



CD123-Directed Bispecific Antibodies for Targeting MDS Clones and Immunosuppressive Myeloid-Derived Suppressor Cells (MDSC) in High-Risk Adult MDS Patients

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There is an urgent need to identify effective strategies to prevent leukemic transformation and induce sustained deep remissions in adult high-risk myelodysplastic syndrome (MDS) patients. This article discusses the clinical impact potential of bispecific antibodies (BiAB) capable of redirecting host T-cell cytotoxicity in an MHC-independent manner to malignant clones as well as immunosuppressive myeloid-derived suppressor cells (MDSC) as a new class of anti-MDS drug candidates. T-cell engaging BiAB targeting the CD123 antigen may help delay disease progression in high-risk adult MDS and potentially reduce the risk of transformation to secondary AML.

Keywords: CD123, bispecific antibody, MDSC, MDS, T-cells, APVO436

INTRODUCTION

Adult myelodysplastic syndrome (MDS), a heterogeneous group of clonal malignant hematologic disorders with an incidence rate of 4.5 per 100,000 persons per year, is characterized by ineffective hematopoiesis, abnormal differentiation of progenitor cells in the myeloid, erythroid, and megakaryocytic compartments, emergence of dysplastic myeloid cells, and an enhanced risk of transformation to acute myeloid leukemia (AML) (National Cancer Institute, 2016; National Cancer Institute, 2021; Zhan and Park, 2021). There is no effective standard treatment that will prevent the leukemic transformation or result in sustained deep remissions in high-risk adult MDS patients (Garcia-Manero, 2014; Götze and Platzbecker, 2018; Syed et al., 2020; Platzbecker et al., 2021). Several new therapies are being evaluated in clinical trials for their clinical impact potential for high-risk adult MDS patients, including new generation HMAs, isocitrate dehydrogenase inhibitors, the Hedgehog pathway inhibitor glasdegib, venetoclax plus azacitidine, CPX-351, the anti-CD47 monoclonal antibody magrolimab and the NEDD8 inhibitor pevonedistat, both in combination with azacitidine, and kinase inhibitors such as rigosertib, midostaurin, gilteritinib, and bemcentinib (Garcia-Manero, 2014; Götze and Platzbecker, 2018; Syed et al., 2020; Platzbecker et al., 2021; Sekeres et al., 2021).

The immunosuppressive bone marrow microenvironment (BMME) in adult MDS has been implicated in clonal evolution and disease progression (Sallman and List, 2019; Younos et al., 2015; Chen et al., 2013; Gañán-Gómez et al., 2015). Expanded populations of myeloid-derived suppressor cells (MDSC), representing CD33⁺CD123⁺ immature myeloid cells within the bone marrow mononuclear cell fraction contribute to the immunosuppressive tumor microenvironment

TABLE 1 | Interventional CD123-Targeting biotherapy trials in MDS patients since 2011.

