

# Formamide-Based Post-impact Thermal Prebiotic Synthesis in Simulated Craters: Intermediates, Products and Mechanism

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Influx of matter from impacting meteoroids and hydrothermal crater weathering are important factors modifying the rock and mineral inventory of young planets undergoing heavy bombardment. These processes may have influenced not only the geochemical environment of, *e.g.*, early Mars and other planets, but also the peculiar prebiotic chemistry on early Earth. Here, we present a synergistic experimental and computational investigation of the intermediates of chemical reactions of the formamide-based synthesis of canonical and non-canonical nucleobases by thermochemistry in hot hydrothermal crater environments. We put our findings into context with previously investigated plasma-initiated synthesis occuring directly during impact. Both processes result into the formation of all canonical nucleobases, hypoxanthine, purine, and into the onset of the simplest amino acid glycine. Furthermore, it turns out that radical species such as CN and H play a key role in the plasma-assisted impact chemistry. However, post-impact thermochemistry is essential for the origin of formamidine and 2-aminoacetonitrile, intermediate species detected in this study by means of FTIR spectroscopy.

Keywords: prebiotic chemistry, origin of life, formamide, FTIR spectroscopy, quantum-mechanical calculations, ab initio molecular dynamics, metadynamics

# **1 INTRODUCTION**

The origin of life research has gradually shifted towards the identification of geochemical environments favourable for prebiotic synthesis (Hazen et al., 2008; Hazen and Sverjensky, 2010). An influential "Hot Spring hypothesis" proposes that the origin of life may have occurred in hot springs (Damer and Deamer, 2020). This hypothesis lends a central role to intermittent volcanic pools, which provide environment similar to that occurring in impact craters. Violent meteorite impacts represent potentially important energy and material sources deeply modifying the physical and chemical shape of the early Earth and other planets such as, e.g., Mars and Venus. For instance, estimates of the Earth's impact flux prior to ~ 4 Gya suggest an annual energy deposition larger than 10<sup>20</sup> J (Chyba and Sagan, 1992). Extrapolating such an estimate to Mars by using the impact rates and the velocity scaling taken from the literature (Ryder, 2002; Ivanov, 2008; Schlichting et al., 2012; Abramov and Mojzsis, 2016) leads to 10<sup>-1</sup> to 10<sup>-2</sup> times less deposited energy than is expected for Earth. Some contemporary scientists consider Mars an "archive" of planetary evolution. Almost all direct geological information from Earth's Hadean eon is now long lost. Nowadays, the Yarrabubba Crater likely represents the oldest impact structure on Earth (dated back to 2,229 ± 5 Ma) (Erickson et al., 2020). On the other hand, important data is provided by the exploration of Mars, which lacks plate tectonics and at least two-thirds of its present surface is far older (> 3.5 Gya) than Earth's (McKay and Stoker, 1989). Martian surface therefore may preserve traces of processes relevant to prebiotic conditions (Oberbeck et al., 1990; Sasselov et al., 2020), even if life had never existed there.

Some evidence of this preservation comes from martian meteorites. As an example, the Nakhla meteorite contains niche environments exclusively formed during an impact that induced the circulation of hydrothermal fluids which altered the rock and the formed secondary clay and other phases (Chatzitheodoridis et al., 2014). Recent discoveries indicate a strong connection between impacts and the presence of rich organic inventories on the surfaces of early Earth and Mars (e.g., in Chyba and Sagan (1992); Jenniskens et al. (1998); Patel et al. (2015); Pastorek et al. (2019); Erickson et al. (2020); Ferus et al. (2020); Todd and Öberg (2020) and references therein). In particular, the exploration of the Gale crater by the Curiosity rover has confirmed the existence of a relatively complex organic inventory, including chlorobenzene and from C-2 to C-4 dichloroalkanes (Freissinet et al., 2015). Moreover, pyrolysis products of lacustrine mudstones at the base of the 3.5 Ga Murray formation contained thiophenic, aromatic and aliphatic compounds (Eigenbrode et al., 2018). S-bearing species can also be synthesized over Fe/Ni metals in the solar nebula (Llorca and Casanova, 2000, 1998). On Earth, electron paramagnetic resonance (EPR) analysis of 3.33 Ga fossil sediments from the Josefsdal Chert in South Africa shows an anomalous layer of organic matter formed from tiny dust particles. which originate from a flux of micrometeorites falling through the oxygen-poor Archean atmosphere (Gourier et al., 2019). Side processes directly connected with impacts, such

as the delivery of possibly reprocessed meteoritic materials (Chyba et al., 1990; Kuwahara and Sugita, 2015), the chemical action of impact plasma (Ferus et al., 2017b; Ferus et al., 2019), hydrothermally-active craters and UV radiation may have played crucial roles in chemical reactions changing the organic inventory present in craters on longer timescales (Sutherland, 2016).

