



Electronically Excited States of Potential Interstellar, Anionic Building Blocks for Astrobiological Nucleic Acids

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Functionalizing deprotonated polycyclic aromatic hydrocarbon (PAH) anion derivatives gives rise to electronically excited states in the resulting anions. While functionalization with -OH and -C₂H, done presently, does not result in the richness of electronically excited states as it does with -CN done previously, the presence of dipole-bound excited states and even some valence excited states are predicted in this quantum chemical analysis. Most notably, the more electron withdrawing $-C_2H$ group leads to valence excited states once the number of rings in the molecule reaches three. Dipole-bound excited states arise when the dipole moment of the corresponding neutral radical is large enough (likely around 2.0 D), and this is most pronounced when the hydrogen atom is removed from the functional group itself regardless of whether functionalized by a hydroxyl or enthynyl group. Deprotonatation of the hydroxyl group in the PAH creates a ketone with a delocalized highest occupied molecular orbital (HOMO) unlike deprotonation of a hydrogen on the ring where a localized lone pair on one of the carbon atoms serves as the HOMO. As a result, hydroxyl functionlization and subsequent deprotonation of PAHs creates molecules that begin to exhibit structures akin to nucleic acids. However, the electron withdrawing $-C_2H$ has more excited states than the electron donating -OH functionalized PAH. This implies that the $-C_2H$ electron withdrawing group can absorb a larger energy range of photons, which signifies an increasing likelihood of being stabilized in the harsh conditions of the interstellar medium.

Keywords: dipole bound anion, dipole bound states, quantum chemistry, basis sets, PAHs

1 INTRODUCTION

The *Cassini-Huygens* mission investigated the atmospheric structure, surface morphology, composition, and meteorology of Saturn's moon Titan (Coustenis, 2007). The Cassini plasma spectrometer electron spectrometer (CAPS-ELS) detected negatively charged materials with masses large enough such that polynucleotides could be one potential explanation for these detected species. The CAPS-ELS found a large breadth of possible anion sizes in Titan's atmosphere with the least populated region of counts for atomic sizes of 10–100 amu/q.Ali et al. (2015) The most populous region reported was between 100 and 10,000 amu/q. Hence, the most abundant anions are the same size as nucleic acids, polycyclic aromatic hydrocarbons (PAHs), and polypeptides. This indicates that smaller anions react with molecules to form into larger anions. These anions may have lifetimes in

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Santaloci TJ, Strauss ME and Fortenberry RC (2021) Electronically Excited States of Potential Interstellar, Anionic Building Blocks for Astrobiological Nucleic Acids. Front. Astron. Space Sci. 8:777107. doi: 10.3389/fspas.2021.777107 extraterrestrial environments long enough for them to engage in novel astrobiochemistry (Schild et al., 1974; Snow, 1975; Hanel et al., 1981; Kunde et al., 1981; Maguire et al., 1981; Samuelson et al., 1981; Yung et al., 1984; Yung, 1987; Lepp and Dalgarno, 1988; Bradforth et al., 1993; Kawaguchi et al., 1995; Aoki et al., 1996; Clifford et al., 1997; Moustefaoui et al., 1998; Tulej et al., 1998; Aoki, 2000; Millar et al., 2000; Terzieva and Herbst, 2000; Molina-Cuberos et al., 2002; McCarthy et al., 2006; Brünken et al., 2007; Cernicharo et al., 2008; Ross et al., 2008; Thaddeus et al., 2008; Agúndez et al., 2010; Cordiner et al., 2011; López-Puertas et al., 2013).

Additionally, theoretical studies show that nitrogen containing PAHs, or polycyclic nitrogenated hydrocarbons (PANHs), likely also exist in Titan's atmosphere (Kuiper, 1944; Allen et al., 1980; McKay, 1996; Clarke and Ferris, 1997; Ricca et al., 2001). The formation of PANHs starts with the addition of a cyanocontaining group to a benzene ring. This has a small reaction barrier of 8 kcal/mol and forms aromatic nitriles. Additionally, these are known intermediates in the formation of purine, pyrimidine, and their derivatives (Ricca et al., 2001). Also, Titan's atmosphere produces enough energy to surpass the 8 kcal/mol barrier (Walsh, 1995). However, the interplay between neutral PAHs or PANHs and anions of the same molecular mass has yet to be established for Titan or really anywhere. While PAHs like naphthalene can capture free electrons to form anions, PANHs or functionalized PAHs with electronegative functional groups like -CN, -OH or -C₂H can capture free electrons more efficiently (Theis et al., 2015a). Hence, sprinkling a few different atomic species within the PAH structure enhances the anionic chemistry significantly. As a result, the foundations of nucleic acid molecular structure, purine and pyrimidine, may enhance the growth of molecular anions in astrophysical environments like Titan's atmosphere. Additionally, nucleic acids are thought to be a first step for the creation of extraterrestrial life since they are one of the main constituents of DNA and RNA strands making such chemistry a fascinating venue for the production of biologically-relevent astromolecules.

The chemistry behind the formation of biologically-relevant molecular anions in Titans atmosphere is unknown. Puzzarini and coworkers suggestion follows suit with Olah's non-classical carbocationic chemistry in that the formation of large anionic macromolecules proceeds through a series of carbocationic reactions, which eventually results in an open shell neutral radical. The open shell neutral radical would then undergo a radiative electron attachment process (Olah et al., 2016; Puzzarini et al., 2017). The radiative electron attachment process can form anions through dipole-bound states along pathway. McCarthy and coworkers provide the strongest support for the dipolebound formation pathway by the observation of the interstellar C_{2n}H radicals and their corresponding anions. The ground state for C₄H is ${}^{2}\Sigma^{+}$, and the excited state is ${}^{2}\Pi$. However, for larger $C_{2n}H$ radicals, the ground state becomes ² Π . Typically, the ${}^{2}\Sigma^{+}$ states will have dipole moments of ≈ 0.8 D or smaller, and the ² Π state will have dipole moments of \approx 4.0 D or larger(Maier, 1998; Pino et al., 2002; Agúndez et al., 2008). To follow the dipolebound formation pathway, the dipole moment must be ≈ 2.0 D or larger. Thus, C₄H must excite into the $^{2}\Pi$ excited state to undergo the dipole-bound formation pathway. Whereas, the larger C_{2n} Hs will undergo the dipole-bound formation pathway at the $^{2}\Pi$ ground state. The latter process is the energetically favorable reaction. Furthermore, experimental interstellar observations show that C₄H⁻ is less abundant in space than their corresponding radical, and C_6H^- is more abundant than their corresponding radical implying that excitation must take place from the ${}^{2}\Sigma^{+}$ state of C₄H. As such, the focus of this present work is to study open-shell radicals and determine if there are any anionic excited states as these likely play a vital role in interstellar anion chemistry as it is currently understood (Yamamoto et al., 1987; Shen et al., 1990; McCarthy et al., 1995; Woon, 1995; Hoshina et al., 1998; Taylor et al., 1998; van Hemert and van Dishoeck, 2008; Fortenberry et al., 2010; Fortenberry, 2015).

