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A metal-dielectric 3D-Printable metastructure for the radiation enhancement of electromagnetic band-gap resonator antennas

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This article introduces a planar, highly transmissive, 3-D printable metastructure with a low profile for enhancing the far-field radiation performance of conventional electromagnetic band-gap (EBG) resonator antennas. The proposed near-field phase transforming metastructure (PTM) is developed by employing the near-field phase transformation approach that transforms the non-uniform phase of a conventional EBG resonator antenna into a nearly uniform one and enhances the far-field radiation pattern. The novelty of this paper lies in reducing the height of the phase-transforming structure compared to state-of-the-art structures with better performance. The metastructure's low profile is realized by incorporating metal inside the dielectric materials. The proposed PTM comprises two types of unit cells made of metal and dielectric material to achieve a wide range of phase coverage. All the phase transforming unit cells used are highly transmitting as their transmission coefficient $(|S_{21}|)$ is greater than -0.77 dB, which increases the aperture efficiency compared to previous designs. Additionally, the proposed metastructure is fully passive and polarization-independent. To achieve the desired performance, the PTM can be realized by using additive manufacturing technologies and exploiting RF-graded 3-D printing filament. The proposed metastructure-based wide-band EBG resonator antenna achieves a peak directivity, aperture efficiency, and 3 dB directivity bandwidth of 21.4 dBi, 54.65%

KEYWORDS

electromagnetic band-gap resonator antennas, metastructure, near-field phase corrections, far-field radiation, dominant, antenna

1 Introduction

In recent years, metasurface, also known as metastructure, a class of 2-D metamaterials (Duan et al., 2019; Tang et al., 2022), has drawn significant attraction to researchers in the field of electromagnetics for their unique electromagnetic characteristics. They are now being employed in wireless communication to cater to the demands, such as high gain and bandwidth of emerging wireless communication technologies (Afzal et al., 2018; Pepino et al., 2018). They are also used in multi-functional antennas for polarization conversion and reconfiguration of frequency and radiation patterns of antennas (Ahmed et al., 2023b; Ni et al., 2024). The metasurface concept has also been exploited to improve the radiation characteristics of aperture antennas. To avoid conventional problems of high gain antennas,

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such as bulky structures and lossy feeding networks (Afzal and Esselle, 2015; Ahmed et al., 2021; Zhu et al., 2017), metasurfaces are placed on the top of the radiative aperture to modify the aperture phase distribution. In this regard, reflectarrays and transmit arrays (Yang et al., 2023; Farias et al., 2022; Su et al., 2023; Pham et al., 2020) are formed by integrating metasurfaces in the far-field regions of the aperture antennas. Although they are low-loss structures, their main drawback lies in their relatively large dimensions, which can constrain their practical applications.

Several approaches have been devised by the researchers for enhancing the radiation performance of antennas, such as new material (Esfandiyari et al., 2022; Esfandiari et al., 2022), frequency selective surfaces (Lalbakhsh et al., 2022a; Das et al., 2022), and the use of artificial intelligence for developing new electromagnetic materials (Lalbakhsh et al., 2022b). Addressing the challenges associated with their traditional counterparts (Ren et al., 2022), electromagnetic band-gap resonator antennas (ERAs) (Ge et al., 2016; Costa et al., 2018; Oliner, 1993; Mateo-Segura et al., 2013; Konstantinidis et al., 2014), coupled with a metasurface, has become an alternative to conventional high-gain (16-25 dB) antennas (Comite et al., 2020). The traditional ERAs comprise a partially reflecting surface (PRS) and a ground plane that makes a resonance between these two planes at the operating frequency. The usage of ERAs in different applications, including mmWave communication, satellite communication, and radar, is increasing due to their advantageous characteristics, such as planar surface, simple structure, and easily implementable feeding system (Lalbakhsh et al., 2020).

