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# Demonstration of a three compartment solar electrolyser with gas phase cathode producing formic acid from CO<sub>2</sub> and water using Earth abundant metals

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A three compartment solar formic acid generator was built using a Sn on Cu foam cathode and NiFe anode. A bipolar combination of a Fumasep FAD-PET-75 and Nafion 117 membrane was mounted between anode and middle compartment, which was filled with Amberlyst 15H ion exchanger beads. A Fumasep FAD-PET-75 membrane separated the middle compartment from the cathode. The generator was powered with a photovoltaic panel and fed with gaseous CO<sub>2</sub> and water. Diluted formic acid solution was produced by flowing water through the middle compartment. Common PV-EC devices are operated using aqueous electrolyte and produce aqueous formate. In our PV-EC device, formic acid is produced straight away, avoiding the need for downstream operations to convert formate to formic acid. The electrolyser was matched with solar photovoltaic cells achieving a coupling efficiency as high as 95%. Our device produces formic acid at a faradaic efficiency of ca. 31% and solar-toformic acid efficiency of ca. 2%. By producing formic acid from CO<sub>2</sub> and water without any need of additional chemicals this electrolyser concept is attractive for use at remote locations with abundant solar energy. Formic acid serves as a liquid renewable fuel or chemical building block.

#### KEYWORDS

CCU, formic acid, renewable fuel, three compartment electrolyser, solar efficiency

## **1** Introduction

Formic acid is a carboxylic acid with a global market of ca. 750,000 tonnes in 2020, growing annually by ca. 4.5%. (Global Formic Acid Market Report, 2022) Besides its traditional large-scale industrial production from CO from fossil carbon, new synthesis pathways are being developed to produce it from CO<sub>2</sub> in the context of carbon capture

and utilization (CCU). One of these pathways is electrolysis in which formic acid is produced from CO<sub>2</sub> and H<sub>2</sub>O (CO<sub>2</sub> + H<sub>2</sub>O  $\rightarrow \frac{1}{2}$  O<sub>2</sub> + HCOOH).

Electrolysis requires electrical power, which can be provided by the grid or directly from a renewable power source such as solar photovoltaics or wind turbines. The technological targets of electrolyser design are dependent on the situation. Grid-connection can ensure continuous operation. The technological targets of electrolyser design for this application are obtaining high current densities, high CO2 and electric power utilization efficiencies and long-term stability. Gas diffusion electrode (GDE)-type electrodes, (Higgins et al., 2019; Song et al., 2019), gastrapping strategies, (García de Arquer et al., 2020; Khan et al., 2021a; Yue et al., 2021), nanostructured electrocatalysts (Li et al., 2018) and bipolar membrane designs (Pătru et al., 2019) are strategies to achieve these goals. As the grid becomes more and more dependent on solar and wind energy, grid-connected electrolysers can also be a tool to buffer peaks and valleys in the energy supply. Although batteries and hydrogen electrolysers are a more obvious choice for this grid buffering application, CO<sub>2</sub> electrolysers have also been proposed (Centi et al., 2013; Lu and Jiao, 2016). CO2 reduction products like formic acid are generally more energy-dense, safer and easier to store than hydrogen and, different from batteries, they can be used as seasonal buffers. CO<sub>2</sub> recovery and reuse in the reconversion of stored formic acid to electricity in a period of high electrical demand is essential to close the carbon cycle. Targets in formic acid electrolyser development for this grid buffering application include a high flexibility to accommodate a fluctuating power input. This is achieved by for example operating at ambient temperature and pressure and making the system modular. In another scenario, electrolysers can directly be coupled to a renewable power source such as solar PV panels ("PV-EC"). Energy losses by the Balance Of System are minimal and the current of the electrolyser can be matched to that of the solar PV cells, optimising in this way the solar-to-product efficiency like with solar hydrogen generators (Heremans et al., 2017). PV-EC devices are attractive for solar farms at remote locations. Formic acid is a candidate vector for transportation of the solar energy. Other candidates are hydrogen, ammonia, methane and methanol, each with their benefits and downsides (Thijs et al., 2021). Important benefits of formic acid are its transportability and high volumetric energy density compared to gaseous products. A complexity to consider is that CO<sub>2</sub> needs to be supplied to the remote location or generated in situ through for example direct air carbon capture (DACC). While DACC is interesting as a way to reduce the atmospheric CO<sub>2</sub> concentration, it requires a substantial amount of additional energy in the range of 1.4-2.8 kWh/kg CO2 [International Energy Agency (IEA), 2021]. Combining CO2 capture and reduction into one device could reduce this energy demand, but this technology is still in an early phase (Kar et al., 2019; Sullivan et al., 2021). When developing PV-EC devices, the target is obtaining a high solar-to-product efficiency. This implies that the electrolyser should have a high energy efficiency and should operate close to the maximum power point of the PV cells it is connected to. Two approaches can be followed for achieving efficient connection. A large area of PV cells can be coupled to a small electrolyser such that it operates at a high current density. Highly active electrodes and low ohmic losses are needed for this approach and measures need to be taken to ensure that CO<sub>2</sub> is supplied to the active sites quickly. An alternative approach is to couple PV cells and an electrolyser of a similar size. Without solar concentration, PV cells deliver current densities in the range of a few mA/cm<sup>2</sup>. At these low current densities, CO<sub>2</sub> supply to the cathode's active sites does not pose an issue and ohmic losses are low, which benefits the energy efficiency. Because the electrolyser is then large in size, its components such as the electrodes should be low cost. Important for this application is also the quality of the formic acid product. With different electrolysers, the product ranges from dilute aqueous potassium formate to high-concentration formic acid. To store and transport energy, the latter formulation is preferred. Formic acid and formate electrolyser concepts are shown in Figure 1. In a formate electrolyser (Figures 1A,B), CO<sub>2</sub> is converted at the cathode and a base, typically KOH, is converted at the anode. CO2 and KOH are consumed to produce oxygen gas and potassium formate. There is a fundamental disadvantage to this, which is that downstream operations such as electrodialysis are needed to convert formate to formic acid and recover KOH. This complication lowers the overall process efficiency. Formic acid electrolysers (Figures 1C,D) directly produce formic acid from CO<sub>2</sub> and H<sub>2</sub>O. Three compartment electrolysers (Figure 1D) are currently one of the most advanced designs, performing exceptionally well in terms of activity, selectivity and stability. In literature, three compartment electrolysers have been described with partial current densities up to 450 mA/cm<sup>2</sup> and stabilities of over 1,000 h, producing aqueous formic acid at a concentration exceeding 11 wt%. (Fan et al., 2020; Yang et al., 2020). They outperform designs like zero-gap electrolysers (Figure 1C) (Lee et al., 2015).

