



# Nasal Delivery of D-Penicillamine Hydrogel Upregulates a Disintegrin and Metalloprotease 10 Expression via Melatonin Receptor 1 in Alzheimer's Disease Models

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Alzheimer's disease (AD) is a type of neurodegenerative disease that is associated with the accumulation of amyloid plaques. Increasing non-amyloidogenic processing and/or manipulating amyloid precursor protein signaling could reduce AD amyloid pathology and cognitive impairment. D-penicillamine (D-Pen) is a water-soluble metal chelator and can reduce the aggregation of amyloid- $\beta$  (A $\beta$ ) with metals *in vitro*. However, the potential mechanism of D-Pen for treating neurodegenerative disorders remains unexplored. In here, a novel type of chitosan-based hydrogel to carry D-Pen was designed and the D-Pen-CS/ $\beta$ -glycerophosphate hydrogel were characterized by scanning electron microscopy and HPLC. Behavior tests investigated the learning and memory levels of APP/PS1 mice treated through the D-Pen hydrogel nasal delivery. *In vivo* and *in vitro* findings showed that nasal delivery of D-Pen-CS/ $\beta$ -GP hydrogel had properly chelated metal ions that reduced A $\beta$  deposition. Furthermore, D-Pen mainly regulated A disintegrin and metalloprotease 10 (ADAM10) expression via melatonin receptor 1 (MTNR1 $\alpha$ ) and the downstream PKA/ERK/CREB pathway. The present data demonstrated D-Pen significantly improved the cognitive ability of APP/PS1 mice and reduced A $\beta$  generation through activating ADAM10 and accelerating non-amyloidogenic processing. Hence, these findings indicate the potential of D-Pen as a promising agent for treating AD.

**Keywords:** Alzheimer's disease, ADAM10, amyloid- $\beta$  peptide, D-penicillamine, melatonin receptor 1

## INTRODUCTION

Alzheimer's disease (AD) is a progressive neurodegenerative disease with a substantial medical and socioeconomic impact worldwide. Major pathological hallmarks of AD are senile plaques (SPs), composed of self-polymerized amyloid- $\beta$  peptide (A $\beta$ ), and neurofibrillary tangles (NFTs) of hyperphosphorylated tau proteins (Selkoe, 1991; Hardy and Higgins, 1992). In accordance with

the metal hypothesis of AD, A $\beta$  deposition, and tau hyperphosphorylation are aggravated by metal ions, thus promoting the development of AD (Liu et al., 2011; Zhang et al., 2019; Ejaz et al., 2020; Singh et al., 2020; Spotorno et al., 2020). The “metal hypothesis” describes the unbalanced theory of the level and location of metal ions in the pathogenesis of AD (Yang et al., 2019; Patel and Aschner, 2021). The combination of metal and A $\beta$  will affect the conversion of peptides and promote their aggregation into fibrils, which eventually form plaques (Bush and Tanzi, 2008). The unbalance of metal ion concentration could destroy the normal activity of enzymes that regulate the cleavage of APP, leading to excessive A $\beta$  formation (Peron et al., 2018). Moreover, the redox activity of metal ions can induce oxidative stress by triggering the production of reactive oxygen species (ROS; Guo et al., 2015; Liu et al., 2019). Metal dyshomeostasis can induce neurodegeneration via inhibiting self-polyubiquitination reactions (Atrian-Blasco et al., 2017) or neuron damage via modulating autophagy (Zhang et al., 2016; Liu et al., 2018).

Recently, metal dyshomeostasis has been attracted more attention as a causative agent for AD. A large number of key studies have shown that several new molecules rebalancing the concentration of metal ions in the brain can inhibit A $\beta$  aggregation, break down amyloid plaques and slow down AD-related cognitive function decline in AD, many of which have also advanced through clinical trials (Ritchie et al., 2003; Lannfelt et al., 2008; Faux et al., 2010). D-penicillamine (D-Pen) is a beta dimethyl analog of the amino acid cysteine, chelating with heavy metals to increase their urinary excretion in patients with Wilson’s disease, rheumatoid arthritis, and cystinuria (Squitti et al., 2018). D-Pen mainly chelates excess copper (I) by forming stable complexes via thiol groups, allowing for renal excretion of copper (Delangle and Mintz, 2012). Recently, some researchers have proposed that the chelating abilities of D-Pen may aid in the treatment of AD. Research has indicated that oral D-Pen administration can reduce copper and zinc superoxide dismutase activity in the blood of patients with AD (Squitti et al., 2002). Additional studies have reported that D-Pen nanoparticles can solubilize copper-A $\beta$  (1-42) aggregates *in vitro* (Cui et al., 2005). However, the chemical structure of D-Pen renders it too hydrophilic to cross the blood-brain barrier (BBB; Cui et al., 2005). In recent decades, researchers have increasingly focused on the potential of nasal drug delivery (Illum, 2000; Casettari and Illum, 2014), which enables drug absorption through circumventing the BBB that avoid initial liver metabolism, and reduce drug side effects. Such delivery systems have received attention for the treatment of chronic neurodegenerative diseases such as Parkinson’s disease and AD (Aderibigbe, 2018; Agrawal et al., 2018; Alexander and Saraf, 2018).

Chitosan (CS) is a polysaccharide originating from crustacean shells and is both biocompatible and recognized as generally safe. When being dropped or sprayed into the nose at 35–37°C, the thermosensitive CS or CS hydrogel transfers to a gel state from the liquid state, thereby decreasing the nasal mucociliary clearance rate and allowing for sustained drug release. The CS system in nasal delivery transports the hydrophilic molecules across the membrane to improve

biocompatibility and bioavailability and extend drug retention time (Vilasaliu et al., 2010; Casettari and Illum, 2014; Sarvaiya and Agrawal, 2015). Therefore, we attempted to exploit the characteristics of CS to deliver D-Pen bypass the BBB.

In the present study, we developed a D-Pen-carrying CS/ $\beta$ -glycerophosphate ( $\beta$ -GP) hydrogel and characterized the potential effects of its nasal administration in a mouse model of AD. Our aim was to examine the specific mechanism of action of D-Pen in the treatment of AD.

## MATERIALS AND METHODS

### Nasal Hydrogel Preparation

**Table 1** summarizes the reagent information. The D-Pen-CS/ $\beta$ -GP hydrogel was synthesized according to the method listed in the supporting information (**Supplementary Figure 1**). D-Pen was first dissolved in acetic acid/sodium acetate (v/v = 1/4). After the solution became clear, 2% (w/w) CS (low molecular weight, 75–85% deacetylated) was added and stirred for approximately 1 h until thoroughly dissolved. Chilled  $\beta$ -glycerophosphate ( $\beta$ -GP) solution (0.5 g/L) was added dropwise to the CS solution to counterbalance its pH increasing tendency. The final pH of the D-Pen-CS/ $\beta$ -GP hydrogel ranged from 6.9 to 7.2.

### Thermo-Sensitive Testing and Characterization of D-Pen-CS/ $\beta$ -GP Hydrogel

The two bottles with D-Pen-CS/ $\beta$ -GP gel and D-Pen liquid were stored at 25 and 37°C, respectively. Then, the CS/ $\beta$ -GP gel and D-Pen-CS/ $\beta$ -GP gel shapes and surface morphology were observed under the SU8010 field emission scanning electron microscopy (Hitachi Technologies Inc., Japan). To characterize the chemical structure of the D-Pen-CS/ $\beta$ -GP solution at 37°C, Data of fourier transform infrared (FT-IR) spectra were obtained using a Nicolet-6700 FT-IR spectrophotometer (Thermo Ltd., United States).

### Animals and Treatment

APPswe/PS1d9 double-transgenic mice (original from Jackson Laboratory, Bar Harbor, ME, United States) and C57BL/6 mice were housed under a light/dark cycle of 8:00/20:00 and controlled temperature (24  $\pm$  1°C) and humidity (50–60%) conditions. This study was conducted in strict accordance with the guidelines of the Animal Ethics Committee of the China Medical University.

APP/PS1 mice (6 months old,  $n = 16$ ) were separated into two equisized groups. The treatment group was administered 2 mg/kg D-Pen-CS/ $\beta$ -GP hydrogel once every other day for 3 months. The control group received CS/ $\beta$ -GP hydrogel alone according to the same schedule. All animals were sacrificed following behavioral testing.

C57BL/6 mice were used for inductively coupled plasma mass spectrometry and primary culture in this experiment.

**TABLE 1** | List of reagents.

Reagents	Code number	Company
Alexa Fluor® 488-conjugated donkey anti-rabbit IgG	A-21206	Thermo Fisher Scientific
Alexa Fluor® 546-conjugated donkey anti-mouse IgG	A-10036	Thermo Fisher Scientific
Biotinylated goat anti-mouse IgG	E043301-2	Dako
Cell Titer 96® AQ <sub>ueous</sub> One Solution Reagent	G3582	Promega
Chitosan	448869	Sigma-Aldrich
Cyclic adenosine monophosphate (cAMP) Assay Kit	H164	Jiancheng Biology
D-Penicillamine	P4875	Sigma-Aldrich
GI 254023X	HY-19956	Med Chem Express
H89 2HCl	S1582	Selleck
Human amyloid beta peptide 1–40, Aβ1–40 ELISA Kit	CSB-E08299h	Cusabio
Human β Amyloid (1–42) ELISA High-Sensitive Kit	296-64401	Wako
N-(6-methoxy-8-quinoly)- <i>p</i> -toluenesulfonamide (TSQ)	M688	Thermo Fisher Scientific
Peroxidase AffiniPure Goat Anti-Mouse IgG (H + L)	115-035-003	Jackson Immuno Research
Peroxidase AffiniPure Goat Anti-Rabbit IgG (H + L)	111-035-003	Jackson Immuno Research
Phosphatase inhibitor cocktail	07574-61	Nacalai Tesque
Protease inhibitor cocktail	03969-21	Nacalai Tesque
Protein kinase A (PKA) activity kits	H233-1	Jiancheng Biology
U0126	9903	Cell Signaling Technology
VECTASTAIN® ABC KIT	PK-4000	Vector Laboratories
β-GP disodium salt hydrate	D-106347	Aladdin

## High Performance Liquid Chromatography

APP/PS1 mice (6 months old,  $n = 16$ ) were randomly divided into four groups. Aliquots of phosphate-buffered saline (PBS; vehicle), 2 mg/kg D-Pen liquid, 2 mg/kg D-Pen-CS/β-GP hydrogel, or CS/β-GP hydrogel only (without loading D-Pen) were intranasally administered for 2 h, and the whole brain was resected. One milliliter of radio immunoprecipitation assay lysate was added to the mouse brain homogenates and mixed well overnight, then centrifuged at 13,000 rpm for 30 min. The supernatants were separated into a 1.5 mL centrifuge tube and dropped into the ultrafiltration centrifuge tube (3 kDa molecular weight cut-off) to remove the interference of proteins in the tissue. The centrifuged solutions were freeze-dried, concentrated, and then re-dissolved by adding 1 mL of water. A standard stock solution of D-Pen was prepared at 1 mg/mL. The XBridge peptide BEH C18 (4.6 mm × 250 mm, 5 μm) column was selected, and the temperature was maintained at 25°C. The analysis was performed using 0.1% trifluoroacetic acid–acetonitrile at a flow rate of 1 mL/min and ultraviolet detection (Waters

model 998; Waters Corp., Milford, MA, United States) at 254 nm. Concentrations of D-Pen were determined from the chromatographic peak areas.

