



# Comparative Seismic Assessment of Ancient Masonry Churches

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The seismic risk assessment of the historical and architectural heritage is, nowadays, a very relevant topic due the potential human and economic losses involved in case of global or partial collapse. In order to preserve the inestimable value of such heritage, the prevention and mitigation of the seismic risk is needed and it cannot be postponed. Among the several methods available in the literature to perform vulnerability assessment on cultural heritage, this study focuses on two simplified methods proposed by the current Italian Directive, containing the guide lines for assessment and reduction of cultural heritage seismic risk. Furthermore, a new simplified method is applied, capable at a territorial scale of quickly ranking the seismic behavior of ancient churches. In the paper, the considered evaluation methods are applied to the case study of the Matera Cathedral, named SS. Maria della Bruna. The obtained results are then compared with others of similar ancient churches, all belonging to the historical centre “Sassi of Matera,” a site protected by UNESCO having a moderate seismic hazard.

**Keywords:** ancient churches, cultural heritage protection, masonry, seismic vulnerability, seismic risk

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## INTRODUCTION

Natural disasters, such as volcanoes, floods, landslides, hurricanes, earthquakes, and climatic changes, represent a real danger for the conservation of the existing cultural heritage. Nevertheless, insufficient programs have been applied, aimed at reducing the vulnerability, and the related risk of cultural heritages. An idea of the problem dimensions is given by analyzing the costs required in the last natural disasters in order to manage the emergencies, repairs, and reconstruction. According to an estimation provided by World Bank (World Bank Independent Evaluation Group, 2006), natural disaster damage costs are increasing, and they have achieved about 652 billion US dollars in the 1990s. These costs result 15 times higher than the ones registered in the 1950s, even for natural disasters. Another piece of important information is obtained: if one considers that one-third of the cost to the global economy (about 50 billion US dollars) is spent on predicting, preventing, and mitigating disasters and the other two thirds represent the direct costs of the damage (Alexander, 2017).

The ability to react to these disasters is directly related to the public awareness about the future destructive events that may occur. In this context, it is necessary to design and to apply prevention measures addressed for protecting the cultural heritage, where experts of different fields (engineering, statistics, chemistry, seismology, etc.) are involved. Thanks to this cooperation, different documents have been proposed such as, among the others, the Mexican risk identification atlas (CENAPRED, 2014), and the territorial information systems proposed in ISCR (2017).

In line with these premises, this paper presents a comparative study of the seismic performance of ancient masonry churches. To this scope, the simplified methods reported within the Italian

Directive (G.U. n. 47, 09/02/2011) are considered, with particular attention to *Level of Valuation 1 (LV1)*, for an evaluation at a territorial dimension) and *Level of Valuation 2 (LV2)*, considering the macro-elements approach). Furthermore, a new simplified method proposed in (CENAPRED, 2006) and validated in D'Amato et al. (2018), useful at a territorial scale for preliminary scoring and classifying the seismic behavior of churches, is applied. This methodology has also been recently validated for ancient adobe Chilean Churches (Fuentes et al., 2019).

The seismic performance evaluation in this study is conducted by considering in detail the case study of the Cathedral of Matera (Italy), an ancient masonry church dedicated to *SS Maria Della Bruna*. The obtained results are then compared with other churches, similar to the Cathedral with respect to materials, geometrical characteristics, and constructions details. All the churches considered fall within the historic centre of Matera, named "Sassi of Matera," recognized since 1993 as a World Heritage Site by UNESCO.

The main feature of the considered methods is that they can be used within a multilevel seismic vulnerability approach. In fact, they are based only on simple surveys characterized by visual and geometric detections, implying limited costs. Thus, these methods can also be applied as decision making tools in order to rank priorities and to proceed to further material and structural investigations for performing advanced structural analyses. Within this multi-level approach, the new simplified method recently proposed can be intended as a "*Level of Valuation 0*" ("*LVO method*"), by allowing a very rapid seismic assessment, even at a larger territorial scale. Moreover, the comparisons among the obtained results show that the simplified methods may also overestimate the seismic actual response. Therefore, they remain useful for comparing and ranking the case studies, but they cannot substitute more refined methods for realistically simulating the seismic behavior of churches.

## SEISMIC ASSESSMENT METHODS INDICATED IN THE ITALIAN DIRECTIVE

The Italian directive, specific for Cultural Heritage (G. U. n. 47, 26/02/2011), defines three levels of valuation, namely the *LV1*, *LV2*, and *LV3* method for assessing the seismic performance of different historical constructions. These methods have an increasing complexity, requiring in parallel an increasing amount of information regarding structural details and materials properties.

As regards to the *LV1* method, it is suitable when a comparative seismic performance at a territorial level is conducted. Basically, the idea of this method is to relate the seismic performance to a global vulnerability index of a structure. No intervention may be designed with this method. Recent applications and similar approaches to this method may be found, for instance, in Lourenço and Roque (2006); Lourenço et al. (2013); Caprili et al. (2017); Formisano et al. (2017, 2018); Marotta et al. (2018); Sarhosis et al. (2018); Valente and Milani (2018a).

A more refined seismic performance evaluation may be obtained with the *LV2* method. It consists of evaluating the local mechanisms of architectural parts of a structure, named "*macro-elements*," and the global vulnerability corresponds to the activation of the most vulnerable mechanism considered. The macro-elements approach has been proposed after the damage surveys suffered by ancient churches during some recent Italian earthquakes. The conducted surveys have highlighted a systematic repetition of the detected damages, suggesting that the church structural system should be considered as a group of architectural parts, showing independent response mechanisms under seismic lateral loading. The macro-elements are identified as architectural parts (such as the main façade, lateral walls, longitudinal and transverse colonnade of main nave, triumphal arch, bell tower, dome, etc.), evaluating possible interactions with adjacent elements. Applications of this approach may be found, among the others, in Betti et al. (2018), Brandonisio et al. (2013), D'Ayala and Paganoni (2011), Doglioni et al. (1994), Formisano and Marzo (2017), Lagomarsino and Podestà (2004a,b), while a recent investigation on the estimation of main frequency of ancient masonry churches may be found in Lopez et al. (2019).

Non-linear finite element analyses are considered in the *LV3* method (G. U. n. 47, 26/02/2011), and they may regard limited parts or a whole structure. The adopted models must reproduce the real distribution of stiffness and mass, as well as the material non-linear behavior. Of course, the results obtained may realistically simulate the structural behavior only if the numerical assumptions made are true. This is strictly dependent on the knowledge of structural details (such as, for instance, wall connections, connections with the roof parts, and clear knowledge of the structure evolution during the past). The amount of these available data, and consequently, the reliability of the obtained results may increase if an in-depth and critical investigation campaign, through *in situ* tests and inspections, is performed. However, it should be carefully considered that *in-situ* tests should be focused at first on structural details more than on material mechanical properties, since the former dominate the response influencing the elements interaction more than the latter. Discussion about this issue may be found in Clementi et al. (2016), Castori et al. (2017), and Valente et al. (2017). In addition, the use of non-linear methods, such as the conventional pushover approach, implies the decisive choice of the control point on which the non-linear global response and the evaluation of the seismic performance depend. A discussion of this aspect may be found, among others, in Betti et al. (2018). While a general discussion on the application of non-linear analyses and the comparisons with the macro-element approach may be found in Castellazzi et al. (2013) and Valente and Milani (2018b).

Although it is the most simplified one, the *LV1* method is useful for evaluations at a territorial scale capable of providing, through a vulnerability index, an estimation of the ground acceleration related to the collapse. It requires only visual inspections and a qualitative judgment of some structural details. On the other hand, a local analysis may be performed with the *LV2* method (macro-element approach) also designing local interventions, where the potential interaction among the structural part may also be taken into account. The most refined

approach is represented, without any doubt, by the *LV3* method, where non-linear FE models are required. However, as discussed previously, this approach required a high amount of data and it may be extremely high time consuming from a computational point of view.

In the following, only the *LV1* and *LV2* methods are described in detail and, later on, are applied to some case studies. In addition, in this study a new simplified method for seismic assessment of the considered cases is also considered, proposed as *LV0* in continuity with the Italian Directive approach. The obtained results will be compared, in order to establish if a general correspondence in terms of the predicted seismic performance among the examined methods exists.

## LV1 Method

The *LV1* method is the simplest method for assessing the seismic performance of a structure, revealing particularly adaptation for territorial scale evaluation (G. U. n. 47, 26/02/2011). The method requires defining, only through a visual survey, the global vulnerability index  $i_v$ , calculated as weighted combination of 28 possible damage mechanisms with the following relationship:

$$i_v = \frac{1}{6} \frac{\sum_{k=1}^{28} \rho_k (v_{ki} - v_{kp})}{\sum_{k=1}^{28} \rho_k} + \frac{1}{2} \quad (1)$$

where  $i_v$  may vary between 0 and 1, and the other parameters may assume the following values:

$\rho_k$  is the response mechanism weight, varying from 0.5 to 1. If the considered mechanism is absent or not involved, it must be set equal to zero;

$v_{ki}$  and  $v_{kp}$  measure, from the  $k$ -th response mechanism, the vulnerability and the efficiency of any possible seismic-resistant device. It is indicated to assume the value 1 for the most important response mechanisms, such as main façade overturning, triumphal arch response, longitudinal nave response, etc.; while, as for secondary mechanisms, such as mechanisms of transept and chapels, or prothyrum—narthex response, the value may range from 0.5 to 1.

Then, the ground acceleration corresponding to the attainment of Damage Limit State (*DLS*) and Life-Safety Limit State (*LSLS*) may be estimated by applying the following expressions:

$$a_{DLS}S = 0.025 \cdot 1.8^{2.75-3.44i_v} [g] \quad (2)$$

$$a_{LSLS}S = 0.025 \cdot 1.8^{5.1-3.44i_v} [g] \quad (3)$$

where  $S$  is the stratigraphic amplification depending on the foundation soil. The previous equations have been established on a statistical basis starting from the surveys carried out in some recent Italian earthquakes.

