



Progress of Research on the Regulatory Pathway of the Plant Shade-Avoidance Syndrome

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When subject to vegetational shading, shade-avoiding plants detect neighbors by perceiving reduced light quantity and altered light quality. The former includes decreases in the ratio of red to far-red wavelengths (low R:FR) and low blue light ratio (LBL) predominantly detected by phytochromes and cryptochromes, respectively. By integrating multiple signals, plants generate a suite of responses, such as elongation of a variety of organs, accelerated flowering, and reduced branching, which are collectively termed the shade-avoidance syndrome (SAS). To trigger the SAS, interactions between photoreceptors and phytochrome-interacting factors are the general switch for activation of downstream signaling pathways. A number of transcription factor families and phytohormones, especially auxin, gibberellins, ethylene, and brassinosteroids, are involved in the SAS processes. In this review, shade signals, the major photoreceptors involved, and the phenotypic characteristics of the shade-intolerant plant *Arabidopsis thaliana* are described in detail. In addition, integration of the signaling mechanisms that link photoreceptors with multiple hormone signaling pathways is presented and future research directions are discussed.

Keywords: shade-avoidance syndrome, photoreceptors, phytochrome-interacting factors, phytohormones, signaling mechanisms

INTRODUCTION

Sunlight is the energy source for plant growth. The spectrum of solar radiation utilized by green plants for conducting photosynthesis is termed photosynthetically active radiation (PAR; 400–700 nm). When PAR or light quality is lower than a certain saturation (Morgan and Smith, 1978), plants receive optical signals caused by canopy shade. To reduce the degree to which they are affected by the shade, a series of responses termed the shade-avoidance syndrome (SAS) is triggered (Morgan and Smith, 1978; Smith, 1982; Smith and Whitelam, 1997).

During the evolution of plants, selective advantages have led to phenotypic differences among species. Some shade-tolerant plants, such as *Alocasia*, have thin leaves that contain a high chlorophyll content. Their leaf epidermal cells, similar to a camera lens, focus light on the mesophyll tissues so that weak light can be utilized to conduct effective photosynthesis (Middleton, 2001). However, with respect to the shade-intolerant *Arabidopsis thaliana*, at the seedling stage, hypocotyls and stems

growing in a shaded environment are severely elongated. The cotyledon and early true leaves grow at a higher position on the stem to weaken the degree to which the plants are shaded. At the rosette stage, the shade signals result in upward bending of the cotyledon and true leaves, which is termed hyponasty. As a result of hyponasty, the leaf lamina is placed at a higher, more favorably lit position (**Figure 1**). In addition, shade signals weaken expansion of the leaf lamina but strengthen petiole elongation. Longer petioles enhance the amplitude of fluctuation in blade position to avoid the shade environment caused by surrounding plants (Casal, 2012). During the period of cauline leaf growth, shade signals result in earlier flowering and fewer branches. As for vegetative *Arabidopsis*, it typically grows similar to a rosette, and elongation of the internode and generation of cauline leaves is associated with subsequent reproductive growth and development. Accelerated flowering allows plants to complete the life cycle quickly to reduce the chance of future shade. Reduction in branching is an additional response of plants to avoid shade, because in *Arabidopsis* prolific development of branches from the basal rosette will undoubtedly increase the proportion of shaded tissues.

In this manner, immovable plants can adjust their growth strategy and change their spatial configuration to capture greater amounts of sunlight and occupy a larger spatial area during competition with surrounding plants (Ballaré et al., 1990). Undoubtedly, under high-density planting, some responses of shade-avoidance, such as reorientation of leaves toward more light, are beneficial to plants. However, some effects on the perception of shade signals, such as elongation growth and accelerated flowering at inappropriate phases, may be detrimental for yield (Donohue et al., 2001; Kebrom and Brutnell, 2007). Although breeding programs have led to improved performance of new cultivars under high planting density, many crops remain sensitive to and responsive to canopy shade. Here, based on recent progress in understanding the SAS, shade signals and key regulatory factors are reviewed, mostly focusing on *Arabidopsis*. In addition, current knowledge

of the signaling mechanisms linking several photoreceptors with a variety of hormone signaling pathways is discussed.

PERCEPTION OF SHADE SIGNALS BY PHOTORECEPTORS

Light Signaling

Light signaling refers to the alterations of surrounding light conditions perceived by the plant photoreceptors. When the vegetation is dense, the majority of red light (R; $\lambda = 600\text{--}700\text{ nm}$) and blue light (B; $\lambda = 400\text{--}500\text{ nm}$) is preferentially absorbed by crop leaves at a higher position. The reflected or transmitted light is enriched in the green (G; $\lambda = 500\text{--}580\text{ nm}$) and far-red (FR; $\lambda = 700\text{--}800\text{ nm}$) spectral regions, leading to a decrease in the ratio of R:FR (low R:FR) and low blue light (LBL). Plants perceive these shade signals through multiple photoreceptors, which in turn initiate signaling cascades to cause the SAS (Morgan and Smith, 1978; Smith, 1982; Smith and Whitelam, 1997).