## Behavioral Tests

The Morris Water Maze (MWM) test and nest construction (NC) test were used to assess spatial learning and memory. For the MWM, briefly, the experimental apparatus consisted of a circular plastic pool (100 cm dia. × 40 cm H) and filled with water ( $23 \pm 2^\circ\text{C}$ ) colored with non-toxic white paint to obscure the location of a submerged platform. The mice were subjected to a pre-training (visible platform), composed of three trials with intervals of 60 min over 2 days. A limit of 60 s was given for the mice to find the visible platform. Then, the target platform (10 cm × 10 cm) was submerged 1 cm below the water surface and placed at the midpoint of one quadrant. The entire experiment was conducted over a period of 7 days, and mice were given 60 s to find the platform. Twenty-four hours after the last hidden platform test, the hidden platform was removed for the probe trial. The number of times the animal crossed the center of the previous location of the hidden platform within an interval of 60 s was recorded. Swimming was tracked by video SMART v3.0 (Panlab Harvard Apparatus, United States), and the latency, path length, swim speed, and cumulative distance from the platform were recorded. Mean swim latency for each day was evaluated and compared among groups. All analyses were performed by an investigator blind to the experimental conditions.

For the NC test, mice were housed individually in single cages. Ten pieces of paper (5 cm × 5 cm) were introduced into the cage on the first day of testing and observed for 5 days through photographic recording. The quality of the nest was rated along a 5-point scale, as follows (Wang et al., 2018): 1 = no noticeably torn paper; 2 = no noticeably torn paper, with a partially identifiable nest site; 3 = mostly shredded paper but no identifiable nest site; 4 = partially shredded paper, with an identifiable nest; and 5 = all shredded paper, a (near) perfect nest.

## Cell Cultures and Treatments

Mouse neuroblastoma (N)2a cells and N2a cells stably overexpressing APP Swedish mutation (N2a-sw) were donated by Professor Huaxi Xu (College of Medicine, Xiamen University) (Thinakaran et al., 1996; Zhang et al., 2009; Bulloj et al., 2010; Huang et al., 2010). The cells were grown on 10-cm tissue culture dishes in 10 mL Dulbecco's Modified Eagle Medium (DMEM) supplemented with 10% fetal bovine serum (FBS) and N2a-sw were additionally supplemented with 200 μg/mL G418 (10131035, Invitrogen). After undergoing culture in a FBS-free medium for additional 6 h, the cells were treated with 10 and 25 μM D-Pen in FBS-free medium for another 24 h. After starved with DMEM without FBS, N2a-sw cells were pre-incubated with 10 μM GI 254023X, 5 μM H89, and 10 μM U0126 in each separate experiment for 2 h (Durairajan et al., 2011; Shukla et al., 2015; Wang et al., 2018), and then cells were incubated with 10 μM of D-Pen for an additional 24 h.

## Primary Culture and A $\beta$ Treatment

The meninges were removed and the cerebral cortices of the C57BL/6 neonatal mice (<24 h) were isolated and rinsed with sterile PBS pH 7.4, and finely minced into small pieces. The minced tissue was then incubated with Papain solution (2 mg/mL) for 30 min at 37°C (Nunez, 2008). The cell suspensions were filtered through the cell strainer (500  $\mu$ m, BD Company, San Diego, CA, United States) and centrifuged at 500  $\times$  g for 10 min and the cell pellets were suspended in plating medium DMEM with L-glutamine (Sigma Aldrich, S. Louis, MI, United States) + 10% FBS + 100 units/mL penicillin + 0.1 mg/ml of streptomycin on poly-L-lysine-coated plates (Corning Company, Corning, NY, United States) at a density of 5  $\times$  10<sup>6</sup> cells/mL. After the cells were attached, the medium was changed to Neurobasal Medium and B27 supplement (Thermo Fisher, Waltham, MA, United States). Half of the medium was replaced with fresh medium every 3 days.

A $\beta$ 42 oligomers were prepared as described previously (Stine et al., 2011). Briefly, human A $\beta$  (1–42) peptide (Sigma Aldrich) was dissolved in 1,1,1,3,3,3-hexafluoro-2-propanol (Sigma) in a chemical fume hood to obtain 1 mM solution. Oligomers were prepared by diluting 10 mM A $\beta$  dimethyl sulfoxide stock to 0.01 mM with PBS and incubated at 37°C for 24 h. Before being added to neurons, A $\beta$  oligomer preparations were diluted to 2  $\mu$ M with neuronal medium and used to replace the entire medium. Control cultures were treated with the same concentration of dimethyl sulfoxide. After A $\beta$  oligomer treatment for 24 h, the cells were incubated with fresh medium with or without freshly prepared A $\beta$  oligomers and D-Pen (10 or 25  $\mu$ M) for an additional 24 h.

## Inductively Coupled Plasma Mass Spectrometry

The cortex of C57BL/6 mice and APP/PS1 mice (D-Pen-CS/ $\beta$ -GP hydrogel group and control group  $n = 8$  of each group) were weighted, collected following centrifugation and the N2a-sw cell pellets were collected. Both of these pellets were digested in 90% HNO<sub>3</sub> at 105°C for 30 min. The samples were then diluted, and the metal content of each diluted solution was detected via inductively coupled plasma mass spectrometry (ICP-MS) using an Agilent Technologies 7500a instrument. Isotopes <sup>63</sup>Cu, <sup>56</sup>Fe, and <sup>66</sup>Zn were chosen for optimal sensitivity of quantification in data acquisition mode (spectral analysis).

## Immunohistochemistry

After behavioral test, the mice in the two experimental groups ( $n = 8$  in each group) were anesthetized and half brains were fixed with 4% paraformaldehyde and embedded in paraffin. Briefly, 5- $\mu$ m coronal sections were treated with a blocking buffer [5% bovine serum albumin (BSA) and 1% normal goat serum] for 1 h and then incubated with mouse monoclonal anti-A $\beta$  antibody (1:500, Table 2) overnight at 4°C. After rinsing, the sections were incubated in biotinylated goat anti-mouse IgG (1:500), and processed with the avidin–biotin–peroxidase (ABC) complex (1:100). Finally, the sections were immersed in 3,3'-diaminobenzidine. Incubation of one section in normal

TABLE 2 | List of primary antibodies.

Antibody	Resource	Code number	Company
anti-ADAM10 <sup>a,b</sup>	rabbit	ab84595	Abcam
anti-Anti-BACE1 [EPR3956] <sup>b</sup>	rabbit	ab108394	Abcam
anti-APP C-terminal <sup>b</sup>	rabbit	A8717	Sigma-Aldrich
anti-BAX <sup>b</sup>	rabbit	A3533	Dako
anti-Bcl-2 <sup>b</sup>	mouse	M0887	Dako
anti-COXIV <sup>b</sup>	rabbit	PA5-29992	Thermo Fisher Scientific
anti-CREB (48H2) <sup>b</sup>	rabbit	9197	Cell Signaling Technology
anti-gliar fibrillary acidic protein (GFAP) <sup>a,b</sup>	rabbit	Z0334	Dako
anti-Human sAPP $\alpha$ (2B3) <sup>b</sup>	mouse	11088	IBL
anti-Human sAPP $\beta$ -sw (6A1) <sup>b</sup>	mouse	10321	IBL
anti-MAP-2 <sup>a</sup>	mouse	M4403	Sigma-Aldrich
anti-Melatonin Receptor 1 $\alpha$ (MTNR1 $\alpha$ ) <sup>b</sup>	rabbit	ab203038	Abcam
anti-Melatonin Receptor 1 $\beta$ (MTNR1 $\beta$ ) <sup>b</sup>	rabbit	ab203346	Abcam
anti-p44/42 MAPK (Erk1/2) <sup>b</sup>	rabbit	9102	Cell Signaling Technology
anti-Phospho-CREB (Ser133) (87G3) <sup>a,b</sup>	rabbit	9198	Cell Signaling Technology
anti-Phospho-p44/42 MAPK (Erk1/2) (Thr202/Tyr204) <sup>a,b</sup>	rabbit	9101	Cell Signaling Technology
anti-phospho-PKA C (Thr197) (D45D3) <sup>b</sup>	rabbit	5661	Cell Signaling Technology
anti-PKA C- $\alpha$ <sup>b</sup>	rabbit	4782	Cell Signaling Technology
anti-Presenilin 1 (D39D1) <sup>b</sup>	rabbit	5643	Cell Signaling Technology
anti-Presenilin 2 (D30G3) <sup>b</sup>	rabbit	9979	Cell Signaling Technology
anti- $\beta$ -Actin <sup>b</sup>	mouse	A1978	Sigma-Aldrich
anti- $\beta$ -Amyloid <sup>a</sup>	mouse	A8354	Sigma-Aldrich

<sup>a</sup>The antibody is used for immunocytochemistry or immunofluorescence staining.

<sup>b</sup>The antibody is used for Western blot.

mouse serum (1:100) served as a negative control for non-specific staining.

Using unbiased stereological method (West, 1999), labeled SPs counted on 10 sections of each mouse were observed under a Lica DMI4000 B microscope using a 4 $\times$  objective and images acquired by LAS V4.1 system. The sizes of A $\beta$ -positive plaques in the cortex were measured by NIH ImageJ software. The total number of A $\beta$ -positive plaques in the cortex was estimated using the optical fractionator formula = 1/ssf (slice sampling fraction)  $\times$  1/asf (area sampling fraction)  $\times$  1/tsf (thickness sampling fraction)  $\times$   $\Sigma$  (number of objects counted).

## Immunofluorescence Staining and Confocal Laser Scanning Microscopy

Paraffin sections of the cortex were deparaffinized then incubated with a mixture of rabbit anti-gliar fibrillary acidic protein (anti-GFAP) antibody (1:500) overnight at 4°C. Primary neurons cultured on coverslips were fixed with 4% PFA in PBS. Fixed primary cultured cells were blocked with a blocking buffer

and incubated with a mixture of rabbit anti-ADAM10 antibody (1:500) and MAP-2 monoclonal antibody (1:500, Sigma Aldrich) overnight at 4°C. After rinsing, sections were incubated with Alexa Fluor® 488-conjugated goat anti-rabbit immunoglobulin (IgG) (1:1,000) and Alexa Fluor® 546-conjugated goat anti-mouse IgG (1:1,000) for 2 h at room temperature. Laser scanning confocal microscopy was performed at 400× magnification to measure the average fluorescence intensity (Leica TCS SP8). The antibodies' details used for Immunofluorescence staining were in **Table 2**.

## Western Blot

The cortex ( $n = 8$  in each group) and the pellets of cells treated with different formulations were homogenized in ice-cold lysis buffer with protease inhibitor cocktail and phosphatase inhibitor cocktail (1:100 each). After centrifugation ( $12,000 \times g$ , 30 min, 4°C), protein levels were determined using a BCA protein assay kit. Proteins (10 µg) were separated onto 4–12% SDS polyacrylamide gels and transferred onto polyvinylidene fluoride (PVDF) membranes. The membranes were incubated in 5% BSA for 1 h and subsequently incubated with primary antibodies (**Table 2**) overnight at 4°C. Following treatment with horseradish peroxidase-conjugated anti-rabbit or mouse secondary antibodies (1:5,000, 2 h), the specific protein bands were examined using an enhanced chemiluminescence kit (ECL). Protein intensities were semi-quantitatively analyzed using the NIH ImageJ software.

We examined sAPP $\alpha$  and sAPP $\beta$  secretions as previously described (Shukla et al., 2015). The cells (about  $5 \times 10^5$ /dish) were treated with or without D-Pen (10, 25 µM) and/or GI 254023X (10 µM) after reaching 80% confluence in 60-mm dishes. The media (DMEM, 50 µL) were directly subjected to Western blot analysis.