Impact and post-impact synthesis of organic molecules attributed to life's origins might have started from a broad repertoire of prebiotic molecules. However, the apparent relevance of two major starting compounds has enormously increased in the last decade: HCN (Powner et al., 2011; Powner and Sutherland, 2011; Sutherland, 2016; Ferus et al., 2017a; Civiš et al., 2017; Sutherland, 2017; Xu et al., 2017; Ferus et al., 2020) and its hydration product formamide (HCONH<sub>2</sub>) (Saladino et al., 2012, 2016; Ferus et al., 2017; Bizzarri et al., 2020; Cabedo et al., 2021).

In this paper, we aim to provide an overview of the chemical mechanisms associated with the post-impact synthesis starting from formamide - a prebiotic feedstock or intermediate. Formamide contains all the essential biogenic elements: carbon, nitrogen, hydrogen, and oxygen, and it is the simplest representation of a peptide linkage. Several plausible endogenous sources of formamide have been identified in early planetary environments: the hydrolysis of HCN (Saladino et al., 2006), volcanic activity (Sponer et al., 2016), radioactivity (Adam et al., 2018) and reprocessing of either simple atmospheric mixtures [CH<sub>4</sub>, NH<sub>3</sub>, H<sub>2</sub>O, H<sub>2</sub>] (Miller, 1953), [CO, CO<sub>2</sub>, N<sub>2</sub>, NH<sub>3</sub>, H<sub>2</sub>, H<sub>2</sub>O] (Abelson, 1956) or more complex molecules such as formaldehyde (Ferus et al., 2019) or HNCO (Ferus et al., 2018) as well as their various combinations (Rode, 1999; Saitta and Saija, 2014; Cassone et al., 2018; Sponer et al., 2020). Formamide has also been observed in the interstellar medium, in young stars (Schutte et al., 1999; Kahane et al., 2013) and in comets (Biver et al., 2014; Goesmann et al., 2015). In addition, extra-terrestrial synthesis (Cassone et al., 2018) and exogenous delivery from extra-terrestrial environments is a potential source of this compound on early planets - including young Earth, Mars and Venus.

We report a synergistic experimental (FTIR spectroscopy, GC-MS) and computational (*ab initio* molecular dynamics) investigation on the thermal treatment of formamide in presence of clays, meteoritic Fe-Ni grains and iron oxide, we summarise the mechanism and show the relevant reaction intermediates. Besides, with the aim of offering a general and unified chemical picture, our findings are discussed in the context of previous studies on the prebiotic synthesis of canonical nucleobases initiated either by direct chemical action of an impact plasma or by subsequent formamide-based thermal treatment.

# 2 MATERIALS AND METHODS

Experiments were designed to prove the existence of stable intermediates inspired by the various mechanisms proposed by Saladino et al. (2007). Many other mechanistic variants have been

published by Springsteen, Krishnamurthy and others (Hudson et al., 2012; Wang et al., 2013a,b). The intermediates are predicted to be formed from formamide or a formamide-clay mixture during thermal treatment and the main purpose of this experimental approach was their detection *via* an absolute detection method such as the Fourier Transform Infrared (FTIR) spectroscopy.

## 2.1 Experiment

Simulation of formamide thermolysis in presence of iron-rich smectites and other materials explored in this study in hot postimpact environment was performed in vacuum sealed guartz tubes filled with pure formamide mixed with sodium montmorillonite (Na-clay, Kunimine Industries, Japan), ferruginous smectite (Fe-clay, Clay Repository of the Clay Mineral Society, Grand County, Washington, United States) and montmorillonite (CAS 1318-93-0, K10 powder, Sigma Aldrich); iron (III) oxide (CAS, 1309-37-1, Merck  $< 5 \mu m$ , >96.0%) and iron-rich meteorite Campo del Cielo (Fe 94%, Ni 6%). Supplementary Table S1 provides the elemental composition and mineralogy of the samples obtained by standard X-ray diffractometry. The scanning electron microscope (SEM) photography of the samples is shown in Supplementary Figure S1. As for thermolysis, we mixed 0.1 g of solid powder with 2.0 ml of formamide (>99.0%, Sigma Aldrich). The sealed 100 ml vessel was then evacuated up to 0.1 Torr and then filled with inert N2 up to 500 Torr. The samples were then heated with a Kanthal wire up to 180°C for 60 min.

