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# A comparative study of data-driven MHD simulations of solar coronal evolution with photospheric flows derived from two different approaches

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Data-driven simulation proves to be a powerful tool in revealing the dynamic process of the solar corona, but it remains challenging to implement the driving boundary conditions in a self-consistent way and match the observables at the photosphere. Here, we test two different photospheric velocity-driven MHD simulations in studying the quasi-static evolution of solar active region NOAA 11158. The two simulations were identically initialized with an MHD equilibrium as relaxed from a non-linear force-free field extrapolation from a vector magnetogram. Then, we energized the MHD system by applying the time series of photospheric velocity at the bottom boundary as derived by two different codes, the DAVE4VM and PDFI, from the observed vector magnetograms. To mimic the small-scale flux cancellation on the photosphere, the magnetic diffusion at the bottom boundary was set to be inversely proportional to the local scale length of the magnetic field. The result shows the evolution curves of the total magnetic energy and unsigned magnetic flux generated by the PDFI velocity match the corresponding curves from the observations much better than those by the DAVE4VM one. The structure of the current layer and synthetic image in PDFI simulation also has a more reasonable consistency with SDO/AIA 131 Å observation. The only shortage of the PDFI velocity is its capability in reproducing the morphology of sunspots, as characterized by a slightly lower correlation coefficient for the bottom magnetic field in simulations and magnetograms. Overall, this study suggests the superiority of each method in the models driven by the bottom velocity, which represents a further step toward the goal of reproducing more realistically the evolution of coronal magnetic fields using data-driven modeling.

## KEYWORDS

magnetohydrodynamic, solar corona, numerical modeling, photospheric flow, magnetic field

## 1 Introduction

The environment of the upper atmosphere of the Sun, i.e., the solar corona, is dominated by the magnetic field and often experiences impulsive eruption events, most

of which have originated from solar active regions (ARs). The magnetic field that is concentrated in the ARs stores adequate energy for solar eruptions (Chen, 2011) by coupling the variations in the lower and higher atmospheres together. However, since we cannot effectively measure the 3D magnetic field in the solar corona heretofore, static modeling such as the non-linear force-free field (NLFFF) extrapolation from the photospheric magnetograms is often used to estimate the coronal magnetic fields (Sun et al., 2012; Jiang and Feng, 2013; Okuzumi et al., 2016; Duan et al., 2019; Wiegmann and Sakurai, 2021). Though NLFFF is a physically reasonable approximation (Lüst and Schlüter, 1954; Chandrasekhar and Woltjer, 1958), it is only valid for the quasi-steady state of the solar corona, which however is frequently interrupted by eruptions. To model the evolution of real ARs, we have to implement the dynamic models, in particular, the magnetohydrodynamics (MHD) simulation, with the bottom boundary conditions matching the observables on the photosphere in a time-dependent way.

At the photosphere, as driven by the solar dynamo and near-surface convections, sunspots (and magnetic flux tubes in all different scales) evolve continuously with highly complicated motions (e.g., emergence and cancellation of magnetic flux as well as shearing and converging motions), all of which are difficult to mimic in artificial settings. Therefore, the idea of data-driven modeling was implemented in a full MHD model for the first time by Wu et al. (2006) to obtain the evolutionary state of the target AR. With the development of observations and numerical methods, different data-driven models have been proposed and can be classified into three types according to the different realizations of the driving boundary conditions (see a review on this topic by Jiang et al., 2022), i.e., the B-driven model (Wu et al., 2009; Mackay et al., 2011; Jiang et al., 2016a; Jiang et al., 2016b) where the magnetograms are input at the bottom boundary directly; the E-driven model (Cheung and DeRosa, 2012; Cheung et al., 2015; Hayashi et al., 2018; Pomoell et al., 2019; Price et al., 2019; Inoue et al., 2022; Chen et al., 2023; Inoue et al., 2023) where the magnetic field at the bottom boundary is driven by the pre-determined photospheric electric field; and the V-driven model (Guo et al., 2019; Hayashi et al., 2019; Liu et al., 2019; He et al., 2020; Jiang et al., 2021a; Zhong et al., 2021) where with the photospheric velocity fields derived first, the magnetic field at the bottom boundary evolves according to the magnetic induction equation.

Though the B-driven method seems to be a straightforward option since the magnetogram can be fully matched, it introduces magnetic divergence errors from the bottom boundary, which often require extra methods to deal with (Tóth, 2000). If we use the vector potential  $\mathbf{A}$  to implement the data-driven method, there will be no extra divergence error. However, deriving the vector potential at the photosphere may require the help of the “poloidal–toroidal decomposition” (PTD), as in the PDFI method described below, which may not be an easy task and will further increase the complexity of the B-driven method. In the E-driven model, the shortage of introducing the divergence error vanishes automatically because after taking the divergence of the magnetic induction equation, the time-changing rate of the magnetic divergence is zero, therefore the magnetic divergence will not change as the MHD system evolves. The main difficulty of implementing the E-driven method arises from inversion of the photospheric electric fields,

which includes both the inductive and non-inductive parts. Great progress has been made in solving this problem (Fisher et al., 2010; Fisher et al., 2012; Kazachenko et al., 2014; Fisher et al., 2015), as shown by benchmark tests. These contributions finally converged into the PDFI\_SS software (Fisher et al., 2020). We note that even in the E-driven model, the photospheric flow has to be properly set to follow the Ohm’s law, and solving the complex momentum equation will cost numerical resources a lot. Therefore, if the velocity field on the photosphere can be pre-determined, the momentum equation will not have to be solved at the bottom boundary and the electric field will not appear in model equations, both of which are convenient for implementing the data-driven simulation, which is the outstanding advantage of the V-driven model when compared to the others.

Since direct observation of the photospheric flow is often absent, a variety of methods have been proposed for deriving the photospheric flow from magnetograms. Among them, the most famous is the local correlation tracking (LCT) method (November and Simon, 1988; Chae, 2001), in which the transverse motion of the magnetic flux element is captured according to an advection equation, i.e.,  $\partial_t \mathbf{B}_z = -\mathbf{u}_{\text{LCT}} \cdot \nabla \mathbf{B}_z$ . Thus, only the velocity tangential to the photosphere is derived, and moreover, it does not represent the actual plasma velocity strictly (Démoulin and Berger, 2003) since the LCT method does not obey the magnetic induction equation. For improving the capability of the LCT-type technique, several variants of the LCT method have also been proposed (Kusano et al., 2002; Kusano et al., 2004; Welsch et al., 2004; Fisher and Welsch, 2008), and some of them can obtain the full three components of the photospheric flow. Different from the LCT method, Schuck (2006) uses the variational principle to minimize the bias of the magnetic induction equation to estimate the photospheric flow from observed magnetograms, named the differential affine velocity estimator (DAVE) method. The more frequently used is the updated version of DAVE, i.e., the differential affine velocity estimator for vector magnetograms (DAVE4VM) method (Schuck, 2008), which has been applied recently for V-driven simulation. For example, Hayashi et al. (2019) used the projected normal characteristic (PNC) method to update the bottom magnetic field using the DAVE4VM velocity. In their model, the magnetic energy could not be injected into the solar corona efficiently; however, using the DAVE4VM velocity, this problem was resolved by Jiang et al. (2021b) by solving the magnetic induction equation directly instead of using the PNC method. The remaining problem in their results was that there was a magnetic flux pileup at the edge of their target AR, which made the photospheric magnetic field generated in their model different from the observation. Though in some data-driven models (Guo et al., 2019; Liu et al., 2019; He et al., 2020; Zhong et al., 2021), the magnetic field was made to fully match the observations by simultaneously inputting both the magnetic and velocity fields at the bottom boundary, the magnetic induction equation still might not be satisfied at the bottom boundary. To overcome all these shortcomings, our ultimate goal is to develop a V-driven model that can generate almost the same evolution of the magnetic field in simulation with vector magnetograms under the constraint of the magnetic induction equation.

