



Species-Specific Shifts in Diurnal Sap Velocity Dynamics and Hysteretic Behavior of Ecophysiological Variables During the 2015–2016 El Niño Event in the Amazon Forest

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Current climate change scenarios indicate warmer temperatures and the potential for more extreme droughts in the tropics, such that a mechanistic understanding of the water cycle from individual trees to landscapes is needed to adequately predict future changes in forest structure and function. In this study, we contrasted physiological responses of tropical trees during a normal dry season with the extreme dry season due to the 2015–2016 El Niño–Southern Oscillation (ENSO) event. We quantified high resolution temporal dynamics of sap velocity (V_s), stomatal conductance (g_s) and leaf water potential (Ψ_L) of multiple canopy trees, and their correlations with leaf temperature (T_{leaf}) and environmental conditions [direct solar radiation, air temperature (T_{air}) and vapor pressure deficit (VPD)]. The experiment leveraged canopy access towers to measure adjacent trees at the ZF2 and Tapajós tropical forest research (near the cities of Manaus and Santarém). The temporal difference between the peak of g_s (late morning) and the peak of VPD (early afternoon) is one of the major regulators of sap velocity hysteresis patterns. Sap velocity displayed species-specific diurnal hysteresis patterns reflected by changes in T_{leaf} . In the morning, T_{leaf} and sap velocity displayed a sigmoidal relationship. In the afternoon, stomatal conductance declined as T_{leaf} approached a daily peak, allowing Ψ_L to begin recovery, while sap velocity declined with an exponential relationship with T_{leaf} . In Manaus, hysteresis indices of the variables $T_{\text{leaf}} - T_{\text{air}}$ and $\Psi_L - T_{\text{leaf}}$ were calculated for different species and a significant difference ($p < 0.01$, $\alpha = 0.05$) was observed when the 2015 dry season (ENSO period) was compared with the 2017 dry season (“control scenario”). In some days during the 2015 ENSO event,

T_{leaf} approached 40°C for all studied species and the differences between T_{leaf} and T_{air} reached as high as 8°C (average difference: $1.65 \pm 1.07^\circ\text{C}$). Generally, T_{leaf} was higher than T_{air} during the middle morning to early afternoon, and lower than T_{air} during the early morning, late afternoon and night. Our results support the hypothesis that partial stomatal closure allows for a recovery in Ψ_L during the afternoon period giving an observed counterclockwise hysteresis pattern between Ψ_L and T_{leaf} .

Keywords: tropical forests, sap velocity, stomatal conductance, direct solar radiation, vapor pressure deficit, leaf temperature, hysteresis

INTRODUCTION

Evapotranspiration by terrestrial ecosystems delivers an estimated 62,000 km³ of water to the atmosphere every year, with the majority associated with plant transpiration (Jasechko et al., 2013). In the Amazon Basin, an estimated 25–50% of precipitation is recycled back to the atmosphere through forest transpiration (Eltahir and Bras, 1994; Chambers and Artaxo, 2017), with important implications for the interactions between the biosphere and atmosphere (Araújo et al., 2002; Negrón-Juárez et al., 2007). Under climate change scenarios, vegetation resilience will depend on the capacity to exploit water resources (Grossiord et al., 2017), and a mechanistic understanding of the water cycles from individual trees to landscape scales is necessary in order to predict changes in the forest structure (Chambers et al., 2014).

At the leaf level, transpiration flux is a function of vapor pressure deficit (VPD) between the leaf and the air and stomatal conductance (g_s), according to Fick's law of diffusion (Costa et al., 2010). Although numerous environmental factors influence g_s , net radiation, VPD and soil moisture are often considered the most important (Jarvis, 1976; Jones, 1998; Lloyd and Farquhar, 2008; Daloso et al., 2017). High leaf temperatures (T_{leaf}) and VPD are known to induce stomatal closure in order to minimize excessive water loss (Farquhar, 1978; Meinzer et al., 1993; Tinoco-Ojanguren and Percy, 1993; Oren et al., 1999b; McAdam et al., 2016; Brodribb et al., 2017). The degree of stomatal closure is a balancing act between preventing hydraulic damage while still allowing enough CO₂ influx for carbon fixation to avoid carbon-starvation (Adams et al., 2017). In addition, stomatal closure limits water lost through transpiration, and thereby indirectly regulates leaf temperatures. Given that the tropics have among the narrowest seasonal temperature range of any biome globally, they may be particularly sensitive to even small increases in temperature associated with climate change (Field et al., 2014). Indeed, rising temperature and VPD are environmental factors clearly associated with increased tree mortality in the tropics (McDowell et al., 2018), with more pronounced impacts during extreme drought events in the Amazon forest, such as the El Niño-Southern Oscillation (ENSO). This reinforces the importance of having more studies that investigate the effect of these variables (temperature and VPD) in the tropics, especially focusing on comparisons between two distinct periods, such as normal years (control scenario) and years with ENSO. This kind of approach can be considered as a “natural experiment” and allow to expand our understanding

of the coupling of tree water use (and concurrent carbon uptake) and the environmental factors that affect stomatal conductance – solar radiation, CO₂, air temperature, leaf temperature and humidity.

The water potential gradient that regulates water movement through trees is anchored by soil moisture availability on one end, and atmospheric moisture availability VPD on the other. VPD is indirectly estimated from measurements of relative humidity (R_H) and air temperature (T_{air}) using micrometeorological sensors (Ewers and Oren, 2000). However, as T_{leaf} and T_{air} can differ by several degrees, the use of T_{leaf} instead of T_{air} to calculate VPD (ΔVPD) results in a more accurate representation of the true water vapor pressure gradient between the substomatal cavity and the boundary layer of the air near the leaf surface (Ewers and Oren, 2000). Therefore, T_{leaf} measurements are vital for better interpretation of plant hydraulic responses to environmental drivers in order to develop more accurate earth system models (ESMs) (Michaletz et al., 2016). However, sap velocity, T_{leaf} and environmental drivers are rarely measured together, especially in the tropics where the canopy layers are often hard to access (Chave et al., 2005; Segura and Kanninen, 2005). Thus, the response of a plant's transpiration to changes in environmental and physiological conditions remains highly uncertain in ESMs (Jasechko et al., 2013).

In relation to environmental drivers, clockwise hysteresis patterns between sap flow and VPD have been reported with higher sap flow rates during the morning period relative to the afternoon (O'Brien et al., 2004; Zeppel et al., 2004; Zhang et al., 2014). In addition, a counterclockwise hysteresis pattern has been observed in tropical and temperate forests when sap flow is plotted as function of irradiance (O'Brien et al., 2004; Zeppel et al., 2004; Bretfeld et al., 2018; Brum et al., 2018). In the case of transpiration, it has been established that the hysteresis phenomena are influenced by the temporal lag between solar radiation, which tends to peak in the late morning to mid-day, and VPD which tends to peak in the early afternoon (O'Brien et al., 2004; Zeppel et al., 2004; Zhang et al., 2014; Novick et al., 2016). Also, hysteresis between sap flux and environmental drivers are influenced by the stored stem water and the time lag between basal sap velocity and upper canopy transpiration, as an effect of hydraulic capacitance and resistance (Phillips et al., 1997; Ward et al., 2012). However, coupled field observations of physiological and environmental variables that include not only diurnal sap velocity and environmental driving data, but also concurrent leaf level data such as g_s , T_{leaf} and Ψ_L has been very limited in the tropics. Yet such data, are needed to verify

the relationships between V_s and environmental/physiological drivers in tropical forests.

In this study, we present *in situ* field observations of environmental (direct solar radiation, T_{air} and VPD) and physiological (V_s , g_s , and Ψ_L) variables and their correlations with T_{leaf} during the 2015–2016 ENSO. In order to observe the interactions between physiological variables and fast changing environmental conditions, we collected high temporal frequency data (15–60 min) in two primary rainforest sites located in the Eastern (Santarém) and in the Central (Manaus) Amazon. Since the 2015–2016 ENSO event was the warmest period in the Amazon forest over the past 13 years (Fontes et al., 2018), we expected peak T_{leaf} to increase and subsequently hysteretic behavior of water use vs. T_{leaf} to become more pronounced. In this study, we explored the mechanisms that regulate tree transpiration and the diurnal hysteresis patterns between physiological and environmental variables to contrast different tree species responses to the extreme 2015 dry season (ENSO) and a normal 2017 dry season (“control scenario”).

MATERIALS AND METHODS

Study Area

The field activities occurred in two sites near the cities of Manaus and Santarém, Brazil (**Supplementary Figure S1**). Near the city of Manaus, trees with leaves accessible from the K-34 walkup tower were selected for study. The 50-meter tall K-34 tower is located at the Reserva Biológica do Cuieiras, also known as ZF-2, and contains roughly 22,000 ha adjacent to extensive areas of undisturbed tropical forest (Araújo et al., 2002). The mean value of rainfall is $\sim 2,500$ mm year⁻¹ with the driest months of the year concentrated from July to September (Araújo et al., 2002). Field data were collected at the K-34 tower site between July 01, 2015 and December 01, 2017.

Near the city of Santarém, four trees near the K-67 triangle tower were selected for study, located in the Tapajós National Forest with approximately 527,000 ha near the Santarém-Cuiabá highway (BR-163). The K-67 tower is located ~ 6 km west of the BR-163 and ~ 6 km east of Tapajós river, in an area of largely contiguous forest from north to south (Hutyra et al., 2007). The site receives $\sim 2,000$ mm year⁻¹ of rainfall and has a five-month dry season from mid-July to mid-December (Saleska et al., 2003; Wu et al., 2017). In Santarém, field data were collected during the period of April 01, 2016 to December 31, 2016.

