

# WTL-I: Mutual Information-Based Wavelet Transform Learning for Hyperspectral Imaging

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Hyperspectral imaging (HSI) is useful in many applications, including healthcare, geosciences, and remote surveillance. In general, the HSI data set is large. The use of compressive sensing can reduce these data considerably, provided there is a robust methodology to reconstruct the full image data with quality. This article proposes a method, namely, WTL-I, that is mutual information-based wavelet transform learning for the reconstruction of compressively sensed three-dimensional (3D) hyperspectral image data. Here, wavelet transform is learned from the compressively sensed HSI data in 3D by exploiting mutual information across spectral bands and spatial information within the spectral bands. This learned wavelet basis is subsequently used as the sparsifying basis for the recovery of full HSI data. Elaborate experiments have been conducted on three benchmark HSI data sets. In addition to evaluating the quantitative and qualitative results on the reconstructed HSI data, performance of the proposed method has also been validated in the application of HSI data classification using a deep learning classifier.

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# **1 INTRODUCTION**

Hyperspectral imaging (HSI) is an image acquisition method that combines optical spectroscopy and optical imaging. The HSI image/data set consists of a set of images captured in a large number of spectral bands. In HSI, radiation intensity measurements are acquired in many spectral bands of the electromagnetic spectrum as opposed to only three spectral bands of red, green, and blue bands in the conventional imaging systems. Thus, each pixel in hyperspectral images also contains spectral information along the third dimension of the data cube (Chang, 2003). Of late, HSI applications have been extended from remote sensing (Schowengerdt, 2006; Khoshsokhan et al., 2019b; Yu et al., 2022) to healthcare, astronomy, pharmaceuticals, geosciences (Geladi, 2007), mineralogy (Bajorski, 2012), agriculture (Xie et al., 2013), military remote surveillance (Chen et al., 2012), and landform classification (Prasad et al., 2012).

With advances in acquisition techniques in HSI, the amount of hyperspectral data has seen a rapid surge that poses challenges in data transmission and data storage. This problem has led researchers to explore solutions for efficient data acquisition and transmission systems, particularly compressive sensing (CS) methods that work at sub-Nyquist rates. Compressive sensing of data leads to underdetermined set of linear equations that need to be solved to recover the full data. In general, optimization frameworks are utilized with constraints such as sparsity of the signal in some

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transform domains to recover a unique solution from infinite possible solutions of the under-determined set of equations.

For a specific hyperspectral data set, the task may be to perform classification (Yu et al., 2022), band selection (Shang et al., 2021), unmixing (Khoshsokhan et al., 2019a; Khoshsokhan et al., 2019b), or reconstruction. This article proposes a novel reconstruction framework for the compressively sensed hyperspectral data set. Optimization frameworks for compressive sensing-based reconstruction involve minimization of a loss function under some predefined constraints on the characteristics of signals. For example, a loss function involving total variation (TV) and nuclear norm constraints was minimized by Golbabaee and Vandergheynst (2012b). These two constraints, respectively, capture the piecewise smoothness (due to spatial correlation) and low-rank structure of the HSI data. However, this approach (Golbabaee and Vandergheynst, 2012b) ignores the spectral correlation in HSI. Similarly, the  $l_{2,1}$  norm is optimized by Golbabaee and Vandergheynst (2012a) to exploit the spectral and spatial correlation along with the nuclear norm to capture the lowrank structure in HSI. A downside of this method is the assumption of same sparsity support for all the bands that limits the complete utilization of the spectral similarity. To overcome this limitation, the Manhattan distance-based function along with TV norm and nuclear norm has been used in Zhang and Zhang (2018) to capture the spectral correlation, spatial correlation, and the low-rank property. There have also been attempts to model the sparsity structure in the signals that lead to better reconstruction performance (Chen et al., 2014; Zhang et al., 2015). For example, a re-weighted Laplace prior is used in Zhang et al. (2015) to learn the structure of the sparse coefficients. In fact, all the aforementioned methods (Golbabaee and Vandergheynst, 2012a; Chen et al., 2014; Zhang et al., 2015; Zhang and Zhang, 2018) utilized a predefined dictionary as the sparsifying basis. The sparsification level of a signal depends on the sparsifying bases. One basis can provide more sparsification than the other, depending on the structure of the signal. Hence, it is more intuitive to employ a learned dictionary (Aharon et al., 2006; Ravishankar and Bresler, 2011). In Zhang et al. (2016), a blind dictionary approach is presented to learn a structured dictionary directly from the samples obtained through the measurement matrix. This approach also takes into consideration the sparsity structure of the data. Again, these methods (Zhang et al., 2015; Zhang et al., 2016) exploit only the spectral correlation without utilizing the spatial correlation in the HSI.

