



Effect of Scattering Angle on Earth Reflectance

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After March 2020 the range of scattering angle for DSCOVR EPIC and NISTAR has been substantially increased with its upper bound reaching 178°. This provides a unique opportunity to observe bi-directional effects of reflectance near backscattering directions. The dependence of the top-of-atmosphere (TOA) reflectance on scattering angle is shown separately for ocean and land areas, for cloudy and clear pixels, while cloudy pixels are also separated into liquid and ice clouds. A strong increase of TOA reflectance towards backscattering direction is reported for all components (except cloudless areas over ocean). The observed increase of reflectance is confirmed by cloud and vegetation models. The strongest correlation between TOA reflectance and scattering angle was found near IR where contribution from vegetation dominates. Surface Bidirectional Reflectance Factor (BRF) acquired by DSCOVR EPIC and Terra MISR sensors over the Amazon basin is used to demonstrate the bi-directional effects of solar zenith and scattering angles on variation of reflected radiation from rainforest.

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INTRODUCTION

The Deep Space Climate Observatory (DSCOVR) was launched in February 2015 to a Sun-Earth Lagrange-1 (L_1) orbit, approximately 1.5 million kilometers from Earth towards the Sun (Marshak et al., 2018). In addition to continuous solar wind measurements for accurate space weather forecasting, it observes the full, sunlit disk of Earth from a unique vantage point with the two instruments: the Earth Polychromatic Imaging Camera (EPIC) and the NIST (National Institute of Standards and Technology) Advanced Radiometer (NISTAR). The Earth-observing geometry of the EPIC instrument is characterized by a scattering angle between 168° and 178°. The left panel in **Figure 1** displays a time series of the scattering angle¹ from June 2015.

EPIC and NISTAR have continuously operated until June 27, 2019, when the spacecraft was placed in an extended safe hold due to degradation of the inertial navigation unit (gyros). Since then, a software patch was developed and uploaded to the spacecraft that relies only on the star tracker for spacecraft attitude determination. DSCOVR returned to full operations on March 2, 2020. Since then, DSCOVR has been able to maintain a ~0.02° pointing accuracy, similar to the pre-gyro-failure operations, that keeps Earth fully in the field-of-view of EPIC. Both EPIC and NISTAR calibrations performed since March 2020, show no change in the performance or calibration constants of the instruments.

¹We will be using "scattering angle" here which goes from 0° (forward scattering) to 180° (backward scattering). We will be also using Sun Earth Vehicle (SEV) angle (a.k.a. Phase angle) = 180° -scattering angle.



As **Figure 1** shows, after March 2020 the range of scattering angle has substantially increased towards backscattering reaching 178° (see the right panel). This provides a unique opportunity to study angular variations of the Earth reflectivity in the vicinity of the backscattering direction.

It is well-known that radiation reflected from rough surface exhibits a sharp increase in the backscattering direction (e.g., Hapke, 1963; Lumme and Bowell E, 1981; Kuusk, 1991). Therefore one should expect an enhance reflection near backscattering in the Earth observations from the DSCOVR platform.

This paper studies the effect of scattering angles near the backscattering directions on Earth reflectivity using EPIC and NISTAR data with emphases on understanding mechanisms contributing to this phenomenon. After description of the data used in the paper (*Data Used*), we focus on the EPIC observed radiance emanating from ocean and land, clear, and cloudy skies; in addition, ice and water clouds are treated separately (*EPIC Observations*). In *Simulations* EPIC cloud and vegetation reflectances are simulated with models. A special attention is paid to Amazonian forests where EPIC results near backscattering are complemented with Multi-Angle Imaging SpectroRadiometer (MISR) observations (*Forest BRF*). Finally, *NISTAR Observations* discusses NISTAR data near backscattering and *Summary* summarizes the results.