Here we report on a PV-EC device consisting of a three compartment electrolyser coupled with c-Si PV cells of similarsize. State-of-the-art three compartment electrolyser design is combined with a low cost anode and cathode electrode and commercially available solid electrolytes. The performance of the electrolyser is analysed in conditions relevant to direct PV coupling and current matching is done, maximising the solarto-formic acid efficiency. Based on an analysis of the different components of the cell overpotential suggestions are made for further improvements.



# 2 Results and discussion

# 2.1 Cathode synthesis and H-cell characterisation

Electrochemical reduction of  $CO_2$  to formate/formic acid is catalysed by metals like Sn, Bi, Pd, and Pb and their performance is enhanced through alloying, nanostructuring, exposing specific crystal facets and modifying the oxidation state. (Lu et al., 2014; Qiao et al., 2014; Du et al., 2017; Kumawat and Sarkar, 2017; Lee et al., 2020) Sn catalysts are known to combine a decent stability with a high selectivity in a broad range of electric potentials. Among the candidate metals, Sn is also one of the cheapest.

A Sn on Cu cathode was synthesised by electroplating Sn onto a porous Cu foam substrate. A copper support was selected for its high electric conductivity of 56 MS/m and convenience for use at large sizes. Cu foam is also flexible and not prone to crack, which is a common issue with e.g., carbon paper substrates. It has also been shown that a Sn film on Cu performs as well if not better as compared to pure Sn, which is attributed to Cu influencing the surface oxidation state of Sn. (He et al., 2017; Morimoto et al., 2018) The constant current electrodeposition method used to deposit Sn onto Cu foam has been demonstrated by Wang et al. (2016) Characterisation with SEM-EDX reveals homogeneous, full coverage of the Cu foam with Sn particles (<10 µm) (Supplementary Figure S1). The electrode was evaluated in a three-electrode H-cell setup. The catholyte was 0.5 M KHCO3 purged with CO2 having a pH of 7.3. The selectivity of the electrochemical reduction reaction was determined with chronoamperometry potentials in the range at of -0.6 and -1.0 V versus RHE (Figure 2). In between each measurement, the catholyte was replaced with fresh CO2saturated KHCO<sub>3</sub>. Product samples were taken after 30 min. The formate content was determined via ion chromatography. The overpotential for formate formation at a formate partial current density of 10 mA/cm<sup>2</sup> was ca. 0.52 V (-0.7 V vs. RHE). At an overpotential of 0.70 V (-0.9 V vs. RHE), the Sn/Cu electrode had a formate selectivity of over 65% and formate partial current density of ca. 70 mA/cm<sup>2</sup>. Hydrogen gas was the dominant by-product (Supplementary Figure S2). Note that the current density was



normalised to the geometric area of one side of the electrode, so this value for current density is high in comparison to literature sources that are often either normalised to the electrochemically active surface area or the real active surface area. (Zheng et al., 2020) The Cu foam itself had a faradaic efficiency for formate of below 17% in the potential range from -0.6 to -1.0 V vs. RHE (Figure 2), revealing that Sn added substantially to the selectivity. Comparison of the performance of our electrode with literature data (Supplementary Figure S3) revealed a moderate faradaic efficiency. For practical reasons we opted for a robust electrode to perform the demonstration. The cathode might be further improved by reducing the thickness of the Sn layer or by codepositing Sn and Cu from a mixed CuCl<sub>2</sub>-SnCl<sub>2</sub> solution. Zhao and Wang. (2016) observed a substantial influence of the thicknesses of the Sn film on Cu, and He et al. (2017) showed that a Cu<sub>0.2</sub>Sn<sub>0.8</sub> alloy performs better than pure Sn.