## Enzyme-Linked Immunosorbent Assay and Enzymatic Spectrophotometric Methods

Using Human  $\beta$  Amyloid (1–42) enzyme-linked immunosorbent assay (ELISA) High-Sensitive Kit and Human  $\beta$  Amyloid (1–40) ELISA Kit, A $\beta$ 1–42, and A $\beta$ 1–40 levels were determined in accordance with the manufacturers' instructions. The activity of protein kinase A (PKA) and cyclic AMP response element binding protein (cAMP) in N2a-sw cells was detected via colorimetry, in accordance with the instructions for the corresponding kits.

## Cell Viability

Cell Titer 96® AQueous One Solution Reagent was conducted to evaluate the effect of D-Pen on the viability of N2a cells.  $1 \times 10^4$  N2a-sw cells per well were seeded in 96-well plates and treated with different concentrations of D-Pen (0.5, 1, 2, 5, 10, 25, 50 µM) for 24 h at 37°C. Subsequently, the cells in 100 µL of culture medium was added 20 µL of Cell Titer 96® AQueous One Solution Reagent in each well and further incubated for 4 h. The absorbance was measured at 490 nm in a microplate reader (Bio-Rad, Laboratories, Inc., Hercules, CA, United States).

## Zinc Staining

N-(6-methoxy-8-quinolyl)-p-toluenesulfonamide (TSQ) staining was performed as previously described (Frederickson et al., 1992; Varea et al., 2001). Briefly, N2a-sw cells were cultured in 24-well plates after treatment with D-Pen and immersed in 4.5 µM TSQ solution for 1 min. The zinc reactions were imaged using a fluorescence microscope (Nikon, Ds-Ri1).

## siRNA Transfection and Real-Time PCR

The siRNA duplexes against melatonin receptor 1 (MTNR1  $\alpha$ ) was designed by us and synthesized by Genepharma with the following sequences. MTNR1 $\alpha$ : GGAUCUACUCCUGUACCUUTT (sense) and AAGGUACA GGAGUAGAUCCTT (anti-sense). N2a-sw cells were seeded on 6-well plates and transfected with 50 nM scrambled-siRNA or targeted-siRNA using Lipofectamine RNAiMAX Reagent (13778030, Invitrogen) according to the manufacturer's specifications. Cells were further cultured for 48 h before being treated with D-Pen (10 µM) for 24 h. The knockdown efficiency was validated by real-time PCR.

The total RNA was isolated from N2a-sw cells with scrambled-siRNA or MTNR1 $\alpha$ -siRNA using TRIzol reagents (Invitrogen) according to the manufacturer's instructions. Five-hundred nanogram of template RNA was reverse transcribed to cDNA using Reverse Transcription System (Promega, A5001) and the obtained cDNA was used to the subsequent PCR reactions. All PCR reactions were performed in a total volume of 20 µL: DNA polymerase activation at 95°C for 2 min, and about 50 cycles for denaturing at 95°C 10 s, annealing at 55°C 10 s and extension at 72°C 30 s. The following PCR primers were used: MTNR1 $\alpha$ : forward, GGACCATGAAGGGCAATGTCAG and reverse CCTGAGTTCCTGAGCTTCTTG. GAPDH: forward, TGCGACTTCAACAGCAACTC and reverse, GGTCTGGGATGGAAATTGTG. The mRNA expression was calculated using  $\Delta\Delta$  Ct (threshold cycle, Ct) values normalized to GAPDH.

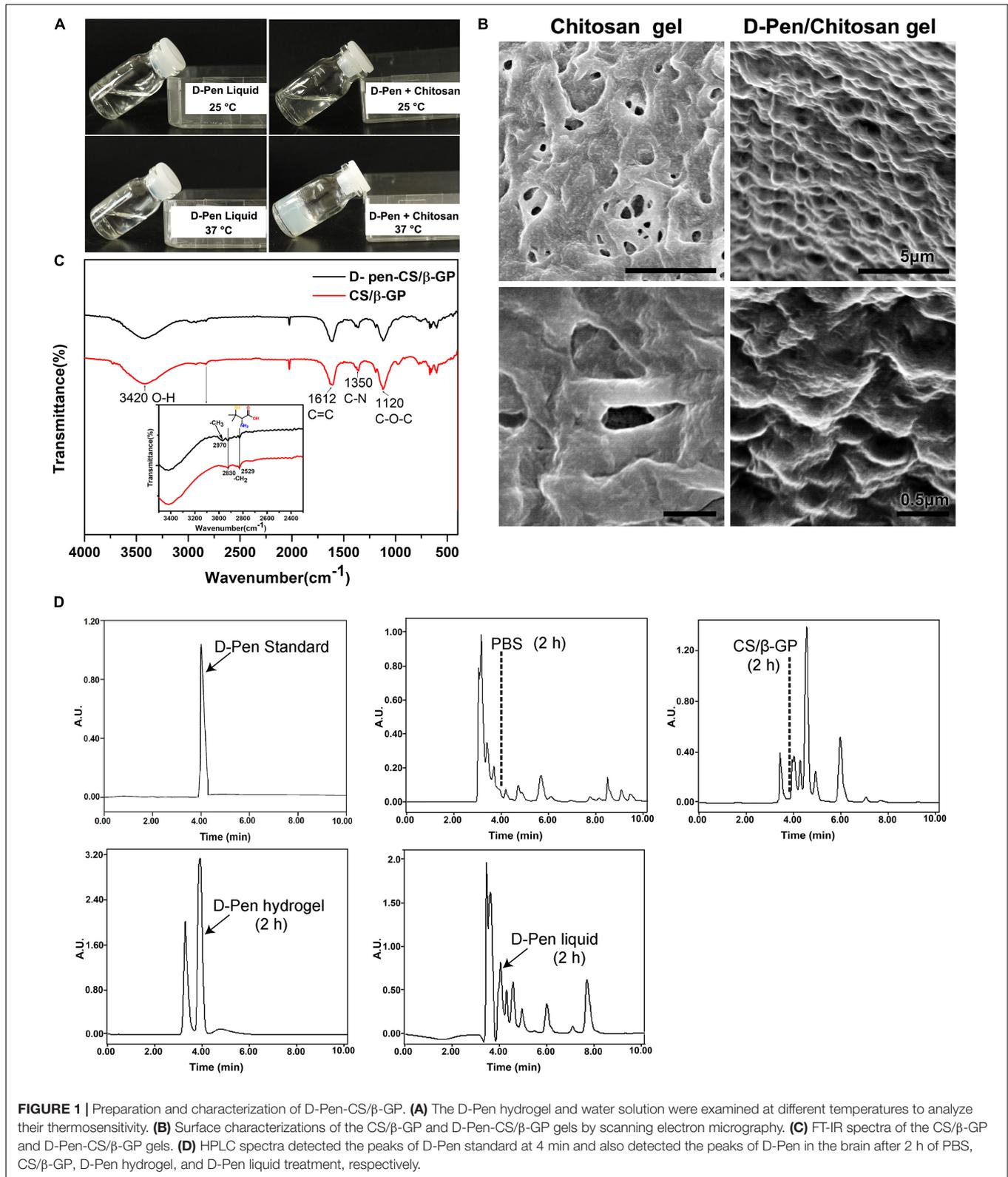
## Statistical Analyses

All the experiments and analyses were conducted with the experimenter blind to drug treatment. For *in vitro* experiments, all statistical values were from at least three independent experiments. Descriptive statistics were expressed as the mean  $\pm$  the standard error of the mean (SEM). Comparisons were performed using one-way analysis of variance (ANOVA), followed by Tukey's *post hoc* test or two-tailed Student's *t*-tests. The statistical significance level was set to  $*p < 0.05$  vs untreated control group.

## RESULTS

### Characterization of D-Pen-CS/ $\beta$ -GP

The hydrophilic nature of D-Pen limits its applications in the treatment of central nervous system diseases. To circumvent this restriction, we designed a D-Pen-CS/ $\beta$ -GP hydrogel and we performed thermo-sensitivity analyses by subjecting the D-Pen hydrogel and water solution to different temperatures.



**FIGURE 1 |** Preparation and characterization of D-Pen-CS/β-GP. **(A)** The D-Pen hydrogel and water solution were examined at different temperatures to analyze their thermosensitivity. **(B)** Surface characterizations of the CS/β-GP and D-Pen-CS/β-GP gels by scanning electron microscopy. **(C)** FT-IR spectra of the CS/β-GP and D-Pen-CS/β-GP gels. **(D)** HPLC spectra detected the peaks of D-Pen standard at 4 min and also detected the peaks of D-Pen in the brain after 2 h of PBS, CS/β-GP, D-Pen hydrogel, and D-Pen liquid treatment, respectively.

At room temperature (approximately 25°C), both the hydrogel and water solution existed as clear and transparent liquids, and the hydrogel could be maintained in the liquid state for

>6 h. When the temperature was increased to 37°C, D-Pen-CS/β-GP transformed into an opaque, white gel (Figure 1A). On scanning electron microscopy, we observed that the

CS/ $\beta$ -GP gel exhibited an irregular porous structure, while the CS/ $\beta$ -GP gel loaded with D-Pen (**Figure 1B**) was rougher and more textured.

As shown in **Figure 1C**, the absorption bands of CS/ $\beta$ -GP gel at 1,612 and 1,350  $\text{cm}^{-1}$  indicated the assignment to the N–H bending of the deacetylated amine ( $-\text{NH}_2$ ) and the carbonyl stretching of a non-deacetylated amide ( $\text{NHC}=\text{OCH}_3$ ; amide I band), respectively. After the chemical reaction, the amine peak for D-Pen-CS/ $\beta$ -GP gel at 1,350  $\text{cm}^{-1}$  was weakened, and such weakening was due to the loading of the D-Pen-CS/ $\beta$ -GP gel. In addition, the CS/ $\beta$ -GP gel contained a  $-\text{CH}_2$  group only, whereas D-Pen contained both  $-\text{CH}_2$  and  $-\text{CH}_3$  groups. Thus, a new absorption band for D-Pen-CS/ $\beta$ -GP gel was observed at 2,970  $\text{cm}^{-1}$ . The absorption bands at 2,970  $\text{cm}^{-1}$  represented the  $-\text{CH}_3$  stretching vibrations of D-Pen. The characteristic absorption peaks of CS/ $\beta$ -GP gel and D-Pen-CS/ $\beta$ -GP gel were also detected in the spectra. The above results indicated that D-Pen was successfully loaded.

Compared with the PBS group, the peak of the D-Pen-CS/ $\beta$ -GP group obviously appeared at 4 min, which indicated that D-Pen was successfully delivered to the brain of AD mice. After 2 h of treatment, the concentration of D-Pen in the D-Pen-CS/ $\beta$ -GP gel group was higher than that in the D-Pen liquid group, while no effective peaks were observed in the PBS group and the CS/ $\beta$ -GP group at 4 min (**Figure 1D**). Immunohistochemistry results revealed significant differences in A $\beta$ -positive plaques number and shape among the four groups of APP/PS1 mice (**Supplementary Figure 2A**). In the D-Pen hydrogel group, the number of A $\beta$ -positive plaques decreased, and the plaques were smaller than those in the other three groups (**Supplementary Figures 2B,C**). The results indicated the effect was significantly better in the D-Pen gel group than in the liquid group, and there was no significant difference between the PBS and CS/ $\beta$ -GP gel groups.

### D-Pen-CS/ $\beta$ -GP Chelation and Cytotoxicity *in vitro* and *in vivo*

Firstly, N2a-sw cells were cultured with media containing different concentrations of D-Pen solution for 24 h to assess the effect of D-Pen on cell viability. As is shown in **Figure 2A**, cell viability was inversely related to different D-Pen concentrations. About 10 and 25  $\mu\text{M}$  D-Pen were selected as the administration concentration to N2a-sw cells for 24 h, which lead to a cell viability of 90 and 78%, separately. ICP-MS was used to detect the metal concentrations in cells. Our results indicated that copper was chelated by D-Pen as its cellular concentrations decreased when being treated with 10 and 25  $\mu\text{M}$  D-Pen (**Figure 2B**), 25  $\mu\text{M}$  D-Pen also reduced the content of iron in N2a-sw cells (**Figure 2C**). ICP-MS revealed that zinc concentrations also decreased, and TSQ staining confirmed that zinc fluorescence intensity had weakened in the cell media containing 10 and 25  $\mu\text{M}$  D-Pen (**Figures 2D,E**).