To go one step further toward this target, in this article, we test the performance of the widely used DAVE4VM and advanced PDFI methods [the acronym ‘PDFI’ stands for “PTD plus Doppler plus

Fourier local correlation tracking (FLCT) plus Ideal” contributions to the electric field], both of which are used to generate the photospheric flow field, in our DARE-MHD model (Jiang et al., 2016a; Jiang et al., 2021a; Wang et al., 2022; Wang et al., 2023). The DAVE4VM and PDFI velocities were input at the bottom boundary to drive the AR NOAA 11158 to evolve from 14 February 2011 00:00 UT to 15 February 2011 00:00 UT. This AR is remarkably active and produces an X2.2 class flare around 01:44 UT on 15 February, and has been widely studied (Jing et al., 2012; Sun et al., 2012; Wang et al., 2012). For example, Lumme et al. (2019) compared the DAVE4VM and PDFI methods by calculating the magnetic energy and helicity directly from observation in different cadences, showing a similar behavior before the flare onset. This AR in the studied period was located close to the solar disk center and thus provided high-quantity data. To mimic the enhanced photospheric diffusion in our simulation, we set the magnetic diffusion  $\eta$  decrease as the local scale of the magnetic field increase. With this empirical setting, the simulation results derived by the PDFI velocity perform better in the evolution of the total magnetic energy and total unsigned magnetic flux. It also has a more reasonable consistency with observations of AIA 131 Å. On the contrary, the morphology of the sunspots generated by the DAVE4VM velocity is more similar to observations, as characterized by the correlation coefficient for the observed magnetogram and simulation results.

The remainder of this article is organized as follows. First, we describe the basics of AR 11158 and the model we used in Section 2. In Section 3, we compare the simulation results by different velocity fields and finally give our discussion and conclusion in Section 4.

## 2 Observation and model

### 2.1 Observation

AR 11158 was constituted of two bipolar regions that emerged before 15 February 2011, forming a complex quadrupole topology. The opposite magnetic polarities near the main polarity inversion line (PIL) collided and sheared with each other (can be seen in the 24-h evolution as shown in Figures 1A, B), injecting the magnetic energy continuously during their emergence stage, which may have finally contributed to the onset of the X2.2 flare (accompanied by a halo CME) around 01:44 UT on 15 February. At that time, this AR was located at S21W21, which was not far from the solar disk center (Figure 1C) (Schrijver et al., 2011).

### 2.2 Model

To study the energy accumulation process before the flare onset, we employ the DARE-MHD model, which solves the full 3D MHD equations, with the non-dimensionalized form given by

$$\begin{aligned} \frac{\partial \rho}{\partial t} + \nabla \cdot (\rho \mathbf{v}) &= -v_\rho (\rho - \rho_0) \\ \rho \frac{D\mathbf{v}}{Dt} &= -\nabla p + \mathbf{J} \times \mathbf{B} + \rho \mathbf{g} + \nabla \cdot (\nu \rho \nabla \mathbf{v}) \\ \frac{\partial \mathbf{B}}{\partial t} &= \nabla \times (\mathbf{v} \times \mathbf{B} - \eta \mathbf{J}) \\ \frac{\partial T}{\partial t} + \nabla \cdot (T\mathbf{v}) &= (2 - \gamma) T \nabla \cdot \mathbf{v} \end{aligned} \quad (1)$$

where  $\mathbf{J} = \nabla \times \mathbf{B}$ ,  $\mathbf{g}$  is the solar gravity, and  $\gamma = 1$  is the adiabatic index. By setting  $v_\rho = 0.05 V_A$ , where  $V_A$  is the Alfvén velocity, the plasma density will relax to its initial value  $\rho_0$  within a timescale of 20 Alfvén time  $\tau_A$ , which can avoid extremely low densities, thus making the magnitude of the time step to an acceptable level for efficient iteration. The kinetic viscosity  $\nu$  is given as  $\nu = 0.05 \Delta x / \Delta t$ , with  $\Delta x$  (varying from 0.5 arcsecs to 8 arcsecs in our simulation) and  $\Delta t$  being the spatial resolution and time step, respectively, to maintain the numerical stability. We used no explicit magnetic resistivity in our simulation to mimic the highly conductive plasma in the hot solar corona. In an eruption event, a strong shock travels to the lateral and top boundaries. Therefore, the computational domain is usually set to be sufficiently large to prevent the initial mechanism of eruptions from being affected by improper lateral/top boundary conditions. In this work, we do not intend to reproduce the eruption in AR 11158, and thus we set the simulation box relatively small to  $[-184, 184]$  Mm in the  $x, y$  directions and  $[0, 368]$  Mm in the  $z$  direction, with the side and top boundary conditions as described in Section 2.2.2. The Powell source term  $[-(\nabla \cdot \mathbf{B})\mathbf{v}]$  and the diffusion control term  $[\nabla(\mu \nabla \cdot \mathbf{B})]$  are added to the right-hand side of the magnetic induction equation to deal with the magnetic divergence error, as described by Jiang et al. (2010). Here,  $\mu$  is a spatially varying parameter that was properly chosen to maximize the diffusion without introducing numerical instability.

#### 2.2.1 Initial conditions

We initialize the magnetic field in our simulation using the magnetograms in the Coronal Global Evolutionary Model (CGEM) data series, which include the longitudinal, latitudinal, and radial components of the photospheric magnetic field (Schou et al., 2012), Doppler velocity (Welsch et al., 2013), and the line-of-sight (LOS) unit vector, respectively. To reduce the measurement errors, the original magnetic field was smoothed using Gaussian smoothing with FWHM (the ‘full width at half maximum’ of the Gaussian function used in smoothing) of 6 arcsecs. Then, an NLFFF was extrapolated from the smoothed CGEM magnetogram on 14 February 2011 at 00:00 UT using the CESE-MHD-NLFFF code (Jiang and Feng, 2013), in which a set of zero- $\beta$  MHD equations were solved to seek an approximately force-free equilibrium. We reduced the magnitude of the extrapolated field by a factor of 25 for saving the computational time. The initial plasma background was set as an isothermal model with a uniform temperature of the typical value of  $T = 1 \times 10^6$  K in the solar corona. Therefore, to mimic the realistic corona background with the reduced NLFFF, we modified the solar gravity (Jiang et al., 2021b) to make the minimum plasma  $\beta$  as  $8 \times 10^{-4}$  and maximum Alfvén speed  $V_A \sim 5,357$  km  $s^{-1}$  in the initial equilibrium that we obtain below. Finally, we input the NLFFF to the modified plasma background with a zero bottom velocity until the MHD system relaxed to the equilibrium state, i.e., the kinetic and magnetic energy kept almost unchanged, and the initial state was ready.

