



## Human Vicarious Trial and Error Is Predictive of Spatial Navigation Performance

#### Diogo Santos-Pata<sup>1,2,3\*</sup> and Paul F. M. J. Verschure<sup>1,2,3,4\*</sup>

<sup>1</sup> SPECS: The Perceptive, Emotive and Cognitive Systems Group, Barcelona, Spain, <sup>2</sup> Institute for Bioengineering of Catalonia, Barcelona, Spain, <sup>3</sup> Barcelona Institute of Science and Technology, Barcelona, Spain, <sup>4</sup> Catalan Institution for Research and Advanced Studies, Barcelona, Spain

When learning new environments, rats often pause at decision points and look back and forth over their possible trajectories as if they were imagining the future outcome of their actions, a behavior termed "Vicarious trial and error" (VTE). As the animal learns the environmental configuration, rats change from deliberative to habitual behavior, and VTE tends to disappear, suggesting a functional relevance in the early stages of learning. Despite the extensive research on spatial navigation, learning and VTE in the rat model, fewer studies have focused on humans. Here, we tested whether head-scanning behaviors that humans typically exhibit during spatial navigation are as predictive of spatial learning as in the rat. Subjects performed a goal-oriented virtual navigation task in a symmetric environment. Spatial learning was assessed through the analysis of trajectories, timings, and head orientations, under habitual and deliberative spatial navigation conditions. As expected, we found that trajectory length and duration decreased with the trial number, implying that subjects learned the spatial configuration of the environment over trials. Interestingly, IdPhi (a standard metric of VTE) also decreased with the trial number, suggesting that humans benefit from the same head-orientation scanning behavior as rats at spatial decision-points. Moreover, IdPhi captured exclusively at the first decision-point of each trial, was correlated with trial trajectory duration and length. Our findings demonstrate that in VTE is a signature of the stage of spatial learning in humans, and can be used to predict performance in navigation tasks with high accuracy.

#### Keywords: navigation, spatial decision-making, hippocampus, deliberation, habitual

## **1. INTRODUCTION**

During spatial navigation experiments, rats often stop at the maze intersections to look back and forth toward their possible route choices. Such behavior, originally interpreted as an exploration of possible future outcomes, was termed 'vicarious trial and error' (VTE) (Tolman, 1939; Tolman and Minium, 1942). It has been shown that animals exhibit more VTE behaviors during early phases of spatial exposure (Tolman and Minium, 1942), and when discriminating between possible options becomes more difficult (Tolman, 1939).

From a spatial navigation perspective, VTE has been linked to the firing of hippocampal place cells. Place-cells are neurons in the hippocampal formation whose receptive-fields are tuned

## OPEN ACCESS

#### Edited by:

Bruno Poucet, Centre National de la Recherche Scientifique (CNRS), France

#### Reviewed by:

Hugo Spiers, University College London, United Kingdom Aaron Wilber, Florida State University, United States Christine Simmons, Florida State University, United States, in collaboration with reviewer AW

#### \*Correspondence:

Diogo Santos-Pata diogo.santos.pata@gmail.com Paul F. M. J. Verschure pverschure@ibecbarcelona.eu

Received: 20 May 2018 Accepted: 21 September 2018 Published: 12 October 2018

#### Citation:

Santos-Pata D and Verschure PFMJ (2018) Human Vicarious Trial and Error Is Predictive of Spatial Navigation Performance. Front. Behav. Neurosci. 12:237. doi: 10.3389/fnbeh.2018.00237 to specific locations of the explored environment (O'Keefe, 1979). Such position-related signals have been shown to be used when rats probe future trajectories during spatial-decision making processes (Johnson and Redish, 2007). Specifically, during VTE behavior occurring at navigational intersections, hippocampal place-cells are sequentially activated in the direction of the maze arm toward which the animal orients itself. Moreover, it has been shown that hippocampal damage impairs evaluation of reward in rodents during delay discounting tasks (Bett et al., 2015). Such findings give rise to the hypothesis that VTE serves the purpose of setting the neuronal mechanisms of spatial representation for simulating future trajectories rather than simply potentiating the acquisition of sensory information.