Species Selection

Different species were selected in a plateau area of Tapajós National Forest (Santarém) and Reserva Biológica do Cuieiras (ZF-2 – Manaus) (eight species in total; **Table 1**). Tree selection criteria were based on the proximity of the crowns to the two canopy access towers (K-34 and K-67). This approach enabled measurement of physiological variables including sap velocity at breast height, T_{leaf} , g_s , and Ψ_L from leaves at the top of the crowns, together with environmental variables including direct solar radiation, T_{air} , and R_H above the canopy (**Supplementary Figure S2**).

Sap Velocity (V_s) Measurements

One heat pulse sap velocity sensor (SFM1, ICT international®) was installed per tree near breast height (DBH) following the protocols previously described by Christianson et al. (2017). The SFM1 sensor consists of a heater and two temperature-sensing probes to determine sap velocity (cm h⁻¹) at 0.75 cm depth in the stem using the heat ratio method (Burgess et al., 2001; Green et al., 2003; Steppe et al., 2010). The heater needle was configured to emit a 20 Joule pulse of thermal energy every 15 min (sap heat ratio measurements for 5 min 32 s following the pulse). Biophysical characteristics (diameter and bark thickness) for each tree were used as input into the Sap Flow Tool version 1.4.1 (Phyto-IT®) to calculate sap velocity from raw data downloaded from the SFM1 sensors in the field.

The heat pulse method can be used for accurate measurements of sap flow (Lambers et al., 2008), but this method is unable to measure low rates due to its inability to distinguish heat-pulse velocities below a threshold velocity of 3–4 cm h⁻¹ (Green et al., 2003). The probe spacing is also an important parameter and the sap velocity (V_s) is dependent upon the exact distance between needles as the following equation shows:

$$V_s = \frac{k}{x} \ln \left(\frac{v_1}{v_2} \right) * 3600 \text{ cm hr}^{-1} \quad (1)$$

where: k is the thermal diffusivity of wet wood; x is the distance between the heat source (heater) and temperature sensors; v_1 and v_2 are the increases in temperature (from ambient) at equidistant points downstream and upstream from the heater.

In this study, we used the factory default setting of 5 mm of needle spacings, as recommended by the manufacturer (Burgess and Downey, 2014), using a metal drill guide to ensure equidistant sensor placing. This 5 mm spacing is suitable to a theoretical maximum of 54 cm h⁻¹ (Burgess and Downey, 2014).

It should be noted that following the protocols of 5 mm probe spacing of the SFM1 sensors, it is possible that the instrumental maximum was reached at relatively modest flows (16 cm h⁻¹ for *Pouteria anomala* for example) on some days. Other available methods to estimate sap flow like the thermal dissipation method and the heat field deformation also underestimate V_s , where the error tends to increase with further increases in V_s (Steppe et al., 2010). However, evidence that the maximum observed V_s was not due to a sensor saturation includes: (1) different maximum values of V_s between species (plateau in the scatter plots); (2) the state theoretical maximum of 54 cm h⁻¹ of the ICT user manual; and (3) on the same trees (Grossiord et al., under review) found no statistical difference between sap velocities determined by ICT and Granier sensors.

T_{leaf} , T_{air} , VPD and Direct Solar Radiation Measurements

To measure T_{leaf} , a single infrared radiometer sensor (IRR SI-111 analogic for the species *P. anomala*, *Pouteria erythrochrysa*, and *Couepia longipendula* or IRR SI-131 digital for the species *Eschweilera cyathiformis*, *Erismia uncinatum*, *Lecythis* sp. and *Chamaecrista xinguensis*, Apogee®) was positioned from the tower's structure with the field of view targeting the top of

TABLE 1 | List of tree species instrumented with sap velocity and T_{leaf} sensors in Manaus and Santarém.

Site	Tree species	Family	DBH (cm)	Height (m)	IRR viewing height (m)	IRR viewing angle	T_{leaf} target area (m ²)
Manaus K-34	* <i>Eschweilera cyathiformis</i> S.A.Mori	Lecythidaceae	14.3	19.8	3.0	25°	2.41
Manaus K-34	** <i>Pouteria anomala</i> (Pires) T.D.Penn.	Sapotaceae	35.3	31.0	0.2	10°	0.02
Manaus K-34	** <i>Pouteria erythrochrysa</i> T.D.Penn.	Sapotaceae	36.5	29.3	0.2	10°	0.02
Manaus K-34	** <i>Couepia longipendula</i> Pilg.	Chrysobalanaceae	28.1	23.9	0.6	10°	0.19
Santarém K-67	<i>Erisma uncinatum</i> Warm.	Vochysiaceae	94.8	39.2	6.5	54°	49.03
Santarém K-67	<i>Lecythis</i> sp. Loefl.	Lecythidaceae	81.1	36.4	6.7	63°	18.13
Santarém K-67	<i>Chamaecrista xinguensis</i> (Ducke) H.S.Irwin & Barneby	Fabaceae	75.3	29.5	6.2	58°	65.44
Santarém K-67	* <i>Manilkara</i> sp. Adans.	Sapotaceae	40.0	30.0	–	–	–

Also, are shown the tree family, DBH, tree height, IRR viewing height, IRR viewing angle, and T_{leaf} target area. *Species with diurnal measurements of g_s . **Species with diurnal measurements of Ψ_L .

individual tree crowns (one IRR sensor per tree). Five-min averages of T_{leaf} were recorded using a CR-3000 (Campbell Scientific® for the SI-111 sensors) and EM-50 (Decagon® for the SI-131 sensors) dataloggers. The sensors were positioned with the viewing heights and viewing angles listed in **Table 1**. The field of view of each sensor (T_{leaf} target area) was calculated using the IRR calculator available in the website¹. To validate the infrared radiometer sensors installed on the two sites, T_{leaf} measurements were made using Teflon insulated type T thermocouples (OM-CP-OCTTEMP-A Nomad®, Omega Engineering) directly attached to the abaxial side of the leaf using a breathable white tape and configured to register measurements every 15 s (**Supplementary Figure S3**). In addition, in Manaus direct solar radiation ($W\ m^{-2}$) with 5-min averages were collected at 35.0 m above the canopy using a SPN1-Sunshine Pyranometer (Delta-T Devices®). T_{air} and R_H data were obtained using a thermohygrometer (HC2S3, Campbell Scientific®) installed above the canopy at 51.1 m height on the K-34 tower structure.

In this study, a more accurate physiological approach to estimate VPD was applied. The Tetens equation was used to calculate the saturation vapor pressure of the air (e_o) using the variables air temperature (T_{air}) and relative humidity of the air (RH_o) (Eq. 2). To estimate the saturation vapor pressure inside the substomatal chamber (e_i) the Tetens equation was also used replacing T_{air} by T_{leaf} (Eq. 3). The relative humidity inside the substomatal cavity (RH_i) was assumed to be equal to 1 as demonstrated by many studies (Ward and Bunce, 1986; Buckley et al., 2017; Cernusak et al., 2018). With these variables it was possible to estimate the VPD difference between the substomatal chamber ($e_i \times RH_i$) and the atmosphere ($e_o \times RH_o$) (Eq. 4).

$$e_o = 0.611 \times 10^{\left(\frac{7.5 \times T_{\text{air}}}{T_{\text{air}} + 237.3}\right)} \quad (2)$$

$$e_i = 0.611 \times 10^{\left(\frac{7.5 \times T_{\text{leaf}}}{T_{\text{leaf}} + 237.3}\right)} \quad (3)$$

$$\Delta VPD = (e_i \times RH_i) - (e_o \times RH_o) \quad (4)$$

where: ΔVPD is the leaf-to-air water VPD (kPa); e_o is the air saturated water vapor pressure (kPa); e_i is the saturated water

vapor pressure inside the substomatal chamber (kPa); RH_i is the relative humidity inside the substomatal cavity which is assumed to be equal to 1. RH_o is the relative humidity of the air near the leaf surface (expressed as a decimal); T_{leaf} is the leaf temperature in °C and T_{air} is the air temperature in °C.

Stomatal Conductance (g_s) Measurements

Diurnal observations of g_s were made on upper canopy leaves accessible from the walkup towers (K-34 in Manaus and a walkup tower 1 km from the K-67 triangle tower in Santarém). In Manaus, diurnal patterns of g_s were measured from individual leaves at the top the main crown near the towers from 6:00 to 18:00 using a Li-Cor 6400 XT portable photosynthesis system (Li-Cor, Lincoln®, NE, United States). g_s measurements on individual leaves were made for 10 min using Li-Cor 6400 XT. The CO_2 reference concentration was held constant at $400\ \mu\text{mol}\ \text{mol}^{-1}$. T_{block} and photosynthetically active radiation values were set every 15 min to match environmental conditions. Using the Li-Cor 6400 XT we set the T_{block} to achieve a target T_{leaf} based on the infrared radiometers measurements recorded in the CR-3000 datalogger which have a screen that makes possible real time data reads without a computer. In Santarém, g_s measurements on individual leaves were made every 2 min using the SD-1 leaf porometer system (Decagon Devices®, WA, United States) throughout the day.

Leaf Water Potential (Ψ_L) Measurements

In Manaus Ψ_L data were collected from three trees together with T_{leaf} measurements (**Table 1**) to access potential diurnal hysteresis patterns similar to those observed with sap velocity, g_s , T_{air} , T_{leaf} , and ΔVPD . Hourly Ψ_L measurements (6:00 to 18:00 – 12 h) of healthy leaves without noticeable condensation on the surface of *P. anomala*, *P. erythrochrysa*, and *C. longipendula* were performed in Manaus using a pressure chamber instrument (Model 1000, PMS Instrument Company®) connected to a high-pressure nitrogen cylinder. Small branches from the upper tree crowns were removed and a single leaf per tree was used to measure Ψ_L . The canopy position of each tree was also classified following the crown illumination index proposed by

¹<https://www.apogeeinstruments.com/irr-calculators/>

Synnott (1979) (**Supplementary Table S1**). In this study, the leaf water potential measurements were performed in a single day of September during both 2015 and 2017 dry season.