Consistent with previous results (Bush, 2003; Roberts et al., 2012), the iron, zinc, and copper contents in the cerebral cortex of APP/PS1 mice were higher than those in the cerebral cortex of C57BL/6 mice. As an effective metal chelator, D-Pen could chelate the contents of copper, iron, and zinc ions in the brains

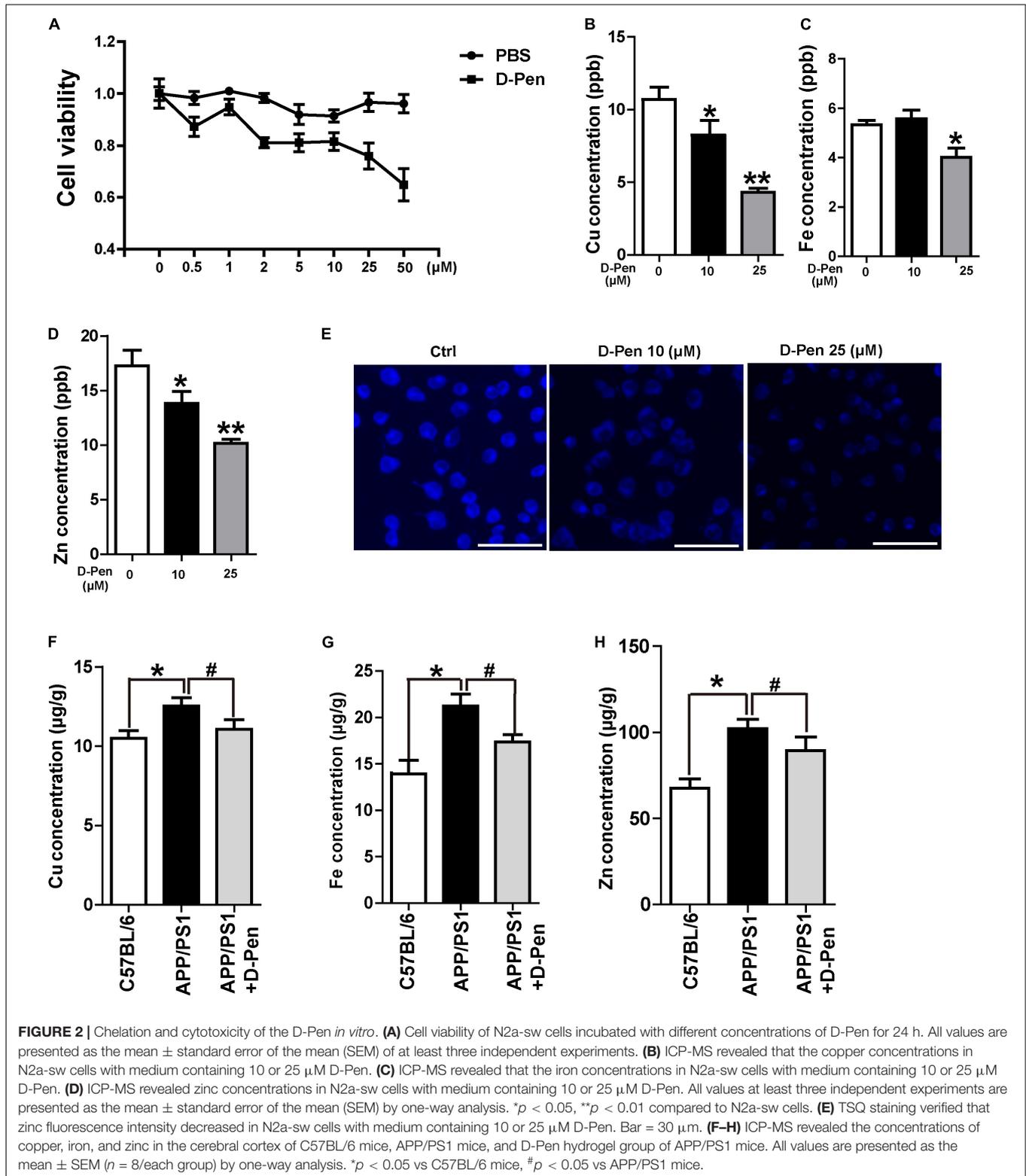
of AD mice. Although it failed to restore the metal content to normal levels, there was no significant difference in the metal contents compared with those in the cerebral cortex of C57BL/6 mice (**Figures 2F–H**).

### D-Pen-CS/ $\beta$ -GP Improved Cognitive Decline in APP/PS1 Transgenic Mice

In order to examine the therapeutic effect of D-Pen hydrogel, we firstly investigated the nasal administration of D-Pen-CS/ $\beta$ -GP hydrogel exerted neuroprotective effects against AD by evaluating spatial learning and memory abilities of APP/PS1 mice. In the visible platform test, we did not find significant differences in path length (**Figure 3A**) or escape latency (**Figure 3B**) between D-Pen treatment and the control groups, indicating that D-Pen treatment did not affect vision and motility in this animal model. In the hidden platform tests after 3 months of intranasal delivery, as training days increased, the distance and time taken for the mice to find the platform in the two groups gradually shortened. APP/PS1 mice treated with D-Pen-CS/ $\beta$ -GP hydrogel exhibited clear improvements in learning ability (**Figure 3C**). The path length of the control group was about 882.42 mm on day 4, and was about 744.64 mm on day 6. Following D-Pen-CS/ $\beta$ -GP hydrogel treatment, path length was also lower than that observed in control mice on day 4 ( $p < 0.05$ ) and day 6 ( $p < 0.05$ , **Figure 3D**). Furthermore, the escape latency of control group on day 6 was about 44.85 ms. D-Pen-CS/ $\beta$ -GP hydrogel treatment significantly decreased the escape latency relative to that in controls on day 6 ( $p < 0.05$ , **Figure 3E**). It also significantly increased the incidence of platform crossings ( $p < 0.05$ , **Figure 3F**) compared with the controls. For the non-cognitive behavioral examination, APP/PS1 mice and mice in D-Pen treatment group had the ability to build nests. The average nesting scores of APP/PS1 mice is 1–2 points. The nesting scores of the APP/PS1 mice significantly improved after D-Pen treatment ( $p < 0.05$  for days 3 and 4, **Figures 3G,H**). These results showed that D-Pen-CS/ $\beta$ -GP hydrogel treatment ameliorated the memory deficits in APP/PS1 mice.

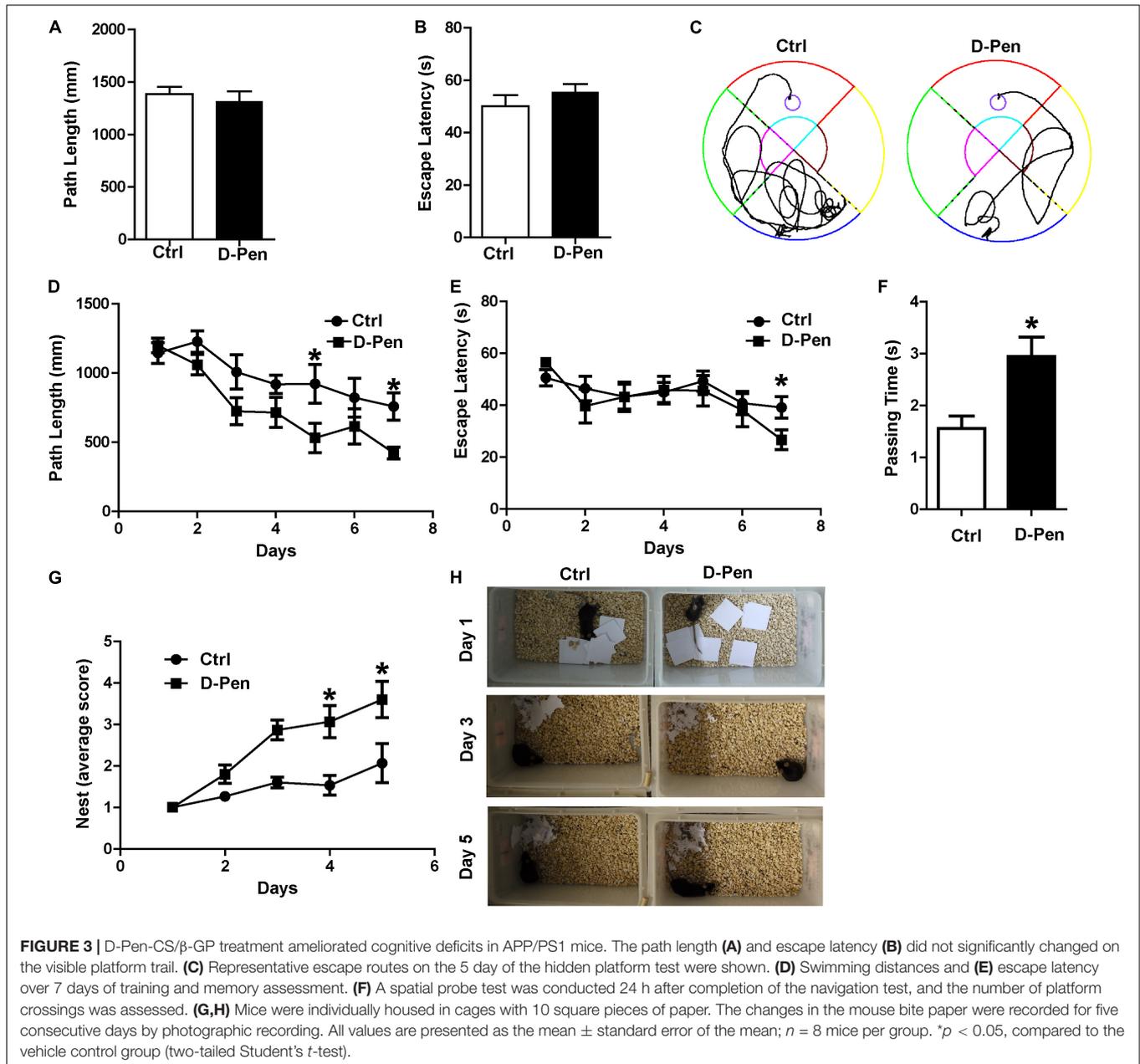
### D-Pen-CS/ $\beta$ -GP Treatment Enhanced Non-amyloidogenic Processing *in vivo*

The levels of APP and enzymes involved in APP cleavage affected by D-Pen were examined via Western blot analysis (**Figures 4A–D**). No significant differences in protein levels of fl-APP were observed between the vehicle and D-Pen groups ( $p > 0.05$ , **Figures 4A,B**). However, there was a modest decrease in the protein level of sAPP $\beta$  and a significant increase in sAPP $\alpha$  expression in the D-Pen group, when compared with the levels in control APP/PS1 mice (**Figures 4C,D**). Furthermore, D-Pen significantly increased the expression levels of ADAM10 containing pro-ADAM10 and mature-ADAM10 ( $p < 0.05$ , **Figures 4E–G**) and reduced BACE1 protein levels in APP/PS1 mice ( $p < 0.05$ , **Figures 4E,H**). However, no specific differences in PS1 or PS2 expression were observed between the two groups ( $p > 0.05$ , **Figures 4E,I,J**). As one of the APP shear products, the numbers of cortical A $\beta$ -positive plaques in APP/PS1 mice were examined via immunohistochemistry.



