



Malnutrition and Frailty Are Critical Determinants of 6-Month Outcome in Hospitalized Elderly Patients With Heart Failure Harboring Surgically Untreated Functional Mitral Regurgitation

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Specialty section:

This article was submitted to Heart Failure and Transplantation, a section of the journal Frontiers in Cardiovascular Medicine

> Received: 25 August 2021 Accepted: 03 November 2021 Published: 02 December 2021

Citation:

Miura M, Okuda S, Murata K, Nagai H, Ueyama T, Nakao F, Shimokawa M, Yamamoto T and Ikeda Y (2021) Malnutrition and Frailty Are Critical Determinants of 6-Month Outcome in Hospitalized Elderly Patients With Heart Failure Harboring Surgically Untreated Functional Mitral Regurgitation. Front. Cardiovasc. Med. 8:764528. doi: 10.3389/fcvm.2021.764528 ¹ Department of Rehabilitation, Yamaguchi Prefectural Grand Medical Center, Hofu, Japan, ² Division of Nursing and Laboratory Science, Yamaguchi University Graduate School of Medicine, Ube, Japan, ³ Ultrasonography Center, Yamaguchi Prefectural Grand Medical Center, Hofu, Japan, ⁴ Department of Cardiology, Yamaguchi Prefectural Grand Medical Center,

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Background: Hospitalized patients with acute decompensated heart failure (ADHF) frequently exhibit aggravating mitral regurgitation (MR). Those patients do not always undergo surgical mitral valve repair, but particularly in the elderly, they are often treated by conservative medical therapy. This study was aimed to investigate factors affecting 6-month outcomes in hospitalized patients with heart failure (HF) harboring surgically untreated MR.

Methods: We screened the presence of MR in hospitalized patients with HF between September 2017 and May 2020 in the Yamaguchi Prefectural Grand Medical (YPGM) center. At the time of discharge of these patients, individuals with surgically unoperated MR, including primary and secondary origin, were consequently recruited to this single-center prospective cohort study. The patients with severe MR who undergo surgical mitral valve treatment were not included in this study. The primary endpoint was all-cause readmission or all-cause death and the secondary endpoint was HF-related endpoint at 6 months after discharge. The Cox proportional hazard regression analyses were employed to assess the predictors for the composite endpoint.

Results: Overall, 489 patients with ADHF were admitted to the YPGM center. Of those, 146 patients (30% of total patients with HF) (median age 83.5 years, 69 men) were identified as harboring grade II MR or greater. Consequently, all the recruited patients were diagnosed as functional MR. During a median follow-up of 186.0 days, a total of 55 patients (38%) reached the primary or secondary endpoints (HF death and readmission in 31 patients, other in 24 patients). As a result of multivariate analysis, geriatric nutritional risk index [hazard ratio (HR) = 0.932; 95% CI = 0.887–0.979, p = 0.005], age (HR = 1.058; 95% CI = 1.006–1.112, p = 0.027), and left ventricular ejection fraction

(HR = 0.971; 95% CI = 0.945–0.997, p = 0.030) were independent predictors of all-cause death or all-cause admission. Body mass index (HR = 0.793; 95% CI = 0.614–0.890, p = 0.001) and ischemic heart disease etiology (HR = 2.732; 95% CI = 1.056–7.067, p = 0.038) were also independent predictors of the HF-related endpoints.

Conclusion: Malnutrition and underweight were substantial predictors of adverse outcomes in elderly patients with HF harboring surgically untreated moderate-to-severe functional MR.

Keywords: functional mitral regurgitation (FMR), heart failure, older people, body mass index, malnutrition, frailty

INTRODUCTION

Heart failure (HF) is becoming a common disease in our aging society. Hospitalized patients often exhibit significant mitral regurgitation (MR), an aggravating factor of HF (1). Although the severity of MR is known to be associated with poor prognosis, surgical repair of MR is not always chosen in clinical settings. In this case, guideline-based recommendations do not decide this choice, but patient-individual-related factors, including comorbidities, physical, and social activity, restrict directions for treatment.

When mitral valve degeneration is the primary cause of MR, surgical mitral valve repair is the most curative treatment, if cardiac contractility is preserved (2). However, secondary MR, also called functional MR (FMR), is more common in the acute exacerbation of HF. In this regard, recent advances in transcatheter edge-to-edge repair (TEER) technology (3) have been drawing attention to treating FMR associated with left ventricular dysfunction and remodeling. Because of its less invasiveness, TEER may apply to elderly patients with HF complicated by moderate-to-severe MR. However, it is also reported that the therapeutic effect of TEER is limited without adequate standard pharmacotherapy (4). Currently, dissemination of the procedure is not yet sufficient.

In addition, elderly patients with HF often were categorized as HF with preserved ejection fraction (HFpEF) (5, 6). In this regard, atrial hamstringing FMR associated with left atrial enlargement has also come to the fore as a cause of FMR associated with HFpEF (2). There are currently few data available for a recommendation of surgical repair in patients with atrial hamstringing FMR. Furthermore, older patients with HF harboring MR often have multiple comorbidities, physical frailty, and cognitive impairment that increase the risk of surgical intervention (7–10). Therefore, currently, conservative medical therapy often becomes the only remaining choice.

