as regions of abnormally high signal intensity, consistent with ischemia, visible in two planes on FLAIR and T2-weighted MRI, in the absence of overt focal neurological symptoms. Clearly distinguishable lesion mimics (e.g. perivascular spaces) were excluded. Generous masks were manually drawn around the identified lesions on native FLAIR images, before FLAIR and T1-weighted images were bias-corrected using Statistical Parametric Mapping (Wellcome Trust Centre for Neuroimaging, London, UK; http://www.fil.ion.ucl.ac.uk/spm), and linearly affinealigned with nearest neighbour interpolation using the FMRIB Software Library (FSL, Oxford, UK; https://fsl.fmrib.ox.ac.uk/fsl/ fslwiki/FSL). As in prior studies (van der Land et al., 2015a), for each participant the mean FLAIR intensity across the cortex was calculated, and a lower threshold was used to determine which voxels within lesion ROIs should be included in a lesion burden mask:

(1) LowerThresholdflair = 1.02 x meanFLAIRcortex

#### **Hemodynamic Parameters**

As described in prior ASL studies in this cohort (Stotesbury et al., 2022), raw single-TI and multi-TI ASL images were inspected, and excluded if there were major motion-related artifacts. As ASL model fitting requires a value representing the T1 relaxation rate of blood  $(T1_{bl})$ , and  $T1_{bl}$  is dependent on hematocrit and oxygen saturation, estimated values were calculated based on measured (patients) or estimated (controls) hematocrit and measured oxygen saturation (Hales et al., 2016).

In-house MATLAB scripts were used to pre-process the raw ASL images. Single-TI images were co-registered using the FSL *flirt* tool (version 6.0; FMRIB, Oxford, UK; https://fsl.fmrib.ox.ac.uk/), with affine registrations (12 degrees of freedom) derived from a correlation ratio cost function. The mean single-TI pCASL difference signal was calculated over the 10 co-registered repetitions, and used to estimate CBF following the method described in Alsop et al. (2015), with  $\lambda = 0.9$ ,  $\alpha = 0.85$ ,  $\tau = 1.8$ , and patient-specific estimates of T1<sub>bl</sub> (mean SCA = 1.99 s, standard deviation, sd SCA = 0.09 s, mean control = 1.77 s, sd control = 0.07 s).

For the multi-TI images, where motion occurred at later TIs (>1.35 s), images were co-registered using the FSL flirt tool, with affine registrations as described for the single-TI images. Where motion occurred at earlier inflow times with lower signal to noise ratio, datasets were excluded. To remove voxels with unreliable signal, four square-shaped ghost-free background ROIs with a width of 5 voxels were created and placed in each corner of the difference image timeseries. The mean and standard deviation of ghost-free background were computed. Reliable voxels were defined as those where the difference signal was at least greater than the mean + one standard deviation of ghost-free background on at least one image in the timeseries (Wu et al., 2013). The PASL difference signal was used to fit voxel-wise values of CBF and BAT using the general kinetic model described in Buxton et al. (1998), with  $\lambda = 0.9$ ,  $\alpha = 0.98$ , and  $\tau = 0.7$ , and patient-specific estimates of T1<sub>bl</sub> (values as for single-TI above).

#### **Microstructural Parameters**

Diffusion weighted images were visually inspected, and excluded in the event of major motion-related artifacts. Diffusion data were then pre-processed using MRtrix3 (version 3.0; https://www. mrtrix.org) (Tournier et al., 2019). To denoise the data, the MRtrix3 *dwidenoise* tool was applied as the first step of preprocessing. Next, the MRtrix3 *mrdegibbs* tool was applied to remove Gibbs ringing artifacts (Kellner et al., 2016). Susceptibility-induced distortions and eddy current artifacts were then corrected using the MRtrix3 *dwipreproc* tool, which includes wrappers to FSL tools such as *topup* and *eddy* (Smith et al., 2004). Finally, a B1 field inhomogeneity correction was





applied using the MRtrix3 *dwibiascorrect* tool (Kellner et al., 2016). To obtain fractional anisotropy parameter brain maps, a brain mask was obtained using the MRtrix3 *dwi2mask* tool, then voxel-wise diffusion tensor fitting was performed using the MRtrix3 *dwi2tensor* tool.