DATA USED

EPIC provides 10 narrow band spectral images at 317, 325, 340, 388, 443, 551, 680, 688, 764, and 780 nm of the entire sunlit face of Earth using a 2048 x 2048 pixel CCD (Marshak et al., 2018). The

sampling size is about 8 km at nadir (near the center of the image), which effectively increases to 10 km when EPIC's point spread function is included. The pixel size increases as the reciprocal of cosine of latitude. To reduce transmission time for EPIC data for maximizing time cadence, the images of all wavelength channels, except 443 nm, have been reduced to 1024×1024 pixels.

We use DSCOVR EPIC L1B data product that provides radiance data every 65–110 min (NASA/LARC/SD/ASDC-L1B, 2018c). The radiance data are in engineering units of counts per second. The EPIC team provides a calibration factor to convert measurements given in counts per second into the TOA reflectance (Geogdzhayev and Marshak, 2018; Geodzhayev et al., 2021).

We also use surface Bidirectional Reflectance Factor (BRF) derived from DSCOVR EPIC (NASA/LARC/SD/ASDC-MAIAC, 2018b) and MISR onboard low-earth-orbiting Terra satellite (NASA/LARC/SD/ASDC-MISR, 1999). BRF describes surface reflective properties in the absence of atmosphere and is defined as the ratio of the surface-reflected radiance to radiance reflected from an ideal Lambertian surface into the same beam geometry and illuminated by the same monodirectional beam (Martonchik et al., 2000; Schaepman-Strub et al., 2006). The MISR sensor views the Earth's surface with nine cameras simultaneously. MISR has a ground track repeat cycle every 16 days and achieves global coverage every 9 days. In an equatorial zone it can measure surface reflected radiation over a wide range of the phase angle (Bi et al., 2015). Unlike DSCOVR EPIC, the Terra MISR samples reflectance over a 360 km wide swath at 10:30 am local solar time.

NISTAR measures the outgoing radiation from the Earth integrated over the entire face of Earth in four broadband



FIGURE 2 | Time series of scattering angle and top-of-atmosphere (TOA) reflectance at 780 nm between September 17 and December 10, 2020. To reduce noise, 3 days averages are used here. (A) Global TOA reflectance and its components accumulated over ocean and land; (B) TOA reflectance accumulated over cloudy and clear sky pixels over ocean. (C) TOA reflectance accumulated over cloudy and clear sky pixels over land.



FIGURE 3 | Scatter plots of reflectance at 780 nm vs. scattering angle for the whole 2020 (from February 26 to December 20, 2020). (A) Global reflectance together with ocean and land reflectances; (C) Land reflectance together with land cloudy and land clear reflectances.

channels: total radiation (Band A, $0.2-100 \mu m$), total solar reflected (Band B, $0.2-4 \mu m$), NIR solar reflected (Band C, $0.7-4 \mu m$) and photodiode ($0.2-1.1 \mu m$). These measurements provide data for estimating planet's energy budget (Trenberth et al., 2009; Su et al., 2020).

EPIC OBSERVATIONS

To study the effect of scattering angle on the reflection from sunlit Earth, we first focus on the EPIC 780 nm band in the year of 2020. **Figure 2** shows the total reflectance at 780 nm between September 17 and December 10 when scattering angle first drops from 178° to 168° and then increases back to 178°. We use here only 2 months of data in order to limit the effect of seasonality on total reflectance. Left panel shows global average TOA reflectance together with averages over ocean and land. Middle and right panels illustrate mean TOA reflectances accumulated over ocean and land under clear sky and cloudy conditions. The increase of both clear and cloudy reflectances with scattering angle (especially for large angles close to the backscattering direction) is clearly seen.

To better illustrate the dependence of TOA reflectance on scattering angles, **Figure 3** provides scatter plots of reflectances vs. scattering angles for the whole year 2020. With exception of cloud-free regions over ocean (lower dots in the middle panel), a



TABLE 1 | Slope of correlation between reflectance and scattering angle from

 October 22 to December 14, 2020 for Earth global, ocean, and land.

