



The Discrepancy Between Simulation and Observation of Electric Fields in Collisionless Shocks

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Recent time series observations of electric fields within collisionless shocks have shown that the fluctuating, electrostatic fields can be in excess of one hundred times that of the quasi-static electric fields. That is, the largest amplitude electric fields occur at high frequencies, not low. In contrast, many if not most kinetic simulations show the opposite, where the quasi-static electric fields dominate, unless they are specifically tailored to examine small-scale instabilities. Further, the shock ramp thickness is often observed to fall between the electron and ion scales while many simulations tend to produce ramp thicknesses at least at or above ion scales. This raises numerous questions about the role of small-scale instabilities and about the ability to directly compare simulations with observations.

Keywords: PIC simulation, electric field measurement, kinetic instabilities, collisionless shock, energy dissipation

1 INTRODUCTION

Collisionless shock waves are an ubiquitous phenomenon in heliospheric and astrophysical plasmas. They most often manifest as a nonlinearly steepened fast magnetosonic-whistler wave that has reached a stable balance between steepening and some form of irreversible energy dissipation. If a balance is reached, a stationary shock ramp is formed. The shock ramp is the part of shock transition region between upstream and downstream with an abrupt, discontinuity-like change in number density (n_s where s is the particle species), pressure¹, quasi-static² magnetic field magnitude vector (\mathbf{B}_o), and bulk flow velocity (\mathbf{V}_{bulk}). The thickness of this ramp is thought to depend upon macroscopic shock parameters like the fast mode Mach number (M_f), shock normal angle, θ_{Bn} (e.g., quasi-perpendicular shocks satisfy $\theta_{Bn} \geq 45^\circ$), and upstream averaged plasma beta (Sagdeev, 1966; Coroniti, 1970; Tidman & Krall, 1971; Galeev, 1976; Kennel et al., 1985).

The term collisionless derives from the fact that the shock ramp thickness ranges from several electron inertial lengths³ to an ion inertial length with the majority below $\sim 35 \lambda_e$ (Hobara et al., 2010; Mazelle et al., 2010). In contrast, the collisional mean free path of a thermal proton can be on the order of 1 AU or $\geq 10^7 \lambda_e$ (Wilson et al., 2018; Wilson et al., 2019a). Thus, fast mode shocks in astrophysical plasmas cannot be regulated by Coulomb collisions (with the exception of, perhaps,

¹ $P_s = n_s k_B T_s$, where T_s is the temperature of species s .

²Note we use the term quasi-static instead of background here since electromagnetic fluctuations near shocks in the solar wind can have amplitudes larger than the surrounding mean. That is, quasi-static refers to the lowest frequency response of an instrument, for practical purposes, but one can think of it as the effective background field.

³ $\lambda_s = \frac{c}{\omega_{ps}}$ where s is the particle species.

TABLE 1 | Common Electrostatic Waves at/near Collisionless Shocks.

Wave Name	Polarization or waveform	Frequency ^a and/or Appearance	Scale Length ^b	Free energy source or wave source
LHW	linear ⊥ to \mathbf{B}_0 or oblique to \mathbf{B}_0	$f_{sc} \sim 5\text{--}40$ Hz $f_{sc} \leq f_{lh}$ symmetric modulated sine waves ^p	$k \lambda_e \leq 1$	currents ^q , density gradients ^l , Electron heat flux ^r , or MTSI ⁹
IAW	linear to \mathbf{B}_0	$f_{sc} \sim 10^2\text{--}10^4$ Hz $f_{rest} \leq f_{di}$ symmetric ^m modulated sine waves	$\lambda \geq 2\pi\lambda_{De}$	currents ^d , gyrating/reflected ions ^c , or electron heat flux ^k
ECDI	elliptical or "Tear-drop"- shaped oblique to \mathbf{B}_0	$f_{sc} \sim 10^2\text{--}10^4$ Hz $f_{rest} \sim \text{mix}^e$ asymmetric ⁿ modulated sine waves	$k \lambda_e \leq 1$ and $k \lambda_{De} \leq 1$	relative drift between incident electrons and reflected ions ^d
ESW	bipolar pulse to \mathbf{B}_0 else unipolar	$f_{sc}^{-1} \sim \text{few } 10 \text{ s of ms}$ isolated or trains of pulses	$\lambda \geq \lambda_{De}$	electron beams ^d or nonlinear wave decay ^d
LW ^w	linear to \mathbf{B}_0 or elliptical ⊥ to \mathbf{B}_0	$f_{sc} \sim 10\text{--}60$ kHz symmetric modulated sine waves	$k \lambda_e \leq 1^u$	electron beams ^k and/or nonlinear wave decay ^v

^a f_{sc} = spacecraft frame frequency; ^bwavelength or normalized wave number; ^d[e.g., Wilson et al., 2014a, and references therein]; ^e[e.g., Akimoto et al., 1985b]; ^f[e.g., Dum et al., 1980]; ^wLangmuir wave; ^x[e.g., Pulupa et al., 2010]; ^y[e.g., Kellogg et al., 2013]; ^mrelative to oscillations about mean/average; ⁿ[e.g., Lemons and Gary, 1978]; ^l[e.g., Cairns and McMillan, 2005]; ^o[e.g., Marsch and Chang, 1983]; ^p[e.g., Walker et al., 2008]; ⁹modified two-stream instability [e.g., Umeda et al., 2012a]; ^rmixture of IAWs and $n f_{ce}$ and/or $(n + 1/2) f_{ce}$ harmonics; ^u[e.g., Krasnoselskikh et al., 2011].

stellar photospheres and/or chromospheres or interstellar medium) like shock waves in dense neutral fluids similar to Earth's atmosphere. The proposed phenomenon thought to act as dissipation mechanisms are dispersive radiation (Galeev and Karpman, 1963; Stringer, 1963; Morton, 1964; Sagdeev, 1966; Tidman and Northrop, 1968; Tidman and Northrop, 1968; Decker and Robson, 1972; Krasnoselskikh et al., 2002), macroscopic quasi-static field effects (Scudder et al., 1986a; Scudder et al., 1986b; Scudder et al., 1986c; Schwartz et al., 1988; Hull and Scudder, 2000; Mitchell and Schwartz, 2013; Mitchell and Schwartz, 2014), particle reflection (Edmiston and Kennel, 1984; Kennel et al., 1985; Kennel, 1987), and wave-particle interactions (Sagdeev, 1966; Coroniti, 1970; Gary, 1981; Papadopoulos, 1985).

The topic of interest for this study is electric fields in observations and simulations, so we will limit the discussion to wave-particle interactions and macroscopic quasi-static field effects. Further, given that the primary discrepancy between simulations and observations lies in the lack of large amplitude, high frequency electrostatic waves in the former, we will limit the discussion to high frequency electrostatic waves. Note that some PIC simulations do generate the electrostatic waves of interest but the simulations are often tailored to generate the modes (e.g., isolated simulation mimicking shock foot region) by artificially injecting known free energy sources (e.g., initialize with two counter-streaming beams). Therefore, all of the modes listed in the following discussion have been generated in PIC simulations (Dyrud and Oppenheim, 2006; Matsukiyo and Scholer, 2006; Matsukiyo and Scholer, 2012; Muschietti and Lembège, 2017; Saito et al., 2017). However, as will be shown, parameters like the

wavelengths and amplitudes tend to differ from those in observations, sometimes significantly.

Recent work using time series electric field data has shown that the common electrostatic wave modes near collisionless shocks include lower hybrid waves (LHWs), ion acoustic waves (IAWs), electrostatic solitary waves (ESWs), waves radiated by the electron cyclotron drift instability (ECDI), and Langmuir waves (Filbert and Kellogg, 1979; Mellott and Greenstadt, 1988; Kellogg, 2003; Wilson et al., 2007; Pulupa and Bale, 2008; Walker et al., 2008; Wilson et al., 2010; Breneman et al., 2013; Wilson et al., 2014a; Wilson et al., 2014b; Chen et al., 2018; Goodrich et al., 2018; Goodrich et al., 2019). The properties of these modes are summarized in **Table 1** and discussed in detail below.