Under normal conditions, R:FR is approximately 1.2–1.5 at midday, varying little with season or weather conditions. Underneath the vegetation canopy, the value can be as low as 0.05 (Smith, 1982). On the basis of previous research, four approaches can be adopted to simulate and study the shade signal. As early as 1978, by ensuring PAR, Morgan and Smith (1978) added far red light to white light to reduce R:FR and applied treatments to study the SAS in plants. The PAR can be provided artificially or through sunlight, and in this manner, the plant can be exposed to light of the ideal R:FR ratio. The second approach is realized by applying a pulse of far-red light at the end of the daily photoperiod. To achieve the expected results, this brief decrease in R:FR must be drastic. Given that R:FR fluctuates during the day, slight variation in R:FR may be ineffective. In addition, the light of certain wavebands can be reduced with color filters placed above the plant or around the stem (Yanovsky et al., 1995). Finally, using a genetic approach, mutants with



FIGURE 1 | Phenotype of *Arabidopsis* plants grown under low or high red: far-red light (R:FR) ratio. **(A)** Phenotype of *Arabidopsis* plant grown in an open environment under white light. **(B)** Phenotype of *Arabidopsis* plant grown under high-density canopy shade (R:FR ratio 0.2–0.4).

optical-signal defects can be treated to observe the physiological and molecular outputs under real sunlight and shade light conditions (Sellaro et al., 2010; Sellaro et al., 2011). Although some of the afore-mentioned experiments may encounter technical difficulties in accurately simulating the natural environment, such experimental conditions are conducive to study the SAS.

Photoreceptors

At least five classes of photoreceptors in plants are recognized. These classes comprise phytochromes that absorb red and far-red light, cryptochromes that absorb UV-A light ($\lambda = 315\text{--}400$ nm) in the blue light and near ultraviolet areas, phototropin that absorbs blue light, the ZEITLUPE (ZTL) group of proteins that absorb blue-green light, and UV-B RESISTANCE 8 (UVR8) discovered in 2011 that absorbs UV-B light ($\lambda = 280\text{--}315$ nm) (Chory, 2010; Rizzini et al., 2011; Losi and Gärtner, 2012).

The two photo-convertible isoforms of phytochromes are the red light-absorbing form (Pr) and far-red light-absorbing form (Pfr). Five phytochrome genes (*PHYA*–*PHYE*) have been identified in *Arabidopsis* (Franklin and Quail, 2010). *PHYB* plays a prominent role in regulation of the SAS. *Arabidopsis phyB* mutants display a constitutive SAS under normal and high R:FR environments, suggesting that *PHYB* plays a negative role in the control of the SAS (Reed et al., 1993). *PHYB*, *PHYD*, and *PHYE* function redundantly to regulate leaf morphology and flowering time in response to low R:FR (Aukerman et al., 1997; Devlin et al., 1998; Franklin et al., 2003). Given gene replication, *PHYC* is probably descended from the *PHYA* lineage (Mathews and Sharrock, 1997). *PHYA* is rapidly degraded in its Pfr form, whereas *PHYB*–*PHYE* are all relatively stable in the respective Pfr forms (Bae and Choi, 2008; Franklin and Quail, 2010; Casal, 2013). Previous studies have shown that the SAS induced by *PHYB* deactivation is gradually antagonized by *PHYA*, which is intensely induced by low R:FR to inhibit the excessive elongation response of seedlings (Martinez-Garcia et al., 2014). In addition, as the receptor of far-red light, *PHYA* plays a key role in de-etiolation in FR-rich environments, such as extremely low R:FR (Shinomura et al., 2000; Rausenberger et al., 2011; Casal et al., 2014).

Monocotyledon species harbor three phytochromes, namely *PHYA*, *PHYB*, and *PHYC* (Kay et al., 1989). Maize has two *PHYB* alleles, *PHYB1* and *PHYB2*, which are completely or partially functionally redundant on apical dominance, elongation reaction, and flowering time (Sheehan et al., 2004; Sheehan et al., 2007). Sorghum *phyB1* mutants exhibit SAS phenotypes, such as insensitivity to photoperiod, elongation reaction, low chlorophyll content, and no presentation of de-etiolation under high-intensity red-light radiation (Finlayson et al., 2007; Kebrom et al., 2010). In addition, the rice *phyB* and *phyC* mutants and the double mutants *phyAphyB* and *phyAphyC* all show early flowering and the SAS (Jumtee et al., 2009; Sun et al., 2015).

In *Arabidopsis*, the cryptochrome group includes three genes, namely *CRY1*, *CRY2*, and *CRY3*. Under any light condition, *CRY1* can be detected in the nucleus and cytoplasm, whereas *CRY2* is mainly enriched in the nucleus and is degraded under

blue light (Yu et al., 2007). *CRY1* and *CRY2* not only act upstream of *PHYTOCHROME INTERACTING FACTOR 4* (*PIF4*) and *PIF5*, but also physically interact with phytochrome-interacting factors (PIFs) to modulate activities of PIFs to promote growth under low-intensity blue light (Pedmale et al., 2016). Activation of the UVR8 photoreceptor enhances rapid *PIF5* degradation via the ubiquitin-proteasome system to attenuate plant responses to canopy shade (Hayes et al., 2014; Mazza and Ballaré, 2015; Sharma et al., 2019). In *Arabidopsis*, *ztl* mutants are hypersensitive to red light and ZTL interacts with *PHYB* and *CRY1* (Somers et al., 2000; Jarillo et al., 2001; Kevei et al., 2006). ZTL is shown to modulate *PHYB*-mediated shade signaling via an auxin-dependent manner in the wild tobacco *Nicotiana attenuate* (Zou et al., 2019).

