Check for updates

OPEN ACCESS

EDITED BY Alexandros E. Tsouknidas, Boston University, United States

REVIEWED BY Zheng Duanmu, Beijing Information Science and Technology University, China Zhan Liu,

Sichuan University, China

*CORRESPONDENCE Yun Hu, ≥ 500188@hospital.cqmu.edu.cn Leilei Zheng, ≥ zhengleileicqmu@hospital.cqmu.edu.cn

RECEIVED 14 September 2024 ACCEPTED 31 October 2024 PUBLISHED 13 November 2024

CITATION

Wang Y, Daraqel B, Wang Y, Yang D, Dong Y, Hu Y and Zheng L (2024) Biomechanical effects of different mandibular movements and torque compensations during mandibular advancement with clear aligners: a finite element analysis. *Front. Bioeng. Biotechnol.* 12:1496517. doi: 10.3389/fbioe.2024.1496517

COPYRIGHT

© 2024 Wang, Daraqel, Wang, Yang, Dong, Hu and Zheng. This is an open-access article distributed under the terms of the Creative Commons Attribution License (CC BY). The use, distribution or reproduction in other forums is permitted, provided the original author(s) and the copyright owner(s) are credited and that the original publication in this journal is cited, in accordance with accepted academic practice. No use, distribution or reproduction is permitted which does not comply with these terms.

Biomechanical effects of different mandibular movements and torque compensations during mandibular advancement with clear aligners: a finite element analysis

Ya Wang^{1,2,3}, Baraa Daraqel^{1,2,3,4}, Ying Wang^{1,2,3}, Dan Yang^{1,2,3}, Yihan Dong^{1,2,3}, Yun Hu^{1,2,3}* and Leilei Zheng^{1,2,3}*

¹Stomatological Hospital of Chongqing Medical University, Chongqing, China, ²Chongqing Key Laboratory of Oral Disease and Biomedical Sciences, Chongqing, China, ³Chongqing Municipal Key Laboratory of Oral Biomedical Engineering of Higher Education, Chongqing, China, ⁴Department of Orthodontics, Oral Health Research and Promotion Unit, Al-Quds University, Jerusalem, Palestine

Introduction: This study aimed to evaluate the biomechanical effects of different mandibular movements and torque compensations during mandibular advancement with clear aligners using finite element analysis.

Methods: Models were constructed to include the mandible, teeth, periodontal ligament (PDL), and clear aligners with buccal wings. Five oral muscles (superficial masseter, deep masseter, medial temporalis, posterior temporalis, and medial pterygoid) were represented as springs. Muscle values were measured and applied during different mandibular movements, including advancement distances (1–7 mm) and occlusal opening distances (2–4 mm). Different torque compensation angles (0°, 1°, 2°, and 3°) were applied to the mandibular central incisor.

Results: When the mandibular advancement was equal to or slightly excessed the occlusal opening distance, stress on the posterior PDL decreased and became more evenly distributed. Increasing the occlusal opening distance significantly raised stress on the posterior PDL and caused grater labial inclination of the mandibular anterior teeth. As the torque compensation increased, the labial inclination of the mandibular central incisor decreased, but stress on the PDL increased. Nearly complete bodily movement of the lower central incisor was achieved with torque compensation angles of approximately 15°, 19°, and 20° in models M1-2, M2-3, and M3-4, respectively.

Conclusion: To maintain periodontal health during mandibular advancement, it is recommended that the mandibular advancement distance be equal to or slightly excessed the occlusal opening distance. Excessive occlusal opening distance

Abbreviations: CBCT, Cone-beam computed tomography; CAT, Clear aligner treatment; DM, Deep masseter; FEA, Finite Element Analysis; PDL, Periodontal ligament; SM, Superficial masseter; MT, Middle temporalis and posterior temporalis; MP, Medial pterygoid.

increases stress on the posterior PDL and the labial inclination of mandibular anterior teeth, requiring careful control. Additionally, proper torque control of the mandibular interior teeth is crucial for optimal outcomes.

KEYWORDS

finite element analysis, clear aligner, mandibular advancement, torque compensation, class II malocclusion

1 Introduction

Class II malocclusion is one of the most prevalent orthodontic conditions, affecting approximately 20% of the global population with permanent dentition (Alhammadi et al., 2018). Skeletal Class II malocclusion is caused by mandibular retrognathia, maxillary protrusion, or a combination of both, with mandibular retrognathia being the most common (McNamara, 1981). In individuals with normal jaw anatomy, mandibular movements, such as opening, closing, and lateral shifts, are typically smooth and well-coordinated, allowing for balanced occlusal contact and function. However, patients with maxillofacial deformities, such as skeletal Class II malocclusion due to mandibular retrognathia, often exhibit altered jaw mechanics. These differences may include restricted mandibular advancement, altered occlusal contact patterns, and imbalanced muscle function, affecting both function and aesthetics (Alshammari et al., 2022). Understanding these variations is crucial when designing orthodontic interventions aimed at correcting such skeletal discrepancies.

Various appliances, including fixed and removable functional appliances like Twin Block, Bionator, Forsus, and Herbst, are frequently used to correct skeletal Class II malocclusions. These appliances have been shown to effectively stimulate mandibular growth, modify the position of the maxillomandibular complex, and improve the soft tissue profile, ultimately contributing to better patient psychosocial well-being (Cardoso et al., 2020; Sharma et al., 2021; Flores-Mir et al., 2018). However, they may also result in unwanted dental side effects, such as labial tipping of mandibular incisors and retrusion of maxillary incisors, which can limit their overall skeletal effects (Bishara, 2006). Recent advancements, such as skeletal anchorage Class II elastics and the use of Forsus with miniscrew or miniplate anchorage, have been developed to reduce the adverse dental side effects associated with conventional appliances (Ozbilek et al., 2017; Celikoglu et al., 2016). In 2017, Align Technology (San Jose, Calif, CA, United States) introduced clear aligner treatment (CAT) with Invisalign Mandibular Advancement specifically targeting growing patients with skeletal Class II malocclusion due to mandibular retrusion (Meade and Weir, 2024). The effectiveness of CAT for mandibular advancement is well-documented, and it has gained popularity among both clinicians and patients (Ravera et al., 2021; Liu C. et al., 2022; Sun and Liu, 2022). Unlike conventional appliances that rely on a single-stage approach, CAT employs a multi-stage strategy for mandibular advancement (Wang and Liu, 2021).