## **Regions of Interest**

Fractional anisotropy maps, the mean of the unlabeled single-TI images, and the multi-TI image with the longest inflow time (i.e.

TI = 2600 ms) were aligned with T1w images using ANTs rigid and deformable transforms (i.e. antsRegistrationSyn; https:// github.com/ANTsX/ANTs) with default settings.

To create binary masks for gray and white matter ROIs, cortical reconstruction and volumetric segmentation were performed on T1-w images using Freesurfer (Center for Biomedical Imaging, Massachusetts, USA; http://surfer.nmr. mgh.harvard.edu/). To correct for potential partial volume effects, gray and white matter masks were eroded using a



kernel box with a width of 2 voxels in FSL. To extract iWSAs, a lower threshold corresponding to the 70th percentile of voxels within the eroded white matter masks was applied to each participant's BAT map (**Figure 1**, **Figure 2**). Remaining voxels within the eroded white matter masks were then used to generate the early arrival time white matter ROI (**Figure 1**).

## **Statistical Analysis**

Mean CBF and BAT values were extracted across ROIs for both sequences and, after assessing normality (Shapiro-Wilk test), compared between patients with SCA and controls using Student's t-tests for normally, and Mann-Whitney U tests for non-normally, distributed variables on a region-by-region basis. Multiple comparisons were corrected for using the false discovery rate procedure (Benjamini and Hochberg, 1995) within groups (i.e., patients, controls) and across parameter maps (i.e., single-TI CBF, multi-TI CBF, and multi-TI BAT).

Lesion load in iWSAs was compared between patients with SCA and controls using Mann-Whitney U tests. Relationships between age- and sex-adjusted CBF across iWSAs and lesion burden, age- and sex-adjusted iWSA FA, and cognitive performance were explored using Spearman's Rho for nonnormally, and Pearson's correlations for normally, distributed variables. For any variables that were significantly associated with cognitive performance in patients or controls, further exploratory regression models were computed in the relevant group. Based on prior studies (Stotesbury et al., 2018b), pre-selected covariates comprising iWSA fractional anisotropy, lesion volume, arterial oxygen content, age, sex, total intracranial volume, and education deciles, were included in all models, and model assumptions and variance inflation factors were assessed.

For any hemodynamic parameter that was significantly associated with both microstructural integrity (i.e. fractional anisotropy) across iWSAs and cognitive outcome, exploratory causal mediation analysis was performed, with the relevant hemodynamic factor as the independent variable, and iWSA fractional anisotropy as the mediator. Age and sex were included as covariates. The significance of the indirect mediation effect was tested using bootstrapping procedures in the R package "mediate" (Tingley et al., 2014). Unstandardised indirect mediation effects were computed for each of 1,000 bootstrapped samples, and the 95% confidence interval was computed by determining the indirect effects at the 2.5th and 97.5th percentiles.

Across all analyses, a probability threshold of <0.05 was considered statistically significant. Effect sizes were estimated using Kendall's W(w), Cramér's-phi ( $\varphi$ ), Cohens d (d), and correlation coefficients (r), and interpreted using Cohen's conventions (small d = 0.2, w/ $\varphi$ /r = 0.1, medium d = 0.5,/w/ $\varphi$ /r/ = 0.3, large d = 0.8, w/ $\varphi$ /r = 0.5) (Cohen, 1988).

**TABLE 1** Sample demographics. Values are summary and test statistics for all included participants with usable single and/or multi inflow time ASL data. Abbreviations: SCA, sickle cell anemia; sTI, single inflow time sequence; mTI, multi inflow time sequence; CBF, cerebral blood flow; BAT, bolus arrival time; iWSA, individual watershed areas; SD, standard deviation; IQR, interquartile range; p, probability values for between-group differences. Significant between-group differences are shown in bold.