In the clinical settings, once the cardiac overload on admission has been removed by pharmacotherapy, patients with HF harboring moderate-to-severe MR become a less symptomatic chronic state at the time of discharge. We believe that it is essential to help such patients avoiding symptomatic deterioration in daily life. In this regard, the heart team must make a holistic decision to predict the optimal patient outcome and reflect an outpatient care during the follow-up period. Assessing physical and nutritional status for cardiac rehabilitation (CR) is also essential for the multidisciplinary treatment of HF (11).

In this study, we investigate the predictive factors in hospitalized patients with HF harboring moderate-to-severe MR.

METHODS

Study Population

From September 1, 2017 to May 31, 2020 at the Yamaguchi Prefectural Grand Medical (YPGM) center, patients who were admitted to the emergency room due to acute decompensated HF (ADHF) and have grade II MR or greater and received CR were employed in this cohort study. For entry of this study, at least two expert cardiologists reviewed echocardiography. They decided whether the patient was eligible for the investigation by assessing that HF aggravation was associated with exacerbation of MR. Indication for surgical repair of MR was discussed in the heart team conference and patients eligible for surgical repair underwent mitral repairment (n = 4). Eligibility for surgery was determined by at least five expert cardiology physicians and two expert cardiac surgeons. Patients who did not choose surgical repair despite the expert opinion or were diagnosed as ineligible for surgical repair were included in this cohort study according to the Japanese Circulation Society/Japanese Heart Failure Society guidelines (12). The following patients were excluded from this entry due to the complicated nature of disease pathophysiology. Exclusion criteria were: (i) patients who undergo maintenance dialysis due to end-stage renal failure, (ii) patients classified as clinical scenario 4 or 5 (13), or (iii) patients who lost follow-up.

This study was performed in accordance with the Declaration of Helsinki and approved by the local institutional board at the YPGM center (ID: 2017–2019). All the patients received a written informed consent before registration.

Echocardiography Study

Transthoracic echocardiography was performed on admission and 2 weeks after entry in a stable condition. First, the nature of MR was classified as primary or secondary MR and presence or absence of ischemic heart disease (IHD). Second, the severity of MR was divided into five levels by semiquantitative assessment, i.e., grade 0: none to trace MR, grade 1: mild MR, grade 2: moderate MR, grade 3: moderateto-severe MR, and grade 4: severe MR. Quantitative assessments of MR severity were obtained by evaluating the effective regurgitant orifice area (EROA), the tethering height, and the vena contracta of the MR. Left ventricular ejection fraction (LVEF), estimated by Simpson's biplane formula, left ventricular end-diastolic dimension (LVDd), left ventricular end-systolic dimension (LVDs), left atrial dimension (LAD), E/A ratio, E/e' ratio, tricuspid annular plane systolic excursion (TAPSE), transtricuspid pressure gradient (TRPG), and left atrial volume index (LAVI) were also obtained. Patients were categorized into three groups by LVEF, namely, HF with reduced LVEF (HFrEF) (LVEF < 40%), HF with mid-range LVEF (HFmrEF) (LVEF 40–49%), and HFpEF (LVEF \geq 50%) (14).

Clinical Data Collection

The clinical characteristics of the patients were collected from medical records including age, sex, body mass index (BMI), the New York Heart Association (NYHA) on admission, length of hospital stay (days), prior hospitalization, living alone, use of nursing care insurance, cognitive function assessed by the Montreal Cognitive Assessment-Japanese version (MoCA-J) (15), past histories of orthopedic disease, stroke, chronic kidney disease, diabetes, and atrial fibrillation. The biochemical laboratory data were also obtained from medical records including brain natriuretic peptide (BNP) on admission, serum albumin (Alb), serum hemoglobin, serum creatinine, and C-reactive protein (CRP). The geriatric nutritional risk index (GNRI) was calculated by the formula of [1.489 × Alb (g/l) + 41.7 × body weight (kg)/ideal body weight (kg)] (16). The estimated glomerular filtration rate (eGFR) at discharge was

calculated from the above variables. Details of pharmacotherapy of HF were confirmed at the time of discharge including angiotensin-converting enzyme inhibitor (ACEI)/angiotensin II receptor blocker (ARB), β -blocker, tolvaptan, loop diuretics, and mineralocorticoid receptor antagonists (MRAs). Systolic blood pressure (SBP) and mean blood pressure (MBP) were measured at discharge.

Physical Function

The effectiveness of CR was evaluated 5 days before the discharge. These include the short physical performance battery (SPPB) test (17), a handgrip test by a grip strength meter (T.K.K.5401 GRIP-D; Takei, Tokyo, Japan), and the quadriceps isometric strength (QIS) test by a handheld dynamometer (MT-100 mobile; Sakai Med, Tokyo, Japan) (18). Exercise tolerance was assessed by the 6-minute walk test (6MWT) (19). The activity of daily living was assessed by calculating the Barthel Index (BI) (20). Frailty was assessed by the Kihon Checklist (KCL), defined by the Ministry of Health, Labor, and Welfare, Japan (21-23). The KCL consists of 25 questionaries; a higher KCL score indicates a higher risk of frailty, those with the range from 0 to 3 points as the non-frailty group, those with the range from 4 to 7 points as a prefrailty group, and those scores of >8points were defined as the frailty group. Sarcopenia was also assessed by the diagnostic criteria of the Asia Working Group for Sarcopenia (24). Weaker grip strength (<26 kg for men, <18 kg for women), slower gait speed (<0.8 m/s), and lower skeletal muscle mass index (SMI) measured by bioimpedance



regurgitation; MVR, mitral valve replacement; MVP, mitral valvuloplasty.