Rodent spatial learning has been described to employ two types of navigational strategies (Schmidt et al., 2013): "place" and "response." "Place" strategies use environmental sensory information to encode current and future locations and leads to the ability to adapt to environmental sensory changes. "Response" strategies, on the other hand, rely on the learned sequence of motor-actions to reach a goal-location in a given navigational context. It has been shown that the different stages of spatial learning recruit one or the other strategy, with each using its own distinct, associated neural mechanism (see Redish, 2016, for a review on VTE and spatial learning). Specifically, lidocaine inactivation of hippocampal neural populations impaired "place" strategies and striatal caudate nucleus inactivation disrupted "response" navigational strategies (Packard and McGaugh, 1996). The distinct recruitment of these brain regions during spatial navigation suggests that with increased familiarity, initial learning stages, when tasks still require the association of spatial and sensorial information for the understanding of the experimental contingency, progressively transition into a cueaction coding scheme (Schmidt et al., 2013).

Situating oneself in space is dependent on one's exploration history and perception of environmental cues. As navigational learning increases, attention allocated for sensory precessing decreases and motor-outcome memories play a more prominent role (Jensen et al., 2007; Redish, 2016).

However, the signatures of such learning/relearning and how experience affects them remain unclear. Head-scanning behaviors have been shown to relate to novelty detection and are suggested to serve the purpose of sensory acquisition (Monaco et al., 2014). Even though VTE has been mostly studied in rodents' spatial navigation, humans might also benefit from, and exhibit, such behavior. At the very least, the neuronal apparatus serving spatial representation has been found in the human hippocampus (Ekstrom et al., 2003). Also, at the behavioral level, human saccade-fixate-saccade sequences have been reported during moments of decision-making in visuospatial tasks, suggesting that humans also project onto their possible options before taking a decision (Krajbich and Rangel, 2011; Voss et al., 2011). Thus, one could expect that similar to rodents, specific behavioral mechanisms take place during the various stages of spatial learning in the human model.

To test whether humans take advantage of VTE behaviors during spatial navigation, we devise a virtual reality navigational task where participants are asked to perform goal-oriented navigation. We test their ability to perform goal-oriented navigation within a virtual environment where exposure conditions promoted either "place" (through low-frequency exposure to a navigational contingency) or "response" (through high-frequency exposure instead) strategies. We hypothesize that head-orientation during spatial decision-making is a behavioral correlate of learning and, moreover, that it is predictive of navigational performance.

## **METHODS**

## **Experimental Design**

Twenty subjects (8 female, age 27  $\pm$  3 years old, righthanded) were recruited from Universitat Pompeu Fabra students community. This study was carried out in accordance with the recommendations of Ethics Committee of the Universitat Pompeu Fabra. The protocol was approved by the Comité para la integridad de la investigación (CEIC). All subjects gave written informed consent in accordance with the Declaration of Helsinki. The experiment consisted of goal-oriented virtual navigation trials, where subjects were asked to perform a spatial memory task by reaching a goal-object location the quickest possible. A squared virtual environment (20 virtual meters (vm) on each side), made up of corridors and solid walls, was built using the Unity3D game engine (San Francisco, California). The layout was based on the one presented in Schmitzer-Torbert (2007): a highly symmetrical environment with sparse visual landmarks (Figure 1A), and multiple decisionmaking points between start- and target-locations. In order to perform virtual navigation, participants controlled a virtual character in first-person perspective. Translation and rotation in virtual space were controlled with a keyboard, with arrow keys indicating the direction of both translation (front/back) and rotation (left/right). Head orientation was controlled with an optical mouse along the physical horizontal plane and had a consistent mapping rate to the virtual world. Head and body rotation were programmed with specific rates of angular changes. Body rotation was set at  $\frac{2/\pi}{sec}$  of key pressing, while the head angular change was set at  $\frac{\pi}{10cm}$  of the optical mouse translation. Therefore, performing virtual direction-scanning behaviors was faster by simply manipulating the character's head rather than its body. Indeed, such manipulation served the purpose of promoting head rotation when participants sought to acquire environmental sensory information during spatial decision-making. A 1 vm length virtual pole projecting ahead of the users viewpoint was included so that participants were aware of both the body and head orientation in the environment. The virtual speed of navigation was kept fixed throughout the experiment. Visual cues, composed of circular patches of different colors, were uniformly distributed across the virtual maze and were the only position-relevant information available (see a screenshot of the virtual maze in Figure 1B). Two possible starting locations, as well as two distinct target locations, were defined. Therefore, there were four possible starttarget combinations, all of which were tested. Because rodent VTE has been linked to spatial learning and consequently to place/response navigational strategies, we assigned each of the four start-target combinations to different exposure frequencies. Subjects were exposed to the high-frequency condition in 60% of the trials and to the low-frequency condition in 28% of them. The remaining two start-target combinations were interleaved with the high- and low-frequency conditions and were not considered for further analyses. Subjects were instructed to complete at least 50 navigational trials. Thereafter they were allowed to freely continue the experiment. On average, participants performed a total of 67.4trials(mean, std = 2.66). Nevertheless, we only analyzed the first 62 trials of each participants.