#### 2.2.2 Boundary conditions

At the bottom boundary, we energized the system using two types of photospheric velocity (as independently derived by either DAVE4VM or PDFI) by solving the non-ideal magnetic induction equation  $\frac{\partial \mathbf{B}}{\partial t} = \nabla \times (\mathbf{v} \times \mathbf{B}) + \eta \nabla_\perp^2 \mathbf{B}$  using the finite difference method, where  $\nabla_\perp^2 = \frac{\partial^2}{\partial x^2} + \frac{\partial^2}{\partial y^2}$  is the surface Laplace’s operator

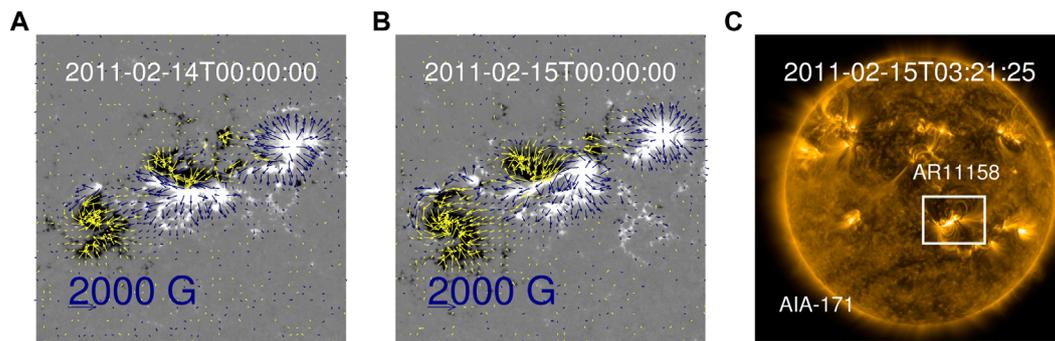


FIGURE 1

(A) Yellow(blue) vectors denote the transverse magnetic field on the photosphere, where  $B_z < 0$  ( $B_z > 0$ ) on 14 February 2011 at 00:00 UT, and the black and white of the background represent the negative and positive magnetic flux, respectively. (B) Same as A, but on 15 February 2011 at 00:00 UT. (C) A full-disk image of AIA 171 Å, with AR 11158 in the white rectangle.

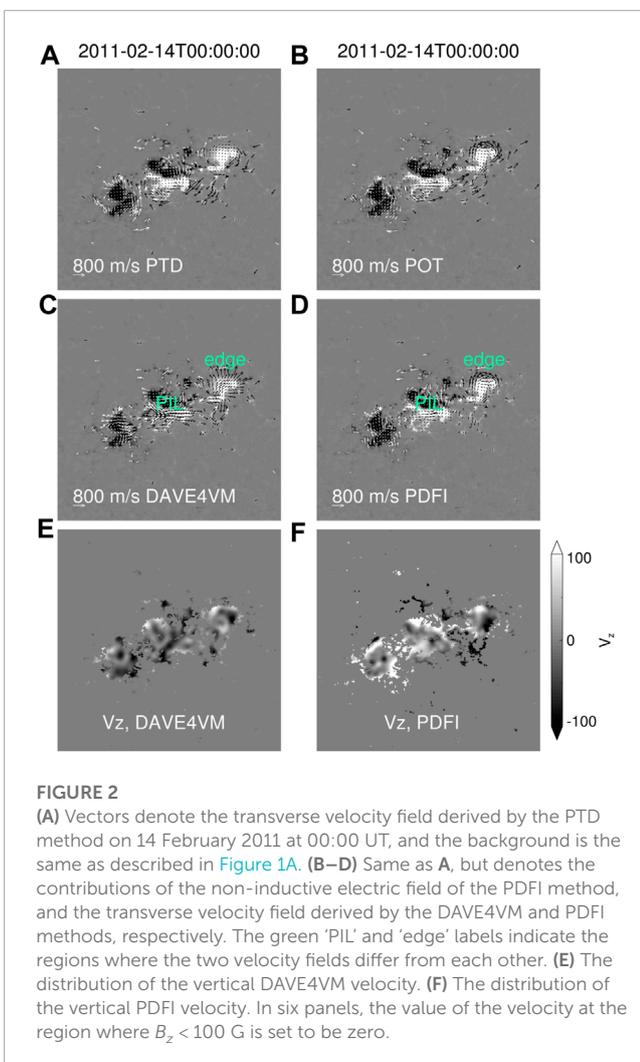
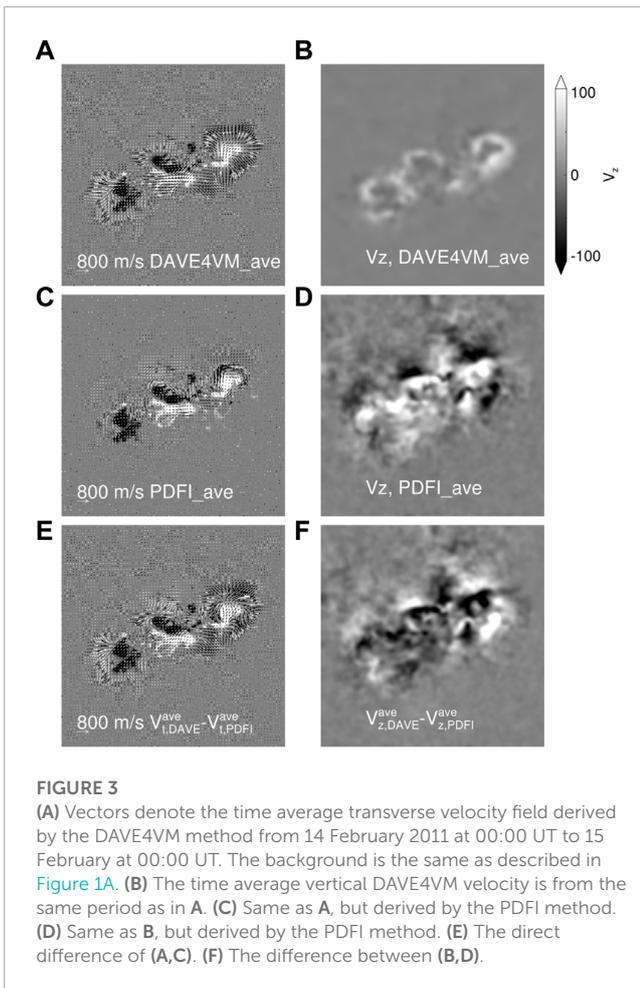


FIGURE 2

(A) Vectors denote the transverse velocity field derived by the PTD method on 14 February 2011 at 00:00 UT, and the background is the same as described in Figure 1A. (B–D) Same as A, but denotes the contributions of the non-inductive electric field of the PDFI method, and the transverse velocity field derived by the DAVE4VM and PDFI methods, respectively. The green ‘PIL’ and ‘edge’ labels indicate the regions where the two velocity fields differ from each other. (E) The distribution of the vertical DAVE4VM velocity. (F) The distribution of the vertical PDFI velocity. In six panels, the value of the velocity at the region where  $B_z < 100$  G is set to be zero.

(Aulanier et al., 2009). The derivation of DAVE4VM velocity  $v_D$  is straightforward, using three components of the original magnetic field with a window size of 19 (Liu and Schuck, 2012). For PDFI