TABLE 1 Baseline clinical characteristics of 146 patients with ADHF harboring FMR.

	Value
Demographics	
Age, years	83.5 (72.3–88.0)
Male sex	69 (47)
BMI, kg/m²	20.2 (17.9–22.7)
NYHA class III/IV (on admission)	47/99 (32/68)
Living alone	35 (24)
Nursing care insurance	59 (40)
Length of hospital stay, day	20.0 (15.0–27.0)
Follow-up period, day	180.0 (109.8–180.0)
Prior hospitalization	72 (49)
Return to home	114 (78)
SBP, mmHg (at discharge)	114.4 ± 18.3
MBP, mmHg (at discharge)	83.6 ± 12.0
Cognitive function	
NoCA-J, points	18.5 (14.3–24.0)
Co-morbidities	
Orthopedic disease	51 (35)
Stroke	23 (16)
Hypertension	80 (55)
CKD	32 (22)
MC	42 (29)
Atrial fibrillation	61 (42)
HD	47 (32)
Transthoracic echocardiography (two w	eeks after admission)
	eeks after admission)
MR	eeks after admission) 11 (8)
MR Zero	
MR Zero	11 (8)
MR Zero	11 (8) 26 (18)
MR Zero I	11 (8) 26 (18) 67 (46)
MR Zero I II V	11 (8) 26 (18) 67 (46) 40 (27)
MR Zero I II V MR grade (continuous variable)	11 (8) 26 (18) 67 (46) 40 (27) 2 (1)
MR Zero I II V MR grade (continuous variable) _VEF, %	11 (8) 26 (18) 67 (46) 40 (27) 2 (1) 2 (1–3)
MR Zero I II V MR grade (continuous variable) _VEF, % HFrEF	11 (8) 26 (18) 67 (46) 40 (27) 2 (1) 2 (1–3) 45.0 (32.0–60.5)
MR Zero I II V MR grade (continuous variable) _VEF, % -IFrEF -IFpEF	11 (8) 26 (18) 67 (46) 40 (27) 2 (1) 2 (1-3) 45.0 (32.0-60.5) 65 (45)
MR Zero I II V MR grade (continuous variable) _VEF, % HFrEF HFpEF HFmrEF	11 (8) 26 (18) 67 (46) 40 (27) 2 (1) 2 (1-3) 45.0 (32.0-60.5) 65 (45) 58 (40)
MR Zero I II V MR grade (continuous variable) LVEF, % HFrEF HFpEF HFmrEF LVDd, mm	11 (8) 26 (18) 67 (46) 40 (27) 2 (1) 2 (1-3) 45.0 (32.0-60.5) 65 (45) 58 (40) 19 (13)
MR Zero I II V MR grade (continuous variable) VEF, % HFrEF HFpEF HFmrEF JVDd, mm JVDs, mm	11 (8) 26 (18) 67 (46) 40 (27) 2 (1) 2 (1–3) 45.0 (32.0–60.5) 65 (45) 58 (40) 19 (13) 52.0 \pm 8.8
MR Zero I II V MR grade (continuous variable) _VEF, % HFrEF HFpEF HFmrEF _VDd, mm _VDs, mm _AD, mm	11 (8) 26 (18) 67 (46) 40 (27) 2 (1) 2 (1-3) 45.0 (32.0-60.5) 65 (45) 58 (40) 19 (13) 52.0 \pm 8.8 38.5 (30.0-47.3)
MR Zero I I II V MR grade (continuous variable) _VEF, % HFrEF HFpEF HFmrEF _VDd, mm _VDs, mm _AD, mm _AVI	$\begin{array}{c} 11 \ (8) \\ 26 \ (18) \\ 67 \ (46) \\ 40 \ (27) \\ 2 \ (1) \\ 2 \ (1-3) \\ 45.0 \ (32.0-60.5) \\ 65 \ (45) \\ 58 \ (40) \\ 19 \ (13) \\ 52.0 \ \pm \ 8.8 \\ 38.5 \ (30.0-47.3) \\ 45.0 \ (40.0-50.0) \end{array}$
MR Zero I II V MR grade (continuous variable) _VEF, % HFrEF HFmrEF _VDd, mm _VDs, mm _AD, mm _AVI E/e' ratio	$\begin{array}{c} 11 \ (8) \\ 26 \ (18) \\ 67 \ (46) \\ 40 \ (27) \\ 2 \ (1) \\ 2 \ (1-3) \\ 45.0 \ (32.0-60.5) \\ 65 \ (45) \\ 58 \ (40) \\ 19 \ (13) \\ 52.0 \ \pm \ 8.8 \\ 38.5 \ (30.0-47.3) \\ 45.0 \ (40.0-50.0) \\ 58.0 \ (45.5-81.0) \end{array}$
MR Zero I II V MR grade (continuous variable) _VEF, % -IFrEF -IFpEF -IFmrEF _VDd, mm _VDs, mm _AD, mm _AD, mm _AVI E/e' ratio E/A ratio	$\begin{array}{c} 11 \ (8) \\ 26 \ (18) \\ 67 \ (46) \\ 40 \ (27) \\ 2 \ (1) \\ 2 \ (1-3) \\ 45.0 \ (32.0-60.5) \\ 65 \ (45) \\ 58 \ (40) \\ 19 \ (13) \\ 52.0 \ \pm \ 8.8 \\ 38.5 \ (30.0-47.3) \\ 45.0 \ (40.0-50.0) \\ 58.0 \ (45.5-81.0) \\ 16.0 \ (13.0-22.0) \end{array}$