Relative to vehicle treatment, D-Pen-CS/ $\beta$ -GP treatment reduced the number ( $p$  < 0.05; **Figures 4K,L**) of A $\beta$ -positive plaques. Plaque size was also smaller, and plaques became more dispersed in mice treated with D-Pen-CS/ $\beta$ -GP ( $p$  < 0.05; **Figures 4K,M**).

Based on our findings, we speculated that the activation of non-amyloidogenic processing may inhibit the production of A $\beta$  and the accumulation of SPs, which may in turn halt the progression of the enhancement of glial cell activity to

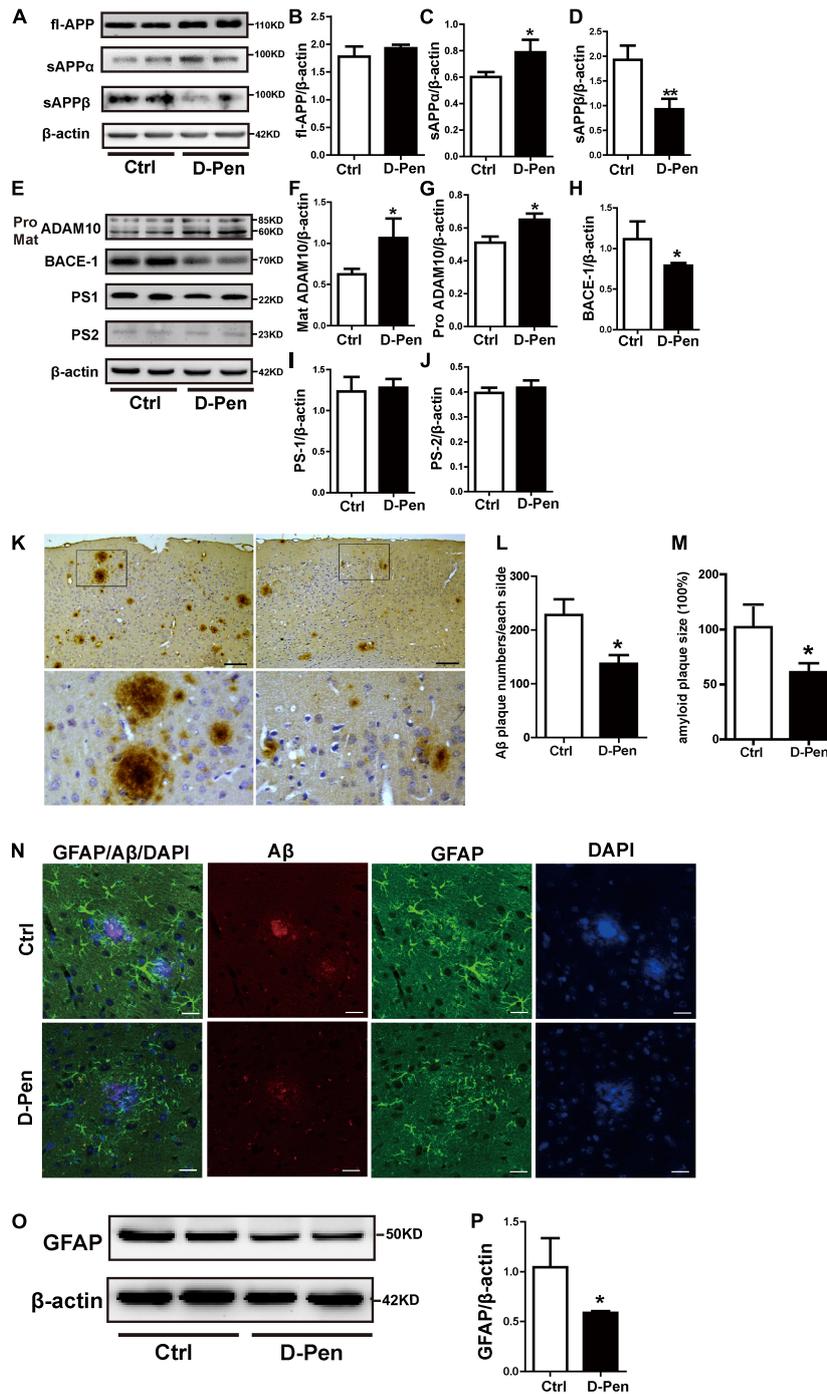


some extent. GFAP-positive astrocytes with round nuclei and a ramified form with many fine processes were observed around bright, solid SPs in APP/PS1 mice. D-Pen hydrogel weakened the activation of astrocytes around the SPs, which had become more diffuse, with smaller nuclei and shorter processes (Figure 4N). Western blot analysis also revealed that GFAP protein levels had been attenuated by D-Pen treatment ( $p < 0.05$ , Figures 4O,P).

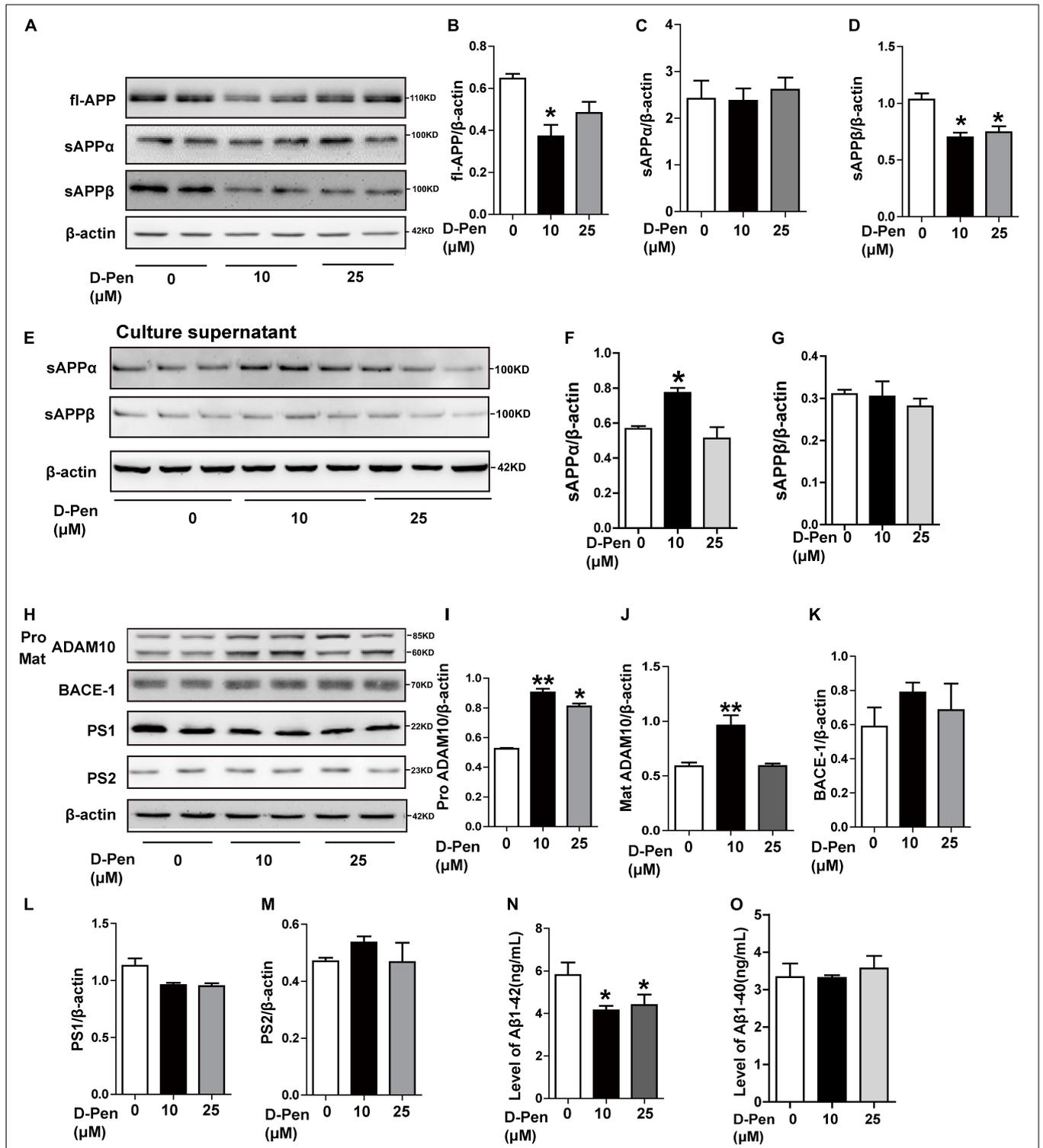
### D-Pen-CS/ $\beta$ -GP Treatment Enhanced Non-amyloidogenic Processing *in vitro*

Our *in vitro* analyses also indicated that APP processing was affected by D-Pen. In N2a cells overexpressing the APP Sw

mutation, Western blot analyses indicated that full-length APP (fl-APP) expression had decreased following the treatment with 10  $\mu$ M D-Pen ( $p < 0.05$ , Figures 5A,B), although these changes were not significant at 25  $\mu$ M ( $p > 0.05$ ; Figures 5A,B). Secreted sAPP $\alpha$  protein levels were increased in media not in cell pellets under 10  $\mu$ M D-Pen treatment (Figures 5C,E,F). The expression of soluble APP- $\beta$  (sAPP $\beta$ ) in cells decreased following the treatment with media containing 10 or 25  $\mu$ M D-Pen ( $p < 0.05$ , Figure 5D), while secreted sAPP $\beta$  protein levels were not changed in media ( $p > 0.05$ , Figures 5E,G). The levels of pro-ADAM10 and mature-ADAM10 expression also increased following the treatment with 10  $\mu$ M D-Pen ( $p < 0.05$ , Figures 5H,I,J). In contrast, 25  $\mu$ M D-Pen treatments only



**FIGURE 4 |** D-Pen-CS/ $\beta$ -GP regulated APP processing and reduced the deposition of A $\beta$  in the cerebral cortex of APP/PS1 mice. **(A)** Western blot analysis revealed the protein bands of APP, sAPP $\alpha$ , and sAPP $\beta$  in D-Pen-CS/ $\beta$ -GP hydrogel-treated and control mouse brains. **(B–D)** Gray value analysis of fl-APP, sAPP $\alpha$ , and sAPP $\beta$  protein levels in the mouse cerebral cortex. **(E)** Western blot analysis showed the protein bands of ADAM10, BACE1, PS1, and PS2 in D-Pen-CS/ $\beta$ -GP hydrogel-treated and control mouse brains. **(F–J)** The gray value levels of APP-processing proteins, including ADAM10, BACE1, PS1, and PS2, were analyzed with ImageJ software.  $\beta$ -actin was used as an internal control. All values are presented as the mean  $\pm$  standard error of the mean (SEM) ( $n = 8$ ). \* $p < 0.05$ , \*\* $p < 0.01$  compared to the vehicle control group (two-tailed Student’s  $t$ -test). A $\beta$  immunohistochemistry **(K)** revealed SPs in the cerebral cortex of D-Pen and control mice. Bar = 30  $\mu$ m. Representative A $\beta$  plaques in coronal brain sections are shown in the black frame. Plaque numbers and amyloid plaques size **(L,M)** in coronal sections were analyzed using ImageJ ( $n = 8$ ). Representative photomicrographs of GFAP/A $\beta$  fluorescent colocalization staining in the cerebral cortex ( $n = 8$ ). **(N)** GFAP-immunopositive astrocytes were observed around A $\beta$ -positive plaques in control and D-Pen mice (scale bar = 20  $\mu$ m). Quantitative analysis revealed that GFAP **(O,P)** levels were markedly reduced following D-Pen treatment. All values are presented as the mean  $\pm$  standard error of the mean (SEM). \* $p < 0.05$  compared to the vehicle control group (two-tailed Student’s  $t$ -test).