One of the approaches to improve the radiation characteristics of aperture-type antennas is based on the near-field phase transformation principle (NFPT), which manipulates their nearfield phase distribution by loading a metastructure to achieve the desired outcome (Zeb et al., 2016; Afzal et al., 2015; Konstantinidis et al., 2014; Pepino et al., 2018; Xie et al., 2019; Ahmed et al., 2023a; Lalbakhsh et al., 2016; Zhou et al., 2018; Baba et al., 2018). Utilizing NFPT creates a passive, low-loss, and low-profile structure, which can significantly improve antenna performance. Phasetransforming structures can be realized by using all-dielectric (Pepino et al., 2018; Hayat et al., 2019b), all-metal (Ahmed et al., 2024), and multi-layer printed structure (Nabeel et al., 2024; Li et al., 2024; Afzal and Esselle, 2015; Xie et al., 2019; Zhou et al., 2020). However, each type of structure is associated with at least one of the following drawbacks: heavy weight, high profile, polarization dependence, high cost, and high loss in high-frequency applications. This paper aims to design a metastructure with a low profile for radiation improvement of ERAs that can be fabricated at a low cost using additive manufacturing technologies.

In recent years, all-dielectric phase transforming structures have been realized from commercially available dielectric material blocks using subtractive manufacturing (SM) methods like computer numerical control (CNC). However, subtractive manufacturing is costly and leads to material wastage. Furthermore, certain customized structures can not be realized using subtractive manufacturing. Additive manufacturing (AM), commonly known as three-dimensional (3-D) printing, addresses the limitations of subtractive manufacturing. AM is increasingly prevalent across various sectors, including spacecraft, bio-medical equipment, and defense industries, due to its numerous advantages over traditional subtracting manufacturing processes (Delic and Eyers, 2020; Fasel et al., 2020; Busachi et al., 2017; Capel et al., 2018; Macdonald et al., 2014). The benefits of AM encompass rapid prototyping, the capability to produce highly customized and intricate structures, minimal waste during production, and the ability to assess design errors prior to final production (Hayat et al., 2019a).

In conjunction with advancements in Additive manufacturing technologies, the choice of materials for AM becomes crucial for specific applications where material properties significantly influence the performance of 3-D printed devices. Commonly employed 3-D printing materials include Acrylonitrile Butadiene Styrene (ABS), Polylactic Acid (PLA), Acrylonitrile Styrene Acrylate (ASA), and Thermoplastic Polyurethane (TPU) (Ponti et al., 2023). However, using such materials has encountered a few challenges, such as the material's limited permittivity range (2.0-2.8) and high loss (Zhang et al., 2020; Hayat et al., 2020). To address those issues, the exploration of materials with a wider range of permittivity and low RF loss becomes essential. Notably, the application of recently developed PREPERM®ABS materials has increased remarkably in manufacturing high-frequency wireless communication devices. These thermoplastics are RF-graded and formulated to meet faster and high-frequency communication demands. Furthermore, this type of material offers a wide range of dielectric constants (2.0-22.0) and ensures stable operation over a wide range of frequencies with ultra-low RF loss PREPERMTM (AVEINT, 2024). The material characterization of PREPERM®ABS for permittivity and loss tangent assessment is illustrated in (Paraskevopoulos et al., 2023; Zhang et al., 2020; Poyanco et al., 2022; Sorsa et al., 2021).

The phase-varying metastructures are typically realized either by varying the height of the same permittivity material or maintaining the same height of different permittivity materials (Hayat et al., 2019b). Commercially available materials (COTS) cannot provide a continuous variation of permittivity. Applying engineering techniques to the cell's internal structure and synthesizing the host material, continuous variation of permittivity is achieved to produce a wide range of continuous phase delays. In this paper, continuous phase variation is realized through the incorporation of metal and air holes within the host dielectric material. This approach offers the advantage of creating a planar structure that is free from shadowing problems associated with non-planar structures.

The main contribution of this paper is to develop a wideband 3-D printable phase transforming metastructure for far-field radiation enhancement with a lower structure height ($\lambda_0/4$) compared to its counterparts reported in the literature with better performance. To develop a low-profile PTM, a novel approach is used to design metalized dielectric unit cells with lower height, and hybrid cells are used to cover a wide phase range with a higher transmission coefficient ($|S_{21}| > -0.77dB$). The performance of the proposed PTM is compared to the state-of-the-art phase transforming structures, and a comparison table for benchmarking is provided in the result section. Due to the lower height, the material consumption in the fabrication of the proposed PTM is lower, and the manufacturing cost is also low with an additive manufacturing process.