MR Zero I II V MR grade (continuous variable) VEF, % HFrEF HFmrEF LVDd, mm LVDs, mm LAD, mm LAD, mm LAD, mm LAD, mm LAD, mm LAD, mm LAD, mm LAD, mm LAD, mm	$\begin{array}{c} 11 \ (8) \\ 26 \ (18) \\ 67 \ (46) \\ 40 \ (27) \\ 2 \ (1) \\ 2 \ (1-3) \\ 45.0 \ (32.0-60.5) \\ 65 \ (45) \\ 58 \ (40) \\ 19 \ (13) \\ 52.0 \pm 8.8 \\ 38.5 \ (30.0-47.3) \\ 45.0 \ (40.0-50.0) \\ 58.0 \ (45.5-81.0) \\ 16.0 \ (13.0-22.0) \\ 0.99 \ (0.65-1.46) \end{array}$
MR Zero I I I V MR grade (continuous variable) VEF, % HFrEF HFmrEF -HFmrEF -VDd, mm -VDs, mm -AD, mm -AD, mm -AVI E/e' ratio E/A ratio TRPG, mmHg TAPSE, mm	11 (8) 26 (18) 67 (46) 40 (27) 2 (1) 2 (1-3) 45.0 (32.0-60.5) 65 (45) 58 (40) 19 (13) 52.0 \pm 8.8 38.5 (30.0-47.3) 45.0 (40.0-50.0) 58.0 (45.5-81.0) 16.0 (13.0-22.0) 0.99 (0.65-1.46) 28.0 (23.1-35.0)
MR Zero I I I V MR grade (continuous variable) VEF, % HFrEF HFmrEF -HFmrEF -VDd, mm -VDs, mm -AD, mm -AD, mm -AVI E/e' ratio E/A ratio IRPG, mmHg IAPSE, mm Tethering height, mm	$\begin{array}{c} 11 \ (8) \\ 26 \ (18) \\ 67 \ (46) \\ 40 \ (27) \\ 2 \ (1) \\ 2 \ (1-3) \\ 45.0 \ (32.0-60.5) \\ 65 \ (45) \\ 58 \ (40) \\ 19 \ (13) \\ 52.0 \pm 8.8 \\ 38.5 \ (30.0-47.3) \\ 45.0 \ (40.0-50.0) \\ 58.0 \ (45.5-81.0) \\ 16.0 \ (13.0-22.0) \\ 0.99 \ (0.65-1.46) \\ 28.0 \ (23.1-35.0) \\ 16.1 \pm 4.2 \end{array}$
MR Zero I I I V MR grade (continuous variable) VEF, % HFrEF HFmrEF HFmrEF VDd, mm VDs, mm LAD, mm LAD, mm LAD, mm LAD, mm LAD, mm LAD, mm LAT E/e' ratio E/A ratio IRPG, mmHg ITAPSE, mm Tethering height, mm Vena contracta, mm	11 (8) 26 (18) 67 (46) 40 (27) 2 (1) 2 (1-3) 45.0 (32.0-60.5) 65 (45) 58 (40) 19 (13) 52.0 \pm 8.8 38.5 (30.0-47.3) 45.0 (40.0-50.0) 58.0 (45.5-81.0) 16.0 (13.0-22.0) 0.99 (0.65-1.46) 28.0 (23.1-35.0) 16.1 \pm 4.2 9.0 \pm 3.0
MR Zero I I I W MR grade (continuous variable) VEF, % HFrEF HFmEF HFmrEF VDd, mm VDs, mm AD, mm AD, mm AD, mm EVDS, mm I EVDS, mm I Ethering height, mm Vena contracta, mm EROA, cm ²	11 (8) 26 (18) 67 (46) 40 (27) 2 (1) 2 (1-3) 45.0 (32.0-60.5) 65 (45) 58 (40) 19 (13) 52.0 \pm 8.8 38.5 (30.0-47.3) 45.0 (40.0-50.0) 58.0 (45.5-81.0) 16.0 (13.0-22.0) 0.99 (0.65-1.46) 28.0 (23.1-35.0) 16.1 \pm 4.2 9.0 \pm 3.0 3.8 (3.0-5.0)
Transthoracic echocardiography (two w MR Zero I I IIII W MR grade (continuous variable) LVEF, % HFrEF HFpEF HFpEF HFmrEF LVDd, mm LAD, mm LAD, mm LAD, mm LAVI E/e' ratio E/A ratio TRPG, mmHg TAPSE, mm Tethering height, mm Vena contracta, mm EROA, cm ² Laboratory data Serum albumin, g/dl	11 (8) 26 (18) 67 (46) 40 (27) 2 (1) 2 (1-3) 45.0 (32.0-60.5) 65 (45) 58 (40) 19 (13) 52.0 \pm 8.8 38.5 (30.0-47.3) 45.0 (40.0-50.0) 58.0 (45.5-81.0) 16.0 (13.0-22.0) 0.99 (0.65-1.46) 28.0 (23.1-35.0) 16.1 \pm 4.2 9.0 \pm 3.0 3.8 (3.0-5.0)
MR Zero I I I II II V MR grade (continuous variable) LVEF, % HFrEF HFmrEF LVDd, mm LAVI LVDs, mm LAV, mm LAV, mm LAV, mm LAVI E/e' ratio E/A ratio TRPG, mmHg TAPSE, mm Tethering height, mm Vena contracta, mm EROA, cm ² Laboratory data	11 (8) 26 (18) 67 (46) 40 (27) 2 (1) 2 (1-3) 45.0 (32.0-60.5) 65 (45) 58 (40) 19 (13) 52.0 \pm 8.8 38.5 (30.0-47.3) 45.0 (40.0-50.0) 58.0 (45.5-81.0) 16.0 (13.0-22.0) 0.99 (0.65-1.46) 28.0 (23.1-35.0) 16.1 \pm 4.2 9.0 \pm 3.0 3.8 (3.0-5.0) 0.12 (0.07-0.18)