**FIGURE 5 |** The effect of D-Pen on APP processing in N2a-sw cells. Representative immunoblots and quantification of the expression of APP-processing proteins in N2a-sw cells treated with medium containing 10 and 25 μM D-Pen. (A–D) APP-processing proteins including fl-APP, sAPPα, and sAPPβ were detected by Western blot analysis. (E–G) sAPPα and sAPPβ protein levels were detected in media by Western blot analysis. (H–M) The enzymes involved in ADAM10, BACE1, PS2, and PS1 expression were determined via immunoblot analysis. β-actin was used as an internal control. Data are presented as the mean ± standard error of the mean (SEM) at least three independent experiments by one-way analysis of variance. Extracellular Aβ1-40 and 1-42 secretions (N,O) were measured via enzymatic cleavage assays. Statistical significance in multiple comparisons was determined by one-way analysis of variance, \**p* < 0.05, \*\**p* < 0.01 compared to the control group.

increased the protein levels of pro-ADAM10. BACE1 protein levels did not change following the initial treatment with 10 and 25  $\mu\text{M}$  D-Pen ( $p > 0.05$ ; **Figures 5H,K**) and the same treatments had no significant effect on PS1 and PS2 ( $p > 0.05$ ; **Figures 5L,M**). ELISA results revealed that A $\beta$ 1-42 secretion into the extracellular space had decreased following treatment with D-Pen (**Figure 5N**), and the secreted A $\beta$ 1-40 in the extracellular space had not changed by the treatment with D-Pen (**Figure 5O**).

To ensure the effects of D-Pen to ADAM10 expression specifically, we also investigated the expressions of APP and related cleavage enzymes in N2a cells. The result was interesting that there was an expected results with 10  $\mu\text{M}$  D-Pen treatment only increased ADAM10 in N2a cells (**Figures 6A–F**). Immunofluorescence staining further confirmed that D-Pen could attenuate A $\beta$  oligomer-induced neuronal synaptic damage and ADAM10 expression reduction in primary cortical neurons (**Figure 6G**). The expressions of mature-ADAM10 reduced by A $\beta$  oligomers were alleviated to different extents by 10 or 25  $\mu\text{M}$  D-Pen treatment, respectively, in primary cortical neurons (**Supplementary Figures 3A–G**).

Interestingly, the *in vitro* results showed that 10  $\mu\text{M}$  D-Pen efficiently affected the level of ADAM10 and sAPP $\alpha$  secretion. Considering *in vivo* and *in vitro* results, we speculated that the mainly effect of D-Pen might be regulate APP non-amyloid pathway thereby leading to decreases in A $\beta$  production and deposition.

## D-Pen Hydrogel Regulated Non-amyloidogenic Processing via Melatonin Receptor 1 and the PKA/ERK/CREB Pathway

Cyclic AMP response element binding protein (CREB) as a nuclear transcription factor stimulates the transcription of the ADAM10 promoter (Shukla et al., 2015). We attempted to verify whether D-Pen promotes ADAM10 expression through CREB activation. Firstly, PKA and cAMP activations were stimulated by D-Pen *in vitro* treatment (**Figures 7A,B**). Then, Western blot analyses revealed that the protein levels of p-PKA increased after treatment with D-Pen hydrogel (**Figures 7C,D,H,I**), and the downstream proteins (p-ERK and p-CREB) exhibited different degrees of upregulation *in vivo* and *in vitro* (**Figures 7C,E–G,J,K–M**). D-Pen treatment also upregulated the expression of the PKA-ERK-CREB pathway in primary neurons injured by A $\beta$  (**Supplementary Figures 3H–L**). Therefore, we could preliminarily infer that D-Pen regulated the PKA/ERK/CREB signaling pathway according to *in vivo* and *in vitro* experiments.

We have demonstrated copper chelators can regulate the MTNR signaling pathway to affect APP processing, therefore, we speculated that D-Pen also induced upregulation of ADAM10 via MTNR-dependent process *in vitro* and *in vivo* (Galano et al., 2015; Shukla et al., 2015). Firstly, Western blot confirmed that the treatment with D-Pen hydrogel did not affect the expression of MTNR1 $\alpha$  and MTNR1 $\beta$  in the cerebral cortex and in N2a-sw cells (**Supplementary Figure 4**). Then, we knocked down the mRNA expressions of MTNR1 $\alpha$  and MTNR1 $\beta$  (**Figure 8A** and **Supplementary Figure 5A**) and

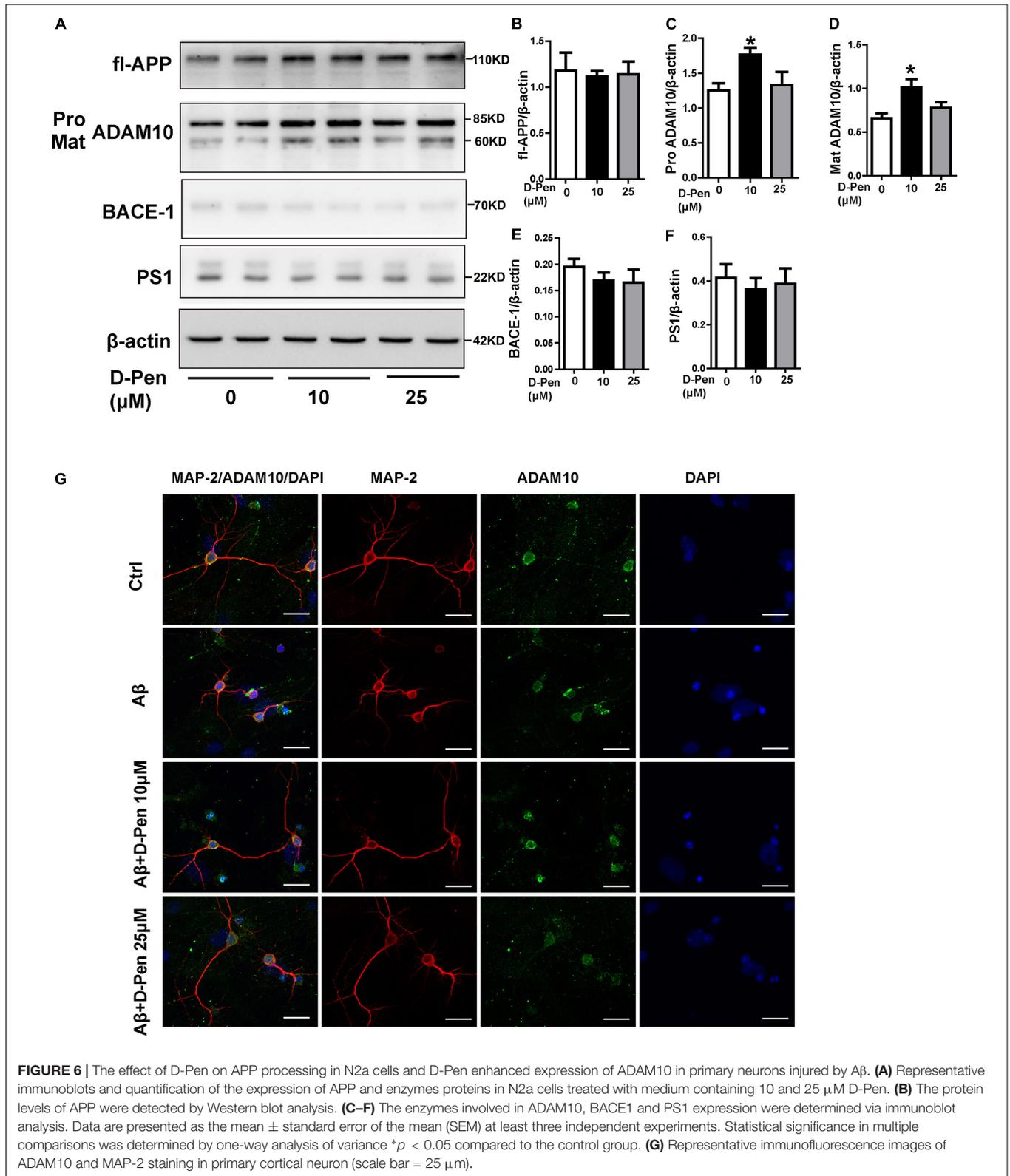
found that the protein levels of MTNR1 $\alpha$  were successfully reduced to approximately 53.84% (**Figures 8B,C**) and MTNR1 $\beta$  expression was reduced to approximately 50.91% compared with control non-targeting siRNA (**Supplementary Figures 5B,C**). Western blot results showed that the decrease of MTNR1 $\alpha$  expression blocked D-Pen activation of downstream p-PKA, p-ERK, and p-CREB and also inhibited ADAM10 expression (**Figures 8D–J**). Down-regulation of MTNR1 $\beta$  did not affect the expression of downstream p-PKA, p-ERK, p-CREB, and ADAM10; even D-Pen treatment also failed to activate PKA, ERK, CREB phosphorylation levels and could not increase ADAM10 expression (**Supplementary Figures 5D–J**).

To further elucidate the possible mechanisms of PKA/ERK/CREB in the regulation of ADAM10 expression, we treated N2a-sw cells with the pharmacological PKA inhibitor H89, ERK1/2 inhibitor U0126 or ADAM10 antagonist GI 254023X in the presence or absence of D-Pen (10  $\mu\text{M}$ ). The results indicated that both H89 (**Figures 9A–G**) and U0126 (**Figures 9H–M**) inhibited the CREB production and decreased the ADAM10 expression that was induced by D-Pen. At the same time, the effects of GI 254023X inhibition affected the increase of ADAM10 expression (**Figures 9N,P,Q**) and sAPP $\alpha$  secretion (**Figures 9O,R**) induced by D-Pen treatment. Consistent with our previous observations following tetrathiomolybdate (TM) or bathocuproine sulfonate (BCS) treatment (Wang et al., 2018), D-Pen stimulated the expression of ADAM10 and the secretion of sAPP $\alpha$  via the MTNR1 $\alpha$ -dependent signaling pathway.

## DISCUSSION

In the present study, we focused on the ability of D-Pen to stimulate ADAM10 expression and promote non-amyloidogenic APP processing, which may aid in the treatment of AD. Some studies have shown that the oral D-Pen administration can decrease copper levels in red blood cells and reduce copper-zinc superoxide dismutase activity and that D-Pen nanoparticles can depolymerize copper-A $\beta$  (1–42) aggregates *in vitro* (Squitti et al., 2002; Cui et al., 2005). In these studies, the ability of D-Pen to penetrate the BBB and the bioavailability of D-Pen were not determined, suggesting that further clarifications were required. Given that its D-Pen hydrophilicity restricts the delivery through the cell membrane and BBB, we developed a novel hydrogel that allows D-Pen transport to the brain. Our results clarified that intranasal administration of D-Pen mainly upregulated ADAM10 expression to achieve better therapeutic effects against AD, which was via MTNR1 $\alpha$  and stimulated the PKA/ERK/CREB pathway.