SIGNALING PATHWAYS OF SAS

Shade-avoidance responses involve a cascade reaction of the light signal system, plant hormone signaling pathways, and growth regulation (Smith and Whitelam, 1997; Yang and Li, 2017). Current progress in this field of study has mainly focused on the model plant *Arabidopsis* and limited research has been conducted on other plants, especially crops. According to current knowledge, the Pfr of *PHYB* interacts with PIFs, which are phosphorylated and degraded. As the main switch for the cascade reaction of multiple downstream signals (Figure 2), PIFs function by regulating the expression of downstream transcription factors that positively or negatively modulate diverse growth processes, such as earlier flowering, elongation reaction, and branching (Khanna et al., 2004; Leivar et al., 2008; Lorrain et al., 2008; Leivar and Quail, 2011; Li L. et al., 2012).

PIF Transcription Factors

As transcription factors of the basic helix–loop–helix (b-HLH) family, the prominent function of PIFs is to mediate light signal transduction by interacting with photoreceptors to regulate plant growth and development, such as photomorphogenesis and SAS (Huq and Quail, 2002; Kim et al., 2003; Salter et al., 2003; Huq et al., 2004; Oh et al., 2004; Penfield et al., 2005; Shin et al., 2007). Some PIF proteins, such as *PIF1* and *PIF3*, interact with both *PHYB* and *PHYA*, whereas other PIFs, such as *PIF4*, *PIF5*, and *PIF7*, interact preferentially with *PHYB* (Khanna et al., 2004; Leivar et al., 2008). After interaction with *PHYB*, *PIF3*, *PIF4*, and *PIF5* are quickly phosphorylated, then degraded by proteasomes through ubiquitination (Khanna et al., 2004; Leivar et al., 2008; Lorrain et al., 2008). However, unlike its homologs, *PIF7* is not rapidly degraded in light (Leivar et al., 2008). Shade treatment rapidly decreases the amount of phosphorylated *PIF7* but increases the amount of dephosphorylated *PIF7*, which is important in the function of *PIF7* in regulating the SAS (Li L. et al., 2012). And studies have shown that 14-3-3 proteins negative regulators of the shade response can delay the de-phosphorylation and nuclear import of *PIF7* in response to shading (Huang et al., 2018).