Data Analysis

Data were analyzed using IGOR Pro® version 6.3 (WaveMetrics, Inc., United States) and R v. 3.0.2 (R Development Core Team, 2013) software packages. In Manaus, 4-month time series (August to November) were plotted to observe the correlations between T_{leaf} and T_{air} during the 2015 dry season (ENSO). Additionally, the two-dimensional kernel density function (*kde2d*) was used to observe potential offsets between T_{leaf} and T_{air} during the 2015 dry season. In Manaus, the 2015 dry season (ENSO period) was compared with the 2017 dry season (“control scenario”) using hysteresis indexes (H_{index}) of the normalized values of T_{leaf} , T_{air} , and Ψ_L for the species *P. anomala*, *C. longipendula*, and *P. erythrochrysa*. For the normalization of each variable the min-max feature scaling method was used to standardize the range of the raw data. Hysteresis indices were calculated using the shoelace formula (Eq. 5; Braden, 1986), and a paired *t*-test was performed ($\alpha = 0.05$) to intercompare the H_{index} of the species between the 2015 and 2017 dry seasons. The H_{index} is a measure of the size of the hysteresis loop and enables quantitative comparisons of hysteresis behaviors during, for example, two contrasting periods like El Niño and regular season.

$$A = \frac{1}{2} \left| \sum_{i=1}^n x_i y_{i+1} + x_n y_1 - \sum_{i=1}^n x_i y_{i+1} - x_n y_1 \right| \quad (5)$$

where: A is the area of the polygon, n is the number of sides of the polygon, and (x_i, y_i) , $i = 1, 2, \dots, n$ are the vertices (or “corners”) of the polygon.

Additionally, normalized sap velocity and T_{leaf} hysteresis parameters of the species *E. cyathiformis*, *P. anomala*, and *P. erythrochrysa* were compared separating the morning and afternoon/night periods during both 2015 and 2017 dry seasons (ENSO and regular season). In the morning period sigmoidal curves were fitted using 15 min interval data of the variables V_s and T_{leaf} . The statistical parameters of the sigmoidal curves were used to compare the ENSO and the regular season between species. The same approach was done to compare the afternoon/night period of the variables V_s and T_{leaf} but using power curves instead of sigmoidal functions.

RESULTS

V_s , T_{leaf} , and ΔVPD

Representative four-day time series of V_s as function of T_{leaf} and ΔVPD for *E. cyathiformis* in Manaus and V_s as function of T_{leaf} for *Lecythis* sp. in Santarém are presented in **Figure 1**. Despite expectations of a significant delay due to the large vertical distance between the observations of V_s and T_{leaf} , the two variables tightly track each other, during the day and night (**Figures 1A,E**). Additionally, normalized time series of V_s and T_{leaf} of six trees during a two-month period also show this tightly

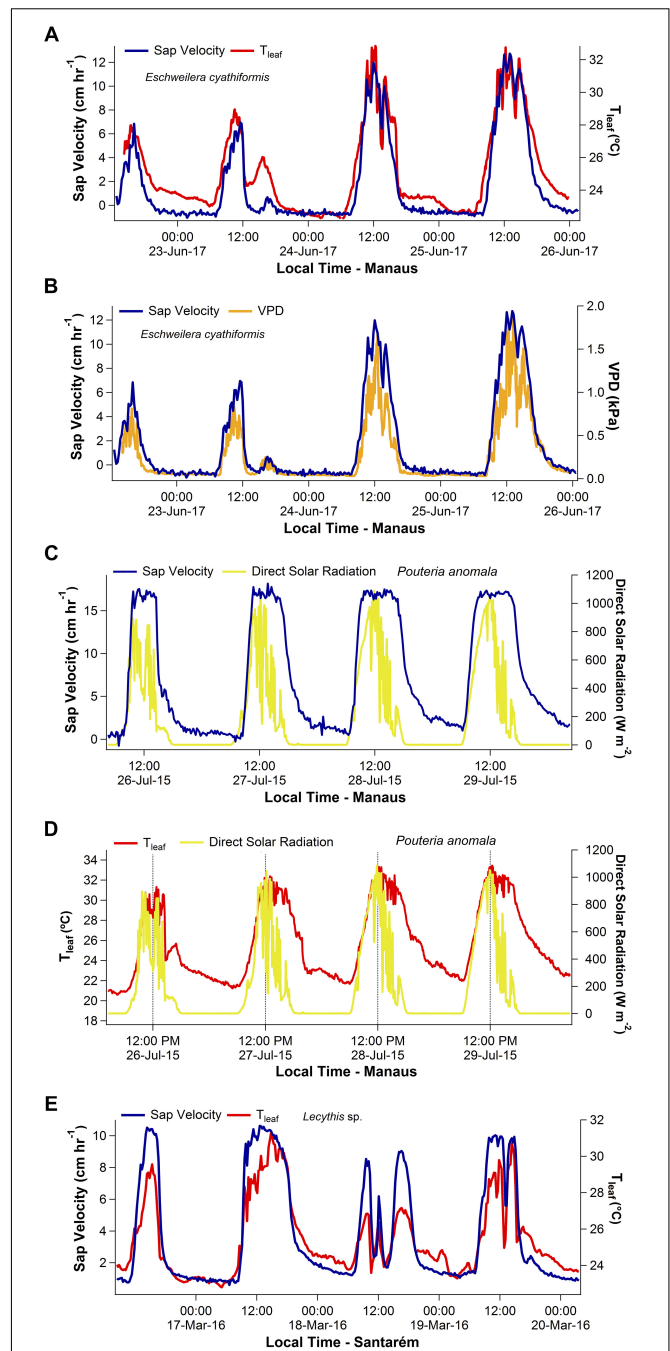
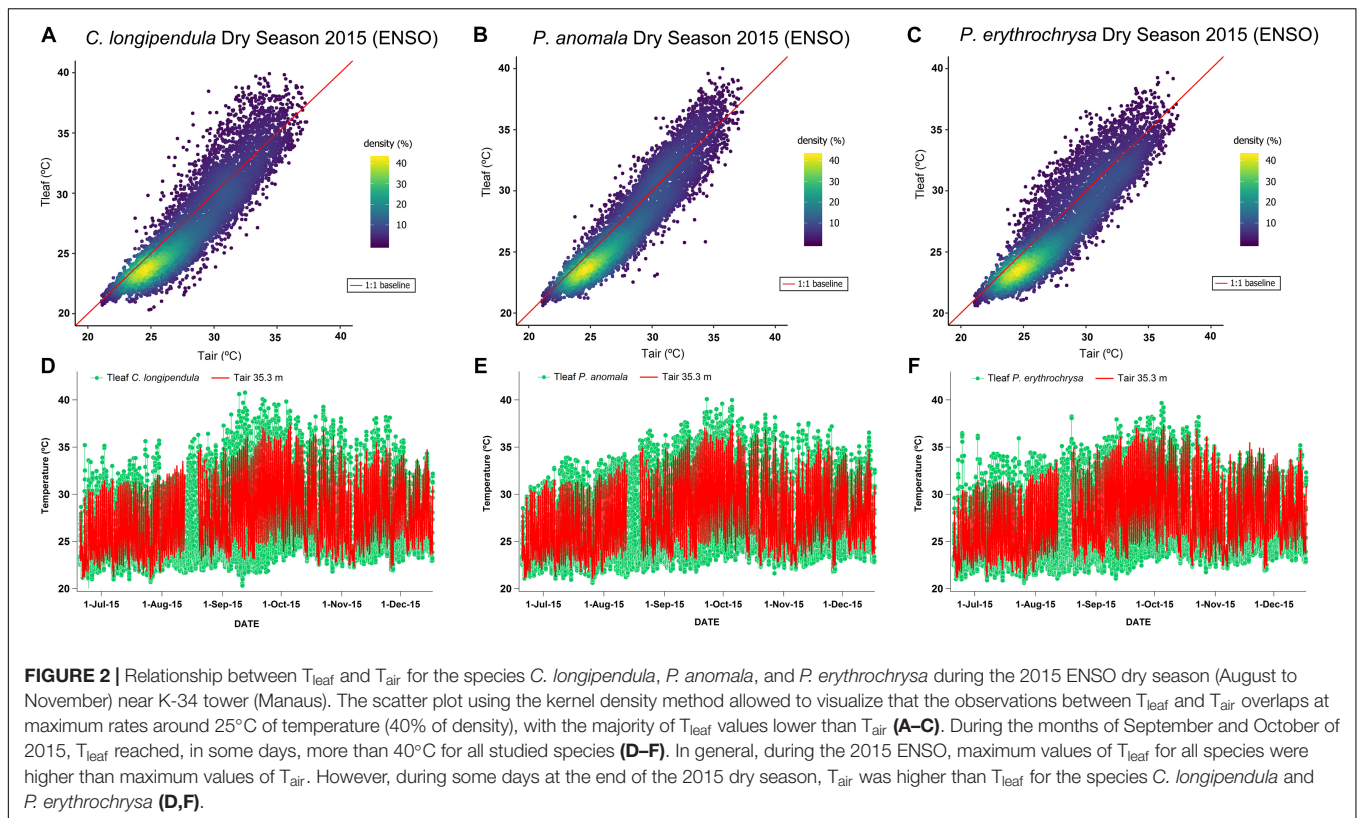


FIGURE 1 | Four-day time series showing the daily patterns of sap velocity (V_s), leaf temperature (T_{leaf}), and vapor pressure deficit (ΔVPD) for the species *Eschweilera cyathiformis*, *Pouteria anomala*, and *Lecythis* sp. Example of temporal similarities between V_s and T_{leaf} are shown in (**A,E**). Temporal similarities were also observed for V_s and ΔVPD (**B**). A temporal decoupling during the afternoon period was observed between V_s and direct solar radiation (**C**). The contrasting patterns of direct solar radiation and T_{leaf} are also shown in (**D**).

temporal track (**Supplementary Figure S4**). Moreover, temporal similarities were also graphically observed for the variables V_s and ΔVPD (**Figure 1B**).