Trial types were uniformly shuffled across the experimental session and could not be predicted by the subjects (number of high-frequency trials was *mean* = 37.4, *std* = 2.2, and for low-frequency *mean* = 19.2, *std* = 0.9). At every trial, a visual icon representing the target object (color and shape) was presented and kept visible on the computer screen (**Figure 1B**). Each trial started with the virtual character placed at one of the two possible starting locations and ended when the goal-object was reached. At the end of each trial, a blank window with a white cross centered on the screen was displayed for 4 seconds. After that, the white cross disappeared, indicating that participants could now start a new trial by pressing any of the arrow keys on the keyboard.

#### **Navigational Measures**

#### 1.0.1. Trial Duration and Distance

The position and angular orientation of the virtual character were recorded throughout the experiment at a sampling rate of 70 HZ. Trial duration was considered as the elapsed time from the trial onset till the moment the character reached the target-object. The trial distance was computed by summing the traveled distance given by:

$$distance = \sum_{k=0}^{n} \|xy_k - xy_{k+1}\|$$
(1)

where, xy are the spatial coordinates of each point k in the trajectory.

## 1.0.2. Route Difference

The route difference, a measure of similarity between pairs of navigational trajectories was used to quantify learning and automation. Each trajectory consisted of the path taken between the starting location and target location of each trial. Therefore, the route difference reflects the Euclidean distance between the two given navigational trajectories. In order compute the route difference between a trajectory pair, each trajectory was clipped to the vector length of the shortest trajectory and interpolated along each data-point. Thus, their difference was measured between each aligned data point for every pair of trials. The final score was obtained by averaging the difference between trials i and j given by:

Route\_difference = 
$$\frac{\sum_{k=0}^{n} \sqrt{(x_i(k) - x_j(k))^2 + (y_i(k) - y_j(k))^2}}{n}$$
(2)

where, x and y are the virtual environment x-axis and y-axis coordinates of each point k from either i or j trajectories, and n is the total amount of positions from the interpolated trajectories.

#### 1.0.3. IdPhi: VTE Behavior

The IdPhi measure has been used in the rodent literature to quantify VTE behaviors at decision-points of navigational tasks (Schmidt et al., 2013). We adapted IdPhi to our dataset in order to quantify changes in angular orientation at decision-points during navigation. Navigational components used in the IdPhi analysis comprised the trajectory segments at the moment the virtual character approached the first spatial decision-point until it entered one of the arms of the maze. The first derivative of the character's head orientation was extracted to obtain changes in angular orientation ( $\vec{\theta}$ ). To avoid circular transitions, we corrected the radian phase angles of the orientation signal when absolute jumps between consecutive data points were greater than  $\pi$  (Schmidt et al., 2013). The IdPhi measure was then calculated by integrating the absolute values of |dPhi|, given by:

$$IdPhi = \int \|unwrap\left(\dot{\vec{\theta}}\right)\|$$
(3)

where  $\theta$  are the virtual character's angular orientation points of the trajectory segment included in each analysis. To prevent circular transitions, the angular orientation  $(\dot{\theta})$  was unwrapped by changing deltas between values to  $2\pi$  complement (see Schmidt et al., 2013 for details regarding the IdPhi measure).

#### 1.0.4. Head-Orientation Variability

The IdPhi measure of VTE behavior extracts a single value for a given trajectory segment, however it does not provide a continuous measure of head-scanning. Because the VTE behavior is characterized by head orientation alternations between spatial options (in here, left and right alleys), we observed oscillatory-like head movements profiles. Therefore, we were able to quantify head-orientation variability in continuous temporospatial dimension. In order to observe how participants modulated their head-scanning behavior along with their trajectories, we measured changes in head-orientation and quantified its modulation in their spatial rate dimension for each trajectory. We first applied a band-passed filter (1-20 Hz) onto the head-orientation signal. The resulting orientation signal was z-scored and its envelope was extracted via the Hilbert transform. The orientation amplitude was normalized to its corresponding trial maximum amplitude. The environment was segmented into 30x30 virtual bins (bin size = 1 vm) and the orientation amplitude score was normalized by the time spent at each of the environment's spatial bins. The resulting orientation variability rate maps were then smoothed using a 2D Gaussian filter ( $\sigma =$ 1.2).