Electrostatic LHWs have been theorized to play a critical role in collisionless shock dynamics for decades (Papadopoulos, 1985; Tidman and Krall, 1971; Wu et al., 1984) but observations of their electrostatic form have been limited (Mellott and Greenstadt, 1988; Walker et al., 2008; Wygant et al., 1987). They are present in spacecraft observations at frequencies, in the spacecraft frame, near the local lower hybrid resonance frequency⁴. They are linearly polarized nearly perpendicular to \mathbf{B}_0 with $k \lambda_e \leq 1$. They are thought to be driven unstable by the free energy in currents (Lemons and Gary, 1978), ion velocity rings (Akimoto et al., 1985a), modified two stream instability (MTSI)⁵, (Gladd,

⁴ $f_{lh} = \sqrt{f_{ce} f_{cp}}$, where f_{cs} is the cyclotron frequency of species s ($= \frac{q_s B_0}{m_s}$ where q_s is the total charge, and m_s is the mass of species s).

⁵There are two modes radiated by the MTSI at collisionless shocks, both of which are very obliquely propagating and have real frequencies near or below f_{lh} . The two free energy sources for the MTSIs are between incident electrons and reflected ions and incident electrons and incident ions.

1976; Lemons and Gary, 1977; Wu et al., 1983; Wu et al., 1984), electron beams (Papadopoulos and Palmadesso, 1976), and/or heat flux carrying electrons (Marsch and Chang, 1983). These modes are important for collisionless shock dynamics because they can stochastically accelerate both thermal electrons (parallel to \mathbf{B}_0) and ions (perpendicular to \mathbf{B}_0) to suprathermal energies (Wu et al., 1984; Cairns and McMillan, 2005).

Electrostatic IAWs have been observed in the solar wind and near collisionless shocks for over 40 years (Fredricks et al., 1968; Fredricks et al., 1970a; Gurnett and Anderson, 1977; Gurnett et al., 1979; Kurth et al., 1979). They present in spacecraft observations at frequencies, in the spacecraft frame, above the proton plasma frequency⁶ (due to the Doppler effect), typically in the ~1–10 kHz range in the solar wind near 1 AU. They are observed as linearly polarized (mostly parallel to \mathbf{B}_0 but sometimes at small oblique angles), modulated sine waves with bursty wave envelopes lasting 10 s of ms (Wilson et al., 2007; Wilson et al., 2010; Wilson et al., 2014a; Wilson et al., 2014b). They have been shown to have wavelengths on the order of a few to several Debye lengths⁷, or 10–100 s of meters near 1 AU (Fuselier and Gurnett, 1984; Breneman et al., 2013; Goodrich et al., 2018; Goodrich et al., 2019). They are thought to be driven unstable by the free energy in currents (Biskamp et al., 1972; Lemons and Gary, 1978), temperature gradients (Allan and Sanderson, 1974), electron heat flux (Dum et al., 1980; Henchen et al., 2019), or ion/ion streaming instabilities (Auer et al., 1971; Akimoto and Winske, 1985; Akimoto et al., 1985b; Goodrich et al., 2019) or they can result from a nonlinear wave-wave process (Cairns and Robinson, 1992; Dyrud and Oppenheim, 2006; Kellogg et al., 2013; Saito et al., 2017). These modes are important for collisionless shock dynamics because they can stochastically accelerate thermal electrons (parallel to \mathbf{B}_0) generating self-similar velocity distribution functions (VDFs) or the so called “flattop” distributions (Vedenov, 1963; Sagdeev, 1966; Dum et al., 1974; Dum, 1975; Dyrud and Oppenheim, 2006). They are also capable of stochastically accelerating the high energy tail of the ion VDF (parallel to \mathbf{B}_0) (Dum et al., 1974). Note that the generation of the flattop has recently been interpreted as evidence of inelastic collisions (Wilson et al., 2019a; Wilson et al., 2019b; Wilson et al., 2020).

ESWs present in spacecraft observations as short duration (few ms), bipolar electric field pulses parallel to \mathbf{B}_0 and monopolar perpendicular (Behlke et al., 2004; Wilson et al., 2007; Wilson et al., 2010; Wilson et al., 2014b). They tend to be on Debye scales and are thought to be BGK phase space holes (Ergun et al., 1998; Cattell et al., 2005; Franz et al., 2005; Vasko et al., 2018). ESWs can be driven unstable by electron beams (Ergun et al., 1998; Cattell et al., 2005; Franz et al., 2005), ion beams (Vasko et al., 2018), modified two-stream instability (MTSI) (Matsukiyo and Scholer, 2006), or the

produce of high frequency wave decay (Singh et al., 2000). Until recently, it was thought all ESWs outside the auroral acceleration region were electron holes. However, work by (Vasko et al., 2018) and (Wang et al., 2020) suggest that many of the ESWs in the terrestrial bow shock are not only ion holes, they do not propagate exactly along \mathbf{B}_0 as was previously thought. ESWs are important in collisionless shock dynamics because they can trap incident electrons (Dyrud and Oppenheim, 2006; Lu et al., 2008) or ions (Vasko et al., 2018; Wang et al., 2020), depending on the type of hole. They have also been shown to dramatically heat ions (Ergun et al., 1998), and/or couple to (or directly cause) the growth of IAWs (Dyrud and Oppenheim, 2006), whistler mode waves (Singh et al., 2001; Lu et al., 2008; Goldman et al., 2014), LHWs (Singh et al., 2000).

The ECDI is driven by the free energy in the relative drift between the incident electrons and shock-reflected ions (Forslund et al., 1970; Forslund et al., 1971; Lampe et al., 1972; Matsukiyo and Scholer, 2006; Muschietti and Lembège, 2013). They also range from Debye to electron thermal gyroradius scales (Breneman et al., 2013) and present in spacecraft observations as mixtures of Doppler-shifted IAWs and electron Bernstein modes. The polarization of these modes can be confusing, presenting as shaped like a tadpole or tear drop, with one part of the “tadpole” nearly parallel to \mathbf{B}_0 (i.e., IAW part) and the other nearly orthogonal (i.e., the Bernstein mode part) (Wilson et al., 2010; Breneman et al., 2013; Wilson et al., 2014b; Goodrich et al., 2018). This results from the coupling between two modes that are normally orthogonal to each other in their electric field oscillations. ECDI-driven modes are important for collisionless shocks because they can resonantly interact with the bulk of the ion VDF, generate a suprathermal tail on the ion VDF, and strongly heat the electrons perpendicular to \mathbf{B}_0 (Forslund et al., 1970; Forslund et al., 1972; Lampe et al., 1972; Muschietti and Lembège, 2013).

Langmuir waves have been observed upstream of collisionless shocks for decades (Gurnett and Anderson, 1977; Filbert and Kellogg, 1979; Kellogg et al., 1992; Cairns, 1994; Bale et al., 1998; Bale et al., 1999; Malaspina et al., 2009; Soucek et al., 2009; Krasnoselskikh et al., 2011). These waves have $k\lambda_e \lesssim 1$ (Soucek et al., 2009; Krasnoselskikh et al., 2011) and rest frame frequencies satisfying $f_{rest} \lesssim f_{pe}$. Langmuir waves are driven unstable by electron beams and/or nonlinear wave decay (Pulupa et al., 2010; Kellogg et al., 2013). They tend to be linearly polarized nearly parallel to \mathbf{B}_0 when electrostatic but some do exhibit circular polarization when electromagnetic (Bale et al., 1998; Malaspina and Ergun, 2008). Langmuir waves are relevant to collisionless shock dynamics in that they dissipate the free energy in reflected electron beams and can mode convert to generate free mode emissions that can serve as remote detection signatures (Cairns, 1994; Bale et al., 1999; Pulupa et al., 2010).