## 2.2 Detection by FTIR

After thermolysis, the sample gas phase was expanded into an evacuated  $(1 \times 10^{-2} \text{ Torr})$  multipass cell to a pressure of about 0.5 Torr. The cell with an optical path of 12 m was connected to a high-resolution FTIR spectrometer (Bruker IFS 125HR, Bruker Optics, Germany). The spectrometer was equipped with a KBr beamsplitter and nitrogen cooled LN-MCT (Hg-Cd-Te) detector. The spectra were measured in the range 680–4,800 cm<sup>-1</sup> at a resolution of 0.02 cm<sup>-1</sup> with 300 scans co-added for each sample. Standard calibration measurements of pure gases (HCN, Messer Technogas, 186 ppm/He; CO, Linde Gas 5.3; CO<sub>2</sub>, Linde Gas 5.3 and NH3, Linde Gas 3.8) was performed for quantification purposes.

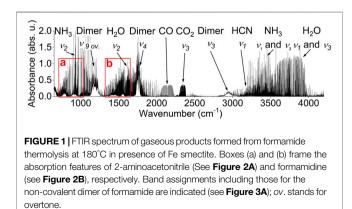
## 2.3 Computational Techniques

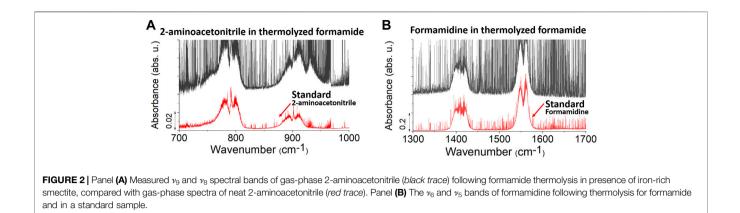
The condensed-phase dehydration reaction of formamide was reproduced by using Born-Oppenheimer molecular dynamics simulations and state-of-the-art metadynamics (MetD) methods (Pietrucci and Saitta, 2015). The results were obtained by exploiting the software suite for electronic structure and *ab initio* molecular dynamics (AIMD) simulations CP2K (Hutter et al., 2014) in conjunction with PLUMED-2.3.3 (Tribello et al., 2014), a patch which allows for the execution of MetD simulations. A cubic super-cell of side equal to 14.9 Å has been built in order to reproduce the experimental density of 1.13 g/cm<sup>3</sup>, since 45 formamide molecules (*i.e.*, 270 atoms) were simulated. As usual in such a model, periodic boundary conditions were applied along the three

Cartesian directions. The temperature was kept fixed by means of the CSVR (Bussi et al., 2007) thermostat at values fluctuating around an average of 160°C. Albeit some recent findings have shown the relevance of Nuclear Quantum Effects (NQEs) in assisting simple proton transfer reactions in hydrogen-bonded liquids (Cassone, 2020), due to the presence of the historydependent potential introduced by MetD the dynamics of nuclei was simulated classically using the Verlet algorithm. Wavefunctions of each atomic species were expanded in TZVP basis sets whereas the core electron interactions were treated by means of the Goedecker-Teter-Hutter pseudopotentials (Goedecker et al., 1996) using the GPW method (Krack, 2005; VandeVondele et al., 2005). A plane-wave cutoff of 400 Ry was imposed whereas a timestep for the nuclei dynamics of 0.5 fshas been adopted. The employed exchange-correlation DFT functional is the dispersion-corrected GGA functional PBE-D3 (Perdew et al., 1996; Grimme et al., 2010, 2011).

The MetD formalism here adopted employs the matrices of coordination numbers with the aim of defining a given molecular state and exploits two Collective Variables (CV), *S* and *Z*, on which the free energy surface (FES) is constructed. The former variable represents the progress along the chemical transformation whereas the latter the distance from an ideal pathway. During the calculations, the following parameters have been adopted for the coordination function (see Equation 3 of Pietrucci and Saitta (2015): N = 6, M = 12,  $R_{SS'}^0$  Rs = 1.8 Å for S,S' = O,C,N, 1.5 Å for S=O,C,N, S' = H, and 1.4 Å for S=S' = H. The parameter  $\lambda$  has been set such that  $\lambda$ D (R<sub>k</sub>, R<sub>k</sub>, k')  $\approx$  2.3. The MetD potential was composed of Gaussians with widths  $\sigma_s = 0.03$  and  $\sigma_z = 0.10$  and heights of 3 kcal·mol<sup>-1</sup> deposed every 50 fs.