Clinical studies have highlighted differences in the biomechanical effects of mandibular advancement between traditional functional appliances and CAT (Ravera et al., 2021; Lund and Sandler, 1998; Zybutz et al., 2021). While traditional functional appliances are more effective in reconstructing the mandibular ascending ramus and condyle (Yu et al., 2023), CAT offers better control over the labial inclination of the mandibular

anterior teeth and minimizes the clockwise rotation of the mandibular plane compared to conventional methods (Wu et al., 2023; Sabouni et al., 2022; Yang et al., 2021). Despite these benefits, CAT still results in some labial inclination of the lower anterior teeth during mandibular advancement, which may increase the risk of periodontal damage, including gingival recession and bone dehiscence (Morris et al., 2017; Sulewska et al., 2021). Additionally, the impact of CAT on the clockwise rotation of the mandibular plane remains a subject of debate (Yang et al., 2021; Koukou et al., 2022). Currently, there are no clear guidelines regarding the optimal levels of mandibular advancement and occlusal opening when using CAT, and external root resorption continues to be a common side effect of this treatment (Fang et al., 2019; Brezniak and Wasserstein, 1993). Given these challenges, further investigation is needed to determine the appropriate amounts of mandibular advancement and occlusal opening to minimize the risk of periodontal damage during CAT.

In orthodontic treatment, stress distribution is critical because it directly influences tooth movement, periodontal health, and the stability of treatment outcomes. Finite Element Analysis (FEA) is a non-invasive, radiation-free numerical method that enables three-dimensional analysis of tissue physiological responses and stress distributions (Duanmu et al., 2021). It has been applied in orthodontic treatments, including clear aligners, mandibular advancement devices, and Herbst appliances (Yang et al., 2023; Caragiuli et al., 2021; Zhu et al., 2022). As both experimental and clinical studies are needed to better understand the biomechanics of mandibular advancement with clear aligners and to establish more definitive treatment protocols. Therefore, this study employs FEA, combined with simulations of oral muscles, to investigate the biomechanical effects of clear aligners for mandibular advancement under various conditions, as well as to quantitatively analyze torque control on the anterior teeth. These efforts aim to provide new insights and treatment strategies for clinical practice.

2 Materials and methods

2.1 Construction of an orthodontic model

This study was approved by the Ethics Committee at the Stomatological Hospital of Chongqing Medical University (2024–104). The patient selected for this study was a healthy 10-year orthodontic patient in the peak growth phase (CVM 3) and mixed dentition stage, presenting with a skeletal Class II malocclusion due to mandibular retrognathia (SNA: 78.1°, SNB:71.1° and ANB:7°). Three-dimensional (3D) models of the maxillary and mandibular bones, as well as teeth, were reconstructed using data from cone-beam computed tomography (CBCT) scans through Mimics Research software (19.0; Materialise, Leuven, Belgium) and



Geomagic Warp software (3D Systems, Rock Hill, SC, United States) (Barone et al., 2017). The PDL was modeled by displacing the root surface outward by an average of 0.2 mm (Seo et al., 2021). The mandibular alveolar fossa models were generated by subtracting the mandibular teeth and PDL from the mandible using Boolean operations (Figure 1A) (Liu L. et al., 2022).

Buccal wing attachments were designed to replicate clinical mandibular advancement (Figure 1B). The interdental spaces between the maxillary and mandibular dental arches were labeled as "U" for the upper arch and "L" for the lower arch. These attachments were positioned on the first molar region of the upper arch ("U") and the second primary molar region of lower arch ("L"). A sagittal space of 1.5 mm and an occlusal space of 1.5 mm were intentionally left between the bilateral buccal wing attachments. The attachments positions were established using SolidWorks software (SolidWorks, United States). The outer surfaces of the tooth crowns and buccal wings were adjusted to create the appliance's inner surface, aligning with the boundary curves of clear aligner. Clear aligners with buccal wings were created

by applying an external offset with a thickness of 0.75 mm (Meng et al., 2019) (Figure 1B).

The mandibular bone, teeth, PDL, and clear aligners with buccal attachments were assembled using 3-Matic software (11.0; Materialise, Leuven, Belgium) to generate a 3D FEA solid model with unstructured 4-noded tetrahedral elements (Figure 1C). Different mesh divisions were applied as follows: 0.5 mm for teeth, 1.5 mm for the mandibular bone, 0.1 mm for the PDL, 0.5 mm for the mandibular aligner, and 1.25 mm for the maxillary aligner. All models were configured to exhibit linear elastic properties (Kojima and Fukui, 2012). The number of nodes and elements is listed in Table 1.

These models were then imported into ANSYS software (ANSYS Inc., United States) for further analysis. As shown in Table 1, the material properties employed in this study were based on data from the study by Gomez et al., (2015). The mandibular PDLs were tightly bonded to the roots of the mandibular teeth and the supporting alveolar bone. To simulate the mandibular advancement, a "no separation" contact was established between the bilateral buccal

Component	Nodes	Elements	Young's modulus (MPa)	Poisson's ratio
Bone	172180	98491	13700	0.3
PDL	1603315	830393	0.68	0.49
Teeth	227307	74081	18600	0.31
Clear aligner	244907	128060	816.31	0.3

TABLE 1 Material properties and number of nodes and elements of the components



wing attachments, allowing for relative sliding without separation (Zhiguo et al., 2023). A friction coefficient of $\mu = 0.2$ was applied between the clear aligners and the corresponding teeth (Yao et al., 2023). The internal surface of maxillary clear aligners was set as a fixed part when the muscles forces were applied.