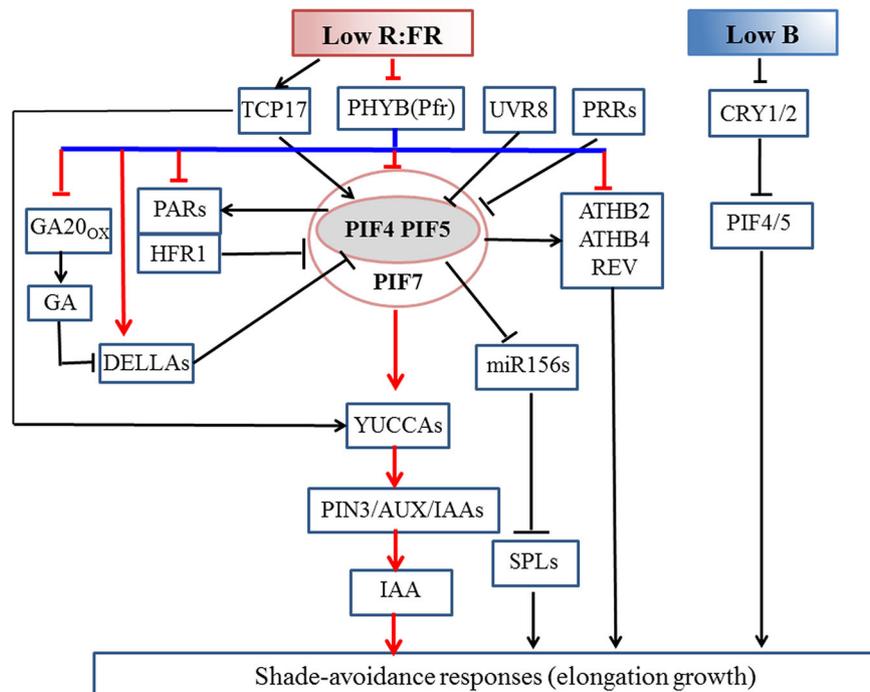


FIGURE 2 | Molecular mechanism of the shade-induced elongation growth in *Arabidopsis thaliana*. Low R:FR enhances the functions of PIF4/5/7 by inhibiting the activity of phyB under shaded conditions. During the early shade response, low R:FR signal activates PIF4/5/7, thus promoting auxin biosynthesis in the cotyledon, which is then transported out to the hypocotyl where it induces cell elongation. Under prolonged shade conditions, PIF4/5/7 modulate IAA signaling pathway to increase auxin sensitivity. The binding of DELLA and PIF proteins simultaneously results in PIF inactivation. *ATHB2*, *ATHB4*, *REV*, *HFR1*, and *PARs* are positively regulated by PIFs. *HFR1* and *PARs* bind to PIFs to form non-functional complexes to inhibit the SAS by means of a negative feedback loop. PIFs inhibit the expression of *miR156* to mediate shade-avoidance response (SAS). *UVR8* and central clock components *PRR* proteins negatively regulate SAS through triggering PIF degradation and repressing transcriptional activity of PIF proteins, respectively. Under a shade condition, stable and accumulated *TCP17* protein positively regulate SAS via activating *PIF4* and *PIF5*. Low blue light levels depressed *CRY1* activity and also increase the abundance of *PIF4* and *PIF5* to trigger hypocotyl elongation with no alteration in detectable auxin amounts or sensitivity. Arrows indicate positive regulation; blunt arrows indicate negative regulation. Pfr, Far-Red light absorbing, biologically active form of phytochrome. Pfr to Pr conversion is optimized by far-red light wavelengths (725–735 nm). Pr, Red-light absorbing, biologically inactive form of phytochrome. Pr to Pfr conversion is optimized by red wavelengths (660–670 nm).

PIF4 and PIF5 are positive regulators of the SAS (Lorrain et al., 2008). Compared with the wild type, hypocotyls of the single mutants *pif4* and *pif5* and the double mutant *pif4pif5* display decelerated elongation growth in the case of simulated vegetative shade (Lorrain et al., 2008). Over-expression of full-length or truncated *PIF5* causes the constitutive SAS, even in the absence of shade signal (Lorrain et al., 2008). Perception of low R:FR by the phytochromes stimulates the accumulation of PIF4 and PIF5, which ultimately modulate elongation growth (Lorrain et al., 2008). Similar to PIF4 and PIF5 (Lorrain et al., 2008; Soy et al., 2012), PIF1 and PIF3 are also conducive to SAS, except that the magnitude of their contribution is weaker than that of PIF4 and PIF5 (Leivar et al., 2012a). Within 10 h after treatment with low R:FR illumination, *pif4pif5* double mutants retain shade-avoidance responses to reduced R:FR (Cole et al., 2011), although this shade responsiveness was attenuated in *pif7* mutants (Li L. et al., 2012). Compared with PIF quartet (PIFq; PIF1, PIF3, PIF4, and PIF5) members, PIF7 plays a dominant role in the PHYB-mediated SAS because of the severer shade-defective phenotype of *pif7* mutants (Li L. et al., 2012; Mizuno et al., 2015). In

addition, PIF7 directly affects the biosynthesis of auxin by activating downstream genes including *YUCCA8* and *YUCCA9* in low R:FR (Li L. et al., 2012). However, the residual responsiveness of *pif7* and *pifq* mutants to low R:FR indicates that other currently unknown pathways or factors control this process. It is worth stating that seedlings of *pif4* and *pif5* fail to elongate under LBL, indicating that PIF4 and PIF5 predominantly mediate responses to LBL (Pedmale et al., 2016).

Other Regulatory Factors

Overexpression of *PIF3-LIKE 1 (PIL1)* causes a shift in the biological clock and extreme elongation of hypocotyls (Salter et al., 2003), and these processes are dependent on PIF4 and PIF5 (Salter et al., 2003; Lorrain et al., 2008). During 2 h treatment with low R:FR, the hypocotyl elongation of *pil1* mutants was inhibited (Lorrain et al., 2008), but after continuous treatment for 5 d under low R:FR, significant elongation of hypocotyls was observed (Roig-Villanova et al., 2006). These results indicate that in the regulation of hypocotyl elongation induced by low R:FR, *PIL1* plays both positive and negative roles.

An additional b-HLH gene, *LONG HYPOCOTYL IN FAR-RED LIGHT (HFR1)*, is quickly up-regulated by low R:FR, and its high expression level is maintained during the following several days (Sessa et al., 2005). Accumulation of HFR1 is induced by prolonged illumination of low R:FR and, subsequently, non-active heterodimers with PIF4 and PIF5 are formed (Sessa et al., 2005; Hornitschek et al., 2009). Compared with the wild type, hypocotyl elongation of *hfr1* mutants is more significantly promoted by low R:FR, whereas transgenic seedlings overexpressing *HFR1* display suppressed hypocotyl elongation (Sessa et al., 2005; Galstyan et al., 2011). Similar to *HFR1*, even with treatment using the protein synthesis inhibitor cycloheximide (CHX), the transcripts of atypical b-HLH transcription factors *PHYTOCHROME RAPIDLY REGULATED 1 (PAR1)* and *PAR2* are induced quickly and reversibly by low R:FR (Roig-Villanova et al., 2006). These findings indicate that under low R:FR, PIFs activate *HFR1*, *PAR1*, and *PAR2* by means of a negative feedback loop to inhibit the SAS (Zhou et al., 2014). Therefore, *PAR1*, *PAR2*, and *HFR1* are activated by low R:FR, but function negatively by forming a negative feedback loop.