By knowing  $a_{LS}$ , one may calculate the *safety index*  $I_{LS}$ :

$$I_{LS} = \frac{T_{LS}}{T_{R,LS}} \quad (4)$$

where  $T_{LS}$  is related to the seismic action  $a_{LS}$  for *LSLS* or *DLS* (that is the seismic capacity), and  $T_{R,LS}$  is the expected reference

return period for *LSLS* or *DLS* (that is the seismic demand).  $T_{LS}$  may be calculated with the following expression (GU. n. 47, 09/02/2011):

$$T_{LS} = T_{R1} \cdot 10^{\log(T_{R2}/T_{R1}) \cdot \log(a_{LS}S/F_c a_1 S_1) / \log(a_2 S_2 / a_1 S_1)} \quad (5)$$

where  $a_1 S_1$  and  $a_2 S_2$  define the interval of the seismic hazard in which  $a_{LS}$  is included;  $S$  is the stratigraphic amplification;  $T_{R1}$  and  $T_{R2}$  correspond to the return periods associated with  $a_1$  and  $a_2$ , and  $F_c$  represents the confidence factor depending on the knowledge level of the structure.

Whereas, as for the return period  $T_{R,LS}$  associated to the expected seismic action, it is obtained through the Equation 6 depending on the reference period ( $V_R$ ), and the probability of exceedance ( $P_{VR}$ ) is associated with the considered limit state, having a  $P_{VR}$  equal to 61 and 10%, respectively, for the *DLS* and *LSLS*.

$$T_{R,LS} = - \frac{V_R}{\ln(1 - P_{VR})} \quad (6)$$

Another ratio that may be particularly useful for seismic assessment is the *acceleration factor*  $f_{a,LS}$ , expressing the structure strength with respect to the seismic demand:

$$f_{a,LS} = \frac{a_{LS}}{a_{g,LS}} \quad (7)$$

where  $a_{LS}$  corresponds to the achievement of the considered limit state and  $a_{g,LS}$  is the expected one at the site, both referred to a rigid soil. It should be remarked that if a certain seismic protection level is satisfied, both  $I_{LS}$  and  $f_{a,LS}$  are major than unity.

## LV2 Method

This method is based on the analysis of rigid bodies by the means of the kinematic model (kinematic analysis). The failure mechanism considered may be schematized as a group of rigid blocks forming a kinematic chain, unstable with respect to the lateral actions, where all bodies are connected to each other with flexural hinges placed where the cracking likely occurs. The method is applied under the hypotheses (Heyman, 1966) that the masonry strength in compression is infinite and in tension is neglectable, and that any sliding between two adjacent blocks is restrained. It is suitable also for designing local interventions, if they do not modify the entire response of a structure.

The method involves an incremental approach, consisting of increasing a distribution of lateral forces, applied to the rigid blocks, proportional to the bodies mass. The lateral forces are increased until the failure arising when an inadmissible thrust line verifies. The beginning of the failure mechanism, according to Eurocode 8 (CEN, 2004) and Italian Design Code (Ministerial Decree, 14/01/2008), corresponds to the Damage Limit State (*DLS*) and it is associated with a lateral forces multiplier usually indicated as  $\alpha_0$ .

Certainly in this method, a primary importance is represented by the definition of the macro-elements that, as known,

are strictly dependent on manufacturing techniques and structural details such as, for instance, elements connections or existing cracks. Investigations in this regard may be found in Lagomarsino and Resemini (2009) as for the compressive strength influence, or in Lagomarsino (2012) for the no-tensile strength assumption. Whereas, as far as the influence of orthogonal walls connection in the overturning mechanism is concerned, it is worth noting the study carried out by de Felice and Giannini (2001), D'Ayala and Speranza (2003), and Lagomarsino (2012). To this regard, recently in Instructions for the application of the Ministerial Decree MD (17/01/2018), it is also recommended to take into account in the calculation of the wall overturning mechanism and the stabilizing friction contribution of the orthogonal walls due to an effective connection. As it will be shown later, this contribution is neglected in this paper, and, therefore, the so-found activation multipliers underestimate the real ones.

The failure mode activation multiplier  $\alpha_0$  of a rigid block chain is defined according to the following expression, representing an application of the Theorem of Virtual Works (Instructions for the application of the Ministerial Decree MD, 14/01/2008):

$$\alpha_0 \left( \sum_{i=1}^n P_i \delta_{x,i} + \sum_{j=n+1}^{n+m} P_j \delta_{x,j} \right) - \sum_{i=1}^n P_i \delta_{y,i} - \sum_{h=1}^o F_h \delta_h = L_{fi} \quad (8)$$

where:

- $n$  is the rigid blocks number involved;
- $m$  is the forces number that do not directly act on the rigid blocks. Their masses are considered in the calculation of horizontal inertial forces under the seismic action;
- $o$  is the external force number applied to the different blocks and not associated to masses;
- $P_i$  is the dead weight of the generic rigid block;
- $P_j$  is the force that does not directly act on the rigid block. Its mass is considered producing a horizontal inertial force;
- $\delta_{x,i}$  and  $\delta_{x,j}$  are the virtual displacements in the horizontal direction of the application points of  $P_i$  and  $P_j$ , respectively. It is assumed as positive vs. associated to direction of the considered seismic action;
- $\delta_{y,i}$  is the virtual displacement in the vertical direction (positive is upward) of the application point  $P_i$ ;
- $F_h$  is an external generic force (in absolute value) applied to a rigid block;
- $\delta_h$  is the application point virtual displacement of the  $F_h$  force (positive if having discordant vs. from  $F_h$ );
- $L_{fi}$  corresponds to the internal forces virtual work, assumed equal to zero.

Subsequently, it is possible to determine the equivalent non-linear response of a single degree of freedom (SDOF) system, deriving the seismic spectral acceleration  $a_0^*$  from the calculated activation multiplier  $\alpha_0$ .  $a_0^*$ , which may be calculated with the Equation (9), descending from the standard modal analysis principles:

$$a_0^* = \frac{\alpha_0 \sum_{i=1}^{n+m} P_i}{M^* FC} = \frac{\alpha_0 g}{e^* FC} \quad (9)$$

In the previous equation:

- $g$  is the gravity acceleration;
- $\sum_{i=1}^{n+m} P_i$  represents the dead weights sum. Their masses produce horizontal inertial force under seismic action to consider in the kinematic chain schematization;
- $FC$  indicates the Factor of Confidence;
- From  $M^*$  representing the effective participating mass:

$$M^* = \frac{\left( \sum_{i=1}^{n+m} P_i \delta_{x,i} \right)^2}{g \sum_{i=1}^{n+m} P_i \delta_{x,i}^2} \quad (10)$$

it is possible to calculate  $e^*$ , that is the participating mass fraction related to  $M^*$ :

$$e^* = \frac{g M^*}{\sum_{i=1}^{n+m} P_i} \quad (11)$$

As for the DLS,  $a_0^*$  has to be compared with the acceleration spectrum demand  $a_g(P_{VR}) \cdot S$ , that is the expected Peak Ground Acceleration:

$$a_0^* > a_g(P_{VR}) \cdot S \quad (12)$$

where  $P_{VR}$  is the exceedance probability for considered limit state (in this case DLS) in the reference life ( $V_R$ ), and  $S$  is the soil stratigraphic amplification. The Equation (12) refers to the macro-elements that are directly connected at ground level, and it neglects the dynamic motion amplification due to structural deformability (Doherty et al., 2002).

Whereas, when they are considered macro-element responses that are not directly connected to the ground floor (as the gable overturning), the dynamic amplification of the response has to be considered, according to the Equation (13).

$$a_0^* > S_e(T_1) \cdot \psi(z) \cdot \gamma \quad (13)$$

where  $z$  is the constraints barycenter height of rigid blocks considered;  $S_e(T_1)$  is the spectral ordinate evaluated for  $T_1$ , that is the entire structure vibration period along the considered direction;  $\psi(z)$  is the shape of the first vibration mode, normalized at the structure top. It may be assumed equal to  $\psi(z) = z/H$ , where  $H$  is the structure height with respect to the foundation floor;  $\gamma$  is the modal participating coefficient assumed in a simplified way equal to  $\gamma = 3N/(2N+1)$  with  $N$  the number of structure stories. The simplified relationship for estimating the churches fundamental period of oscillation  $T_1$ , proposed by Lagomarsino and Podestà (2005), should also be mentioned:

$$T_1 = 0.07H^{\frac{3}{4}} \quad (14)$$

where  $H$  represents the structure height, measured up to the lowest point of the roof.

As regards to the LSLS, the behavior factor  $q$  (Ministerial Decree, 14/01/2008) has to be considered in the previous

formulations. Therefore, in the case of ground-connected mechanisms, we have:

$$a_0^* > \frac{a_g(P_{VR}) \cdot S}{q} \quad (15)$$

where it is applied, in the case of not directly ground-connected mechanisms, the Equation (16).

$$a_0^* > \frac{S_e(T_1) \cdot \psi(Z) \cdot \gamma}{q} \quad (16)$$

In the previous equations, the behavior factor  $q$  may be assumed equal to 2. The symbols used in Equations (15, 16) are the same as Equations (12, 13), respectively. It should be pointed out that, in the Instructions for the application of the Ministerial Decree MD (17/01/2018), a more refined approach for calculating the floor design spectra of the horizontal seismic action is indicated and, consequently, the seismic demand of the response mechanism is not directly ground connected (such as, for instance, the gable overturning). However, although more precise, this approach requires knowledge of the dynamic characteristics of the considered mechanism that, for the detail level of this work, are unknown. Therefore, the formulations reported in the Equations (13, 16) will be applied, indicated in the previous Instructions for the application of the Ministerial Decree MD (14/01/2008), where a first evaluation of the seismic demand may be done independently on the fundamental period of the response mechanism considered.

## A NEW SIMPLIFIED APPROACH FOR ASSESSING THE SEISMIC RISK

In Diaz (2016), a new simplified methodology capable of assessing the seismic risk of ancient masonry churches has been proposed. Subsequently, this methodology has been preliminarily validated in D'Amato et al. (2018), and recently also extended to the case of Chilean Churches in Fuentes et al. (2019).