## RESULTS

## 1.1. Spatial Learning

All analyses and data processing were done using the scipy (Jones et al., 2001) and numpy (Oliphant, 2006) python packages. Spatial learning was measured through trajectory similarity across trials of the same (high/low frequency) condition. For illustrative purposes, two trajectories from the same participant, one from an early trial and one from a late trial, are depicted in Figure 1C. As expected, early trials of both conditions tend to take longer to complete than later trials in the same condition, suggesting that overall, participants were capable of understanding the environment's layout and task contingencies (Figure 2B). After reaching a plateau (trial 6), navigational duration was significantly different between conditions (23.1  $\pm$  3.6 s for the low-frequency condition, and 15.29  $\pm$  1.35 s for the high-frequency condition, t-test p < 0.01, t = 2.75). Similarly, trajectory length reached a plateau around trial 6 and subsequent trials revealed significance between conditions  $(34.01 \pm 4.62 \text{ vm for the low-frequency condition, and } 24.74$  $\pm$  2.08 vm for the high-frequency condition, t-test p < 0.05, t = 2.65). Despite these decreases in duration and length, we expected that trajectories would become more similar as participants learned the environmental contingencies (for an example of consecutive high-frequency condition trials from one subject, see Figure 2A). We have measured the route difference between every trajectory pair from both conditions per subject (Figure 2C). Overall, early trials exhibited a greater route difference (low condition max = 7.18vm, high condition max = 5.96vm) whereas later trials were found to be more similar (low condition min = 0.47vm, high condition min = 0.21 vm). In summary, decreases in navigational paths duration and length, accompanied by increases in trajectory similarity suggests that participants were capable of progressively acquiring knowledge of both the environmental configuration as well as the experimental configuration, adapting their spatial routes and decision-making depending on the start-goal contingency.

## 1.2. VTE

We hypothesized that humans benefit from behavioral correlates of spatial decision-making, specifically from VTE behaviors, as has been previously shown in rodents (Tolman, 1939, 1948; Tolman and Minium, 1942; Schmidt et al., 2013) In order to measure whether humans do benefit from these back and forth head-scanning behaviors, we quantified navigational variables in both high and low-frequency conditions. Because highfrequency conditions have, by definition, a greater probability of occurring, we expected that trajectory length and duration of trials with high-frequency start-target combinations would be shorter when compared with low-frequency conditions. The rationale was that because participants were exposed to the high condition more often than to the low condition, associations between environmental sensory information and goal-locations with their respective repertoire of motor actions would be encoded earlier in the experimental session. Surprisingly, the plateau of duration and length was reached within a similar number of trials for both conditions (Figure 2). Despite coherent learning stabilization in the number of trials exposed in both conditions, we still expected trajectory length and duration of navigation to differ across conditions. Indeed, duration was significantly lower (t-test, p < 0.05) for the high as opposed to the low frequency condition (High: mean =  $-0.27 \pm$ 



0.16std, low: mean =  $0.15 \pm 0.25std$ ). Moreover, trajectory length was significantly shorter (*t*-test, p < 0.05) for the high condition (mean =  $-0.26 \pm 0.15std$ ) when compared to the low condition (mean =  $0.14 \pm 0.29std$ ). Taken together, these results suggests that higher exposure to the navigational task leads to greater route optimization as compared to fewer exposures.

Other than trajectory duration and length, we were also interested in head-scanning events at decision-points. As described in the methods section, we extracted the trajectory segments when participants were crossing through the first decision point. IdPhi scores were split in high and low frequency conditions (**Figure 3**-right subplot). Interestingly, low frequency trajectories revealed a greater variation in head-orientation at the first decision-point (*mean* =  $0.39 \pm 0.28std$ ) when compared with

the high condition (mean =  $-0.27 \pm 0.17$  std) at a statistically significant level (*t*-test, p < 0.01).