velocity, we first derived the electric field  $E_p$  using the PDFI\_SS software and then calculated the PDFI velocity as  $v_p = \frac{E_p \times B}{B^2}$ . The two velocity fields were smoothed using Gaussian smoothing with a FWHM of 6 arcsecs to reduce unexpected errors. As an example, Figure 2C shows the horizontal velocity and Figure 2D shows the vertical velocity at the time 14 February 2011 00:00 UT as calculated by the two different methods, both of which give rather different results. Specifically, the PDFI velocity is often parallel with, while the DAVE4VM one is perpendicular to, the edge of the AR (labeled as ‘edge’ in Figure 2), which could be explained intuitively as follows. Near the edge of sunspots, there exists the famous ‘moat’ flow (Sheeley, 1972; Brickhouse and Labonte, 1988) that can be traced by the motion of the magnetic flux, and the DAVE4VM method solves the vertical component of the magnetic induction equation  $\frac{\partial B_z}{\partial t} = \nabla \cdot (v_z B_t - B_z v_t)$  in the least-square sense. Therefore, the DAVE4VM velocity is highly influenced by the motion of the moat flow, which is nearly perpendicular to the edge of the sunspots. However, the motion of the magnetic flux may not represent the plasma flow. In the PDFI method, the full induction equation is solved directly, the solution of which will be closer to the actual flow of photospheric material. Furthermore, the velocity field corresponding to the non-inductive electric field is also obtained. As shown in Figures 2A, B, it is the velocity corresponding to the non-inductive part that mainly contributes to the PDFI velocity perpendicular to the sunspot’s edge, thus it is not surprising that the superposition of both will behave differently from the DAVE4VM velocity there. In addition, we note that this may be the reason why there was the magnetic flux concentrating at the edge of the sunspots as seen in our previous simulations as driven by the DAVE4VM velocity. Near the main PIL, both methods lead to shearing flow (labeled as ‘PIL’ in Figure 2), which can inject free energy efficiently. Two vertical velocity fields are also distributed differently, as shown in Figures 2E, F, and we show the average velocity field obtained by two methods in Figure 3. Before being input at the bottom surface, two velocity fields were strengthened by a factor of 13.7 (determined by  $\frac{720 \text{ second}}{0.5 \times 10^5 \text{ second}}$ , where 720 is the time cadence of original magnetograms and  $0.5 \times 10^5$  is the time cadence for inputting the bottom flow in simulation), and thus the timescale of our simulation is shortened by the same times. In



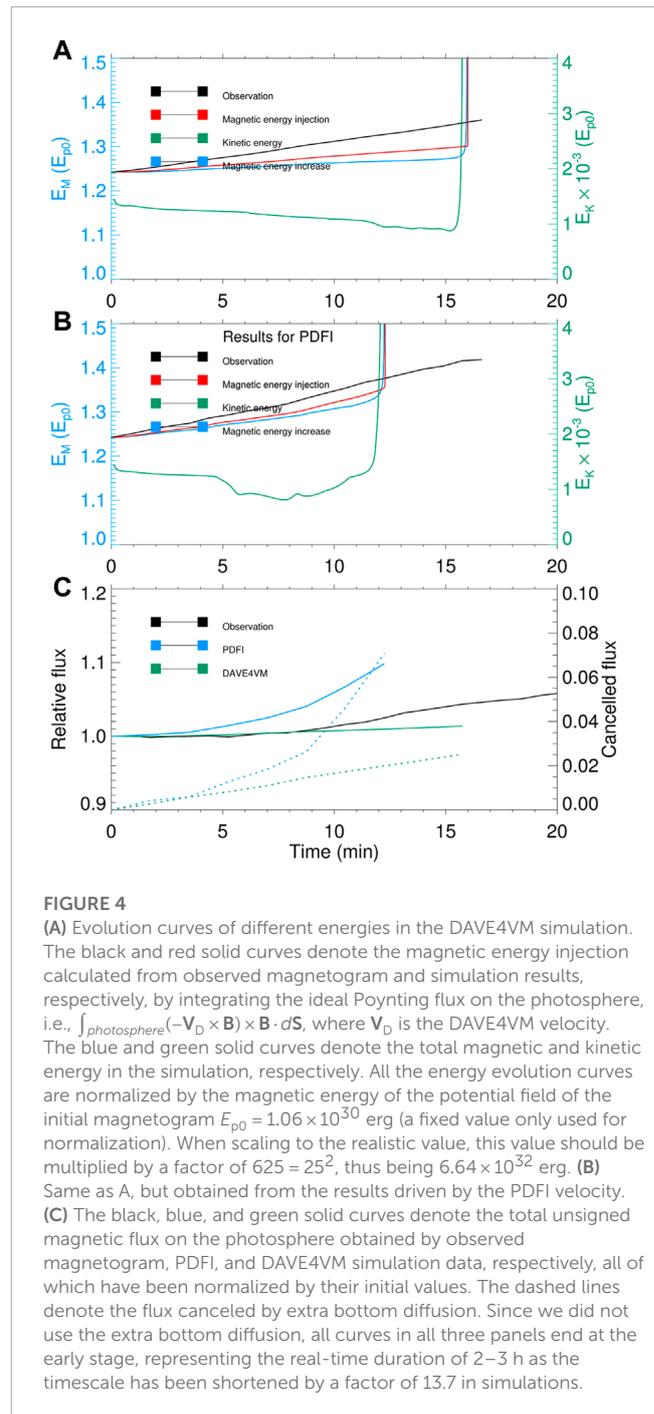
addition to the velocity and magnetic field on the photosphere, we also added magnetic diffusion at the bottom boundary to mimic the photospheric diffusion effect originating from the granular and supergranular convection (Wang et al., 1989), as well as small-scale turbulent diffusion that is relevant to the scale length. Therefore, we set the photospheric  $\eta$  empirically as

$$\eta = \min(0.1, [\exp(5 \times 10^{-4} L^{-5}) - 1] \times 2 \times 10^{-3}) \quad (2)$$

with  $L = \frac{B}{\sqrt{|\nabla B|_2}}$  being the local scale length of the magnetic field. This ensures a larger  $\eta$  in the region where the small-scale magnetic field appeared, which effectively dispersed the magnetic flux pileup on the photosphere as shown in our previous studies (Jiang et al., 2021a; Wang et al., 2023) but not seen in observations. On the side and top boundaries, we choose to extrapolate all the eight MHD variables from the neighboring inner points with zero normal gradients of the boundary surface to mimic the open boundary, except the normal component of the magnetic field is updated by the divergence-free condition to reduce the divergence errors.

### 3 Results

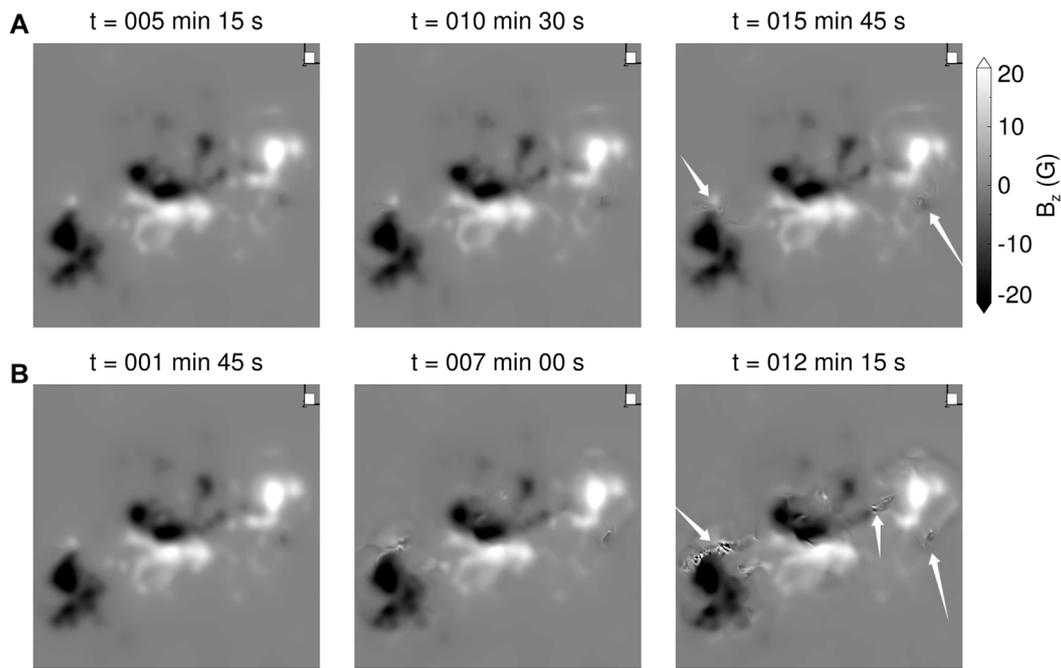
The diffusion term on the bottom boundary plays an important role in our simulation. To understand its effect, first, we show the