The proposed method uses a data-dependent sparsifying basis that characterizes the spectral and spatial sparsity of the HSI data. The sparsifying basis required in compressive sensing applications is actively being learned *via* transform learning (TL) or blind dictionary learning (DL) (Ravishankar and Bresler, 2015) because it adapts to signals of interest and performs better than discrete cosine transform (DCT) or discrete wavelet transform (DWT) in CS. However, the optimization framework of blind compressive sensing with joint learning of transform basis and its coefficients is generally non-convex with no closed-form solution. This leads to computationally expensive solutions. Before the emergence of dictionary learning approaches (Yaghoobi et al., 2009; Ataee et al., 2010), wavelets were a preferred choice as the sparsifying basis in a number of applications. This is due to the availability of a number of wavelet bases, where one can choose the basis of interest for an application. Furthermore, the ability to design a wavelet basis motivated researchers to learn wavelets for signals of interest (Sweldens, 1996; Gupta et al., 2005a; Gupta et al., 2005b; Ansari and Gupta, 2015), instead of trying different wavelets in an application. In the recent past, compactly supported wavelets have been learned for one-dimensional (1D) signals in the application of compressively transmitted ECG signals for telemedicine (Ansari and Gupta, 2019), for natural images (Ansari and Gupta, 2018b), for the reconstruction of compressively sensed images (Ansari and Gupta, 2016), and for non-separable 2-dimensional (2D) wavelet learning (Ansari et al., 2016). Similarly, a lifting framework of rational wavelet learning has been proposed (Ansari and Gupta, 2018a). While the traditional TL or DL requires learning a large number of parameters, wavelet transform learning (WTL) requires learning wavelet filter coefficients that are significantly less than dictionary learning. Thus, a small number of parameters are required to be learned with wavelet transform learning compared to the traditional transform learning or dictionary learning. This leads to computationally efficient learning of the basis using a small data size. However, so far, to the best of our knowledge, no work has been done to learn wavelets for hyperspectral images.

In this study, we applied the wavelet transform learning (WTL) approach in HSI imaging and proposed closed-form solutions for WTL from HSI data. We proposed wavelet transform learning for 3D HSI images, where the method for 2D images (Ansari and Gupta, 2017) is used for transform learning in the x - y spatial plane and a new information theory-based method is proposed to learn the wavelet transform along the spectral direction. Since the partial canonical sensing identity (PCI) sensing matrix is significantly simple and time-efficient compared to the Gaussian and Bernoulli sensing matrices, although with slightly inferior performance by approximately 2 dB (Ansari and Gupta, 2017), we used PCI sensing matrix-based HSI data recovery. Furthermore, we utilized recently proposed multi-level L-pyramid wavelet decomposition (Ansari and Gupta, 2017) that provides better performance on images. The salient contributions of the work are as follows:

- 1) We proposed an information theory-based method of wavelet transform learning (WTL) for 3D HSI images that exploits information of spatial and spectral directions. To the best of our knowledge, this is the first work on wavelet transform learning for hyperspectral images.
- 2) We proposed an integrated framework of data recovery and wavelet transform learning, where the WTL is learned from the compressively sensed data.
- 3) We utilized multi-level L-pyramid wavelet decomposition that yields better performance than the traditional multi-level wavelet decomposition.



- 4) We carried out extensive tests on three benchmark HSI datasets to evaluate the performance of the proposed methodology.
- 5) We also validated the method on the application of deep learning based classification. The proposed method is observed to perform better than the two state-of-the-art CS-based reconstruction methods in HSI.

# 2 METHODS

The proposed WTL-I method (**Figure 1**) is a joint data recovery and wavelet transform learning method for the compressively sensed 3D HSI data. The work pipeline contains two stages for wavelet transform learning and the third stage for data recovery. The performance is assessed in terms of reconstruction accuracy. Furthermore, the quality of reconstructed images is evaluated in the application of HSI data classification using deep learning classifier. Better classification results are obtained on the images reconstructed *via* the proposed WTL-I method compared to those reconstructed *via* other methods. The complete workflow of this work is shown in **Figure 1**.

### 2.1 Stage 1: Coarser HSI Data Recovery

In this stage, we recovered a coarser estimate of the HSI data from compressively (partially) sensed measurement data. The HSI data is a 3D data, as shown in **Figure 6**. Separable orthogonal Daubechies wavelet transform (namely, dB4 with filter length 8) is used as the sparsifying transform for each spectral band of the HSI data set for the recovery of compressively sensed data. Thus, each spectral band of HSI data is recovered separately. For example, compressively sensed data of the *k*th spectral band is represented in the vector form as  $\mathbf{x}_k = \mathbf{\Phi}_k \mathbf{y}_k$ , where  $\mathbf{y}_k$  denotes the original data required to be recovered and  $\mathbf{\Phi}_k$  denotes the sensing matrix. The partial canonical identity (PCI) sensing matrix  $\mathbf{\Phi}_k$ , as suggested by Ansari and Gupta (2017), is constructed separately for each of the *k*th band as follows. First,  $\mathbf{\Phi}_k$  is initialized to an identity matrix. Next, rows of  $\mathbf{\Phi}_k$ , which correspond to pixel positions not available in  $\mathbf{x}_k$ , are dropped. For example, let us say that the pixel at the second position of  $\mathbf{y}_k$  is not sensed and hence is not available in  $\mathbf{x}_k$ . Then the second row of the identity matrix will be dropped. Next, the basis pursuit (BP) optimization method is used to recover the signal  $\tilde{\mathbf{y}}_k$ , as follows:

$$\tilde{\mathbf{s}}_k = \min_{\mathbf{s}_k} \|\mathbf{s}_k\|_1 \text{ subject to } : \mathbf{x}_k = \mathbf{\Phi} \mathbf{\Psi} \mathbf{s}_k = \mathbf{\Phi} \mathbf{y}_k, \tag{1}$$

where  $\Psi$  corresponds to any standard wavelet. We used orthogonal dB4 wavelet in stage 1. The coarser approximation of the signal is obtained as  $\tilde{y}_k = \Psi \tilde{s}_k$ . We solved the aforementioned optimization problem using SPGL1 (Berg and Friedlander, 2008; Berg and Friedlander, 2015). This is repeated for all *k* spectral bands.

