



Piles Subjected to Torsional Cyclic Load: Numerical Analysis

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Pile foundations supporting large structures (such as high-rise buildings, oil drilling platforms, bridges etc). are often subjected to eccentric lateral load (in addition to the vertical loads) due to the action of wind, waves, high speed traffic, and ship impacts etc. The eccentric lateral load, which is usually cyclic (repetitive) in nature, induces torsion in the pile foundation. This paper presents a numerical model based on boundary element approach to study the performance of a single pile subjected to the torsional cyclic load. The model is initially validated by comparing it with the experimental data available from the literature. Thereafter, the model has been utilized to conduct a parametric study to understand the influence of the torsional cyclic loading parameters on the axial pile capacity. The results indicated that the model is able to capture the degradation in the axial pile capacity due to the torsional cyclic loading with a reasonable accuracy. Moreover, the parametric study showed that the frequency, amplitude and number of cycles play a significant role in the torsional cyclic response of the pile. The present study is essential for the development of design guidelines for pile foundations subjected to torsional cyclic load.

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INTRODUCTION

The construction of large structures such as high-rise buildings, oil drilling platforms, electrical transmission towers, wind turbines, bridges, and railway embankments over a soft compressible clay poses a serious challenge to the design engineers. Therefore, a cost-effective foundation system with an acceptable degree of safety is required and consequently, these structures are usually supported by pile foundations. These piles are often subjected to cyclic (repetitive) lateral loads in addition to the vertical loads, during their service life due to wind, sea waves, high speed train traffic and ship impacts etc. (Arshad and O'Kelly, 2016; Haiderali and Madabhushi, 2016). The cyclic lateral load often acts eccentrically and generates cyclic torsion on the pile foundation (Barker and Puckett, 1997). The inadequate design of these piles against the cyclic torsional loading may affect the safety and serviceability of the foundation, leading to disastrous consequences (Vickery, 1979; Barker and Puckett, 1997). Moreover, in case of foundations supporting offshore platforms, the amplitude (magnitude) of the cyclic torsional load is high and is usually accompanied with low frequency vibrations, while the reverse is true for onshore structures including railways (Nimbalkar and Indraratna, 2016).

The cyclic load initiates the reversal of soil-pile interface shear stress, thus producing a progressive deterioration in strength and stiffness of the surrounding soil and consequently, pilesoil interactive performance undergoes significant degradation (Basack, 2015). Such degradation may reduce the load carrying capacity of the pile and increase the pile head displacement (settlement). The primary reason for such degradation is the gradual reorientation and rearrangement of the soil particles adjacent to the pile-soil interface. The other reasons include the generation of excess pore water pressure and the development of irrecoverable plastic deformation of soil adjacent to the pile soil interface (Basack and Dey, 2012).

Several theoretical, laboratory and field-based investigations on the pile foundations subjected to vertical and lateral cyclic loads have been conducted in the past (El Naggar et al., 1998; Cavey et al., 2000; Taciroglu et al., 2006; Basack, 2010a; Jardine and Standing, 2012). Moreover, the behavior of pile subjected to static torsion has been investigated by several researchers (e.g., Stoll, 1972; Poulos, 1975; Kong and Zhang, 2008; Misra et al., 2014; Chen et al., 2016). However, the studies pertaining to the influence of torsional cyclic load on the behavior of pile foundation are rather limited. It has been found that the torsional load can significantly influence the load carrying capacity of the pile. The skin friction mobilized due to the axial load interacts with the circumferential pile-soil interface shear stress (induced due to the torsional load) and consequently, the axial pile capacity decreases and the axial displacement increases (Basack and Sen, 2014a). Therefore, the investigation of the behavior of pile subjected to cyclic torsional loading becomes imperative for the safe design and satisfactory long-term performance of the pile foundations supporting the large offshore or onshore structures.