2.2 Design of aligner model

2.2.1 Orders of mandibular movement

A meta-analysis study has demonstrated that the mandibular plane angle remains largely unchanged during mandibular advancement with clear aligners (Yu et al., 2023). In this study, the total sagittal movement of the mandible is referred to as the advancement distance, while the total vertical movement is termed the occlusal opening distance. To investigate the biomechanical effects of different mandibular displacements, clear aligner models were created with occlusal opening distances of 2 mm (M1), 3 mm (M2), and 4 mm (M3). Mandibular advancement was set within a range of 1–7 mm. For example, when the mandibular advancement was 1 mm, the models were designated as M1-1, M2-1, and M3-1, respectively.

2.2.2 Measurement and loading of oral muscles

To accurately simulate mandibular advancement while using orthodontic appliance, this study modeled mandibular masticatory muscle activity using spring elements (Guo et al., 2013; Zhou et al., 1999; Pachnicz and Stróżyk, 2021; Ding et al., 2022). During the mandibular advancement, the inferior and superior heads of the lateral pterygoid muscle were kept passive (Zhu et al., 2022). The middle and posterior parts of the temporalis muscle were primarily responsible for restoring force. Therefore, the study included the superficial and deep parts of the masseter (SM, DM), middle and posterior parts of the temporalis (MT, PT), and the medial pterygoid (MP) (Figure 2A). Muscle forces were measured at mandibular occlusal opening distances of 2–4 mm and advancement distances of 1–7 mm. These forces were then applied to the model at muscle attachment sites based on the 3D distribution of muscles following different mandibular movements.

2.2.3 Anterior torque compensation of aligner

To evaluate torque changes during mandibular advancement, various torque compensations ($\theta = 0^{\circ}$, 1° , 2° , 3°) were applied to the right mandibular central incisor. Given that there were 21 models, with 7 models corresponding to each occlusal opening distance, torque compensations were only applied to those models that resulted in lower and more evenly distributed stress, based on the study's findings. These specific models were not predetermined in the pre-research planning but were instead chosen during the study as the results indicated their suitability. The target teeth were rotated counterclockwise around their volume center, with the crown facing the lingual side and the root facing the buccal side. Subsequently, new aligners were then created to accommodate the repositioned dentition.

2.2.4 Coordinate system setting

The coordinate system was established using the CBCT framework (Ding et al., 2022). The *x*-axis was defined as the intersection line between the coronal and the occlusal planes, extending from the patient's right to left side. The *y*-axis was defined as the intersection line between the sagittal and occlusal planes, extending positively from the anterior to the posterior teeth. The *z*-axis was set as the intersection line between the coronal and sagittal planes, extending from bottom to top. Von Mises equivalent stress was used as the standard to measure the stress levels in PDLs and clear aligners. Additionally, the displacement trends of the mandible and mandibular teeth were analyzed.

3 Result

The restorative forces during mandibular advancement are illustrated in Figure 2B. As mandibular advancement increased from 1 to 7 mm, the forces generated by SM and MP decreased. In contrast, the forces from the MT, DM, and PT increased, becoming dominant with greater mandibular advancement (Figure 2B). Detailed results regarding the forces and directions of muscle activity at varying advancement distances and occlusal opening distances are provided in the Supplementary File S1.

Equivalent stress on the PDL was primarily concentrated in the posterior dental region, with relatively lower stress in the anterior dental region (Figures 3A, B). In the posterior dental region, when the ratio of advancement distance to occlusal opening distance was less than 1, stress concentrated in the mandibular first primary molar (Figure 3C). However, as the ratio exceeded 1, the stress shifted to the mandibular first molar (Figure 3D). When the ratio was less than 1, the PDL stress decreased and became more evenly distributed as the ratio increased. Conversely, when the ratio exceeded 1, the PDL stress increased and became more

concentrated. Notably, PDL stress was lower and more evenly distributed when the mandibular advancement distance was equal to or slightly greater than the occlusal opening distance (Figure 4).

When the sum of advancement distance and occlusal opening distance was held constant, increasing occlusal opening significantly elevated stress on the posterior PDL and caused labial inclination of mandibular anterior teeth (Figure 5). Conversely, increasing the advancement distance produced the opposite effect.

As the torque compensation increased $(0^{\circ}, 1^{\circ}, 2^{\circ}, 3^{\circ})$, the labial inclination of the mandibular central incisor tended to decrease, indicating a transition from translation to torque movement of the lower central incisor (Figures 6A, B). Based on a linear regression model matched to the measured data, it was predicted that when the angle of compensation is approximately 15°, 19°, and 20°, the crown and root of the mandibular central incisor are displaced equally and towards the buccal side, achieving bodily movement, in the three models M1-2, M2-3, and M3-4, respectively (P < 0.05) (Figure 7). Furthermore, PDL stress increased significantly with increasing torque compensation, concentrating in the cervical and apical areas (Figure 8).

The personalized CAT for mandibular advancement were associated with a clockwise rotation of the mandibular bone and occlusal plane (Figure 9A). The mandibular clear aligners with personalized buccal wings induced the maximum occlusal displacement in the first molar area during mandibular advancement (Figure 9B).

4 Discussion

Advancements in digitization have increased the use of CAT for mandibular advancement in clinical practice. More adolescents are opting for CAT due to its comfort and aesthetic appeal (Lynch et al., 2023). Research indicates that excessive protrusion can adversely affect periodontal membrane reconstruction (Lee et al., 2018), and excessive stress, particularly, at a mandibular advancement of 7 mm, may lead to root absorption (Lee et al., 2018). Therefore, further investigation is needed to determine the optimal amounts of mandibular advancement and occlusal opening.

During mandibular advancement, the temporalis, masseter, and medial pterygoid muscles are activated, generating a posterosuperior force. This force is transmitted to the appliance, teeth, and jawbone via the locking mechanisms (Zhou et al., 2019). Clockwise rotation of the mandible and labial inclination of the mandibular anterior teeth are common during this process, requiring careful consideration in patients with pre-existing labial inclinations in the lower anterior region and those with high-angle cases.