Geometry optimization and frequency analysis of the covalent and non-covalent (hydrogen-bonded) formamide dimer were performed by means of the ORCA software (V4.1.0) (Frank, 2012; Neese, 2018) using the hybrid meta functional PW6B95-D3(BJ) (Zhao and Truhlar, 2005; Grimme et al., 2010, 2011) in gas phase. To speed up the calculations, resolution-of-identity (RI) (Weigend, 2002) and chain-of-spheres (COSX) (Izsák and Neese, 2011) approximations were used for Coulomb and exchange integrals, respectively. All calculations employed the def2-QZVP basis set (Weigend and Ahlrichs, 2005) along with the auxiliary basis set def2/J (Weigend, 2006). Before performing the frequency analysis, geometries were re-optimized using





tighter convergence criteria (TightOpt keyword). Then, the frequency analysis within the harmonic approximation was performed at 160°C. Vibrational frequencies were checked in order to confirm that the molecular geometries corresponded to local minima of the potential energy surfaces. Gibbs free energies were calculated by adding the zero-point energy (ZPE), thermal and entropic corrections to the total electronic energy. The non-covalent formamide dimer was prepared such that its most stable form—the one forming the two N-H $\cdots$  O hydrogen bonds—could be found during the geometry optimization.

# **3 RESULTS**

# 3.1 FTIR Detection of Intermediates

Our FTIR survey has confirmed that major products released from liquid formamide heated to  $180^{\circ}$ C in the presence of clays are NH<sub>3</sub> and CO (Cataldo et al., 2009; Nguyen et al., 2011; Ferus et al., 2014), formed by decarbonylation, and HCN and H<sub>2</sub>O, whose yield should dominate over NH<sub>3</sub> and CO (Nguyen et al., 2011; Ferus et al., 2014). Absorption spectra recorded after thermal treatment with Fe smectite are displayed in **Figure 1**.

However, our FTIR spectra also confirm synthesis of 2aminoacetonitrile, formamidine (details provided in **Figure 2**) and of the non-covalently bound formamide dimer (see details provided by **Figure 3**). Together with HCN, aminoacetonitrile and formamidine represent central intermediates in the formamide-based thermally initiated origin of nucleobases and glycine in presence of meteoritic material residua or metal-rich clays in hot hydrothermal environment of craters (Saladino et al., 2018; Pastorek et al., 2019).

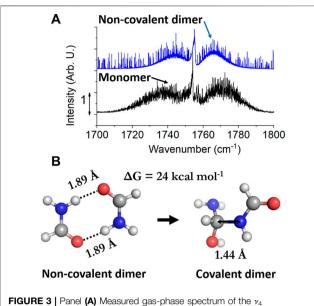
# 3.2 Computations

With the aim of quantifying the contribution supplied by the chemical environment in formamide towards its dehydration, *ab initio* molecular dynamics (AIMD) simulations coupled with advanced path-Collective-Variables metadynamics (MetD) have been performed in condensed (liquid) phase whilst free-energy estimates of the same reaction under gas-phase conditions are present in the literature (Saitta et al., 2015). As shown in **Figure 4**, the dehydration reaction in liquid formamide is hindered by a free-energy barrier height of  $45 \pm 3 \text{ kcal} \cdot \text{mol}^{-1}$ .

Although such a value may appear prohibitive, it is worth stressing here the fact that the same reaction exhibits a far larger barrier of about 70 kcal·mol<sup>-1</sup> when reproduced under gas-phase conditions (Saitta et al., 2015). Moreover, since the experiments to be discussed in the following start from liquid samples of formamide either neat or mixed with clays, our estimate of the free-energy surface (FES) characterising such a reaction help quantifying the minimum energetic contribution carried by clays. In fact, it is straightforward that the free energy contributed by clays when mixed with liquid formamide accounts to at least 45 kcal·mol<sup>-1</sup> for the dehydration reaction. Such a value is very close to that obtained in Pietrucci and Saitta (2015) simulating the same chemical transformation but in presence of liquid water (i.e., by employing formamide as a solute in a water solvent). Mechanistically, in the absence of a clay, a formamide molecule cooperates with the solvent to release a water molecule, as shown by the atomistic pictures of the reaction in Figure 4 (insets). Besides, HNCHOH is identified as a promising intermediate species during the conversion of formamide into H<sub>2</sub>O and HCN, as it was also observed for the same reaction in an aqueous environment (Pietrucci and Saitta, 2015).