The simplified methodology provides a seismic risk score  $R$ , applying the known symbol equation (UNDRO, 1979; FEMA, 2004):

$$R = E \times H \times V \quad (17)$$

where  $E$ ,  $H$ , and  $V$  represent the exposure of elements or assets at risk, the seismic hazard, and the vulnerability, respectively.

The seismic risk score  $R$  is obtained by multiplying the scores  $E$ ,  $H$ , and  $V$ , separately evaluated by applying three different tools, that are:

- *Tool 1*: it provides a score associated to the exposition factor  $E$ , and accounting for the cultural value;
- *Tool 2*: with this tool a score it is calculated associated to the seismic hazard  $H$ . Different threats may be considered consulting, for example, published maps such as, for instance, the Italian *Risk Map* (CENAPRED, 2006; ISCR, 2017) and (Degg and Chester, 2005);
- *Tool 3*: the seismic vulnerability index  $V$  is calculated considering additional information provided in some seismic vulnerability forms such as the DGPTA (2003) and Chilean Norm N. 3332 (2013).

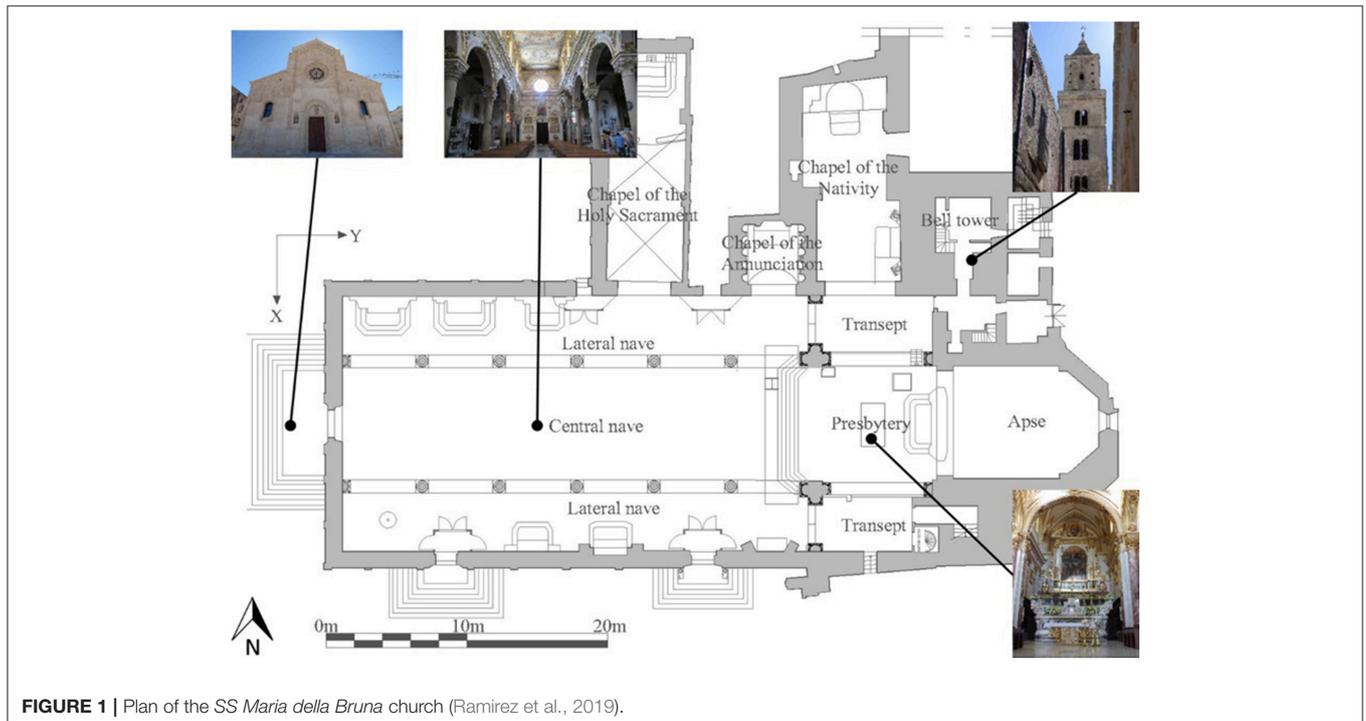


FIGURE 1 | Plan of the SS Maria della Bruna church (Ramirez et al., 2019).

As proposed in Diaz (2016), the simplified method may also be applied as the scoring method by neglecting the exposition value, that is the *E* score within the Equation (17). Hence, the seismic score *R* is given by:

$$R = [H + 1] \times V \tag{18}$$

obtained by considering only the scores separately obtained with the *Tool 2* and the *Tool 3*. In the previous equation, *H* is increased as a unity for obtaining a seismic score *R* more major than 1. One may note that as *R* increases, the seismic risk also increases. The proposed method is particularly suitable for conducting, at a territorial scale, a seismic comparative analysis, with the simplicity of requiring few information to be applied. Instructions on how to calculate the vulnerability (*V*) and seismic hazard (*H*) scores may be found in Diaz (2016) and in D'Amato et al. (2018).

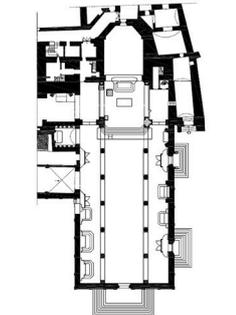
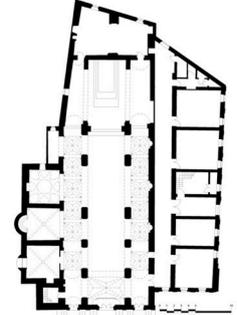
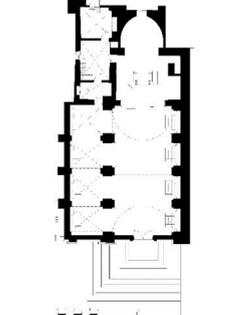
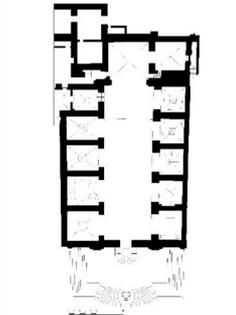
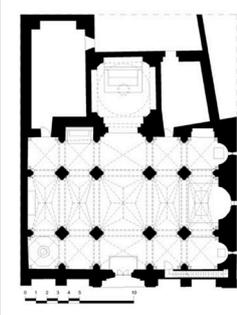
### CASE STUDIES

The city of Matera is located at the south of Italy, in the region of Basilicata. Specifically, the *SS Maria della Bruna* church is located in the city center, called “*Sassi of Matera*,” consisting of two areas protected, since 1993, by UNESCO and reported within the World Heritage List. As shown in **Figure 1**, the church is characterized by a main body composed of three naves, having a

latin cross configuration in plan. As in the Cristian tradition, the church main façade is oriented toward the west while the altar is oriented toward the east. The Cathedral construction started between 1226 and 1231 (Morelli, 1970). An inscription on the bell tower door reports that in 1270 the construction finished. Between the 15 and 16th centuries some chapels were annexed to the main body on the north side. As for the bell tower, two distinct parts may be clearly identified, since the upper zone was built later than the rest of the tower but not after 1709, given that this part appears in a fresco. The choir was originally completed in 1729 and, later, completely reconstructed in 1738. Additionally, it is supposed that due to a partial collapse, the dome was reconstructed. Regarding the bell tower, it is possible that the upper section was built later than the rest of the tower but not after 1709, given that this part appears in a fresco after this year (Ragone et al., 2017). The façade of the Cathedral presents several ornamental elements with religious meanings. Above the main entrance, a statue of *SS Maria della Bruna*, the Saint Patron of the City, is situated. At the top of the main façade, a finely decorated oculus is situated. A plan of the church is reported in **Figure 1**.

The results obtained from the considered case study are also compared in this work with four similar ancient masonry churches, all located within the “*Sassi of Matera*” area. The four additional churches, examined in a previous work (D'Amato et al., 2018) are: *San Pietro Caveoso*, *San Rocco*, *San Francesco*

**TABLE 1** | Some views of the considered case studies.

| SS Maria della Bruna  | San Pietro Caveoso  | San Rocco   | San Francesco d'Assisi   | San Giovanni Battista   |
|---|---|---|--|---|
|  |  |  |  |  |
|  |  |  |  |  |
|  |  |  |  |  |

*d'Assisi*, and *San Giovanni Battista*. The considered churches are illustrated in **Table 1**, where for each of them, the main facade, an interior view and the floor plan is reported. For each considered church, original drawings reporting the geometrical dimensions were retrieved. In addition, specific detailed surveys were conducted in order to control any possible existing cracking pattern, material deterioration or structural instability. No *in-situ* tests were carried out since the calculation methods applied in this study do not depend on the mechanical properties of the involved materials. In the following, a summary of the description of the considered churches is reported.

## San Pietro Caveoso Church

The church is placed in front of a large square, and along the canyon rocky ridge of Gravina river. The main facade is in baroque style, with three different portals, suggesting the subdivision of the internal structure into three naves. Above the portals, three semicircular niches contain the statues of Saints Peter and Paul, respectively to the left and to the right, with the Madonna della Misericordia in a central position. The facade is advanced with respect to its original position and connected to the internal longitudinal space through vaults in the Lecce style. Inside there are three naves and four of the eight original chapels overlooking the left nave. The original ceiling of the central nave is hidden today by a wooden false ceiling decorated with paintings. The main nave ends with a deep choir containing the presbytery with the eighteenth-century altar. On the left of the facade rises the bell tower with three orders, culminating with a pyramidal spire.

## San Rocco Church

The main entrance of the church is served by an imposing staircase. The internal layout has a division into two aisles: the largest exhibits a barrel-vaulted roof, supported by round arches crossing, and along the right side, niches with altars. The lateral aisle is made up of four quadrangular spans, covered by cross vaults with a slightly raised profile and accompanied by exquisite workmanship altars, embellished with paintings and sculptures from various eras. Through a triumphal arch it is possible to reach

the presbytery area, covered by a pseudo-sail vault and concluded by a semicircular apse where the choir with the organ is placed.