|  | SCA ( <i>n</i> = 94) | Control ( <i>n</i> = 42) | between-group differences      |  |
|--|----------------------|--------------------------|--------------------------------|--|
| Demographic Variables                                    | Count (percentage)   |                          |                                |  |
| Sex  | 46 Male (48.94%)     | 16 Male (38.10%)         | $p = 0.32, \varphi = 0.08$     |  |
| Age (yr)   | 16.67 (13.32–19.89)  | 17.33 (14.57-20.48)      | p = 0.65, r = 0.04             |  |
| Education Decile   | 5 (4–7)              | 5 (4–6)                  | <i>p</i> = 0.72, r = 0.03      |  |
| Hematological Variables                                  | 11.79 (10.39–12.92)  | 17.69 (17.42–18.22)      | <i>p</i> < 0.0001, r = 0.79    |  |
| Arterial oxygen content (CaO <sub>2,</sub> mL/d <b>)</b> |                      |                          |                                |  |
| Radiological Variables                                   |                      |                          |                                |  |
| Lesions  | 45                   | 10                       | 10 <b>ρ = 0.0005, φ = 0.21</b> |  |
| Lesion burden (1 mm <sup>3</sup> voxels)                 | 75 (26–188.0)        | 15 (4–34.5)              | p = 0.006, 0.24                |  |
| Cognitive Variables                                      | Mean (SD)/Me         |                          |                                |  |
| Intelligence quotient (IQ)                               | 92.63 (13.44)        | 98.10 (12.00)            | <i>p</i> = 0.02, d = 0.43      |  |
| Working memory index (WMI)                               | 91.73 (13.69)        | 99.24 (13.48)            | <i>p</i> = 0.004, d = 0,55     |  |
| Processing speed index (PSI)                             | 89.49 (12.96)        | 97.55 (13.17)            | <i>p</i> = 0.001, d = 0.62     |  |
| Hemodynamic Variables                                    | Mean (SD)/Me         |                          |                                |  |
| sTI ASL  | n = 89               | n = 42                   |                                |  |
| Grey Matter CBF (ml/100 g/min)                           | 54.06 (7.78)         | 42.52 (7.13)             | <i>p</i> < 0.0001, d = -1.55   |  |
| White Matter-earlier arrival CBF (ml/100 g/min)          | 34.12 (4.82)         | 26.08 (4.85)             | <i>p</i> < 0.0001, d = -1.66   |  |
| White Matter-iWSA CBF (ml/100 g/min)                     | 24.11 (4.41)         | 16.85 (3.95)             | <i>p</i> < 0.0001, d = -1.73   |  |
| mTI ASL  | n = 80               | n = 36                   |                                |  |
| Grey Matter CBF (ml/100 g/min)                           | 129.02 (22.26)       | 89.62 (11.83)            | <i>p</i> < 0.0001, d = -2.21   |  |
| White Matter-earlier arrival CBF (ml/100 g/min)          | 73.80 (14.55)        | 49.52 (8.39)             | <i>p</i> < 0.0001, d = -2.04   |  |
| White Matter-iWSA CBF (ml/100 g/min) <sup>a</sup>        | 59.54 (14.61)        | 55.13 (13.14)            | ρ = 0.11, d = -0.32            |  |
| Grey Matter BAT (s)                                      | 0.72 (0.66–0.75)     | 0.82 (0.77–0.89)         | <i>p</i> < 0.0001, r = 0.53    |  |
| White Matter-earlier arrival BAT (s)                     | 0.74 (0.70–0.80)     | 0.85 (0.82-0.92)         | ρ < 0.0001, r = 0.50           |  |
| White Matter-iWSA BAT (s)                                | 1.51 (1.36–1.69)     | 1.86 (1.71–2.05)         | <i>p</i> < 0.0001, r = 0.53    |  |

<sup>a</sup> descriptive statistics and between-group differences in WSA CBF reported with outlying control (**Figure 5**) excluded. Note that although including the outlier skews the mean in controls (m = 57.24, sd = 18.14), between-group differences remain non-significant (p = 0.51).

## RESULTS

## **Participants**

As described in prior ASL studies in this cohort (Stotesbury et al., 2022), of 172 recruited participants, 94 patients (aged 8–27 years, 46 male, 93 homozygous for hemoglobin S (HbSS), 1 HbSb<sub>0</sub>-thalassemia) and 42 controls (age 8–30 years, 16 male, 26 siblings, 23 HbAA, 2 HbAC, 17 HbAS/sickle cell trait) had useable single-TI and/or multi-TI ASL data (**Figure 3**). Of the included participants, three patients had no cognitive data, seven patients received chronic blood transfusion, and 34 were prescribed hydroxycarbamide.