	Global	Ocean	Land	
443	44	35	75	(x 10 ⁻⁴)
551	44	36	74	(x 10 ⁻⁴)
680	51	44	80	(x 10 ⁻⁴)
688	32	28	50	(x 10 ⁻⁴)
764	19	17	31	(x 10 ⁻⁴)
780	54	48	88	(x 10 ⁻⁴)

First column is wavelength in nm.

positive correlation between TOA reflectance and scattering angle is clearly seen. The strongest correlation is over land that includes both cloudy and clear pixels. These observations suggest that radiation reflected from clouds and land exhibits a strong sensitivity towards scattering angle near backscattering directions.

Such correlations are also valid for all EPIC visible spectral bands. **Figure 4** (see also **Table 1**) illustrates scatter plots of TOA reflectance vs. scattering angle for four different wavelengths, 443, 551, 680, and 780 nm, corresponding to the 168° to 178° peak-to-peak amplitude of the scattering angle between October 25 and December 14, 2020 (for clarity, see the right panel in **Figure 2**). As expected, the correlation over land is much stronger than that over ocean for all spectral bands. **Table 1** provides the values of slopes of reflectance versus scattering angle relationships for land and ocean separately for all visible and NIR EPIC bands from October 25 to December 14. The highest value (0.0088) corresponds to the reflection from land at 780 nm.

It is also of interest to study variations in TOA reflectance of ice and liquid clouds separately² as viewing direction approaches the backscattering direction. **Figure 5** illustrates TOA reflectance for the whole year 2020. Total fraction of cloudy pixels is about 60%, of which liquid clouds account for about 47% (13% over land and 34% over ocean) and ice clouds for 13% (9.3% over land and 3.4% over ocean). Liquid clouds dominate over ocean while

ice clouds over land. The trend towards the backscattering direction in reflectance from cloudy pixels is very similar to the global one shown on **Figure 5**.

As we see from the right panel in **Figure 5** and **Table 2**, the slope of the "reflectance-versus-scattering angle" dependence for ice clouds (0.0022) is lower than for liquid ones (0.0034). More than that, in the left panel of **Figure 5** we can see the lack of correlation for ice clouds in summer 2020 (June-September) while there is still a good correlation in spring and fall 2020. This is related to seasonal behavior of ice clouds, at least in 2020: there are less ice clouds in September than in July; thus, in September, when scattering angle riches its maximum of 178°, a smaller number of ice clouds.

The main contribution to global reflectance comes from an area around the center of sunlit Earth, which is located in an equatorial zone. Contributions from other areas decline as the square of cosine of latitude since the amount of radiant energy reflected from an area *dS* varies with solar (SZA) and view (VZA) zenith angles as *BRFcos*(*SZA*)*cos*(*VZA*)*dS*. Ocean and forests are dominant types of the Earth's surface in the equatorial zone (**Figure 6**). Ocean acts as an absorber of solar radiation at the EPIC spectral bands and its contribution to Earth reflectance is small. Therefore, clouds and cloud-free forests are reflectors that control the sensitivity of TOA reflectance to the phase angle. We will focus on analyses of radiation reflected by clouds and forests. We start with cloud and vegetation radiative transfer models to understand features of reflectance in near backscattering directions.

SIMULATIONS

Cloud Model

Here we use a one-dimensional radiative transfer model (Stamnes et al., 1988) to simulate reflectance near backscattering directions. **Figure 7** shows TOA reflectance as a function of scattering angle for water and ice clouds for two cloud optical depths and three SZAs. We clearly see that reflectance increases between 170 and 178 degrees of scattering angle in all cases. The increase is substantial: 5–15% for water clouds and 10–25% for ice clouds.

²A threshold of 4,500 m was used to separate ice and liquid clouds.



FIGURE 5 | Liquid and ice clouds. (A) Scattering angle and 780 nm reflectance from ice and water clouds between February 26 and December 20, 2020 (liquid–46.9%, ice–12.6%). (B) Scatter plot of liquid and ice reflectance vs scattering angle for 10 months of the year 2020 (from February 26 to December 20).