# 2.2 Anode synthesis and H-cell characterisation

At the anode, two types of electrocatalysts were used: an  $IrO_2/C$  nanoparticle catalyst and a NiFe catalyst. From the field of PEM electrolysis, it is known that  $IrO_2$  is one of the few oxygen evolution reaction (OER) catalysts with high activity and stability

in acidic media. The IrO2/C catalyst was synthesised by dropcasting an electrocatalyst ink containing  $IrO_2$ nanoparticles, Nafion ionomer and isopropanol onto Toray carbon paper. The loading was ca. 2 mg IrO<sub>2</sub>/cm<sup>2</sup>. In a threeelectrode setup with 0.5 M H<sub>2</sub>SO<sub>4</sub> electrolyte saturated with O<sub>2</sub>, IrO<sub>2</sub>/C showed a high activity (Supplementary Figure S4). The overpotential for the OER was ca. 0.30 V at a current density of 10 mA/cm<sup>2</sup>. This is comparable to literature, reporting overpotentials of IrO2 nanoparticle catalysts at 10 mA/cm2 of 0.29-0.33 V (Li et al., 2022). NiFe was used as an Earth-abundant alternative to IrO2. The NiFe catalyst was synthesised by electroplating Ni and Fe from a Ni-Fe solution onto a porous Ni foam substrate, according to a procedure described in literature. (Solmaz and Kardaş, 2009; McCrory et al., 2015). In a three-electrode setup with 1 M KOH electrolyte saturated with O2 the overpotential for the OER was ca. 0.27 V at a current density of 10 mA/cm<sup>2</sup> (Supplementary Figure S4). In liquid electrolyte, NiFe showed slightly higher activity than IrO<sub>2</sub>/C.

Literature sources of three compartment electrolysers use either an  $IrO_2$  (Yang et al., 2017; Xia et al., 2019; Fan et al., 2020; Yang et al., 2020) or Pt (Yang et al., 2017; Fan et al., 2020) anode and oxidise either H<sub>2</sub>SO<sub>4</sub>, (Xia et al., 2019), H<sub>2</sub> (Fan et al., 2020) or H<sub>2</sub>O. (Yang et al., 2017; Yang et al., 2020). To our knowledge, there are no earlier reports of three compartment electrolysers where water is oxidised on an Earth abundant metal anode.



# 2.3 Electrolyser assembly and characterisation in conditions relevant to PV coupling

Three compartment electrochemical reactor cells were assembled using different materials. A scheme of a first setup with  $IrO_2/C$  anode and Sn/Cu cathode is shown in Figure 3. In between, there are three solid electrolytes: a Nafion 117 proton exchange membrane at the anode, a Fumasep FAD-PET-75 anion exchange membrane at the cathode and ion exchange resin beads (Amberlyst 15H) in between. Humidified  $CO_2$  gas was conducted through the flow field of the cathode compartment. Liquid water was pumped through the anode, and middle compartment. In a second setup with NiFe anode, the PEM was replaced with a bipolar membrane (BPM) combination of a Fumasep FAD-PET-75 membrane and Nafion 117 membrane (Figure 3). The anion exchange layer faced the anode, creating an alkaline environment in which the non-noble NiFe anode was stable.

The most innovative feature of a three compartment electrolyser is the use of ion exchange resin beads in the middle compartment. These beads are popular in

chromatography, water purification, waste water treatment and heterogeneous catalysis, but seldom used in electrolysers. Xia et al. (2019) reported the use of a non-specified porous styrene-divinylbenzene sulfonated copolymer (Fan et al., 2020) and Kaczur et al. an amberlite IR-120 ion exchange resin. (Yang et al., 2017; Yang et al., 2020). A selection of candidate commercial ion exchanger beads were assessed for their conductivity. They were cation exchangers (Amberlyst 15H and Nafion NR-50H), anion exchangers (Amberlite IRA-402OH and IRN-78OH) and amphoteric (Dowex Retardion-11A8) ion exchangers. The conductivity versus the ion exchange capacity on a volumetric basis is displayed in Figure 4. As expected, the conductivity of the cation exchangers was significantly higher than that of the anion and amphoteric exchangers. Nafion NR-50H and Amberlyst 15H have nearly the same conductivity of ca. 23 mS/cm when saturated with liquid water. However, they have a very different bead size. Nafion NR-50H beads, with an average diameter of 3.7 mm when saturated with water (Supplementary Figure S5), were about ten times as large as Amberlyst-15H beads (ca. 300 µm). This has a strong influence on the contact area with the PEM and AEM. The smaller the beads, the more contact





#### FIGURE 5

Polarization curves of a three compartment formic acid electrolyser with either  $IrO_2/C$  and a PEM or NiFe and a BPM at the anode side. Water was oxidised at the anode, humid  $CO_2$  gas was reduced at the cathode. The scan rate was 5 mV/s (**A**). Cell potentials during chronopotentiometry measurements at 5 and 10 mA/cm<sup>2</sup> (**B**). Collection faradaic efficiency of formic acid from the middle compartment of the electrolyser (**C**) and total faradaic efficiency towards formic acid and hydrogen gas (**D**). Formic acid was quantified *via* ion chromatography by sampling at the end of a 60 min measurement, hydrogen was quantified *via* gas chromatography. The error bars correspond to the standard deviation on the ion- and gas chromatography measurements.

points between the beads and the membranes and among the beads themselves. This translates to a larger current density for smaller particles (Supplementary Figure S5). Reducing the bead size of Nafion NR-50H, for example by cryomilling, could improve its performance significantly. Here, we proceeded with Amberlyst 15H.