Previous studies have found that clear aligners for mandibular advancement are significantly more effective than traditional orthodontic appliances in controlling lower anterior teeth and the mandibular plane (Wu et al., 2023; Yang et al., 2021; Koukou et al., 2022). However, there are conflicting views regarding the direction of mandibular rotation during mandibular advancement (Yu et al., 2023; Yang et al., 2021), and existing mechanical studies are limited. This study found that as the advancement distance increases, the activity of the DM, MT, and PT muscles intensified, while the activity of the SM and MP muscles weakened.





During mandibular advancement, the altered position of the mandible changes the direction and magnitude of muscle forces, especially those exerted by the masseter, temporalis, and medial pterygoid muscles (Akita et al., 2019). These changes are necessary to adapt to the new mandibular position and to maintain occlusal balance. Due to differences in anatomical roles and mechanics involved in stabilizing the mandible, the forward forces generated by certain muscle groups decrease as the mandible advances, while those generating backward forces become more significant. This redistribution of muscle activity helps achieve balanced muscle forces across the mandible, reducing excessive strain on specific areas. For instance, as the mandible advances, the superficial masseter fibers which are less aligned to generate force in this new forward position, become less active. Conversely, the deep masseter, with its deeper and more vertical fiber orientation, is better suited to stabilize and guide the jaw in this advanced position, making it more active (Se et al., 2022). This shift in muscle activity may explain the concentration of stress moving from the mandibular first primary molar to the first molar.



FIGURE 5 (A) The Von-mises (equivalent) stress of mandibular posterior PDL in different occlusal opening groups (B) The crown-root movement of mandibular right central incisor in different occlusal opening groups (C) The displacement of mandibular right central incisor in different occlusal opening groups; 2/4 (M1), mandibular advancement distance (2 mm) and occlusal opening distance (4 mm); 3/3 (M2), mandibular advancement distance (3 mm) and occlusal opening distance (3 mm); 4/2 (M3), mandibular advancement distance (4 mm) and occlusal opening distance (2 mm).



(A) The displacement of mandibular right central incisor in different torque compensation groups on the M3-4 model; (B) The crown-root movement of mandibular right central incisor in different torque compensation groups on the M3-4 model.



The linear regression model of crown-root displacement of mandibular central incisor and torque per millimeter on the M1-4 (A), M2-3 (B) and M3-4 (C) model.



In this study, stress on the posterior PDL during mandibular advancement was significantly higher than that on the anterior PDL, which aligns with findings from other studies (Zhiguo et al., 2023; Lee et al., 2018). We focused on analyzing stress patterns to identify conditions that minimize stress concentration in specific regions, which is crucial for optimizing clinical outcomes. The distribution of stress in the posterior PDL has significant implications for orthodontic treatment, as uneven stress can lead to undesired tooth movements, such as tilting or rotation, or can damage periodontal structures. For example, excessive stress concentrations in the posterior region could increase the risk of root resorption and damage to the periodontal membrane, potentially leading to irreversible changes in the tooth's support structure. In contrast, an even stress distribution can promote more controlled tooth movements and enhance treatment stability, especially during mandibular advancement (Consolaro et al., 2023). Our study observed that when the mandibular advancement distance was equal or slightly excessed the occlusal opening distance, the stress on posterior PDL decreased and became more evenly distributed. These findings underscore the importance of balancing mandibular advancement distance and occlusal

opening distance to ensure a favorable biomechanical environment for tooth movement and maintain periodontal health.

When the ratio of advancement distance to occlusal opening distance was less than 1, the contributions of the designed oral muscles (DM, MT, PT, SM, and MP) were substantial, working together effectively to maintain balance. As this ratio increased, the contributions of the anterior muscle bundle decreased, potentially explaining the observed reduction in stress on the posterior PDL. Conversely, when the ratio exceeded 1, the force generated by the DM, MT, and PT significantly exceeded those of the SM and MP, becoming the dominant forces. As this ratio continued to increase, the influence of the posterior muscle bundle increased significantly, which likely accounts for the increase and concentration of stress on the posterior PDL during this phase. Therefore, to maintain periodontal health during the advancement process, it is advisable for the mandibular advancement distance to be equal to or slightly greater than the occlusal opening distance. For cases additional mandibular phased requiring advancement, advancement using clear aligners is recommended to better manage stress distribution and ensure a more gradual adaptation of the surrounding structures.



This study also demonstrated that when the sum of advancement distance and occlusal opening distance remained constant, an increase in the occlusal opening distance significantly raised the stress on the posterior PDL and the labial inclination of mandibular anterior teeth. This excessive stress could potentially lead to root absorption (Lee et al., 2018). Moreover, the labial inclination of the lower incisors increases the risk of gingival recession, bone fenestration or dehiscence (Kraus et al., 2014), and it amplifies dental compensatory effects (Wu et al., 2023). Therefore, controlling the occlusal opening distance is crucial for maintaining the periodontal health of both anterior and posterior teeth. CAT offer an advantage in this regard, as they can eliminate occlusal interferences before advancement and require less occlusal opening distance than traditional appliances (Wang and Liu, 2021). The buccal wings of clear aligners, in comparison to bite ramps used in traditional appliances, can also prevent excessive bite opening, which may explain their better control over the labial inclination of the lower anterior teeth in clinical practice. Clear aligners with buccal wings may be suitable for patients with skeletal Class II malocclusion and occlusal interferences.