In addition to b-HLH transcription factors (PIFs, *PIL1*, *HFR1*, *PAR1*, and *PAR2*), members of the homeodomain-leucine zipper (HD-Zip) II and HD-Zip III classes of transcription factors are involved in regulation of the SAS (Brandt et al., 2012; Turchi et al., 2015; Merelo et al., 2017). As the first HD-Zip II gene observed to be rapidly and reversibly regulated by changes in R:FR light, *ARABIDOPSIS THALIANA HOMEBOX 2 (ATHB2/HAT4)* is involved in the elongation response induced by changes in light quality (Carabelli et al., 1993; Carabelli et al., 1996; Steindler et al., 1999). Seedlings with raised levels of *ATHB2* show the elongation response under high R:FR, whereas loss-of-function of *ATHB2* results in an attenuated elongation response under low R:FR (Carabelli et al., 1993; Carabelli et al., 1996; Steindler et al., 1999). *PHYB*, *PHYD*, and *PHYE* are involved in the regulation of *ATHB2* by low R:FR light (Franklin et al., 2003) and *ATHB2* is recognized by PIF5 *in vivo* (Hornitschek et al., 2012). Under a shade environment, inactivation of *PHYB* increases the stability of PIF proteins, which induces transcriptional expression of *ATHB2* and *ATHB4* (Hornitschek et al., 2012; Gallemí et al., 2017). Subsequently, the elongation reaction of plants is further promoted. Four additional HD-Zip II genes, *HOMEBOX ARABIDOPSIS THALIANA 1 (HAT1)*, *HAT2*, *HAT3*, and *ATHB4*, are indicated to be up-regulated by low R:FR (Ciarbelli et al., 2008; Sorin et al., 2009). In addition, under white light, *athb4hat3* mutants do not display a significant difference from the wild type, whereas under low R:FR hypocotyl growth is significantly inhibited compared with that of the wild type (Sorin et al., 2009).

The HD-Zip III transcription factors represented by *REVOLUTA* have also been shown to positively regulate not only the auxin biosynthesis gene *TRYPTOPHAN AMINOTRANSFERASE OF ARABIDOPSIS 1 (TAA1)* and *YUCCA5 (YUC5)* but also the HD-ZIP II genes *HAT2*, *HAT3*, *ATHB2/HAT4*, and *ATHB4* (Brandt et al., 2012). Compared with wild-type plants, *rev* mutants display significantly shorter

hypocotyls under low R:FR, whereas seedlings that express functional *REV* exhibit slightly longer hypocotyls in simulated sunlight (Brandt et al., 2012; Merelo et al., 2017). Both HD-Zip II and HD-Zip III proteins are positive regulators of the SAS, but whether they function together in the regulation of downstream gene expression is unknown.

In plants, the TEOSINTE BRANCHED 1, CYCLOIDEA, and PCF (TCP) family of transcription factors perform important functions at diverse stages of plant growth and development, such as leaf morphogenesis, petal development, and flowering (Efroni et al., 2008; Huang and Irish, 2015). It has been recently demonstrated that *TCP17* and two additional homologs, *TCP5* and *TCP13*, can activate auxin biosynthesis to initiate hypocotyl elongation induced by shade through both PIF-dependent and -independent pathways. Under constitutive white light, *tcp5 tcp13 tcp17* triple mutants exhibit a slight hypocotyl defective phenotype, whereas under shade hypocotyl elongation of the triple mutants was reduced significantly, suggesting a positive function of TCPs in mediating the SAS (Zhou et al., 2018). Recently, accompanying research findings prove that central clock components PSEUDO-RESPONSE REGULATORS (*PRR1/TOC1*, *PRR5*, *PRR7*, *PRR9*) negatively regulate shade-avoidance response by directly repressing transcriptional activity of PIF proteins (Franklin, 2020; Zhang et al., 2020). Two other clock rhythm related proteins *EARLY FLOWERING 3 (ELF3)* and *CONSTANS* were suggested to regulate shade-avoidance and *PIF7* was involved (Jiang et al., 2019; Zhang et al., 2019).

In addition to the afore-mentioned transcription factors, additional proteins and microRNAs are involved in regulation of the SAS. Ectopic expression of the upland cotton gene *FLOWERING PROMOTER FACTOR 1 (FPF1)* in transgenic *Arabidopsis* results in SAS responses, such as earlier flowering and elongation of petioles and hypocotyls (Wang et al., 2014; Wang et al., 2015). Under low R:FR, accumulated PIF proteins can bind directly to promoters of multiple members of the *MIR156* gene family to inactivate the expression of these *MIR156* genes, thus inducing the expression of *SQUAMOSA PROMOTER-BINDING PROTEIN-LIKE (SPL)* genes as the targets of *MIR156* genes. The activated *SPL* genes regulate a suite of essential agronomic traits, such as plant height, branch number, petiole length, leaf number, leaf area, and flowering time (Xie et al., 2017). Recruited by PIF7, *ARABIDOPSIS MORF RELATED GENE 1 (MRG1)* and *MRG2* were combined with H3K4me3/H3K36me3 to induce histone acetylation and, in this manner, the two genes promote the expression of shade-responsive genes, which include *YUCCA8* and *IAA19* that participate in the biosynthesis and signaling pathways of auxin, and *PACLOBUTRAZOL RESISTANCE1/BANQUO1 (PRE1/BNQ1)*, which is involved in brassinosteroid-regulated cell elongation (Peng et al., 2018).