Computational analysis on isomeric anionic complexes of uracil shows evidence of dipole-bound anion formation (Hendricks et al., 1998) In order to explore such behavior, Gutowski and co-workers (Gutowski et al., 1996) previously utilized both photoelectron spectroscopy (PES) and theoretical computations in examining a uracil-glycine anion complex. The PES spectra show a broad feature with a maximum intensity at 1.8 eV implying removal of an electron from an anion state at this energy. In support of this, the theoretical work concludes that the excess electron in the uracil-glycine complex is a π^* orbital localized on the uracil rings. Furthermore, later theoretical work by Adamowics and coworkers also predicts that uracilglycine complexes have dipole moments of approximately 5 D. These items imply that uracil can form dipole-bound anions (Hendricks et al., 1996, 1998; Desfrancois et al., 1996; Jalbout et al., 2003).

Fermi and Teller, the first to study dipole-bound anions with the aid of quantum mechanics, found that the binding energy of an excess electron is dependent upon the corresponding neutral radical's dipole moment (Fermi and Teller, 1947). Specifically, the dipole moment should be greater than 1.63 D in order to keep a free electron bound to the positive end of the dipole moment. Dipole-bound anions of the type described by Fermi and Teller are dominated by the excess electron residing in a highly-diffuse, Rydberg-like s orbital with higher dipole moments required for higher angular momentum states in these hydrogen-like, diffuse orbitals. In more recent studies, the neutral radical dipole moment has been shown to require closer to or even exceeding 2.0 D for the diffuse s orbitals to be populated in dipole-bound anions (Compton et al., 1996; Gutowski et al., 1996; Jordan and Wang, 2003; Simons, 2008). This suggests that when the magnitude of the dipole moment becomes larger, the probability also increases that a dipole-bound state of an anion will exist underneath the electron binding energy (eBE), the energy required to remove the electron from the molecular system) giving a proportional relationship between the magnitude of the dipole moment and the eBE. However, dipole-bound states do not have to solely be ground electronic states. If the anion is valence in nature for its ground electronic state, dipole-bound excited states (DBXSs) may be accessed via electronic transitions (Mead et al., 1984; Lykke et al., 1987; Mullin

et al., 1992, 1993; Gutsev and Adamowicz, 1995; Fortenberry, 2015). Since Fermi and Teller's equations (Fermi and Teller, 1947) dictate that only one dipole-bound state can be present for each angular momentum value, DBXSs are rare, but uracil appears to demonstrate such behavior. Hence, the analysis of DBXSs for molecular precursors to nucleotides will require specialized tools.

As with the uracil anion complexes examined above (Hendricks et al., 1998, 1996), quantum chemistry provides a detailed means for determining the properties of dipole-bound anions and/or DBXSs. The diffuse, Rydberg-like s orbitals need an adequate quantum chemical basis set in order to be accurately described. One effective basis set is utilizing standard correlation consistent basis sets with large numbers of diffuse functions, such as the t-aug-ccpVTZ (tapVTZ) set. However, such linear combinations of atomic orbitals to make molecular orbitals (LCAO-MO) approaches are too computationally expensive (Fortenberry and Crawford, 2011a). An alternate approach is to add even-tempered diffuse functions onto a dummy atom and keep the LCAO-MO approach for the valence orbital description (Mach et al., 2010; Fortenberry and Crawford, 2011b; Santaloci and Fortenberry, 2021). For the aug-cc-pVDZ basis set on the real atoms, this method lowers the number of basis functions by 48%, which, in return, lowers the computational cost significantly, without affecting the accuracy of the results (Fortenberry and Crawford, 2011b; Theis et al., 2015a; Morgan and Fortenberry, 2015).

Another important aspect of the basis set includes the placement and number of the employed diffuse functions. Past studies (Fortenberry and Crawford, 2011b; Theis et al., 2015b; Bassett and Fortenberry, 2017; Santaloci and Fortenberry, 2020, 2021) have placed the diffuse functions at either the center of charge (COC) or center of mass (COM). The COM is the mass weighted Cartesian origin, and the COC is at the positive pole of the corresponding neutral radical dipole moment computed, in this case, at the anion geometry. Placement of the diffuse functions at the COC lowers the energies of the excitations and is less likely to destabilize the occupied molecular orbitals (Santaloci and Fortenberry, 2020). Additionally, such a position is consistent with theory in that the binding of the excess, diffuse electron has its locus at the point with the most positive charge. As a result, the COC will be utilized for incorporating the additional diffuse functions.

The goal of this present work is to determine how functionalizing deprotonated PAHs will affect the anion photochemistry of such species. Previous work has shown that deprotonated anions will almost always exhibit valence ground states opening the possibility for DBXSs, if the corresponding neutral radical dipole moments are large enough (Fortenberry and Crawford, 2011a; Fortenberry and Crawford, 2011b; Hammonds et al., 2011; Fortenberry et al., 2014). Additionally, the presence of nitrogen heteroatoms promotes additional excited states of anions including DBXS (Theis et al., 2015b). Cyano-functionalization also promotes such behavior (Santaloci and Fortenberry, 2020, 2021), but other functional groups remain to be studied. Herein, the photochemical behavior of deprotonated PAH anions with hydroxyl and ethynyl functional groups are explored. Unlike -CN, the resulting molecular anions for both of these additions can be deprotonated on the functional group themselves likely shifting the behavior of the electronic structure.