The activity of the three compartment formic acid electrolyser was investigated using cyclic voltammetry. The polarization curve of an electrolyser with an IrO<sub>2</sub>/C anode and PEM are compared to that of an electrolyser with NiFe anode and BPM in Figure 5A. Owing to the presence of an additional anion exchange membrane layer in the latter case, the ionic resistance increased from 27.0 to 35.7  $\Omega$ .cm<sup>2</sup>. From the IR corrected polarization curves (Supplementary Figure S6), it was also deduced that an additional anodic overpotential of ca. 0.38 V was required at 10 mA/cm<sup>2</sup>. In liquid electrolytes where ionic contact is optimal, the activities of the NiFe anode and the IrO2 anode were very similar. NiFe was even slightly more active (Supplementary Figure S4). This means that by improving the ionic contact between the NiFe anode and anion exchange membrane, the activity of the electrolyser with NiFe anode would be similar to that with an IrO<sub>2</sub> anode.

The formic acid selectivity was determined with chronopotentiometry in the low current density range (5 and 10 mA/cm<sup>2</sup>) relevant to direct PV coupling for an electrolyser with IrO<sub>2</sub>/C anode (Figures 5B-D). It is assumed that changes in the anode materials have a limited effect on the product distribution on the cathode side of the reactor cell, so the selectivity measurements were not repeated for the NiFe anode. In the middle compartment of the reactor cell, the formation rate of formic acid amounted to about 14 and  $28\,\mu mol/cm^2.h$  at 5 and 10 mA/cm², respectively. This corresponds to a collection faradaic efficiency of ca. 17% (Figure 5C). Some formic acid also crosses over through the PEM and AEM to the anode and cathode side, respectively. This was observed by analysing the water stream at the anode and leading the humid CO<sub>2</sub> stream at the cathode through a base trap. For the 5 mA/cm<sup>2</sup> case, of the total amount of formic acid only about half was collected in the water stream of the middle compartment. The anode and cathode stream contained formic acid corresponding to a faradaic efficiency of 4.6% and 8.9%, respectively (Figure 5D). Taking all formic acid streams into account, the faradaic efficiency was about 31% at 5 mA/cm<sup>2</sup>. Most of the remaining current served hydrogen gas production (67%). Formic acid and hydrogen gas formation together account for about 98% of the total current (Figure 5D). A trace amount of methane was also detected but not quantified (Supplementary Figure S7). To sustain a current of 5 and 10 mA/cm<sup>2</sup>, the cell potential was about 2.9 V and 3.6 V, respectively. The voltage remained more or less stable during the 60 min measurement time at the two current densities (Figure 5B).

#### 2.4 Solar matching

The solar-to-product efficiency is the ratio between the energy that is stored in the product and the solar energy input. Here, we adopt two metrics for efficiency: solar-to-chemical efficiency ( $\eta_{STC}$ ) and solar-to-formic acid efficiency ( $\eta_{STFA}$ ). The STC efficiency is the percentage of solar energy that is stored in chemical bonds. It takes into account all chemical products. The remaining energy is dissipated as heat. The STFA efficiency is the percentage of solar energy that is stored in the chemical bonds of formic acid. These efficiencies are calculated as follows:

$$\begin{split} \eta_{STC} &= \eta_{coupling} \cdot \eta_{electrolysis} \cdot \eta_{PV} = \frac{\sum_{i=0}^{n} \left( \Delta E_{i}^{0} \cdot I_{op} \cdot FE_{i} \right)}{P_{sun} \cdot A_{PV}} \\ \eta_{STFA} &= \frac{\Delta E_{HCOOH}^{0} \cdot I_{OP} \cdot FE_{HCOOH}}{P_{sun} \cdot A_{PV}} \end{split}$$

In which FE<sub>i</sub> is the faradaic efficiency for product i;  $\Delta E_i^0$ the thermodynamic minimal cell voltage required to produce product I; I<sub>OP</sub> the total operating current, P<sub>sun</sub> the solar power density and A<sub>PV</sub> the active area of the solar PV cells.  $\Delta E^{0}$  for liquid formic acid and hydrogen gas is 1.48 V and 1.23 V respectively. He et al. pointed out that for comparing efficiencies of solar CO2 electrolysers the current density should be normalised to the area of the PV cells. A small electrolyser powered with PV cells with a large area will have misleadingly large  $\eta_{STC}$  values when improperly normalised to the area of the electrolyser. (He and Janáky, 2020). Here, the area of the PV cells is considered for the solar energy input.  $\eta_{STC}$  and  $\eta_{STFA}$  are maximised by maximising the current at the operating point, I<sub>OP</sub>. This is done by carefully matching the polarisation curve of the electrolyser with that of a series of solar cells. The operating point is the intersection point of the two curves. I<sub>OP</sub> is maximised if the operating point is close to the maximum power point of the solar module. This matching is quantified by the coupling efficiency:

$$\eta_{coupling} = \frac{V_{OP} \cdot I_{OP}}{V_{MPP} \cdot I_{MPP}}$$

With MPP the maximum power point of the solar cell and OP the operating point.