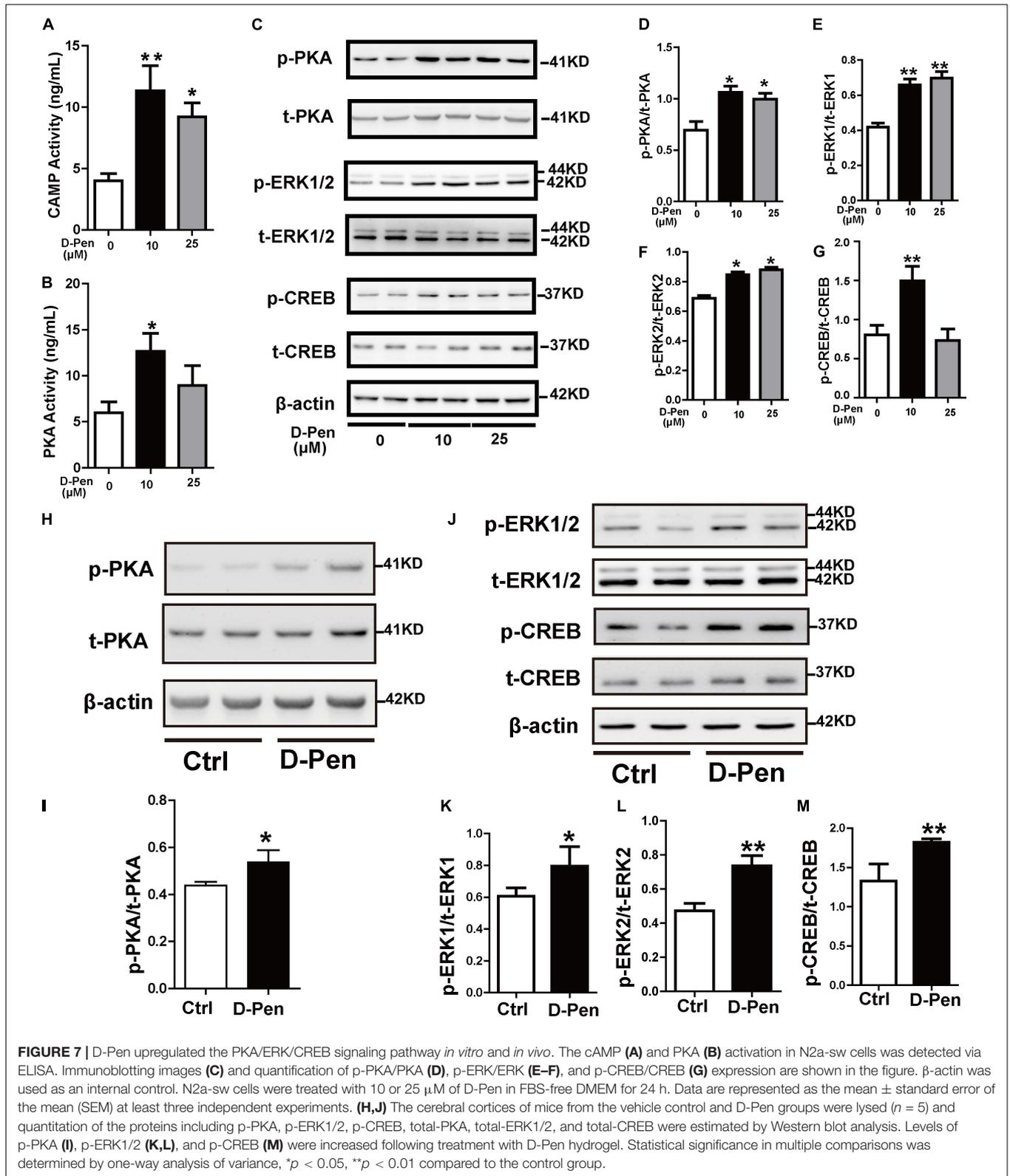
Hydrogel delivery systems are highly biocompatible, enable drugs to bypass the BBB due to their similarity to the native extracellular matrix, and exhibited target drug delivery, reduction of the peripheral toxicity and controlled drug release kinetics (Sosnik and Seremeta, 2017; Aderibigbe, 2018). CS could significantly enhance the delivery of larger peptides and proteins as well as the absorption of low molecular weight polar drugs across the nasal epithelial membrane. The main mechanism involves the interaction of CS with the anionic counterparts in the mucous layer of the nasal cavity through its own positively



**FIGURE 6 |** The effect of D-Pen on APP processing in N2a cells and D-Pen enhanced expression of ADAM10 in primary neurons injured by Aβ. **(A)** Representative immunoblots and quantification of the expression of APP and enzymes proteins in N2a cells treated with medium containing 10 and 25 μM D-Pen. **(B)** The protein levels of APP were detected by Western blot analysis. **(C–F)** The enzymes involved in ADAM10, BACE1 and PS1 expression were determined via immunoblot analysis. Data are presented as the mean ± standard error of the mean (SEM) at least three independent experiments. Statistical significance in multiple comparisons was determined by one-way analysis of variance \**p* < 0.05 compared to the control group. **(G)** Representative immunofluorescence images of ADAM10 and MAP-2 staining in primary cortical neuron (scale bar = 25 μm).

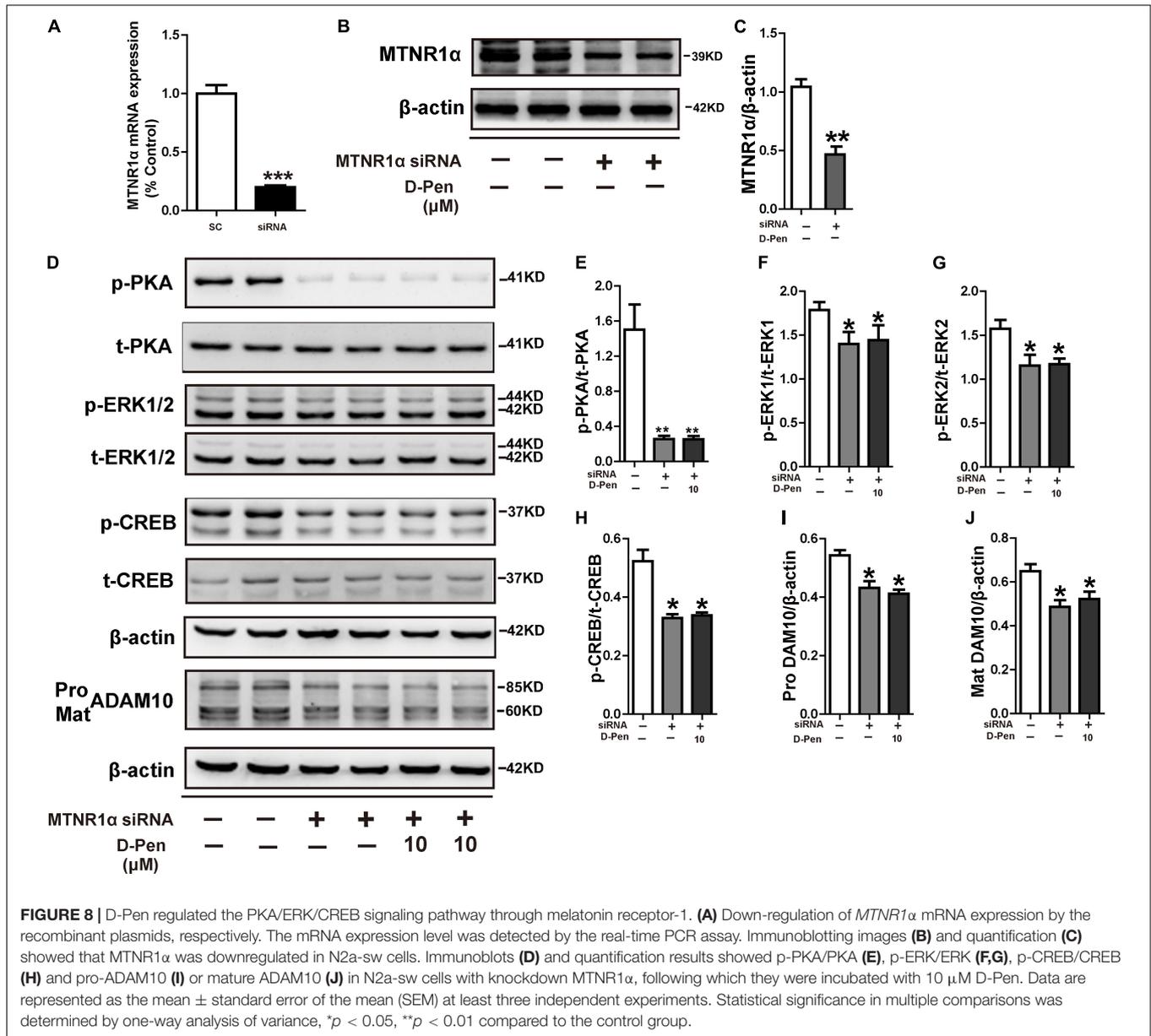
charged amino group, and instantly opening the tight junction of the olfactory and respiratory epithelial cells in the nasal cavity, thus affecting the permeability of the epithelial membrane

(Vilasaliu et al., 2010). In the present study, we focused on the development and characterization of a biocompatible, thermo-sensitive CS gel in which D-Pen could be dissolved. Our



sensitivity experiments indicated that the D-Pen gel becomes gelatinous at 37°C. At low temperatures, the glycerol molecules of  $\beta$ -GP form a water barrier surrounding the CS molecules

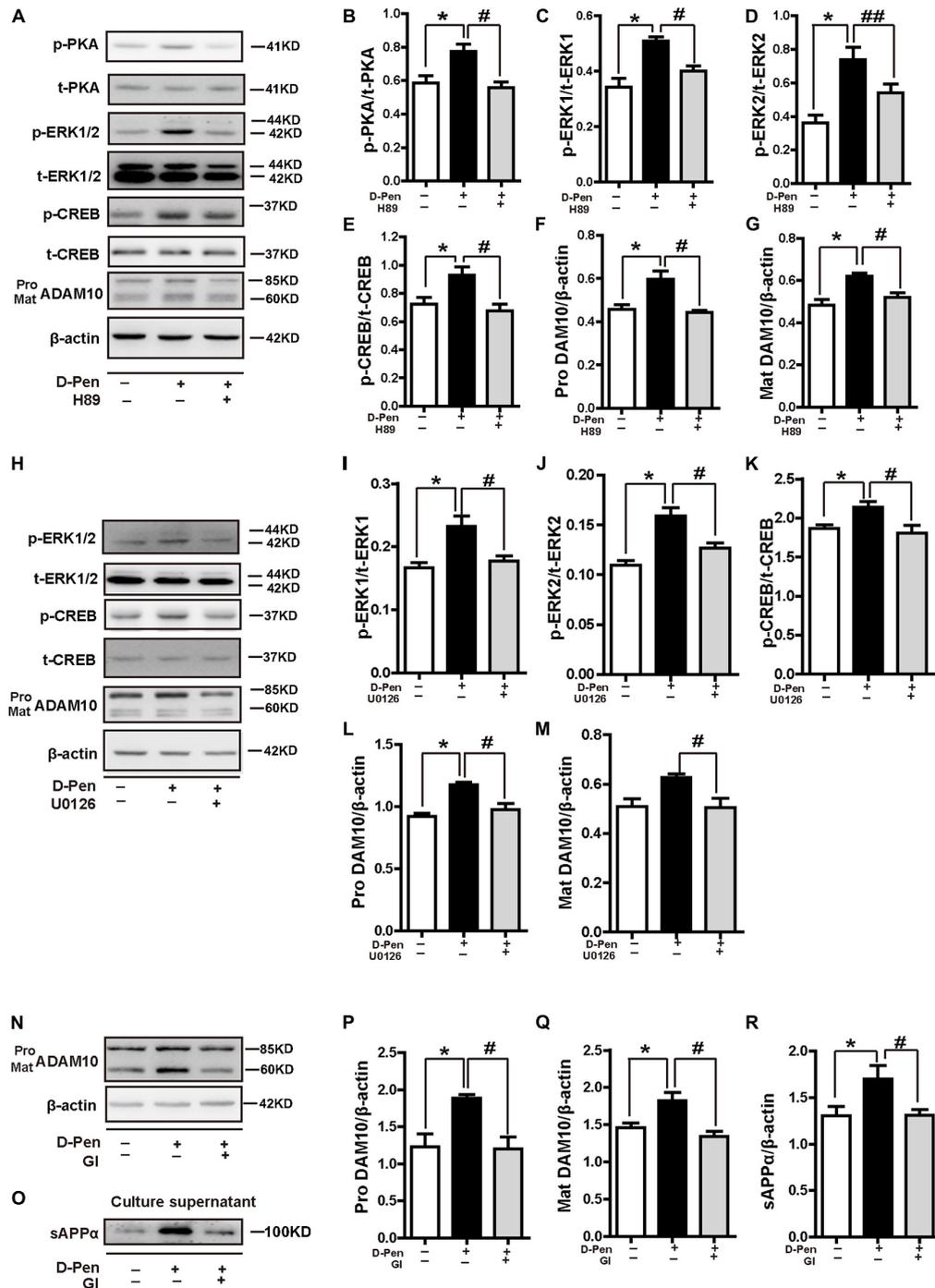
to maintain the native form of CS chains and inhibit their aggregation (Cho et al., 2005). As the temperature increases, the strengthening of CS-CS interactions results in a phase transition



from liquid to gel. Thus, the chemical actions of the D-Pen/CS gel do not change, but D-Pen utilization is improved. The high performance liquid chromatography (HPLC) results confirmed that D-Pen delivered from the hydrogel could enter into the brain; however, its targeting was not clear.