Most notably, hydroxides can become ketones and vice versa in nucleobases under certain conditions. As this work will show, the most stable deprotonated anions for -OH and -C2H functionalized PAHs have the hydrogen atoms removed from these functional groups. In the case of the hydroxyl group, this actually forms a ketone and a delocalized π highest occupied molecule orbital (HOMO). Consequently, such a process could open the door for new photo physically-driven chemistry and faster kinetics for the formation of nucleic acids in astrophysical environments such as Titan's atmosphere (Bevilacqua, 2003; Vay et al., 2019). Additionally, ethynyl-functionalized molecules have now been observed in the interstellar medium (Cernicharo et al., 2021), and the triatomic radical is one of the most abundant molecules in space (Heikkilä et al., 1999) likely helping in the buildup of larger PAHs (Tielens, 2008). This work will provide the isomerization energies, transition energies, and oscillator strengths for singly-deprotonated anions of benzene, naphthalene, and anthracene functionalized with a hydroxyl or ethynyl group. The goal of this work is to analyze the absorption properties of these singly deprotonated anionic PAHs, which will assist in understanding the type of anions that could potentially arise in the building of larger PAHs-like molecules including nulceotides.

2 COMPUTATIONAL METHODS

In this work, benzene, naphthalene, and anthracene singly deprotonated and functionalized with either a hydroxyl or ethynyl group and analyzed quantum chemically. The functional groups are placed at multiple positions for naphthalene and anthracene. Similar to past studies (Santaloci and Fortenberry, 2020, 2021), the closed shell anion and neutral radical geometries are optimized with B3LYP/aug-cc-pVDZ within Gaussian09 and Gaussian16 (Dunning, 1989; Becke, 1993; Frisch et al., 2016). The optimized geometries provide the relative energies for each radical or anion isomer of each molecule/functional group combination. The optimized geometries of the anion are then utilized to compute a neutral radical dipole moment and the coordinates for the closed-shell anion excited state computations.

The electronically excited states are computed with both CFOUR (Stanton et al., 2008) and Molpro (Werner et al., 2015) using equation of motion coupled cluster theory at the singles and doubles level (EOM-CCSD) (Stanton et al., 1993; Krylov, 2008; Shavitt and Bartlett, 2009) with a double-zeta correlation consistent basis set (aug-cc-pVDZ) expanded with six s-type, six p-type, and two d-type additional, even-tempered diffuse basis functions called the aug-cc-pVDZ+6s6p2d, or more simply the apVDZ+6s6p2d, basis set. The augmented basis functions are placed at the COC, and the anion geometries are utilized to mimic absorption behavior because the closed-shell anion is being excited. A past study on cyano functionalized PAHs shows that the EOM-CCSD computations with the apVDZ+6s6p2d basis approach the Hartree-Fock Limit, but this level of theory still has an error range of roughly 1 meV when comparing between computed values for the DBXS energy and the eBE (Bassett and Fortenberry, 2017; Santaloci and Fortenberry, 2021). The eBE is the maximum amount of

	Isomer	Radical Rel.E (eV)	Anion Rel.E (eV)	Radical dipole (Debye)
Phenol	1	0.0000	0.0000	4.06
	2	1.1649	1.7016	1.07
	3	1.1446	2.1639	0.42
	4	1.1978	2.2843	1.42
	5	1.1459	2.2386	2.11
	6	1.2353	2.1164	2.26
Ethynylbenzene	1	0.5333	0.0000	6.87
	2	0.0435	1.1316	1.45
	3	0.0000	1.1308	0.84
	4	0.0115	1.1084	0.35

TABLE 1 | The relative energies and neutral radical dipole moments of singly deprotonated phenol isomers.

energy than can be absorbed by the anion before the electron leaves the system entirely. Specifically here, the eBEs are computed using EOM-CCSD under the ionization potential formalism (EOMIP) (Stanton and Gauss, 1994) with the augcc-pVDZ basis set. The electrons in the system are of a valence nature and do not need additional diffuse functions to accurately portray the existence of the resulting neutral radical. The experimental eBE for CH_2CN^- is 1.543 eV, for example, and the benchmark theoretical value computed in the same way as that described above (EOMIP-CCSD/aug-cc-pVDZ) is 1.524 eV, a difference of less than 0.02 eV (Lykke et al., 1987; Gutsev and Adamowicz, 1995; Cordiner and Sarre, 2007; Fortenberry and Crawford, 2011a; Morgan and Fortenberry, 2015).

3 RESULTS

The singly functionalized -C₂H or -OH PAH anions are of either C_s or $C_{2\nu}$ symmetry. Correspondingly, the electronically excited state's term will either be ${}^{1}A'/{}^{1}A_{1}$ or ${}^{1}A''/{}^{1}B_{1}$. The HOMO is typically isolated to a lone pair on the carbon where the hydrogen is removed. However, the HOMO is defined by the out of plane π orbitals when deprotonation takes place either on the hydroxyl or the ethynyl group. Consistent with past work, herein the dipolebound excitation has an electron transition from the HOMO into a large, diffuse, Rydberg s-type orbital, and the defined +6s6p2d, additional diffuse functions mimic the diffuseness of the Rydberg-like orbitals. The dipole moment for the neutral radical computed at the optimized geometry of the anion also must be larger than 2.0 D in order to confidently determine whether the dipole-bound state exists in this simulation absorption. Furthermore, any possible valence excitation will naturally be well below the eBE, and the neutral radical dipole moment is independent of the excitation. As a result, the valence excitation does not need a neutral radical dipole moment of greater than 2.0 D.

3.1 Benzene

3.1.1 Relative Energies and Dipole Moments

Table 1 shows the relative energies and the radical dipole moments for the deprotonated phenol and ethynylbenzene classes of molecules. Furthermore, the deprotonation sites are



labeled in **Figure 1**. The deprotonation sites correspond to the first column of the tables throughout the paper. For instance, in **Table 1**, phenol radical/anion 1 is the removal of the hydrogen on the oxygen atom.

For phenol, radical/anion 1 is the most stable isomer of each respective group and has the largest radical dipole moment of 4.06 D. Furthermore, radical/anion 1 is lower in energy than the rest by more than 1 eV, which is a result of the hydroxyl group becoming a ketone functional group stabilizes the system. Radical 6 and anion 4 are the least stable of their sets, but most of the relative energies are self-similar because the electron donating nature of the hydroxyl group does not greatly affect the stability of the electrons in the HOMO. Radical 6 is 1.2353 eV higher in energy than radical 1, and anion 4 is 2.2843 eV less stable than anion 1. Additionally, anion 2 is the second most stable. This is likely due to the hydrogen on the oxygen constructively interfering with the adjacent lone pair stabilizing the anionic charge. This trend is not as clear for the radical section, but the difference between the least stable (radical 6) to radical 2 is only 0.07 eV. Besides radical 1, only radicals 5 and 6 have dipole moments large enough to have a dipole-bound excitation in their corresponding anions. At the opposite end of the spectrum, the radical 2 dipole moment is only 1.07 D.