Lesions were detected in 45 patients and 10 controls, while 2 patients had large vessel vasculopathy (1 right internal carotid narrowing, 1 right middle cerebral artery stenosis). Arterial oxygen content was lower in patients with SCA compared to estimated values in controls, but there were no differences between patients and controls in age, sex, or education deciles (**Table 1**).

Cognitive performance was reduced in patients compared to controls, with average Wechsler scores 5 IQ points, 7 Working Memory Index points and 8 Processing Speed Index points lower than controls (all p < 0.05; **Table 1**). Cognitive performance in controls did not significantly differ as a function of sickle cell trait (all p > 0.05).

#### **Hemodynamic Parameters**

In both groups, after removal of voxels with low signal to noise ratio, visual inspection of the raw kinetic curves indicated relatively robust multi-TI ASL signal across ROIs, though signal was noticeably lower in controls in the iWSA region (**Figure 4**). Mean CBF was significantly higher in patients compared to controls across gray matter and white matter with early arrival times, irrespective of sequence (all adjusted p < 0.001, **Table 1**, **Figure 5**), with large effects sizes. Across iWSAs, there was a discrepancy between sequences (**Table 1**; **Figure 5**); estimates based on the single-TI sequence indicated significantly higher mean CBF in patients with SCA with a large effect size (adjusted p < 0.001), whereas estimates based on the multi-TI sequence indicated non-significantly higher CBF in patients with SCA (adjusted p = 0.20).

Using both sequences, mean BAT was significantly shorter in patients with SCA compared to controls across all ROIs (all adjusted p < 0.001, **Table 1**, **Figure 5**). Effect sizes were again large. Differences in BAT were on average greatest across iWSAs, where the mean BAT in both groups (**Table 1**) was longer than the post-labeling delay of the single inflow time sequence (i.e. 1500 ms).

All comparisons remained significant in the same direction when the two patients with large vessel vasculopathy were removed from the sample. There were no significant



differences in CBF or BAT across any ROI between controls with and without sickle cell trait (all p > 0.05).

## **Associations With Arterial Oxygen Content**

In patients, age- and sex-adjusted mean CBF based on both the single-TI and multi-TI sequence was negatively correlated with arterial oxygen content across gray matter and white matter with early arrival times (all adjusted p < 0.001, **Figure 6**). Across iWSAs, there was a discrepancy between sequences again; arterial oxygen content was negatively correlated with age- and sex-adjusted mean CBF based on the single-TI sequence (adjusted p < 0.001, **Figure 6**), but there was no association with CBF based on the multi-TI sequence (adjusted p = 0.47, **Figure 6**). Age- and sex-adjusted mean BAT was positively correlated with arterial oxygen content across all ROIs irrespective of sequence, (all adjusted p < 0.001, **Figure 6**). Effect sizes were medium to large.

## Associations between parameters across iWSA and lesion burden, microstructural integrity, and cognitive outcome

There were no significant differences in lesion burden between white matter with early arrival times and iWSAs in patients with SCA or controls (all p > 0.05, **Figure** 7). The median proportion of classified lesion voxels in iWSAs was also not significantly different between groups (p = 0.49, **Figure** 7). Moreover, there were no significant correlations between hemodynamic parameters across iWSA and iWSA lesion burden in patients or controls. However, in patients there were trend-level positive

correlations between age- and sex-adjusted iWSA CBF and iWSA lesion burden based on both the single-TI (rho = 0.32, p = 0.059) and multi-TI (rho = 0.32, p = 0.069) sequences.