The behavior of pile foundation subjected to monotonic and cyclic axial loading can be investigated by using several numerical and analytical techniques available in the literature. These include the dynamic response analysis, cyclic stability analysis, finite element and boundary element analysis etc. to name a few (Poulos, 1982, 1988; Bea, 1992; Basack and Dey, 2012; Fatahi et al., 2014). In the present paper, a novel numerical methodology based on boundary element modeling (BEM) is proposed to capture the response of a single, vertical, floating pile subjected to combined axial, and torsional cyclic loads. The non-linear stress-strain response of the soil is incorporated in the model by using a hyperbolic function. Moreover, the constitutive behavior of the pile material is assumed to be elastic-perfectly plastic. The effect of progressive degradation of soil strength and stiffness under interface shear stress reversal (or simply cyclic loading) has been incorporated by using an exponential correlation and a semi-logarithmic rate function. The analysis could also have been conducted using finite element method which might have required the use of three-dimensional meshes to represent the pile, the interface and the surrounding soil mass (Lebeau, 2008; Kim and Jeong, 2011). However, an enormous computational effort is required in finite element analysis to incorporate the non-linear stress-strain response of soil and progressive slippage at the interface. Moreover, the studies conducted by Poulos (1989), Basile (2010), and Fattah et al. (2012) underlines several advantages of the use of boundary element method as an alternative of FEM to solve the pile-soil interaction problems.

The paper is presented in the following sequence: first, a model is formulated using BEM approach followed by its validation by comparing the BEM computed results with the available field data. Such comparison indicates reasonable accuracy of the proposed numerical solutions. Thereafter, a prototype parametric study is conducted using the developed model to analyse the influence of cyclic loading parameters on the soil-pile interactive performance. Finally, the normalized soil-pile interface shear stress profiles (predict using the proposed solution) are presented to show the distribution of stresses along the length of pile for static and post-cyclic condition.

NUMERICAL MODELING: MATHEMATICAL FORMULATIONS

Problem Definition

Figure 1A shows a single, vertical, floating pile of diameter D with embedded depth of L, subjected to an axial static load of V_t and a two way symmetrical cyclic torsional load (given by Equation 1):

$$\tau_{cyc} = \tau_{cyc}^{\max} \sin 2\pi f t \tag{1}$$

Where, τ_{cyc}^{max} and f are the amplitude and frequency of the cyclic torsional load, respectively. The imposed axial and torsional loads induce the stresses τ_b and σ_b at the base of the pile, and shear stresses τ_z^t and τ_z^v at the soil-pile interface along the length of the pile in the horizontal and vertical directions, respectively (see **Figure 1A**). The stresses τ_z^v and σ_b are primarily induced due to the axial load and are static, whereas, the stresses τ_z^t and τ_b are induced due to the torsional load and are cyclic. The primary aim of this study is to evaluate these unknown interface shear stress components and subsequently, determine the axial pile capacity after completion of a certain number of load cycles (*N*).

The pile material in the present study has been idealized as elastic-perfectly plastic. The stress-strain behavior of the soil in shear is assumed to be non-linear up to the peak shear stress (τ_u), followed by a perfectly plastic post-peak response (Basack and Sen, 2014a). The non-linear pre-peak behavior has been represented using a hyperbolic equation (Equation 2) with an initial tangent modulus of G_i and a reduction factor of R_f (Duncan and Chang, 1970). The value of the reduction factor, R_f usually varies in the range of 0.8–1.0 (Randolph, 2003).

$$\tau = \frac{\gamma}{\frac{1}{G_i} + \frac{R_f}{\tau_u}\gamma} \tag{2}$$

Where, τ and γ are the shear stress and shear strain, respectively. The post peak response can be mathematically represented as:

$$\tau = \tau_u \tag{3}$$



Boundary Element Modeling

The pile-soil interaction in the present study has been analyzed using the Boundary Element Modeling (BEM) following the methodology of (Basack and Sen, 2014a,b). The pile is longitudinally discretized into *n* cylindrical elements of equal height (thickness), δ (see **Figure 1B**). The unknown pile-soil interface shear stress components in the horizontal and vertical directions in the *i*th element have been denoted as τ_i^t and τ_i^v , respectively. Moreover, the displacement components at the central nodal plane (i.e., the central plane of each pile element) have been denoted as ρ_i and θ_i corresponding to the vertical and torsional modes, respectively.