This matching is illustrated in Figure 6. Commercial c-Si solar PV cells were used and calibrated close to 1 Sun intensity. For an individual cell, the open circuit voltage was about 0.65 V and the short circuit current 39 mA/cm<sup>2</sup> (Supplementary Figure S8). For an electrolyser with an  $IrO_2/C$  anode and PEM, the coupling efficiency was maximised at 95% with a series of 6 solar cells (Figure 6A). At the operating point, the cell voltage was 3.4 V and the photocurrent 38 mA. Normalised to the area of the solar PV cells, this equals a current density of 5.3 mA/cm<sup>2</sup>. It equals 9.5 mA/cm<sup>2</sup> in the electrolysis unit. In this current range,



Matching of the polarisation curves of the electrolysers with that of a series of solar cells to optimize the coupling efficiency and photocurrent, for the electrolyser with IrO<sub>2</sub>/C anode and PEM (A) and the electrolyser with NiFe anode and BPM (B). The solar module was illuminated under 100 mW/cm<sup>2</sup> with a Xe lamp equipped with an infrared filter and air mass 1.5G filter. The solar cells had a surface area of 1.18 cm<sup>2</sup> each. The active geometric area of the electrodes was 4 cm<sup>2</sup>.

TABLE 1 Literature on solar CO<sub>2</sub> electrolysers producing formate, compared to this work on a solar CO<sub>2</sub> electrolyser producing dilute formic acid.

	This work PV-EC	Ref. Kato et al. (2021) PV-EC	Ref. Yang et al. (2018) PV-EC	Ref. Piao et al. (2020)  PV-EC	Ref. Zhou et al. (2016) PEC	Ref. Zhao et al. (2021) PV + PEC
PV cell type	c-Si	c-Si	GaInP/ GaInAs/Ge	c-Si		c-Si
Product [conc. (wt%)]	formic acid (0.02)	formate (-)	formate (0.01)	formate (0.07)	formate (-)	formate (-)
Anolyte	H <sub>2</sub> O	0.4 M K-phosphate buffer	0.5 M NaHCO <sub>3</sub>	1 M KHCO <sub>3</sub>	1 М КОН	0.1 M KOH
Anode	NiFe	FTO/Ag/IrO <sub>X</sub>	Ir/C	IrO <sub>2</sub>	GaAs/InGaP/ TiO <sub>2</sub> /Ni	single crystalline argon-treated TiO <sub>2</sub>
Polymer electrolyte membrane/assembly	Fumasep FAD-PET-75/Nafion 117/ Amberlyst 15H IER/Fumasep FAD- PET-75	_	Selemion AEM	Nafion 117	Fumasep BPM	Nafion 117
Catholyte	H <sub>2</sub> O vapour	0.4 M K-phosphate buffer	0.5 M NaHCO <sub>3</sub>	1 M KHCO <sub>3</sub> + 0.1 M CsCl	2.8 M KHCO <sub>3</sub>	0.5 M KHCO <sub>3</sub>
Cathode	Sn/Cu	Ti/graphite/CS/ MWCNTs/RuCP	Bi nanosheet	Bi dendrite	Ti mesh/C/Pd	BiOI-Bi
Current density [mA/cm <sup>2</sup> ]	4.4	6.4	1.9–3.0	12.0	8.5	1.1
Cell voltage [V]	3.8	1.9	2.5	2.7	2.0	(-)
Faradaic efficiency [%]	31 <sup>a</sup>	80 <sup>a</sup>	~100	>95%	94	97
Solar-to-product efficiency [%]	2.0	7.2	1.5	8.5	10.0	4.1

<sup>a</sup>Major by-product: H<sub>2</sub>; (-): not specified.

we can assume that the electrolyser produces formic acid at ca. 31% faradaic efficiency and hydrogen gas at ca. 67% (Figure 5). Therefore, the STC efficiency was 7.0% and the STFA efficiency 2.4%. For an electrolyser with NiFe anode and BPM, the coupling efficiency was maximised at 96% with a series of 7 solar cells (Figure 6B). At the operating point, the cell voltage was 3.8 V and the photocurrent 36 mA. Normalised to the area of the solar PV cells, this equals a current density of 4.4 mA/cm<sup>2</sup>. Because of the slightly lower photocurrent and use of a series of 7 solar cells, the STFA efficiency was slightly lower, *viz.* ca. 2.0%, and the STC efficiency 5.7%.

# 2.5 Literature comparison: solar efficiencies of CO<sub>2</sub> electrolysers

Literature on solar CO2 electrolysers targeting CO formation is most abundant. Solar-to-CO efficiencies reach up to 19.1%. (Cheng et al., 2020) Solar CO2 electrolysers targeting formic acid are more scarce, with the large majority producing formate. A selection of what are, to our knowledge, the most efficient solar electrolysers is given in Table 1. The highest reported solar-to-formate efficiency for a PV-EC electrolyser producing potassium formate is 7.2%. (Kato et al., 2021). Zhou et al. (2016) reported a remarkably high solar-to-formate efficiency of 10% with a PEC device. To our knowledge, this is the first work reporting on the efficiency of a solar CO<sub>2</sub> electrolyser without liquid salt solutions directly producing formic acid. Compared to other PV-EC devices the current density of our electrolyser is in the same order of magnitude, but the cell voltage is the highest by at least 1.3 V. The faradaic efficiency of 31% is rather low, with other CO<sub>2</sub> electrolysers producing formate at faradaic efficiencies of over 80%. Owing to the near-optimal solar matching, the STFA efficiency of 2.0% is of average value. Like for other solar electrolysers, the product concentration in this work is rather low (0.02 wt%). Formic acid of this concentration is only suited for a limited number of applications, such as feed for bioprocesses. (Thijs et al., 2022) The costs related to increasing the concentration by (extraction-) distillation processes are very high. (Ramdin et al., 2019; Thijs et al., 2022) Future research could focus on increasing the product concentration in the electrolyser stage by lowering the water flow rate in the middle compartment, which was not possible in the current experiments for technical reasons. In experiments in literature using similar three compartment lab scale electrolysers, high formic acid concentrations in the percentage range have been reached (Fan et al., 2020).