Diurnal patterns of direct solar radiation differed from those of T_{leaf} in Manaus, especially during the afternoon period (Figure 1D). Direct solar radiation peaked about mid-day, then declined during the afternoon. In contrast, T_{leaf} and ΔVPD patterns peaked later, during the early afternoon and maintained high values until late afternoon even as solar radiation declined (Figures 1A–E). Thus, for Manaus, on average, a lag of 2 h and 22 min delay occurred between the peaks of direct solar radiation and T_{leaf} .

T_{leaf} and T_{air} Relations

The highest T_{leaf} values during the ENSO in the year of 2015 (2015 dry season – August to November) were observed during the months of September and October (Figure 2). On some days during the 2015 dry season, the differences between T_{leaf} and T_{air} were close to 8°C for some species (average difference between T_{leaf} and T_{air} for all species: $1.65 \pm 1.07^\circ\text{C}$). For the species *C. longipendula* (Figure 2A) the maximum observed T_{leaf} value was 40.78°C (September 12, 2015 – 11:30 local time) and the difference between T_{leaf} and T_{air} was on average $1.70 \pm 1.20^\circ\text{C}$ (maximum observed difference 7.43°C); for the species *P. anomala* (Figure 2B) the maximum observed T_{leaf} value was 40.09°C (September 22, 2015 – 15:30 local time) and the difference between T_{leaf} and T_{air} was in average $1.49 \pm 0.92^\circ\text{C}$ (maximum observed difference 7.26°C); for the species *P. erythrochrysa* (Figure 2C) the maximum observed T_{leaf} value was 39.67°C (October 4, 2015 – 13:30 local time) and the difference between T_{leaf} and T_{air} was in average $1.75 \pm 1.06^\circ\text{C}$

(maximum observed difference 6.39°C). At the end of the 2015 dry season (November), there were some days when T_{air} reached higher values compared to T_{leaf} for the species *C. longipendula* and *P. erythrochrysa* (Figures 2D,F). The 1:1 baseline presented in Figures 2A–C provides a reference to examine deviations of T_{leaf} from T_{air} , where the densest observations are between 23 and 26°C with the majority of T_{leaf} values lower than T_{air} . This pattern is observed during the night period, when the lowest T_{air} and T_{leaf} values are recorded. In addition, hysteresis patterns between T_{leaf} and T_{air} were observed for the species *P. anomala*, *C. longipendula*, and *P. erythrochrysa* in Manaus during the 2015 and 2017 dry season (Figure 3). The area of the hysteresis loops (H_{index}) of *P. anomala*, *C. longipendula* and *P. erythrochrysa* during the 2015 dry season (ENSO) were statically larger ($p < 0.01$, $\alpha = 0.05$, paired *t*-test) than the 2017 dry season (“control scenario”; Figure 3). The H_{index} of the normalized variables T_{leaf} and T_{air} of the species *P. anomala*, *C. longipendula* and *P. erythrochrysa* during the 2015 dry season was, respectively: 0.0633; 0.0747; 0.0904. Moreover, the H_{index} calculated for *P. anomala*, *C. longipendula*, and *P. erythrochrysa* for the 2017 dry season was, respectively: 0.0355; 0.0406; 0.0523.

Using hourly averages for all the analyzed species it was possible to observe that generally T_{leaf} was higher than T_{air} from the middle of the morning period until the early afternoon in both the 2015 and 2017 dry seasons (Figure 3). In contrast, T_{air} was predominantly higher than T_{leaf} in the early morning, middle afternoon, and throughout the night in both the 2015 and 2017 dry seasons.

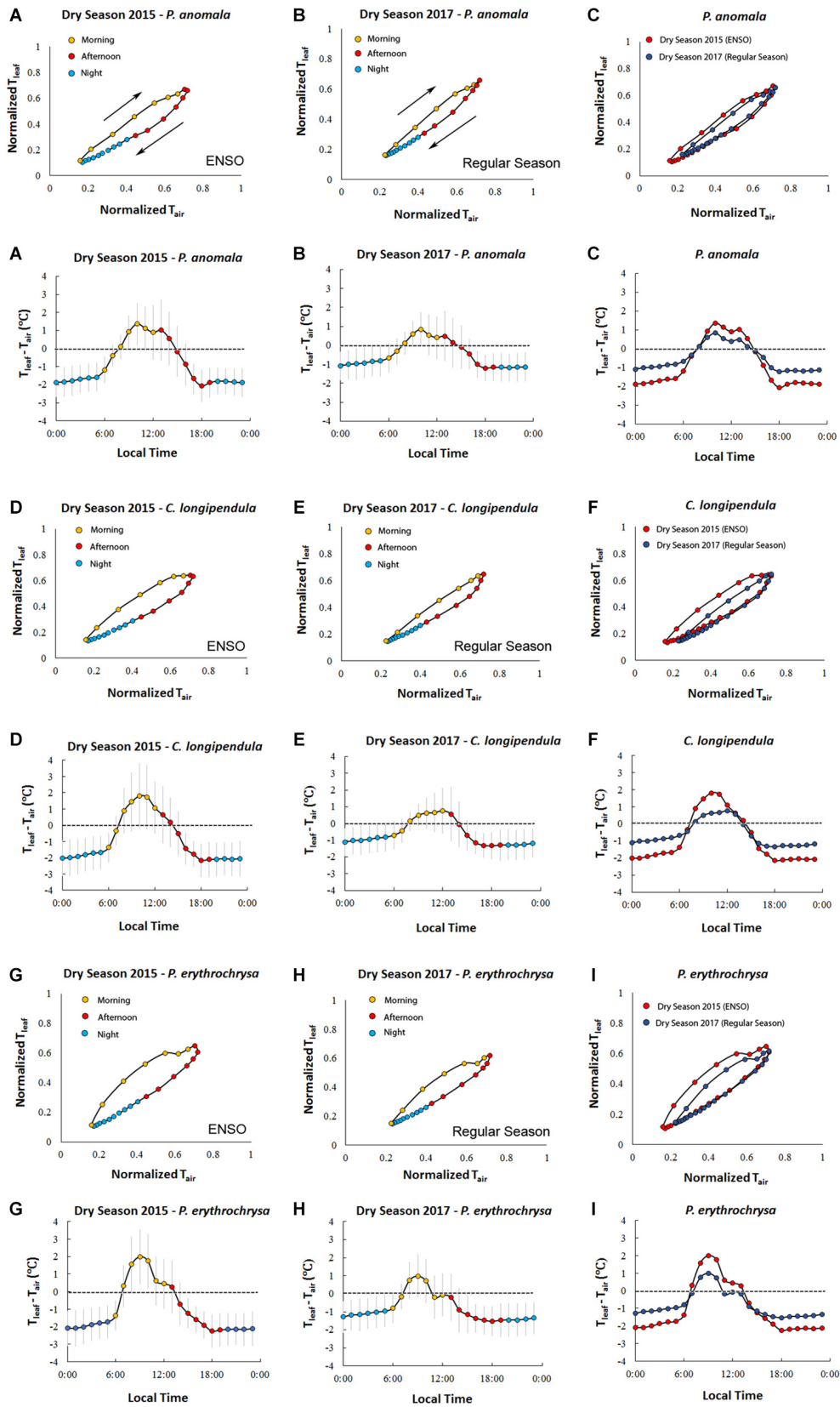
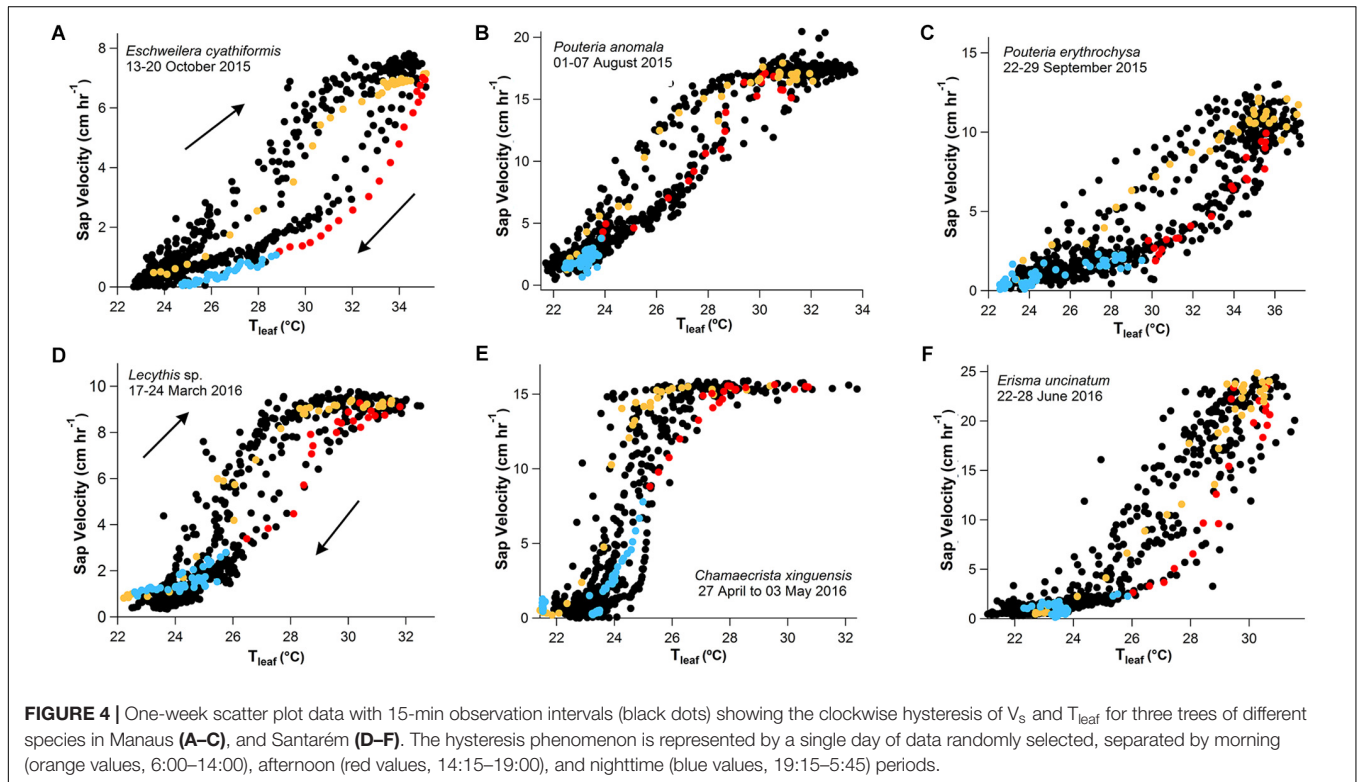