Protocol/ Trial ID	Trial title	Trial phase	Primary tested drug	Trial objective	Start date	Supporting URLs
NCT04109482	A phase I/II, Open Label, Multicenter Trial to Assess the Safety and Efficacy of MB-102 in Patients With Relapsed or Refractory Blastic Plasmacytoid Dendritic Cell Neoplasm	I/II	MB-102, Mustang Bio CD123 CAR-T	To assess the safety and efficacy of MB-102 in patients with relapsed or refractory BPDCN, AML or high-risk MDS.	February 17, 2020 (Actual)	https://clinicaltrials.gov/show/NCT04109482
NCT03594955	An Open-label, First-in-human, Dose Escalation Study of SAR440234 Administered as Single Agent by Intravenous Infusion in Patients With Relapsed or Refractory Acute Myeloid Leukemia (R/R AML), B-cell Acute Lymphoblastic Leukemia (B-ALL), or High Risk Myelodysplasia (HR-MDS)	I/II	SAR-440234 CD3 × CD123 BiTE	To determine the maximum tolerated dose (MTD) of SAR440234 administered as a single agent in patients with R/R AML (relapsed or refractory acute myeloid leukemia), HR-MDS (high risk myelodysplastic syndrome), or B-ALL (B-cell acute lymphoblastic leukemia), and determine the recommended phase 2 dose (RP2D) for the subsequent Expansion part. To assess the activity of single agent SAR440234 at the RP2D in patients with R/R AML or HR-MDS.	October 24, 2018 (Actual)	https://clinicaltrials.gov/ct2/show/study/NCT03594955
NCT03647800	Phase I/II Open-Label, Dose-Escalation Study of APVO436 in Patients With Relapsed or Refractory Acute Myeloid Leukemia (AML) or High-Grade Myelodysplastic Syndrome (MDS)	I	APVO-436 CD3 × 1CD123 BiAB	Part 1: To evaluate the safety and pharmacokinetic profile of APVO436 to determine a maximum-tolerated dose and recommended dose for part 2. Part 2: To assess the clinical activity and safety profile of APVO436 at the recommended dose in a larger group of patients.	December 13, 2018 (Actual)	https://clinicaltrials.gov/ct2/show/NCT03647800
NCT03113643	Phase 1 Study of SL-401 in Combination With Azacitidine or Azacitidine/Venetoclax in Relapsed/Refractory Acute Myeloid Leukemia (AML) or in Treatment-Naive Subjects With AML Not Eligible for Standard Induction Therapy or in Subjects With High-Risk Myelodysplastic Syndrome (MDS)	I/II	Tagraxofusp DT388-IL3, SL-401, tagraxofusp-erzs	To study SL-401 as a possible treatment for diagnosis of AML and high-risk MDS. To determine the safest, highest dose of study drug, SL-401, in combination with azacitidine that can be given to patients with AML or high-risk MDS. To study the side effects and best dose of DT(388)IL3 fusion protein SL-401 when given together with azacitidine in treating patients with myelodysplastic syndrome or acute myeloid leukemia that is untreated, has come back, or does not respond to treatment.	June 26, 2017 (Actual)	https://clinicaltrials.gov/show/NCT03113643
NCT03011034	A phase II Proof-of-Concept Study to Separately Evaluate the Activity of Talacotuzumab (JNJ-56022473) or Daratumumab in Transfusion-Dependent Subjects With Low or Intermediate-1 Risk Myelodysplastic Syndromes (MDS) Who Are Relapsed or Refractory to Erythropoiesis-Stimulating Agent (ESA) Treatment	II	Daratumumab Talacotuzumab Anti-CD123 MoAb	To evaluate the efficacy (transfusion independence [TI]) of talacotuzumab (JNJ-56022473) or daratumumab in transfusion-dependent participants with low or intermediate-1 risk Myelodysplastic Syndrome (MDS) whose disease has relapsed during treatment with or is refractory to Erythropoiesis-Stimulating Agent (ESAs).	February 14, 2017 (Actual)	https://clinicaltrials.gov/show/NCT03011034
NCT02992860	Single Agent JNJ-56022473 in MDS and AML Patients Failing Hypomethylating Agent Based Therapy	II	Talacotuzumab Anti-CD123 MoAb	To evaluate the effect of JNJ-56022473 in overall hematological response rate at 3 months in HMA refractory/relapsed AML and MDS patients.	January 7, 2016 (Actual)	https://clinicaltrials.gov/show/NCT02992860

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TABLE 1 | (Continued) Interventional CD123-Targeting biotherapy trials in MDS patients since 2011.

Protocol/ Trial ID	Trial title	Trial phase	Primary tested drug	Trial objective	Start date	Supporting URLs
NCT02181699	Phase I Study of KHK2823 in Patients With Acute Myeloid Leukemia or Myelodysplastic Syndrome	I	KHK-2823 Anti-CD123 MoAb	To investigate the safety, pharmacokinetics, immunogenicity and pharmacodynamics of repeat doses of KHK2823.	January 6, 2014 (Actual)	https://clinicaltrials.gov/ct2/show/NCT02181699
NCT02152956	A phase I/II, First in Human, Dose Escalation Study of MGD006, a CD123 × CD3 Dual Affinity Retargeting (DART [®]) Bi-Specific Antibody Based Molecule, in Patients With Relapsed or Refractory AML or Intermediate-2/ High Risk MDS.	I/II	Flotetuzumab CD3 × CD123 DART	To explore the ability of MGD006 to redirect T cells in relapsed and refractory acute myeloid leukemia. To see how the drug acts in the body (pharmacokinetics, pharmacodynamics) and to evaluate potential anti-tumor activity of Flotetuzumab.	September 6, 2014 (Actual)	https://clinicaltrials.gov/show/NCT02152956