## San Francesco d'Assisi Church

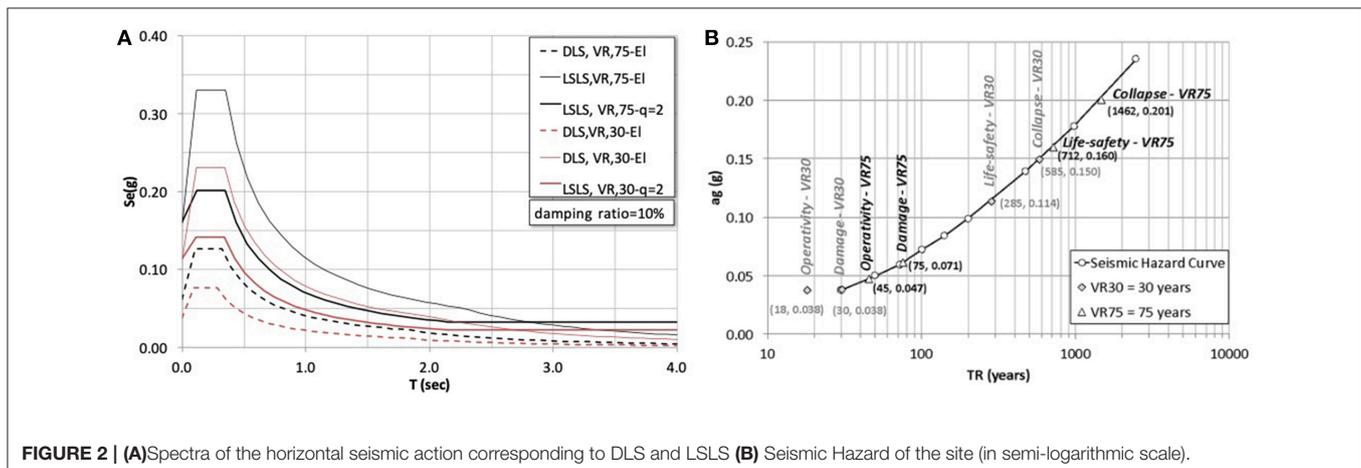
This church was built on top of the rupestrian church of Saints Peter and Paul. It presents an imponent facade and is divided into three distinct naves internally. On the lateral aisles are present nine chapels: four chapels on the right side and five chapels on the left side, with the characteristic that each of these is covered by a different vaulted system. Behind the presbytery there are additional spaces, among which sits the choir area hosting also a huge organ. On the right side, there is an environment linking the church with the bell cell.

## San Giovanni Battista Church

This church presents a basilica layout with three naves, with a Greek cross plan. The pillars delimiting the central nave have a cruciform plan, composed of four half-columns, of which only one supports the vault of the central nave. The church has a conspicuous development in height, with different vaulted systems, such as a star-shaped, Lecce-type, and cross-shaped, respectively. The aisles, delimited by cruciform pillars and columns projecting from the internal walls, intersect the transept and are divided into two equal parts, composed of two spans each with a cross-shaped roof.

After collecting all the main information, the principal characteristics of the considered churches may be summarized as follows:

- Configuration in plan:** *SS Maria della Bruna*, *San Giovanni Battista*, and *San Pietro Caveoso* are characterized by plan configuration with three naves, where *San Rocco* and *San Francesco d'Assisi* churches have a one-nave plan-configuration;
- Roof structures:** *SS Maria della Bruna*, *San Rocco*, and *San Giovanni Battista* have a vaulted system, while roof structures of *San Pietro Caveoso* and *San Francesco d'Assisi* are made also by truss wooden structures in the central main nave;
- Configuration in elevation:** in all cases the roof structures are covering the main nave and the lateral ones. No additional floor was detected.



The construction material for all the churches was represented by *tufo*, a local calcarenite rock. The state of conservation of masonry elements was good with a regular texture, and no cracking pattern was encountered in each church. Similar approaches may be found in Laterza et al. (2016), D'Amato et al. (2017), and Fabbrocino et al. (2018). Whereas, a discussion of some critical aspects in investigating structural details may be found, among the others, in Krstevska et al. (2010); Luchin et al. (2018), and Marghella et al. (2016).

## DEFINITION OF PERFORMANCE LEVELS AND SEISMIC HAZARD

With the aim of requalifying the highest number of manufactures of the Italian cultural heritage, the Italian directive (G.U. n. 47, 26/02/2011) allows for improving the seismic performance without imposing a complete retrofitting. This consequently allows us to design small and cheap interventions, instead of

heavy, widespread and invasive ones. In this way each manufacture can be considered as seismically protected for a lower action level (i.e., for a lower Return Period,  $T_R$ ). In other words, it is permitted to reduce the observation time in which the seismic action is evaluated. This interval, defined as reduced nominal life  $V_{N,Red}$ , has to be assumed equal to or higher than 20 years (G.U. n. 47, 26/02/2011).

In line with this criterion, the seismic analyses presented herein are performed considering a reduced nominal life  $V_{N,Red}$  assumed corresponding to 20 years, and a standard nominal life  $V_N=50$  years, as requested for newly designed buildings. The coefficient of use adopted in both the cases is  $C_U=1.5$ , and, therefore, the observation time is adopted to define the seismic action results  $V_R=30$  years for  $V_{N,Red}=20$  years and  $V_R=75$  years for  $V_N=50$  years.

The city of Matera falls in a moderate seismicity zone, and many moderate seismic events hit it in the past years. Specifically, in the Italian historic catalog (CPTI, 2015), some events with macro-seismic intensity equal to 7 and many events

**TABLE 2** | Scores obtained by applying the LV1 method.

| Macro-elements  | $\rho_k$ | $(v_{ki}-v_{kp})$    |                    |             |                        |                       |
|---|----------|----------------------|--------------------|-------------|------------------------|-----------------------|
|   |          | SS Maria della Bruna | San Pietro Caveoso | San Rocco   | San Francesco d'Assisi | San Giovanni Battista |
| 1 Façade overturning                                  | 1        | 0                    | -1                 | 0           | 0                      | 0                     |
| 2 Mechanisms at the top of the façade                 | 1        | 2                    | 2                  | 0           | 0                      | 3                     |
| 3 Façade in-plane mechanisms                          | 1        | 1                    | 2                  | 0           | 3                      | 1                     |
| 4 Prothyrum-Narthex                                   | 0.9      | 0                    | 0                  | 0           | 0                      | 0                     |
| 5 Main nave transversal response                      | 0.9      | 0                    | 3                  | 0           | 0                      | 1                     |
| 6 Lateral walls shear mechanism                       | 0.9      | 0                    | -3                 | 3           | 0                      | -3                    |
| 7 Colonnade longitudinal response                     | 1        | 0                    | 0                  | 3           | 0                      | 3                     |
| 8 Main nave vaults                                    | 1        | 3                    | 0                  | 0           | 0                      | 3                     |
| 9 Lateral naves vaults                                | 0.5      | 0                    | 3                  | 3           | 0                      | 3                     |
| 10 Transept end wall overturning                      | 1        | 0                    | 0                  | 0           | 0                      | 0                     |
| 11 Transept walls shear mechanisms                    | 1        | 0                    | 0                  | 0           | 0                      | 0                     |
| 12 Transept vaults                                    | 0.9      | 0                    | 0                  | 0           | 0                      | 0                     |
| 13 Triumphal arches                                   | 1        | -3                   | -3                 | -3          | -3                     | 0                     |
| 14 Dome, drum/tiburium                                | 0.9      | -3                   | 0                  | 0           | 0                      | -3                    |
| 15 Lantern  | 1        | 0                    | 0                  | 0           | 0                      | 0                     |
| 16 Apse overturning                                   | 0.9      | 0                    | -3                 | 0           | 1                      | 0                     |
| 17 Presbytery/apse shear mechanism                    | 0.9      | 0                    | 0                  | 3           | 0                      | 0                     |
| 18 Presbytery/apse vaults                             | 0.9      | 0                    | 0                  | -3          | 3                      | 0                     |
| 19 Roof parts: main nave                              | 0.9      | 0                    | -3                 | -3          | -3                     | 0                     |
| 20 Roof parts: transept                               | 0.8      | 0                    | 0                  | 0           | 0                      | 0                     |
| 21 Roof parts: apse                                   | 1        | 0                    | 0                  | -3          | 3                      | 0                     |
| 22 Chapels overturning                                | 1        | 0                    | -1                 | 2           | 0                      | -3                    |
| 23 Chapels shear mechanisms                           | 1        | 0                    | -3                 | 3           | 0                      | -3                    |
| 24 Chapels vaults                                     | 1        | 0                    | 0                  | 3           | 3                      | 0                     |
| 25 Interactions next to plan/elevation irregularities | 1        | 0                    | 3                  | 0           | 2                      | -3                    |
| 26 Projections (spires, pinnacles, statues)           | 0.9      | 0                    | 0                  | 0           | 0                      | -1                    |
| 27 Bell tower   | 0.9      | 0                    | 0                  | 0           | 0                      | 0                     |
| 28 Bell cell  | 0.9      | -1                   | 0                  | 0           | 0                      | 0                     |
| <b><math>i_v</math></b>                               |          | <b>0.47</b>          | <b>0.44</b>        | <b>0.62</b> | <b>0.68</b>            | <b>0.49</b>           |

with intensity equal to 6 are found. The seismic action is defined in accordance with the Italian seismic code (Ministerial Decree, 14/01/2008) by considering the Damage Limit State (*DLS*) and the Life Safety Limit state (*LSLS*). By assuming the observation periods discussed above, we obtain a return period  $T_R$  equal to 30 and 75 years for the *DLS*, and  $T_R$  equal to 285 and 712 years for the *LSLS*.

