

Atrial Mitral and Tricuspid Regurgitation: Sex Matters. A Call for Action to Unravel the Differences Between Women and Men

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Atrial functional regurgitation is caused by atrioventricular annulus dilation, with normal leaflets and ventricular dimensions and function within the normal range. Its occurrence, in both mitral and tricuspid valves, implies a worse prognosis due to the hemodynamic derangement they produce, but also constitutes a marker of greater comorbidity and more advanced disease. Predisposing conditions for these heart valve dysfunctions are mainly atrial fibrillation and heart failure with preserved ejection fraction. However, other factors like female sex also may be involved and influence their incidence, especially for atrial tricuspid regurgitation. In the present review, we analyze sex differences in the reported prevalence of atrial mitral and tricuspid regurgitation, and suggest possible mechanisms involved. Finally, we underline potential therapeutic and preventive strategies to reduce the burden of these heart valve disorders and discuss research gaps.

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Secondary regurgitation of atrioventricular valves is characterized by geometric changes of the valvular apparatus secondary to dilation and/or dysfunction of the ventricles or atria. In contrast to primary atrioventricular regurgitation, the leaflets of the mitral and tricuspid valves are structurally normal. Accordingly, the management of secondary atrioventricular valve regurgitation differs significantly from that of primary regurgitation since it needs to target the underlying mechanism first rather than fixing directly the anatomy and competence of the atrioventricular valve (1). Secondary atrioventricular valve regurgitation due to dilation and dysfunction of the left or right ventricles are associated with an excess of mortality (2-5). Additionally, it is increasingly recognized a specific type of secondary atrioventricular regurgitation caused by mitral or tricuspid valve annulus dilation but with left and right ventricular dimensions and function within the normal range. This type of secondary atrioventricular valve regurgitation is known as atrial mitral regurgitation (AMR) (6) and atrial tricuspid regurgitation (ATR) (7), and is characterized by normal leaflet motion -type I of the Carpentier classification- and a diminished coaptation surface caused by atrial and subsequent atrioventricular annulus valve dilation. The characteristics of the patients with significant AMR and ATR have been described in a few cohort-based studies (6, 7). Patients are characterized for being elderly, having a high prevalence of atrial fibrillation (AF) (8, 9) and heart failure with preserved ejection fraction (HFpEF) (10, 11). Both AF and HFpEF are associated with atrial cardiomyopathy and/or atrial failure (12)

and increased pressure overload of the atria leading to dilation and dysfunction of these cardiac chambers (13). Furthermore, the volume overload imposed by atrioventricular regurgitation may aggravate the atrial and atrioventricular valvular annulus dilation, leading to more advanced atrial myopathy and impairment of atrioventricular valve regurgitation. The excess of mortality and heart failure complications associated to the presence of significant AMR and ATR has been demonstrated in patients with AF and HFpEF (14–17).

While it is well known that women with AF and/or HFpEF (which are associated with AMR and ATR) present at a more advanced course of the disease as compared to men and the implementation of the available therapies may differ between the two sexes (18, 19), the sex differences in AMR and ATR have not been extensively investigated. In the present review article we provide an overview of the sex differences in the prevalence and pathophysiology of AMR and ATR and discuss the potential gaps in knowledge from the diagnostic and therapeutic point of view that need further research in order to improve the outcomes of men and women (**Figure 1**).

DIFFERENCES IN PREVALENCE OF AMR BETWEEN WOMEN AND MEN

Women have a distinct etiologic spectrum of mitral valve disease compared to men, with a higher prevalence of mitral valve prolapse and rheumatic mitral valve regurgitation and lower prevalence of ischemic mitral regurgitation (20, 21). However, differences in prevalence of AMR between sexes is not clearly established, since the definition of AMR is relatively new and not consistent across the various observational studies and there are potential selection biases inherent to the design of the studies. Nonetheless, a number of studies have shown a higher frequency of AMR in women as compared to men. In a community study from Olmsted County, 67% of patients with AMR were women whereas only 41% of patients with secondary mitral regurgitation due to left ventricular dilation and/or dysfunction (ventricular functional regurgitation) and 49% of patients with primary mitral regurgitation were women (22). In a study evaluating the etiology of mitral valve regurgitation of patients referred for surgical mitral valve repair, Glower et al observed that 78% of the patients with isolated mitral annular dilation causing significant mitral regurgitation (surrogate definition of AMR) were women (23). Additionally, a study of 378 consecutive patients with significant secondary mitral regurgitation demonstrated a higher frequency of women with AMR compared to women with ventricular functional regurgitation (64 vs. 35%, p < 0.001) (24). In an attempt to understand the efficacy of transcatheter edge-to-edge mitral valve repair, various studies have analyzed the subgroups of patients with AMR and have shown that the frequency of women with AMR was larger than the frequency of men with AMR (25-27).