# 2.2 Stage-2: Wavelet Transform Learning for Individual Spectral Bands

We used the signal reconstructed in the previous step to learn wavelet for each of the spectral band using the method presented in Ansari and Gupta (2017). For the sake of completeness, the method is presented in brief.

First, we converted a given kth spectral band image into two 1D signals: one with column-wise scanning and another with row-wise scanning (refer to **Figure 2**). Next, wavelet is learned for row space and column space separately using the two signals constructed before. Thus, we learned a separable wavelet transform for each of the spectral bands. To illustrate the process of learning, let us first consider column-wise vector for any spectral band. We required learning a 2-channel wavelet system, as shown in **Figure 3**.

This is done by using the lifting framework, as shown in **Figure 4**. First, the analysis wavelet filter is learned in the predict stage, followed by the synthesis wavelet filter in the update stage, as follows:

1) Predict Stage: The filters are initialized with  $F_0(z) = 1$ ,  $F_1(z) = z$ ,  $G_0(z) = 1$ , and  $G_1(z) = z^{-1}$ , in **Figure 3**. This is called the lazy wavelet. Next, the predict stage filter P(z) is required to be learned. For this, the coarser version of original signal  $\tilde{\mathbf{y}}_k$ 





estimated in stage 1 is applied as input to the filter bank, and we obtained even and odd sampled streams  $\tilde{y}_e(n)$  and  $\tilde{y}_o(n)$ , respectively, as shown in **Figure 4**A. We passed even indexed samples  $\tilde{y}_e(n)$  through the predict stage filter and wrote the output of the lower subband signal, shown in **Figure 4**A, as follows:

$$\begin{split} \tilde{v}_{-1}[n] &= \tilde{y}_{o}[n] - \tilde{y}_{e}[n] * p[n] \\ &= \tilde{y}_{o}[n] - \sum_{k=0}^{L_{p}-1} p[k] \tilde{y}_{e}[n-k], \\ &= \tilde{y}_{k}[2n+1] - \sum_{k=0}^{L_{p}-1} p[k] \tilde{y}_{e}[n-k], \end{split}$$
(2)

where \* is the convolution operator and  $L_p$  is the length of the predict stage filter p[n] with its Z-transform given by  $P(z) = Z\{p [n]\}$ . For good prediction, a sample (here, odd indexed) should be predicted from its immediate past and immediate future neighbors that requires a careful choice on the predict stage filter provided by Theorem 1 of Ansari and Gupta (2017). Here, the signal  $\tilde{\mathbf{v}}_{-1}$  is considered as being obtained by passing the original signal  $\mathbf{y}$  through any (non-matched to input signal) wavelet system and hence is viewed as the noisy version of the detail coefficients  $\mathbf{v}_{-1}$  that could have been obtained from a 2-channel wavelet system that is matched to this signal. Thus, (2) is re-written in the matrix form as follows:

$$\tilde{\mathbf{v}}_{-1} = \mathbf{A}\mathbf{p} + \boldsymbol{\zeta},\tag{3}$$

where **A** is the convolution matrix consisting of even and odd indexed samples of  $\tilde{\mathbf{y}}$  and  $\mathbf{p}$  denotes the vectorized form of predict stage filter p[n] or P(z). We solved for  $\mathbf{p}$  in (3) using the least squares method with the closed-form solution as follows:

$$\mathbf{p} = \left(\mathbf{A}'\mathbf{A}\right)^{-1}\mathbf{A}'\widetilde{\mathbf{v}}_{-1},\tag{4}$$

where ' denotes the transpose operation. We substituted (4) in (5) and (6) to update the analysis high-pass and synthesis low-pass filters and obtain new filters  $F_1^{new}(z)$  and  $G_0^{new}(z)$ , respectively, as follows:

$$F_1^{new}(z) = F_1(z) - F_0(z)P(z^2),$$
(5)

$$G_0^{new}(z) = G_0(z) + G_1(z)P(z^2).$$
(6)

Thus, we notice that the predict step modifies the high-pass filter of the analysis end and low-pass filter of the synthesis end.

Update Stage: In the update stage, update polynomial Q(z) is learned. To achieve this, we wrote the output of the upper subband signal using the lower subband signal, v
<sub>-1</sub> [n] (refer to Figure 4), as follows:

$$\tilde{u}_{-1}[n] = \tilde{y}_{e}[n] + \tilde{v}_{-1}[n] * q[n],$$
(7)

where q[n] is the time domain description of the update stage filter Q(z). Filter q[n] is chosen such that the elements of the upper branch are updated using its nearest neighbors. The corresponding structure for q[n] is provided by Theorem 2 of Ansari and Gupta (2017). This subband signal  $\tilde{u}_{-1}[n]$  is passed through a 2-fold upsampler, yielding signal  $\tilde{y}_{1u}[n]$  that is then passed through the synthesis low-pass filter  $g_0^{new}[n]$  updated in the predict stage mentioned earlier. This provides us the signal  $\tilde{y}_1[n]$  (refer to **Figure 3**) reconstructed from the upper subband and is given as follows:

$$\tilde{y}_1[n] = \tilde{y}_{1u}[n] * g_0^{new}[n].$$
 (8)