3.1.2 Vertical Excitation Energies

Conversely for the other functional group and due to the electron withdrawing nature of ethynyl, the singly-occupied HOMO is

	Isomer	2 ¹ A ₁ /2 ¹ A′	1 ¹ B ₂ /3 ¹ A′	1 ¹ B₁/1 ¹ A∋	1 ¹ A₂/2 ¹ A∋	eBE (eV)
Phenol	1	2.0987	2.1280	2.0832	2.0918	2.0890
	2	2.0152	2.0190	2.0228	2.0545	2.0059
	3	1.6059	1.6099	1.6135	1.6453	1.5976
	4	1.4621	1.4655	1.4696	1.5014	1.4585
	5	1.5103	1.5134	1.5179	1.5494	1.5066
	6	1.6517	1.6547	1.6593	1.6907	1.6469
Ethynylbenzene	1	3.2130	3.2375	3.1560	3.2059	3.2055
	2	1.8194	1.8228	1.8271	1.8590	1.8181
	3	1.7454	1.7493	1.7530	1.7851	1.7389
	4	1.9110	1.9152	1.9186	1.9507	1.9105

TABLE 2 | The singly deprotonated anion derivatives eBEs and excited state transition energies.



destabilized to a much greater extent in the radical form when the hydrogen removal site on the ethynyl group. Radical 3, where the singly-occupied orbital is in the *meta* position, is the most stable, but there is littler isomeric energy difference between it and radicals 2 and 4. This is similar to the previous benzonitrile anion study where the least stable radical has the largest dipole moment at 5.18 D and is also the most stable anion for the benzonitrile isomer group (Santaloci and Fortenberry, 2020, 2021). Hence, functionalization with $-C_2H$ and -CN show similarities.

Table 2 lists the electronically excited state transition energies and eBEs of the phenol and ethynylbenzene anion isomers. **Figure 2** shows the orbitals involved in electronic excitations for ethynylbenzene anion 1 as an example. The HOMO, in this case, is the out-of-plane π orbital (**Figure 2B**). In the other isomers, when the deprotonation is on the ring and the symmetry of the anion becomes C_s , the HOMO looks more like **Figure 2A**. Most of the anions throughout the paper are C_s . When this $C_{2\nu}$ to C_s shift occurs, the deprotonation moves to the ring, and the in-plane lone pair is the least stable orbital.

When the deprotonation occurs on the hydroxyl or ethynyl group, a valid dipole-bound excitation occurs, but such is not present for any of the other isomers where the deprotonation occurs on the ring even though phenol radicals 5 and 6 showed dipole moments of greater than 2.0 D. Regardless, the phenol anion 1 dipole-bound transition is 5.8 meV and ethynylbenzene anion 1 is 49 meV underneath their respective eBEs. Furthermore, the bound phenol absorption wavelength is



595 nm, and the ethynylbenzene absorption wavelength is 392 nm. The phenol DBXS transition is capable of capturing much lower energy photons, whereas the ethynylbenzene absorption is approaching the violet region but barely within

TABLE 3 The relative energies	and neutral radical dipole moments of
hydroxynaphthalene derivatives.	

	Isomer	Radical rel. E.	Anion rel. E.	Radical dipole (D)
1-hydroxynaph	1	0.0000	0.0000	4.10
	2	1.4589	1.8347	0.72
	3	1.4868	2.3976	2.32
	4	1.5388	2.3879	1.54
	5	1.4959	2.2711	1.35
	6	1.4958	2.2830	0.41
	7	1.5017	2.2334	0.94
	8	1.3832	1.5842	2.08
	2R	1.5784	2.2930	2.47
	8R	1.4678	2.5143	1.56
2-hydroxynaph	1	1.3790	2.1488	2.30
	2	0.0000	0.0000	5.02
	3	1.3421	2.0937	1.16
	4	1.2878	2.1088	0.63
	5	1.2785	2.2776	0.80
	6	1.2905	2.3792	1.76
	7	1.2735	2.3643	2.36
	8	1.2884	2.3368	2.34
	1R	1.2924	1.7415	0.95
	3R	1.2866	1.6770	2.02

the UV region. Lastly, both phenol and ethynyl derivatives do not exhibit any valence excited states.

3.2 Naphthalene

3.2.1 Relative Energies and Dipole Moments

Figure 3 displays the deprotonation positions of naphthalene isomers functionalized with the hydroxyl groups. The ethynyl group naphthalene isomer numbering is much the same. The two isomers of naphthalene have the functional groups placed either at position 1 or 2. Position 1 has functional groups placed on the inner portion of the ring, and position 2 is when functionalization takes place on the outer part of the naphthalene like with quinoline and isoquinoline. Additionally, the deprotonation sites are labeled to correspond with the subsequent tables.

Tables 3, **4** portray the relative energies of the radicals and anions for the 1-naphthalene isomers. 1-hydroxynaphthalene has 10 and 1-ethynylnaphthalene has 8 radical/anion isomers. The hydroxynaphthalene class has an additional two isomers because the hydrogen on the oxygen points towards either side of the naphthalene. When the hydrogen is rotated to the opposite side an "R" is added to the label. For example, 1-hydroxynaphthalene 2 has the hydrogen facing toward the deprotonated carbon and 2R has the hydrogen rotated away. All other arrangements of "R" produce insignificant changes in the electronic structure and will not be explored.

The relative energies of both ethynyl- and hydroxyfunctionalization follow the same trend as the benzene section. For the hydroxynaphthalene, the radical/anion energies are the most stable when the ketone group forms. The hydroxynaphthalene isomers have a total of 9 radicals with a dipole moment above 2.0 D. Specifically, 1hydroxynaphthalene neutral radicals 1, 2R, 3, and 8 along with **TABLE 4** | The relative energies and neutral radical dipole moments of ethynylnaphthalene derivatives.