## 1.3. VTE Decreases With Learning

We have reported on three measures of spatial navigation: trajectory duration, length, and IdPhi at the first decision intersection. We hypothesized that IdPhi scores were predictive of the participant's ability to perform a goal-oriented navigational task in a static environment. As expected, we have observed greater trajectory duration, length and IdPhi for the lowfrequency condition when compared to the high-frequency condition, suggesting that frequency of exposure modulates the internal representation of the environment and/or experimental contingencies. Head-scanning behaviors have been observed to increase the activity of hippocampal place-cells leading to



the potentiation of their receptive-fields at a given spatial location (Monaco et al., 2014). These results suggest onetrial encoding of experiences mediated by place-cell activity. Therefore, one could expect that head-scanning behaviors serve the purpose of acquiring sensory information in moments when hippocampal spatial representation does not properly, or sufficiently, predict the sensory statistics of the environment. However, whether head-scanning is an all-or-nothing type of behavior or if it follows the progressive modulation, as observed for trajectory duration and length, is still unclear. We calculated averaged scores for the three navigational measures at a trial-by-trial basis for each condition (Figure 4). As observed in Figure 2, trajectory duration and length quickly decreased with contingency exposure. Again, high-frequency condition revealed greater decrease in trajectory length, duration and IdPhi measures after reaching its plateau when compared with low frequency trials (Figure 4, top and middle). Notably, the IdPhi measure of head-scanning followed a similar trend as the previous navigational measures. That is, it progressively decreased with increasing contingency exposure and scored lower for the high-frequency condition after reaching a plateau compared to the low-frequency condition (Figure 4 bottom).

# **1.4. Head-Orientation Variability Is Specific to Decision-Making**

Given that in our task the decision taken at the first intersection was of greater importance than at other junctions (optimizing navigational score is heavily dependent on the decision made at the first intersection), VTE was measured by obtaining trajectory segments crossing the first decision point of each trial. In addition, we measured the head-orientation variability within the entire environment (see section Methods). Put briefly, we computed the first derivative of each trial's headorientation in polar coordinates, then applied a low-pass filter and a Hilbert transform on the signal. The amplitude of the head-orientation variability was normalized to the entire trial signal. Rate maps of each trial were built upon the discretized environment (*bin* = 1vm) and normalized to the occupancy duration spent in each spatial bin. Rate maps were aligned (rotated) so that the first-intersection position would match across conditions and averaged head-orientation variability across subjects were used for further analysis (Figure 5). In sum, low-frequency conditions have a higher rate of orientation variability at the first decision point when compared with the high-frequency condition (Figure 5 Top). Next, we built a library of rate maps and performed the clustering permutation statistical test. This way, we could assess whether levels of head-orientation at decision points were significantly higher for the low-frequency condition (p-val threshold was set to 0.05). As expected, a significant cluster survived permutation testing in bins surrounding the first decision intersection (Figure 5 Bottom, dashed pink inset). Similar to our other navigational measures, the amount of time spent at the first decision-making intersection progressively decreased with learning (Figure 5C), which served as a second control for the between-conditions differences in head-orientation variability observed before. Together, these results demonstrate that head-scanning behaviors are functionally engaged in the spatial decision-making as observed in the rodent literature. Moreover, the fact that the cluster center of mass is specific to a single location of the environment and head-orientation is not modulated throughout the environment supports the hypothesis that VTE is part of a deliberation process.

## **1.5. VTE Is Predictive of Navigational** Performance

We have observed a congruent modulation among the selected navigational measures when comparing between conditions and between trials. However, scoring of trajectory length and duration differ methodologically from the IdPhi scoring in the sense that IdPhi is obtained by a considerably short trajectory segment at the beginning of the navigational path, whereas trajectory length and duration summarize the entire starting point to goal navigational path. Moreover, IdPhi scores progressively decreased on a trial-by-trial basis, suggesting a continuous modulation congruent with spatial learning (**Figure 4**). We have



while low frequency trials oscillated around zero.



asked whether the amount of head-scanning behavior at the first intersection could be predictive of subsequent navigational performance. As the speed of navigation was programmed to be fixed-a continuous navigation-a small variance in the amount/duration of pauses across trials would have a strong, confounding correlation with trajectories' length (space) and duration (time). In order to control for navigational continuity, we computed the linear relationship between trajectories' length and duration through pairwise correlation (Pearson correlation coefficient r = 0.96, p < 0.01). All participants' data was z-scored and merged for this analysis). Participant-specific length and duration relationship is depicted in Figure 6 Top-Right. Next, we measured the linear relationship between IdPhi scores at the first intersection with the length and duration of that trial. IdPhi scores revealed to be significantly correlated for trajectory duration (Pearson correlation coefficient r =0.502, p < 0.01) and trajectory length (Pearson correlation coefficient r = 0.431, p < 0.01). Thus, this suggests that headscanning behavior at early moments of the trial is predictive of the final trajectory performance (Figure 6 middle and bottom). A measure of group effect was performed by averaging correlation coefficients. Regarding trajectory duration, IdPhi was predictive in 18 out of the 20 participants (p < 0.05, mean = 0.009  $\pm$ 0.005sem ). Similarly, IdPhi correlated with trajectory length in 17 out of the 20 subjects (p < 0.05, mean = 0.04  $\pm$ 0.02 sem).