#### 2.6 Outlook on improvements

Several strategies can be followed to increase the STFA efficiency. With a faradaic efficiency for formic acid of 100%, the STFA efficiency of our electrolyser would be about 6.5%. Using more efficient multijunction PV cells would also lead to a substantial improvement. For hydrogen electrolysers, solar-to-hydrogen efficiencies go up to 30% with solar

concentration and triple junction PV cells, which is about double compared to c-Si cells at 1 Sun, (Ager et al., 2015; Jia et al., 2016; Heremans et al., 2017; Khan et al., 2021b), although these cells are also significantly more expensive. Improvements can also be made by decreasing the cell potential required to drive the reaction, which would allow to use less c-Si cells in series, thereby increasing the efficiency. An experimental analysis was done to determine what components contribute most to the total cell potential.

When instead of H<sub>2</sub>O and CO<sub>2</sub>, 0.5 M H<sub>2</sub>SO<sub>4</sub> and CO<sub>2</sub> saturated 0.5 M KHCO3 solutions are fed to anode and cathode compartments respectively, the reaction conditions are similar to those in the H-cells in which the electrodes were individually characterised (subchapters 2.1 and 2.2). A polarization curve of such an electrolyser configuration is presented in Figure 7A. The ionic resistance measured with potentiostatic impedance spectroscopy was ca. 25.8 Ω.cm<sup>2</sup>. At 10 mA/cm<sup>2</sup>, this corresponds to an ohmic loss of 0.26 V. This shows that at least at low current densities, the ohmic losses in the three compartment solid electrolyte configuration are only a small contributor to the total cell potential. They could be even further reduced by decreasing the thickness of the middle compartment containing the ion exchanger beads, which was here 3 mm. Adding the standard reaction potential and the anode and cathode overpotential as determined in the H-cell setups, viz. 0.30 and 0.52 V, respectively, a total of 2.6 V is obtained. Experimentally, a comparable cell potential of about 2.7 V was required. When KHCO<sub>3</sub> at the cathode is replaced by CO<sub>2</sub> (Figure 7B), the cell potential increases to 3.7 V at 10 mA/ cm<sup>2</sup>, which is a significant increase by about 1 V. The ionic resistance increased only slightly (26.8 Ω.cm<sup>2</sup>). Replacing H<sub>2</sub>SO<sub>4</sub> at the anode with H<sub>2</sub>O (Figure 7B) does not further increase the cell potential. This implies that the large overpotential is a result of the cathode side operating in the absence of a liquid catholyte. Further research is needed to identify the exact cause of this. Possible explanations are an insufficient ionic contact between the cathode and the AEM, a lack of buffering capacity of the AEM as opposed to a bicarbonate solution leading to a high local pH, the absence of alkali cations to stabilize the CO<sub>2</sub> reduction intermediate or a lack of bicarbonate or water as a proton donor. Reducing this overpotential is key to significantly improve the STFA efficiency.

To circumvent this overpotential loss, the three compartment electrolyser could be operated with a catholyte solution, as shown in Figure 8. Because K<sup>+</sup> cations are hindered from moving through the AEM, the catholyte will not readily be consumed. Rather, the (bi) carbonates will be constantly replenished by adding CO<sub>2</sub>. At the low current densities of a PV-EC device as proposed in this work, the consumption rate of CO<sub>2</sub> is low. An air flow might contain enough CO<sub>2</sub> to maintain the catholyte at a







stable (bi)carbonate concentration. This option needs further investigation. Additional research could focus on implementing a GDE-type electrode to improve the  $CO_2$  availability or on implementing an AEM that absorbs as little K<sup>+</sup> co-ions as possible (Geise et al., 2014).

# **3** Conclusion

In this work a first solar-driven electrolyser is demonstrated that directly produces formic acid from water and  $CO_2$ . The electrolyser consists of three compartments and uses only Earth abundant metals and commercially available solid electrolytes. It produces dilute formic acid at a solar-to-formic acid efficiency of 2%, with hydrogen gas as the major by-product. The overall solar-to-chemical efficiency is 5.7%. By producing formic acid instead of the common potassium formate, the need for downstream acidification steps is avoided. The dilute formic acid product is directly useable as feed for bioprocesses, or can be concentrated by an (extraction-) distillation process for use as a liquid renewable fuel or chemical building block. By tuning the water flow in the middle compartment, the product concentration in the electrolyser stage could be increased to avoid the need for downstream processing completely.

An experimental analysis showed that a major part of the cell overpotential is due to the cathode compartment operating in the gas phase. Improving the cathode catalyst and its contact with the anion exchange membrane could result in a substantial improvement of the STFA efficiency. Another perspective for improvement is the use of a catholyte. This could combine the benefits of a liquid phase reactor with the straight production of formic acid.