TABLE 2 | Slope of correlation between reflectance and scattering angle for

 780 nm from February 26 to December 20, 2020 for Earth global, ocean, and

 land.

	Global	Ocean	Land	
Ice	22	18	46	(x 10 ⁻⁴)
Liquid	34	34	47	(x 10 ⁻⁴)
Clouds	30	30	45	(x 10 ⁻⁴)
Clear	13	01	51	(x 10 ⁻⁴)
Ocean	33	**	**	(x 10 ⁻⁴)
Land	52	**	**	(x 10 ⁻⁴)

Vegetation Model

In vegetation canopies finite size of scatters (leaves, needles, etc.) can cast shadows. A satellite-borne sensor sees minimal shadows if the Sun is behind the sensor. This makes the forest looking very bright in satellite images. With a change in view direction more shaded leaves appear in the sensor field of view and the radiance of the forest reflected radiation is consequently decreased. This mechanism causes a sharp peak in retro-solar direction. This phenomenon is known as "the hotspot effect" (Ross and Marshak, 1988; Knyazikhin and Marshak, 1991; Kuusk, 1991; Gerstl, 1999). Its shape and magnitude depend on canopy structural organization.

Figure 8 shows forest BRF at the NIR spectral band simulated with the stochastic radiative transfer equation (Huang et al., 2008; Yang et al., 2018) as functions of VZA (left panel) and phase angle (right panel) for three values of the SZA. It illustrates important features in relation between BRF and sun-sensor geometry, namely, a sharp increase in BRF as scattering direction approaches the direction to the Sun and a rise in magnitude of

BRF and its rate with respect to the phase angle, $\partial(BRF)/\partial(SEV)$, as SZA increases. Thus, an increase in SZA and/or decrease in phase angle enhances the hotspot effect in the canopy BRF.

FOREST BRF

Let us check how much vegetated areas increase surface BRF as observational direction approaches sun direction. As an example, we consider the Amazon basins. The Amazonian forests represent the largest equatorial rainforest on Earth (Figure 6). The rainforests play a uniquely important role in carbon and water cycles across regional to global scales as it contains nearly 50% of the tropical forest carbon stocks and is the most productive and biodiverse of terrestrial ecosystems (Saatchi et al., 2011). It was found that the green vegetation contributes significantly to the NIR global average reflectance when the South America appears in the EPIC's field of view (Wen et al., 2019) suggesting a significant contribution from the equatorial forests. The left panel in Figure 9 demonstrates BRF of Amazonian forests derived from Terra MISR data acquired on August 28, 2016, at 10:30 local solar time. One can clearly see a sharp increase in BRF as scattering direction approaches the direction to the Sun, as theory predicts (cf. Figure 8).

Terra MISR surface product provides BRFs over a 360 km wide swath at 10:30 local solar time and achieves global coverage every 9 days. This obviously is not sufficient for temporal analyses of Earth reflectivity. Therefore, we use DSCOVR EPIC BRF (NASA/LARC/SD/ASDC-MAIAC, 2018d) which provides global coverage at about hourly frequency (Lyapustin et al., 2018). We use two variables to characterize angular variation





FIGURE 7 | Reflectance from water (A) and ice (B) clouds for optical depths 10.3 and 53.16; SZAs are 10°, 30°, and 60°. For water clouds Mie scattering phase function was used with $r_e = 10 \ \mu\text{m}$ and $\lambda = 0.87 \ \mu\text{m}$; for ice clouds MODIS band-2 ($\lambda = 0.87 \ \mu\text{m}$) C5 ice phase function was used with $r_e = 30 \ \mu\text{m}$. Both liquid and ice phase functions are shown as inserts.





of forest reflectance. The first one is the temporal average normalized radiance estimated as

$$J(SZA, SEV) = \frac{\sum BRF(SZA, VZA_{xy})\cos(VZA_{xy})}{\sum \cos(VZA_{xy})}$$
(1)

The integration is performed over pixels (x,y) in the Amazonian forests with given values of SZA and SEV accumulated over a given time interval. The middle panel in **Figure 9** shows normalized radiance for the period of June 15 to August 8, 2020. As theory predicts, the normalized radiance and its rate of variation with respect to phase angle, $\partial J/\partial (SEV)$, increases with SZA. For a given sun position in the sky, its value increases as phase angle (or SEV) approaches to zero. All data shown in **Figure 9** are expresses in term of SZA and SEV.