Heart Failure With Mitral Regurgitation

TABLE 1 | Continued

	Value
Serum hemoglobin, g/dl	11.5 (10.1–13.2)
BNP, pg/ml (on admission)	574.0 (299.5–967.3)
Nutritional status (at discharge)	
Geriatric nutrition risk index, points	89.2 ± 10.6
Medication (at discharge)	
ACE-I /ARB	102 (70)
Loop diuretics	111 (76)
β-blocker	102 (70)
MRAs	50 (34)
Tolvaptan	46 (32)
Physical function (at discharge)	
SPPB, points	8 (5–10)
6MWT, m	279.0 (195.5–350.0)
QIS, Nm/kg	0.66 (0.50-0.78)
Handgrip strength, kg	13.3 (8.5–19.8)
Sarcopenia	73 (50)
KCL, points	11.0 (7.0–14.0)
BI, points	85.0 (70.0–90.0)

Values were shown as mean \pm SD, median [interquartile range (IQR): 25th to 75th percentiles], n (%).

ADHF, acute decompensated heart failure; FMR, functional mitral regurgitation; BMI, body mass index; NYHA, New York Heart Association; SBP, systolic blood pressure; MBP, mean blood pressure; MoCA-J, Montreal Cognitive Assessment-Japanese version; CKD, chronic kidney disease; DM, diabetes mellitus; IHD, ischemic heart disease; LVEF, left ventricular ejection fraction; HFrEF, heart failure with reduced ejection fraction; HFpEF, heart failure with preserved ejection fraction; HFrEF, heart failure with mid-range ejection fraction; LVDd, left ventricular end-diastolic climension; LVDs, left ventricular end-systolic dimension; LAD, left atrial dimension; LAVI, left atrial volume index; TRPG, transtricuspid pressure gradient; TAPSE, tricuspid annular plane systolic excursion; EROA, effective regurgitant orifice area; eGFR, estimated glomerular filtration rate; BNP, brain natriuretic peptide; GNRI, geriatric nutritional risk index; ACEL/ARB, angiotensin-converting enzyme inhibitor/angiotensin II receptor blocker; MRAs, mineralocorticoid receptor antagonists; SPPB, short physical performance battery; 6MWT: six minute walking test; QIS, quadriceps isometric strength; KCL, Kihon Checklist (see **Supplementary File 1**); and BI, Barthel Index.

analysis $<7.0 \text{ kg/m}^2$ for men and $<5.7 \text{ kg/m}^2$ for women were regarded as sarcopenia. Bioimpedance analysis was performed using a bioelectrical impedance analyzer (Inbody S10; Inbody Japan, Tokyo, Japan).

Bioimpedance analysis was not applicable for patients implanted with implantable cardioverter defibrillator (ICD) or pacemaker (26/146 patients, 18%). Moreover, the 6MWT was inappropriate for patients who could not walk more than 100 m (20/146 patients, 14%).

Follow-Up and Endpoint for the Analysis

The primary endpoint was defined as the composite endpoints consisting of all-cause death or all-cause admission and the secondary endpoint was defined as HF death or HF admission. Six months after discharge from the hospital, patient status was surveyed by postcard to determine whether they had experienced any events.



Statistical Analysis

Statistical analysis was performed by using the EZR on R commander (version 1.37) (25). Categorical baseline variables were expressed as number and percentage or continuous variables were expressed as mean \pm SD or median [interquartile range (IQR): 25th to 75th percentiles]. The primary endpoints were all-cause mortality and all-cause readmission and secondary endpoints were HF-related death and HF-related readmission. The severity of MR significantly contributed to prognosis of the patient that was tested by the log-rank test and compared by the Kaplan–Meier curve before the multivariate Cox proportional hazard regression analysis.