Initially, the analysis has been performed for static loading with subsequent extension for the cyclic loading by using appropriate parameters for simulating the degradation of soil strength and stiffness (under cyclic loading), and the influence of the cyclic loading parameters. First, the static axial and torsional loadings are analyzed separately, followed by a coupled analysis to arrive at specific solutions. The governing differential equations for the static torsion (Equation 4) and static axial load (Equation 5) are given as (Basack and Sen, 2014a,b):

$$\frac{d^2\theta}{dz^2} = \frac{\pi D^2}{2J_p G_p} \tau_z^t$$
 (For static torsion) (4)

$$\frac{d^2\rho}{dz^2} = \frac{1}{E_p} \left(\frac{4\tau_z^{\nu}}{D} - \gamma'_p\right) \quad \text{(For static axial load)} \tag{5}$$

Where, θ is the angle of twist; ρ is the vertical displacement; z is the depth; J_p is the polar moment of inertia of pile cross section; G_p is the modulus of rigidity of the pile; E_p is the Young's

modulus of the pile; γ'_p is the unit weight of the pile. For static torsion, the governing differential equation is solved using the finite difference technique by developing correlations between the twist angle (θ) and some functions of D, δ , τ_i^t , J_p , G_p and pile head torque (T_t). The correlations are then compiled together (in a matrix form) and the resultant matrix is given by:

$$[CM]\{\theta\} = \{a\} \tag{6}$$

Where, [CM] is a coefficient matrix of order $(n+1) \times (n+1)$, $\{\theta\}$ is a column vector of order $(n+1) \times 1$ and $\{a\}$ is augment vector of order $(n+1) \times 1$. The elements of the coefficient matrix, column vector, and augment vector can be found in Basack and Sen (2014a). There are two sets of unknown quantities in Equation 6, namely θ_i and τ_i^t . Therefore, an initial no-slip condition is assumed and a correlation between θ_i and τ_i^t (given by Randolph, 1981) is used (Equation 7) to reduce the number of unknown quantities in Equation (6).

$$\theta = \frac{\tau_i^t}{2G_s} \tag{7}$$

Where, G_s is the soil secant modulus. Using the provided correlation (Equation 7), the Equation (6) is modified to:

$$[DM]\left\{\tau_i^t\right\} = \left\{b\right\} \tag{8}$$

Where, [DM] and $\{b\}$ are coefficient matrix and augment vector, respectively and the elements of these matrices/vectors are

functions of D, δ , J_p , G_s , G_p , and T_t . The initial values of the unknown horizontal torsional interface shear stress (τ_i^t) are computed using Equation (8). The values of τ_i^t for each element are then compared with the limiting values of interface shear stress (τ_u) for each element. The elements are assumed to have slipped if the value of τ_i^t exceeds the τ_u . The initial value of τ_i^t for slipped elements is replaced by τ_u and appropriate adjustments are made to the initial values of G_s to incorporate the soil nonlinearity. The entire computation procedure is then repeated for the rest of the non-slipped elements until the desired convergence is achieved.

The governing differential equation for the static axial load is solved by using a similar procedure as described above i.e., by utilizing the finite difference technique and a correlation between ρ and τ_i^{ν} given by Randolph and Wroth (1978) (for no-slip condition). After evaluating the unknown parameters τ_i^t and τ_i^{ν} from the separate analyses for axial and torsion loads, a coupled analysis is conducted by evaluating the resultant interface shear stress for each element, through vector addition of τ_i^t and τ_i^{ν} (see **Figure 2**), given by:

$$\tau_i = \left[\tau_i^t + \tau_i^v\right]^{0.5} \tag{9}$$

The resultant interface shear stress is then compared with the ultimate shear stress and the values of τ_i^t are recomputed (or adjusted). The procedure is repeated until the desired convergence is achieved. Finally, the values of twist angles are computed for each element using Equation 6. The detailed formulations for the analysis of static load are published elsewhere (Basack and Sen, 2014a,b; Basack and Nimbalkar, 2017).

The analysis for the torsional cyclic loading has been performed by using a quasi-static method with a peak torsion of τ_{cyc}^{max} , wherein the elemental stress and displacement components have been adjusted after the completion of a desired number of load cycles. The application of cyclic loading influences the strength and stiffness of the soil to a large extent. On one hand, the cyclic loading leads to the degradation of shear strength and stiffness of soil due to generation of excess pore-water pressure, generation of irrecoverable plastic strain in soil around the pile and rearrangement of soil particles in the vicinity of the pile