ROLES OF PHYTOHORMONES IN SAS

When plants grow in a shade environment, the perception of *PHYB* to low R:FR down-regulates the active Pfr form of *PHYB*.

As a result, PIF transcription factors accumulate in the nucleus and control the expression of downstream shade-responsive genes. Low blue light levels depressed CRY1 activity and also increase the abundance of at least PIF4 and PIF5, particularly when combined with low R:FR. In fact, PIFs are emerging as hubs of signal integration, activating several of their targets, including auxin synthesis-related genes, to control plant developmental responses to shade signals (Hornitschek et al., 2012; Li L. et al., 2012; Zhang et al., 2013). Within 1 h of low R:FR treatment, free indole-3-acetic acid (IAA) contents in *Arabidopsis* shoots increased by over 50% (Tao et al., 2008; Li L. et al., 2012; Kohnen et al., 2016). In addition to auxin, gibberellin is also an important hormone involved in SAS (Garcia-Martinez and Gil, 2001; Djakovic-Petrovic et al., 2007; Kurepin et al., 2007a).

Auxin

Many studies have shown that, as the main natural form of auxin, indole-3-acetic acid (IAA) plays important roles in regulating growth and developmental processes, such as maintenance of apical dominance, responses to light, geotropism, formation of roots and stems, differentiation of vascular bundles, embryonic development, and stem elongation (Zhao, 2018). The gene *SHADE AVOIDANCE 3 (SAV3)/TRYPTOPHAN AMINOTRANSFERASE OF ARABIDOPSIS 1 (TAA1)* was identified using a forward genetic screen for mutants defective in SAS responses (Tao et al., 2008). Mutation of *SAV3/TAA1* causes insensitivity of hypocotyl elongation to low R:FR illumination (Tao et al., 2008). Predominantly expressed in the cotyledon, *TAA1* encodes a crucial enzyme that catalyzes conversion of tryptophan (Trp) to indole-3-pyruvic acid (IPA) (Stepanova et al., 2008; Zhou et al., 2011). The conversion of IPA to IAA is a rate-limiting step in IAA biosynthesis, which is completed by the catalysis of flavin monooxygenase encoded by *YUCCA (YUC)* genes (Won et al., 2011). *YUC2*, *YUC5*, *YUC8*, and *YUC9* are directly activated by PIF4, PIF5, and PIF7, and the *yuc2yuc5yuc8yuc9* deletion mutants were defective in shade-avoidance responses (Hornitschek et al., 2012; Li L. et al., 2012). In hypocotyls, shade induces expression of the auxin output protein PINFORMED 3 (*PIN3*), and the sensitivity of *pin3-3* mutants to shade is severely impaired (Friml et al., 2002). Additional studies indicate that *PIN3*-mediated SAS might be universally adapted to shade-intolerant plants, because *PIN3* is predominantly localized on the lateral cellular of endodermis cells to form an essential auxin gradient under low R:FR light (Keuskamp et al., 2010).

In addition to the synthesis and transport of auxin, the signaling transduction of auxin also plays an important role in the SAS induced by low R:FR. The deletion mutant *tir1* of the auxin receptor *TRANSPORT INHIBITOR RESPONSE 1 (TIR1)* is insensitive to low R:FR illumination, and the *TIR1* antagonist α -(phenylethyl-2-one)-IAA significantly inhibits hypocotyl elongation under low R:FR (Dharmasiri et al., 2005; Roig-Villanova et al., 2006). In hypocotyls, the high level of PIF4 may induce transcripts of the early auxin-responsive genes *MSG2/IAA19* and *IAA29*, which in turn reduce the expression

level of the growth-repressive gene *IAA17* (Hornitschek et al., 2012; Li L. et al., 2012; Pucciariello et al., 2018). Recent studies demonstrate that three auxin-responsive factors (ARF6, ARF7, and ARF8) are conducive to hypocotyl elongation in low R:FR environments (Reed et al., 2018). With regard to PHYB-mediated shade-avoidance responses, auxin contents and auxin-related genes are up-regulated to promote growth (Keuskamp et al., 2010), but hypocotyl elongation triggered by LBL does not involve alteration in detectable auxin amounts or sensitivity (Pedmale et al., 2016). Further evidence suggests that there are two stages in auxin's roles in SAS (Villanova et al., 2007). During the early hours in shade, the responses are mediated by increased levels of the hormone auxin (Tao et al., 2008; Li L. et al., 2012; Kohnen et al., 2016). But in prolonged shade, the abundance of PIFs, selected auxin receptors and their downstream transcriptional regulators are converted to enhance growth responses, while auxin levels return to those observed before shade (Pucciariello et al., 2018).