## DISCUSSION

We have tested human spatial learning in a goal-oriented virtual navigation task. Because the behavioral and physiological correlates of animal spatial navigation have been extensively studied in the rodent model, we aimed to quantifiably link the observed rodent behaviors of specifically place/response strategies, VTE and head-scanning behaviors with how humans navigate in space.

Rodent spatial learning has been revealed to be punctuated with very specific behavioral and neuronal markers at distinct learning phases of spatial exploration. One such behavioral marker, the VTE behavior, i.e. pausing at a maze intersection during spatial navigation to look back and forth at the possible options before taking a decision, has been observed for many years (Tolman, 1939; Tolman and Minium, 1942). Presumably, scanning the environmental configuration while in the process of decision-making suggested that animals were gathering sensory information in order to enrich their world model. Nevertheless, it is known that both rodents and humans are capable of developing neuronal spatial representations of their surroundings (O'Keefe, 1979; Ekstrom et al., 2003). Moreover, it has been shown that hippocampal place-cells representing possible future trajectories are sequentially activated during VTE behavior (Johnson and Redish, 2007). Therefore, this shows that VTE events occur even when the internal representation of space has been



**FIGURE 6** [IdPhi at first decision-point correlates with navigational aspects (**Top**) Relation between length and duration of each trial for all subjects (left) is highly correlated (Spearman test r = 0.942, p = 0). Correlations for individuals are shown on the right column. (**Middle**) Correlation between length and IdPhi (Spearman test r = 0.431, p < 0.001). (**Bottom**) Correlation between duration and IdPhi (Spearman test r = 0.5015, p < 0.001).

Human VTE

learned, suggesting that VTE does not serve the sole purpose of boosting the learning of the environmental sensory-statistics at the neuronal level. The hippocampal neural mechanisms for simulating spatial trajectories have been proposed both theoretically and computationally (Bush et al., 2015; Sanders et al., 2015). Additionally, a model of spatial representation and trajectory simulation has been implemented in a robotic simulation of the T-maze navigation task and suggested the emergence of VTE behaviors at early learning phases (Santos-Pata et al., 2016).

Conceptually, pausing at spatial decision-points can be a signature of at least two distinct processes. On one hand, scanning the possible routes to take allows acquiring sensory information regarding the environmental options. This increase in available sensory information could potentially increase the number of hippocampal place-cells tuned to the spatial locations of each of the maze-arms, as observed in Monaco et al. (2014), thereby optimizing performance. On the other hand, aligning one's body toward possible decision options would allow the setting of path-integration hippocampal mechanisms to simulate future trajectories, as has been theoretically and computationally postulated (Bush et al., 2015; Sanders et al., 2015). Regardless of whether VTE behaviors serve to gather spatially relevant sensory information or to modulate internal mechanisms of spatial representation, the fact that they occur at specific spatial learning stages is indicative of their participation in navigational performance (Redish, 2016).

In order to assess spatial learning, we have devised a navigation task in a highly symmetric virtual environment where the floor, walls and ceiling texture, as well the environment layout would not be sufficient to decode a participant's avatar's position. The only reliable sensory signal indicative of position were the visual cues made of circular patches sparsely scattered in the environment that were kept constant throughout the experiment. Because in each trial, participants would start at one of two possible locations and were asked to reach one of two possible targets, the trajectory taken to reach the goal was specific to each of the combinations. The ability to form a spatial representation of the environment and task contingency was measured through spatio-temporal characteristics of the participants spatial trajectories. We observed that participants were capable of improving their trajectories length and duration after a few trials of exposure to a given starting-goal combination (Figure 2B). Similarly, we have observed that with learning, the similarity of trajectories belonging to the same condition tended to increase (Figures 2B,C). Surprisingly, we observed a temporary decrease in navigational performance (i.e., an increase in trajectory length and duration) for the low-frequency condition at trial 8 (Figure 2B). One possibility could be that the learning of high-frequency trials might affect low-frequency trials performance. However, further investigation is needed to assess this hypothesis.