In summary, the most commonly observed electrostatic wave modes near collisionless shocks are IAWs, ESWs, ECDI-driven modes, and Langmuir waves. Electrostatic LHWs are less commonly observed, which may be due to instrumental effects

⁶ $2\pi f_{ps} = \sqrt{\frac{n_s q_s^2}{\epsilon_0 m_s}}$, where s is the particle species.

⁷ $\lambda_{De} = \sqrt{\frac{\epsilon_0 k_B T_e}{n_e e^2}}$ where n_e is the electron number density.

as many electric field instruments (Bonnell et al., 2008; Bougeret et al., 2008; Cully et al., 2008) have been designed with gain roll-offs at $\sim 1\text{--}10$ Hz (low- or high-pass filters), which happens to be the typical value of f_{th} in the solar wind near 1 AU. It may also be that electrostatic LHWs are just less commonly generated or damp very quickly in collisionless shocks. Langmuir waves tend to occur upstream of the shock in regions filled with shock reflected electron beams (Cairns, 1994; Bale et al., 1999; Wilson et al., 2007; Pulupa et al., 2010). Although they can be common in the upstream, they tend to be much less so in the ramp and immediate downstream region. Therefore, the remaining discussion will focus on the most commonly observed Debye-scale, electrostatic modes: IAWs, ESWs, and ECDI-driven modes. These three modes are observed in and around both quasi-parallel and quasi-perpendicular shocks. The only macroscopic shock parameters on which they appear to depend are the shock density compression ratio and M_f [e.g., Wilson et al., 2007; Wilson et al., 2014a; Wilson et al., 2014b]. The ECDI-driven modes tend not to be observed for $M_f \lesssim 3$, since they require sufficient reflected ions to initiate the instability. Part of the reason for the lack of dependence on shock geometry is that the fluctuations in the foreshock upstream of a quasi-parallel shock, for instance, locally rotate the magnetic field to quasi-perpendicular geometries and some can even locally reflect/energize particles [e.g., Wilson et al., 2013; Wilson et al., 2016].

2 HISTORICAL CONTEXT

2.1 Spacecraft Observations

Early spacecraft electric field observers had very limited resources, compared to modern day, in memory, computational power, and spacecraft telemetry. As such, the common practice was to perform onboard computations to generate Fourier spectra for predefined frequency ranges (Fredricks et al., 1968; Fredricks et al., 1970a; Fredricks et al., 1970b; Rodriguez and Gurnett, 1975). These Fourier spectra are spectral intensity data averaged over fixed time and frequency intervals, which has been more recently shown to significantly underestimate the instantaneous wave amplitude (Tsurutani et al., 2009). The underestimation led to some confusion in multiple areas of research because the estimated wave amplitudes from the spectra were too small to noticeably impact the dynamics of the system in question.

For instance, for decades the radiation belt community had relied upon such dynamic spectra and came to conclusion that the whistler mode waves (e.g., chorus and hiss) were typically in the ≤ 1 mV/m amplitude range. The advent of time series electric field data led to the discovery that some of these modes could have amplitudes in excess of ~ 30 mV/m (Santolík et al., 2003). Later the STEREO spacecraft were launched and the electric field instruments were one of the first to be turned on. This led to the discovery of extremely large amplitude whistler mode waves with ≥ 200 mV/m (Cattell et al., 2008). The discovery provoked an investigation of *Wind* observations as it passed through the radiation belt some 60+ times early in its lifetime. The result

was a series of papers using *Wind* and STEREO that all showed consistent observations of large amplitude whistler mode waves with ≥ 100 mV/m (Kellogg et al., 2010; Breneman et al., 2011; Kellogg et al., 2011; Kersten et al., 2011; Wilson et al., 2011; Breneman et al., 2012). These results altered the design and scientific direction of NASA's *Van Allen Probes* mission.

Similar issues arose in observations of collisionless shock waves. The early work using dynamic spectra data found electrostatic waves with spacecraft frame frequencies, f_{sc} , greater than a few hundred hertz to have amplitudes of, at most, a few 10s of mV/m but typically smaller in the few mV/m range (Fredricks et al., 1970b; Rodriguez and Gurnett, 1975). Numerous theoretical studies had suggested that small-scale, high frequency waves were an important dissipation mechanism to regulate the nonlinear steepening of collisionless shock waves (Sagdeev, 1966; Tidman and Krall, 1971; Papadopoulos, 1985). However, such small amplitude electric field observations raised doubts about the ability of the high frequency modes to supply sufficient dissipation to maintain a stable shock.

The first published example (of which the authors are aware) of a time series electric field component observed by a spacecraft within a collisionless shock was presented in Wygant et al. (1987) observed by the ISEE-1 probe. The observation was one of the first pieces of evidence that the dynamic spectra plots were not fully capturing the electric field dynamics because the data showed electric fields up to nearly ~ 100 mV/m. Later work using the *Wind* spacecraft found ESWs in the terrestrial bow shock with amplitudes in excess of ~ 100 mV/m (Bale et al., 1998; Bale et al., 2002). A few bow shock crossings were observed with the *Polar* spacecraft, which found nonlinear, electrostatic IAWs within the shock with amplitudes up to ~ 80 mV/m (Hull et al., 2006). The picture starting to emerge was that high frequency, electrostatic waves were common and large amplitude in collisionless shocks. Note that the occurrence rate of electrostatic waves was already implied by studies using dynamic spectra data, but not such large amplitude.

Wilson et al. (2007) examined waveform capture data of electrostatic waves above the proton cyclotron frequency, f_{pp} , from the *Wind* spacecraft finding a positive correlation between peak wave amplitude and shock strength, i.e., stronger shocks had larger amplitude waves. They also observed that ion acoustic waves were the dominant electrostatic mode within the shock ramp. Shortly after, a study (Wilson et al., 2010) of a supercritical shock showed evidence of waves radiated by the ECDI. Since then, a series of papers using MMS (Chen et al., 2018; Goodrich et al., 2018; Goodrich et al., 2019), STEREO (Breneman et al., 2013), THEMIS (Wilson et al., 2014a; Wilson et al., 2014b), and *Wind* (Breneman et al., 2013) have examined these electrostatic waves in collisionless shocks.

While the discussion has almost exclusively focused on fluctuating electric fields, δE , it is critical to discuss quasi-static electric fields, E_o , as well. The primary obstacle to accurate E_o measurements results from the lack of a stable ground in spacecraft observations (Scime et al., 1994a; Scime et al., 1994b; Scudder et al., 2000; Pulupa et al., 2014; Lavraud and Larson, 2016) and the sheath that forms around the conducting surfaces (Ergun et al., 2010), which alters how the instrument

couples to the plasma. It is beyond the scope of this study to discuss, in detail, the difficulties associated with such measurements, but some context can be gained by reviewing some recent electric field instrument papers (Wygant et al., 2013; Bale et al., 2016; Ergun et al., 2016; Lindqvist et al., 2016). In lieu of a proper E_o measurement in the plasma rest frame, we can estimate the convective electric field, $E_c = -\mathbf{V}_{sw} \times \mathbf{B}_o$ (where \mathbf{V}_{sw} is the bulk flow solar wind velocity in the spacecraft frame)⁸. Since the parameters in most simulations are scaled or normalized, we will use the dimensionless ratio $\delta E/E_o$ when comparing spacecraft and simulation results. Unless otherwise specified, $E_o = E_c$ in these contexts.

Prior to the launch of MMS, there were several studies that attempted to measure the cross shock electric field but each suffered from inaccuracies or under resolved electric field measurements which kept the issue of its magnitude in doubt (Dimmock et al., 2011; Dimmock et al., 2012; Wilson et al., 2014a; Wilson et al., 2014b). The launch of MMS allowed researchers, for the first time, to probe E_o with sufficient cadence and accuracy to properly measure the cross shock electric field in an interplanetary shock (Cohen et al., 2019). Note that the E_o measured in this study peaked at ≤ 1.5 mV/m, i.e., comparable to or smaller than the magnitude of E_c (which was ≤ 4 mV/m in this study). Therefore, we will assume E_o as being comparable to E_c in magnitude throughout and will just refer to E_o instead of both. Even so, there is some discrepancy because such a measurement is extremely difficult at the terrestrial bow shock and detailed MMS observations showed that the electron dynamics seemed to be dominated by a combination of magnetosonic-whistler modes and electrostatic IAWs and ECDI waves (Chen et al., 2018).