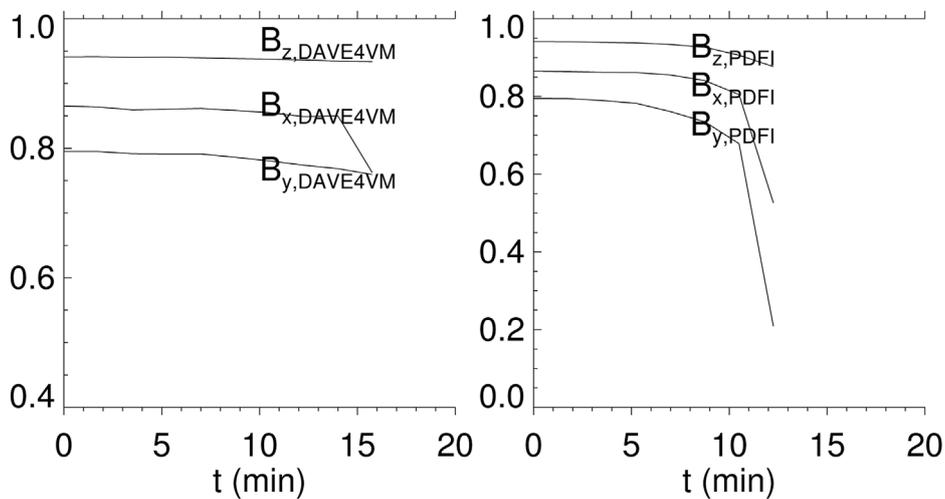
simulation results generated without the extra bottom diffusion as given in Section 2.2.2, and the results generated with the bottom extra diffusion are shown subsequently for comparison.

#### 3.1 Results without extra diffusion

The evolution of magnetic and kinetic energies in DAVE4VM(PDFI) simulation are shown in Figures 4A, B. In both panels, the ideal magnetic energy injection (which means the electric field was derived according to the ideal Ohm's law that



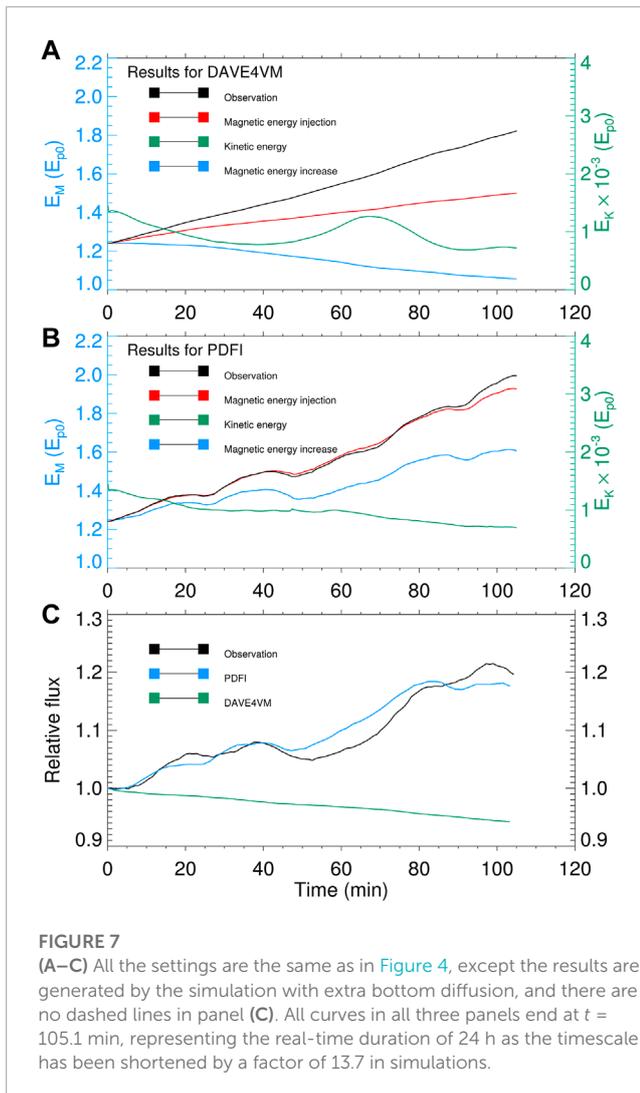
**FIGURE 5**  
**(A)** Sunspots generated by the DAVE4VM velocity without extra bottom diffusion. The white arrows indicate some unphysical small polarities. **(B)** Same as A, but generated by the PDFI velocity.



**FIGURE 6**  
 Curves in the left (right) panel denote the evolution of correlation coefficients for different components of the smoothed photospheric magnetic field from observations and the DAVE4VM (PDFI) simulation without extra bottom diffusion.

was calculated from observation [the black solid curve, which is calculated by the ideal Poynting flux at the bottom boundary using the DAVE4VM(PDFI) velocity and the observed magnetic field] deviates a little from the ideal magnetic energy injection in the simulation [the red solid curve, calculated by the ideal Poynting flux at the bottom boundary using the DAVE4VM(PDFI) velocity

and the simulated magnetic field]. This deviation mainly arises from that the grid resolution is not high enough to capture the spatial variation of the magnetic field near the bottom surface, and this might be the same reason why the red curves deviate from the blue curves (the total magnetic energy, calculated by the volume integral of the magnetic energy density), for since when we used a higher



grid resolution, the deviation will be smaller. The deviations between the red and blue curves are overall small, for which the total energy is approximately conserved in our simulation.

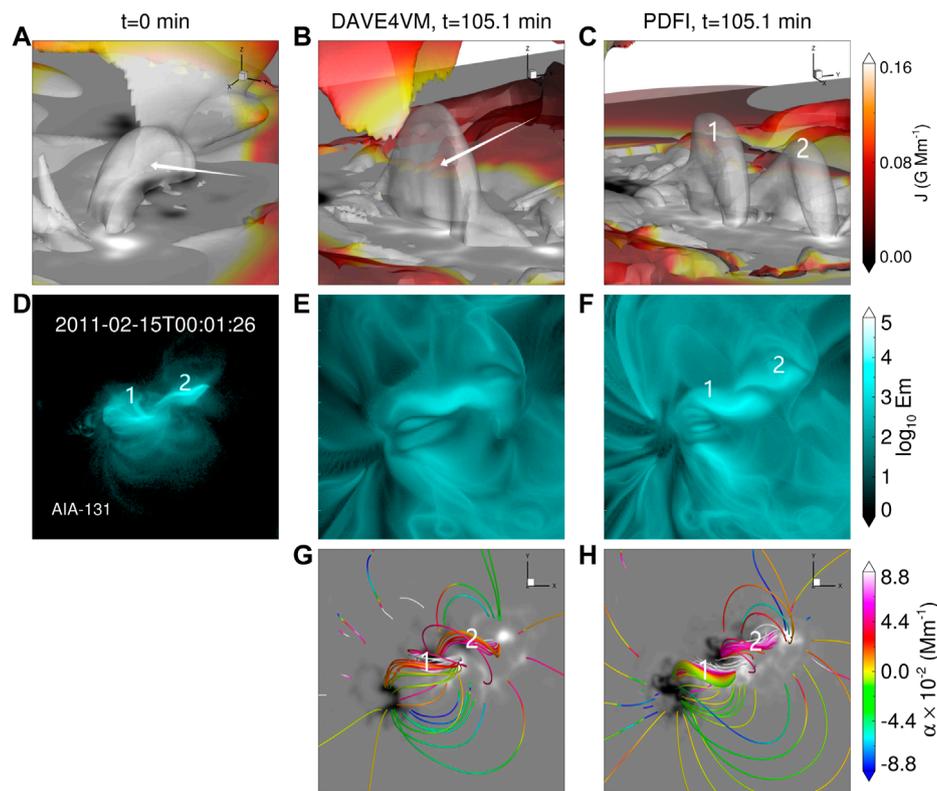
Since we did not use the extra diffusion, as shown by the blue (the total unsigned magnetic flux on the bottom boundary in the PDFI simulation) and black (the total unsigned magnetic flux of the magnetogram) solid curves in Figure 4C, the PDFI velocity had injected more magnetic flux than the observation, which suggests that the PDFI velocity overestimates the vertical component of the photospheric velocity. In addition, the PDFI velocity in the vertical direction ( $v_z$ ) is larger than 0 in most areas as shown in Figures 3B, D. As a result, the DAVE4VM velocity injected less magnetic flux (the green solid curve in Figure 4C). To show the effect of bottom diffusion, we plot the blue and green dashed curves to represent the flux canceled by the extra bottom diffusion, which is computed by the direct difference between the magnetic flux in the simulation with (the blue and green solid curves in Figure 7C) or without (the blue and green solid curves in Figure 4C) the extra bottom diffusion.