Recent research has shown that torque compensation can reduce the buccal inclination of posterior teeth during maxillary expansion with clear aligners (Yao et al., 2023). However, some degree of labial inclination of the lower incisors during mandibular advancement is inevitable, which can have significant adverse effects (Bartolucci et al., 2019; Li et al., 2017). It increases the likelihood of periodontal disease, gingival recession, bone dehiscence, and even reduces the skeletal effects of mandibular advancement (Bishara, 2006; Morris et al., 2017; Sulewska et al., 2021). Torque compensation can reduce the labial movement of the lower anterior crowns and the lingual movement of the roots. Therefore, the labio-lingual control of the lower anterior teeth during the mandibular advancement process is very important. In this study, to enhance control over mandibular anterior teeth, torque compensation was applied to the lower central incisor. As the torque compensation increased, the labial inclination of the central mandibular incisor tended to decrease. In models M1-2, M2-3 or M3-4, regression analysis predicted that torque compensation for the lower central incisor at approximately 15°, 19° or 20°, respectively, resulted in nearly bodily movement of the incisor. Nevertheless, studies have suggested that the effectiveness of torque movements with clear aligners may fall short of predictions. Hong et al. found that the actual achieved torque movement was significantly lower than anticipated, with a mean efficiency of 46.81% ± 33.95% (Hong et al., 2023). Similarly, Rajan et al. reported that the accuracy of achieved lower incisor lingual root torque was around 58.2% when $\geq 10^{\circ}$ of torque change was planned (Rajan et al., 2024). These findings suggest that overcompensation may be necessary to achieve the desired torque outcomes. Control of incisor inclination is achieved through the combined action of the crown lingual force generated by torque compensation and the crown labial force exerted by the clear aligners, resembling a couple force.

We concentrated on the analysis of the M3-4 model because all three models displayed the same pattern of increased torque compensation. Our study found that stress on the PDL in the lower central incisor increases with increasing torque compensation, focusing on the cervical and apical regions of the PDL. The movement of the lower central incisor transitioned into torque movement, which particularly suitable for patients with mandibular retrognathia with anterior labial inclination. Thus, appropriate torque control is essential to reduce excessive labial inclination. However, excessive stress may cause external root resorption (Chan et al., 2004). If the torque compensation is too large, it may be detrimental to periodontal health. To prevent root resorption with excessive torque compensation, it is necessary to monitor the relationship between teeth and alveolar bone after loading. Additionally, mandibular anchorage screws, anterior lipside voids, power-ridge, and lip muscle training should be employed to control the labial inclination of interior teeth during mandibular advancemnt (Yu et al., 2023; Yang et al., 2021).

Mandibular clockwise rotation and an increased mandibular plane angle were observed with clear aligners for mandibular advancement, which was consistent with previous clinical studies, with mandibular plane angle increases by approximately 1.87° (Yang et al., 2021). Therefore, caution should be exercised in mandibular advancement in skeletal Class II high-angle malocclusion. However, some clinical case reports have indicated that the mandibular plane angle is essentially unchanged during mandibular advancement with clear aligners (Yu et al., 2023). This could be due to the occlusal pad of clear aligners contributing to posterior teeth intrusion (Iscan and Sarisoy, 1997; Talens-Cogollos et al., 2022), leading to relative counterclockwise mandibular rotation. In addition, this study found that the maximum occlusal displacement of the mandibular clear aligners occurs on the first molar area. Adding attachments in the first molar area is a recommended strategy to improve aligner fit and prevent unwanted movement.

4.1 Limitations and recommendations

Although a significant correlation exists between tooth movement observed in clinical practice and the outcomes of FEA (Wang et al., 2024), it cannot completely replicate the actual oral environment and the properties of materials. Additionally, FEA cannot directly observe the exact biological remodeling of the alveolar bone. In this study, the remodeling direction of periodontal tissues can only be inferred from the stress distribution, which represents a limitation. Enhancing parameters and refining methods are necessary to achieve a more accurate simulation of clinical conditions using FEA. Additionally, while FEA primarily provides static and instantaneous results, further in vitro mechanical experiments and in vivo clinical trials are necessary to validate and refine these results, especially when determining accurate yield stress. It should be noted that the intrusion effect of the occlusal pad was not considered in this study. To enhance the clinical efficacy of clear aligners for mandibular advancement, more research is needed on the buccal wing's shape and position.

In this study, we focused on five key muscle groups—superficial masseter, deep masseter, medial and posterior temporalis, and medial pterygoid—due to their significant roles in mandibular stabilization and force generation during mandibular advancement. Although other muscles, such as the lateral pterygoid also contribute to mandibular dynamics, their influence is more related to specific movements. Therefore, the selected muscle groups were considered sufficient for analyzing the primary biomechanical changes during mandibular advancement with clear aligners. Future research may extend the analysis to include these additional muscles for a more comprehensive understanding of muscle interactions during mandibular movement.

5 Conclusion and clinical implications

Based on the findings and considering the limitations of this study, we concluded the following.

- 1. When the mandibular advancement equaled or slightly excessed the occlusal opening distance measurement, the stress on the posterior PDL is reduced and became more evenly distributed. For cases requiring further mandibular advancement, phased advancement using clear aligners is recommended to minimize excessive stress.
- 2. Increasing occlusal opening distance significantly elevates the stress on the posterior PDL and labial inclination of mandibular anterior teeth. Therefore, careful control of the occlusal opening distance during mandibular advancement is essential for maintain the periodontal health of both anterior and posterior teeth.
- 3. As torque compensation increases, the labial inclination of the central mandibular incisor tended to decrease, but the stress on the PDL also rises. To prevent root resorption with excessive torque compensation, it is important to monitor of the teeth after loading.
- 4. Adding attachments in the first molar area is a recommended strategy to enhance aligner fit and prevent unwanted movement, when using clear aligners with buccal wing during mandibular advancement.

Data availability statement

The original contributions presented in the study are included in the article/Supplementary Material, further inquiries can be directed to the corresponding authors.

Ethics statement

The studies involving humans were approved by the Research and Ethics Committee of the Affiliated Stomatology Hospital of Chongqing Medical University. The studies were conducted in accordance with the local legislation and institutional requirements. Written informed consent for participation in this study was provided by the participant's legal guardians/next of kin.

Author contributions

YaW: Formal Analysis, Methodology, Software, Validation, Writing-original draft. BD: Data curation, Methodology, Software, Writing-original draft. YiW: Formal Analysis, Writing-original draft. DY: Formal Analysis, Writing-original draft. YD: Formal Analysis, Writing-original draft. YH: Conceptualization, Supervision, Writing-review and editing. LZ: Conceptualization, Funding acquisition, Writing-review and editing.