# **4 DISCUSSION**

Impact plasma and residual post-impact heat are very important energy sources plausibly capable of changing the chemical inventory of early planets undergoing heavy bombardment. These factors may have created unique niches likely amenable also to energetically demanding reactions for prebiotic synthesis. Impact plasma chemistry and physics has become a subject of both laboratory and theoretical studies (Chyba and Sagan, 1992). Laser experiments summarized in our paper represent one of the approaches with their significant advantages as well as limitations, discussed in our recent studies and references therein, for example (Mohammadi et al., 2020; Ferus et al., 2020; Křivková et al., 2021). However, multiple other studies using different approaches have been also performed, such as target shock experiments (McCaffrey et al., 2014; Martins et al., 2013; Takeuchi et al., 2020) and references therein), shock tubes (Singh et al., 2020) and references therein) or even by quantum



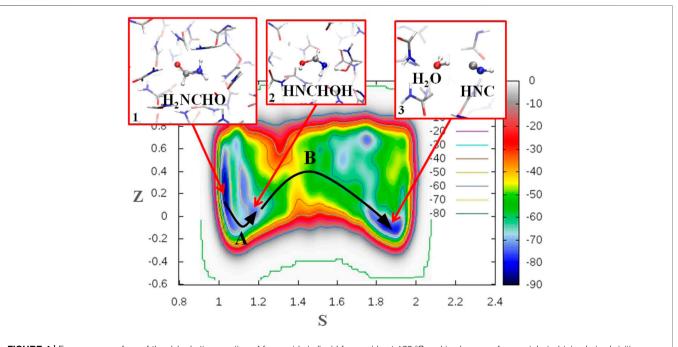
absorption bands of monomeric and non-covalent dimeric formamide formed by thermolysis in the presence of iron-rich smectite. The covalent formamide dimer is not detected. Panel **(B)** An indication of a quantum-chemically calculated reaction energy of 24 kcal-mol<sup>-1</sup> restricting isomerisation of the non-covalent dimer to the covalent one.

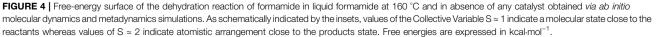
chemical computations (Kroonblawd et al., 2019; Koziol and Goldman, 2015) and references therein).

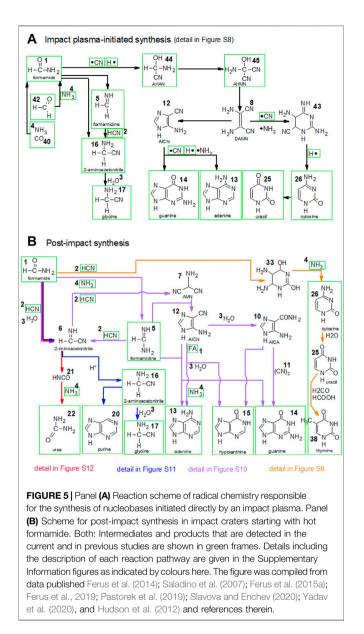
The present study draws a unified picture of complex mechanisms of prebiotic synthesis initiated by impact plasma

and thermochemistry in the post-impact environment in presence of iron-rich clays. Among elements such as Fe, Ni, Co, Al, Mn and Cr (Jarosewich, 1990), iron is an ubiquitous meteorite component. Iron can be present in the form of pure metal, alloy, a derived compound or as a constituent of various minerals (see (Jarosewich, 1990; Rubin, 1997; Brearley et al., 1998) and references therein). Therefore, investigation of formamide prebiotic chemistry in presence of Fe-smectites is addressed in this study. Prebiotic synthesis of nucleobases and glycine related to impact reprocessing of formamide can be summarised by two independent mechanisms following each other, as displayed in Figure 5. The whole process starts with impact plasma-assisted chemistry, as shown in Figure 5A, and follows with thermochemistry summarised in Figure 5B. For the sake of clarity, the results are also quantitatively summarised in Table 1. Intermediates of impact (A) or post-impact (B) chemistry directly detected in our experiments by means of absorption or emission spectroscopy and GC-MS are highlighted by green rectangles in Figure 5. The current investigation, as well as some previous studies (the list of references is provided alongside each particular scheme), combines in this way impact and post-impact chemistry of formamide toward a unified picture experimentally and theoretically supported.

Investigations focused on prebiotic chemistry initiated by an impact plasma (Civis et al., 2012; Ferus et al., 2012, 2015b; Ferus et al., 2014; Ferus et al., 2019) have reported that the origin of nucleobases, glycine and urea might start with the formation of radicals such as . CN, .H, :NH and . NH<sub>2</sub>. FTIR spectroscopy has also given evidence of the formation of two intermediate species