From Figures 4A, B, it is obvious that without the extra bottom diffusion, both the DAVE4VM and PDFI run will fail

after 10 minutes. The reason for the failure of both simulations comes from the bottom boundary, as shown in the last panel of Figures 5A, B. The white arrows indicate some small polarities, which are not seen in the observed magnetograms and are thus unphysical. They are the consequence of numerical instabilities that have resulted from the velocity-driven boundary conditions. Due to these unphysical polarities, the correlations between the simulation results and observations decrease fast (Figure 6) before the breakdown of the PDFI simulation (and at the end of the DAVE4VM simulation, the correlation of the  $B_x$  component also decreases). However, after using the extra bottom diffusion, these small polarities disappear, and the magnetic energy injection and total unsigned magnetic flux matched well with observations at the same time, all of which illustrate the positive effect of the extra diffusion, as discussed below.

### 3.2 Results with extra diffusion

The evolution curves of different energies in the simulation using the DAVE4VM velocity are shown in Figure 7A, and the results for the PDFI velocity are shown in Figure 7B. It can be seen that with this extra bottom diffusion, both simulations perform well and do not break. The magnetic energy injection calculated from observation (the black solid curve) deviates from the magnetic energy injection in the simulation (the red solid curve) obviously. Though they are not the actual energy injection (since to calculate the Poynting flux, we assume the ideal Ohm's law holds without considering the photospheric diffusion), this obvious deviation illustrates that the simulated magnetic field as driven by the DAVE4VM velocity should be much weaker than observations. An even worse result is that the total magnetic energy (the blue solid curve) decreases, which does not likely happen during this flux emergence stage. Such a large inconsistency is also seen in Figure 7C, i.e., the total unsigned flux (the green solid line) decreases, which is the opposite of the actual situation (the black solid line). However, these obtained quantitative features were much better in the simulation driven by the PDFI velocity. As shown in Figure 7B, the magnetic energy injection in the simulation matches very well with that of the observation, while again the deviation between the surface energy injection (the red solid curve) and the volume integrated energy (the blue solid curve) denotes the effect of surface diffusion. The deviation between energy injection and increase in both simulations can be understood in two ways: first, the enhanced photospheric diffusion will take effect at the bottom boundary, leading to the dispersion and cancellation of magnetic flux and therefore a reduction of the total magnetic energy. Second, with such a strong photospheric diffusion, the line-tied condition at the bottom boundary will be destroyed. As a result, the footpoints will slip and not move according to the photospheric velocity field (Jiang et al., 2021b), thus the actual energy injection will be lower than the ideal value (red curves in Figures 7A, B), which also manifests as a mismatch between the energy injection and energy increase. During the whole process of two simulations, the kinetic energy remains at a very low value of  $10^{-3}E_{p0}$  (where  $E_{p0}$  is the magnetic energy of the potential field of the initial magnetogram), which is an indication of quasi-static evolution. The good performance of the simulation with PDFI velocity is clearly shown in reproducing the total unsigned magnetic



**FIGURE 8**

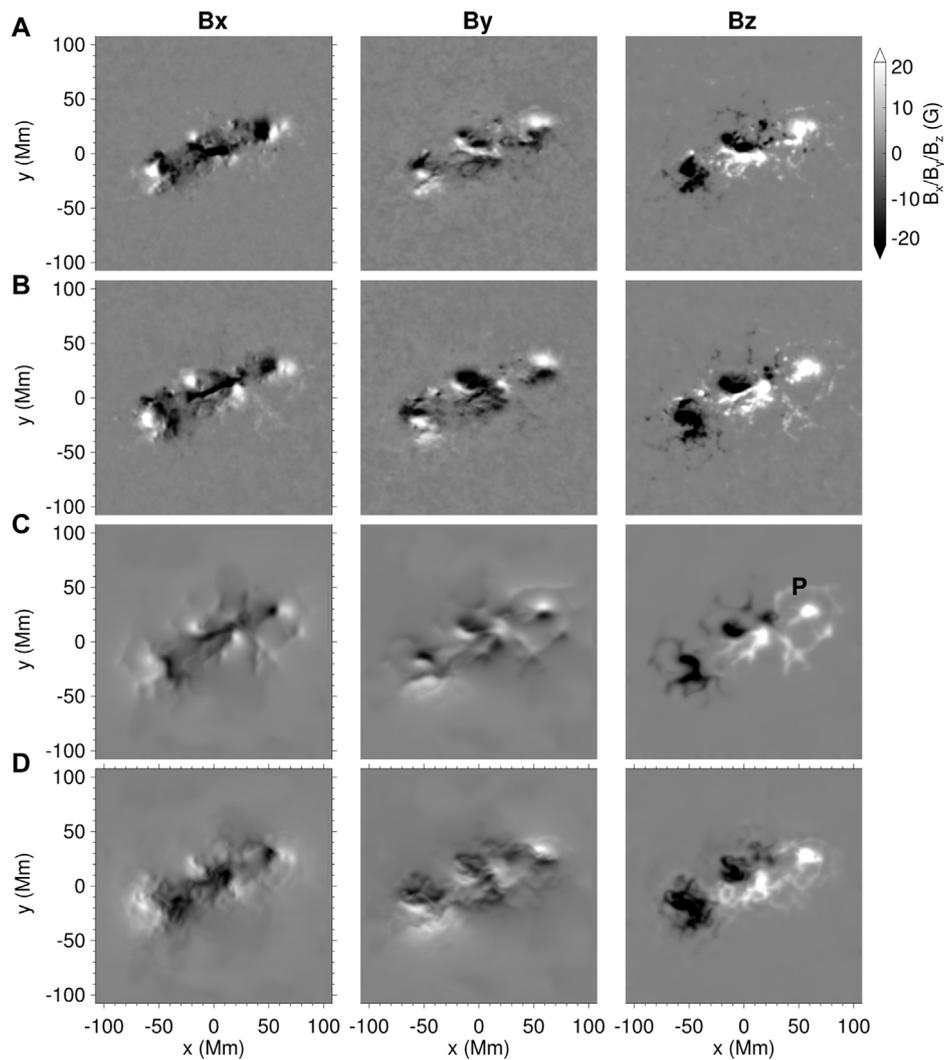
(A–C) Isosurface of  $J/B = 8.7 \times 10^{-2} \text{ Mm}^{-1}$ , and the white arrows indicate the current layer near the main PIL. The initial current is shown in (A). The current at the end of the DAVE4VM and PDFI simulations is shown in (B,C), respectively. (D) The image of AIA 131 Å. (E) The synthetic image of coronal emission from the current density at the end of the DAVE4VM run. (F) Same as E, but at the end of the PDFI run. (G) The magnetic field lines at the end of the DAVE4VM run. (H) Same as G, but at the end of the PDFI run.