The current picture from observations is summarized in the following. In the studies where the quasi-static electric field could be reliably measured (Cohen et al., 2019) or approximated from measurements (Wilson et al., 2014a; Wilson et al., 2014b; Goodrich et al., 2018; Goodrich et al., 2019), the findings were that δE is consistently much larger than E_o , i.e., $\delta E \gg E_o$. Some of these works attempted to quantify the impact on the dynamics of the system due to δE vs. E_o , finding δE dominated (Wilson et al., 2014a; Wilson et al., 2014b; Chen et al., 2018; Goodrich et al., 2018). Chen et al. (Chen et al., 2018) examined in great detail the evolution of the electron distribution through the shock finding that a magnetosonic-whistler wave accelerated the bulk of the incident distribution which rapidly became unstable to high frequency, electrostatic IAWs that scattered the electrons into the often observed flattop distribution [Wilson et al., 2019b; Wilson et al., 2019a; Wilson et al., 2020, and references therein]. This seems to somewhat contradict the results of Cohen et al. (2019) and others who argued that a quasi-static cross shock potential is dominating the shock and particle dynamics. What all of these studies do agree upon is that $\delta E \gg E_o$. Note that the purpose of comparing the fluctuating to the quasi-static field here is to help compare with simulations,

which normalize the electric fields by the upstream E_c value or something similar.

Figure 1 shows seven waveform captures observed by the *Wind* spacecraft's WAVES instrument (Bougeret et al., 1995) while passing through the quasi-perpendicular terrestrial bow shock. The first column shows the x-antenna electric field (δE_x), the second the y-antenna electric field (δE_y), and the third hodograms of δE_y vs. δE_x . The local \mathbf{B}_o is projected onto each hodogram shown as a magenta line⁹. Each row shows a different waveform capture/snapshot that is ~ 17 ms in duration. The first column contains a double-ended arrow in each panel illustrating the scale associated with 200 mV/m. The first two rows show examples of ESWs mixed with ECDI-driven waves, the third and fourth rows show ECDI-driven waves, and the fifth through seventh rows show IAWs. The distinguishing features are as follows: the ESWs have an isolated, bipolar pulse with either a linear or figure eight-like polarization and a nearly flat frequency (spacecraft frame) spectrum response in the ~ 0.2 – 10 kHz range (not shown); the IAWs exhibit symmetric δE_x and δE_y about zero, are linearly polarized along \mathbf{B}_o , and have a broad frequency peak (spacecraft frame) in the ~ 2 – 10 kHz range (not shown); and the ECDI exhibit asymmetric δE_x and δE_y fluctuations about zero, their polarization is not always linear along \mathbf{B}_o , and the frequency peak (spacecraft frame) is in the ~ 0.5 – 10 kHz range with superposed cyclotron harmonics (not shown). For reference, the upstream average convective for this bow shock crossing is $E_c \sim 3.5$ mV/m.

2.2 Kinetic Simulations

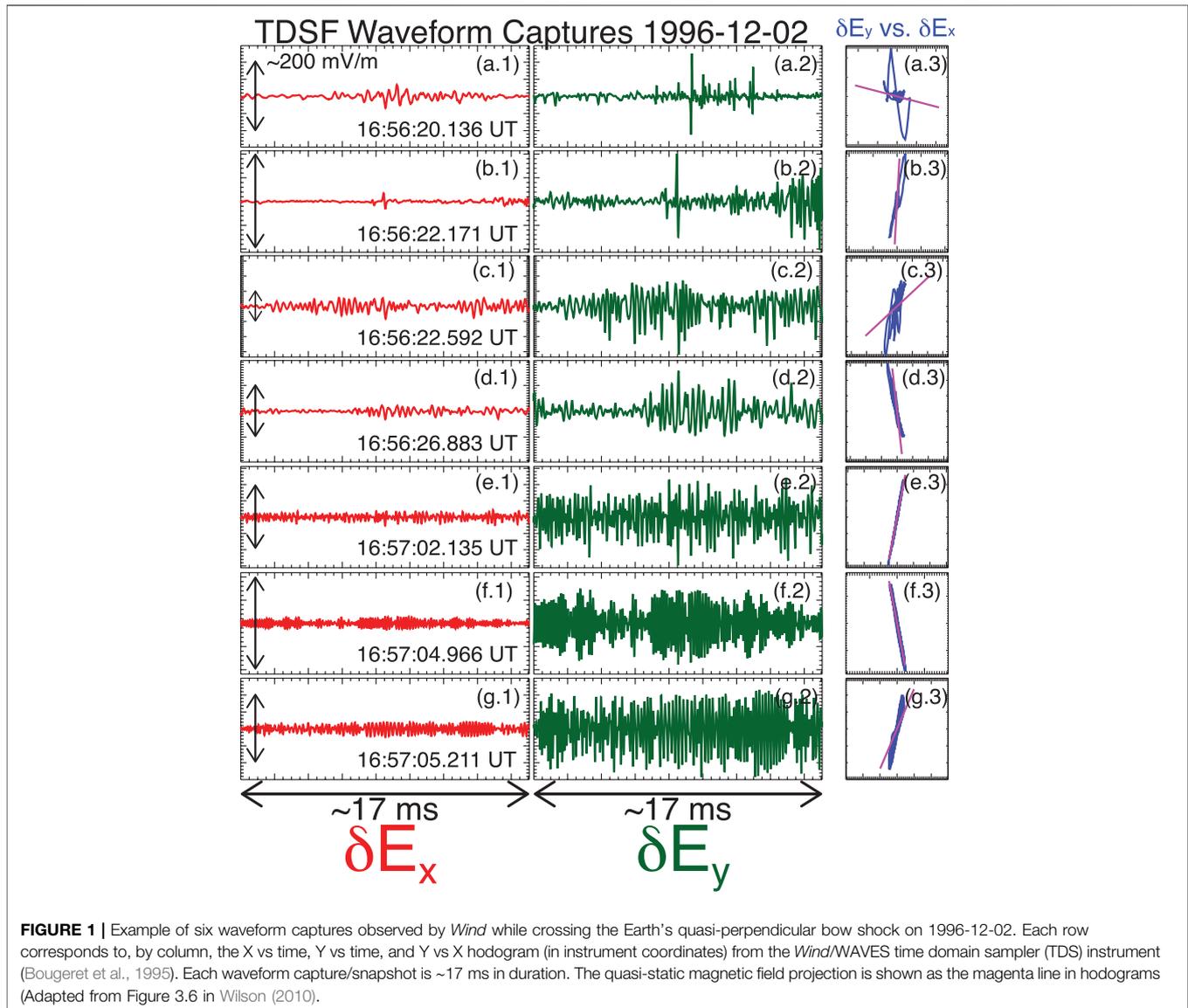
Kinetic simulations of shocks are challenging due to the need to resolve global structure of the shock (generally associated with λ_i ¹⁰) and the relatively long time scales associated with it simultaneously with short spatial (λ_{De}) and fast temporal scales (f_{pe}) associated with instabilities.

Early kinetic particle-in-cell (PIC) simulations were much more limited by computational constraints than those performed today. A common approach to scaling the problem in order to reduce the computational load is to consider one- or two-dimensional problems and to reduce ratios of the ion-to-electron mass, $\frac{M_i}{m_e}$, and electron plasma-to-cyclotron frequency, $\frac{\omega_{pe}}{\Omega_{ce}}$, while keeping the plasma β and the size of the problem in units of λ_i comparable to the physical system of interest. Further computational trade-offs include altering the simulation resolution (i.e., number of grid cells), the number of particles per cell for particle codes or velocity-space resolution for continuum Vlasov codes (Yang et al., 2013). Since the frequencies and the growth rates of the instabilities of interest are associated with certain characteristic time scales, such a re-scaling may significantly alter the development and the role of instabilities in the simulations. For example, reducing $\frac{M_i}{m_e}$ lowers the threshold for Buneman instability (Hoshino and Shimada,

⁸ E_c has typical values satisfying ~ 0.1 – 3 mV/m in the solar wind near Earth. In contrast, the waves shown in **Figure 1** have $\delta E \geq 100$ – 300 mV/m, thus $\delta E/E_o > 50$.