## 4 Materials and methods

### 4.1 Sn/Cu cathode

The Sn/Cu electrode was prepared by electroplating Sn from a SnCl<sub>2</sub>-citrate solution onto a porous Cu foam substrate (MTI, 80 µm thickness, > 99.99% purity, 70%-80% porosity). The Cu foam was first cleaned by subsequent rinsing with ethanol, acetone and milli-Q water and drying in an oven at 60 °C for at least 1 h. Then, the foam was submerged in the electrolytic solution. The electrolytic solution was based on literature and was made by first dissolving sodium citrate dihydrate (≥99%) in milli-Q water (resistivity = 18.0 MOhm.cm) to a concentration of 0.05 M (Wang et al., 2016). Then the pH was adjusted to 6 by slowly adding droplets of a H<sub>2</sub>SO<sub>4</sub> solution (50 vol%) while monitoring the pH. Finally, SnCl<sub>2</sub> (Honeywell, 98%) was added to a concentration of 0.018 M, after which the solution was stirred overnight. The electroplating of Sn was performed with a VersaSTAT 4 potentiostat (Princeton Applied Research) at a constant current of -4.8 mA/cm<sup>2</sup> for 35 min, with Cu foam as the working electrode and Pt as the counter electrode. Afterwards, the electrode was rinsed with milli-Q water.

The performance of the cathode was analysed in a H-cell setup. The H-cell operated at room temperature and was

connected to a potentiostat (Ametek VersaSTAT 3) with VersaStudio software. The anode and cathode compartment were filled with a 90 ml solution of 0.5 M H<sub>2</sub>SO<sub>4</sub> and 0.5 M KHCO<sub>3</sub> (BioUltra, > 99.5%) respectively. The compartments were separated with a Nafion membrane (Nafion<sup>TM</sup> N117, Ion Power) that was prepared by boiling it in a 5% solution of H<sub>2</sub>O<sub>2</sub>, a 1 M H<sub>2</sub>SO<sub>4</sub> solution and milli-Q water for one, two and 3 hours at 120°C respectively. The cathode compartment was presaturated with CO<sub>2</sub>. During the experiments, it was continuously sparged with CO<sub>2</sub> at a flowrate of 20 ml/min, while under constant stirring. Graphite and Ag/AgCl (RE-1CP, saturated KCl,  $E_{Ag/AgCl} = 0.197$  V) were used as the counter and reference electrode respectively. The reaction potential was converted to a reversible hydrogen electrode using the following formula:

#### Potential (IR Corrected) = $E_{vs. Ref.} + E_{Ref.} + 0.059 \cdot pH - I_{total} \cdot R$

The resistance R was measured with electrochemical impedance spectroscopy, which was performed at open circuit potential from 1 Mhz to 100 Hz. The resistance was found in the high frequency region where the imaginary impedance was 0.

#### 4.2 IrO<sub>2</sub>/C and NiFe anode

The IrO<sub>2</sub>/C electrode for anodic water oxidation was made by synthesising an ink and dropcasting this onto a carbon paper support (Toray TP-060). The ink was synthesised by adding 100 mg of IrO2 nanoparticles (FuelCellStore) to 960 µL of isopropanol (HPLC grade). 320 µL of a 5 wt% Nafion ionomer solution (FuelCellStore) was used as a binder. The ink was sonicated for 10 min. The carbon paper was rinsed with ethanol, acetone and milli-Q water and dried in an oven at 60°C for at least 1 h before dropcasting. 64 µL of ink was added per geometric cm<sup>2</sup> of carbon paper. The IrO<sub>2</sub> loading was determined by weighing before and after dropcasting. The NiFe electrode was prepared by electroplating Ni and Fe from a Ni-Fe solution onto a porous Ni foam substrate (PI-KEM, 1.6 mm thickness). The Ni foam was first cleaned by subsequent rinsing with ethanol, acetone and milli-Q water and drying in an oven at 60°C for at least 1 h. Then the foam was submerged in the electrolytic solution. The electrolytic solution was based on literature and consisted of NiSO<sub>4</sub>.7 H<sub>2</sub>O (120.6 g/L), NiCl<sub>2</sub>.6 H<sub>2</sub>O (3.3 g/L), H<sub>3</sub>BO<sub>3</sub> (2.8 g/L), FeSO<sub>4</sub>.7 H<sub>2</sub>O (172.9 g/L) and FeCl<sub>2</sub>.4 H<sub>2</sub>O (3.6 g/L) in milli-Q water. (Solmaz and Kardaş, 2009). Then the pH was adjusted to 3 by slowly adding droplets of H<sub>2</sub>SO<sub>4</sub> while monitoring the pH. The electrodeposition was performed under intensive stirring at a constant current of -50 mA/cm<sup>2</sup> for 680 s, with Ni foam as the working and counter electrode. Afterwards, the electrode was rinsed with milli-Q water.

The performance of the anodes was analysed in a H-cell setup. For IrO<sub>2</sub>/C, the anode and cathode compartment were both filled with a 90 ml 0.5M H<sub>2</sub>SO<sub>4</sub> solution. The separated with a compartments were Nafion 117 membrane. The anode compartment was pre-saturated with O<sub>2</sub>. During the experiments, it was continuously sparged with O2 at a flowrate of 20 ml/min, while under constant stirring. A Pt coil and Ag/AgCl (RE-1CP, saturated KCl, EAg/  $_{AgCl}$  = 0.197 V) were used as the counter and reference electrode respectively. The performance of the NiFe anode was analysed in a 1M KOH solution sparged with O2 and constantly stirred. A Pt coil and Hg/HgO (20 wt% NaOH, E-61AP,  $E_{Hg/HgO} = 0.124 \text{ V}$ ) electrode were used as the counter and reference electrode respectively.