Gibberellin

Gibberellins, first identified in rice, play an important role in regulating diverse developmental processes, such as seed germination, cell elongation, flower induction, and fruit development (Hauvermale et al., 2012). The hypocotyl elongation induced by the *phyB* null mutation in response to low R:FR or LBL is significantly inhibited in a gibberellin-synthesis mutant background (Reed et al., 1996; Djakovic-Petrovic et al., 2007). Furthermore, gibberellin synthesis inhibitor PAC could also inhibit the hypocotyl growth of seedlings in the background of *phyB* mutation, low R:FR or LBL (Reed et al., 1996; Djakovic-Petrovic et al., 2007). Under an identical PAR intensity, in which stem elongation of leguminous plants and oilseed rape is induced in a low R:FR environment, the content of endogenous GAs in the shoot tip is increased (Gawronska et al., 1995; Beall et al., 1996; Potter et al., 1999). GIBBERELLIN 20-OXIDASE 3 (*GA20OX3*), which is a critical factor involved in GA synthesis, is significantly up-regulated by low R:FR (Devlin et al., 2003). The afore-mentioned results reveal that GA is extremely important for hypocotyl elongation induced by shade-avoidance.

Definitive proof that PHYB affects GA content is presently lacking because GA has various active forms. Compared with the wild type, the contents of some active forms in the *phyB* mutant do not differ significantly, whereas the amounts of certain other active forms are too low to detect (Reed et al., 1996). Although it is unclear whether PHYB regulates GA synthesis, the *phyB* mutant is insensitive to GA treatment (Reed et al., 1996), which indicates that PHYB and GA signals may show a different relationship. After GA binds with the receptor, the activated receptor induces degradation of DELLA growth repressors in the GA signaling pathways. In *Arabidopsis*, the DELLA proteins consist of five members: GIBBERELLIC ACID INSENSITIVE (*GAI*) (Peng et al., 1997), REPRESSOR OF GA (*RGA*) (Silverstone et al., 1998), *RGA-Like1 (RGL1)*, *RGL2*, and *RGL3* (Lee et al., 2002; Wen and Chang, 2002; Cheng et al., 2004). The transcript level of *GAI* is up-regulated in a low R:FR environment under the control of PHYB, and is moderated by

PHYA (Devlin et al., 2003). Previous studies indicate that *phyB* mutants contain constitutively low contents of RGA and low R:FR results in a sharp (within minutes) decrease in RGA accumulation (Leone et al., 2014). When low R:FR treatment, the petiole length of the quadruple mutant *gai/rga/rgl1/rgl2* does not differ significantly from that of the wild type, but the hypocotyl is longer than that of the wild type (Djakovic-Petrovic et al., 2007). This demonstrates that degradation of DELLA proteins is not necessary for petiole elongation, but the integration of other signaling pathways plays an important role in the regulation of hypocotyl elongation after degradation of DELLA proteins (Djakovic-Petrovic et al., 2007). Accumulation of DELLA proteins abolishes the interaction between PIFs and the promoter of the target gene to suppress PIF transcriptional activity for coordination of hypocotyl elongation (De Lucas et al., 2008; Feng et al., 2008). Additional research suggests that DELLA proteins also stimulate PIF degradation, which is independent of the light-mediated PIF3 degradation pathway, as it can occur in the absence of activated PHYB and the LIGHT-RESPONSE BTB E3 ligase system (Li et al., 2016).

Brassinosteroid

In *Arabidopsis*, shade-induced hypocotyl elongation was absent in BR biosynthesis mutant *dwarf1* (Luccioni et al., 2002) and *rot3* (Kim et al., 1998), as with wild-type seedlings treated with the BR synthesis inhibitor brassinazole (Keuskamp et al., 2011). Brassinosteroids (BRs) are also essential for petiole growth under low R:FR (Kozuka et al., 2010). Expression of the BR receptor *BRASSINOSTEROID INSENSITIVE 1 (BRI1)* was up-regulated by low R:FR (Roig-Villanova et al., 2006; Sorin et al., 2009). *BRASSINAZOLE-RESISTANT 1 (BZR1)*, regulating BR signaling pathway, interacts with DELLAs to inhibit the expression of BR-responsive genes (Li Q. F. et al., 2012). BZR1 and PIF4 physically interact and co-regulate their target genes of highly enriched in auxin-responsive and cell wall-related genes, which are repressed by light (Oh et al., 2012; Kohonen et al., 2016). Moreover, the DELLA-BZR1-PIF4 module antagonizes light signaling by activating the auxin signaling and up-regulating the expression of genes related to longitudinal expansion of cells (Casal, 2013; De Lucas and Prat, 2014). Therefore, the module may also play a similar role in responding to shade, but further research is needed. Although certain factors in the BR metabolic pathway are involved in the SAS, the underlying mechanism of their responses to low R:FR or LBL requires further investigation.