Next, predictors of survival and readmission were identified by the univariate and multivariate Cox proportional hazard regression analyses. Independent variables for multiple modeling were selected from predictive factors with p < 0.20 using the univariate analysis and previously reported predictive factors (1, 26–29). A stepwise variable reduction method was used for the multivariate Cox proportional hazard regression modeling.

Results were summarized as hazard ratio (HR), 95% CI, and *p*-value. When the predictors of continuous variables were identified by the multivariate analysis, a receiver operating characteristic (ROC) analysis was employed to determine the optimal cut-off value acting as independent predictive factors, followed by the sensitivity, the specificity, and the area under the curve (AUC). Event-free ratios were estimated by the Kaplan-Meier method and compared by the log-rank test. A *p* < 0.05 was considered as statistically significant.

RESULTS

Baseline Clinical Characteristics

Overall, 489 patients with ADHF were admitted to the YPGM center. Of those, 146 patients (Figure 1) (30% of total patients

with HF) (median age 83.5 years, 69 men) were identified as individuals harboring grade II MR or greater and enrolled in this study. Baseline clinical characteristics are shown in **Table 1**. All the patients expressed exacerbating symptoms of HF regarded as NYHA III/IV on admission. One in four patients lived alone and 40% of patients had been receiving nursing care insurance. The average length of hospitalization was 20 days. A total of 114 patients could return home at discharge and the rest had to be transferred to other CR hospitals due to insufficient physical and social activity recovery.

None of the patients with primary MR was included and all the recruited patients were diagnosed as secondary MR, i.e., FMR. **Figure 2** shows the change of MR severity from admission to 2 weeks after admission; 108 (74%) patients have remained more than grade II MR. The rest of 38 patients (26%) of included patients exhibited clinically insignificant MR grades 2 weeks after admission. Concerning the left ventricular function, 45% of patients with HF revealed reduced EF (<40%), 13% of patients with HF showed mid-range EF (40–49%), and 40% of patients with HF exhibited preserved EF (\geq 50%).

Figures 3A,B show the 6-month outcomes of patients with MR of grade III or greater and those with MR of less than grade III. **Figures 3C,D** show the 6-month outcomes of MR patients with grade II or greater and MR patients with less than grade II. In both the cases, severity of MR was not a statistically significant factor.

The mean GNRI score was 89.2 ± 10.6 , indicating that most patients had an intermediate or higher risk of proteinenergy malnutrition. The median (IQR) MoCA-J was 18.5 (14.3– 24.0), indicating that all the patients had mild-to-moderate cognitive impairment. Moreover, most patients had multiple comorbidities including orthopedic disease, stroke, hypertension, chronic kidney disease, diabetes, and atrial fibrillation. A total of 47 (32%) patients had IHD.



patients were divided into the grade II MR or greater and less than grade II. The p-values of the log-rank test were described at the bottom of each panel.

All the patients were treated by guideline-based standard pharmacotherapy except for angiotensin receptor-neprilysin inhibitor (ARNI) and sodium-glucose cotransporter 2 (SGLT2) inhibitor. These drugs had not yet been approved as HF treatment at the time of analysis in Japan. The mean SPPB was 8 (5–10), indicating that most patients had lower levels of lower limb muscle strength accompanying prefrail or frail physical activity. The higher KCL score and the lower BI score also support that most patients with HF harboring FMR had significant frailty and limited daily living activities.

Overall Outcome

A total of 12 patients died during a median follow-up of 186.0 days (109.8–186.0) (HF in 8 patients, sepsis in 1 patient, acute peritonitis in 1 patient, multiple organ failure in 1 patient, and aspiration pneumonia in 1 patient). A total of 43 patients were readmitted to the hospital (worsening HF in 23 patients, aortic

dissection in 1 patient, cerebral thromboembolism in 1 patient, pneumonia in 2 patient, orthopedic disease in 5 patients, cancer in 1 patient, and others in 10 patients); consequently, 31 patients (21%) reached HF-related endpoints. Although two patients with HF were harboring severe aortic stenosis (AS) in addition to FMR in this study, the presence of AS did not affect the primary and secondary endpoints.

Predictive Factors of Primary and Secondary Composite Endpoints

Tables 2, 3 show the univariate and multivariate Cox proportional hazard regression analyses for the primary and secondary endpoints, respectively.

For the all-cause death and admissions, BMI, EROA, BNP, GNRI, and use of tolvaptan were significant determinants of the all-cause death and admissions in the univariate analysis,

TABLE 2 | The univariate and multivariate Cox proportional hazard regression analyses to predict composite endpoint after discharge of patients with ADHF harboring FMR.

Variables	Univariate			Multivariate			
	HR	95%Cl	p-value	HR	95%CI	p-value	
Age	1.489	0.862-2.572	0.154	1.058	1.006-1.112	0.027	
Male sex	1.149	0.670-1.969	0.614				
BMI	0.430	0.243-0.760	0.004				
SBP	0.990	0.976-1.005	0.206				
IHD	1.524	0.892-2.603	0.123				
Grade 0 MR (referenc	e)					
Grade III MR or greater	1.117	0.633–1.972	0.702				
LVEF	0.582	0.335-1.010	0.054	0.971	0.945-0.997	0.030	
EROA	2.131	1.121-4.051	0.021				
BNP	1.881	1.075-3.291	0.027				
GNRI	0.511	0.292-0.895	0.019	0.932	0.887-0.979	0.005	
Tolvaptan	2.334	1.359-4.007	0.002				
SPPB	0.740	0.424-1.291	0.289				

The multivariate Cox proportional hazard regression analysis results were shown using a stepwise variable reduction method described in the Method section. The remaining univariate analysis variables, the p-value larger than 0.20, were listed in **Supplementary File 2**.