With the assumption that the variations in horizontal or the vertical direction of any spectral band image are slow, signal  $\tilde{y}_1[n]$  reconstructed in the upper subband would be in close approximation to the input signal  $\tilde{y}$ . This assumption helps in solving for the update stage filter, as follows:

$$\hat{\mathbf{q}} = \min_{\mathbf{q}} \sum_{n} \left( \tilde{y}_1[n] - \tilde{y}[n] \right)^2.$$
(9)

From (7) and (8), it is clear that  $\tilde{y}_1$  can be written in terms of update stage filter q[n] that can be obtained on solving (9) using the least squares method. Correspondingly, the analysis low-pass filter  $F_0(z)$  and the synthesis high-pass filter  $G_1(z)$  are updated to  $F_0^{new}(z)$  and  $G_1^{new}(z)$  using (10) and (11), respectively, as follows:



$$F_0^{new}(z) = F_0(z) + F_1(z)Q(z^2), \qquad (10)$$

$$G_1^{new}(z) = G_1(z) - G_0(z)Q(z^2).$$
(11)

Thus, we learned the wavelet for one of the directions of the *k*th spectral band. The aforementioned method of learning wavelet for 1D signals is applied on row-scanned and column-scanned signal of the *k*th spectral band image and corresponding wavelet is learned for the row space and the column space separately. This process is repeated to learn two-dimensional separable wavelet for each of the spectral band image.

## 2.3 Stage 3: Learning Wavelet for the Spectral Direction Using the Mutual Information of Spectral Band Images

The mutual information (MI) measures the mutual dependence between two random variables. It is a quantitative measure to ascertain the amount of information that can be obtained about one random variable by observing the other random variable. The concept can be extended to 1D signals or images and is computed using the entropy of a random variable as explained next.

The entropy represents the expected randomness or the information contained in a random variable *X* as follows:

$$H(X) = -\sum_{x} p(x) log(p(x)).$$
(12)

Similarly, the joint entropy between two random variables *X* and *Y* is represented as follows:

$$H(X,Y) = -\sum_{y} (x, y) log(p(x, y)).$$
(13)

The mutual information (MI) between two random variables *X* and *Y* is given as follows:

$$I(X;Y) = H(X) + H(Y) - H(X,Y).$$
 (14)

For two images, **A** and **B**, (14) can be represented as follows (Pluim et al., 2003):

$$I(\mathbf{A}, \mathbf{B}) = \sum_{a,b} p(a, b) \log \frac{p(a, b)}{p(a)p(b)},$$
(15)

where p(a), p(b), and p(a, b) are the probabilities of random variables of images **A** and **B** and can be estimated with histogram method. MI represents the information that **A** contains about **B** and can be used to measure the similarity between two images (Viola and Wells, 1995; Wells et al., 1996; Russakoff et al., 2004).

For hyperspectral images, mutual information finds application in band selection (Amankwah, 2015), classification (Champa et al., 2020), segmentation (Lin and Zhang, 2020), and features reduction (Islam et al., 2020).

In this work, we proposed to utilize mutual information between spectral bands of an HSI image to learn a sparsifying wavelet for the spectral direction. Similar to the theory of learning a sparsifying basis for the spatial direction, a basis is required to be learned for the spectral dimension. It requires to capture the best/ compact representation of the information contained in all the bands of the HSI image. MI between the bands can efficiently help to design such as basis because it captures the inter-band information. To the best of our knowledge, this is the first work to propose the use of an inter-band MI matrix for learning the wavelet sparsifying basis for the spectral direction.

Consider a data cube  $\mathbf{Y}_{m \times n \times l}$ . We created a mutual information matrix  $\boldsymbol{\rho}_{l \times l}$  such that (i, j) entry records the mutual information between the *i*th and the *j*th band. Specifically, *i*th row of  $\boldsymbol{\rho}_{l \times l}$  has mutual information of *i*th band with all the bands. In this way, we obtained a symmetric matrix with diagonal containing the mutual information between the same bands. This matrix is able to capture the similarity between the bands. We used this matrix as an image and learn the sparsifying basis for the spectral direction using the method outlined in **Subsections 2.1** and 2.2. Since the matrix is symmetric, both the row-scanned and the column-scanned signals yield the same wavelet. **Figure 5** shows the coefficients of dB4 wavelet, DCT, and the learned wavelet for a single pixel's intensities along different spectral bands. It is evident that the learned wavelet dB4.