The next sections of this paper are structured as follows. The methodology to design the proposed phase transforming metastructure using near-field phase transformation and cell



analysis is described in Section 2. The simulation result analysis, comparison, and benchmarking are shown in Section 3. Section 4 draws the conclusion of this paper.

2 Design methodology

The proposed phase-transforming metastructure can be implemented to enhance the performance of any aperture-type antenna, like a horn antenna, slot antenna, or patch antenna. For the proof-of-concept of the proposed PTM, a prototype with an EBG resonator antenna (ERA) is considered to operate at 12 GHz. The constituent parts of the classical ERA are the feed source, ground plane of metal (thickness = 1 mm), and partially reflecting surface (PRS). The distance between the ground and PRS is typically set to $\lambda_0/2$ to create a resonance between the ground and PRS to boost the far-field radiation performance, where λ_0 represents the free-space wavelength at the operating frequency (12 GHz). A schematic diagram of an ERA with the proposed PTM is shown in Figure 1. Both the ground plane and PRS have identical lateral dimensions of 100.8 mm \times 100.8 mm, equivalent to $4\lambda_0 \times 4\lambda_0$. Commercially available Rogers TMM4 (dielectric constant, $D_k = 4.7$, loss tangent, tan δ = 0.002, and thickness = 3.175 mm) has been used for PRS.

It is known that the performance of the classical ERA is limited due to the non-uniform phase distribution of the dominant electric field (Ali et al., 2024a). This non-uniformity can be minimized by placing the proposed PTM. The principle of near-field phase transformation (NFPT) is employed here to develop the proposed low-profile wideband PTM for ERA. Figure 2 shows a flow diagram of the design process of the proposed PTM. In this technique, a hypothetical plane is considered at a distance of 5 mm (λ_0 /5) on top of the antenna aperture to record the dominant electric field (E_y) phase, referred to as PTM-in plane shown in Figure 1. Another virtual plane, parallel to the input phase plane, is considered to measure the phase after phase correction with the proposed PTM, denoted by the PTM-op plane in Figure 1.



To measure the phase at discrete points on top of the aperture, the input phase plane, PTM-in, is divided into 12×12 square grid, and this dimension is chosen based on the aperture size (100.8 mm) and cell size (8.4 mm). The phases are recorded at the center of each cell, and from the data, it is noted that the phase pattern recorded in the PTM-in plane follows a concentric circular pattern. So, six concentric circular arrays of cells, shown in Figure 3, have been used to develop the proposed PTM. Using the probed phase, the required phase delay, denoted by ψ_r , is calculated using the following equation,

$$\psi_r(x,y) = \psi_o - \psi_i$$

Unit cell number	Center distance of the UC (mm)	Probed phase (°)	Required phase delay (°)	UC category	b/d values in mm
1	4.2	140	60	Ι	3.38
2	12.6	110	90	Ι	3.49
3	21	67	133	Ι	3.76
4	29.4	26	174	Ι	4.09
5	37.8	-17	217	II	3.94
6	46.2	-43	243	II	4.86

TABLE 1 Unit cell's center distance, probed phases, necessary phase delays, and values of b/d for the unit cells.



where ψ_i and ψ_o represent the phases at the PTM-in and PTM-op planes, respectively. The reference output phase, ψ_o , is set here to 200° to make all the phases uniform.

The probed phases for the 6 cells and the required phase delays for them are mentioned in Table 1. Two types of unit cells, denoted by category-I and -II as shown in Figure 4, have been used to produce the required phase delay. Because one single category of cells is not capable of providing a wide range of transmission phase coverage with higher transmission coefficient magnitude. Six unit cells were selected based on the required phase delay to provide phase delay locally in the phase gradient metastructure.