HR, hazard ratio; ADHF, acute decompensated heart failure; FMR, functional mitral regurgitation; BMI, body mass index; SBP, systolic blood pressure; IHD, ischemic heart disease; LVEF, left ventricular ejection fraction; EROA, effective regurgitant orifice area; BNP, brain natriuretic peptide; GNRI, geriatric nutritional risk index; SPPB, short physical performance battery.

as shown in **Table 2**. The multivariate Cox proportional hazard regression analysis revealed that GNRI, age, and LVEF were independent determinants of the all-cause death and admissions, as shown in **Table 2**. In the ROC analysis, when the cut-off value of the GNRI was set to 86.6, the sensitivity, specificity, and the AUC were 65, 57%, and 0.625 (95% CI = 0.530-0.721), respectively (**Figure 4A**). The Kaplan–Meier curve revealed a significantly higher incidence of the primary endpoints in patients with the GNRI < 86.6 than in GNRI ≥ 86.6 (**Figure 4B**).

For the HF-related endpoints, readmission, BNP, GNRI, use of tolvaptan, and the KCL score were significant determinants of the HF-related endpoints in the univariate analysis. The multivariate Cox proportional hazard regression analysis revealed that etiology of BMI and IHD were independent determinants of the HF-related endpoints. In the ROC analysis, when the cut-off value of BMI was set to 20.3, the sensitivity, specificity, and the AUC were 58, 72%, and 0.675 (95% CI = 0.586–0.765), respectively (**Figure 5A**). The Kaplan–Meier curve revealed a significantly higher incidence of HF-related endpoint in patients with BMI < 20.3 kg/m² than those with BMI \geq 20.3 kg/m² (**Figure 5B**).

DISCUSSION

In this prospective observational cohort study of patients with ADHF harboring moderate-to-severe FMR, we found

TABLE 3 | The univariate and multivariate Cox proportional hazard regression analyses to predict HF-related endpoint after discharge of patients with ADHF harboring FMR.

Variables	Univariate			Multivariate			
	HR	95%CI	p-value	HR	95%CI	p-value	
Age	1.590	0.765–3.304	0.214				
Male sex	0.784	0.378-1.628	0.514				
BMI	0.487	0.231-1.027	0.059	0.793	0.614-0.890	0.001	
SBP	1.001	0.982-1.021	0.893				
Readmission	2.261	1.058-4.832	0.035				
IHD	1.797	0.897–3.599	0.098	2.732	1.056-7.067	0.038	
Grade 0 MR (referenc	e)					
Grade III MR or greater	1.748	0.869–3.517	0.117				
LVEF	0.600	0.289–1.248	0.172				
EROA	1.275	0.532–3.058	0.586				
BNP	2.336	1.093–4.994	0.029				
GNRI	0.432	0.201-0.926	0.031				
Tolvaptan	2.965	1.445-6.083	0.003				
KCL	2.647	1.238-5.658	0.012				
SPPB	0.515	0.236-1.125	0.096				

The multivariate Cox proportional hazard regression analysis results were shown using a stepwise variable reduction method described in the Method section. The remaining univariate analysis variables, the p-value larger than 0.20, were listed in **Supplementary File 3**.

HR, hazard ratio; ADHF, acute decompensated heart failure; FMR, functional mitral regurgitation; BMI, body mass index; SBP, systolic blood pressure; IHD, ischemic heart disease; LVEF, left ventricular ejection fraction; EROA, effective regurgitant orifice area; BNP, brain natriuretic peptide; GNRI, geriatric nutritional risk index; KCL, Kihon Checklist; SPPB, short physical performance battery.

that a lower nutrition index and underweight were substantial predictors of 6-month all-cause mortality and HF-related composite outcomes. In addition, higher age and lower LVEF were associated with a worse outcome of all-cause death and admission and etiology of IHD was also associated with a worse outcome of HF-related death and admissions. Interestingly, severity of MR was not significantly associated with the primary and secondary outcomes. To the best of our knowledge, this is the first report describing that nutritional status is more important than the severity of MR *per se* in elderly patients with ADHF harboring moderate-to-severe FMR.

We initially intended to include all the moderate-to-severe etiologies of MR in elderly patients ADHF in this study. Consequently, all the patients were categorized as secondary MR at enrollment, underscoring that FMR plays a crucial role in the onset of ADHF. Of the 146 patients with FMR, 109 (74%) of patients with HF had more than grade II MR 2 weeks after admission, whereas 26% of patients with HF showed a transition to less than grade II MR 2 weeks after admission. These are typical characteristics of secondary MR in ADHF, as previously reported (26). Indeed, these secondary MR contains two categories of etiology, approximately half of the patients showed ventricular FMR with reduced EF (26, 29, 30) and the remaining half of the patients revealed atrial hamstringing FMR associated with atrial



fibrillation and preserved or mid-range ejection fraction (26, 31– 34). A higher incidence of atrial fibrillation in the latter category is consistent with such etiological origin.