#### 2.4 HSI Data Reconstruction Using Learned Wavelet

The workflow of the proposed WTL-I method is shown in **Figure 1**. First, the coarser version of full HSI data is constructed from the compressively sensed data using a standard wavelet. Next, from this coarser signal, sparsifying basis  $\{\mathbf{W}_i^r\}_{1 \le i \le l}$  with  $\mathbf{W}_i^r \in \Re^{m \times m}$ ,  $\{\mathbf{W}_i^c\}_{1 \le i \le l}$  with  $\mathbf{W}_i^c \in \Re^{n \times n}$  and  $\mathbf{W}^s \in \Re^{l \times l}$  are learned for spatial and spectral dimensions, using the method discussed in **Subsections 2.1, 2.2, 2.3**. Each of these matrices  $\{\mathbf{W}_i^r\}_{1 \le i \le l}$  and  $\{\mathbf{W}_i^c\}_{1 \le i \le l}$  are learned from the *i*th spectral band of the HSI data set  $\mathbf{Y}_{m \times n \times b}$  whereas  $\mathbf{W}^s$  is learned from the mutual information matrix of the spectral band images. These learned wavelets are used to recover the compressively sensed HSI data set, where  $\mathbf{x}_{k \times 1} = \Phi_{k \times mnl} \mathbf{y}_{mnl \times 1}$  with  $k \ll mnl$  is the vectorized form of compressively sensed signal  $\mathbf{y}_{mnl \times 1}$ 



 $\Phi_{k\times mnl}$  is the sensing matrix. The following optimization problem is used:

$$\min_{\mathbf{u}} \left( \|\mathbf{x} - \boldsymbol{\Phi} \mathcal{L} \mathbf{u}\|_{2}^{2} + \lambda \|\mathbf{u}\|_{1} \right), \tag{16}$$

where  $\tilde{\mathbf{y}} = \mathcal{L}\mathbf{u}$  is the coarser HSI data and  $\lambda$  is a regularization parameter. Here,  $\mathcal{L}$  is an operator that applies sparsifying basis  $\{\mathbf{W}_i^c\}_{1 \le i \le l}$ ,  $\{\mathbf{W}_i^r\}_{1 \le i \le l}$  and  $\mathbf{W}_i^s\}_{1 \le i \le l}$  and  $\{\mathbf{W}_i^r\}_{1 \le i \le l}$  are utilized on the *i*th spectral band using (16) and each of these bands is recovered independently. Finally,  $\mathbf{W}^s$  is applied on the spectral dimension of the 3D matrix obtained by stacking the wavelet coefficient planes of each spectral band. Again,  $\mathbf{u}$  is estimated by minimizing (16) and  $\tilde{\mathbf{y}}$  is estimated as  $\tilde{\mathbf{y}} = \mathcal{L}\mathbf{u}$ , which is then reshaped to recover the full 3D HSI data set  $\tilde{\mathbf{Y}}$ .

### **3 MATERIALS**

We have used three hyperspectral images for experiment purpose. As a preprocessing step, we removed noisy channels from all the HSI. We assumed a channel to be noisy if its pixels contain noisy or no information about the underlying material. Salinas (Salinas Dataset, 2019) scene is captured by AVIRIS over Salinas Valley, California. It has 224 bands and  $512 \times 217$  pixels. We removed the noisy channels and crop the image to obtain a final image of size  $200 \times 200 \times 184$ . Urban (Urban Dataset, 2019) scene has 307  $\times$  307 pixels with each pixel corresponding to 2  $\times$ 2-m<sup>2</sup> area and 210 bands in 400-2500 nm wavelength range. This image is preprocessed to remove the noisy channels and finally cropped to have a size of  $200 \times 200 \times 160$ . Jasper Ridge (Jasper Ridge Dataset, 2019) scene has 512 × 614 pixels with 224 channels in 380-2500 nm wavelength range. A simpler version of this data set with size  $100 \times 100 \times 198$  is also available. A cropped version of this image with size  $96 \times 96 \times 192$  is considered for experiments. The sample band for these data sets is shown in Figure 6.

# **4 RESULTS AND DISCUSSION**

Experiments are carried out to validate the proposed method. Results are obtained by using different sparsifying basis to optimize (16). The notations of different sparsifying basis used in this work are as follows:

- db-D: Daubechies orthogonal ("db4") wavelet along the spatial dimensions and DCT along the spectral dimension.
- db-db: "db4" along all the dimensions.
- D-D: DCT along all the dimensions.
- WTL-D: learned wavelet transform along the spatial dimensions and DCT along the spectral dimension.
- WTL-I: learned wavelet transform along all the dimensions, where the spectral dimension's wavelet is learned using mutual information of spectral bands.

The multi-level L-pyramid wavelet decomposition strategy (Ansari and Gupta, 2017), as shown in **Figure 7** is used for each of the kth spectral band.

### **4.1 Performance Metrics**

To evaluate the quality of reconstructed images, the peak signal-to-noise ratio (PSNR) (Peng et al., 2014) and spectral angle mapper (SAM) (Peng et al., 2014) are used as the performance metrics. the PSNR is expressed in decibels and is used to measure the quality of the reconstructed image based on mean squared error. It is defined as the ratio of the maximum power of the signal to the power of noise corrupting the signal.



FIGURE 6 | Hyperspectral images used in experiments. (A) Urban, (B) Jasper Ridge, (C) Salinas, (E) 90th band of Urban, (F) 30th band of Jasper Ridge, and (G) 40th band of Salinas.