associated with the radical-based mechanism displayed in Figure 5A (Ferus et al., 2012): 2-amino-2-hydroxy-acetonitrile (44, AHAN) and 2-amino-2-hydroxy-malononitrile (45, AHMN). In the direct impact synthesis, formamidine (5) detected in this study also as an intermediate species in the formamide thermochemistry - results from the reaction of formamide (1) with ammonia (4) released by the decomposition of formamide in the plasma environment and suddenly created during the impact event. Formamidine (5) then reacts with HCN (2) to give rise to 2-iminoacetonitrile (6). 2amino-2-hydroxy-acetonitrile (44, AHAN) and 2-amino-2hydroxy-malononitrile (45, AHMN) hold a pivotal role in the thermal synthesis of nucleobases from formamide. For instance, formamide (1) may react with  $NH_3$  to give formamidine (5), also detected in this investigation. Formamidine can then react with 2aminomalononitrile (7) to AICN (12), which is a known

precursor of adenine (13), hypoxanthine (15) and guanine (14). Formamidine can also react with HCN to give rise to 2iminoacetonitrile (6). Besides, another way to produce 2iminoacetonitrile (6) is from formamide and HCN. Then, 2iminoacetonitrile (6) can either react with HNCO (21) and then urea (22), or can produce 2-aminoacetonitrile (16), which is a precursor of purine (20) and glycine (17).

Synthesis of nucleobases at ppmv (parts per million by volume) levels was observed not only starting from formamide, but also in experiments simulating impact plasma reprocessing atmospheres composed of NH3:CO:H2O (Ferus et al., 2017b) or formaldehyde aqueous solutions (Ferus, M. et al., 2019). In such a specific chemistry, formamide has been detected among other products and likely it does not serve as a starting compound but rather as a key prebiotic intermediate (Figure 5A). This aspect is quite important because impact plasma might have then served not only as an initiator of the nucleobases and glycine origin, but also as an endogenous source of formamide. The simplest approach to estimating the de novo impact synthesis yield is a linear scaling of experimental data (Curci et al., 2004; Rimmer et al., 2019; Mohammadi et al., 2020). We use the annual impact energy deposition estimated by Chyba et al. (Chyba and Sagan, 1992) (i.e., 10<sup>20</sup> J) for the end of the Hadean era. With this value, the yield of formamide per joule of delivered impact energy estimated by Ferus et al., (Ferus et al., 2017b)  $(3 \times 10^{13} \text{ molecules/J})$  can then directly be translated into a global impact-formed formamide deposition equal to  $2.24\times 10^5$ tons per year. Together with the extra-terrestrial delivery of formamide, such a de novo synthesis might have represented its significant source. The relevance of the direct formamide synthesis can be illustrated on a Tunguska-scale impact event. As an example, let us apply the simulated impact-induced yields (Sutherland, 2016; Ferus et al., 2017b; Ferus et al., 2019) summarised for different experiments in Table 1 for the estimation of formamide and nucleobases amounts produced by such large local impact event. It has been estimated that Tunguska provided energy on the order of  $10^{17}$  J (Drobyshevski, 2009).

Then, it would be possible to expect that a few hundreds of tons of de novo formamide was deposited over an impact area of about 10<sup>3</sup> km<sup>2</sup> (area presumed to have been affected by the Tunguska impact) and led to the local synthesis and spread of a few ppm of all the canonical nucleobases in that environment. Linear scaling of this rational to the case of the Gale impact on Mars estimates a six orders of magnitude larger synthesis yield (Asphaug, 2008; Schwenzer et al., 2012). Similarly with formamide, direct synthesis of canonical nucleobases initiated by a simulated impact plasma has been observed in the case of the HCN-based chemistry as well (Ferus et al., 2020) and, similarly to the formamide case discussed here, HCN might have also been deposited locally (Todd and Öberg, 2020), a fact that could have created particularly favourable circumstances for prebiotic synthesis by post-impact chemistry (Sutherland, 2016). These estimates are of course very rough. Proper investigation including a chemical model is, however, beyond the scope of this paper. Also, significant amount of formamide and/or nucleobases produced by impacts does not wholly overcome the classical