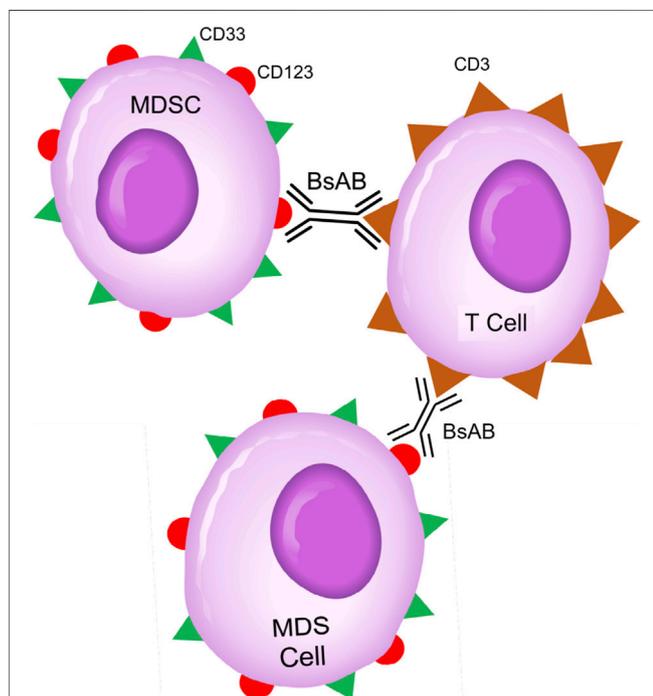


FIGURE 2 | Bispecific CD3 × CD123 Antibodies Targeting MDS Clones and MDS Cells in High-Risk MDS Patients. Abbreviations: BsAB: bispecific antibody; MDS: MDS clone; MDSC: Myeloid-derived suppressor cell. See text for a detailed discussion of the rationale of targeting the CD123 and CD33 antigens that are expressed on both MDS clones and MDSCs.

2017; Testa et al., 2019; Uy et al., 2021b; Uckun et al., 2021). CRS was observed in 96% of AML patients treated with Flotetuzumab (Uy et al., 2017) and 58% of AML patients treated with Vibecotamab (XmAb14045) (Testa et al., 2019). Within the confines of a small patient and heterogeneous patient population, the CRS rate of 21.7% in the Phase 1B study of APVO436 appeared to compare favorably with the reported CRS rates for the anti-AML bispecific antibodies (Uckun et al., 2021). Intriguingly, none of the 7 MDS patients treated with APVO436 experienced CRS (Uckun et al., 2021). APVO436-related CRS was not required for clinically meaningful responses in R/R AML

patients, and it did not affect their survival outcome. Prolonged stabilization of disease, partial remissions and complete remissions were achieved in both patients who experienced CRS as well as patients who did not experience CRS after APVO436 infusions (Uckun et al., 2021). The predominant proinflammatory cytokine in CRS events associated with CD123xCD3 BiAB appears to be interleukin 6 (IL-6) and therefore tocilizumab at standard doses combined with dexamethasone is commonly used to manage this potential complication (Testa et al., 2019; Uckun et al., 2021).

DISCUSSION

CD123-targeting, CD3-engaging BiAB bring cytotoxic T-cells (CTLs) within close vicinity of target CD123⁺ cells to create “cytolytic synapses” as a short bridge between MDS cells and CTLs, triggering CTL activation and destruction of targeted MDS cells (Figure 2). APVO436 is a recombinant T-cell engaging humanized BiAB designed to redirect host T-cell cytotoxicity in an MHC-independent manner to CD123-expressing blast cells from patients with hematologic malignancies (Comeau et al., 2019; Uy et al., 2021b; Uy et al., 2017; Uckun et al., 2021) (Figure 2). APVO436 was generally well tolerated in adults with relapsed AML and high-risk MDS with manageable toxicity and a promising benefit to risk profile (Uckun et al., 2021). APVO436 at the recommended Phase 2 dose (RP2D) level also produced early evidence of clinical efficacy. Both prolonged SD and CRs were observed as early evidence of clinical efficacy in R/R AML patients. Further, of the 6 MDS patients evaluable for response, three achieved a marrow CR (Uckun et al., 2021).