As metal ions participate in essential neural functions, removing them with high affinity chelating agents might be problematic (Faux et al., 2010; Wang et al., 2012). However, it is more appropriate to describe AD as a turbation of metal dyshomeostasis, rather than as a simple metal-overload disease. Thus, it is more important to reduce the local aggregation of metal ions and maintain metal homeostasis. Although Mumper et al. demonstrated the ability of D-Pen nanoparticles to degrade copper-A $\beta$  *in vitro*, they did not explore its release or chelating effects *in vivo* (Cui et al., 2005). In the present study, the

ICP-MS results showed that the contents of iron, copper and zinc in the cerebral cortex of APP/PS1 mice was decreased after D-Pen treatment. *In vitro* experiments also confirmed that D-Pen reduced intracellular metal content was not associated with cytotoxic effects, indicating that its chelating effects did not affect the normal physiological cell function. D-pen as a metal chelators could capture and bind metal ions which can deplete the total pool of bioavailable metals extracellularly or compete with endogenous ligand (Duce and Bush, 2010). In the present study, we aimed to determine the mechanism by which D-Pen could to halt the progression of AD by activating key enzymes in its non-amyloidogenic pathways. The generation and deposition of A $\beta$  is directly related to the relative proteolytic efficiency of APP in amyloidogenic and non-amyloidogenic pathways. Both *in vivo* and *in vitro* experiments confirmed that D-Pen did



**FIGURE 9** | D-penicillamine (D-Pen) regulated the PKA/ERK/CREB signaling pathway to stimulate the expression of ADAM10 and the secretion of sAPP $\alpha$ . **(A)** N2a-sw cells were treated with 10  $\mu$ M D-Pen or pretreated with H89 (5  $\mu$ M) for 2 h, following which they were incubated with 10  $\mu$ M D-Pen in FBS-free DMEM for 24 h. Quantitation of the proteins, including p-PKA/PKA **(B)**, p-ERK1/ERK1 **(C)**, p-ERK2/ERK2 **(D)**, p-CREB/CREB **(E)**, and pro-ADAM10 **(F)** or mature ADAM10 **(G)** were shown. **(H)** N2a-sw cells were treated with 10  $\mu$ M D-Pen or pretreated with U0126 (10  $\mu$ M) for 2 h, following which they were incubated with 10  $\mu$ M D-Pen in FBS-free DMEM for 24 h. Quantitation of the proteins, including p-ERK1/ERK1 **(I)**, p-ERK2/ERK2 **(J)**, p-CREB/CREB **(K)**, and pro-ADAM10 **(L)** or mature ADAM10 **(M)** were analyzed. N2a-sw cells were treated with 10  $\mu$ M D-Pen or pretreated with GI 254023X (10  $\mu$ M) for 2 h, following which they were incubated with 10  $\mu$ M D-Pen in DMEM without FBS for 24 h. Representative images of ADAM10 **(N)** and sAPP $\alpha$  **(O)** were shown by Western blot. Moreover, quantification analysis of the proteins, including pro-ADAM10 **(P)** or mature ADAM10 **(Q)** and secreted sAPP $\alpha$  **(R)** in N2a-sw cells were presented in the figure. At least three independent experiments were executed. Data are represented as the mean  $\pm$  standard error of the mean (SEM) at least three independent experiments. Statistical significance in multiple comparisons was determined by one-way analysis of variance, \* $p$  < 0.05, compared to the control group, # $p$  < 0.05, ## $p$  < 0.01 compared to the D-Pen group.

reduce the number of A $\beta$ -positive plaques and the level of A $\beta$  secretion. In addition to D-Pen chelating metals in senile plaques to reduce the accumulation of A $\beta$ , our *in vitro* and *in vivo* results revealed that ADAM10 significantly increased the level of APP $\alpha$  expression in the brain and extracellular space. We insured that D-Pen mainly regulated the expression of ADAM10 and promoted the expression of sAPP $\alpha$ , thereby attenuating A $\beta$  production. During APP cleavage, ADAM10 plays an important role in reducing A $\beta$  generation (Peron et al., 2018). The sAPP $\alpha$  cleavage fragment generated by ADAM10 can largely restore the behavioral and electrophysiological abnormalities of APP-deficient mice (Ring et al., 2007) and rescue the hippocampal neuronal damage induced by neurotoxicity, glucose deprivation, and A $\beta$  toxicity (Furukawa et al., 1996; Barger and Harmon, 1997). If only using a sw-APP model, there was going to be a preferential processing of APP down the amyloidogenic pathway due to the mutation, we demonstrated a similar outcome was observed with endogenously expressed APP in N2a and primary neurons. Therefore, we could speculate that ADAM10 expression was particularly adjusted by D-Pen. Moreover, our results showed that D-Pen could not only increase the expressions of ADAM10 in cells and tissues, but the effects on the expressions of BACE-1 were inconsistent in tissues and cells, which may be due to the activation of non-amyloid pathways. The resulting changes in  $\beta$ -secretases could also be related to the chelation of D-Pen (Stelmashook et al., 2014; Gerber et al., 2017), which requires further research.

Consequently, we investigated the molecular mechanisms by which D-Pen activates ADAM10 expression and stimulates the non-amyloidogenic  $\alpha$ -secretase pathway. Previous investigations have reported that melatonin acts via the MTNRs to induce G-protein/PKC/ERK activation and elevate ADAM10 levels in HEK293 and neuronal SH-SY5Y cells, and that  $\alpha$ -secretase activity is fully dependent on melatonin receptors (Panmanee et al., 2015; Shukla et al., 2015). In our previous study, we observed that copper chelators induced ADAM10 production, a process controlled by MT<sub>1/2</sub>/CREB-dependent signaling pathways (Wang et al., 2018). In this context, after knocking down *MTNR1 $\alpha$* , D-Pen blocked the activations of PKA, ERK and CREB of the MT downstream and did not influence the expression of ADAM10. After knocking down *MTNR1 $\beta$* , D-Pen had no effect on the expressions of PKA, ERK, CREB, and ADAM10. The above results indicated that D-Pen selectively regulated downstream signaling pathways through *MTNR1 $\alpha$*  but not through *MTNR1 $\beta$* . We observed that D-Pen attenuated the phosphorylation of ERK and CREB, as well as the expression of ADAM10, after blockade by H89 and U0126. Because D-Pen can promote cAMP through G-coupled receptors, we should also consider the indirect effect of cAMP/PKA on CREB. This finding can be explained by the fact that activation of the ERK pathway is known to induce Ser133 residue phosphorylation of CREB and subsequent CREB-dependent gene expression (Kwok et al., 1994; Mei et al., 2006). Combined with the fact that ERK and CREB phosphorylation levels and ADAM10 expression significantly increased by D-Pen treatment alone *in vitro* and *in vivo*, we conclude that D-Pen regulated ADAM10 via the PKA/ERK/CREB signaling pathway. Additionally, it cannot be ignored that the D-Pen's direct function as a metal chelator

could competitively compound with zinc, iron and copper to redistribute the metal ions in the brain. Although it is not possible to dismiss the possibility that D-Pen may also act through some additional inducible factors, we can reasonably draw a conclusion based on our experimental results that D-Pen upregulated the transcription and expression of ADAM10 mainly through the activation of CREB. Thus, our results firmly establish that D-Pen treatment upregulated ADAM10 activity by stimulating the *MTNR1 $\alpha$* /PKA/ERK/CREB signaling pathway. On the other hand, we found that 25  $\mu$ M D-Pen had little effect on the downstream signaling pathways. The maintenance of cell homeostasis may be a key factor in determining the related proteins of the signaling pathway (Sun et al., 2010; Galano et al., 2015; Boyd et al., 2020). Although the MTT results did not show the effect of D-Pen on the cells survival, the activity of LDH increased and the activity of SOD decreased in the 25  $\mu$ M D-Pen medium (data not shown). These results indicated that 25  $\mu$ M D-Pen had an impact on the homeostasis of the intracellular environment, and no obvious effect on the expressions of the downstream proteins. Although, more data, such as the changes in the apoptosis-related factors and autophagy signaling pathways etc, are required in future research.

In accordance with these findings, D-Pen improved the learning and memory abilities in the AD model employed in the present study. The production of a neuroprotective sAPP $\alpha$  fragment attenuated the learning deficit of the double-transgenic ADAM10  $\times$  APP<sub>[V717I]</sub> mice (Postina et al., 2004). However, we could not determine whether A $\beta$  reduction or sAPP $\alpha$  production plays the key role based on behavioral tests and cell survival experiments. There are still some aspects of our research that need to be supplemented. For example, it has proven that D-Pen could reduce the contents of metal ions in the brain, but its therapy on metal dyshomeostasis related effects needs to be further improved exploration. Although we established a method of nasal gel administration that improves the bioavailability of D-Pen, the release profiles of D-Pen in the nervous system and drug toxicology require further validation.

## CONCLUSION

Our findings showed that D-Pen-CS/ $\beta$ -GP hydrogel treatment activated the ADAM10 via *MTNR1 $\alpha$*  pathway, which significantly relieved A $\beta$  burden and ameliorated behavioral deficits in APP/PS1 mice. These results highlight the role of ADAM10 as a key therapeutic target for D-Pen, indicating that the D-Pen may be an effective drug for the targeted treatment of AD pathology.

## DATA AVAILABILITY STATEMENT

The raw data supporting the conclusions of this article will be made available by the authors, without undue reservation.

## ETHICS STATEMENT

The animal study was reviewed and approved by Animal Ethics Committee of the China Medical University.

## AUTHOR CONTRIBUTIONS

MZ mainly researched the data. HK, PZ, WZ, and HX researched the data. XZ, WL, and TW generated and validated the mouse model. FG contributed the experimental method guidance. CG contributed to discussion. ZW reviewed and edited the manuscript. HG designed and wrote the manuscript. HG was the guarantor of this work and, as such, had full access to all the data in the study and takes responsibility for the integrity of the data and the accuracy of the data analysis. All authors contributed to the article and approved the submitted version.

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## SUPPLEMENTARY MATERIAL

The Supplementary Material for this article can be found online at: <https://www.frontiersin.org/articles/10.3389/fnagi.2021.660249/full#supplementary-material>

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**Conflict of Interest:** The authors declare that the research was conducted in the absence of any commercial or financial relationships that could be construed as a potential conflict of interest.

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