When analyzing the frequency of AMR from the underlying pathophysiology point of view, the sex differences are less clear. A substudy of the Atherosclerosis Risk in Communities (ARIC) Study investigating the association between AF and



FIGURE 1 Differents factors contribute to the occurrence of AMR and ATR. The most frequent substrate is AF, which leads to atrial and atrioventricular annulus dilation. HFpEF also elicits AMR and ATR, due to left and right atrial dilation as a result of pressure overload, and also constitutes a frequent trigger for AF. *denotes other variables or mechanisms, which may contribute to the occurrence of AMR, like hypervolemia, harnstringing of the posterior mitral leaflet or insufficient leaflet growth. # denotes other variables or mechanisms, which may contribute to the occurrence of ATR, like older age, hypervolemia, pulmonary hypertension, intracardiac lead or insufficient leaflet growth. Prevalence data and suggested pathophysiologic mechanisms indicate that female patients are at higher risk for these heart valve disorders. AMR, atrial mitral regurgitation; ATR, atrial tricuspid regurgitation; AF, atrial fibrillation; HFpEF, heart failure with preserved ejection fraction; PH, pulmonary hypertension.

mitral regurgitation among patients hospitalized for acute decompensated heart failure showed that among 9,104 patients with HFpEF, 2,501 had significant mitral regurgitation and 4,437 had AF. Although the mechanism of mitral regurgitation was not specified, most probably the majority of the patients had AMR since patients with primary mitral regurgitation would have been excluded as per study design. The proportion of female was comparable among patients with and without AF (67 vs. 65%, respectively) and the frequency of AF increased with increasing severity of mitral regurgitation (28). Therefore, it could be inferred that the prevalence of AMR would be higher in women than in men. In the prospective All Nippon AF In the Elderly (ANAFIE) registry, the echocardiographic substudy including 1,494 elderly patients with non-valvular AF showed that 41% of patients were female and that the proportion of significant AMR was 14%. However, it was not specified if among patients with significant AMR there were more women than men (29).

DIFFERENCES IN PREVALENCE OF ATR BETWEEN WOMEN AND MEN

Several observational studies have shown that tricuspid regurgitation is more prevalent in women than in men (30-32). It was also demonstrated in a retrospective cohort

study of 1,552 patients (49% of women), in which female sex, as well as age, AF, heart failure and right ventricular systolic pressure were significantly associated with tricuspid regurgitation progression (33). Specifically, the association of ATR to female sex has also been recognized (9, 34). Zhao et al analyzed factors involved in determining the severity of ATR in 170 patients with AF (56% of women), and found a female predominance in severe ATR (percentage of women 70 vs. 43% of women in non-severe ATR group, p < 0.001) (35). It was also observed in an echocardiographic study of 251 patients (53% of women), in which AF was strongly associated with the occurrence of significant ATR in women (OR 10.1, p < 0.001) but not in men (OR 0.91, p < 0.87) (36). This was confirmed in a non-selected population of 432 patients (49.1% of women) with AF and without primary valve disease or LV abnormalities. Significant ATR was present in 14.8% of patients, and the associated factors were female sex (OR 2.61, p < 0.001), LA dilation and increasing pulmonary artery systolic pressure (37). Regarding ATR in the context of HFpEF, a retrospective observational study of 328 patients detected 8% of significant ATR, with 58% of female patients among this group (11).

POTENTIAL CAUSES FOR THE DIFFERENT FREQUENCY OF AMR AND ATR BETWEEN WOMEN AND MEN

The possible causes for the higher proportion of women with AMR and ATR as compared to men are not completely understood and remain speculative. First, some studies point to distinct factors that may lead to more advanced LA dysfunction and more atrial fibrosis in women than in men (38), higher levels of the inflammatory markers (39, 40), or different electrophysiological properties (41, 42), which may be modulated by sex hormones (43, 44). In addition, the atrioventricular annuli may have differences in composition and cellularity according to sex. In a post-mortem study, El-Busaid et al. analyzed 5-mm sections from the anterior and posterior mitral and tricuspid valve annuli and demonstrated that the myocardium was consistently present in all atrioventricular valve annuli of men but it was nearly absent in women, whereas the atrioventricular valve annuli of women were less elastic and had relatively scattered cells within the collagen matrix compared to the atrioventricular valve annuli of men (45). Insufficient compensatory leaflet remodeling in response to mitral and tricuspid annulus dilation has been demonstrated to play a role in the pathophysiology of AMR (46, 47) and ATR (48, 49). A distinct pattern of leaflet remodeling between sexes cannot be inferred from these studies yet. However, different response of fibroblasts has been demonstrated according to sex (50, 51) and could explain different prevalence of AMR and ATR between men and women. Finally, it is possible that more advanced stage of AF (18) and HFpEF (19) at the time of diagnosis and less aggressive treatment approach may also account for this greater prevalence of AMR and ATR in women.