2.1 Unit cell analysis

Unit cells are the fundamental building block of the metasurface, also called metastructure (Askari et al., 2020). The response of the metasurface is controlled by engineering the internal structure of the unit cell (UC). It is pivotal to analyze the performance of unit cells before designing a metastructure. The proposed phase transforming metastructure (PTM) consists of two categories of unit cells, category I and II. The reason for using hybrid cells is that one type of cell cannot provide a wide range of phases for phase correction, maintaining a transmission coefficient greater than -1 dB. The unit cells are made of dielectric materials (ε_r = 4.5, $\tan \delta = 0.004$) and copper. Unit cells 1 to 4 are selected from category I, and unit cells 5 and 6 belong to category II. The category-I UC consists of three identical metal layers placed at the top, bottom, and middle at the same distance from each other, as shown in Figure 4a. For this category of cell, the gap between two inner arms (b) is varied to generate the necessary transmission phase delay. Category II (UC 5 and 6) is made of one metal layer inserted in the middle of the cell, shown in Figure 4b, and a cuboid airhole is included in this cell. The size of air inclusion (d) is varied to produce different transmission phase delays. The height (h) of the cells is 6.25 mm ($\lambda_0/4$), and the lateral dimensions (a) are 8.4 mm ($\lambda_0/3$), suggested in Afzal and Esselle (2015). The thickness and width of the metal layers are 0.5 mm and 1 mm, respectively. The same height of the unit cells makes the metasurface planner and symmetric, which is not affected by shadowing loss.

To investigate the transmission and reflection characteristics of the unit cells shown in Figure 4, the unit cells are simulated by utilizing the unit cell boundary condition of EM solver, CST. The category-I unit cell is simulated with a parametric sweep of 'b' from 3 mm to 4.5 mm to prepare a database of transmission coefficient magnitude ($|S_{21}|$) and phase ($\angle S_{21}$) of the unit cells. Similarly, the category-II unit cell is simulated with a parametric sweep of 'd' from 3 mm to 5 mm. The transmission magnitude and phase of both categories of cells with the variation of 'b' and 'd' are shown in Figure 5. The cells with transmission magnitudes less than -1 dB are removed to guarantee the low-loss metasurface. Therefore, the required phase delays for cells 1 to 4 are provided by the cell category-I shown in Figure 4a, and their corresponding values of 'b' in mm are 3.38, 3.49, 3.76, and 4.09, respectively. The required phase delays for cells 5 and 6 are provided by the cell category-II shown in Figure 4b, and their corresponding values of 'd' are 3.94 mm and 4.86 mm, respectively.

The reflection $(|S_{11}|)$ and transmission $(|S_{21}|)$ coefficient magnitudes of the unit cells used in the proposed metastructure are depicted in Figure 6 from the frequency range of 10–15 GHz. In Figure 6a, the reflection coefficient is less than –10 dB for all cells at the design frequency (12 GHz) and shows wide operational bandwidth. The transmission coefficient magnitudes $(|S_{21}|)$ shown in Figure 6b, the transmission coefficient magnitude is greater than –0.77 dB for all cells at the design frequency. Due to the wideband operational behavior of the unit cells, the proposed





PTM can operate on a wideband frequency range with high radiation performance.

2.2 Proposed phase transforming metastructure

Based on the calculated required phase delay mentioned in Table 1 for phase correction, unit cells from 1 to 6 have been selected to provide the necessary phase delay. As the 2-D phase distribution above the aperture is considered circularly symmetric (Afzal et al., 2015), the unit cells from 1 to 6 are copied in a concentric circular pattern displayed in Figure 3 to cover the whole aperture. A perspective view of the proposed PTM is depicted in Figure 7 along with the base antenna. A few unit cells located in the corner of the aperture are ignored to reduce the material consumption for fabrication and the structure's weight. Those cells have a minor impact on far-field performance. After completing the design, the near-field and far-field radiation performances were analyzed using full-wave simulation.

3 Result analysis

The three-dimensional structure of the proposed phase transforming metastructure with an EBG resonator antenna is simulated using the time domain solver of CST software with open boundary conditions and evaluated the performance.

In the ERA system without the proposed PTM, the dominant electric field's (E_y) phases are recorded 5 mm ($\lambda_0/5$) away from the



(a) Reflection coefficient magnitude (b) Transmission coefficient magnitude for the cells used in the proposed 3-D printed structure with different frequencies.



PRS along the x-axis at 12 GHz. The resulting phase distribution of the ERA without proposed PTM is depicted in Figure 8a. In this case, the phase error (difference between maximum and minimum phase) is 184°. This figure also presents the 2-D electric field phase distribution in the inset.