	Isomer	Radical rel. E.	Anion rel. E.	Radical dipole (D)
1-ethynylnaph	1	0.3792	0.0000	7.53
	2	0.0388	1.1084	1.52
	3	0.0066	1.1082	1.13
	4	0.0144	1.0362	0.44
	5	0.0087	1.1324	0.27
	6	0.0036	1.2258	0.78
	7	0.0000	1.2573	1.29
	8	0.0204	1.2999	1.48
2-ethynylnaph	1	0.0465	0.9958	1.53
	2	0.4591	0.0000	8.36
	3	0.0461	1.1008	1.78
	4	0.0043	1.0366	1.03
	5	0.0057	1.0746	0.90
	6	0.0000	1.1222	0.26
	7	0.0008	1.1416	0.78
	8	0.0082	1.0692	1.41

2-hydroxynaphthalene radicals 1, 2, 7, 8, and 3R have dipole moments greater than 2.0 D. 2-hydroxynaphthalene radical 2 has the largest dipole moment at 5.0 D, but the next highest is the 1-hydroxynaphthalene radical 1 at 4.1 D. Additionally, the dipole moments of 1-hydroxynaphthalene 2R and 2hydroxynaphthalene 3R are significantly larger than their unrotated counterparts. The 1-hydroxynaphthalene radical 2 and 2-hydroxynaphthalene radical 3 both possess dipole moments below 1.2 D. This is a result of the hydrogen on the oxygen, which distorts the dipole moment and stabilizes the partial negative charge.

Likewise, the ethynylnaphthalene anion isomers are the most stable when the hydrogen is deprotonated from the ethynyl group. The ethynylnaphthalene radicals act differently than the hydroxynaphthalene isomers. The least stable ethynyl radicals are the most stable ethynylnaphthalene anions, again like with the -CN functionalization (Santaloci and Fortenberry, 2021), implying a trend for when the functional group is a strong electron withdrawing group. Furthermore, 1-ethynylnaphthalene radical 7 and 2-ethynylnaphthalene radical 6 are the most stable. Both of these have the lone pair on the opposite side of the ring from the functional group. Interestingly, the other radicals that surround the ethynyl group demonstrate relative energies within at most 0.046 eV of each other. This relatively small difference results in only two ethynylnaphthalene neutral radicals dipole moments being larger than 2.0 D. Both of these radicals are the most stable anions and have the highest corresponding neutral radical dipole moments seen so far: 7.5 D for 1-ethynylnaphthalene anion 1 and 8.4 D for 2ethynylnaphthalene anion 2. This indicates only two anions in the ethynylnaphthalene class can bind a free electron to form a dipole-bound state.

When comparing the 1-naphthalene and 2-naphthalene classes, the most stable anions are found in the 2-naphthalene regardless of the functional group at position 2. Specifically, the 2-hydroxynaphthalene anion 2 is 0.16 eV lower in energy than the

	Isomer	Isomer Excited states		eBE
		2 ¹ A′	1 ¹ A″	
1-hydroxynaph	1	2.1491	2.1327	2.1396
	2	1.9095	1.9172	1.8978
	3	1.7997	1.8069	1.7979
	4	1.8145	1.8221	1.8128
	5	1.9213	1.9286	1.9214
	6	1.9010	1.9075	1.9003
	7	1.9758	1.9823	1.9748
	8	2.6704	2.6821	2.6711
	2R	2.2549	2.2627	2.2554
	8R	1.6067	1.6143	1.6047
2-hydroxynaph	1	2.2954	2.3005	2.2933
	2	2.2619	2.2423	2.2532
	3	1.9967	2.0040	1.9942
	4	2.0161	2.0222	2.0089
	5	1.8239	1.8302	1.8223
	6	1.7156	1.7221	1.7133
	7	1.7404	1.7481	1.7381
	8	1.7525	1.7603	1.7509
	1R	1.9687	1.9765	1.9668
	3R	2.3925	2.3990	2.3858

TABLE 5 | The singly deprotonated hydroxynaphthalene anion derivatives eBEs and transition energies.

TABLE 6 | The singly deprotonated ethynylnaphthalene anion derivatives eBEs and transition energies.

	Isomer Excited states		eBE	
		2 ¹ A'	1 ¹ A″	
1-ethynylnaph	1	3.2355	3.1859	3.2272
	2	2.0685	2.0751	2.0672
	3	1.9959	2.0019	1.9939
	4	2.1207	2.1283	2.1208
	5	1.9838	1.9899	1.9828
	6	1.8933	1.8990	1.8915
	7	1.8582	1.8646	1.8569
	8	1.7956	1.8033	1.7958
2-ethynylnaph	1	2.1537	2.1613	2.1532
	2	3.2771	3.2149	3.2702
	3	2.0386	2.0459	2.0379
	4	2.0134	2.0207	2.0127
	5	2.0039	2.0119	2.0044
	6	1.9849	1.9913	1.9838
	7	1.9483	1.9549	1.9474
	8	2.0326	2.0410	2.0333

1-hydroxynaphthalene anion 1, and the 2-ethynylnaphthalene anion 2 is 0.01 eV is lower in energy than 1-ethynylnaphthalene anion 1. This is, again, consistent with the previous work on cyanonaphthalenes (Santaloci and Fortenberry, 2021). The reason for such behavior is that the molecule is slightly enlongated in the 2 position which increases the total spatial extent for the orbitals. From a particle-in-a-box (PIB) argument, as the box becomes longer the wave function stabilizes the lower energy.

3.2.2 Vertical Excitation Energies

Table 5 shows that all of the possible valence excited state transition energies are above the eBEs for each isomer. The hydroxynaphthalene DBXS transition energies for 1hydroxynaphthalene anion 1 and 2-hydroxynaphthalene anion are clearly underneath the eBE. Specifically, 2 1hydroxynaphthalene anion 1 is 6.9 meV and 2hydroxynaphthalene anions 2 is 10.9 meV, respectively, below their eBEs. Other DBXS candidates, like 1-hydroxynaphthalene anions 8 and 2R, may exist since their excitation energies are within 1 meV of the eBE. Both of these anions are next to the oxygen when the hydrogen is facing away from it. The destabilization of the oxygen lone pair to their radical lone pair results in the dipole moment being above 2.0 D, but the excitation energies fall within the error range and can not be confidently concluded as a DBXS through the present quantum chemical analysis.