B <sub>3</sub> B <sub>3</sub>	B <sub>3</sub> A <sub>3</sub>	B <sub>3</sub> A <sub>2</sub>	B <sub>3</sub> A <sub>1</sub>	
A <sub>3</sub> B <sub>3</sub>	A <sub>3</sub> A <sub>3</sub>	A <sub>3</sub> A <sub>2</sub>	A <sub>3</sub> A <sub>1</sub>	
A <sub>2</sub> B <sub>3</sub>	A <sub>2</sub> A <sub>3</sub>	A <sub>2</sub> A <sub>2</sub>	A <sub>2</sub> A <sub>1</sub>	
A <sub>1</sub> B <sub>3</sub>	A1A3	A1A2	A1A1	

FIGURE 7 | Multi-level wavelet decomposition of image using the 3-level L-pyramid wavelet decomposition as proposed in Ansari and Gupta (2017) Notations: (A) denotes Highpass filtering and (B) denotes lowpass filtering.

SAM represents the average angle between spectral vectors of the reconstructed image and reference image at each spatial position. PSNR increases, while SAM decreases as a reconstructed image approaches closer to the original image.

#### 4.2 Impact of Sensing Matrices

Traditionally, Gaussian and Bernoulli measurement matrices are used in hyperspectral compressed sensing. However, due to their unstructured nature, they have high computational complexity (Do et al., 2012). To overcome this, we used partial canonical identity (PCI) sensing matrix as suggested in Ansari and Gupta (2017). The PCI sensing matrix is used for each of the *k*th spectral band.

For reconstruction with the PCI sensing matrix, we required sensing only few pixel positions, unlike Gaussian and Bernoulli matrices, where a linear combination of all pixels is captured, and hence, all the pixels are required to be sensed. Thus, PCI reduces the time complexity as well as the memory requirement of the algorithm. To validate this point, we used the PCI sensing matrix as a measurement matrix and compared its performance with Gaussian and Bernoulli measurement matrices in terms of reconstruction accuracy and time complexity, as shown in **Figure 8**.

Results in **Figure 8** indicate that the reconstruction accuracy with the PCI sensing matrix lags behind performance with Gaussian and Bernoulli sensing matrices only by  $\leq 3db$  for sampling ratio (SR)  $\geq 20\%$ . This lag in performance can be easily bridged with the proposed wavelet learning based reconstruction as seen in the next Subsection. However, **Figure 8** reveals that the time complexity with the PCI sensing matrix is very low at all SR as compared to other two measurement matrices. This makes the PCI sensing matrix ideal for real-time applications. In fact, the PCI sensing matrix has considerably reduced time complexity and memory requirement



FIGURE 8 | Reconstruction accuracy (A) and time complexity (B) with different measurement matrices for the data set "Urban." db-D is used as the sparsifying basis to generate these results. Reported values are obtained by averaging values across all the bands. PCI provides similar SNR but with significantly reduced time complexity.

TABLE 1 | PSNR of reconstructed HSI with different sparsifying basis at different sampling ratios and 20 db SNR (best results are highlighted).

Urban							
SR	db-db	db-D	D-D	WTL-D	WTL-I		
1	13.44	20.10	17.80	20.93	23.20		
5	21.04	24.52	23.77	24.04	26.57		
10	23.72	26.73	26.51	27.20	29.37		
20	27.57	31.51	29.83	30.85	32.75		
30	30.09	32.48	32.56	32.66	34.56		

Jasper Ridge						
SR	db-db	db-D	D-D	WTL-D	WTL-I	
1	11.51	17.85	15.61	21.27	20.72	
5	21.36	22.41	21.06	24.24	27.02	
10	23.98	24.55	25.20	26.90	30.90	
20	26.83	27.95	28.15	30.17	33.15	
30	27.57	30.04	30.61	32.27	34.59	
		s	alinas			
SR	db-db	db-D	D-D	WTL-D	WTL-I	
1	11.51	17.85	15.61	21.27	20.72	
5	21.36	22.41	21.06	24.24	27.02	
10	23.98	24.55	25.20	26.90	30.90	
20	26.83	27.95	28.15	30.17	33.15	

30.61

30.04

32 27

27 57 <sup>†</sup>Best results are indicated in bold.

30

with eventually no loss of performance in the reconstruction accuracy when used with the learned wavelet. Henceforth, the PCI sensing matrix is used in all the experiments.

## 4.3 Comparison of Different Methods

The proposed WTL-I method or the learned sparsifying basis is compared in a number of ways in terms of reconstruction accuracy. First, we compared the proposed WTL-D and WTL-I methods with db-db, db-D, and D-D sparsifying basis. We also compared the performance of WTL-D and WTL-I with two existing state-of-the-art methods: 1) re-weighted Laplace priorbased hyperspectral compressive sensing (RLPHCS) (Zhang et al., 2015) and 2) structured sparsity-based blind compressive sensing (SSHBCS) (Zhang et al., 2016).

#### 4.3.1 Reconstruction With Different Sparsifying Basis

We compared the reconstruction performance of different methods mentioned before at different sampling ratios (SR). The range is chosen to emphasize the reconstruction accuracy at lower sampling ratios.

Table 1 presents the PSNR of the reconstructed images with different methods at different SR. The WTL-D and WTL-I lead at lower SR as well as higher SR, where the performance margin is higher at lower SR. This is due to the exploitation of the underlying signal structure by the learned sparsifying basis.



FIGURE 9 | Reconstruction of 20th band of data set Salinas with different sparsifying basis at 20 db SNR and 5% SR. (A) Original band, (B) db-db, (C) db-D, (D) D-D, (E) WTL-D, and (F) WTL-I.

34.59



20% SR.