⁹Note that the data is taken in the ecliptic plane to within $\sim 1^\circ$ and the fraction of the local \mathbf{B}_o in this plane exceeds 89% for all events except the first two rows.

¹⁰The size of the problem may significantly exceed λ_i , for example when upstream turbulence in quasi-parallel shocks must be included.



2002) by reducing the difference between electron and ion thermal speeds. Values of $\frac{\omega_{pe}}{\Omega_{ce}}$ were also expected and found to inhibit the growth of certain wave modes like Bernstein modes (Matsukiyo and Scholer, 2006; Muschiatti and Lembège, 2013; Muschiatti and Lembège, 2017). What's more, the $\frac{M_i}{m_e}$ ratio was shown to dramatically affect the macroscopic profile of the shock magnetic field (Scholer and Matsukiyo, 2004) and affect the growth of what are now viewed as critical instabilities like the MTSI (Umeda et al., 2012a; Umeda et al., 2012b; Umeda et al., 2014). Thus the re-scaling approach must be carefully chosen based upon its expected impact on the phenomena of interest.

Some of the first two-dimensional PIC simulations using realistic $\frac{M_i}{m_e}$ was presented by (Matsukiyo and Scholer, 2006). Since then, the community has made efforts to compromise somewhat on $\frac{M_i}{m_e}$ in order to increase $\frac{\omega_{pe}}{\Omega_{ce}}$, to more realistic values (i.e., 50–100 in solar wind near 1 AU) (Muschiatti and Lembège, 2013) used ratios of $\frac{M_i}{m_e} = 400$ and $\frac{\omega_{pe}}{\Omega_{ce}} = 10$ to examine the

higher harmonics of Bernstein modes associated with the ECDI. More typical values for the latter fall in the ~ 2 – 4 range for recent simulations (Umeda et al., 2014; Matsukiyo and Matsumoto, 2015; Zeković, 2019). However, much larger values have been used in cases where one can reduce the simulation to one spatial dimension (Umeda et al., 2019).

Despite all of the progress made since the early full PIC simulations, there still remains two striking discrepancies between observations and many simulations: the amplitude and wavelength at which the strongest electric fields are observed and inconsistencies in the thickness of the shock ramp. The second issue is more obvious from cursory examinations of simulation results, so we will discuss it first. As previously discussed, observations consistently show that the shock ramp thickness, L_{sh} , tends to satisfy $5 < L_{sh}/\lambda_e < 40$ (Hobara et al., 2010; Mazelle et al., 2010). However, PIC simulations, even with realistic mass ratio, often generate

shock ramps with thicknesses satisfying $L_{sh}/\lambda_e > 43$, i.e., exceeding proton inertial length (Scholer and Burgess, 2006), while some generate more realistically thin ramps (Matsukiyo and Scholer, 2012; Yang et al., 2013). Yang et al. (Yang et al., 2013) concluded that the shock ramp thickness decreased with increasing $\frac{M_i}{m_e}$ but increased with increasing ion plasma beta. Note however that (Matsukiyo & Scholer, 2012) used ~20% finer grid resolution, twice as many particles per cell, and smaller plasma betas than (Scholer & Burgess, 2006). However, (Yang et al., 2013) used fewer particles per cell and smaller $\frac{\omega_{pe}}{\Omega_{ce}}$ than both (Matsukiyo and Scholer, 2012) and (Scholer and Burgess, 2006). It is important to note that it's still not clear what physical or numerical parameters controls the ramp thickness in simulations or observations or even what the relevant physical scale is (e.g. λ_e or λ_{De}).

Note that the thickness of the magnetic ramp of a collisionless shock is not significantly affected by the presence of corrugation/ripples (Johlander et al., 2016) other than the temporal dependence that can occur during reformation (Mazelle et al., 2010). The spatial extent of the entire transition region can indeed be increased by such oscillations but the magnetic gradient scale length does not appear to be significantly affected. The biggest limitation to determining the shock ramp thickness in data is time resolution. More recent spacecraft like THEMIS (Angelopoulos, 2008) and MMS (Burch et al., 2016) have, for instance, fluxgate magnetometers that return 3-vector components 128 times every second, which is more than sufficient to resolve the bow shock ramp. The bow shock moves slower in the spacecraft frame than interplanetary shocks, so time resolution is more of a constraint for examining the shock ramp thickness of interplanetary shocks. Even so, the 128 sps of the THEMIS and MMS fluxgate magnetometers is still sufficient for most interplanetary shocks.

As previously discussed, observations consistently show $\delta E/E_o > 50$ for fluctuations with wavelengths at or below a few 10s of Debye lengths (Wilson et al., 2014a; Wilson et al., 2014b; Chen et al., 2018; Goodrich et al., 2018), i.e., $\lambda \lesssim$ few 10s of λ_{De} . Most shock simulations find values satisfying $\delta E/E_o < 10$ and the scales at which the largest electric field fluctuations occur tend to satisfy $k\lambda_e < 1$ (Scholer and Matsukiyo, 2004; Matsukiyo and Scholer, 2006; Scholer and Burgess, 2007; Lembège et al., 2009; Umeda et al., 2012a; Umeda et al., 2014; Matsukiyo and Matsumoto, 2015). We note that explicit fully kinetic PIC simulations tend to have spatial grid resolution of a few λ_{De} , since such scales must be resolved for numerical stability. It has long been known that unrealistically small values of $\frac{M_i}{m_e}$ and $\frac{\omega_{pe}}{\Omega_{ce}}$ can lead to unrealistically large electric field amplitudes for modes with $k\lambda_e < 1$ (Hoshino and Shimada, 2002; Comişel et al., 2011; Zeković, 2019). Although the three main modes discussed herein have been successfully identified in PIC simulations, they were either unrealistically small in amplitude or at different spatial scales or not excited unless the simulation was specifically tailored for that instability.

It is worth noting the severe computational costs of using fully realistic plasma parameters. The separation of spatial scales satisfies $\lambda_i/\lambda_{De} = \sqrt{\frac{M_i}{m_e}} \beta_e^{-1/2} \left(\frac{\omega_{pe}}{\Omega_{ce}}\right)$ or $\lambda_e/\lambda_{De} = \left(\frac{\omega_{pe}}{\Omega_{ce}}\right) \left(\frac{\sqrt{2} V_{Ac}}{V_{Te}}\right) =$