FIGURE 3 | Continued

FIGURE 3 | Hourly averages of T_{leaf} and T_{air} for the species *C. longipendula*, *P. anomala*, and *P. erythrochrysa* in Manaus site during the 2015 dry season (ENSO) and 2017 dry season (“control scenario”). The clockwise hysteresis pattern between T_{leaf} and T_{air} was observed for all the studied trees in Manaus. The orange dots represent the morning period (6:00–12:00), the red dots represent the afternoon period (13:00–19:00), and the blue dots represent the night period (20:00–5:00) (**A,B,D,E,G,H**). A significant difference ($p < 0.01$) of T_{leaf} and T_{air} hysteresis loops (H_{index}) was observed when the 2015 dry season (ENSO period) was compared with the 2017 dry season (“control scenario”) (**C,F,I**). On average, in both 2015 and 2017 dry season, T_{leaf} was higher than T_{air} during the middle morning to early afternoon, and T_{air} was higher than T_{leaf} in the middle afternoon, night and early morning. The exception was for the species *P. erythrochrysa* where, on average, during the 2017 dry season T_{leaf} was higher than T_{air} only during the morning period (08:00–10:00) (**H**).

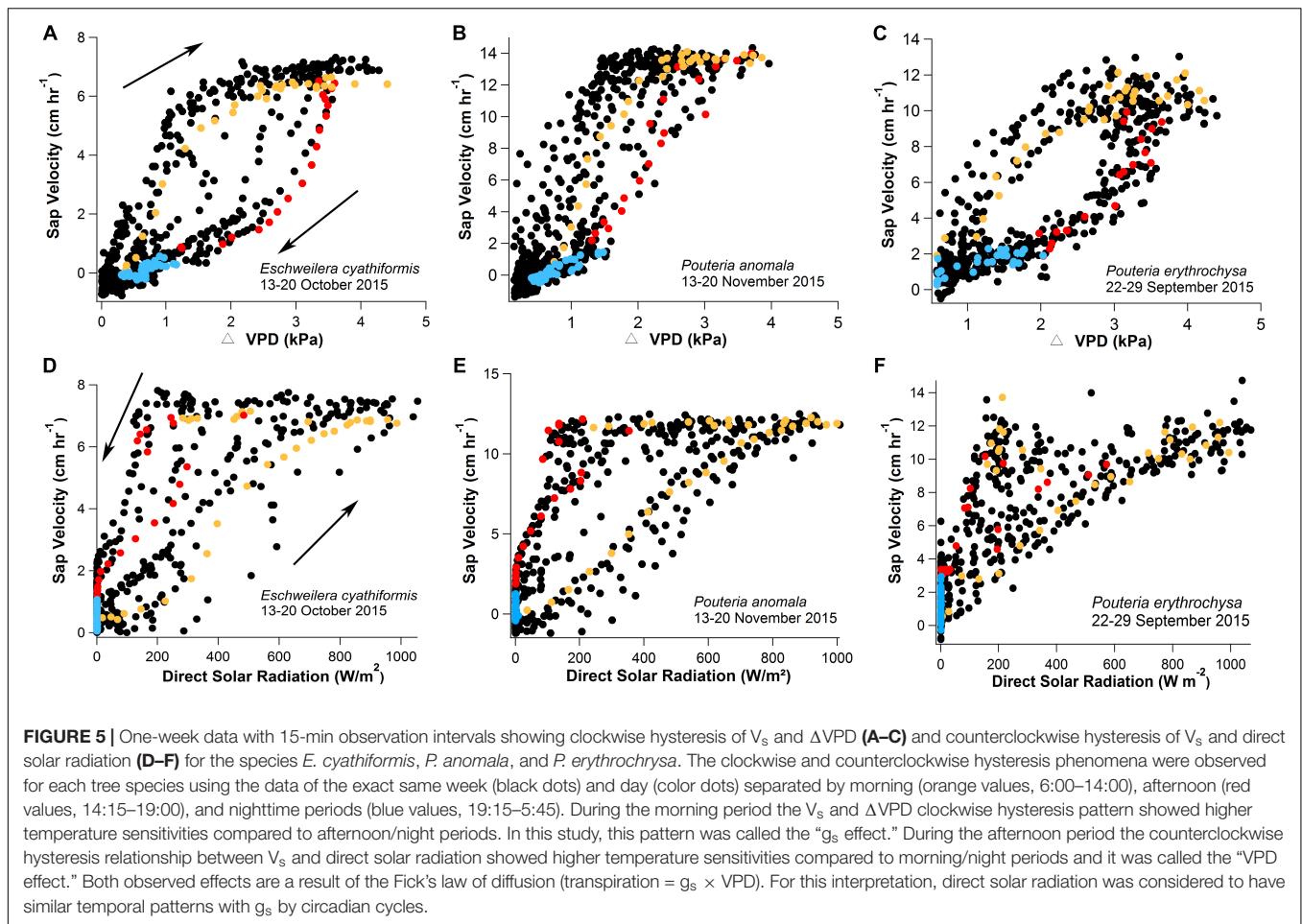


$V_s - T_{leaf}$, $V_s - \Delta VPD$, and V_s -Direct Solar Radiation Diurnal Hysteresis

As an example, 1 week of V_s data were plotted as function of T_{leaf} , ΔVPD and direct solar radiation (Figures 4, 5). The clockwise hysteresis in $V_s - T_{leaf}$ and $V_s - \Delta VPD$ was evident with morning periods showing higher temperature sensitivities than afternoon and night periods and in this study is referred to as the “ g_s effect” (Figures 4, 5). In Manaus, the scatter plot of V_s -direct solar radiation revealed a counterclockwise hysteresis pattern, on the same day as the $V_s - \Delta VPD$ clockwise hysteresis (Figure 5). For the same direct solar radiation values, higher V_s values in the afternoon were observed relative to the morning period, and in this study this pattern is referred to as the “VPD effect.”

V_s showed a sigmoid dependence on T_{leaf} and ΔVPD including a rapid increase, an inflection point, and a plateau. When V_s reached the maximum values for each species, it was insensitive to further increases in T_{leaf} and ΔVPD (Figure 6 and Supplementary Figure S5). The sigmoid pattern was observed in all studied trees (Supplementary Figure S5), although the maximum V_s differed between species from 8 to 24 $cm\ h^{-1}$; detailed daily patterns of $V_s - T_{leaf}$ revealed a

sigmoid increase in V_s during the morning period followed by an exponential decrease in the afternoon and throughout the night (Figure 6). During the morning period the curve’s maximum values (max) of the sigmoid function for the variables T_{leaf} and V_s were lower when the 2015 dry season (ENSO) was compared to the 2017 dry season (regular) for the species *E. cyathiformis* and *P. erythrochrysa* (Figure 7) (curve’s maximum values (max): *E. cyathiformis* ENSO (2015): 0.6379 ± 0.017 ; *E. cyathiformis* regular dry season (2017): 0.9376 ± 0.028 ; *P. erythrochrysa* ENSO (2015): 0.6992 ± 0.029 ; *P. erythrochrysa* regular dry season (2017): 0.8837 ± 0.029). For the species *P. anomala* the max was statistically equal in both periods (curve’s maximum values (max): *P. anomala* ENSO (2015): 0.7386 ± 0.082 ; *P. anomala* regular dry season (2017): 0.6960 ± 0.024). The inflection point (x_{half}) of the sigmoidal curves also revealed different patterns between species. The x_{half} values were lower for the species *E. cyathiformis* and *P. anomala* during the 2015 ENSO in comparison to the 2017 regular dry season (inflection point (x_{half}): *E. cyathiformis* ENSO (2015): 0.3433 ± 0.006 ; *E. cyathiformis* regular dry season (2017): 0.5401 ± 0.006 ; *P. anomala* ENSO (2015): 0.2648 ± 0.024 ; *P. anomala* regular dry season (2017): 0.3423 ± 0.008). In



contrast the x_{half} value for the species *P. erythrochrysa* was higher during the ENSO in comparison to the 2017 regular dry season (inflection point (x_{half}): *P. erythrochrysa* ENSO (2015): 0.4253 ± 0.012 ; *P. erythrochrysa* regular dry season (2017): 0.3064 ± 0.007). The statistical values of the power function during the afternoon/night period was relatively similar in both ENSO and regular dry season for the species *E. cyathiformis* and *P. anomala* (Figure 7). The exception was the species *P. erythrochrysa* which the exponent parameter (pow) were higher during ENSO compared to the 2017 regular dry season.