Figure 8b shows the phase distribution after near-field phase correction with the proposed PTM. The proposed PTM significantly reduced phase error to 43.5° and caused far-field performance enhancement. The 2-D electric field phase distribution after

phase correction with the proposed PTM is also shown in the inset in this figure. With the placement of the proposed PTM atop the ERA, the phase error is notably minimized, resulting in substantial improvement in gain and directivity.

A comparison of peak directivity in the E– and H-plane between ERA with and without loading the proposed PTM is depicted in Figure 9. The ERA with PTM provides peak directivity at 12.4 GHz. At 12.4 GHz, without the PTM, the ERA's peak directivity is 11.4 dBi, but with the PTM, the directivity increases to 21.4 dBi.



This substantial enhancement (10 dBi) in directivity is attributed to improved phase uniformity in the near-field region facilitated by the proposed PTM. This figure also compares directivity in the H-plane with and without the proposed PTM. The side lobe levels (SLLs) are lower in the H-plane than in the E-plane.

To validate the expected data derived from the simulation using CST microwave studio software, we simulated the proposed prototype using another commercial RF software, HFSS, and compared the results. The following figures compare some results from CST and HFSS.

Figure 10 depicts the variation of directivity and gain with the variation of frequency in the E-plane, illustrating the wideband functionality of the proposed planar PTM. The peak directivity of 21.4 dBi and peak gain of 20.7 dBi are obtained at 12.4 GHz with the proposed PTM. The slight shifting of the peak directivity occurs due to the loading effect of the phase-transforming structure, which is a common behavior of ERA (Afzal et al., 2018). Though the ERA system is designed for a single frequency, due to the wideband characteristics of the base antenna and unit cells, the ERA, equipped with the proposed PTM, operates effectively across a wide range of frequencies. The 3-dB directivity bandwidth spans from 10.9 GHz to 13.66 GHz, equivalent to 22.48%, showing the wideband operational capability of the PTM-based ERA. The directivity and gain versus frequency plot for the ERA without the proposed PTM is also shown in the same figure. This figure also shows directivity and gains results with the variation of frequency from HFSS software to show a comparison between the results from CST and HFSS. Directivity and gain results from CST software show good agreement with the results from HFSS.

To show the stability of the radiation pattern across the operating frequency range, the directivity patterns in both the E– and H-planes are presented at some selected frequencies within the operational bandwidth. Figure 11 illustrates the co-polar and cross-polar components of the directivity in E-plane at 11 GHz, 11.6 GHz, 12.2 GHz, and 13.2 GHz, obtained from both CST and HFSS simulation. The SLLs in the E-plane are in the acceptable range



(9 dB lower than the main beam) within the operating frequency range. The cross-polar components are lower than -15 dBi. The results from both software are compared. This figure shows a good alignment between the results from both software. Although minor discrepancies are observed in cross-polarized components, the overall level of suppression is nearly identical.

The directivity components in the H-plane for the same frequencies using CST and HFSS software are shown in Figure 12. In the H-plane, the SLLs are at least 10 dBi less than the main beam peak within the operational bandwidth. The cross-polar components are below -18 dBi for all operating frequencies. From this figure, we can see a good alignment between the results from both software. Though there is a small difference in cross-polar components, the level of suppression is almost similar. The minor







discrepancy between the results of CST and HFSS is due to the different numerical methods of analysis of each software and their calculation accuracy.

Figure 13 shows a reflection coefficient comparison between the results from both CST and HFSS software. The ERA utilizing the proposed PTM demonstrates effective impedance matching across the operational frequency range of 10.9–13.66 GHz. For the system with PTM, the maximum return loss within the operating frequency range is -12.5 dB, and the -10 dB return loss matching bandwidth is greater than 40%. This figure shows a good alignment between the results from both software. So, from all the result analyses and



Co-polar and cross-polar components of directivity in H-plane at 11, 11.6, 12.2, and 13.2 GHz, results are provided from both CST and HESS software.



comparisons, it is observed that there is a good alignment between the results from CST and HFSS.