(Poulos, 1981; Basack, 2015). However, on the contrary, the soil strength and stiffness increase with an increase in the loading rate (or frequency) (Poulos, 1989; Rodriguez and Alvarez, 2008). The following mathematical expression (Basack and Nimbalkar, 2017) addresses the combined effects of these two phenomena on the soil-pile interaction:

$$D_{i}^{s} = \left[1 + F \log_{10}\left(\frac{2fD\theta_{i}}{\lambda_{r}}\right)\right] N^{-\frac{\gamma_{c}}{\lambda + B\gamma_{c}}}$$
(10)

Where, D_i^s is the nodal soil degradation factor, F is a nondimensional rate factor, λ_r is a datum loading rate, γ_c is the peak nodal shear strain and A and B are the nondimensional cyclic soil parameters. The soil degradation factor (D_i^s) is defined as the ratio of the post cyclic to pre-cyclic values of soil strength and stiffness. The derivation and the details of the parameters for Equation 9 can be found elsewhere (Basack and Nimbalkar, 2017).







The post cyclic axial pile capacity is then evaluated, based on the degraded values of the shaft and end bearing resistance, using the following expression:

$$Q_{u}^{c} = \pi D\delta \sum_{i=1}^{n} D_{i}^{s} \tau_{ui} + \frac{\pi D^{2}}{4} \sigma_{bu} - W_{p}$$
(11)

Where, Q_u^c is the post-cyclic axial pile capacity, δ is the height of pile elements, τ_{ui} is the elemental ultimate soil strength, σ_{bu} is the ultimate base restraint and W_p is the self-weight of pile.

Finally, the pile degradation factor (D_p) is evaluated. It is defined as the ratio of the post-cyclic to static axial pile capacities (Equation 12):

$$D_{p} = \frac{Q_{u}^{c}}{Q_{u}^{s}}$$
(12)

where, Q_u^s is the ultimate static axial pile capacity. A user-friendly computer program PTCYC is written in FORTAN 90 language to conduct the desired computations. **Figure 3** shows the flowchart of the computer program.

MODEL VALIDATION

The proposed solutions have been validated using the field test results available in literature. The field investigation on piles under composite torsional cyclic and axial static loading is extremely difficult and expensive. In absence of such research work, the authors have used the available laboratory and field test data to validate their numerical model. Stoll (1972) conducted a full-scale torsional load test on two concrete filled steel pipe piles embedded in a soil with a linearly increasing soil modulus. Guo and Randolph (1996) developed the analytical and numerical solutions for the torsional response of piles embedded in heterogeneous soil and validated the model with the field test results of Stoll (1972). The soil-pile interface shear stress profiles computed using the present model have been compared with the theoretical results of Guo and Randolph (1996) to validate the model (refer to **Figure 4**). From **Figure 4**, it can be observed that the results obtained using the present model are in good agreement with the solutions developed by Guo and Randolph (1996), with an average variation of about 21%.

Stuedlein et al. (2016) conducted a field study to evaluate the transfer of torsional load to soil along the soil-pile interface for two drilled concrete shafts in a site consisting of silty clay overlying a silty sand deposit. In addition to static torsion, cyclic torsion tests were also conducted on these shafts under one-way displacement-controlled mode with 20 displacement cycles at a frequency of 0.57 cycles per minute (cpm). The values of the resulting peak torsion evaluated from the present BEM computation have been compared with the field observations [for both the torsion test drilled shaft with production base (TDS) and torsion test drilled shaft with frictionless base (TDSFB)] of Stuedlein et al. (2016) (Figure 5). It is clear from this figure that the computed results are in acceptable agreement with the field test data with an average deviation of about 6%. Thus, the present numerical solutions are able to capture the soil-pile interaction under both static and cyclic torsional loads with an acceptable accuracy.

PARAMETRIC STUDIES: ANALYSIS AND INTERPRETATION

The present boundary element model has been used to predict the response of a prototype vertical concrete floating pile embedded in soft clay subjected to a combined axial and cyclic torsional loading. Table 1 shows the properties of the soil and pile used (adopted from Basack, 2010a). The soil unit cohesion and the initial tangent shear modulus at the ground surface are 30 kPa and 300 MPa, respectively, which are assumed to increase linearly with depth at a rate of 3 kPa/m and 30 GPa/m, respectively. Moreover, the key input parameters to account for the strength and stiffness degradation (or improvement) under cyclic loading are: A = 4.5, B = 2.5,F = 0.1, $\lambda r = 0.11$ mm/s. The axial load on the pile is assumed to be 0.4 times the axial pile capacity (for pure axial load condition) i.e., the load ratio (V_t/V_{u0}) is 0.4. The number of pile elements are fixed at 100 after conducting a sensitivity check (Basack and Nimbalkar, 2017).