flux, of which the evolution curves from the simulation (blue solid line) and observation (black solid line) are almost identical. For instance, both curves exhibit two plateau stages at almost the same moments of  $t = 20$  min and  $t = 80$  min, and both curves end at a value of approximately 1.2. Since the form of  $\eta$  shown in Section 2.2.2 has been tuned and optimized for PDFI velocity, we have also adjusted the form of  $\eta$  for better performance of DAVE4VM velocity. However, if the  $\eta$  is high, the magnetic energy cannot be injected efficiently (Figure 7A); if we set a relatively low photospheric diffusion, there will be numerical instability on the bottom boundary. In conclusion, the DAVE4VM method did not perform well in these quantitative aspects during this period of the target AR in our model.

Among the different states during the evolution of the magnetic field, the potential field has the minimum magnetic energy under the same boundary conditions. Therefore, the magnetic free energy, which provides the energy required in an eruption, always stores in the non-potential part, i.e., the current structure in the solar corona, which is shown in Figure 8. The current at  $t = 0$  is labeled by the white arrow in Figure 8A, which is a whole piece and has a small volume, and then it transforms into quite distinct structures in different runs. In Figure 8B, it grows up but remains as a whole, while it divides into “1” and “2” in Figure 8C. The separating current layer in the PDFI simulation has a more reasonable consistency

with observation in AIA 131 Å (visually has two discrete bright loops), and the synthetic image of coronal emission in the PDFI run (the last column in Figure 8B) also has two main brightening regions. The value of emission is constant along a field line and is assigned by the summation of the corona current density along the same field line traced from the bottom boundary. Then, we integrate the emission along the  $z$  direction to get the 2D total emission image. In addition to the coronal emission, we draw the field lines at the position of the two bright loops. In Figures 8G, H, labeled as “1” and “2,” there exist two groups of twisted field lines. Group “1” has a similar shape in Figures 8G, H, while group “2” behaves somewhat differently: having a smaller tilt angle with respect to the main PIL in the PDFI result, which is more consistent with the AIA-131 observation (Figure 8D). Though both results did not reproduce the observation very precisely, they clearly suggest a better performance of the PDFI method in our simulation of the coronal configuration.

Since both simulations do not reproduce the observed magnetic field at the bottom surface, we are interested in how the simulation results of the two methods differ in spatial distribution from the observed magnetograms. We compare the results at the end of the two simulations with the observations made on 15 February 2011 at 00:00 UT in Figure 9, from which we can see the positive effect of the extra bottom diffusion that there will not be small

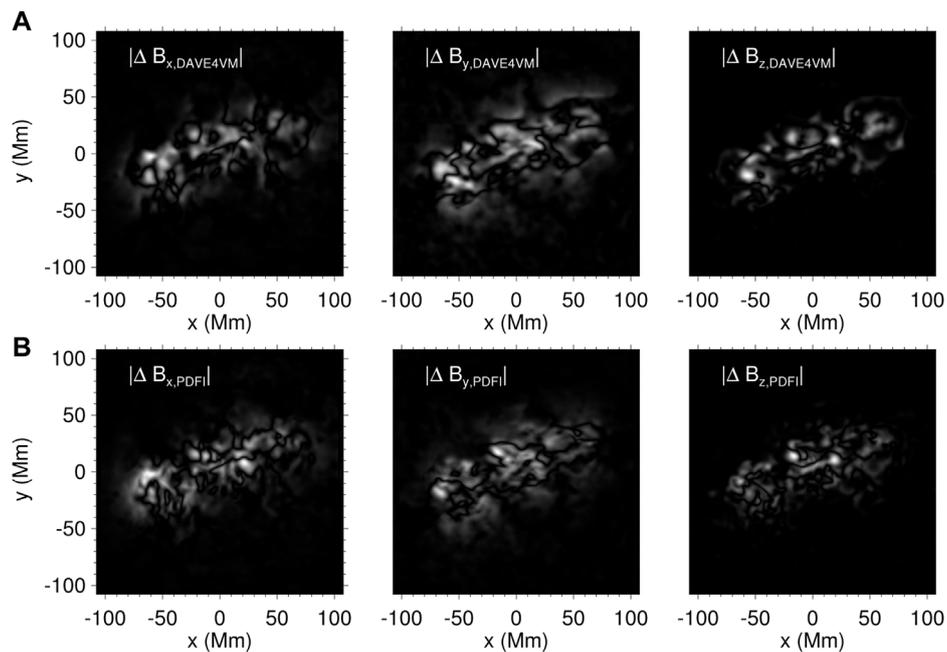


**FIGURE 9**

(A) Distribution of different magnetic field components of the smoothed vector magnetogram at 00:00 UT on 14 February 2011. (B) Same as A, but at 00:00 UT on 15 February. (C) The distribution of three magnetic field components on the bottom surface at the end of the DAVE4VM simulation. (D) Same as (C), but at the end of the PDFI simulation.

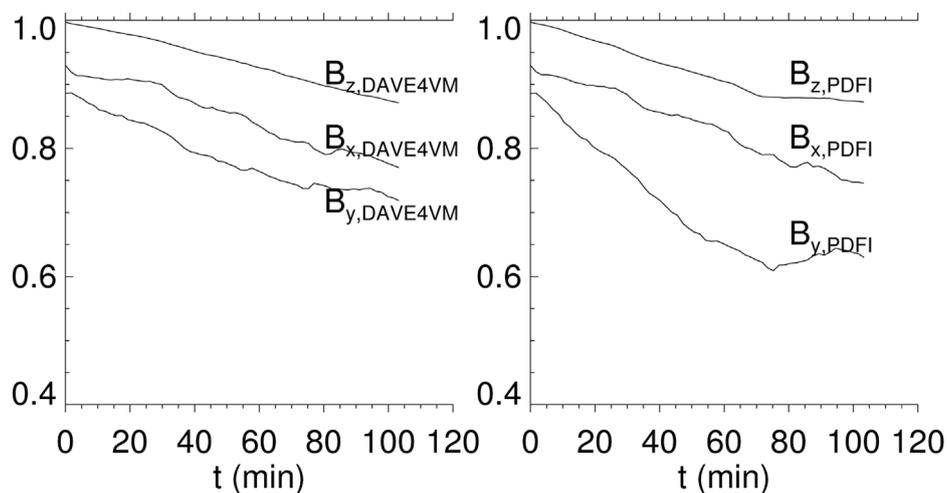
unphysical magnetic polarities at the bottom boundary. Though we have used a rather large diffusion at the bottom surface, the DAVE4VM result (the third column of Figure 9C) still has some magnetic flux pileup at the edge of the AR (Jiang et al., 2021a), which is not seen in the PDFI result (Figure 9D). However, by a visual inspection of the flux distributions, we find that the DAVE4VM result is overall more similar observations than the PDFI one—a situation contrary to the results of comparison for the coronal configuration. The PDFI result appears to be more “turbulent,” especially for the horizontal components ( $B_x$  and  $B_y$ ), while the DAVE4VM result is more smooth without the small-scale structures as shown in the PDFI result. To quantify the differences between the simulations and observations, we show the absolute value of the direct difference and correlation coefficients between our results and the smoothed magnetograms in Figures 10,