#### 4.3 Three compartment CO<sub>2</sub> electrolyser

# 4.3.1 Conductivity measurements of ion exchange resins

To determine the conductivity of the ion exchange resin beads, they were packed in a 7 mm thick layer in between two stainless steel plates. Cation and amphoteric exchangers (Amberlyst 15, Nafion NR-50 and Dowex Retardion-11A8) were hydrated with milli-Q water before the measurement. Anion exchangers (Amberlite IRA-402 and IRN-78) were ionexchanged in a 1 M KOH electrolyte solution overnight and rinsed with milli-Q water. To ensure good contact between the beads and the plates, they were tighly pressed together and fastened with screws at an equal torque of 2 Nm. Potentiostatic EIS was used to determine the conductivity of the ion exchanger in between the plates. EIS was performed at open circuit potential from 1 Mhz to 100 Hz. The resistance was found in the high frequency region where the imaginary impedance was zero. The conductivity was calculated from resistance as follows:

Conductivity 
$$\left[\frac{\text{mS}}{\text{cm}}\right] = \frac{\text{thickness [cm]}}{\text{R [ohm]} \cdot \text{area [cm2]}} * 10^{2}$$

#### 4.3.2 Reactor assembly

A commercially available reactor (FuelCellStore) was modified to contain three compartments. The reactor consisted of two graphite blocks with serpentine flow paths and gold-plated current collector plates on either side. A middle compartment was made in house by 3D printing. This compartment had a thickness of 3 mm with two slits (8 mm by 0.6 mm) to allow water to be pumped through. The reactor was assembled as shown in Figure 3. The geometric area of the electrodes was  $4 \text{ cm}^2$ . Milli-Q water was recirculated through the anode compartment with a peristaltic pump (Watson Marlow 205S) at a flowrate of 3.4 ml/min. A single-pass flow of milli-Q water was pumped through the middle compartment at a flowrate of 0.2 ml/min with a syringe pump (WPI, Aladdin). Humid CO<sub>2</sub> gas flowed through the cathode compartment at 50 ml/min. The gas was humidified by flowing it through a glass humidifier at room temperature. To enhance the ionic contact between the cathode and the AEM, the cathode was coated with a layer of alkaline ionomer. The Sn/Cu electrode was dipped twice in a Pention D35 ionomer solution (FuelCellStore, 5 wt% in ethanol) with an intermediate drying step for several hours. Details on Pention D35 and SEM-imaging of the coated Sn/Cu electrode can be found in Supplementary Figure S1. Before the reaction, the ionomercoated cathode and AEM(s) were immersed in a 0.5 M KHCO<sub>3</sub> solution overnight to exchange the counterions to HCO3-. Before use, they were thoroughly rinsed with milli-Q water. The Amberlyst 15H resin beads were hydrated with milli-Q water.

#### 4.3.3 Product analysis

For gas quantification, the gases were brought from the reactor to a gas chromatograph (Interscience CompactGC 4.0). The chromatograph was equipped with a precolumn (Rt-QBond), molecular sieve column (Rt-Molsieve 5A) and thermal conductivity detector for analysis of permanent gases using argon carrier gas. The total flow was set at 5 ml/min. The volumetric concentrations of  $H_2$  were determined from a linear calibration fitted over a range from 3 to 10 vol%  $H_2$ . The faradaic efficiency towards  $H_2$  was calculated as follows:

FE (H<sub>2</sub>) [%] = 
$$\frac{P \cdot \frac{mH_2}{s} \cdot 10^{-6} \cdot 2 \cdot F}{I_{total}} \cdot 100$$

For formate/formic acid quantification, ion chromatography (Metrohm, Metrosep A Supp 4 anion separation column) was used. For liquid water streams, 1 M KOH was added dropwise until a pH > 6 was obtained to ensure that the product, with a pKa of 3.75, was completely dissociated for analysis through ion chromatography. Gas flows were connected to a base trap filled with 10 ml of a 0.1 M KHCO<sub>3</sub> solution (pH ~ 6.8), from which was sampled after the reaction for analysis. The faradaic efficiency towards formate (at moment t) was calculated as follows:

$$FE_{HCOO-} [\%] = \frac{mol HCOO_{t}^{-} \cdot 2 \cdot F}{charge passed_{t} [C]} \cdot 100$$

(Partial) current densities were normalised to the geometric surface area of one side of the electrode, unless stated otherwise:

$$j_{\rm HCOO-} = \frac{I_{\rm total} \cdot \frac{FE_{\rm HCOO-}}{100}}{electrode \ surface \ area_{geom.; \ 1 \ side}}$$

#### Data availability statement

The raw data supporting the conclusion of this article will be made available by the authors, without undue reservation.

## Author contributions

JM and JR initiated and supervised the research and contributed to the writing. BT performed the experiments and contributed to the writing. LH, GH, and WW contributed to the experiments and approved the manuscript for publication.

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# Conflict of interest

The authors declare that the research was conducted in the absence of any commercial or financial relationships that could be construed as a potential conflict of interest.

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## Supplementary material

The Supplementary Material for this article can be found online at: https://www.frontiersin.org/articles/10.3389/fceng. 2022.1028811/full#supplementary-material

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