Funding

The author(s) declare that financial support was received for the research, authorship, and/or publication of this article. This work was supported by Chongqing Medical Scientific Research Project (CSTB2023NSCQ-MSX0233), Project Supported by Chongqing Talent Program: Innovative leading talents (Medical field,

CQYC20210303384) and Program for Youth Innovation in Future Medicine, Chongqing Medical University (W0033).

Acknowledgments

Authors would like to thank LZ's team for its assistance in this study.

Conflict of interest

The authors declare that the research was conducted in the absence of any commercial or financial relationships that could be construed as a potential conflict of interest.

References

Akita, K., Sakaguchi-Kuma, T., Fukino, K., and Ono, T. (2019). Masticatory muscles and branches of mandibular nerve: positional relationships between various muscle bundles and their innervating branches. *Anat. Rec. Hob.* 302 (4), 609–619. doi:10.1002/ ar.23943

Alhammadi, M. S., Halboub, E., Fayed, M. S., Labib, A., and El-Saaidi, C. (2018). Global distribution of malocclusion traits: a systematic review. *Dent. Press J. Orthod.* 23 (6), 40.e1–40.e10. doi:10.1590/2177-6709.23.6.40.e1-10.onl

Alshammari, A., Almotairy, N., Kumar, A., and Grigoriadis, A. (2022). Effect of malocclusion on jaw motor function and chewing in children: a systematic review. *Clin. Oral Investig.* 26 (3), 2335–2351. doi:10.1007/s00784-021-04356-y

Barone, S., Paoli, A., Razionale, A. V., and Savignano, R. (2017). Computational design and engineering of polymeric orthodontic aligners. *Int. J. Numer. Methods Biomed. Eng.* 33 (8), e2839. doi:10.1002/cnm.2839

Bartolucci, M. L., Bortolotti, F., Martina, S., Corazza, G., Michelotti, A., and Alessandri-Bonetti, G. (2019). Dental and skeletal long-term side effects of mandibular advancement devices in obstructive sleep apnea patients: a systematic review with meta-regression analysis. *Eur. J. Orthod.* 41 (1), 89–100. doi:10.1093/ejo/ cjy036

Bishara, S. E. (2006). Class II malocclusions: diagnostic and clinical considerations with and without treatment. *Semin. Orthod.* 12 (1), 11–24. doi:10.1053/j.sodo.2005. 10.005

Brezniak, N., and Wasserstein, A. (1993). Root resorption after orthodontic treatment: Part 1. Literature review. Am. J. Orthod. Dentofac. Orthop. 103 (1), 62–66. doi:10.1016/0889-5406(93)70106-x

Caragiuli, M., Mandolini, M., Landi, D., Bruno, G., De Stefani, A., Gracco, A., et al. (2021). A finite element analysis for evaluating mandibular advancement devices. *J. Biomech.* 119, 110298. doi:10.1016/j.jbiomech.2021.110298

Cardoso, P. C., Espinosa, D. G., Mecenas, P., Flores-Mir, C., and Normando, D. (2020). Pain level between clear aligners and fixed appliances: a systematic review. *Prog. Orthod.* 21 (1), 3. doi:10.1186/s40510-019-0303-z

Celikoglu, M., Buyuk, S. K., Ekizer, A., and Unal, T. (2016). Treatment effects of skeletally anchored Forsus FRD EZ and Herbst appliances: a retrospective clinical study. *Angle Orthod.* 86 (2), 306–314. doi:10.2319/040315-225.1

Chan, E. K. M., Darendeliler, M. A., Petocz, P., and Jones, A. S. (2004). A new method for volumetric measurement of orthodontically induced root resorption craters. *Eur. J. Oral Sci.* 112 (2), 134–139. doi:10.1111/j.1600-0722.2004.00118.x

Consolaro, A., Miranda, D. A. O., Consolaro, R. B., and Cardoso, M. A. (2023). Do submerged roots hinder orthodontic treatment or the use of implants? *Dent. Press J. Orthod.* 27 (5), e22ins5. doi:10.1590/2177-6709.27.5.e22ins5

Ding, R., Hua, J., Qin, H., and He, D. (2022). Biomechanical analysis of a temporomandibular joint prosthesis for lateral pterygoid muscle reattachment. *Oral Surg. Oral Med. Oral Pathol. Oral Radiol.* 134 (4), e245–e255. doi:10.1016/j.0000.2022.03.004

Duanmu, Z., Liu, L., Deng, Q., Ren, Y., and Wang, M. (2021). Development of a biomechanical model for dynamic occlusal stress analysis. *Int. J. Oral Sci.* 13 (1), 29. doi:10.1038/s41368-021-00133-5

Fang, X., Qi, R., and Liu, C. (2019). Root resorption in orthodontic treatment with clear aligners: a systematic review and meta-analysis. *Orthod. Craniofac. Res.* 22 (4), 259–269. doi:10.1111/ocr.12337

Flores-Mir, C., Brandelli, J., and Pacheco-Pereira, C. (2018). Patient satisfaction and quality of life status after 2 treatment modalities: Invisalign and conventional fixed

Publisher's note

All claims expressed in this article are solely those of the authors and do not necessarily represent those of their affiliated organizations, or those of the publisher, the editors and the reviewers. Any product that may be evaluated in this article, or claim that may be made by its manufacturer, is not guaranteed or endorsed by the publisher.