The surface scattering function, P, is the fraction of the total radiant energy incident on the surface that is scattered towards the sensor. It depends on scattering angle and exhibits strong diurnal variations. The right panel in **Figure 9** shows twomonth average scattering function of Amazonian forests estimated as

$$P(SEV) = \frac{\sum BRF(SZA_{xy}, VZA_{xy})\cos(SZA_{xy})\cos(VZA_{xy})}{\sum \cos(SZA_{xy})}$$
(2)

Here the integration is performed over pixels (x,y) in the Amazonian forests with a given phase angle. As expected from theory, it is a decreasing function with respect to phase angle (or SEV). Thus, anisotropy of forest reflected radiation can explain increase in Earth scattering at least when equatorial forests appear in the EPIC image.



NISTAR OBSERVATIONS

NISTAR measures the outgoing radiation from the Earth integrated over the entire face of Earth in four broadband channels: total radiation (Band A, 0.2–100 μ m), total solar reflected (Band B, 0.2–4 μ m), NIR solar reflected (Band C, 0.7–4 μ m) and photodiode (0.2–1.1 μ m). In addition to EPIC observations, it is of interest to see how scattering angles affect NISTAR measurements.

Figure 10 shows NISTAR band A and B radiance and scattering angle for 2020. A strong positive correlation ($R\sim0.98$) between the scattering angle and NISTAR data is clearly seen. This is especially pronounced for the time interval between November 15 and December 20, 2020 with maximum around December 10 when scattering angle reaches its maximum of 178.1°. The left panel that illustrates radiances plotted vs. scattering angles confirms it with high correlation which is slightly higher for the B-band radiance. It is also true for C-band radiance (not shown here) though with a bit lower correlation coefficient (0.90 for band C vs. 0.98 for band B). This is understandable since the increase of radiation near backscattering is higher in visible than in NIR (Platnick et al., 2017).

SUMMARY

DSCOVR EPIC and NISTAR observations from the Lagrange L_1 point (about 1.5 mln km from Earth) provide a unique opportunity to study the effect of scattering angle on TOA reflectance near backscattering. This effect was studied for

ocean and land areas, for cloudy and clear pixels, for liquid and ice clouds separately. All EPIC observations, except over ocean under clear sky conditions, show a strong increase of reflectance towards backscattering direction. The increase is well confirmed with cloud and vegetation models. The strongest increase is observed over land at the NIR band (780 nm). The Amazonian basin is taken as an example of a possible mechanism causing variation of BRF with solar zenith and scattering angles. NISTAR observations also demonstrate an increase with scattering angle for all bands but the strongest one is for B-band radiance $(0.2-4 \,\mu\text{m})$.

To summarize, measurements of Earth reflectance near the backscattering direction show a strong sensitivity towards scattering angle, especially for scattering angles above 175°. Any angular distribution model with a bin size bigger than $1^{\circ}-3^{\circ}$ near backscattering may lead to substantial errors.

DATA AVAILABILITY STATEMENT

Publicly available datasets were analyzed in this study. This data can be found in the article/supplementary material, further inquiries can be directed to the corresponding author.

AUTHOR CONTRIBUTIONS

All authors contributed to the article. AD-B and YK conducted an analysis of EPIC and NISTAR data. YK focused on vegetation while AM on clouds. AM wrote the very first version of the paper; it was later modified by all co-authors.

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