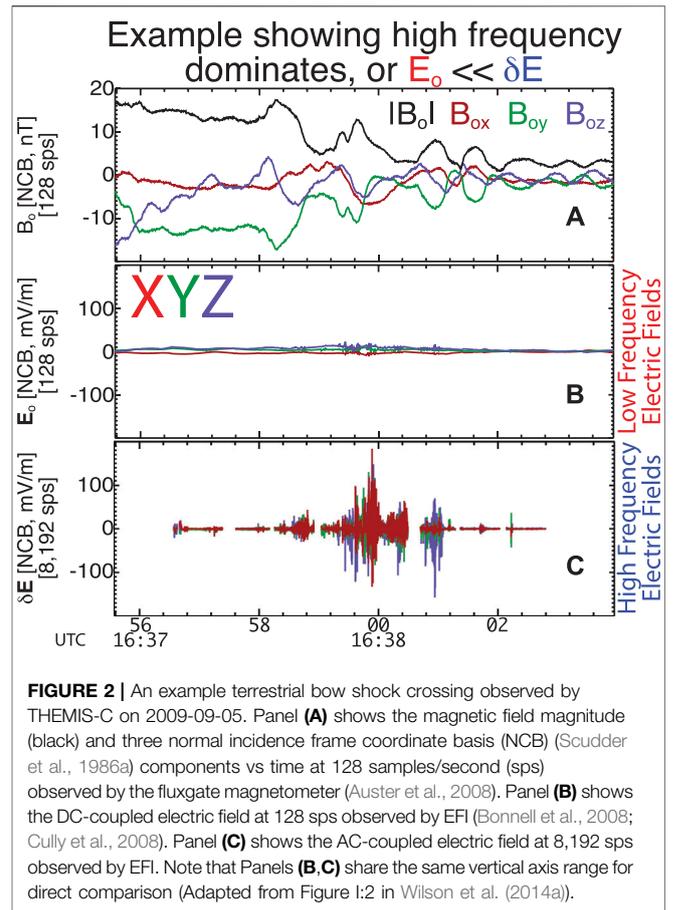


FIGURE 2 | An example terrestrial bow shock crossing observed by THEMIS-C on 2009-09-05. Panel (A) shows the magnetic field magnitude (black) and three normal incidence frame coordinate basis (NCB) (Scudder et al., 1986a) components vs time at 128 samples/second (sps) observed by the fluxgate magnetometer (Auster et al., 2008). Panel (B) shows the DC-coupled electric field at 128 sps observed by EFI (Bonnell et al., 2008; Cully et al., 2008). Panel (C) shows the AC-coupled electric field at 8,192 sps observed by EFI. Note that Panels (B,C) share the same vertical axis range for direct comparison (Adapted from Figure 1:2 in Wilson et al. (2014a)).

$\sqrt{\frac{2}{\beta_e}} \left(\frac{\omega_{pe}}{\Omega_{ce}}\right)$ or $\lambda_e/\lambda_{De} = \frac{V_{Te}}{\sqrt{2} c}$, where $V_{Ac} = \frac{B_o}{\sqrt{\mu_o n_e m_e}} = \lambda_e \Omega_{ce}$ and $\beta_e = \frac{2\mu_o n_e k_B T_e}{B_o^2} = \left(\frac{V_{Te}}{\Omega_{ce} \lambda_e}\right)^2$. If we use typical examples from 1 AU solar wind observations (see Section 3 for values) and let $\beta_e \sim 1$, then $\lambda_i/\lambda_{De} \sim 4,000\text{--}20,000$. The separation of temporal scales goes as $\left(\frac{\omega_{pi}}{\Omega_{ci}}\right) = \sqrt{\frac{M_i}{m_e}} \left(\frac{\omega_{pe}}{\Omega_{ce}}\right)$, which is again $\sim 4,000\text{--}20,000$ ¹¹. The computational cost of any given simulation scales as $\left(\frac{\omega_{pe}}{\Omega_{ce}}\right)^{d+1} \left(\frac{M_i}{m_e}\right)^{(d+1)/2}$, where d is the number of spatial dimensions used in the simulation. Thus, one can see that increasing $\left(\frac{\omega_{pe}}{\Omega_{ce}}\right)$ from ~10 to 100, even in a one-dimensional simulation, is at least 100 times more computationally expensive. It is also the case that simulations often use shock speeds satisfying $V_{Tp} < V_{Te} < U_{shn}$ while shocks in the solar wind tend to satisfy $V_{Tp} < U_{shn} \ll V_{Te} \ll c$ (see Section 3 for values and definitions). For explicit PIC codes, there are additional computational expenses since the time steps are tied to the grid cell size, which raises the order of both $\frac{\omega_{pe}}{\Omega_{ce}}$ and $\frac{M_i}{m_e}$ by one. Therefore, it can be seen that we are approaching a computational wall and it may require new classes of simulation codes to overcome these limitations if we hope to use fully realistic plasma parameters.

¹¹Note that ω_{pe}/Ω_{ci} is larger by an additional factor of $\sqrt{M_i/m_e}$.

3 EXAMPLE OBSERVATIONS VERSUS SIMULATIONS

In this section we will present two example observations made by the THEMIS (Angelopoulos, 2008) and MMS (Burch et al., 2016) missions to further illustrate the difference in magnitude between δE and E_o . We will also present PIC simulation results with parameters representative of a wide class of simulations discussed in the literature. The purpose is to illustrate some limitations of simulations to provoke advancement in closing the gap between observations and simulations of collisionless shocks.

Figure 2 shows a direct comparison between δE and E_o observed by THEMIS-C during a terrestrial bow shock crossing adapted from Wilson III et al. (2014a) and Wilson III et al. (2014b). The study examined the energy dissipation rates estimated from $(\mathbf{J} \cdot \mathbf{E})$ (i.e., from Poynting's theorem) due to the observed electric fields, \mathbf{E} , and estimated current densities¹², \mathbf{J} . They expanded $(\mathbf{j}_o + \delta\mathbf{j}) \cdot (\mathbf{E}_o + \delta\mathbf{E})$ and found that $(\mathbf{J}_o \cdot \delta\mathbf{E})$ was the dominant term¹³, i.e., the fluctuating fields acted to limit the low frequency currents in/around the shock. Two important things were found: the magnitude of $(\mathbf{j}_o \cdot \delta\mathbf{E})$ and changes in this term were much larger than $(\mathbf{j}_o \cdot \mathbf{E}_o)$; the signs of the changes in $(\mathbf{j}_o \cdot \delta\mathbf{E})$ and $(\mathbf{j}_o \cdot \mathbf{E}_o)$ are opposite. The second point was interpreted to imply that the fluctuating fields were giving energy to the particles and the quasi-static fields were gaining energy from the particles. In short, the main conclusion from (Wilson III et al., 2014a; Wilson III et al., 2014b) was to illustrate that not only are the fluctuating electric fields large, they could potentially contribute enough energy transfer to compete with quasi-static fields. Prior to this study, the view by many in the community was that these fluctuating fields were completely negligible or just a minor, secondary effect. More recent, independent studies have performed similar analyses using different spacecraft and came to similar conclusions (Chen et al., 2018; Goodrich et al., 2018; Hull et al., 2020).

Figure 3 provides another example directly comparing δE and E_o observed by two MMS spacecraft during a terrestrial bow shock crossing. The electric fields are shown in the De-spun, Sun-pointing, L-vector system or DSL (Angelopoulos, 2008). For each spacecraft, $E_{o,j} \lesssim 10$ mV/m was satisfied for the entire interval with most time steps satisfying $E_{o,j} \lesssim 5$ mV/m. In contrast, the peak-to-peak δE_j values commonly exceed 100 mV/m in bursty, short duration, wave packet intervals. Note that even the electric field instrument on MMS has limitations in its accuracy for frequencies below ~ 1 Hz (Ergun et al., 2016; Lindqvist et al., 2016). Thus, even with the significantly improved instrument technology and design of MMS, the observations consistently show $\delta E \gg E_o$.

As a practical list of reference values, we present one-variable statistics of solar wind parameters from the same data set as in Wilson et al. (2018) and all interplanetary (IP) shocks in the Harvard