In the present study, the variation of the pile degradation factor (D_p) with cyclic loading parameters namely, number of cycles (N), frequency (f) and cyclic loading level (L_c) has been investigated. The cyclic loading level L_c is defined as the ratio of peak cyclic torsion to the static ultimate torsional pile capacity. The number of cycles, frequency and cyclic loading level have been varied in the range of 10–1,000 cycles, 5–30 c.p.m., and 15–30%, respectively. Moreover, the analysis has been conducted for

 TABLE 1 | Input parameters of soil and pile for the parametric study.

Item	Parameter	Value
Soil	Unit weight (γ'_s), kN/m ³	18
	Unit cohesion at ground surface (c_{u0}), kPa	30
	Adhesion factor (α)	0.8
	Friction angle (ϕ '), deg.	0
	Poisson's ratio (μ_S)	0
	Initial tangent shear modulus at ground surface (G_{st0}), MPa	300
Pile	Length (L), m	15
	Diameter (D), m	0.5
	Unit weight ($\gamma' \rho$), kN/m ³	25
	Young's modulus (E_{ρ}), GPa	20
	Shear modulus (G_p), GPa	8.3

two values of reduction factor (0.85 and 0.95) to investigate its influence on the soil-pile interactive performance.

Figures 6A–C show the variation of the D_p with N, f and L_c , respectively. It can be observed that the parameter D_p decreases with an increase in the number of loading cycles. However, the trend shows an asymptotically stabilizing tendency, i.e., the parameter D_p becomes almost constant after a certain number of loading cycles. This may be attributed to the exponential degradation of the soil strength and stiffness with N (Idriss et al., 1978). Moreover, D_p increases with an increase in the loading frequency. This is because the strength and stiffness of the soil increases logarithmically with f (Poulos, 1989). Furthermore, the pile degradation factor (D_p) decreases with an increase in L_c following a curvilinear pattern with an increasing slope. This observation is reasonable because an increase in the value of the torsional cyclic amplitude or cyclic loading level is likely to cause the failure of more number of elements (which is initiated by the rapid yielding of soil adjacent to the soil-pile interface) (Basack, 2010b). It must be noted that the reduction factor R_f shows insignificant effect on the soil-pile interactive performance.

Figure 7 shows the profiles for the soil-pile interface shear stress (normalized by the product of unit cohesion and adhesion factor) for both static and post cyclic condition. It can be observed that the normalized shear stress attains a maximum value at the pile head and reduces to a minimum value at the base, in a curvilinear manner. It is interesting to note that the values of the post-cyclic shear stress have decreased as compared to the relevant value under static loading. Moreover, the percentage reduction is higher in the pile head (15%) as compared to the pile base (11%). The normalized shear stress values at the pile head and base are 0.74 and 0.45, respectively for the static condition while reduced to 0.63 and 0.4 after the application of the cyclic loading. This reduction is due to the degradation of the strength and stiffness of soil. Since, there is an overall reduction in the pile capacity, therefore, the influence of soil stiffness and strength degradation due to cyclic loading on the pile capacity is much higher as compared to the influence of loading frequency (which tends to enhance the soil strength and stiffness).





PRACTICAL APPLICATIONS

The present study attempts to investigate the effect of the cyclic loading parameters namely, frequency, number of cycles and the amplitude, on the axial load carrying capacity of the pile foundation subjected to axial and torsional cyclic load. The results from the present study show that the axial loading capacity of the pile decreases with an increase in the number of loading cycles up to a particular number of cycles, beyond which the capacity becomes constant. Moreover, the pile capacity decreases with an increase in the amplitude of the cyclic torsional loading or the cyclic loading level. These findings can be used to predict the in-situ load carrying capacity of the pile installed below the offshore structures or transportation embankments in the real field (for the pile and soil conditions used in the present analysis) for the known amplitude and number of load cycles (which can be evaluated through physical observation). Similarly, the long-term performance of the pile foundations subjected to axial and cyclic torsional loadings can be predicted for the known values of the cyclic loading parameters. Nevertheless, the pile foundations must be designed with adequate factor of safety against the ultimate failure and acceptable displacement at the pile head (serviceability criterion) (Basack, 2010a). For the known cyclic loading parameters and ground conditions, the factor of safety against ultimate failure can be evaluated by computing the degraded pile capacity. Similarly, the variation of

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twist angle with the loading parameters can be evaluated using the present model and a permissible limit could be established.