It is noteworthy that severity of MR was not associated with primary and secondary outcomes in this study. This result is different from previous studies that reported that mild-to-severe secondary MR affected all-cause mortality and HF readmission (35). It is plausible that a relatively small number of analyzed patients, use of older-aged groups, short follow-up period, and predominance of female patients (53% of the patients) in this study may be associated with the difference from the previous studies. Indeed, the mean age of this study was more than 10 years older than those of earlier reports (35-37). The percentage of female patients was more prominent than those in previous reports (35-37). It has been reported that women have a 26% higher relative risk than men to be frail and have lower body weight in HF (38). Therefore, the characteristics of female patients with HF may override MR-related outcomes. In addition, in the previous studies, nutritional variables and physical and social parameters associated with CR were not used in the univariate and multivariate Cox proportional hazard regression analyses to predict composite endpoints after discharge of patients with ADHF. Therefore, it is plausible that the difference of used statistical variables affected our results. In this regard, further analysis may be needed to determine which is more important for predicting patient outcome in elderly patients, the severity of functional MR or malnutrition, and associated frailty.

It is of note that lower GNRI was significantly associated with poor primary endpoints. Several studies have reported that lower GNRI is associated with a substantially higher number of cardiac death or HF admission than higher GNRI (39, 40), of which the cut-off value is 92 (41). In this study, the cutoff value of GNRI predicting the poor outcome of the primary endpoint was 86.6, which was further lower than those previously reported. This value may reflect the higher age of the analyzed population, multiple comorbidities, and significant cognitive impairment in this study. It has been reported that malnutrition was also associated with hospitalization of HF-unrelated events including orthopedic accidents, infection, and other non-cardiac diseases. The present data were consistent with previous studies (42, 43). Additionally, the therapeutic effect of minimally invasive treatment for patients with severe AS, namely transcatheter aortic valve implantation (TAVI), was affected by the low GNRI score (44). Likewise, when minimally invasive therapy (TEER) for mitral regurgitation is considered in the future, the low GNRI score may also be a poor prognostic factor, as was the case in this study.

It is well-known that higher patients with BMI have better prognoses than those of lower patients with BMI (7). Although our result is compatible with previous findings, the coexistence of sarcopenia, frailty, and lower GNRI value underscores the importance of nutritional status in elderly patients with HF



harboring moderate-to-severe MR (7, 45). Moreover, the cutoff value of BMI that predicts poor outcome was 20.3 in this study, nearly the same value as previously reported (27, 46, 47). In this regard, we may seek potential therapeutic intervention targeting body weight in elderly patients with HF harboring FMR in future studies.

Concerning multiple CR parameters, why were SPPB, 6MWT, QIS, handgrip strength test, sarcopenia indexes, and BI not effective as predictive indicators in this study? For example, the SPPB has been previously reported as a good outcome predictor in elderly patients with HF (48, 49). A relatively shorter follow-up period of this study may be associated with this difference. Moreover, we only provided a CR program during hospital admission, but not in the outpatient clinic, although we instructed every patient to perform CR at home. Further study will be needed how to provide an optimal CR program in elderly patients with HF harboring FMR.

Limitations

This study has several limitations. First, we intended to include primary and secondary MR patients with surgically unoperated status before starting this study; however, an analysis was consequently performed only on inpatients with secondary MR. Because all the hospitalized patients with ADHF with severe primary MR, which was a tiny number, received surgical treatment, we did not enroll those patients. However, the number of surgically untreated primary patients with MR may increase in a superaged society; further study may be required. Second, this study was a prospective single-center study with relatively short-term enrollment and a small sample size. Further studies with larger samples and multicenter enrollment need to be considered. Third, this cohort was exclusively Japanese, not including other races such as African American, White, Pacific, or others.

CONCLUSION

In conclusion, we found that a lower nutrition index and underweight were substantial 6-month outcome predictors in the hospitalized elderly patients with ADHF harboring with moderate-to-severe FMR.

DATA AVAILABILITY STATEMENT

The raw data supporting the conclusions of this article will be made available by the authors, without undue reservation.

ETHICS STATEMENT

The studies involving human participants were reviewed and approved by the local institutional board at Yamaguchi

Prefectural Grand Medical Center (ID: 2017-019). The patients/participants provided their written informed consent to participate in this study. Written informed consent was obtained from the individual(s) for the publication of any potentially identifiable images or data included in this article.

AUTHOR CONTRIBUTIONS

MM was the primary investigator for this study, collating data, and as well as the overall writing of the project. YI and TY were the project supervisor, they reviewed all documents as

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well as helping analyze the data, and figures and tables. KM, HN, TU, FN, and SO reviewed the manuscript and offered insights based on their own experiences. MS performed the statistical analysis. All authors gave final approval and agreed to be accountable for all aspects of work ensuring integrity and accuracy.

SUPPLEMENTARY MATERIAL

The Supplementary Material for this article can be found online at: https://www.frontiersin.org/articles/10.3389/fcvm. 2021.764528/full#supplementary-material

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