There were no associations between age- and sex-adjusted fractional anisotropy across iWSAs and age- and sex-adjusted CBF using the single-TI sequence or BAT using the multi-TI sequence (both p > 0.05, Figure 7) in either group. In patients, there was however a small but significant negative correlation in this region between decreases in age- and sex-adjusted fractional anisotropy and increases in age- and sex-adjusted CBF using the multi-TI sequence (p = 0.04, Figure 7). Further, in patients with SCA, age- and sex-adjusted mean iWSA CBF based on the multi-TI sequence was negatively correlated with Processing Speed Index (p = 0.03, Figure 8), and there was a trend level negative correlation with IQ (p = 0.09). Echoing these results, multiple linear regression analysis with Processing Speed Index as the dependent variable found negative effects of CBF based on the multi-TI sequence across iWSAs (p = 0.04) and positive effects of fractional anisotropy across this region (p = 0.03, Table 2), with small effect sizes. Additional positive effects of arterial oxygen content (p = 0.04) and negative effects of male sex (p = 0.01) were observed, along with trend-level effects of age (p = 0.07), and education deciles (p = 0.06). No further associations between hemodynamic parameters across iWSAs and cognitive performance were observed.

Given that CBF across iWSAs was significantly associated with both fractional anisotropy across iWSAs and PSI, an exploratory causal mediation analysis was performed. As **Figure 8** illustrates, the regression coefficients between iWSA CBF and iWSA FA, and between iWSA fractional anisotropy and Processing Speed Index,



were significant. The effect of CBF across iWSAs on Processing Speed Index was partially mediated by fractional anisotropy across iWSAs. The bootstrapped unstandardised indirect mediation effect was -0.06, (95% CI = -0.16--0.01, p = 0.02), with 27.2% of the effect of iWSA CBF on Processing Speed Index mediated by iWSA fractional anisotropy (**Figure 8**).

## DISCUSSION

The present study sought to investigate CBF and BAT across gray matter, white matter with early arrival times, and for the first time using ASL, in iWSAs in patients with SCA and controls. In iWSAs, associations between hemodynamic parameters, lesion burden, white matter integrity, and cognitive outcome were also explored. In patients, increases in CBF and reductions in BAT were observed in association with reduced arterial oxygen content across gray matter and white matter with early arrival times using both sequences. Across iWSAs, there was a discrepancy between sequences, with estimates based on the single-TI sequence indicating higher CBF in association with reduced arterial oxygen content in SCA patients, and estimates based on the multi-TI sequence indicating no significant between group differences or associations with arterial oxygen content. Lesion burden was similar between white matter with early arrival times and iWSAs in both patients and controls, and using both sequences, only trend-level associations between iWSA CBF and iWSA lesion burden were observed in patients. Further, using the multi-TI sequence in patients, increased CBF across iWSAs was associated with reduced microstructural tissue integrity and slower processing speed.

## **Hemodynamic Parameters**

As in prior work (Stotesbury et al., 2022), estimates of CBF based on the multi-TI sequence were increased relative to those based on the single-TI sequence across all regions. This was anticipated, given that the multi-TI sequence captures the flow of labeled water through the large arteries at early arrival times, whereas the single-TI sequence captures flow at a later time, when in healthy parenchyma the labeled water has typically reached the capillary beds. One caveat is that in regions where the blood arrival time is later than the post labeling delay of the single-TI sequence, CBF may be under-estimated.

Despite these differences, in line with prior work, across gray matter and white matter with early arrival times, results based on both ASL sequences indicated higher CBF and shorter BAT in association with reduced arterial oxygen content in patients with SCA. Whilst this was also true for CBF based on the single-TI



sequence across iWSAs, the multi-TI sequence indicated no significant differences in CBF between groups in this region, and no association with arterial oxygen content. BAT was again shorter in association with reduced arterial oxygen content in patients across iWSAs, but there was greater variability in patients, and in both groups, average iWSA BAT was longer than the post-labeling delay of the single-TI sequence. This finding suggests that arrival times in iWSAs were too long for the single-TI sequence to adequately capture CBF, and likely explains the discrepancy in results between sequences, underscoring the need for CBF estimates to take BAT into account, as well as the utility of multi-TI ASL for exploration of hemodynamic parameters in these regions.