Despite the navigational improvement throughout the experiment, such spatial measures do not entirely capture the underlying mechanisms by which participants learned the task. On the one hand, it could be that with exposure, participants incrementally acquired spatial knowledge and were capable of

forming a proper spatial representation of their surroundings, therefore becoming capable of situating themselves within the maze, understanding the environment's layout and remembering spatial locations associated with target objects. On the other hand, such navigational improvements could be due to the participants' ability to associate a set of landmarks/cues at the initial trial's location with a sequential chain of navigational actions leading to the target-object.

An alternative hypothesis would be that participants recruit distinct navigational strategies (place/response) accordingly with the trial condition that they are requested to perform. Similar to the alternation of navigational strategies strategies observed in rodents (Schmidt et al., 2013), the levels of IdPhi scoring in our experiment were significantly higher for the lowfrequency condition when compared to the high-frequency condition. Therefore, it not only suggests that participants adapt their strategies to the experimental contingency but also proposes that participants do not relied on a memory of action sequences in the low-frequency condition. Our results are congruent with the assumption that humans take advantage of head-orientation during spatial-decision making, a component observed in both VTE and head-scanning behaviors (Tolman and Minium, 1942; Monaco et al., 2014). In previous studies, the head-orientation variability at given location served to identify the cognitive processes underlying spatial decisionmaking and spatial representation. In Tolman and Minium (1942), head-orientation variability served to identify VTE behaviors, whereas in Monaco et al. (2014), it served to identify head-scanning behaviors. However, head-orientation variability is a continuous measure rather than simply a binary marker for behavioral events. We hypothesized that head-orientation variability would be modulated with learning, as observed for other spatial measures (trajectory length, duration and similarity). As expected, IdPhi scores progressively decrease with increasing trial number, suggesting that head-orientation variability is modulated by spatial learning (Figure 4).

Evidence for the relationship between VTE behavior and spatial learning have been previously shown (Tolman and Minium, 1942; Redish, 2016). In a review of the VTE behavior in rodents, David Redish showed indications that VTE occurs mostly in early stages of spatial learning (Redish, 2016). Interestingly, quantification of VTE is measured at specific spatial decision points of the environment, whereas navigational performance is based on spatial features over the entire navigational path. Because IdPhi was measured, in our experimental setup, at the very first decision-making intersection, we aimed to understand whether such measure was prognostic of the participants performance of each trajectory. We observed a high correlation between IdPhi at the first intersection and the length and duration of the corresponding trajectory, suggesting that, indeed, occurrence of head-scanning is a predictor of spatial learning.

We sought to understand whether humans, like rodents, benefit from the variability of head-orientation during spatial decision-making, and how it is further related with spatial learning. Furthermore, we devised a virtual environment and experimental protocol where place and response strategies could be used to solve navigation. Our results suggest that humans are capable of adapting their navigational strategy depending on their understanding of the task contingency. Furthermore, we have shown that learning is tightly related with the amount of headorientation variability, suggesting the functional role of VTE and head-scanning in spatial-representation and navigational knowledge. Together, our results highlight the transferability of results found in the rodent model to the human model when it comes to the behavioral aspects of navigation.

Despite our attempt to quantify human VTE as observed in rodents, it is important to note that such head-related behavior has been studied in unconstrained experimental tasks, such as those of alternate tasks. In our set up, however, head orientation was obtained from the virtual character, not the subjects themselves. Due to these limitations, we used a virtual pole indicating the orientation of the virtual character's body, which implicitly decreases the ecological validity of our setup. In order to improve the ecological validity of our setups, future work should address these limitations. One possibility would be to test