Ethylene

Ethylene, as an endogenous plant-synthesized small molecule, acts at trace levels to regulate diverse developmental processes in plants. Low R:FR increases ethylene concentrations in wild-type tobacco (Pierik et al., 2004). In *Arabidopsis*, shade-induced petiole elongation is absent in the ethylene-insensitive mutants *ein2-1* and *ein3-1eil1-3*, suggesting that ethylene is a positive regulator of shade-induced petiole elongation (Pierik et al., 2009). In *Arabidopsis*, transcription of *1-AMINOCYCLOPROPANE-1-CARBOXYLIC ACID SYNTHASE (ACS2)* is negatively

controlled by PHYB (Rodrigues et al., 2014). Compared with wild-type plants, a significantly higher concentration of ethylene is produced in *phyAphyB* mutants, and multiple phenotypes of *phyAphyB* mutants are rescued by application of an ethylene biosynthesis inhibitor (Foo et al., 2006). Similarly, in *Brassica napus BnCRY1*-overexpression seedlings, the transcript levels of ACS5 and ACS8 are reduced compared with those of the WT seedlings (Sharma et al., 2014). These results suggest that ethylene synthesis may be negatively regulated by PHYB and CRY1. Moreover, ethylene promotes hypocotyl elongation by increasing PIF3 expression in light-grown seedlings (Zhong et al., 2012). In *Arabidopsis*, transcripts of ACS4 and ACS8, which encode critical enzymes in the ethylene biosynthesis pathway, are stimulated by PIF5 (Thain et al., 2004; Khanna et al., 2007). These results suggest an intensive crosstalk between ethylene and PHYB, but the roles of ethylene signal components in SAS are worthy of further studies.

SAS IN CROP

Under high-density planting, the reorientation of leaves towards more light increases individual fitness, but the achievements of elongation growth and accelerated flowering at inappropriate stages are at the expense of leaf area, tiller, and biomass (Donohue et al., 2001; Kebrom and Brutnell, 2007; Carriedo et al., 2016). Although breeders have weakened some of the responses of staple crops by targeting yield, they have not completely eliminated them. A major challenge will be to determine which responses should be manipulated in order to have a significant impact on crop yield, yield stability, crop health, and/or plant quality (Ballaré and Pierik, 2017). Prior to this, the phenotypes and signal transduction mechanisms of different crops in shade need to be clarified. As other plant species, both internodes and petioles of tomato plants are elongated more when exposed to low R:FR. Unlike other species, the size of the shoot apical meristem (SAM), incipient leaf primordia, and the leaf blade of tomato plants are enlarged when exposed to shade. The alteration of leaf morphology has been observed both in cultivated (Stepanova et al., 2011) and wild species (Chitwood et al., 2012). It is shown that low R:FR light produced a typical SAS in *Medicago sativa*, with increased internode and petiole lengths, but unexpectedly with delayed flowering (Christian et al., 2019). Furthermore, a genome-wide expression analysis of rice also uncovered inadequate induction of auxin-responsive genes in the coleoptile when the seedlings were exposed to low R:FR light (Liu et al., 2016). Coincidentally, the Gene Ontology (GO) analysis of maize seedlings exposed to low R:FR light revealed the lack of an enrichment in auxin-responsive genes among those induced by low R:FR light (Wang et al., 2016). Therefore, it is inferred from extensive data collection that auxin response may be a feature of shade-avoidance in dicotyledonous plants, rather than play an important role in monocotyledons (Kurepin et al., 2007b; Procko et al., 2014; Iglesias et al., 2018).

DISCUSSION

The above findings robustly indicate that multiple photoreceptors as well as several central circadian components connect immediately to downstream transcriptional networks through direct binding to, and repression of, the members of PIF quintet (PIF1, PIF3, PIF4, PIF5, and PIF7) that comprise the signaling hub (Franklin, 2020; Zhang et al., 2020). *Arabidopsis* is an excellent model system to uncover and dissect mechanisms regulating the shade-avoidance responses, some of which are likely to be conserved during evolution. Some important differences are emerging from the analysis of other plant species, so more experimental evidences need to be verified in other plants. Under natural conditions, plants undergo a variety of stress conditions in addition to shade. Low R:FR conditions seem to mostly suppress adaptive responses to phosphate deficiency, drought, pathogens as well as beneficial microbes in the soil (Coubier and Pierik, 2019). Low R:FR can enhance freezing tolerance, but the impact of low R:FR on some environmental stresses may become more aggressive as global temperatures increase (Coubier and Pierik, 2019; Romero-Montepaone et al., 2020). Unraveling the interplay between canopy shade and other stresses, both biotic and abiotic stresses, is beneficial for improving plant fitness and resistance at high planting density. In the future, in addition to further exploration of the regulatory network of shade-avoidance responses, focus on the mechanism of shade-tolerance responses is also required. Although the phenotypic plasticity of shade-tolerant species is low (e.g. scant elongation under low light), the plasticity of some characteristics, especially the morphological characteristics of optimizing light capture, can be high in these plants (Smith, 1982; Valladares and Niinemets, 2008). *Cardamine hirsuta* is a close relative of *Arabidopsis*

thaliana, and it is suggested that the lack of a shade-induced hypocotyl elongation response in *C. hirsuta* results from the enhanced repressor activity of the phytochrome A photoreceptor (Molina-Contreras et al., 2019). Exploitation of the molecular basis of shade-avoidance and shade-tolerance to improve crop yield and quality is of considerable importance for high-density cultivation.

AUTHOR CONTRIBUTIONS

Conception and design of framework: XW and SF. Data collection: XW, QM, and XG. Analyzed the data: XW and QM. Wrote the paper: XW. Edited the manuscript: QM and YL.

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Conflict of Interest: The authors declare that the research was conducted in the absence of any commercial or financial relationships that could be construed as a potential conflict of interest.

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