Another practical aspect of the present study is the proper assessment of the *in-situ* load carrying capacity of the pile foundation subjected to cyclic torsional loading. This assessment might help in the design of a suitable ground improvement measure such as electro-osmosis and high-voltage electro-kinetics, which could significantly improve the load carrying capacity of the pile foundations subjected to cyclic torsional loading.

CONCLUSIONS

In the present study, a numerical solution based on the boundary element modeling has been developed for a single floating pile subjected to combined axial and torsional cyclic loads. The numerical model is successfully calibrated using appropriate values of the key input parameters and is validated against the field data published in the literature. The validation of the computed results with available field data exhibits the accuracy of the proposed solution. The results of numerical analysis indicate that the cyclic loading parameters, viz. number of load cycles, frequency, and cyclic loading level, significantly influence the degradation of the axial pile capacity due to torsional cyclic loading. Moreover, the interface shear stress has been found to decrease in a curvilinear pattern from a maximum value at the ground surface to a minimum value at the pile base. Furthermore, the proposed numerical solution can be used to evaluate the post-cyclic factor of safety relevant to the ultimate pile capacity. Thus, the results of the present parametric studies (conducted to investigate influence of key design parameters) can be utilized for formulating the design criteria for pile subjected to axial and torsional cyclic loads.

AUTHOR CONTRIBUTIONS

All authors listed have made a substantial, direct and intellectual contribution to the work, and approved it for publication.

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Torsional Cyclic Load

LIST OF NOTATIONS (BASIC SI UNITS ARE SHOWN IN PARENTHESES)

A & B Non-dimensional cyclic soil parameters (Dimensionless) *D* Diameter of pile (m) D^{s}_{i} Nodal soil degradation factor (Dimensionless) *D_p* Pile degradation factor (Dimensionless) E_p Young's modulus of the pile (N/m²) F Non-dimensional rate factor (Dimensionless) *f* Loading frequency (Dimensionless) *G_i* Initial tangent modulus (Dimensionless) G_p Modulus of rigidity of the pile (N/m²) G_s Secant modulus (N/m²) J_p Polar moment of inertia of the pile (m⁴) *L* Embedded depth of the pile (m) L_c Cyclic loading level (N) *N* Number of load cycles (Dimensionless) *n* Number of pile elements (Dimensionless) Q^{c}_{u} Post-cyclic axial pile capacity (N) Q^{s}_{μ} Ultimate static axial pile capacity (N) *R*_f Reduction factor (Dimensionless) *t* Time (s) T_t Pile head torque (N.m) V_t Axial static load (N) $V_{\mu0}$ Pile capacity for pure axial load (N) W_p Self-weight of pile (N) z Depth (m) δ Height of pile elements (m) θ_i Twist on the ith element (Ý) λ_r Datum loading rate (Dimensionless) ρ_i Vertical displacement at the ith element (m) τ Shear stress (N/m²) τ_b Shear stress at the base of the pile (N/m²) τ_{cvc}^{\max} Amplitude of the cyclic torsional load (N) $\tau_{\mu}i$ Elemental ultimate soil strength (N/m²) τ_{z}^{t} Interface shear stress component in horizontal direction at depth z (N/m^2) τ_i^t Interface shear stress component in horizontal direction for ith element (N/m²) τ_z^{ν} Interface shear stress component in vertical direction at depth $z (N/m^2)$ τ_i^{ν} Interface shear stress component in vertical direction for ith element (N/m²) τ_{μ} Peak shear stress (N/m²) σ_b Normal stress at the base of the pile (N/m²) σ_{bu} Ultimate base restraint (N/m²) γ Shear strain (Dimensionless) γ_c Peak nodal shear strain (Dimensionless) γ'_p Unit weight of pile (N/m³) γ'_s Unit weight of soil (N/m³)