$g_s - T_{leaf}$ and $\Psi_L - T_{leaf}$ Diurnal Hysteresis

Clockwise hysteresis patterns were observed for $g_s - T_{leaf}$ in Manaus and Santarém (Supplementary Figure S6). For the same T_{leaf} , the observed g_s values of *E. cyathiformis* and *Manilkara* sp. were greater during the morning period than the afternoon. The maximum observed g_s values occurred at a T_{leaf} of 33.3°C in Manaus and 32.6°C in Santarém (Supplementary Figure S6).

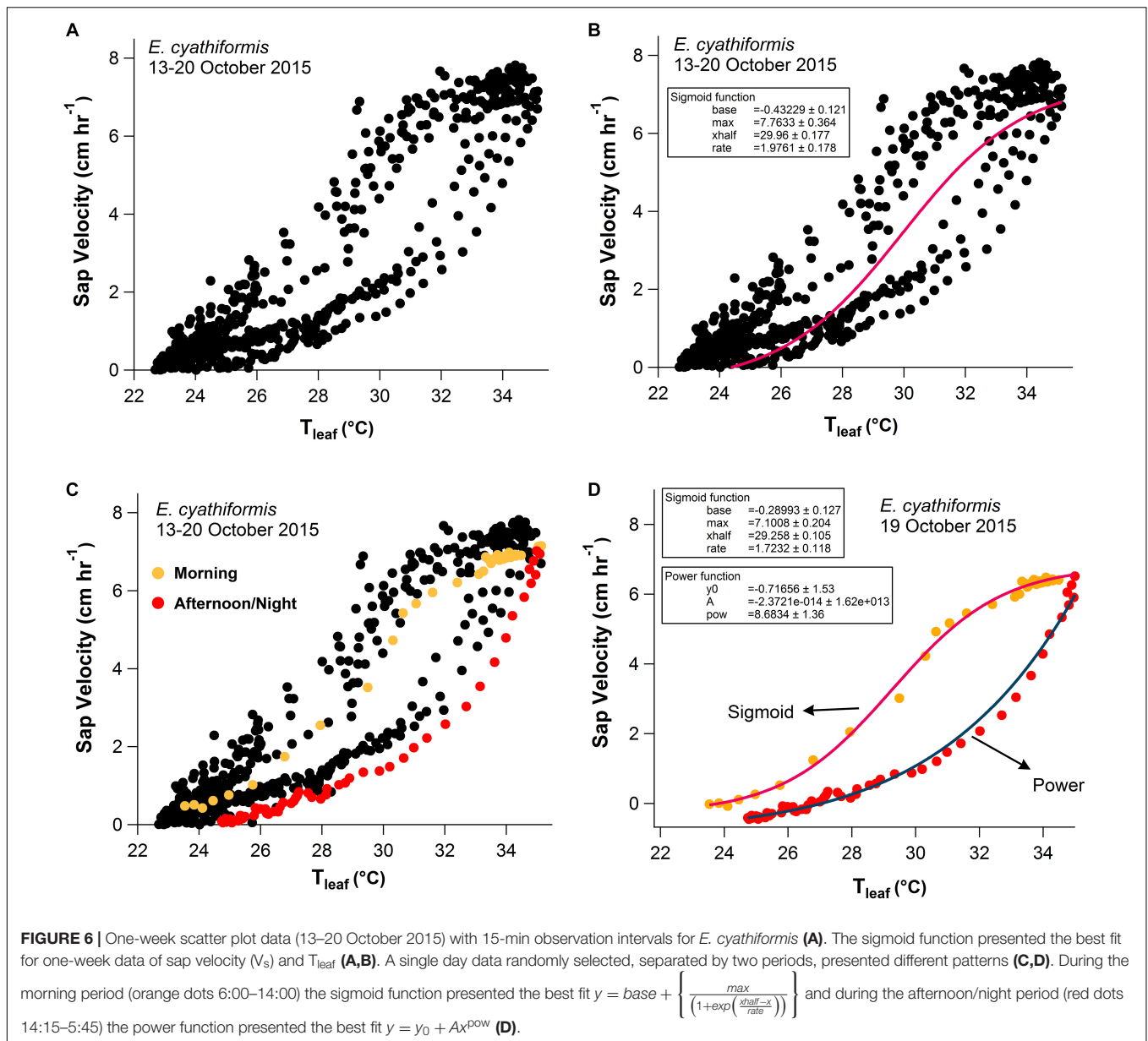
A counterclockwise hysteresis pattern was observed between Ψ_L and T_{leaf} (Figure 8). At the same T_{leaf} values, Ψ_L were more negative in the morning compared to the afternoon period. During September 2015 (peak of the El Niño) the H_{index} of the variables Ψ_L and T_{leaf} were significantly higher compared to September 2017 (regular dry season) ($p < 0.01$, $\alpha = 0.05$). The

H_{index} calculated for the species *P. anomala*, *C. longipendula*, and *P. erythrochrysa* during the 2015 dry season was, respectively: 0.2379; 0.3116; 0.1605. Moreover, the H_{index} calculated for *P. anomala*, *C. longipendula*, and *P. erythrochrysa* for the 2017 dry season was, respectively: 0.0642; 0.1596; 0.0324.

DISCUSSION

Correlations Between Sap Velocity, T_{leaf} , T_{air} and ΔVPD

With a fine-scale measurement resolution of 15 min, temporal similarities between V_s and T_{leaf} were observed for all studied species. Delays between $V_s - T_{leaf}$ and $V_s - \Delta VPD$, are expected due to the large differences in the heights of the measurements (basal sap velocity versus T_{leaf} in the upper canopy). This type of delays are related to the capacitance of the stems, where the evaporative demand in the branches near the crown are greater than the basal portions of the trunk, with an observed lag in the morning period between these two portions of the tree (Meinzer et al., 2003). Future research should aim to quantify these delays, using for example, simultaneous measurements of V_s at DBH height and V_s in the branches near the crown, as in Goldstein et al. (1998). At a small time resolution, similar results



were previously observed using Granier sap flow system and micrometeorological sensors in French Guiana (Granier et al., 1996), and North Carolina, United States (Oren et al., 1999a). At both sites (F. Guiana and N. Carolina), similar temporal correlations were observed between sap flow and VPD using a measurement resolution of 30 min. A recent study of Bretfeld et al. (2018) showed that V_s is largely in phase with VPD_{air} in an 80 year-old-forest which is similar to the observed results of this study. In addition, sigmoid patterns between $V_s - \text{VPD}$ and $V_s - T_{\text{leaf}}$ were also observed for all studied trees (Supplementary Figure S5), as also observed by O'Brien et al. (2004) in tropical species of Costa Rica, and da Costa et al. (2018) in the eastern Amazon. In this study, sap velocity displayed species-specific diurnal hysteresis patterns with a sigmoidal increase during the morning period and an exponential decrease during the

afternoon/night period, with statistical differences between the extreme 2015 dry season (ENSO) and a normal 2017 dry season ("control scenario") (Figures 6, 7).

Given the large diurnal T_{leaf} variation and the exponential dependence of VPD on T_{leaf} (Eqs 2, 3), changes in VPD during the daytime are largely driven by changes in T_{leaf} (Jackson et al., 1981; Ewers and Oren, 2000). The relationship between T_{leaf} and T_{air} represented by $T_{\text{leaf}} - T_{\text{air}}$ offset is a good indicator of water stress in plants (Jackson et al., 1981). Over short time scales (e.g., 10 s) T_{leaf} is also partially related to the leaf mass per area (Michaletz et al., 2016), which can provide new insights about the water dynamics like capacitance. Low temperature values during rainstorms are associated with low VPD and high R_H in the Amazon forest (Moradi et al., 2016). Periods of days with the lowest observed V_s are related to the lowest observed values