Taken together, these results support and extend those of prior studies reporting increased gray and white matter CBF and shorter BAT in association with reduced arterial oxygen content in patients with SCA (Bush et al., 2016; Kawadler et al., 2018; Stotesbury et al., 2022). The findings are consistent with the notion that CBF is increased to compensate for reduced blood oxygenation. The findings are however also in agreement with a prior study reporting relatively reduced CBF in regions visually consistent with the deep watershed white matter in patients with SCA (Chai et al., 2019). Here, in a much larger sample and for the first time using ASL, iWSAs were defined physiologically on the basis of individual BAT measurements rather than visually. The resulting iWSA maps fell within the expected regions, demonstrating the feasibility of non-invasive individual iWSA segmentation using multi-TI ASL in patients with SCA. Given that others have reported, albeit visually, that watershed regions continue to exhibit signs of hemodynamic stress following a transfusion (Guilliams et al., 2018), delineation of iWSAs may provide a promising approach in future studies of established and novel treatments, for example in patients who have progressive neurological damage despite adequate transfusion therapy (Scothorn et al., 2002; Hulbert et al., 2011).

In line with the Chai et al. (2019) study, the results from this study similarly suggested relatively reduced CBF in iWSAs, potentially indicating a loss of autoregulatory control in watershed regions. Given that the watershed regions are fed by vessels that are long, narrow, and sparsely distributed, this may be in part due to anatomical constraints, though it is also possible that exhaustion of autoregulatory mechanisms combined with altered capillary/venular flow patterns and functional shunting exacerbate the effect in patients with SCA (Juttukonda et al., 2021; Østergaard et al., 2016; Stotesbury et al., 2019, 2022). The absence of a relationship between CBF and arterial oxygen content observed in this region supports this notion, and may suggest oxygen supplydemand mismatch as a result.



#### controls (shown in blue). Values are Spearman's correlation coefficients (rho) and p-values (p).

## **Associations With Lesions**

However, contrary to expectations and prior work, lesion burden was not significantly greater in iWSAs compared to white matter with earlier arrival times in patients with SCA or controls. The discrepancy with prior studies in terms of lesion distribution may relate to our use of a high-resolution FLAIR sequence, which likely increased lesion detectability. Increased lesion detectability is consistent with the relatively high proportion of controls detected with lesions, as seen in other high resolution MRI studies of patients with SCA (Chai et al., 2019). While prior studies used manual delineation of lesions, we also used a semiautomated pipeline, which ensured that objective intensity criteria were applied. Use of a minimum length requirement for lesions in prior studies, and prior heat-map methods involving manual delineation of lesions with exclusion of lesions in less commonly affected regions, may also have played a role (Fields et al., 2018; Ford et al., 2018).

The absence of a spatial relationship between lesions and iWSAs suggests that ischemic tissue injury is not solely explicable by an exacerbation of the watershed effect in patients with SCA, though this may play a role in some patients, particularly during acute illness and/or in patients with severe flow-limiting vasculopathy. Prior

work has demonstrated acute ischemic lesions on diffusion weighted imaging in watershed regions in both acutely ill and steady-state patients with SCA, with only some of these transitioning to lesions observable on FLAIR (Dowling et al., 2012; Quinn et al., 2013). Acute drops in oxygen delivery during illness, in combination with inefficient oxygen extraction secondary to hyperemic flow and/or altered capillary/venular flow patterns, may plausibly play a role in some patients.

It is notable that whilst the majority of patients with SCA and controls with lesions did have at least some lesion burden in iWSAs, there was substantial heterogeneity, along with a subset of patients (n = 7, 20% of patients with lesions) with lesion voxels in white matter regions with early arrival times only. It is unclear whether other mechanisms are at play altogether in this subset of patients (Dowling et al., 2009), such as embolization related to shunting through the heart or lungs (Dowling et al., 2010, 2017; Dowling and Ikemba, 2011), or changes related to posterior reversible encephalopathy syndrome. There has been discussion of potential "hyper-hemolytic-endothelial and dysfunction" "hyper-viscose-vaso-occlusive" subphenotypes of SCA (Connes et al., 2016; Hebbel, 2011; Kato et al., 2007, 2017). Much more work is however required to



understand the etiology of lesions in all patients as well as in controls. Given that lesions are not detected at the time they occur, longitudinal multi-modal studies investigating potential hematologic and hemodynamic factors involved in transition from acute to established lesions, along with potential downstream effects on tissue structure and function, are likely to be most useful.