T-cells in the immunosuppressive BMME of AML and high-risk MDS patients with markedly deregulated innate and adaptive immune responses are characterized by a phenotype of “exhaustion” with augmented expression of PD-1, T cell immunoglobulin and ITIM domain (TIGIT), and T-cell immunoglobulin and mucin-domain containing-3 (TIM-3) antigens as well as functional cytotoxic T-cell (CTL) deficiency (Braunack et al., 2021; Goswami et al., 2016; Ladikou et al., 2020; Jia et al., 2018). Furthermore, MDS patients treated with HMAs were reported to have increased PD-1 expression on peripheral

blood mononuclear cells (Yang et al., 2014). The proof-of-concept that the CD3-engaging bispecific antibody APVO436 can sufficiently enhance the cytotoxicity of the dysfunctional and exhausted T-cells in relapsed AML patients and induce CRs in R/R AML patients and marrow CRs in MDS demonstrates its clinical impact potential for the treatment of CD123-expressing hematologic malignancies. The combination of APVO436 with immune-checkpoint inhibitors and/or CAR-T/CAR-NK cells may result in greater anti-leukemic activity, but the safety and tolerability of such combination immunotherapy awaits clinical confirmation.

We hypothesize that the addition of CD3 × CD123 BiABs will eradicate residual CD123⁺ MDS clones as well as leukemic stem cells. Notably, Venetoclax has recently been shown to augment T-cell effector function by increasing the production of reactive oxygen species and Azacitidine has been shown to enhance sensitivity of AML cells to cytotoxic T-cells by activating the STING pathway (Lee et al., 2021). These observations provide a compelling rationale for combining BiABs such as Flotetuzumab and APVO436 with Venetoclax, Azacitidine, or both to treat newly diagnosed high-risk MDS patients. Recently, Ganesan et al. reported a new and promising CD123 targeting BiAB platform aimed at selective recruitment of V γ 9⁺ γ δ T cells as an alternative to CD3-engaging anti-CD123 BiABs which warrants clinical evaluation (Ganesan et al., 2021). In addition to CD123 targeting biotherapeutic agents, CD33 targeting antibodies and BiABs (CD3 × CD33 or CD16 × CD33) also show potential for the treatment of high-risk MDS patients because of the high level expression on both MDS clones and MDSC (Eksioglu et al., 2017); (Gleason et al., 2014). The early stage trials listed in **Table 1** have not been designed to specifically address the potential of reducing the size of the MDSC population in the bone marrow microenvironment of the adult MDS patient population. We recommend that hypothesis-generating or hypothesis-testing biomarker studies become an integral part of the clinical studies in the future to fully assess the clinical potential of CD123-targeting BiAB.

CD123 expression has not been extensively studied in pediatric MDS, including juvenile myelomonocytic leukemia (JMML) (Niemyer et al., 2019). Louka et al. recently reported that the CD38⁺ myeloid progenitor compartment in JMML

patients, including both common myeloid progenitor cells and granulocyte monocyte progenitors express CD123 (Louka et al., 2021). Therefore, it is possible that targeting CD123 may also have clinical potential in treatment of some pediatric patients with CD123⁺ MDS.

CONCLUSION

Recombinant T-cell engaging humanized bispecific antibodies can be designed to redirect host T-cell cytotoxicity in an MHC-independent manner and in a target-dependent manner, selectively, to CD123-expressing blast cells in patients with hematologic malignancies.

They have clinical impact potential in high-risk MDS as it may both prevent disease progression/immune evasion by reducing the numbers of CD123⁺ MDSC (Edwards et al., 2010; Gustafson et al., 2015; Uhel et al., 2019; Dysthe and Parihar, 2020; Khan et al., 2020; Domagala et al., 2021) and delay the development of secondary AML by T-cell mediated destruction of CD123⁺CD34⁺ blast cells.

AUTHOR CONTRIBUTIONS

Each author (FU and JW) has made significant and substantive contributions to the study, reviewed and revised the manuscript, provided final approval for submission of the final version. FU conceived the review, analyzed the contents of relevant publications, and wrote the original draft of the article. No medical writer or editor was involved.

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The remaining author declares that the research was conducted in the absence of any commercial or financial relationships that could be construed as a potential conflict of interest.

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