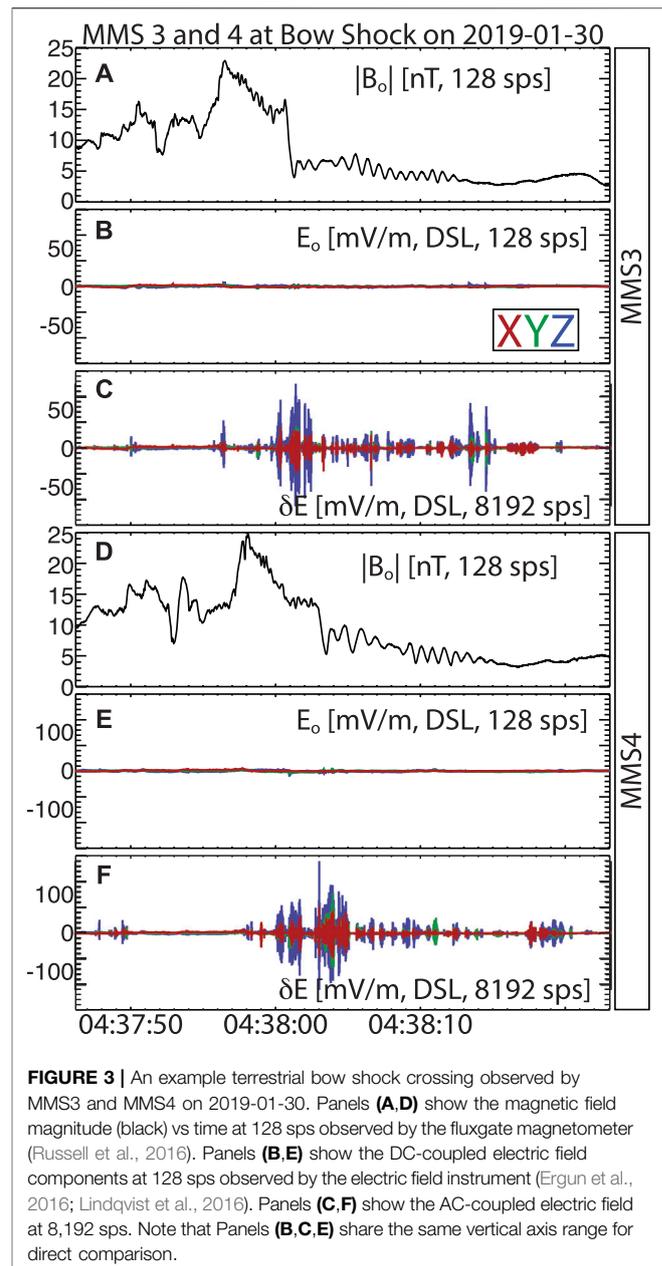


FIGURE 3 | An example terrestrial bow shock crossing observed by MMS3 and MMS4 on 2019-01-30. Panels (A,D) show the magnetic field magnitude (black) vs time at 128 sps observed by the fluxgate magnetometer (Russell et al., 2016). Panels (B,E) show the DC-coupled electric field components at 128 sps observed by the electric field instrument (Ergun et al., 2016; Lindqvist et al., 2016). Panels (C,F) show the AC-coupled electric field at 8,192 sps. Note that Panels (B,C,E) share the same vertical axis range for direct comparison.

Smithsonian's Center for Astrophysics *Wind* shock database¹⁴. The following will show parameters as $X_{5\%} \lesssim X \lesssim X_{95\%}, \bar{X}$ [units], for the entire data set, where $X_{y\%}$ is the y^{th} percentile and \bar{X} is the median. First, the typical parameters for over 400 IP shocks are as follows:

$$\begin{aligned} 1.10 &\lesssim M_f \lesssim 4.60, \sim 1.91 \text{ [N/A]}; \\ 1.15 &\lesssim M_A \lesssim 6.24, \sim 2.41 \text{ [N/A]}; \\ 36.6 &\lesssim U_{shn} \lesssim 329.9, \sim 98.2 \text{ [km/s]}; \\ 79.6 &\lesssim V_{shn} \lesssim 762.3, \sim 418.5 \text{ [km/s]}; \\ 22.2 &\lesssim \theta_{Bn} \lesssim 87.7, \sim 63.8 \text{ [deg]}; \end{aligned}$$

¹²Note that similar current densities have been found using multi-spacecraft techniques (Hull et al., 2020) supporting the results in Wilson III et al. (2014a) and Wilson III et al. (2014b).

¹³Note that Q^o in this context is not the quasi-static terms in quasi-linear or linear theory but that from the DC-coupled measurements. Further, δQ is the fluctuating terms from these theories but the AC-coupled measurements, thus there is no a priori requirement that $\langle \delta Q \rangle = 0$.

¹⁴https://www.cfa.harvard.edu/shocks/wi_data/.

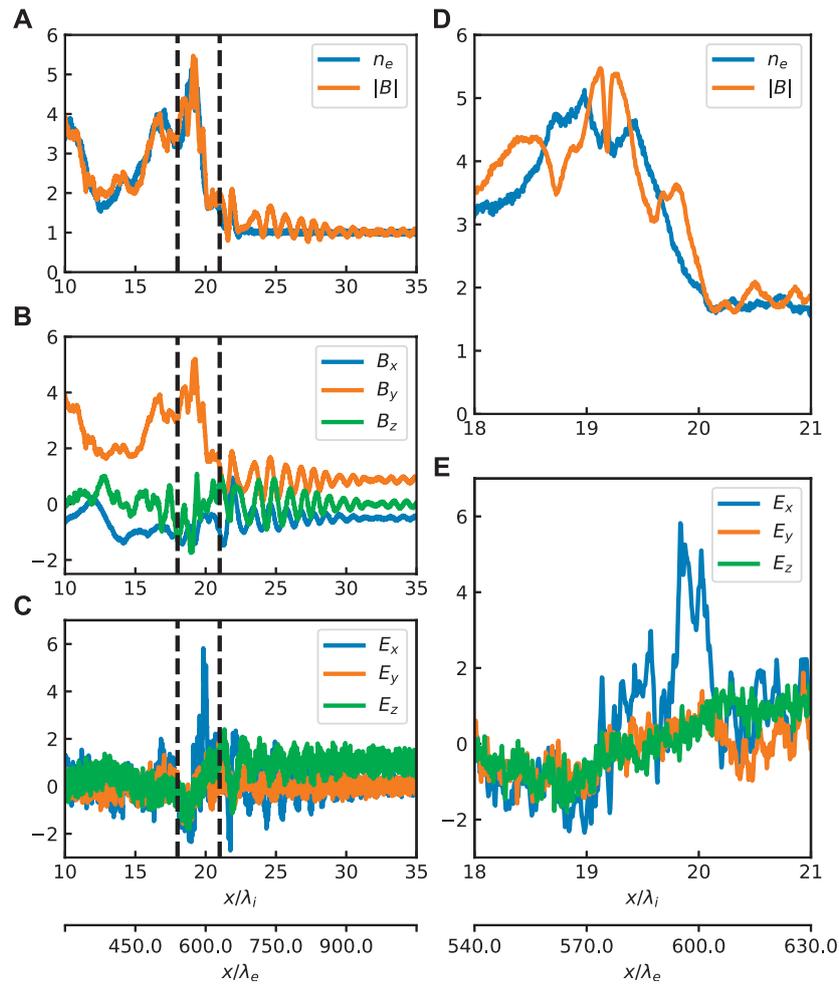


FIGURE 4 | An example taken from a PIC simulation with the shock normal along the x -direction. Each plot shows a 1D cut through the middle of 2D simulation domain. Panel **(A)** shows the magnetic field magnitude, B (orange), and the electron number density, n_e (blue), vs. spatial position x . Panels **(B, C)** show the components of magnetic field **(B)** and the electric field **(E)**. Panels **(D, E)** show a zoomed region of panels **(A, C)** respectively. The boundaries of the zoomed region are indicated by vertical dashed lines in panels **(A-C)**. All fields are measured in the simulation frame, where the shock moves in the positive x direction with the speed of $\sim 2 V_A$.

where U_{shn} is the upstream average flow speed in the shock rest frame and V_{shn} is the upstream average shock speed in the spacecraft frame. Note that the values of M_f , M_A , and U_{shn} will all be, on average, larger for most bow shocks in the interplanetary medium. These values are only meant to serve as a statistical baseline for reference. For example, the 11 bow shock crossings in Wilson et al. (2014a); Wilson et al. (2014b) satisfied $3.1 \leq M_A \leq 21.9$. Next, we present some typical plasma parameters¹⁵ near 1 AU in the solar wind:

$$\begin{aligned} 80.2 &\leq f_{ce} \leq 409, \sim 162 \text{ [Hz]}; \\ 0.04 &\leq f_{cp} \leq 0.22, \sim 0.09 \text{ [Hz]}; \\ 17.2 &\leq f_{pe} \leq 42.5, \sim 26.3 \text{ [kHz]}; \end{aligned}$$

$$\begin{aligned} 371 &\leq f_{pp} \leq 944, \sim 578 \text{ [Hz]}; \\ 1,579 &\leq V_{Te} \leq 2,411, \sim 1975 \text{ [km/s]}; \\ 21.9 &\leq V_{Tp} \leq 76.9, \sim 40.2 \text{ [km/s]}; \\ 1.03 &\leq \rho_{ce} \leq 4.62, \sim 2.28 \text{ [km]}; \\ 32.5 &\leq \rho_{cp} \leq 186, \sim 88.8 \text{ [km]}; \\ 1.12 &\leq \lambda_e \leq 2.77, \sim 1.82 \text{ [km]}; \\ 50.5 &\leq \lambda_p \leq 129, \sim 82.5 \text{ [km]}; \\ 4.74 &\leq \lambda_{De} \leq 13.8, \sim 8.58 \text{ [m]}; \end{aligned}$$

where f_{cs} is the cyclotron frequency of species s , f_{ps} is the plasma frequency of species s , V_{Ts} is the most probable thermal speed of species s ¹⁶, ρ_{cs} is the thermal gyroradius of species s ¹⁷, λ_e is the inertial length of species s , and λ_{De} is the electron Debye length.