Table 6 shows the electronically excited state energies for the deprotonated ethynylnaphthalene anion isomers. Similar to ethynylbenzene, the only conclusive DBXS transition originates from the lone pair on the ethynyl group. However, there are a couple of transition energies that are below the eBE. These are 1-ethynylnaphthalene anion 4 and 8 as well as 2-ethynylnaphthalene anion 5 and 8. Since, the radicals' dipole moments are not large

enough to stabilize the electron in a diffuse orbital, the dipolebound state likely cannot be present. Analysis of the MOs does not support these being valence excited states. Future work will analyze the behavior of these possibly bound electronically excited states. Furthermore, the DBXS transition energies for 1ethynylnaphthalene anion 1 and 2-ethynylnaphthalene anion 2 are largely underneath the eBE. Specifically, 1-ethynylnaphthalene anion 1 is 41.3 meV and 2-ethynylnaphthalene anion 2 is 55.3 meV below the eBE. This is a result of the large radical dipole moments. Figure 4 shows the orbitals involved in the DBXS excitation of 2ethynylnaphthalene anion 2, and the hydroxynaphthalene orbitals, not shown, appear similar. The left side of Figure 4 is the HOMO, and the right is the diffuse s-type Rydberg LUMO. Similar to the benzene class of anions discussed previously, the hydroxynaphthalene excitations are between the 550-581 nm range, and the ethynylnaphthalene excitations are clearly lower between 386 and 390 nm. Again, the ethynylnaphthalene can capture UV light and hydroxynaphthalene is in the greenishyellow region.

3.3 Anthracene

3.3.1 Relative Energies and Dipole Moments

Supplementary Figures S1, S2 represent the three derivatives of deprotonated ethynyl- and hydroxyanthracene. There are ten isomers for 1-hydroxyanthracene and six isomers for 1-ethynylanthracene. Similar to past studies, only six of the 1-ethynylanthracene isomers are unique due to the symmetry. For both hydroxyl- and ethynylanthracene, the other two derivatives have ten isomers and are Cs symmetry.

Tables 7, 8 show the relative and excitation energies for all the hydroxyanthracene derivatives. In line with phenol and hydroxynaphthalene, the most stable radical and anion isomers exist when the hydrogen is deprotonated off of the oxygen. For all three hydroxyanthracene derivative sets, the second most stable radical and anion occur when deprotonation takes place on the adjacent carbon where the hydrogen on the oxygen is facing the



TABLE 7 | The relative energies and neutral radical dipole moments of hydroxyanthracene derivatives.

	Isomer	Radical rel. E.	Anion rel. E.	Radical dipole (D)
1-hydroxyanthra	1	0.0000	0.0000	3.80
	2	1.7833	1.7872	5.18
	3	1.9144	2.4849	0.59
	4	1.9083	2.5435	0.33
	5	1.9060	2.5234	1.20
	6	1.9517	2.5070	1.29
	7	1.9078	2.6266	1.52
	8	1.8954	2.6850	2.27
	9	1.9050	2.7337	2.31
	10	1.9274	2.8033	1.69
2-hydroxyanthra	1	2.7200	2.3886	1.30
	2	0.0000	0.0000	4.42
	3	1.6079	1.8987	0.56
	4	1.5783	2.3630	0.42
	5	1.6275	2.4195	1.21
	6	1.5946	2.2590	1.34
	7	1.5820	2.3904	1.60
	8	1.5766	2.4422	2.24
	9	1.5809	2.4546	2.20
	10	1.5845	2.4143	1.46
3-hydroxyanthra	1	1.4595	2.2749	2.30
	2	1.5400	2.2199	2.33
	3	0.0000	0.0000	6.16
	4	1.4405	1.6997	1.23
	5	1.4468	2.1316	0.77
	6	1.4418	2.1708	0.94
	7	1.4362	2.3592	1.04
	8	1.4387	2.4393	2.04
	9	1.4302	2.4314	2.58
	10	1.4406	2.3930	2.45

TABLE 8 | The singly deprotonated hydroxyanthracene anion derivatives eBEs and transition energies.

	Isomer	Excited	d states	eBE
		2 ¹ A′	1 ¹ A″	
1-hydroxyanthra	1	2.0800	2.0637	2.0743
	2	2.7775	3.0307	2.7688
	3	2.1413	2.1500	2.1420
	4	2.0630	2.0721	2.0642
	5	2.0918	2.1015	2.0932
	6	2.1515	2.1602	2.1494
	7	1.9705	2.0972	1.9699
	8	1.9325	1.9381	1.9299
	9	1.8633	1.8691	1.8610
	10	1.7755	1.7822	1.7738
2-hydroxyanthra	1	2.0051	2.0106	2.0032
	2	2.2014	2.1827	2.1925
	3	2.4241	2.4333	2.4236
	4	2.0190	2.0275	2.0195
	5	1.9475	1.9572	1.9482
	6	2.1330	2.1385	2.1305
	7	1.9696	1.9761	1.9667
	8	1.9325	1.9371	1.9285
	9	1.9087	1.9143	1.9050
	10	1.9506	1.9571	1.9481
3-hydroxyanthra	1	2.1076	2.1136	2.1052
	2	2.1479	2.1555	2.1440
	3	2.3225	2.3064	2.3134
	4	2.6255	2.6328	2.6248
	5	2.2480	2.2568	2.2489
	6	2.2311	2.2371	2.2255
	7	1.9953	2.0016	1.9932
	8	1.9106	1.9164	1.9068
	9	1.9323	1.9381	1.9268
	10	1.9541	1.9608	1.9440

resulting lone pair. The least stable radicals and anions differ for each hydroxyanthracene. However, there is no significant difference in energy between the least stable and third most stable radicals and anions for all three hydroxyanthracenes, which range from 0.01 to 0.06 eV from one another. An example is 1-hydroxyanthracene radical 6, which is the least stable compared to radical 8, which is the third most stable. These two radicals differ in relative energy by 0.06 eV.