In **Figure 2** the considered elastic and design spectra for the horizontal component of seismic action are shown. It should be noted that, according to what observed in Paulay and Priestley (1992) for masonry structures, the elastic spectra is calculated by considering a damping ratio equal to 10%. Furthermore, a behavior factor  $q=2$  is

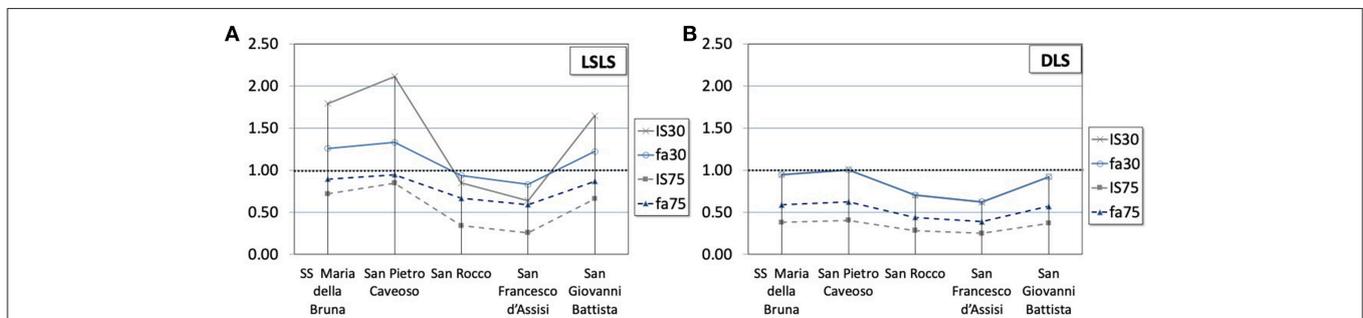
considered for the design spectra, according to the Italian code suggestions.

### APPLICATION OF LV1 METHOD

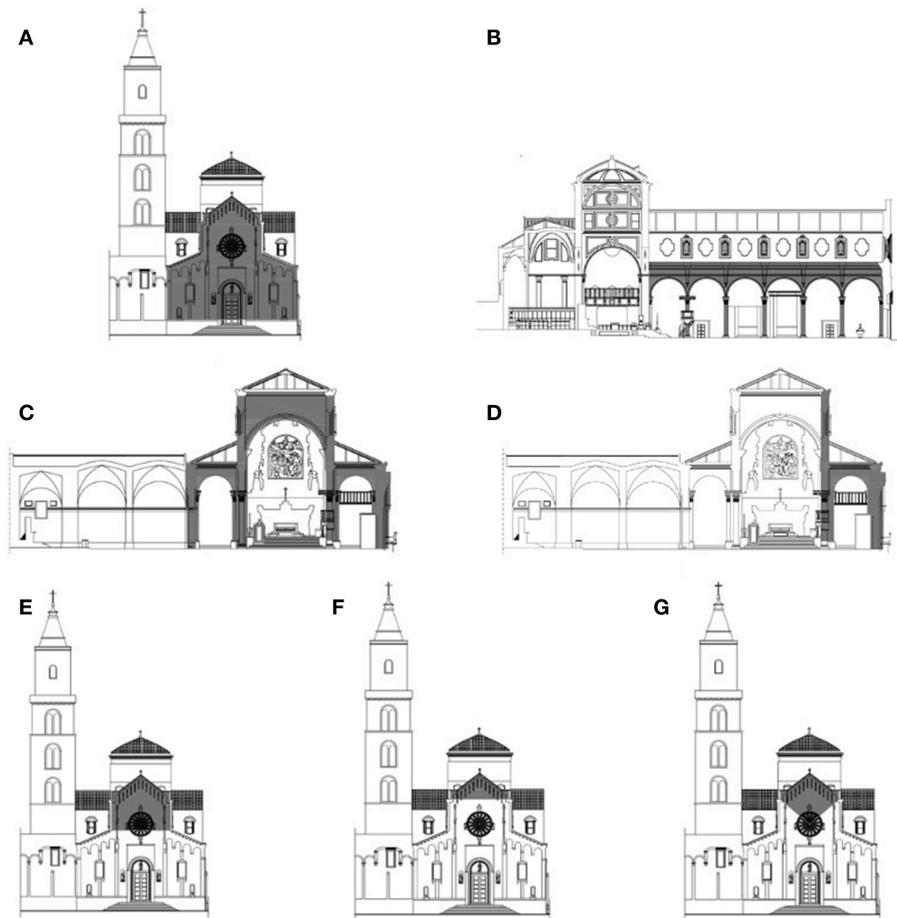
In order to apply the *LV1* method, **Table 2** numerically reports, for each macro-element considered, the score assigned to the actual vulnerability ( $v_{ki}$ ) and seismic-resistant device ( $v_{Kp}$ ). With these scores, it has been possible to calculate for each church the vulnerability index  $i_v$  (Equation 1), and then the seismic capacity measured through  $a_{LSLS}$  and  $a_{DLS}$  (Equations 2, 3). The obtained results are summarized in **Table 3**, where they

**TABLE 3** | Seismic assessment according to the LV1 method for LSLS and DLS.

|   | LV1- Seismic assessment |                    |           |                        |                       |
|---|-------------------------|--------------------|-----------|------------------------|-----------------------|
|   | SS Maria della Bruna    | San Pietro Caveoso | San Rocco | San Francesco d'Assisi | San Giovanni Battista |
| $a_{LSLS}$ (g)  | 0.193                   | 0.204              | 0.144     | 0.127                  | 0.187                 |
| $a_{LSLS}/FC$ (g)   | 0.143                   | 0.151              | 0.106     | 0.094                  | 0.139                 |
| $T_{LSLS}$ (years)  | 511                     | 602                | 242       | 181                    | 469                   |
| $I_{S30}$ ( $T_{LSLS}/T_{R,LSLS30}$ ) ( $V_R = 30$ years, $V_N = 20$ years, $T_{R,LSLS30} = 285$ years) | 1.79                    | 2.11               | 0.85      | 0.64                   | 1.65                  |
| $I_{S75}$ ( $T_{LSLS}/T_{R,LSLS75}$ ) ( $V_R = 75$ years, $V_N = 50$ years, $T_{R,LSLS75} = 712$ years) | 0.72                    | 0.85               | 0.34      | 0.25                   | 0.66                  |
| $a_{g,LSLS30} - V_R = 30$ years   | 0.114                   | 0.114              | 0.114     | 0.114                  | 0.114                 |
| $a_{g,LSLS75} - V_R = 75$ years   | 0.160                   | 0.160              | 0.160     | 0.160                  | 0.160                 |
| $f_{a30}$ ( $a_{LSLS}/a_{g,LSLS30}$ )   | 1.26                    | 1.33               | 0.94      | 0.83                   | 1.22                  |
| $f_{a75}$ ( $a_{LSLS}/a_{g,LSLS75}$ )   | 0.89                    | 0.94               | 0.67      | 0.59                   | 0.87                  |
| $a_{DLS}$ (g)   | 0.048                   | 0.051              | 0.036     | 0.032                  | 0.047                 |
| $a_{DLS}/FC$ (g)  | 0.036                   | 0.038              | 0.027     | 0.024                  | 0.035                 |
| $T_{DLS}$ (years)   | 28                      | 30                 | 21        | 19                     | 28                    |
| $I_{S30}$ ( $T_{DLS}/T_{R,DLS30}$ ) ( $V_R = 30$ years, $V_N = 20$ years, $T_{R,DLS30} = 30$ years)     | 0.95                    | 1.01               | 0.70      | 0.62                   | 0.92                  |
| $I_{S75}$ ( $T_{DLS}/T_{R,DLS75}$ ) ( $V_R = 75$ years, $V_N = 50$ years, $T_{R,DLS75} = 75$ years)     | 0.38                    | 0.40               | 0.28      | 0.25                   | 0.37                  |
| $a_{g,DLS30} - V_R = 30$ years  | 0.038                   | 0.038              | 0.038     | 0.038                  | 0.038                 |
| $a_{g,DLS75} - V_R = 75$ years  | 0.061                   | 0.061              | 0.061     | 0.061                  | 0.061                 |
| $f_{a30}$ ( $a_{DLS}/a_{g,DLS30}$ )   | 0.95                    | 1.00               | 0.70      | 0.62                   | 0.92                  |
| $f_{a75}$ ( $a_{DLS}/a_{g,DLS75}$ )   | 0.59                    | 0.62               | 0.44      | 0.39                   | 0.57                  |



**FIGURE 3** | Indexes calculated with the LV1 method for (A) LSLS and (B) DLS.



**FIGURE 4 |** SS Maria della Bruna church. Response mechanisms considered: **(A)** Façade simple overturning, **(B)** longitudinal response of the colonnade, **(C)** triumphal arch transversal response, **(D)** lateral nave transversal response, **(E)** top façade overturning, **(F)** gable simple overturning, **(G)** gable out-of-plane breakout.

**TABLE 4 |** Directly ground-connected response mechanisms.

| Macro-element                           | SS Maria della Bruna | San Pietro Caveoso | San Rocco    | San Francesco d'Assisi | San Giovanni Battista |
|---|----------------------|--------------------|--------------|------------------------|-----------------------|
| Façade simple overturning (g)           | 0.078                | 0.065              | 0.050        | 0.059                  | 0.162                 |
| Colonnade longitudinal response (g)     | 0.197                | 0.271              | 0.106        | 0.322                  | 0.167                 |
| Nave transversal response (g)           | 0.179                | 0.071              | 0.108        | 0.046                  | 0.185                 |
| Triumphal arch transversal response (g) | 0.121                | 0.166              | –            | 0.165                  | –                     |
| <b>Minimum <math>a_0^*</math> (g)</b>   | <b>0.078</b>         | <b>0.065</b>       | <b>0.050</b> | <b>0.046</b>           | <b>0.162</b>          |
| <b>Life-Safety Limit State (LSLS)</b>   |                      |                    |              |                        |                       |
| $a_{g,LSLS30} S/q$ (g)                  | 0.057                | 0.057              | 0.057        | 0.057                  | 0.057                 |
| $a_{g,LSLS75} S/q$ (g)                  | 0.080                | 0.080              | 0.080        | 0.080                  | 0.080                 |
| $f_{a30} [a_0^*/(a_{g,LSLS30} S/q)]$    | 1.374                | 1.145              | 0.881        | 0.810                  | 1.338                 |
| $f_{a75} [a_0^*/(a_{g,LSLS75} S/q)]$    | 0.975                | 0.813              | 0.625        | 0.575                  | 0.950                 |
| <b>Damage Limit State (DLS)</b>         |                      |                    |              |                        |                       |
| $a_{g,DLS30} S$ (g)                     | 0.038                | 0.038              | 0.038        | 0.038                  | 0.038                 |
| $a_{g,DLS75} S$ (g)                     | 0.061                | 0.061              | 0.061        | 0.061                  | 0.061                 |
| $f_{a30} [a_0^*/(a_{g,DLS30} S)]$       | 2.056                | 1.713              | 1.318        | 1.212                  | 2.003                 |
| $f_{a75} [a_0^*/(a_{g,DLS75} S)]$       | 1.276                | 1.063              | 0.818        | 0.753                  | 1.243                 |

Spectral acceleration  $a_0^*$  and related indexes for LSLS and DLS according to the LV2 method.

are reported as the *acceleration factor*  $f_a$  (Equation 7) and the *seismic safety index*  $I_S$  (Equation 4). These parameters are calculated by considering two observation periods, that are  $V_R=30$  years (obtaining  $I_{S,30}$  and  $f_{a,30}$ ) and  $V_R=75$  years (obtaining  $I_{S,75}$  and  $f_{a,75}$ ). The seismic verifications are conducted by referring to both the *LSSL* (having a  $P_{VR}=10\%$ ) and to the *DLS* (having instead a  $P_{VR}=63\%$ ). Moreover, in comparing the results, the following additional assumptions have been made:  $FC=1.35$  (factor of confidence),  $S=1$  (soil stratigraphic factor).