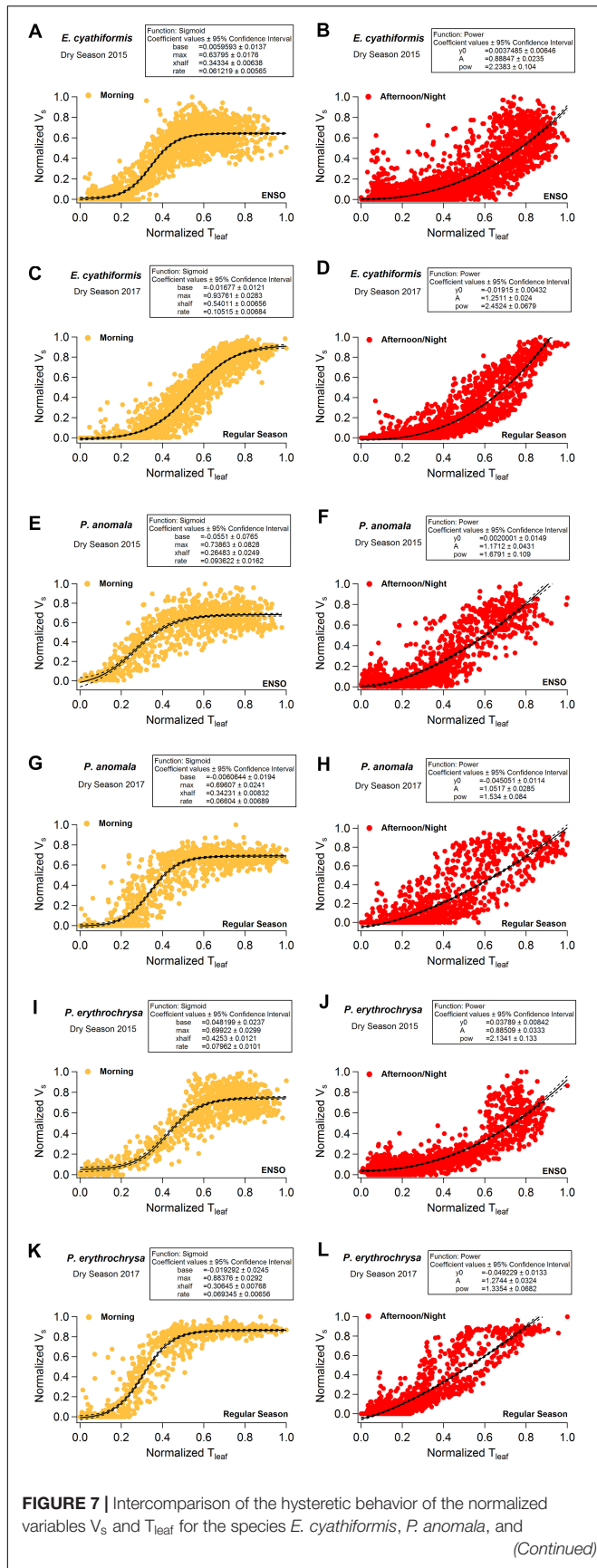


FIGURE 7 | Intercomparison of the hysteretic behavior of the normalized variables V_s and T_{1leaf} for the species *E. cyathiformis*, *P. anomala*, and *P. erythrochrysa* during 2015 (ENSO) and 2017 (Regular) dry seasons. (Continued)

FIGURE 7 | Continued
P. erythrochrysa during 2015 (ENSO) and 2017 (regular) dry seasons. During the morning period the curve's maximum values (*max*) of the sigmoid function for variables V_s and T_{1leaf} were lower during the 2015 dry season (ENSO) in comparison to the 2017 dry season (Regular) for the species *E. cyathiformis* and *P. erythrochrysa* and statically equal for the species *P. anomala* (A,C,E,G,I,K). The inflection point (*xhalf*) of the sigmoidal curves also revealed different patterns for the species when the 2015 dry season was compared to the 2017 dry season. The *xhalf* values were lower for the species *E. cyathiformis* and *P. anomala* during the 2015 ENSO in comparison to the 2017 regular dry season (A,C,E,G). In contrast the *xhalf* value for the species *P. erythrochrysa* was higher during the ENSO in comparison to the 2017 regular dry season (I,K). The statistical values of the power function during the afternoon/night period were relative similar in both ENSO and regular dry season for the species *E. cyathiformis* and *P. anomala* (B,D,F,H). The exception was the species *P. erythrochrysa* which the exponent parameter (*pow*) where higher during ENSO compared to the 2017 regular dry season (J,L).

of T_{1leaf} and ΔVPD . This observation is consistent with previous studies in the Amazon where rain, cloud coverage, and reduced direct solar radiation were found to be the major factors that reduced xylem sap flow rates (Kunert et al., 2017). In addition, other researchers in tropical sites like Costa Rica found that significant leaf wetness also reduces sap flow by up to 28% by impacting VPD (Aparecido et al., 2016).

The positive nighttime V_s observed in Manaus and Santarém also apparently followed T_{1leaf} , similar to that observed by Burgess and Dawson (2004) which found strong positive similarities between nighttime sap flow and VPD in *Sequoia sempervirens*. These observations of positive nighttime V_s in the first hours of the night are probably related to the capacitance of stem tissues and radial water transport (Steppe et al., 2012), as well as incomplete stomatal closure (Snyder et al., 2003; Barbour and Buckley, 2007; Dawson et al., 2007) which also allows trees to increase their water content through foliar water uptake (Eller et al., 2013). Another explanation for nighttime transpiration is the water outlet through lenticels, small pores on stem surfaces of many tropical tree species (Roth, 1981). However, it should be noted that no treatment was done to correct V_s for small potential offsets (less than 1 cm h⁻¹ – lower scales) to estimate the standard deviation of V_s , and the possibility of positive nighttime water flow where, in this study, the V_s values are generally close to zero.

Hysteresis Patterns

In the current study, clockwise hysteresis patterns were observed for $V_s - T_{1leaf}$ and $V_s - \Delta VPD$ (Figures 4, 5). Similar results were previously described for sap flow and VPD in tropical forests of Costa Rica (O'Brien et al., 2004) and in tropical secondary forests in Panama (Bretfeld et al., 2018), in temperate forests of Australia (Zeppel et al., 2004), in eastern Amazon trees (Brum et al., 2018) and in a grass-land ecosystem (Zhang et al., 2014). The observed hysteresis phenomenon for sap velocity has been described as a result of the temporal offset of g_s that tends to peak, in the tropics, during late morning to mid-day (10:30–12:00) (Slot and Winter, 2017a) (Supplementary Figure S6) and VPD that tends to peak in the early afternoon (13:00–14:30). The hysteresis phenomenon can also be visualized with variables T_{1leaf} and direct solar radiation (irradiance). In normal conditions g_s

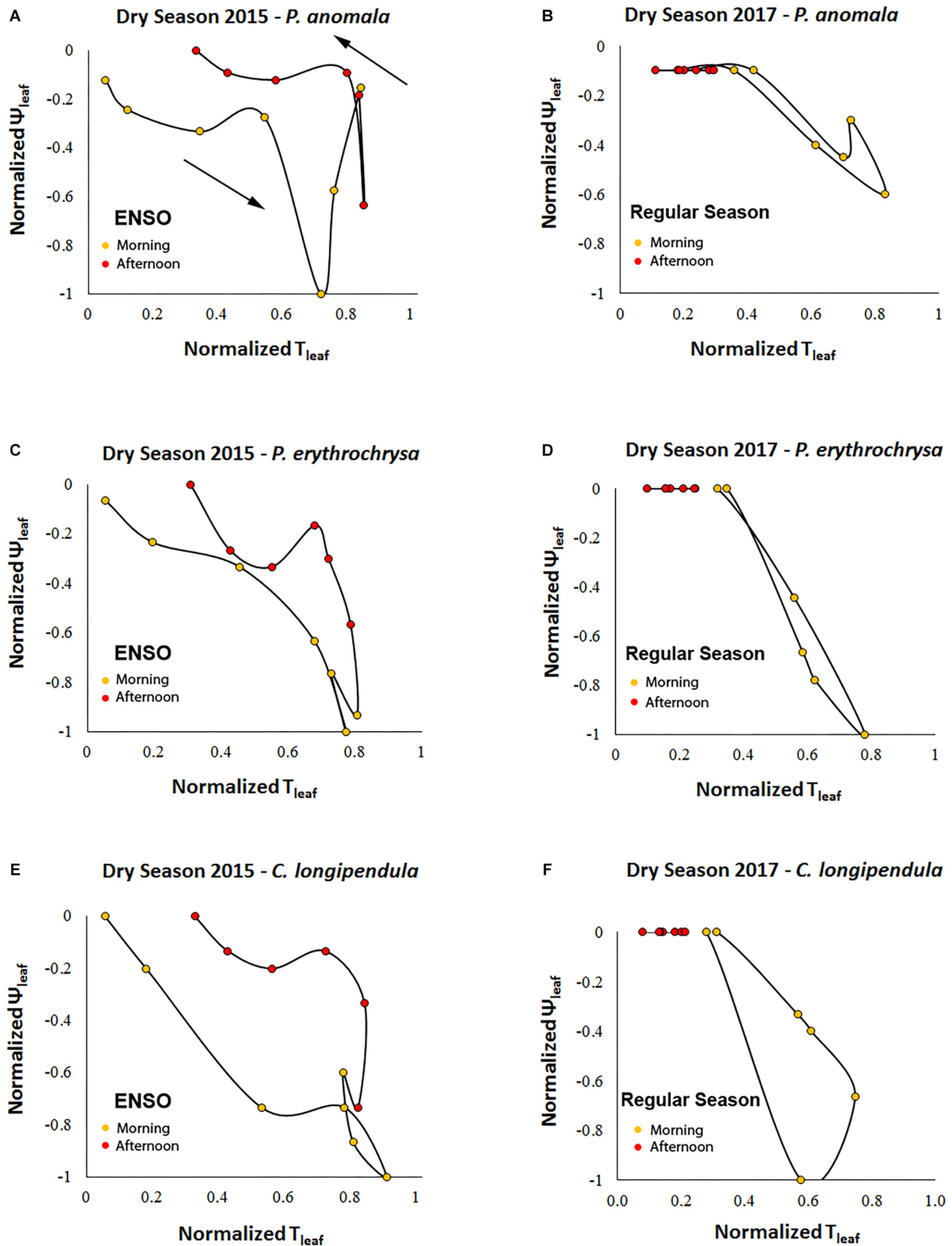


FIGURE 8 | Counterclockwise hysteresis of Ψ_L and T_{leaf} for the species *C. longipendula*, *P. anomala*, and *P. erythrochrysa* in Manaus during the 2015 and 2017 dry seasons. The stomata resistance acts to minimize the water loss during the afternoon periods given the observed counterclockwise pattern for Ψ_L - T_{leaf} . During September 2015 (peak of the El Niño) the H_{index} of the variables Ψ_L and T_{leaf} was significant higher (A,C,E) compared to September 2017 (regular dry season) ($p < 0.01$, $\alpha = 0.05$) (B,D,F).

respond positively to irradiance (Hennessey and Field, 1991; Gorton et al., 1993; Motzer et al., 2005) and the temporal similarities between these two variables are supposed to be associated with circadian cycles (daily patterns), as demonstrated in some hysteretic behaviors of this study separating the morning, afternoon, and night periods.