Comparable to the hydroxynaphthalene derivatives, the most stable anion and radical isomer for the larger ring class is 1hydroxyanthracene, and the least stable is 3-hydroxyanthracene. The radical dipole moment once more seemingly increases with radical isomeric instability; the largest radical dipole moments are

	Isomer	Radical rel. E.	Anion rel. E.	Radical dipole (D)
1-ethynylanthra	1	0.1330	0.0000	8.14
	2	0.0185	1.3694	1.39
	3	0.0000	1.3079	1.44
	4	0.0088	1.2781	1.07
	5	0.0124	1.1745	0.25
	6	0.0219	0.9552	0.20
2-ethynylanthra	1	0.0295	0.0414	1.38
	2	0.2801	0.0000	8.36
	3	0.0382	0.0396	1.67
	4	0.0089	0.0398	1.36
	5	0.0122	0.0366	0.59
	6	0.0216	0.0351	0.40
	7	0.0065	0.0422	0.27
	8	0.0011	0.0450	0.75
	9	0.0000	0.0452	1.26
	10	0.0099	0.0441	1.46
3-ethynylanthra	1	0.0145	0.8912	1.47
	2	0.0465	0.9726	1.65
	3	0.3726	0.0000	10.23
	4	0.0514	1.0966	2.04
	5	0.0082	1.0274	1.23
	6	0.0164	0.9238	1.07
	7	0.0089	1.0901	0.96
	8	0.0002	1.1465	0.20
	9	0.0000	1.1589	0.74
	10	0.0093	1.0761	1.41

TABLE 9 | The relative energies and neutral radical dipole moments of singly deprotonated ethynylanthracene derivatives.

TABLE 10 | The singly deprotonated ethynylanthracene anion derivatives eBEs and transition energies.

	Isomer	Excited	d states	eBE
		2 ¹ A′	1 ¹ A″	
1-ethynylanthra	1	3.1139	3.0259	3.1068
	2	1.9338	1.9490	1.9409
	3	2.0514	2.0580	2.0509
	4	2.0699	2.0763	2.0690
	5	2.1793	3.0657	2.1870
	6	2.4741	2.1783	2.4746
2-ethynylanthra	1	2.1496	2.0018	2.1444
	2	2.9398	3.1602	3.2316
	3	2.2465	1.9564	2.2424
	4	2.1671	1.7677	2.1639
	5	2.3072	2.0633	2.3045
	6	2.3516	2.1547	2.3424
	7	2.1027	1.9203	2.1004
	8	2.0268	2.0338	2.0246
	9	2.0235	2.0309	2.0213
	10	2.0393	2.0471	2.0374
3-ethynylanthra	1	2.4086	2.2191	2.4053
	2	2.3402	2.1646	2.3351
	3	2.9294	3.2251	3.2920
	4	2.1984	2.2046	2.1942
	5	2.1788	2.1851	2.1755
	6	2.3401	2.1791	2.3371
	7	2.1430	2.1526	2.1431
	8	2.1106	2.1203	2.1113
	9	2.0866	2.0961	2.0871
	10	2.1666	2.1779	2.1684

in the 3-hydroxyanthracene. Specifically, the 3-hydroxyanthracene radical 3 dipole moment is 6.2 D, 2-hydroxyanthracene radical 2 is 4.4 D, and 1-hydroxyanthracene radical 1 is 3.8 D. There are other notable radicals with dipole moments larger than 2.0 D. For 1-hydroxyanthracene, radicals 8 and 9 are 2.2 and 2.1 D. 2-hydroxyanthracene radicals 8, and 9 are 2.2, and 2.0 D. 3-hydroxyanthracene radicals 1, 2, 8, 9 and 10 are 2.3, 2.3, 2.0, 2.6 and 2.5 D. A past study on cyanoanthracene reveals that the dipole moments of the radicals become larger when the ring length becomes larger (Santaloci and Fortenberry, 2020).

Tables 9, 10 display the relative and excitations energies for the ethynylanthracene derivatives. The relative energies follow the same type of trend reported in the previous cyanoanthracene study (Santaloci and Fortenberry, 2021) The least stable anion is the most stable radical and vice versa. Unlike hydroxyanthracene, the radical and anion do not have a significant energy difference between their isomers. This is a result of no hydrogen bonding effect and no formation of a different functional group. The largest energy difference for the radicals is 2-ethynylanthracene radical 4 with relative energy of 0.0396 eV compared to the most stable isomer, radical 9. For the anions, their largest relative energy is from 1-ethynylanthracene anion 2 at 1.4 eV compared to anion 1.

The largest radical dipole for the ethynylanthracene derivatives is, once more, for the isomer resulting from deprotonation on the ethynyl group. Specifically, the 1-ethynylanthracene radical 1 has a dipole moment of 8.1 D; 2-ethynylanthracene radical 2 has a dipole moment of 8.4 D; 3-ethynylanthracene radical 3 has a dipole moment of 10.2 D. 3-

ethynylanthracene is the only ethynylanthracene isomer that has more than one radical with a dipole moment larger than 2.0 D. Namely, radical 4 has a dipole moment of 2.0 D. For the ethynylanthracene class, only 4 radicals have dipole moments greater than 2.0 D.

3.3.2 Vertical Excitation Energies

Tables 8, 10 display the electronically excited states of the deprotonated hydroxyl and ethynylanthracene anions. Comparable to ethynylbenzene, the carbon on the 1-ethynylanthracene functional group and adjacent to the ethynyl group is $C_{2\nu}$ symmetry with the 2 ${}^{1}A_{1}$ state being likely a DBXS, and the 1 ${}^{1}B_{1}$ state would be valence. Additionally, similar to phenol, the 1-hydroxyanthracene is $C_{2\nu}$ symmetry when the deprotonation site is on the oxygen. Furthermore, the excitation into the 2 ${}^{1}A_{1}$ state is potentially a DBXS, and 1 ${}^{1}B_{1}$ would be valence. Lastly, similar to the naphthalenes, all of the remaining derivatives will be C_{s} symmetry, which indicates the dipole-bound states will be 2 ${}^{1}A'$, and any possible valence states will be 1 ${}^{1}A''$.

In the hydroxy-functionalized isomers, the dipole-bound excitation only exists when the ketone group forms upon deprotonation from the oxygen atom. For 1-hydroxyanthracene, excitations from anions 1, 4, and 5 are outside the 1 meV error range of the eBE. However, the only neutral radical dipole moment greater than 2.0 D is anion 1. 2-hydroxyanthracene has a dipole-bound excitation for anion 2. Similar to 1-hydroxyanthracene, 2-hydroxyanthracene anions 4 and 5 do not have large enough dipole moments but have excitations that are only 0.5 and 0.7 meV

underneath the eBE. Congruent to other hydroxyanthracenes, 3hydroxyanthracene anion 5 has an excitation 0.9 meV below the eBE. These excitations may be more exotic types of excitation that go beyond the present study. Lastly, none of the hydroxyanthracene derivatives have any valence excitation characteristics.