TABLE 2   PSNR of reconstructed HSI with WTL-D, WTL-I, SSHBCS, and
RLPHCS (best results are highlighted). The noise level is 20 dB.

Urban						
SR	RLPHCS	SSHBCS	WTL-D	WTL-I		
1	13.32	13.71	20.93	23.2		
5	20.85	21.46	24.04	26.57		
10	25.74	24.96	27.2	29.37		
20	28.35	29.73	30.85	32.75		
30	29.65	31.26	32.66	34.56		
40	30.09	33.37	34.41	35.86		
		Jasper Ridge				
SR	RLPHCS	SSHBCS	WTL-D	WTL-I		
1	11.03	11.27	21.08	20.86		
5	15.49	16.87	23.05	28.07		
10	22.77	18.71	26.46	30.55		
20	25.77	26.18	29.72	33.54		
30	28.33	28.71	32.92	35.89		
40	28.77	29.67	33.38	35.06		
		Salinas				
SR	RLPHCS	SSHBCS	WTL-D	WTL-I		
1	13.77	13.65	26.85	25.91		
5	14.65	15.09	32.61	32.84		
10	18.28	17.76	34.85	35.91		
20	23.24	21.87	37.10	38.52		
30	24.94	22.96	38.20	39.77		
40	26.34	23.21	39.06	40.65		

<sup>†</sup>Best results are indicated in bold.

Also, WTL-I performs best with WTL-D performing second best at majority of the SRs. This observation proves the advantage of using learned sparsifying for spatial and spectral directions.

We have also presented visually one of the reconstructed bands of Salinas data set (band number 20th). The reconstruction is carried out at 5% SR and 20 *db* SNR and resultant image is shown in **Figure 9**. Even at such low SR, WTL-D and WTL-I are able to capture a relatively large number of fine details as compared to those reconstructed with other wavelets. This result is consistent with the relatively higher PSNR of WTL-D and WTL-I observed in **Table 1**. Experiments are also performed to show reconstruction performance in noisy scenarios (**Figure 10**). The Gaussian noise of varying variance is added to the original image to obtain different SNR  $(10 - 20 \ db)$ . It is observed that WTL-I is leading other sparsifying basis in the reconstruction performance of images corrupted at all the noise levels considered. Although WTL-D is also performing better than the other basis, it is lagging in a few cases. In conclusion, learned sparsifying basis, specifically, when learned in both spatial and spectral dimensions perform better at low SR as well as at high noise levels.

#### 4.3.2 Comparison With Prior-Based Methods

We have already established that learned sparsifying basis provide better recovery of compressively sensed HSI data than different combinations of conventional basis. Now, we will compare the performance of WTL-D and WTL-I with two other methods, RLPHCS (Zhang et al., 2015) and SSHBCS (Zhang et al., 2016). We have used the codes provided by (Zhang et al., 2015) and (Zhang et al., 2016) to generate the results with these methods. Table 2 shows the PSNR of the reconstructed images at 20 db SNR. For image Urban, SSHBCS is leading the RLPHCS at almost all the SR. But, for image Jasper Ridge, RLPHCS is slightly leading the SSHBCS and for image Salinas, RLPHCS is leading the SSHBCS by a good margin. For all the images, learned sparsifying basis based methods are leading the other two methods by a large margin at lower SR and higher SR. We also calculated the SAM of the reconstructed images (Figure 11). The same pattern is observed with SAM, where WTL-D and WTL-I lead other two methods, particularly at lower SR. From PSNR and SAM metrics, it is observed that wavelet transform learning based approach provides better recovery of compressively sensed HSI data than the state-of-the-art methods **RLPHCS and SSHBCS.** 

The reconstructed image with these methods is shown in **Figure 12**. The reconstruction is carried out at 5% SR and 25 *db* SNR. For image Urban, SSHBCS and RLPHCS have suppressed the pixel intensities in the reconstructed image. WTL-D and WTL-I, on the other hand, have generated an





"Jasper-Ridge." (A) Original band, (B) SSHBCS, (C) RLPHCS, (D) WTL-D, and (E) WTL-I. Results are shown at 5% SR and 25 dB SNR.

**TABLE 3** PSNR of reconstructed images with SSHBCS, RLPHCS, WTL-D, and WTL-I at different noise levels and 20% SR (best results are highlighted).

		Urban		
SNR	RLPHCS	SSHBCS	WTL-D	WTL-I
10	23.95	27.20	24.48	26.71
20	28.57	29.74	30.85	32.66
30	30.37	29.39	31.57	33.58
40	32.38	29.03	31.46	34.37
		Jasper Ridge		
SNR	RLPHCS	SSHBCS	WTL-D	WTL-I
10	23.95	27.20	24.48	26.71
20	28.57	29.74	30.85	32.66
30	30.37	29.39	31.57	33.58
40	32.38	29.03	31.46	34.37
		Salinas		
SNR	RLPHCS	SSHBCS	WTL-D	WTL-I
10	20.78	23.52	27.48	28.65
20	23.01	21.87	37.10	38.52
30	23.33	19.25	38.18	39.74
40	23.70	19.78	38.20	40.01

<sup>†</sup>Best results are indicated in bold.

image that resembles the original image considerably. Also, among WTL-D and WTL-I, WTL-I reconstruct the image much closer to the original image. Same pattern is observed for the image "Salinas" with WTL-I performing better than the other methods. For image Jasper Ridge, the reconstruction is not satisfactory with either of the methods. We have also computed the PSNR and SAM of reconstructed images at different noise levels. These results are shown in **Table 3** and **Figure 13**. Results indicate that WTL-D and WTL-I lead the other two methods at all noise levels.