¹⁵Note that none of these are Doppler-shifted.

¹⁶ $V_{Ts} = \sqrt{\frac{2k_B T_s}{m_s}}$,
¹⁷ $\rho_{cs} = \frac{V_{Ts}}{\Omega_{cs}}$.

Next, we present ratios of some typical plasma parameters near 1 AU in the solar wind:

$$\begin{aligned} 176 &\leq \lambda_e/\lambda_{De} \leq 269, \sim 215 \text{ [N/A]}; \\ 131 &\leq \rho_{ce}/\lambda_{De} \leq 670, \sim 255 \text{ [N/A]}; \\ 8,000 &\leq \lambda_p/\lambda_{De} \leq 12,160, \sim 9,757 \text{ [N/A]}; \\ 0.34 &\leq \lambda_e/\rho_{ce} \leq 1.63, \sim 0.83 \text{ [N/A]}; \\ 92.4 &\leq f_{pe}/f_{ce} \leq 474, \sim 180 \text{ [N/A]}; \\ 4.79 &\leq V_{Te}/\langle U_{shn} \rangle_{95\%} \leq 7.31, \sim 5.99 \text{ [N/A]}; \\ 43.1 &\leq V_{Te}/\langle U_{shn} \rangle_{5\%} \leq 65.9, \sim 54.0 \text{ [N/A]}; \\ 0.53 &\leq V_{Te}/c \leq 0.80, \sim 0.66 \text{ [%]}; \end{aligned}$$

where $\langle U_{shn} \rangle_{y\%}$ is the y^{th} percentile of U_{shn} presented earlier in this section and c is the speed of light in vacuum.

Figure 4 shows example one-dimensional cuts at three different time steps taken from a PIC simulation. The simulation parameters are as follows: $\theta_{Bn} \sim 60$ deg, $M_A \sim 6.5$, $\frac{\omega_{pe}}{\Omega_{ce}} \sim 4$, $\frac{M_i}{m_e} \sim 900$, $\Delta \sim 1 \lambda_{De}$ (where Δ is the grid cell size), initially 1,000 particles per cell, and $\lambda_e/\lambda_{De} \sim 8$ (i.e., ~ 27 times smaller than median solar wind values near 1 AU). All of the panels show data in normalized units. The electric field is normalized to the initial upstream averaged convective electric field, $-\mathbf{V} \times \mathbf{B}$, i.e., the same E_o referenced for spacecraft observations. Thus, in the upstream the E_z component has an offset of unity. The normalization for n_e and \mathbf{B} are just the initial upstream average values of the magnitude of each. All fields are measured in the simulation frame, where the shock moves in the positive x direction with the speed of approximately $2 V_A$.

One can see that the largest values of $|\mathbf{E}|$ rarely exceed 2 (i.e., only short intervals >2 but peak only at ~ 6), similar to the simulations discussed previously. Further, the spatial scales at which these fields are maximized is on λ_e scales whereas observations show maximum electric fields at λ_{De} scales. One can also see that the ramp, e.g., B in panel (D), is about $L_{sh} \sim 28 \lambda_e$ (or $\sim 0.9 \lambda_i$) thick, similar to observations that typically show ramps satisfying $L_{sh} < 35 \lambda_e$ (or $< 0.8 \lambda_i$) (Hobara et al., 2010; Mazelle et al., 2010). The simulation does, however, generate the ubiquitous whistler precursor train upstream of the shock ramp (Wilson et al., 2012; Wilson et al., 2017). Yet it is still not clear what parameter or parameters are controlling the shock ramp thickness and electric field amplitudes at very small spatial scales in simulations.

4 DISCUSSION

We have presented examples illustrating that spacecraft observations of collisionless shocks consistently show $\delta E \gg E_o$ where δE is due to electrostatic fluctuations satisfying $k \lambda_{De} \leq 1$ with frequencies well above f_{ih} . In contrast, most PIC simulations of collisionless shocks show considerably smaller amplitude of electrostatic fluctuations. This is true even when the simulation uses realistic $\frac{M_i}{m_e}$ and plasma betas.

Further, many simulations still generate shock ramps satisfying $L_{sh}/\lambda_e > 43$, i.e., thicker than most observations. However, much more progress has been made on this front where Yang et al. (2013) concluded that the shock ramp thickness decreased with increasing $\frac{M_i}{m_e}$ but increased with increasing ion plasma beta. There is still the question of whether $\frac{\omega_{pe}}{\Omega_{ce}}$ plays a role

in the simulated values of L_{sh} , though Yang et al. (2013) was able to produce realistic thicknesses despite only having $\frac{\omega_{pe}}{\Omega_{ce}} = 2$.

Another potential issue that was not explicitly discussed in detail is that of the separation between λ_e and λ_{De} , but these are controlled by $\frac{M_i}{m_e}$ and $\frac{\omega_{pe}}{\Omega_{ce}}$. As previously shown, statistical solar wind parameters satisfy $\lambda_e/\lambda_{De} \sim 215$ (or $\lambda_p/\lambda_{De} \sim 9,757$) while simulations often have much smaller values of $\lambda_p/\lambda_{De} \sim 70$ –500 (or $\lambda_e/\lambda_{De} \sim 7$ –40) (Umeda et al., 2011; Umeda et al., 2012a; Umeda et al., 2014; Savoini and Lembège, 2015). It is also the case that simulations often use shock speeds satisfying $V_{Tp} < V_{Te} < U_{shn} < c$ while shocks in the solar wind tend to satisfy $V_{Tp} < U_{shn} \ll V_{Te} \ll c$.

The origins of the discrepancy between the observation that $\delta E \gg E_o$ for electrostatic fluctuations satisfying $k \lambda_{De} \leq 1$ remain unclear. The ratios $\frac{M_i}{m_e}$ and $\frac{\omega_{pe}}{\Omega_{ce}}$ are the most likely parameters since they control the separation of spatial and temporal scales between the instabilities of interest and the global shock scales in an obvious manner. A lack of spatial resolution in most simulations may also be a factor. The purpose of this work is to motivate both observational and simulation communities to bridge the gap find closure with this issue. Without an accurate reproduction of the high frequency, large amplitude waves it is not possible to determine at what scales the electric fields dominate the energy dissipation through collisionless shocks.

DATA AVAILABILITY STATEMENT

The datasets presented in this study can be found in online repositories. The names of the repository/repositories and accession number(s) can be found below: <https://cdaweb.gsfc.nasa.gov>.

AUTHOR CONTRIBUTIONS

LW wrote most of the content and generated all the observational data figures presented herein. L-JC provided critical contributions to the bridge between observations and simulations. VR provided critical contributions to simulation techniques and limitations induced by the variation of different normalized parameters.

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Conflict of Interest: The authors declare that the research was conducted in the absence of any commercial or financial relationships that could be construed as a potential conflict of interest.

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