In terms of temperature, g_s was found to reach a maximum at a T_{leaf} of 31–33°C, which relates with the optimum temperature for photosynthesis (T_{opt}) previously determined for many tropical species (Slot and Winter, 2017b). Interestingly, this T_{opt} range seems to match with the inflection point (x_{half}) in the V_s-T_{leaf} hysteresis plots in Manaus (Figures 4–7). Thus, we speculate that it may be possible to determine T_{opt} using V_s-T_{leaf} diurnal hysteresis plots for individual trees. The observed inflection points patterns of V_s-T_{leaf} during the morning period for the species *E. cyathiformis*, *P. anomala*, and *P. erythrochrysa* (Figure 7) seems to be influenced by the canopy position and the resistance to drought once, in this study, species-specific shifts emerged when the 2015 ENSO was compared to the 2017 dry season. Additionally, the calculation of an index to quantify the hysteresis loops (H_{index}) was performed by this study, similar to a previous approach of Zuecco et al. (2016) which described hysteresis patterns of hydrological variables and runoff events. A quantitative comparison of hysteresis loops from a large number of species using an index approach would be a way of constraining ranges of hysteresis effects in models. Satellite observations revealed that September 2015 exhibited the warmest monthly averaged surface air temperature of any other month over the past 13 years in the Central Amazon (Fontes et al., 2018). These extreme temperatures also modified T_{leaf} patterns, as observed by this study (Figure 3). Higher T_{leaf} values were reflected in a significantly higher H_{index} for the variables $T_{\text{leaf}}-T_{\text{air}}$ and Ψ_L-T_{leaf} when the 2015 dry season (ENSO period) was compared to the 2017 dry season (“control scenario”). Even though H_{index} for V_s was not calculated in this study, we found species-specific shifts in diurnal sap velocity dynamics in both 2015 and 2017 dry seasons contradicting the expected higher values of transpiration during 2015 ENSO compared to other periods due to the high evaporative demand. Brum et al. (2018), for example, found significant differences in the H_{index} for transpiration between dry and wet seasons during the 2015–2016 ENSO in the Eastern Amazon. Nevertheless, the effect of g_s during drought events needs to be clarified because trees are expected to show a strong stomatal control under drought conditions, which would offset the expected increase in transpiration H_{index} . The higher T_{leaf} values compared to T_{air} during the middle morning to early afternoon observed by this study for the species *P. anomala*, *C. longipendula*, and *P. erythrochrysa* also contradicts with the expected decrease in T_{leaf} due the transpiration effect. One possible explanation for this pattern is the leaf flushing which is, in the Central Amazon, concentrated in the five driest months (Lopes et al., 2016). On this period, it is possible that the leaf photosynthesis apparatus and tissues aren’t fully developed, reflecting directly in the g_s and transpiration patterns. Also, the increasing of light availability in

the Amazon forest during the dry season can, maybe, exert an overriding effect in T_{leaf} patterns relative to the cooling effect of transpiration.

Changes in g_s are associated with changes in Ψ_L via their mutual effects on the balance between V_s and transpiration rates. Consistent with the clockwise hysteresis between V_s-T_{leaf} and $V_s-\Delta\text{VPD}$, a counterclockwise hysteresis pattern was observed between Ψ_L-T_{leaf} . At the same T_{leaf} , Ψ_L were more negative during morning than afternoon suggesting that partial stomatal closure in the afternoon allows the leaf to recover to less negative Ψ_L (Figure 8; Jarvis, 1976). The results suggest that during 2015–2016 ENSO the trees of this study located in a plateau area have a strong isohydric behavior, by reducing stomatal conductance in the warm afternoon periods in order to reduce transpiration rates, thereby minimizing the chances for embolism (Sade et al., 2012; Roman et al., 2015). The same process can be observed in the clockwise hysteresis between V_s-T_{leaf} and $V_s-\Delta\text{VPD}$ where the partial stomatal closure in the afternoon period reduce the V_s rates compared to the morning (Figures 4, 5). In this study this pattern was called the “ g_s effect.” It should be emphasized that the daily hysteresis patterns with the morning, afternoon and night periods separated by colors make it possible to observe both the “ g_s effect” and “VPD effect” (Figure 5).

Interestingly, the counterclockwise hysteresis pattern of V_s -direct solar radiation is not driven by the partial stomatal closure in the late morning until the afternoon period, but by the high ΔVPD . At the same solar radiation intensity, higher V_s occurs during the afternoon relative to the morning and in this study was called the “VPD effect” (Figure 5). This observations suggests that partial stomatal closure in the afternoon can be offset by the effect of high ΔVPD in maintaining elevated transpiration rates under high afternoon temperatures, as previously shown in other ecosystems (O’Brien et al., 2004; Zeppel et al., 2004; Zhang et al., 2014; Novick et al., 2016; Bretfeld et al., 2018; Brum et al., 2018). Also, these results support recent findings that heat waves can be associated with sustained transpirational cooling as a key mechanism of thermotolerance (Drake et al., 2018). However, the mechanisms of transpiration cooling can be exceeded by the heat intensity during El Niño events, which increase tree mortality in the Amazon forest (Aleixo et al., 2019). Finally, the range of H_{index} for the variables T_{leaf} and T_{air} presented for the first time in this study, can be a useful tool to predict future impacts on tree mortality during extreme drought events in comparison to other periods.

The observed differences of the maximum V_s rates (curve’s maximum values (max) of sigmoidal functions during the morning period – Figure 7)) between species can be related to the diameter of the vessels (Dünisch and Morais, 2002), wood density (Eller et al., 2018) and with the susceptibility to embolism (Lovisolo and Schubert, 1998). Tree height is also an important factor which influences sun exposure and therefore the temperature of the leaves and transpiration rates (Goldstein et al., 1998). In Manaus, *E. cyathiformis* was the thinnest and shortest studied tree with 14.3 cm of DBH and 19.8 m of height and was the tree with the lowest observed V_s rates

($\sim 8 \text{ cm h}^{-1}$) during ENSO (Figure 4). In contrast, the *Pouteria* genus (*P. anomala* and *P. erythrochysa*) have large DBHs (35.3 cm and 36.5 cm) and high rates of V_s during ENSO (18 and 12 cm h^{-1} , respectively) (Figure 4). This is consistent with other studies where DBH, height and sap flow showed a positive correlation (Motzer et al., 2005). Likewise, the same correlations were observed in Santarém, where the larger DBHs showed the higher sap velocity. Also, the observed differences in curve's maximum values (*max*) of sigmoidal curves observed for V_s and T_{leaf} during ENSO period and the regular dry season (Figure 7), may be associated with the high range of functional traits and susceptibility to embolism of some trees in the Amazon forest along hydro-topographic gradients (Cosme et al., 2017; Oliveira et al., 2019). Other important issue is that mortality rates during droughts are substantially higher for large DBHs (Meakem et al., 2017), and maybe this is potentially aggravated by high transpiration rates and the crown exposure to the direct light. Another study of rain exclusion in the eastern Amazon by Rowland et al. (2015) support this observation. However, other factors are also involved since it has been shown that early-successional forests experienced more drought stress than trees in late-successional forests (Bretfeld et al., 2018). In fact, more studies are needed in the tropics, especially in the Amazon, due to the large diversity of terrestrial plants (Ter Steege et al., 2013), the wide range of functional traits and evolutionary strategies to avoid cavitation, carbon starvation, and other aspects related to drought which can modify T_{leaf} and V_s patterns.

CONCLUSION

For the first time in the Amazon forest, the quantitative differences and the hysteresis pattern between T_{leaf} and T_{air} were demonstrated and compared during the 2015 (ENSO) and 2017 ("control scenario") dry seasons. The relationship between T_{leaf} and T_{air} was significantly different between these two periods and, in general, T_{leaf} was higher than T_{air} during the middle morning to early afternoon. The use of the variable T_{leaf} together with T_{air} are extremely important to ecophysiological observations due to the differences in terms of magnitude and temporal patterns. Also, T_{leaf} is an important variable to estimate the true water vapor pressure gradient between the substomatal cavity and the boundary layer of the air near the leaf surface (ΔVPD). Moreover, sap velocity displayed species-specific diurnal hysteresis patterns that were strongly linked to g_s and VPD and reflected by changes in T_{leaf} . In the morning, g_s was linearly related to T_{leaf} and sap velocity displayed a sigmoidal relationship with T_{leaf} . In the

afternoon, stomatal conductance declined as T_{leaf} approached a daily peak, allowing Ψ_L to begin recovery, while sap velocity declined with an exponential relationship with T_{leaf} . Hysteresis indices ($T_{\text{leaf}} : T_{\text{air}}$ and $T_{\text{leaf}} : \Psi_L$) were much more pronounced during the ENSO event than during a typical dry season and varied between species, which reflects species-specific capacitance and tree hydraulic traits. Future research may address a new modeling approach using the magnitude of the hysteresis loops (H_{index}) to measure, for example, the intensity of the droughts and how it impacts plant communities. Finally, the hysteretic behavior of the transpiration separated by morning, afternoon and night periods is the key to understand the complexity of this process in a changing climate and improve the current models.

AUTHOR CONTRIBUTIONS

BG, KJ, RN-J, IS-F, CF, LC, JH, JC, NH, TD, and NM performed the experiments and analyzed the data. JC, NH, TD, and NM planned and designed the experiments. BG and KJ wrote the manuscript. CF, AA, JW, BN, CV, DC, GS, CK, and NM improved the manuscript.

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SUPPLEMENTARY MATERIAL

The Supplementary Material for this article can be found online at: <https://www.frontiersin.org/articles/10.3389/fpls.2019.00830/full#supplementary-material>

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