# 5 VALIDATION OF WTL-I IN THE APPLICATION OF HSI CLASSIFICATION

Convolutional neural networks (CNNs) (LeCun et al., 2004; Jarrett et al., 2009; Krizhevsky et al., 2012; Simonyan and Zisserman, 2015; He et al., 2016; Gehlot et al., 2020a; Gehlot et al., 2021; Goyal et al., 2021; Gupta et al., 2021) have been used successfully in various applications to achieve satisfactory performance (Goswami et al., 2020; Gehlot et al., 2020b; Gupta et al., 2020; Gehlot and Gupta, 2021). Here, we have used a CNN-based classifier (Figure 14) to ascertain the quality of







**TABLE 4** | Performance of original and reconstructed images. Results are at 20%

 SR and 20 dB SNR.

Performance metrics for Salinas data set					
Metric	Accuracy	Recall	Precision	F <sub>1</sub> -score	
Original image	.957	.957	.958	.956	
SSHBCS	.701	.701	.778	.690	
RLPHCS	.694	.694	.670	.665	
WTL-I	.951	.951	.952	.949	

HSI data set reconstructed with different methods. To achieve this, we performed pixel-wise classification of the original image and the reconstructed images, and compared these images with the ground truth. Results for an accurately reconstructed image must not deviate much from the ground truth. The image Salinas is used for this purpose due to availability of its ground truth. This image is reconstructed at 25 dB SNR and 25% SR. We have used Salinas data set of size  $512 \times 216 \times 184$ . This size is chosen to retain all the classes of the data set as cropping might remove some classes. Also, for comparison, we chose four different methods: SSHBCS, RLPHCS, WTL-D, and WTL-I.

### **5.1 Training Procedure**

Figure 14 shows the CNN architecture used for classification. The stride used is two for convolutional layers and one for

pooling layers. We performed the training using the original data set. As a preprocessing step, we extracted mean normalized patches of size  $13 \times 13$  for all the classes which are then given as an input to the CNN. Adagrad optimizer with learning rate .01 and batch size of 100 is used to train the CNN. The experiments are carried out using Nvidia GeForce GTX 1080 GPU and Tensorflow 1.8 deep learning library. Once the model is trained, we used it to classify the original hyperspectral image and the reconstructed image. Both qualitative results and quantitative results are reported to evaluate the classification performance.

#### 5.2 Results

We performed the classification of original image and reconstructed image using the trained model (Figure 15). It is observed that the model is performing well and classification performance of the original image matched the ground truth approximately. Some portions of the original image were classified perfectly, but a few pixels were misclassified in some regions. It is observed that the images reconstructed using SSHBCS (Figure 15C) and RLPHBCS (Figure 15D) are deviating considerably from the ground truth. On the other hand, the images reconstructed using the learned wavelet sparsifying basis performs considerably better and yielded approximately the same results as the original image (Figure 15E).

We can also verify aforementioned results using the performance metrics. **Table 4** shows the performance metric results on both the datasets. It is observed that for the data set Salinas, original image and the image reconstructed by WTL-I has almost same values for all the metrics, while RLPHBCS and SSHBCS are lagging by a large margin.

The lower performance of RLPHCS and SSHBCS might be due to the fact that they are exploiting only the spatial correlation and not the spectral correlation. On the contrary, the proposed approach is able to utilize spatial as well as spectral correlation. Also, WTL-I performs better that WTL-D. This is due to the application of learned sparsifying basis in all the three directions unlike WTL-D, which is using DCT as the sparsifying basis in the spectral direction instead of learning a basis from the information in the spectral direction. The leading performance of WTL-I also highlights the capability of mutual information matrix to capture the spectral correlation. The sparsifying basis learned with this matrix is performing better than the

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conventional basis (dB4 or DCT). To summarize, learned sparsifying basis applied in all the directions is able to better capture the spatial and spectral correlation and hence, performs better.

# **6 CONCLUSION**

In this article, we proposed and validated wavelet transform learning based method, namely, WTL-I, for the 3D HSI data set and used it successfully in an inverse image problem of compressive sensing-based reconstruction. We learned the sparsifying basis for the spectral direction using the mutual information between different spectral bands. We used a partial canonical identity (PCI) sensing matrix for CS-based reconstruction of hyperspectral images in place of existing Gaussian or Bernoulli sensing matrices as former performs much faster and hence is suitable for real-time time-bound reconstruction-based applications. Even at as low as 5% sampling ratio, the quality of recovery of the HSI data is noteworthy. We also used CNN to perform pixel-wise classification (leading to segmentation of HSI data) that demonstrated the superior reconstruction capability of the WTL-I method.

### DATA AVAILABILITY STATEMENT

The study utilizes the datasets publicly available at https://rslab. ut.ac.ir/data.

### **AUTHOR CONTRIBUTIONS**

AG, NA, and SG contributed to the method formulation. NA and SG contributed to the implementation and experiments. AG and SG prepared the manuscript.

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