Further challenging the notion that ischemic tissue injury is primarily a result of an exacerbation of the watershed effect in SCA, only trend-level associations between lesion burden in iWSAs and iWSA CBF were observed, and the associations were positive rather than negative. The "functional shunting" model offers a possible explanation for this counterintuitive finding (Østergaard et al., 2016). According to this model, during rest in healthy populations, capillary flow is heterogenous, limiting net oxygen extraction, referred to as "functional shunting". When CBF increases in relation to cortical activation, flow homogenises, facilitating more efficient oxygen unloading. Within this model, capillary dysfunction that disrupts capillary flow patterns, increasing their heterogeneity, or disrupting their homogenization, is postulated as a potential mechanism that may decrease oxygen extraction efficacy, leading to ischemic injury even in the absence of flowlimiting pathology or hypoxic exposure, as is observed in other populations with cerebral small vessel disease (Østergaard et al.,

2016). Importantly, the model proposes that as capillary dysfunction worsens, increases in CBF may not only no longer maintain tissue oxygen tension, but also paradoxically reduce it, by exacerbating functional shunting (Østergaard et al., 2016). Under such conditions where capillary transit time heterogeneity cannot be reduced, the model proposes that only the suppression of CBF can reduce functional shunting.

# Associations With Microstructural Integrity and Cognitive Outcome

Consistent with the notion that increased flow may be nonnutritive, higher CBF across iWSAs was associated with reduced fractional anisotropy and slower processing speed in patients with SCA. These findings were echoed in a regression model where independent effects of both increased iWSA CBF and reduced iWSA FA on processing speed were observed. These findings support and extend those of prior DTI studies reporting tissue integrity reductions that appear to be functionally significant in patients with SCA (Kawadler et al., 2015; Stotesbury et al., 2018b; Jacob et al., 2020; Chai et al., 2021). They are also in line with one recent study reporting an association between increased OEF and increased mean diffusivity in SCA patients (Wang et al., 2021). The study TABLE 2 | Regression Models. Values are unstandardised regression coefficients (b), standardised regression coefficients (β), probability values (p), partial correlation coefficients (r), and 95% confidence intervals (CI) from regression models in patients with sickle cell anemia (SCA). Abbreviations: multiTI, multi inflow time sequence; CBF, cerebral blood flow; iWSA, individual watershed areas; eTIV, estimated total intracranial volume. Significant predictors are shown in bold.

| Predictors              | b      | β     | Outcome: PSI |       |       |
|-------------------------|--------|-------|--------------|-------|-------|
|                         |        |       | 95% CI       | р     | r     |
| iWSA CBF                | -0.21  | -0.24 | -0.42-0.01   | 0.04* | -0.24 |
| iWSA FA                 | 158.86 | 0.24  | 18.77 298.96 | 0.03* | 0.26  |
| Lesion burden           | 0.001  | 0.12  | -0.001 0.01  | 0.28  | 0.13  |
| Arterial oxygen content | 1.54   | 0.22  | 0.09 2.99    | 0.04* | 0.25  |
| Age                     | -0.53  | -0.19 | -1.10 0.04   | 0.07  | -0.22 |
| Male Sex                | -9.79  | -0.38 | -16.55-3.04  | 0.01* | -0.33 |
| Chronic Transfusion     | -5.59  | -0.10 | -17.26 6.08  | 0.34  | -0.12 |
| Hydroxycarbamide        | -4.42  | -0.16 | -10.14 1.30  | 0.13  | -0.18 |
| eTIV                    | 0.01   | 0.13  | -0.01 0.04   | 0.30  | 0.13  |
| Education Deciles       | 1.22   | 0.19  | -0.08 2.52   | 0.06  | 0.22  |

also reported, albeit visually, that regions exhibiting hemodynamic stress, reflected by increases in OEF, encompassed watershed regions of elevated mean diffusivity and reduced fractional anisotropy, which the authors noted extended beyond the locations of lesions (Wang et al., 2021).