CLINICAL PERSPECTIVE AND FORWARD THINKING. A CALL FOR ACTION

As a result of this higher susceptibility of women for this type of atrioventricular valve regurgitation as compared to men, strategies aimed at reducing the occurrence of AMR and ATR may have a greater impact in women than in men. The development of AMR and ATR has been associated to worse prognosis, as it entails volume overload and decreased stroke volume, but also because they are both markers of more advanced atrial remodeling due to increased AF burden, diastolic dysfunction, LA failure and pulmonary hypertension. Therefore, early diagnosis and adequate treatment of related conditions may impact on the burden of AMR and ATR, particularly in women. For instance, it is acknowledged that women with AF are diagnosed later and received less rhythm control as compared to men, as demonstrated in the EORP-AF Pilot survey (52). Subsequently, this late diagnosis and underutilization of effective therapies in women with AF may lead to a higher prevalence of significant AMR and ATR. Furthermore, successful pulmonary vein ablation for AF has been associated with lower rates of significant AMR (8) and ATR (53) during follow-up. Earlier detection of HFpEF would also be expected to reduce atrial dilation and dysfunction, and decrease the incidence of AMR. On the other side, timely diagnosis and effective treatment of pulmonary hypertension would reverse right atrial and ventricular dilation and consequently, ATR and secondary tricuspid regurgitation. Additionally, an intracavitary lead may predispose to tricuspid regurgitation (54), or worsen its severity when there are other pathogenic factors like tricuspid annular remodeling or right ventricular dilatation (55). Therefore, mode of pacing should be carefully pondered in women with high risk of tricuspid regurgitation, taking into account that leadless pacing has been also unexpectedly associated with tricuspid regurgitation progression (56). When surgery for AMR is indicated, it has been advocated to perform simultaneously a tricuspid annuloplasty in all patients to prevent future regurgitation, since right atrial enlargement is expected to continue as long as AF or HFpEF persist (57). In the setting of other left-sided valve surgery, tricuspid repair for mild or moderate tricuspid regurgitation with a dilated tricuspid annulus is advocated (class IIa) (1), and should be particularly recommended in women with additional risk factors, in order to prevent more severe forms of ATR. Furthermore, the use of surgical ablation techniques should be considered at the time of mitral valve repair/replacement in patients who are symptomatic and may be considered in patients who are asymptomatic, if feasible and if it does not increase the risk of pacemaker implantation (58). It is conceivable to hypothesize that effective rhythm control may help to halt the progression of atrial remodeling and reduce the risk of failure of mitral valve repair at long-term follow-up, although this has not been demonstrated.

The use of new percutaneous transcatheter therapies may increase in the future for the treatment of symptomatic and refractory significant AMR (25) and ATR (59), which may be preferred over valve surgery due to old age or comorbidities of these patients. In this regard, early diagnosis and treatment may be imperative in order to ensure that these therapies are effective and the patients are not referred too late when the remodeling process of the atria has reached a point of no return. Finally, echocardiographic follow-up is warranted to evaluate the development of significant AMR or ATR in patients considered at risk, for instance, those with permanent AF, HFpEF, severely dilated atria, mild-to-moderate AMR or ATR, pulmonary hypertension or those with an intracavitary lead.

There is currently a growing interest in the field of AMR and ATR due to their increasing prevalence, prognostic implications and novel therapeutic strategies. In this regard, research on sex differences in pathophysiology, clinical presentation and treatment approach is warranted and could help to better understand these heart valve diseases. On the other hand, a closer attention is required to prevent sex inequity in diagnosis and treatment of AF and HFpEF (18, 60, 61), the underlying conditions of AMR and ATR. Additionally, prompt referral to echocardiography is necessary for an earlier diagnosis, when treatment or preventive strategies may modify the natural history of these heart valve diseases.

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CONCLUSIONS

AMR and ATR occur mainly in patients with AF and HFpEF and are associated with higher rates of heart failure and mortality. Observational data demonstrate a higher prevalence of these heart valve diseases in women, especially for ATR. Mechanisms involved in this sex distribution are not well-understood, and may be related to differences in histopathological characteristics of the atrio-ventricular annuli and leaflets and different time-course or treatment strategies of the predisposing conditions in women compared to men. Research gaps include pathophysiological determinants and unbiased incidence of both heart valve diseases, as well as differential treatment strategies. Awareness of these sex-related differences from the clinical ground to the echocardiography laboratories and the investigational setting may contribute to improve the knowledge and better management of these valve disorders in both sexes.

AUTHOR CONTRIBUTIONS

FG-C and VD contributed to the conception and design of the paper. FG-C drafted the manuscript. JS, AB-G, and VD contributed to the critical revision of the manuscript. All authors contributed to the article and approved the submitted version.

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