problems in prebiotic chemistry, such as how the chemical complexity increased from prebiotic molecules through simple organics to biopolymers, how interfaces for selection and concentration of more dilute solutions were provided and what created physical and chemical gradients and supported fluxes or cycles in the environment (Hazen and Sverjensky, 2010; Powner and Sutherland, 2011). For example, (Oró, 1961) described synthesis of adenine under primitive Earth conditions and (Stern et al., 2015) gives evidence for indigenous nitrogen in sedimentary and aeolian deposits, which implies that the early martian atmosphere may have been N-rich and thus favourable for the here-described synthesis as well. On the other hand, it has been suggested that HCN might have been accumulated in ferrocyanides (Sasselov et al., 2020), and formamide - due to its low volatility and sufficient stability - might have been concentrated in the hot-dry-wet cycle of craters in lagoons et similia (Miyakawa et al., 2002). Both mechanisms are well related to the typical environments of impact craters. It is expected that the residual post-impact heat supported hydrothermal activity for several hundreds thousands years (Schwenzer et al., 2012) and may have harboured a rich thermochemistry associated with the clays (Ehlmann et al., 2011; Pastorek et al., 2019) and resulting from the crater alteration or with what remains of the original material from the impactor. Importance of impact craters has suddenly been recognized when considering the well-known Gale crater on Mars, where organic molecules have been discovered by the Curiosity rover (Freissinet et al., 2015; Eigenbrode et al., 2018). Our recent investigations show that iron-rich smectites formed by hydrothermal alteration of basalts (as discovered in the case of the Nakhla ovoid structure (Chatzitheodoridis et al., 2014)) increases the thermolysis yields starting from formamide (Pastorek et al., 2019). Previous investigations conducted by Saladino, Di Mauro et al. also highlight the beneficial role of original meteoritic material (Saladino et al., 2013). Laboratory experiments have shown that those materials assist, during the formamide-based synthesis of nucleobases, amino acids and urea (Pastorek et al., 2019; Saladino et al., 2013). Some recent results are listed in Table 1, which summarises the nucleobases yields in a range of thermolysis as well as plasma experiments mimicking all stages of a meteorite impact events triggering potentially relevant prebiotic chemistry.

In the current work, detection of the formamide dimer, formamidine (5) and of 2-aminoacetonitrile (16) has allowed us to build up a unified picture also for the post-impact thermally-driven formamide-based prebiotic synthesis in craters, as shown in **Figure 5B**. Although the formamide dimer was previously detected by FTIR inspection of gasphase formamide samples treated by laser spark (Ferus et al., 2012), the present study provides the first direct evidence of its origin from formamide upon heating. The heating provides energy to allow the system to pass a reaction barrier and form a dimer. The presence of a dimer has not been observed in standard formamide without any heating or the effect of a laser spark. With the aim of discerning among the possible forms of the formamide dimer, quantum-mechanical calculations of the free energy of the covalent and non-covalent (*i.e.*, hydrogenbonded) dimer have been conducted at the hybrid meta PW6B95-D3(BJ)/def2-QZVP level at 160°C. Such a Gibbs free energy evaluation indicates that the non-covalent formamide dimer is quite more stable than the covalent one. In fact, the noncovalently bound formamide dimer is energetically preferred against the covalent one by a  $\Delta G = 24 \text{ kcal} \cdot \text{mol}^{-1}$ . The conversion is schematically depicted in Figure 3B. In light of these fundamental quantum-mechanical information, we could speculate that the covalent dimer has not directly been detected possibly due, on the one hand, to the low volatility and limited occurrence of this intermediate in the liquid phase during the purine synthesis and, on the other, to its own supposed high reactivity with respect to the more stable hydrogen-bonded dimer. After all, indeed, dimerization of formamide to form a covalent bond represents the initial step in the synthesis of purines in a mechanism originally proposed by Yamada (Yamada and Okamoto, 1972; Yamada et al., 1978) and then revised by Saladino et al. (2007), as summarised in (c) and depicted in Supplementary Figure S10 (Supplementary Information), which shows a specific mechanism of an intermediate synthesis finally resulting into the formation of cytosine, uracil and thymine.

The unified mechanism here proposed is ultimately confirmed by the detection-obtained via FTIR spectroscopy-of formamidine (5), an important intermediate in the synthesis of purine nucleobases such as adenine (13), hypoxanthine (15) and guanine (14), as shown in Figure 5B. Formamidine (5) is expected to result from the reaction of formamide (1) with ammonia (4). Formamidine (5) then reacts with HCN (2) to give rise to 2-iminoacetonitrile (6). Besides, it should be noted here that 2-iminoacetonitrile can be produced directly from formamide (1) by reaction with HCN (2). 2-iminoacetonitrile (6) then is able to react with HCN (2) to produce 2aminomalononitrile (7, AMN). This latter, by combining with formamidine, leads to aminoimidazole-carboxamide (12, AICN). Further reaction of (12) with (5) is capable to synthesise adenine (13) and guanine (15). AICN (12) can also react with formamide (1) and  $NH_3$  (4) to produce adenine (13) as an alternative. If, instead, AICN (12) reacts with formamide (1) and then water (3), hypoxanthine (15) is produced. Another possible pathway to guanine (14) passes through AICN (12), whose cyano- group is hydrolyzed to produce aminoimidazole-carboxamide (AICA, 10). Further reaction with  $(CN)_2$  (11) yields guanine (14). AICA represents also a possible precursor to hypoxanthine (15) (Ferus et al., 2014). 2-iminoacetonitrile (6) can also react with  $H^+$  to give rise to 2-aminoacetonitrile (16), which was also detected in the current experiment. 2-aminoacetonitrile (16) either hydrolyses to glycine (17) or reacts with 5aminoimidazole (19) to produce purine (20). The mentioned 2-iminoacetonitrile (6) can also be converted into HNCO (21) which, upon reaction with 4, can yield urea (22) (Pastorek et al., 2019). Pyrimidine nucleobases can also be created from formamide, as described in (Saladino et al., 2007). Here, formamide (1) reacts with 2 HCN (3) and ammonia (4) to cytosine (26). Cytosine (26) then hydrolyses to uracil (25). Further reaction with formaldehyde (42) and formic acid (46) may even lead to thymine (38) (Barone et al., 2015).