11. In the DAVE4VM run, high photospheric diffusion weakened the magnetic field, leading to a lower magnetic energy injection rate. Thus, the main difference between simulation and observation may arise from the weaker magnitude of simulation results since the correlation coefficients are slightly higher than the PDFI ones (Figure 11). Whereas in the PDFI run, the difference mainly comes from the deviation of the location of the concentration of the magnetic flux, as indicated by the lower correlation coefficients. Comparing the constantly decreasing correlation coefficient curves, different components behave somewhat differently. The  $B_{z,DAVE4VM}$  and  $B_{z,PDFI}$  curves are higher than 0.85 all the time, showing a strong correlation between the simulation and observations. The  $B_x$  component performs a little poorly, while the  $B_y$  component is even worse and differs a lot in the two simulations. In Figure 11, the  $B_{y,DAVE4VM}$  curve is always higher than 0.7; however, the minimum



**FIGURE 10**

(A) Distributions of  $|\Delta B_{c,DAVE4VM}|$  ( $c = x, y,$  and  $z$ ), which is the absolute value of the direct difference between the bottom magnetic field of the DAVE4VM simulation and the smoothed magnetogram. (B) Same as A, but shows the distribution of  $|\Delta B_{c,PDFI}|$ .



**FIGURE 11**

Curves in the left (right) panel denote the evolution of correlation coefficients for different components of the smoothed photospheric magnetic field from observation and the DAVE4VM (PDFI) simulation with extra bottom diffusion.

value of the  $B_{y,PDFI}$  curve is close to 0.6, which is obviously lower than the others. This is consistent with the  $B_y$  column in Figure 9D, as the distribution of  $B_y$  is more dispersed than the observation. As a result, we conclude that the DAVE4VM velocity has a generally better capability in deriving the morphology of sunspots in our simulation. In addition, it is worth noting that for  $B_z$ , the initial value of correlation is very close to 1, while for  $B_{x,y}$ , it is just around

0.9. This reduction mainly arises from the construction of the initial state. As described in Section 2.2.1, the NLFFF was input into the DARE-MHD model to evolve to the initial equilibrium state, during which the bottom velocity was set to be zero. However, since we used the finite difference method to update the bottom magnetic field according to the magnetic induction equation, the non-zero velocity at the adjacent grid leads to the non-zero derivative, which

will also change the bottom magnetic field and lead to the reduction of correlations.

## 4 Conclusion and discussion

In this article, we have tested our DARE-MHD model for simulating the quasi-static evolution of AR 11158 on 14 February 2011 using two different velocity fields, which are calculated by the DAVE4VM and PDFI codes, respectively. The simulations are initialized with an MHD equilibrium obtained by relaxing the NLFFF extrapolated from the vector magnetogram of the starting time. To mimic the photospheric diffusion effect (which is larger than that in the solar corona), we used an enhanced surface diffusion term  $\eta$  depending on the local scale of the magnetic field. With this empirical setting, two series of photospheric flows derived from the time series of the magnetograms were applied to the bottom boundary to drive the initial equilibrium to evolve, and the bottom magnetic field was generated self-consistently by solving the magnetic induction equation on the bottom surface. The results of these two simulations have reasonable consistency with the observations in different aspects and also large differences between each other.

In the simulation driven by PDFI velocity, the magnetic energy injection and total unsigned magnetic flux matched well with those derived from magnetograms. However, they deviated substantially from the observations in the DAVE4VM run, especially the total magnetic energy and unsigned magnetic flux decreased, which may not happen during this flux emergence stage. The synthetic image of coronal emission in the PDFI run is also more similar to the AIA 131 Å observation than it is to the DAVE4VM run. The only discrepancy of the PDFI method in our simulation is the ability to reproduce the photospheric fields of the sunspots, as the DAVE4VM run performs better while the PDFI run results in structures that are a little dispersed and less (but not too much) correlated with the observations (as shown in Figure 11, only the curve of  $y$  component is obviously lower in the PDFI simulation). Nevertheless, since the target AR 11158 is close to the solar disk center and thus the data quality is high, great consistency between the PDFI results and observations demonstrates the superiority of the PDFI method in reproducing the magnetic energy injection, total magnetic flux, and the current structure, as well as the superiority of the DAVE4VM method in reproducing the correlations between the simulated photospheric magnetic field and the observed one in the V-driven model in this case study. The main unobserved feature driven by the DAVE4VM velocity, i.e., the flux pileup near the edge of the sunspots, nearly disappears in the PDFI simulation.

Since we used the enhanced bottom diffusion in both DAVE4VM and PDFI simulations, one may doubt whether the PDFI velocity can remove the flux pileup only with the extra bottom diffusion. It is difficult to test this idea using simulation directly because without the extra diffusion, both simulations can only be carried out for a short time duration. However, we can estimate this idea by inference, and the answer may be “no.” As we have discussed in Section 2.2.2, since the DAVE4VM method only solves the magnetic induction equation in the vertical direction, the DAVE4VM velocity will be strongly affected by the “moat”-flow-like motion, which can be observed by tracing the apparent motion of the

magnetic flux elements. As a consequence, the DAVE4VM velocity will follow the trajectory of these flux elements, which may not be the actual photospheric flow. The PDFI method solves the full magnetic induction equation and will not be affected by the evolution of  $B_z$  so strongly. Therefore, the PDFI velocity does not have to be “moat”-flow-like near the sunspots’ edges (Figure 2A). Furthermore, it is the velocity field corresponding to the non-inductive electric field that mainly contributes to the flow that is parallel with the sunspots’ edges (Figure 2B), which can be explained as follows. Since the non-inductive electric field is the gradient of a scalar field, its curl vanishes, for which the non-inductive electric field will not contribute to the evolution of the bottom magnetic field, i.e., the velocity field corresponding to the non-inductive part should be along the contour of the magnetic field. These are the intrinsic reasons why PDFI velocity can remove the flux pileup at the edge of active regions.

Even with these improvements, there is still a large gap between the current models and the ultimate goal of developing the V-driven method that can reproduce the observed photospheric magnetic field. The reasons can be various. In the aspect of observation, there are inevitable errors in both the measurement of the magnetic field and Doppler velocity. It is also possible that the time cadence of measurement could not be sufficiently high in capturing the dynamics of the photosphere magnetic field, which might fundamentally lead to the inconsistency between simulation and observation. The other reason could originate from the FLCT method used in the PDFI\_SS software because the FLCT method may not represent the actual plasma flow (Démoulin and Berger, 2003) and may result in a biased potential electric field. Improvements can possibly be made by replacing the FLCT technique with other more reliable methods. In addition, numerical models also have to be developed. In the solar corona, the magnetic field is often assumed to be force-free. However, during the early stage in flux emergence simulations, the photosphere may also have a strong Lorentz force and torque (Jiang and Toriumi, 2020) that need not be force-free. Therefore, other forces (e.g., gravity, pressure-gradient) by which the residual of the Lorentz force should be balanced also have to be specified by modeling the lower atmosphere, i.e., the photosphere, chromosphere, and transition region. With these advances, the dynamic process in the photosphere and chromosphere has the potential to be recreated in simulation more realistically, which may be helpful in reproducing the homologous eruption in data-driven models and even the whole life span of ARs.

## Data availability statement

The original contributions presented in the study are included in the article/supplementary material; further inquiries can be directed to the corresponding author.

## Author contributions

XW accomplished the simulation, performed the result analysis, and wrote the draft. CJ revised the text and images of the manuscript.

All authors contributed to the article and approved the submitted version.

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