## REFERENCES

- Bett, D., Murdoch, L. H., Wood, E. R., and Dudchenko, P. A. (2015). Hippocampus, delay discounting, and vicarious trial-and-error. *Hippocampus* 25, 643–654. doi: 10.1002/hipo.22400
- Bush, D., Barry, C., Manson, D., and Burgess, N. (2015). Using grid cells for navigation. *Neuron* 87, 507–520. doi: 10.1016/j.neuron.2015.07.006
- Ekstrom, A. D., Kahana, M. J., Caplan, J. B., Fields, T. A., Isham, E. A., Newman, E. L., et al. (2003). Cellular networks underlying human spatial navigation. *Nature* 425:184. doi: 10.1038/nature01964
- Jensen, O., Kaiser, J., and Lachaux, J.-P. (2007). Human gamma-frequency oscillations associated with attention and memory. *Trends Neurosci.* 30, 317– 324. doi: 10.1016/j.tins.2007.05.001
- Johnson, A., and Redish, A. D. (2007). Neural ensembles in ca3 transiently encode paths forward of the animal at a decision point. J. Neurosci. 27, 12176–12189. doi: 10.1523/JNEUROSCI.3761-07.2007
- Jones, E., Oliphant, T., and Peterson, P. (2001). SciPy: Open Source Scientific Tools for Python.
- Krajbich, I., and Rangel, A. (2011). Multialternative drift-diffusion model predicts the relationship between visual fixations and choice in value-based decisions. *Proc. Natl. Acad. Sci U.S.A.* 108, 13852–13857. doi: 10.1073/pnas.1101328108
- Monaco, J. D., Rao, G., Roth, E. D., and Knierim, J. J. (2014). Attentive scanning behavior drives one-trial potentiation of hippocampal place fields. *Nat Neurosci.* 17:725. doi: 10.1038/nn.3687
- O'Keefe, J. (1979). A review of the hippocampal place cells. *Progr. Neurobiol.* 13, 419–439. doi: 10.1016/0301-0082(79)90005-4
- Oliphant, T. E. (2006). A Guide to NumPy, Vol. 1. Spanish Fork: Trelgol Publishing.
- Packard, M. G., and McGaugh, J. L. (1996). Inactivation of hippocampus or caudate nucleus with lidocaine differentially affects expression of place and response learning. *Neurobiol. Learn. Mem.* 65, 65–72. doi: 10.1006/nlme. 1996.0007
- Redish, A. D. (2016). Vicarious trial and error. Nat. Rev. Neurosci. 17:147. doi: 10.1038/nrn.2015.30

participants in freely moving environments with the use of virtual reality headsets.

## **AUTHOR CONTRIBUTIONS**

DS-P obtained and analyzed the data. All authors designed the experiment and wrote the manuscript.

## FUNDING

The research leading to these results has received funding from the European Research Council under the European Union's Seventh Framework Programme (FP7/20072013)/ERC grant agreement no (341196) cDAC.

## ACKNOWLEDGMENTS

We thank Sock Ching Low and Daniel Pacheco for their comments on early versions of the manuscript.

- Sanders, H., Rennó-Costa, C., Idiart, M., and Lisman, J. (2015). Grid cells and place cells: an integrated view of their navigational and memory function. *Trends Neurosci.* 38, 763–775. doi: 10.1016/j.tins.2015.10.004
- Santos-Pata, D., Zucca, R., and Verschure, P. F. (2016). "Navigate the unknown: implications of grid-cells "mental travel" in vicarious trial and error," in *Conference on Biomimetic and Biohybrid Systems* (Cham: Springer), 251–262.
- Schmidt, B., Papale, A., Redish, A. D., and Markus, E. J. (2013). Conflict between place and response navigation strategies: effects on vicarious trial and error (vte) behaviors. *Learn. Mem.* 20, 130–138. doi: 10.1101/lm.028753.112
- Schmitzer-Torbert, N. (2007). Place and response learning in human virtual navigation: behavioral measures and gender differences. *Behav. Neurosci.* 121:277. doi: 10.1037/0735-7044.121.2.277
- Tolman, E., and Minium, E. (1942). Vte in rats: overlearning and difficulty of discrimination. J. Compar. Psychol. 34:301.
- Tolman, E. C. (1939). Prediction of vicarious trial and error by means of the schematic sowbug. *Psychol. Rev.* 46:318.
- Tolman, E. C. (1948). Cognitive maps in rats and men. Psychol. Rev. 55:189.
- Voss, J. L., Warren, D. E., Gonsalves, B. D., Federmeier, K. D., Tranel, D., and Cohen, N. J. (2011). Spontaneous revisitation during visual exploration as a link among strategic behavior, learning, and the hippocampus. *Proc. Natl. Acad. Sci.* U.S.A. 108, E402–E409. doi: 10.1073/pnas.1100225108

**Conflict of Interest Statement:** The authors declare that the research was conducted in the absence of any commercial or financial relationships that could be construed as a potential conflict of interest.

Copyright © 2018 Santos-Pata and Verschure. This is an open-access article distributed under the terms of the Creative Commons Attribution License (CC BY). The use, distribution or reproduction in other forums is permitted, provided the original author(s) and the copyright owner(s) are credited and that the original publication in this journal is cited, in accordance with accepted academic practice. No use, distribution or reproduction is permitted which does not comply with these terms.