TABLE 1 Comparison of the results on formamide-based thermal prebiotic synthesis in the presence of meteorites (Saladino et al., 2013) and testing of the catalytic effect of clays (Pastorek et al., 2019), and formation from formamide (Ferus et al., 2017b; 2015b) and formaldehyde (Ferus et al., 2019) in impact-plasma simulations. Units are in ppm.

Experimental Composition	Adenine	Guanine	Cytosine	Uracil	Purine	Energy source	References
Neat Formamide	2	1		0.4	0.4	LIDB-simulated Impact Plasma	Ferus et al. (2015b)
H-clay	37	2	6	2	0.4	LIDB-simulated Impact Plasma	Ferus et al. (2015b)
NH <sub>3</sub> :CO:H <sub>2</sub> O H-clay	10	1	0.5	0.5		LIDB-simulated Impact Plasma	Ferus et al. (2017b)
Formaldehyde H-clay	15	1	0.5	0.5		LIDB-simulated Impact Plasma	Ferus. et al. (2019)
Neat Formamide	9	0.6	10	41	10,000	Heat	Pastorek et al. (2019)
NiFe	23	0.2	60	164	531	Heat	Pastorek et al. (2019)
Iron oxide	11	0.4	20	0	325	Heat	Pastorek et al. (2019)
Na-clay	30	17	16	10	1930	Heat	Pastorek et al. (2019)
H-clay	67	10	5	28	3,416	Heat	Pastorek et al. (2019)
Fe-clay	433	11	0.2	8	4,582	Heat	Pastorek et al. (2019)
Iron meteorites	211-400	0	57-120	238–278	1400-41,320	Heat	Saladino et al. (2013)
Iron-stony meteorites	103–107	0	30-32	300–345	1860-5,260	Heat	Saladino et al. (2013)
Chondrites	0–510	0	30–32	6–61	30-2,370	Heat	Saladino et al. (2013)
Achondrites	41-810	50-60	30–44	50-470	770–2,660	Heat	Saladino et al. (2013)

# **5 CONCLUSION**

Our experiments probe a particular scenario and mechanism in the direct impact and post-impact chemistry of formamide. Direct impact radical-based synthesis can be initiated by the chemical action of an impact plasma with temperatures exceeding 5000 K on surface liquid formamide lagoons, but also for instance by reprocessing of formaldehyde (Ferus, M. et al., 2019), of reducing NH<sub>3</sub>:CO:H<sub>2</sub>O atmospheres (Ferus et al., 2017b), or even of hydrogen cyanide (Ferus et al., 2020). This kind of chemistry results in the one-pot origin of a few ppm of nucleobases (Ferus et al., 2015b). As reported in the current investigation, on longer timescales, e.g., a few hundred thousand years, hydrothermal environments in impact craters characterised by the residual materials of meteorites (Saladino et al., 2013) or the formation of smectites (Pastorek et al., 2019)especially when secondary iron-rich hydrous mineral phases are formed-can support a continuous nucleobase prebiotic synthesis by means of formamide thermochemistry and direct participation of 2-aminoacetonitrile and formamidine.

# DATA AVAILABILITY STATEMENT

The original contributions presented in the study are included in the article/**Supplementary Material**, further inquiries can be directed to the corresponding authors.

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# **AUTHOR CONTRIBUTIONS**

MF designed the experiment and provided funding. AKn performed experimental work. AKř wrote the paper and provided its LaTex formatting. AP and JH performed experimental work and evaluated experimental data. LJ provided clays. EC provided and characterized the Nakhla meteorite. GC, KM, FS, JS and JES performed theoretical calculations and wrote the paper. All authors contributed to the article and approved the submitted version.

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# SUPPLEMENTARY MATERIAL

The Supplementary Material for this article can be found online at: https://www.frontiersin.org/articles/10.3389/fspas.2022.882145/full#supplementary-material

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