The performance of our proposed PTM-based ERA is compared with some state-of-the-works reported in the literature, and a comparison table for benchmarking is shown in Table 2. This table clearly shows that the height of the proposed metastructure is lower (6.25 mm $\approx 0.25\lambda$) compared to all except (Afzal and Esselle, 2015) and (Ali et al., 2024b). The PCB structure in Afzal and Esselle (2015) has a lower profile, but its fabrication cost is high and has lower performance. The height of the proposed phase-correcting structure is the same as the structure reported in Ali et al. (2024b), but the performance of the proposed structure in this paper is higher compared to Ali et al. (2024b). The peak aperture efficiency and 3-dB directivity bandwidth of the proposed system are higher, and the directivity is comparable to others. It is worth noting that most of the results for the works compared in

References	Frequency (GHz)	Structure type	Manufacturing technology	Peck directivity (dB)	Peak aperture efficiency	3-dB directivity bandwidth	Structure height (mm)
This Work	12	Metalized Dielectric	АМ	21.4 (simu.)	54.65%	22.48%	6.25 (0.25λ)
Ahmed et al. (2021) Scientific Reports	12.5	Fully Metallic	SM	20.8 (meas.)	24.00%	12%	13.5 (0.56λ)
Hayat et al. (2019a) IEEE AWPL	10.9	Fully Dielectric	АМ	20.3 (meas.)	46.40%	9.40%	21 (0.77λ)
Ali et al. (2024b) Scientific Reports	12	Metal- dielectric	АМ	20 (simu.)	41.46%	16.5%	6.25 (0.25λ)
Hayat et al. (2019b) IEEE Access	11	Fully Dilectric	АМ	21.12 (meas.)	51%	-	25 (0.92λ)
Afzal et al. (2018) IEEE AWPL	11.1	Composit Dielectric	SM	22 (meas.)	45.50%	10%	21.7 (0.81λ)
Afzal and Esselle (2016) IEEE TAP	11	Printed Dielectric	РСВ	20.5 (meas.)	26%	6%	3.3 (0.13λ)
Afzal et al. (2015) IEEE TAP	11.1	Fully Dielctric	SM	21.6 (meas.)	29%	8%	39 (1.45λ)

TABLE 2 Performance comparison with state-of-the-art structures.

**IEEE TAP, IEEE transactions on antennas and propagation; IEEE AWPL, IEEE antennas and wireless propagation letters; SM, Subtractive Manufacturing; AM, Additive Manufacturing; PCB, Printed Circuit Board, simu, simulated results; meas., measured results.

Table 2 are from measured data, and minor discrepancies are reported between the simulation and measured results. Therefore, our proposed metastructure with a lower profile performs better than its counterparts.

4 Conclusion

A low-profile phase-transforming metastructure is demonstrated in this paper for far-field radiation improvement of ERA that can be manufactured using 3-D technology. The combination of metal and dielectric material in unit cell design plays a significant role in developing the lower-profile metastructure using the NFPT approach. All the cells used in the metastructure are highly transmissive ($|S_{21}| \ge -0.77$ dB), which makes the proposed structure highly transparent. Therefore, the resultant structure significantly improves the aperture efficiency while reducing the height of the PTM, which also reduces the cost and complexity of fabrication. The peak aperture efficiency and 3-dB directivity bandwidth of the ERA with the proposed PTM are 54.65% and 22.48%, respectively. To achieve the desired performance, the proposed metastructure can be fabricated at low using AM technologies and commercially available cost PREPERM®ABS450 filament and copper. The proposed EBG resonator antenna can be realized in the applications of 5G wireless networks, satellite communications, and radar.

Data availability statement

The original contributions presented in the study are included in the article/supplementary material, further inquiries can be directed to the corresponding author.

Author contributions

MA: Conceptualization, Formal Analysis, Investigation, Methodology, Writing – original draft. AL: Project administration, Supervision, Writing – review and editing. KS: Formal Analysis, Funding acquisition, Resources, Supervision, Writing – review and editing. ST: Formal Analysis, Software, Writing – review and editing. SM: Supervision, Writing – review and editing.

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Conflict of interest

The authors declare that the research was conducted in the absence of any commercial or financial relationships that could be construed as a potential conflict of interest.

Generative AI statement

The author(s) declare that Generative AI was used in the creation of this manuscript. Checked the grammatical errors.

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