Supplementary material

The Supplementary Material for this article can be found online at: https://www.frontiersin.org/articles/10.3389/fbioe.2024.1496517/ full#supplementary-material

appliances. Am. J. Orthod. Dentofac. Orthop. Off. Publ. 154 (5), 639-644. doi:10.1016/j. ajodo.2018.01.013

Gomez, J. P., Peña, F. M., Martínez, V., Giraldo, D. C., and Cardona, C. I. (2015). Initial force systems during bodily tooth movement with plastic aligners and composite attachments: a three-dimensional finite element analysis. *Angle Orthod.* 85 (3), 454–460. doi:10.2319/050714-330.1

Guo, W. P., Li, Y. L., Tang, Z. X., Jiang, W. T., and Fan, Y. B. (2013). Finite element modeling of the mandible with temporomandibular joint. *J. Biomed. Eng. Res.* 32 (03), 162–166. doi:10.19529/j.cnki.1672-6278.2013.03.007

Hong, Y.-Y., Zhou, M.-Q., Cai, C.-Y., Han, J., Ning, N., Kang, T., et al. (2023). Efficacy of upper-incisor torque control with clear aligners: a retrospective study using conebeam computed tomography. *Clin. Oral Investig.* 27 (7), 3863–3873. doi:10.1007/ s00784-023-05006-1

Iscan, H. N., and Sarisoy, L. (1997). Comparison of the effects of passive posterior bite-blocks with different construction bites on the craniofacial and dentoalveolar structures. Am. J. Orthod. Dentofac. Orthop. Off. Publ. 112 (2), 171–178. doi:10.1016/ s0889-5406(97)70243-9

Kojima, Y., and Fukui, H. (2012). Numerical simulations of canine retraction with T-loop springs based on the updated moment-to-force ratio. *Eur. J. Orthod.* 34 (1), 10–18. doi:10.1093/ejo/cjq164

Koukou, M., Damanakis, G., and Tsolakis, A. I. (2022). Orthodontic management of skeletal class II malocclusion with the Invisalign mandibular advancement feature appliance: a case report and review of the literature. *Case Rep. Dent.* 7095467. doi:10. 1155/2022/7095467

Kraus, C. D., Campbell, P. M., Spears, R., Taylor, R. W., and Buschang, P. H. (2014). Bony adaptation after expansion with light-to-moderate continuous forces. *Am. J. Orthod. Dentofac. Orthop.* 145 (5), 655–666. doi:10.1016/j.ajodo.2014.01.017

Lee, J.-S., Choi, H.-I., Lee, H., Ahn, S.-J., and Noh, G. (2018). Biomechanical effect of mandibular advancement device with different protrusion positions for treatment of obstructive sleep apnoea on tooth and facial bone: a finite element study. *J. Oral Rehabil.* 45 (12), 948–958. doi:10.1111/joor.12709

Li, J., Qiao, Y. N., Jiang, F. L., and Wei, J. M. (2017). Control over mandibular anterior teeth in patients with mandibular retrusion treated with Damon Clear self-ligating brackets. in: The 2017 International Orthodontic Conference and the 16th National Conference on Orthodontics. Shanghai.

Liu, C., Jiang, S., Xie, H., and Bai, M. (2022). Functional clear aligner technique in the treatment of class II malocclusion in juvenile: a case report and literature review. *J. Radiat. Res. Appl. Sci.* 15 (3), 59–64. doi:10.1016/j.jrras.2022.06.006

Liu, L., Song, Q., Zhou, J., Kuang, Q., Yan, X., Zhang, X., et al. (2022). The effects of aligner overtreatment on torque control and intrusion of incisors for anterior retraction with clear aligners: a finite-element study. *Am. J. Orthod. Dentofac. Orthop.* 162 (1), 33–41. doi:10.1016/j.ajodo.2021.02.020

Lund, D. I., and Sandler, P. J. (1998). The effects of Twin Blocks: a prospective controlled study. Am. J. Orthod. Dentofac. Orthop. 113 (1), 104–110. doi:10.1016/s0889-5406(98)70282-3

Lynch, N. M., Shroff, B., Carrico, C. K., Sawicki, V., Sabol, M., and Lindauer, S. J. (2023). Clear aligner therapy in the mixed dentition: indications and practitioner perspectives. *Am. J. Orthod. Dentofac. Orthop.*, S0889540623000732. doi:10.1016/j.ajodo.2022.11.018

McNamara, J. A. (1981). Components of class II malocclusion in children 8-10 years of age. *Angle Orthod.* 51 (3), 177–202. doi:10.1043/0003-3219(1981)051<0177: COCIMI>2.0.CO;2

Meade, M. J., and Weir, T. (2024). Clinical efficacy of the Invisalign mandibular advancement appliance: a retrospective investigation. Am. J. Orthod. Dentofac. Orthop. Off. Publ. 165 (5), 503–512. doi:10.1016/j.ajodo.2023.11.008

Meng, X. H., Wang, C. J., Wang, C., Wang, R., and Zheng, L. L. (2019). Threedimensional finite element analysis on en-mass retraction of anterior teeth with clear aligner. *Chin. J. Stomatology* 54 (11), 753–759. doi:10.3760/cma.j.issn.1002-0098.2019. 11.006

Morris, J. W., Campbell, P. M., Tadlock, L. P., Boley, J., and Buschang, P. H. (2017). Prevalence of gingival recession after orthodontic tooth movements. *Am. J. Orthod. Dentofac. Orthop.* 151 (5), 851–859. doi:10.1016/j.ajodo.2016.09.027

Ozbilek, S., Gungor, A. Y., and Celik, S. (2017). Effects of skeletally anchored Class II elastics: a pilot study and new approach for treating Class II malocclusion. *Angle Orthod.* 87 (4), 505–512. doi:10.2319/120616-875.1

Pachnicz, D., and Stróżyk, P. (2021). A biomechanical analysis of muscle force changes after bilateral sagittal split osteotomy. *Front. Physiol.* 12, 679644. doi:10.3389/ fphys.2021.679644

Rajan, N., Weir, T., and Meade, M. J. (2024). Efficacy of planned moderate to severe torque changes in mandibular central incisors with an initial series of Invisalign aligners: a retrospective cohort study. *Angle Orthod.* doi:10.2319/061724-473.1

Ravera, S., Castroflorio, T., Galati, F., Cugliari, G., Garino, F., Deregibus, A., et al. (2021). Short term dentoskeletal effects of mandibular advancement clear aligners in Class II growing patients. A prospective controlled study according to STROBE Guidelines. *Eur. J. Paediatr. Dent.* 22 (2), 119–124. doi:10.23804/ejpd.2021.22.02.6