Here, in an exploratory mediation analysis, iWSA fractional anisotropy was found to partially mediate the relationship between higher iWSA CBF and slower processing speed, indicating potential downstream effects of regional hemodynamic stress on tissue integrity, in turn leading to slower processing speed indirectly. The remaining direct link observed is interesting, and aligns with a relatively recent study reporting improvement in executive function near as opposed to far away from transfusion, potentially consistent with a direct, but temporary, effect of increased tissue oxygen delivery, perhaps via amelioration of fatigue (Hood et al., 2019).

## Limitations

Use of single-TI and multi-TI ASL to measure hemodynamic parameters in patients with SCA is limited by several factors, all of which have been described in depth previously (Bush A. et al., 2018; Stotesbury et al., 2022). Of particular note for the design of this study, it is important that inflow times are optimised for the regions of interest. Examination of the raw kinetic curves indicated reasonable signal across all examined regions. However, signal was notably lower across both white matter regions, particularly in iWSAs in controls, which may have reduced the accuracy of estimates in this region. We cannot exclude the possibility that had we included further images at longer TIs, further CBF signal may have been detected in controls in this region. However, the extended inflow times required for this would have resulted in significant T1 recovery of the inverted blood-water, which would further reduce the signal to noise ratio. We attempted to correct for reduced signal to noise ratio by our inclusion of a noise removal step, which ensured that voxels in which the signal was lower than the mean plus one standard deviation of background noise were removed from the analysis. Inclusion of further images would also have increased the scan time and motion susceptibility.

Our study was also limited by our lack of hemoglobin and hematocrit measures in controls. Ethics approval committees in the United Kingdom will frequently not allow invasive procedures in healthy children (i.e., blood draws) unless a direct benefit to the children themselves can be demonstrated. People with sickle cell trait have hemoglobin levels within the normal range and we do not consider that the lack of direct measurement would alter our results although it should be possible to confirm this using data obtained in other countries where blood draws are part of routine screening in healthy children. We also did not have a direct measure of socioeconomic status, though self-report measures are also subject to limitations and our postcode-based index did show some sensitivity to processing speed in our regression model.

## CONCLUSION

In summary, our findings demonstrate the feasibility of multi-TI ASL for objective delineation of iWSAs, which have previously been identified as at risk of tissue injury in patients with SCA. Further, our findings highlight the utility of this technique for identification of hemodynamic stress in iWSAs that is associated with reduced microstructural tissue integrity and slower processing speed. Future studies should explore the predictive validity of regional multi-TI parameters longitudinally.

## DATA AVAILABILITY STATEMENT

The data supporting the conclusion of this article will be made available by the authors, without undue reservation.

## **ETHICS STATEMENT**

The studies involving human participants were reviewed and approved by the West London NHS (SAC; 05/Q0408/42, 11/EM/0084, 15/LO/0347), Yorkshire NHS (POMS; 15/YH/0213), and University College London (14475/001) ethics committees. Written informed consent to participate in this study was provided by the participants' or their legal guardian/next of kin.

## **AUTHOR CONTRIBUTIONS**

HS: Designed and conceptualized study, collected, processed, analysed, and interpreted data, drafted the manuscript for intellectual content, managed all revisions; PH: Designed and conceptualized study, set up the sequences and the pipeline for ASL pre-processing, advised on methods, interpreted data, revised the manuscript for intellectual content at several stages; MK: Recruited participants. Collected data, revised the manuscript for intellectual content; AH: Revised the manuscript for intellectual content; JK: Collected data, revised the manuscript for intellectual content; DS: Read the radiological MRI and magnetic resonance angiography scans and diagnosed SCI and vasculopathy; SS: Recruited participants, collected data; DR: Recruited participants, collected data, reviewed the manuscript for intellectual content; OW: Recruited participants, collected data, reviewed the manuscript for intellectual content; ML: Recruited participants, collected data; MP: Recruited participants, collected data; BI: Recruited participants. collected data; JH: Recruited participants, collected data, revised the manuscript for intellectual content; SC: Recruited participants, collected data, revised the manuscript for intellectual content; CC: Advised on methods, reviewed the manuscript for intellectual content; FK: Advised on methods and study design; collected and interpreted data; revised the manuscript for intellectual content.

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