Firstly, the results are presented in terms of return periods ( $T_{LSSL}$  and  $T_{DLS}$ , for *LSSL* and *DLS*, respectively) obtained on the predicted accelerations ( $a_{LSSL}/FC$  and  $a_{DLS}/FC$ ) of each case study. It must be noted that the expected return period  $T_{DLS}$  results are always, except for *San Pietro Caveoso* church, lower than 30 years, corresponding to the first point available of the site seismic hazard (Figure 2B). Therefore, in these cases, by following the Italian Design Code,  $a_{g,DLS30}$  has been considered equal to the one provided for a return

period of 30 years (in this case it results  $a_{g,DLS30}=0.038g$ ). For sake of completeness, Figure 3 illustrates the numerical results summarized in Table 3 for the two considered limit states.

Figure 3A shows the considered indexes obtained for *LSSL* (i.e.,  $I_S$  and  $f_a$ ), evaluated for all the analyzed churches and for the two considered observation periods. For  $V_R=30$  years, the *SS Maria della Bruna* church shows a low vulnerability, having both indexes exceeding the unity:  $I_{S30}=1.65$  and  $f_{a30}=1.26$ . On the contrary, these indexes are significantly lower than unity if the observation period is equal to 75 years: in this case it results as  $I_{S75}=0.66$ , and  $f_{a75}=0.89$ . It is worth noting that *SS Maria della Bruna* church shows a low vulnerability if compared with others, except for *San Pietro Caveoso* church, where it is found  $I_{S30}=2.11$  ( $I_{S75}=0.85$ ) and  $f_{a30}=1.33$  ( $f_{a75}=0.94$ ). In Figure 3B, the resulting indexes for *DLS* are shown. In this case, the *SS Maria della Bruna* church provides, in all cases, numerical values that are always lower than unity:  $I_{S30}=0.92$  ( $I_{S75}=0.37$ ) and  $f_{a30}=0.95$  ( $f_{a75}=0.59$ ), even though higher than the other ones, except

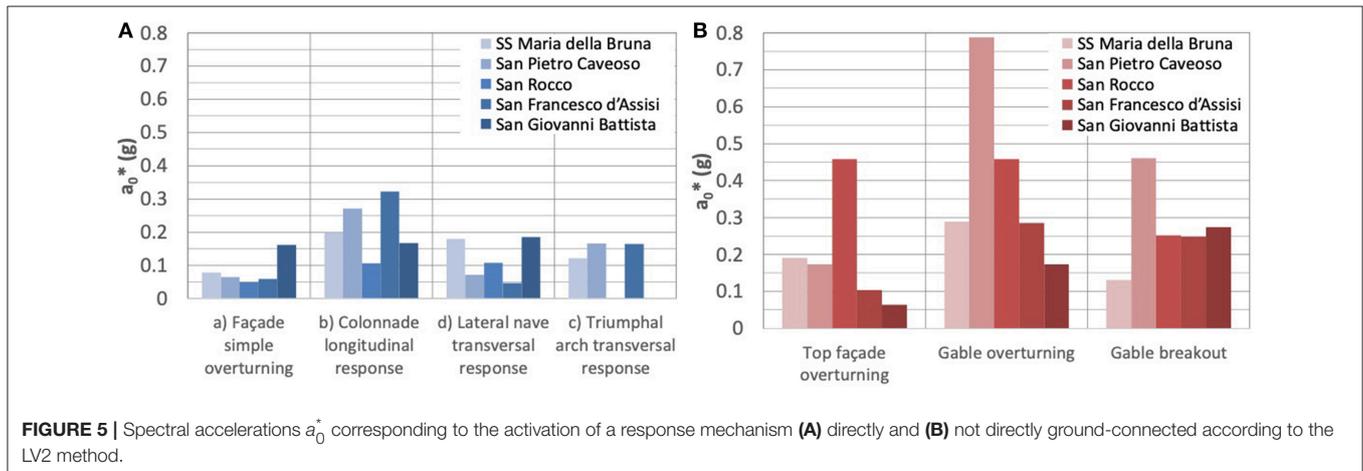


TABLE 5 | Not directly ground-connected response mechanisms.

| Macro-element  | SS Maria della Bruna | San Pietro Caveoso | San Rocco    | San Francesco d'Assisi | San Giovanni Battista |
|--|----------------------|--------------------|--------------|------------------------|-----------------------|
| Top façade overturning (g)                                   | 0.191                | 0.173              | 0.458        | 0.103                  | 0.064                 |
| Gable overturning (g)  | 0.289                | 0.788              | 0.458        | 0.286                  | 0.173                 |
| Gable breakout (g)   | 0.131                | 0.460              | 0.252        | 0.248                  | 0.274                 |
| <b>Minimum <math>a_0^*</math> (g)</b>                        | <b>0.131</b>         | <b>0.173</b>       | <b>0.252</b> | <b>0.103</b>           | <b>0.064</b>          |
| <b>Life-Safety Limit State (LSSL)</b>                        |                      |                    |              |                        |                       |
| Se(T1) $\psi(Z) \gamma/q - V_R = 30$ years (g)               | 0.122                | 0.141              | 0.118        | 0.106                  | 0.085                 |
| Se(T1) $\psi(Z) \gamma/q - V_R = 75$ years (g)               | 0.178                | 0.202              | 0.173        | 0.153                  | 0.124                 |
| $f_{a30} [a_0^*/(Se(T1) \psi(Z) \gamma/q) - V_R = 30$ years] | 1.074                | 1.227              | 2.136        | 0.972                  | 1.059                 |
| $f_{a75} [a_0^*/(Se(T1) \psi(Z) \gamma/q) - V_R = 75$ years] | 0.736                | 0.856              | 1.457        | 0.673                  | 0.726                 |
| <b>Damage Limit State (DLS)</b>                              |                      |                    |              |                        |                       |
| Se(T1) $\psi(Z) \gamma - V_R = 30$ years (g)                 | 0.055                | 0.077              | 0.053        | 0.047                  | 0.038                 |
| Se(T1) $\psi(Z) \gamma - V_R = 75$ years (g)                 | 0.102                | 0.127              | 0.103        | 0.087                  | 0.072                 |
| $f_{a30} [a_0^*/(Se(T1) \psi(Z) \gamma) - V_R = 30$ years]   | 2.382                | 2.247              | 4.755        | 2.191                  | 2.368                 |
| $f_{a75} [a_0^*/(Se(T1) \psi(Z) \gamma) - V_R = 75$ years]   | 1.284                | 1.362              | 2.447        | 1.184                  | 1.250                 |

Spectral acceleration  $a_0^*$  and related indexes for *LSSL* and *DLS* according to the *LV2* method.