Sabouni, W., Hansa, I., Al Ali, S. M., Adel, S. M., and Vaid, N. (2022). Invisalign treatment with mandibular advancement: a retrospective cohort cephalometric appraisal. *J. Clin. Imaging Sci.* 12, 42. doi:10.25259/jcis_64_2022

Mezey, S. E., Müller-Gerbl, M., Toranelli, M., and Türp, J. C. (2022). The human masseter muscle revisited: first description of its coronoid part. Ann. Anat. Anat. Anz. Off. Organ Anat. Ges. 240, 151879. doi:10.1016/j.aanat.2021.151879

Seo, J.-H., Eghan-Acquah, E., Kim, M.-S., Lee, J. H., Jeong, Y. H., Jung, T. G., et al. (2021). Comparative analysis of stress in the periodontal ligament and center of rotation in the tooth after orthodontic treatment depending on clear aligner thickness-finite element analysis study. *Mater. Basel Switz.* 14 (2), 324. doi:10.3390/ma14020324

Sharma, R., Drummond, R., Wiltshire, W., Schroth, R., Lekic, M., Bertone, M., et al. (2021). Quality of life in an adolescent orthodontic population. *Angle Orthod.* 91 (6), 718–724. doi:10.2319/062820-592.1

Sulewska, M. E., Baczewska, A., Bugała-Musiatowicz, B., Waszkiewicz-Sewastianik, E., Pietruski, J. K., and Pietruska, M. (2021). Long-Term assessment of periodontal tissues after corticotomy-assisted orthodontic arch expansion. *J. Clin. Med.* 10 (23), 5588. doi:10.3390/jcm10235588

Sun, R., and Liu, P. (2022). Treatment of an adolescent patient with Class II Division 2 malocclusion with mandibular retrognathism and excessive overbite using Invisalign aligners. *AJO- Clin. Companion*, S2666430522001157. doi:10.1016/j.xaor.2022.10.001

Talens-Cogollos, L., Vela-Hernández, A., Peiró-Guijarro, M. A., García-Sanz, V., Montiel-Company, J. M., Gandía-Franco, J. L., et al. (2022). Unplanned molar intrusion after Invisalign treatment. Am. J. Orthod. Dentofac. Orthop. 162 (4), 451-458. doi:10. 1016/j.ajodo.2021.03.019

Wang, Y., and Liu, C. (2021). Clear aligner treatment for an adolescent patient with mandibular retrognathia: a case report. *Chin. J. Orthod.* 28 (4), 208–211. doi:10.3760/cma.j.cn115797-20210601-21407

Wang, Y. G., Zhu, G. Y., Liu, J. Q., Wang, Y. F., and Zhao, Z. H. (2024). Dynamic biomechanical changes of clear aligners during extraction space closure: finite element analysis. *Am. J. Orthod. Dentofac. Orthop.* 165 (3), 272–284.e3. doi:10.1016/j.ajodo. 2023.08.017

Wu, Y., Yu, Q., Xia, Y., Wang, B., Chen, S., Gu, K., et al. (2023). Does mandibular advancement with clear aligners have the same skeletal and dentoalveolar effects as traditional functional appliances? *BMC Oral Health* 23 (1), 65. doi:10.1186/s12903-023-02709-5

Yang, Q. Q., Chen, Y. L., Zhou, Y., and Hu, J. T. (2021). Clinical effect of invisible MA appliance and twin-block appliance in treatment of early skeletal class II mandibular retrusion malocclusion. *J. Kunning Med. Univ.* 42 (3), 29–34. doi:10.12259/j.issn.2095-610X.S20210308

Yang, Y., Yang, R., Liu, L., Zhang, X., Jiang, Q., Fan, Q., et al. (2023). The effects of aligner anchorage preparation on mandibular first molars during premolar-extraction space closure with clear aligners: a finite element study. *Am. J. Orthod. Dentofac. Orthop.* 164 (2), 226–238. doi:10.1016/j.ajodo.2022.12.013

Yao, S., Jiang, W., Wang, C., He, Y., Wang, C., and Huang, L. (2023). Improvements of tooth movement efficiency and torque control in expanding the arch with clear aligners: a finite element analysis. *Front. Bioeng. Biotechnol.* 11, 1120535. doi:10.3389/fbioe.2023. 1120535

Yu, L., Li, Z., Kang, F., Wang, S., Xie, Z., and Zhu, X. (2023). Mandibular advancement with clear aligners and functional appliances in the treatment of skeletal ClassIImalocclusion: a systematic review and meta-analysis. *Hua Xi Kou Qiang Yi Xue Za Zhi* 41 (3), 305–314. doi:10.7518/hxkq.2023.2022453

Zhiguo, Z., Ruizhi, T., Fan, Z., Wenchao, S., and Maoning, W. (2023). Biomechanical effects of a mandibular advancement device on the periodontal ligament: based on different bone models. *J. Mech. Behav. Biomed. Mater.* 144, 105914. doi:10.1016/j. jmbbm.2023.105914

Zhou, L., Wang, Y. M., Zhang, L., and Yao, J. (2019). Functional clear aligner treatment of class malocclusion in teenagers. *West China J. Stomatol.* 37 (3), 236–241. doi:10.7518/hxkq.2019.03.002

Zhou, X. J., Zhao, Z. H., Zhao, M. Y., and Fan, Y. B. (1999). The boundary design of mandibular model by means of the three -dimensional finite element method. *West China J. Stomatology* (1), 28–31. doi:10.3321/j.issn:1000-1182.1999.01.009

Zhu, C., Li, R., Yuan, L., Zheng, Y., and Jin, Y. (2022). Effects of the advanced mandibular spring on mandibular retrognathia treatment: a three-dimensional finite element study. *BMC Oral Health* 22 (1), 271. doi:10.1186/s12903-022-02308-w

Zybutz, T., Drummond, R., Lekic, M., and Brownlee, M. (2021). Investigation and comparison of patient experiences with removable functional appliances. *Angle Orthod.* 91 (4), 490–495. doi:10.2319/050520-393.1