When ethynyl functionalization on anthracene occurs, more types of excitations appear. For the ethynylanthracene class, there are both valence and dipole bound excitations. All three ethynylanthracene exhibit both dipole-bound and valence excitations. Figure 4 shows the HOMO to valence LUMO and dipole-bound LUMO orbitals for 3-ethynylanthracene anion 3. The dipole-bound orbital is closely related for all of the valid ethynylanthracene hydroxyanthracene and dipole-bound excitations. Interestingly, there are only four neutral radical dipole moments large enough to stabilize a free electron. Three of those four isomers are deprotonated on the ethynyl group. Specifically, anion 1 of 1-ethynylanthracene is 81 meV, anion 2 of 2-ethynylanthracene is 29 meV, and anion 3 of 3ethynylanthracene is 66 meV underneath the eBE. 3ethynylanthracene anion 4 is the remaining isomer with a large corresponding neutral radical dipole moment, but it does not exhibit an excited state below the eBE. Also, 1-ethynylanthracene anion 6, 2-ethynylanthracene anion 1-7, and 3-ethynylanthracene anion 1, 2, 3, and 6 have valence excitations. 1-ethynylanthracene anion 2 and 5, 3-ethynylanthracene anion 8, 9, and 10 do not have corresponding neutral radicals that possess large enough dipole moments. However, these isomers have excitation energies underneath the eBE, which again alludes to a more complex type of quantum electronics.

Lastly, similar to the past classes, the hydroxyanthracene excitations are higher in energy than the ethynylanthracene. For hydroxyanthracene, the dipole bound transitions are 537–600 nm, and ethynylanthracene dipole-bound transitions are between the range of 384–409 nm. Also, ethynylanthracene has the first instance of valence excitations examined in this work, and the absorption range is 558–700 nm, which is in the same region as the hydroxyanthracene dipole-bound transitions.

4 OSCILLATOR STRENGTHS

The oscillator strengths are given in the **Supplementary Material**. Similar to past PAH and PANH studies, the oscillator strengths are on the order of 1×10^{-4} if the dipolebound state exists (Theis et al., 2015a,b; Fortenberry et al., 2016; Santaloci and Fortenberry, 2021). Furthermore, the oscillator strengths become larger the farther away the HOMO electron density is away from the COC. Additionally, when the oscillator strength for the dipole-bound states computed here are not large but large enough to contribute to absorption spectra when found over large enough path lengths as likely takes place under interstellar conditions. Additionally, when the excited state transition energy is above the eBE, the resulting oscillator strengths tendency to be below 1×10^{-6} , which shows that those states above the eBE would not contribute to any spectra even if they could somehow be stabilized.

5 CONCLUSION

When closed shell PAH anion single deprotonation occurs on the functional group, a DBXS will exist. However, when the deprotonation site is on the ring, the type of excited state for excitation becomes obscure. To be clear, if the excitation energy is greater than 1 meV underneath the eBE and the neutral radical dipole moment is larger than 2.0 D, then such a state is most likely a DBXS. On the other hand, if the excitation energy is within 1 meV underneath the eBE and the neutral radical dipole moment is less than 2.0 D, the state likely cannot be classified as dipole-bound. This implies a possibility of a different type of anion excitation. An example is 3ethynylanthracene anions 6-10, which have neutral radical dipole moments of less than 2.0 D, but the DBXS excitation energies are within 1 meV underneath the eBE. When the dipole moment is less than 2.0 D, some other property of the PAH must keep the electron bound to result in the excitation being underneath the eBE.

Differently, the number of valence excitations depends on whether the functional group is electron donating or electron withdrawing. The electron donating hydroxyl group stabilizes the ring structures, which produces a larger gap between the HOMO and LUMO. As a result, the hydroxyl functionalized PAHs examined herein do not have any valence excited state anions. Typically, the stronger the electron donating group the better the ring stabilization. Electron withdrawing functionalization appears to produce the opposite effect. Past studies on singly deprotonated cyano functionalized PAHs show that valence excitations begin to appear when the number of rings is two (Santaloci and Fortenberry, 2021). When the ring number is three, then every deprotonated structure has a valence excited state. Interestingly, when the electron withdrawing group is a weaker ethynyl group (compared to -CN), valence excitations only begin to appear once three rings are present. Specifically, only 11 out of 30 ethynylanthracene anions possess valence excitations. The ethynyl group destabilizes the HOMO less than the cyano group but is still a destabilizing group. The valence excited state oscillator strengths are approximately $< 1 \times 10^{-4}$, which is large enough to contribute to absorption spectra, but only when there is a sufficient population of molecules.

The energy of the photon that an anion absorbs to form a possible DBXS is higher in energy than the valence states. When the functional group is an electron donating group, like hydroxyl, the excitation energies become higher when the number of rings increases. Notably, for the electron withdrawing ethynyl group, the excitation energies lower when the ring number increases, but the excited states are well below the eBE. This suggests the hydroxyl groups are able to stabilize the HOMOs of larger PAH anions better than the ethynyl groups may be an intermediate in the formation of hydroxyl groups may be an intermediate in the formation of nucleobases in gas-phase, astrophysical environments. However, broadly speaking, deprotonated PAH anions functionalized with electron donating groups will be less likely to have electronically excited anions than those with electron withdrawing groups.

Hence, from a stability perspective, the hydroxyl, electron donating group produces a more stable structure, especially for deprotonation on the functional group itself (thus creating the ketone like those found in nucleotides), which will better allow these anions to persist in collision-dominated environments. In regions with a higher photon flux, however, the less stable PAHs functionalized with electron withdrawing groups will be able to absorb the incident photons much more effeciently likely producing longer lifetimes for this opposite class of molecules. As a result, if anions are involved in the formation of nucleic acids, both of these seemingly counteracting processes may be taking place at different times in their evolution in order to assist in nucleic acid formation.

DATA AVAILABILITY STATEMENT

The original contributions presented in the study are included in the article/**Supplementary Material**, further inquiries can be directed to the corresponding author.

AUTHOR CONTRIBUTIONS

TS performed the computational work. RF concieved of the idea, managed the project, and secured the funding. All three authors

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SUPPLEMENTARY MATERIAL

The Supplementary Material for this article can be found online at: https://www.frontiersin.org/articles/10.3389/fspas.2021.777107/full#supplementary-material

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