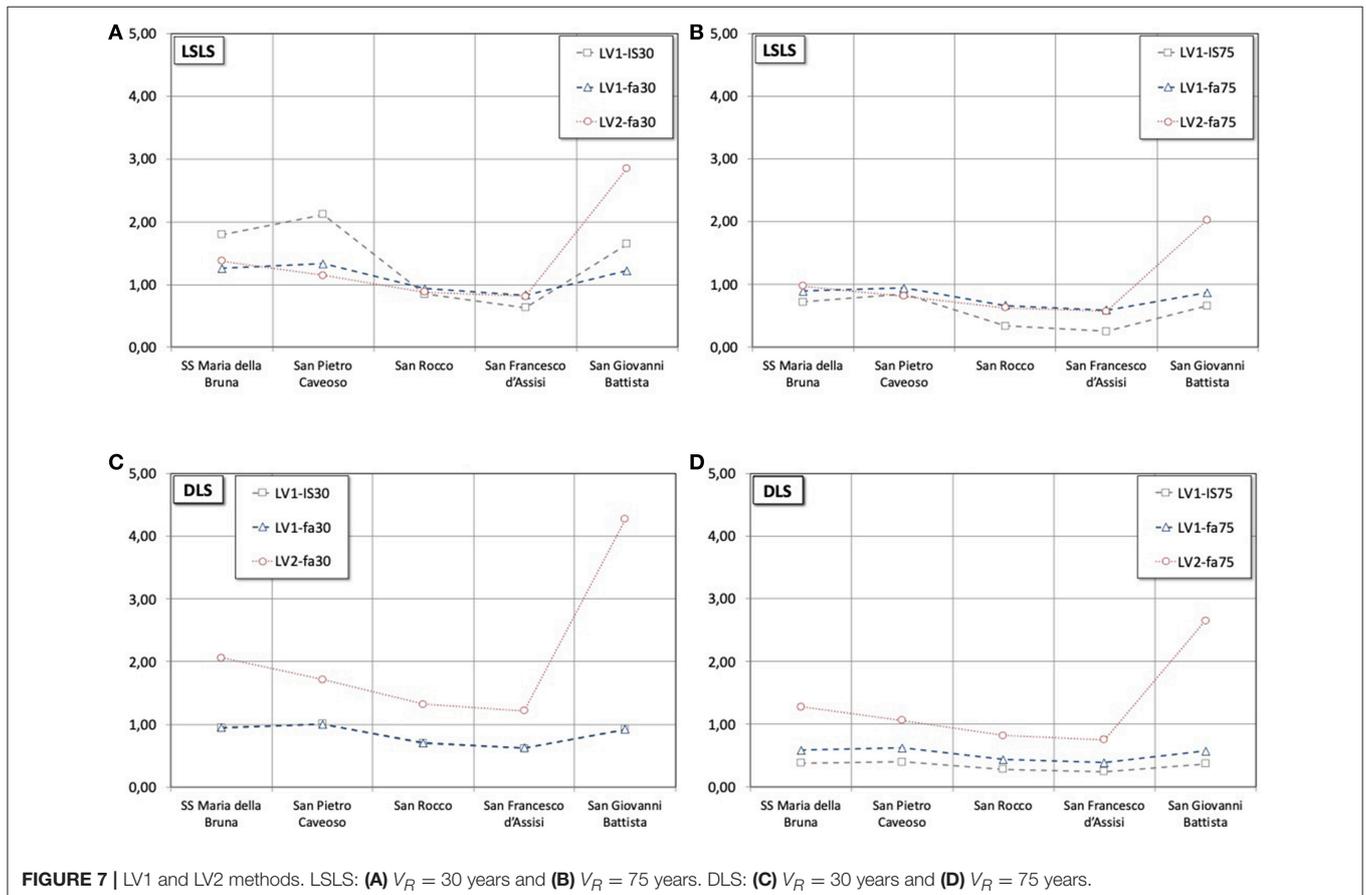
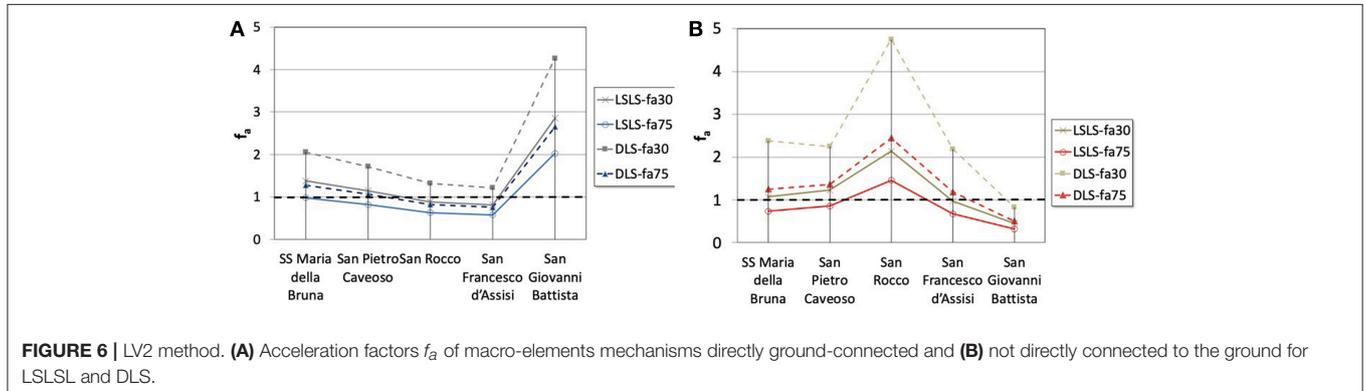
again for *San Pietro Caveoso* church where it is found that  $I_{s30} = 1.01$  ( $I_{s75} = 0.40$ ) and  $f_{a30} = 1.00$  ( $f_{a75} = 0.62$ ).

One may note that, as for the *LSLS*, the seismic safety index  $I_s$  constantly reduces by about 2.5 times in all the churches (about 1.4 times in the case of  $f_a$ ), passing from  $V_R = 30$  years to  $V_R = 75$  years. This constant value may be justified by the fact that the ratio  $I_{s30}/I_{s75}$  (or  $f_{a30}/f_{a75}$ ) is dependent only on the return periods of the seismic actions expected on the site (accelerations expected on the site). The same conclusion may be done for the *DLS*, where  $I_{s30}/I_{s75}$  results at about 2.5 ( $f_{a30}/f_{a75} \cong 1.6$ ).

The results of **Figure 3** clearly show that all the plotted curves for *LSLS* and *DLS* have qualitatively the same shape. In other words, the ranking of the seismic performances is always the same independently from the Limit State and from the index considered.

### APPLICATION OF LV2 METHOD

Seven failure mechanisms have been considered in this study: four directly connected to the ground, and the other three are



not directly ground-connected. The considered mechanisms are the following:

- *Façade simple overturning*, where the stabilizing friction contribution of the orthogonal walls is neglected due to an effective connection;
- *Colonnade longitudinal response*, that is the macro-element separating the main nave from the lateral ones. It is composed of columns and arches placed in the longitudinal direction;
- *Transversal response of triumphal arch*, considering also (if present) the adjacent arches belonging to the lateral naves;
- *Transversal response of lateral nave*, that is an overturning mechanism considered separated from the main aula;
- *Top façade simple overturning*, façade portion overturning, starting from the highest point of lateral naves roof up to the façade peak;
- *Gable simple overturning*, simple overturning of the triangular portion placed at the façade top;
- *Gable out-of-plane breakout*, out-of-plane mechanism involving the triangular portion at the façade top activated by the means of three symmetrical cylindrical hinges.

For all the macro-elements, since the local masonry disintegration may be excluded, a monolithic behavior has been assumed. The macro-elements considered, as previously described, have been schematized starting from only visual investigations and geometric reliefs, since no clear cracking patterns have been encountered. In addition, no stabilizing contribution for each mechanism has been considered (such

as interaction of transversal walls, or additional restrains). Therefore, the calculated activation multipliers underestimate the real ones. Furthermore, for simplicity, only the most vulnerable mechanisms have been considered in this study, not considering the in-plane response ones since they typically show higher activation multipliers.

**Figure 4** illustrates the failure mechanisms in the case of *SS Maria della Bruna* church. It must be clarified that, for the comparison with the other churches, in the case of *San Rocco* and *San Giovanni Battista* churches, the triumphal arch mechanism has not been considered due to the absence of this architectonic element.

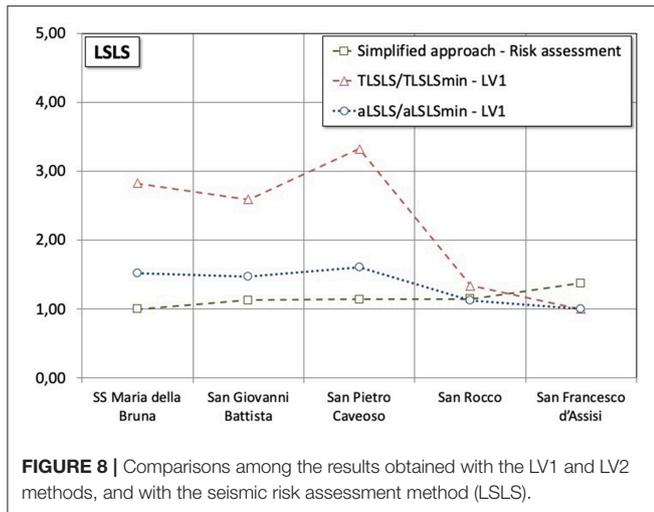
The spectral accelerations  $a_0^*$  (derived from  $\alpha_0$  according to the Equation 9), related to the activation of the considered macro-elements mechanism connected to the ground are shown in **Table 4** and graphed in **Figure 5A**. Moreover, **Table 4** reported the acceleration factors  $f_a$  for a  $V_R = 30$  years and a  $V_R = 75$  years, calculated as follows:

$$f_{a,LS} = \frac{a_0^*}{a_{demand}} \tag{19}$$

In Equation (19) for each church, it is considered the minimum values of  $a_0^*$  for a given limit state (i.e., the most vulnerable failure mode). Whereas,  $a_{demand}$  corresponds to the related expected seismic demand equal to:  $a_{g,LSLS30} S/q$  (or  $a_{g,LSLS75} S/q$ ), or to  $a_{g,DLS30} S$  ( $a_{g,DLS75} S$ ). Again,  $S$  has been assumed equal to 1 and  $q$  equal to 2.

**TABLE 6** | New simplified seismic risk assessment scoring (Diaz, 2016).

|    | Macro-element                          | SS Maria della Bruna | San Pietro Caveoso | San Rocco    | San Francesco d'Assisi | San Giovanni Battista |
|----|--|----------------------|--------------------|--------------|------------------------|-----------------------|
| 1  | Position and foundations               | A                    | A                  | B            | A                      | A                     |
| 2  | Floor plan configuration               | C                    | C                  | C            | D                      | C                     |
| 3  | Elevation configuration                | A                    | A                  | A            | A                      | A                     |
| 4  | Distance between walls                 | C                    | D                  | D            | D                      | D                     |
| 5  | Non-structural elements                | C                    | D                  | D            | D                      | D                     |
| 6  | Type-organization of R.S.              | B                    | A                  | B            | B                      | B                     |
| 7  | Quality of the R.S.                    | A                    | A                  | A            | A                      | A                     |
| 8  | Horizontal structures                  | A                    | A                  | A            | A                      | A                     |
| 9  | Roofing                                | C                    | C                  | C            | C                      | C                     |
| 10 | Conservation status                    | A                    | A                  | A            | B                      | A                     |
| 11 | Environmental alterations              | A                    | B                  | A            | A                      | A                     |
| 12 | Construction system alterations        | A                    | A                  | A            | A                      | A                     |
| 13 | Vulnerability to fire                  | B                    | B                  | B            | B                      | B                     |
|    | <b>Seismic vulnerability score (V)</b> | <b>15.82</b>         | <b>16.83</b>       | <b>19.53</b> | <b>22.56</b>           | <b>33.66</b>          |
| 1  | Maximum Mercalli Intensity             | 0.2                  | 0.20               | 0.20         | 0.20                   | 0.20                  |
| 2  | Landslides/rock fracture               | 0.05                 | 0.15               | 0            | 0                      | 0                     |
| 3  | Erosion                                | 0.05                 | 0.05               | 0            | 0.05                   | 0.05                  |
| 4  | Physical stress                        | 0                    | 0                  | 0            | 0                      | 0                     |
| 5  | Pollution                              | 0.05                 | 0.05               | 0.05         | 0.05                   | 0.05                  |
| 6  | Socio-organizational                   | 0.05                 | 0.05               | 0.05         | 0.05                   | 0.05                  |
| 7  | Demographic decline                    | 0                    | 0                  | 0            | 0                      | 0                     |
|    | <b>Seismic hazard score (H+1)</b>      | <b>1.40</b>          | <b>1.50</b>        | <b>1.30</b>  | <b>1.35</b>            | <b>1.35</b>           |
|    | <b>SEISMIC RISK [V x (H+1)]</b>        | <b>22.15</b>         | <b>25.25</b>       | <b>25.39</b> | <b>30.46</b>           | <b>25.00</b>          |



**FIGURE 8** | Comparisons among the results obtained with the LV1 and LV2 methods, and with the seismic risk assessment method (LSLS).

The façade overturning results in the most vulnerable mechanism, with an activation acceleration of  $a_0^* = 0.078$  g, while the others have acceleration significantly higher (i.e., transversal response of triumphal arch  $a_0^* = 0.121$  g, about 55% higher than the value obtained for the façade overturning). It results that the most vulnerable mechanism for all churches is the simple overturning of the main façade, except for *San Francesco d'Assisi* church. In this case, the lowest spectral acceleration corresponds to the nave transversal response ( $a_0^* = 0.046$  g). Furthermore, it should be remarked that in the case of *San Giovanni Battista* church, the accelerations obtained for all the mechanisms are significantly higher than the others, with a minimum value  $a_0^*$  of 0.162 g that is more than twice of the one obtained for the *SS Maria della Bruna* Church. Moreover, **Table 5** and **Figure 6A** satisfy the seismic performance requirements in the case of  $V_R = 30$  years for both *LSLS* and *DLS*, and in the case of  $V_R = 75$  years for *DLS* only while for *LSLS*  $f_{a75}$  it is slightly  $< 1$  (i.e.  $f_{a75} = 0.975$ ). Again, as observed for the *LV1* method, the Cathedral is close to satisfying all the required seismic safety obtaining indexes, and this satisfaction level is similar or higher than the other considered churches, except for *San Giovanni Battista*.

In **Table 5** and **Figure 5B** the accelerations relative to the macro-elements that are not directly ground-connected are shown. For *SS Maria della Bruna* church, the most vulnerable mechanism is the gable breakout with an activation acceleration of 0.131 g. By comparing the results with the others, it should be noted that only *San Francesco d'Assisi* and *San Giovanni Battista* churches have low  $a_0^*$ , and therefore, the Cathedral is the third most vulnerable regarding these mechanisms. The seismic demand  $a_{demand}$  is calculated in these cases as  $S_e(T_1)_{30}\psi(Z)\gamma/q$  for  $V_R = 30$  years [or  $S_e(T_1)_{75}\psi(Z)\gamma/q$  for  $V_R = 75$  years] for the *LSLS*, and as  $S_e(T_1)_{30}\psi(Z)\gamma/V_R = 30$  years [or  $S_e(T_1)_{75}\psi(Z)\gamma$  for  $V_R = 75$  years] for the *DLS*. Given this seismic demand, the acceleration factors can be calculated as the ratio of  $a_0^*$  and such demands (i.e.,  $f_{a30} = a_0^*/S_e(T_1)_{30}\psi(Z)\gamma/q$  and  $f_{a75} = a_0^*/S_e(T_1)_{75}\psi(Z)\gamma/q$ ). By analyzing these acceleration factors (**Figure 6B**), it is worthy of noting that the *SS Maria della Bruna* church has values that are close or beyond the unity (i.e., for *LSLS*

$f_{a30} = 1.074$ ,  $f_{a75} = 0.736$ ; while for *DLS*  $f_{a30} = 2.382$ ,  $f_{a75} = 1.284$ ), confirming the need for only light interventions in order to obtain the required seismic performances. Furthermore, it should be remarked that the most vulnerable church regarding these mechanisms is *San Giovanni Battista* (i.e.,  $a_0^* = 0.064$  g top façade overturning), which is less vulnerable regarding the mechanisms directly connected to the ground.

## COMPARATIVE SEISMIC ASSESSMENT

The comparisons of the results calculated with the *LV1* and *LV2* methods of the Italian directive (G.U. n. 47, 26/02/2011) are illustrated in **Figure 7**. It should be specified that, in the case of the *LV2* method, only the ground connected mechanisms are considered in these comparisons. Precisely, **Figures 7A,B** show the results for *LSLS* by referring to  $V_R = 30$  years and  $V_R = 75$  years, respectively. It is worth to note that for the *Matera Cathedral* the *LV1* method, which is simpler and less accurate, overestimates the results if compared with the *LV2* one. This trend is also found for *San Pietro Caveoso* church. However, for *SS Maria della Bruna* the scatter is low and, at least for  $V_R = 30$  years, the ratios are always beyond the unity. Whereas, in **Figures 7C,D**, the comparisons between the two adopted methods for the considered *DLS* are shown. Contrarily to the *LSLS*, in this case the *LV1* method is, for the considered churches, always conservative with respect to the *LV2* one. With the *LV1* method only, the *San Pietro Caveoso* church exhibits, for  $V_R = 30$  years, a seismic capacity closer to the demand. As for the *LV2* method, the capacity of all churches is always higher than demand except for *San Rocco* and *San Francesco d'Assisi* for  $V_R = 75$  years. Anyway, it should be remarked that the vulnerability classification provided by considering the two methods separately would be very similar since, as illustrated in the **Figure 7**, the trends found are quite the same. Nevertheless, by increasing the detail level of the analysis (from *LV1* to *LV2*), it is found that in the analyzed cases, the *LV1* overestimates the seismic performance, as for *SS Maria della Bruna* and *San Pietro Caveoso* church. However, it should be kept in mind that in this study, for simplicity, the *LV2* results have been obtained by neglecting, as previously described, all the stabilizing contributions. Therefore, the actual results will be higher than those discussed here, and higher than the ones provided by the *LV1* method. This confirms that after a first and fast numerical evaluation, useful for classifying the case studies, there is always the need to implement more realistic numerical models that cannot be generalized since they are related to the boundary conditions of the analyzed problem.

Finally, in this study a comparison with the method proposed in (Diaz, 2016) is illustrated and then validated in D'Amato et al. (2018). Its application is numerically reported in **Table 6**. With this method, the *SS Maria della Bruna* church obtains a score of  $R = 22.15$ , resulting as the lowest value. All the obtained results are compared in relative terms in **Figure 8**: at first, each seismic score ( $R_i$ ) is divided by the minimum value found ( $R_{min}$ ); then, all resulting ratios ( $R_i/R_{min}$ ) are represented in ascending order. For comparison, in **Figure 8** the values calculated with

the *LV1* method are also reported. In detail, the dimensionless return period  $T_{i,LSLS}/T_{LSLSmin}$ , where  $T_{i,LSLS}$  is the returning period corresponding to the *LSLS* achievement and  $T_{LSLSmin}$  is the minimum value found among the churches (corresponding to 181 years for the *San Francesco d'Assisi* church), are plotted. In addition, in **Figure 8** the dimensionless ground acceleration capacity  $a_{i,LSLS}/a_{LSLSmin}$  is also reported, where  $a_{i,LSLS}$  is the ground acceleration related to the *LSLS* achievement and  $a_{LSLSmin}$  is the lowest value found (that is 0.127 g corresponding, again, to the *San Francesco d'Assisi* church). One may consider these ratios as relative seismic vulnerability indexes: the ratio  $T_{i,LSLS}/T_{LSLSmin}$  (or  $a_{i,LSLS}/a_{LSLSmin}$ ) increases as the relative seismic vulnerability ( $R_i/R_{min}$ ) decreases, where both indexes are calculated with respect to the most vulnerable church (in this case *San Francesco d'Assisi* church). The results found confirm the applicability of the new simplified method proposed in Diaz (2016) and validated in D'Amato et al. (2018). This method may be intended, in a multi-level frame-work approach, as a "Level of Evaluation 0" (*LV0*) since it permits rapidly ranking the seismic performance at a territorial level. In this way, useful information for individuating the priorities may be found, to be investigated in more detail with more refined approaches (such as *LV1*, *LV2*, or *LV3*).

## CONCLUSIONS

In this work, it has been conducted as a comparative analysis among the seismic performances of ancient masonry churches, by the means of different simplified methods. At first, the seismic vulnerability of the Matera Cathedral, called *SS Maria della Bruna* of Matera, has been investigated, and then the obtained results have been compared with the ones of four churches located in the Matera city center. The great advantage of these methods is that they don't imply advanced structural analyses and investigations. Thus, they can be used as decision making tools for identifying the priorities, and

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consequently, for performing further analyses and designing the potential interventions.

The analyses performed with the methods, indicated as *LV1* and *LV2* within the Italian directive (G.U. n. 47, 26/02/2011), have highlighted that, among the churches analyzed, the Matera Cathedral is one of the less vulnerable. Specifically, according to the *LV2* method, it satisfies the seismic protection level required in the case of  $V_R = 30$  years, both for *LSLS* and for *DLS*. On the contrary, for  $V_R = 75$  years, few and light interventions are requested in order to achieve the required seismic demand for *LSLS*.

Finally, the comparisons with the new simplified method for seismic risk assessment confirm that it may be considered as a preliminary appraisal method for comparing the seismic performances of ancient churches at a territorial level. It may be proposed as a *LV0* approach, since it requires limited and qualitative information for ranking the seismic performance at a territorial scale. However, by analyzing the obtained results, it has emerged that, in general, simpler methods may overestimate the actual seismic performance of a church. Therefore, the simplified methods, although useful for comparing and ranking the churches seismic performances at a territorial level, cannot substitute the refined ones for realistically assessing the seismic behavior of a structure.

## DATA AVAILABILITY

All data generated or analyzed during this study are included in this published article.

## AUTHOR CONTRIBUTIONS

All authors listed have made a substantial, direct and intellectual contribution to the work, and approved it for publication.

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