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EDITED BY
Mihai Alexandru Petrovici,
Universität Bern, Switzerland

REVIEWED BY
Dong Song,
University of Southern California, United States
Srdjan D. Antic,
University of Connecticut Health Center,
United States

*CORRESPONDENCE
Pascal Nieters
✉ pnieters@uni-osnabrueck.de

[†]These authors have contributed equally to this work

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Dendritic plateau potentials can process spike sequences across multiple time-scales

Johannes Leugering^{1†}, Pascal Nieters^{2*†} and Gordon Pipa²

¹Fraunhofer Institute for Integrated Circuits, Erlangen, Germany, ²Institute of Cognitive Science, Osnabrück University, Osnabrück, Germany

The brain constantly processes information encoded in temporal sequences of spiking activity. This sequential activity emerges from sensory inputs as well as from the brain's own recurrent connectivity and spans multiple dynamically changing timescales. Decoding the temporal order of spiking activity across these varying timescales is a critical function of the brain, but we do not yet understand its neural implementation. The problem is, that the passive dynamics of neural membrane potentials occur on a short millisecond timescale, whereas many cognitive tasks require the integration of information across much slower behavioral timescales. However, actively generated dendritic plateau potentials do occur on such longer timescales, and their essential role for many aspects of cognition has been firmly established by recent experiments. Here, we build on these discoveries and propose a new model of neural computation that emerges from the interaction of localized plateau potentials across a functionally compartmentalized dendritic tree. We show how this interaction offers a robust solution to the timing invariant detection and processing of sequential spike patterns in single neurons. Stochastic synaptic transmission complements the deterministic all-or-none plateau process and improves information transmission by allowing ensembles of neurons to produce graded responses to continuous combinations of features. We found that networks of such neurons can solve highly complex sequence detection tasks by breaking down long inputs into sequences of shorter, random features that can be classified reliably. These results suggest that active dendritic processes are fundamental to neural computation.

KEYWORDS

dendritic computation, dendritic plateau potentials, spike-based cognitive processes, place cells, sequence processing, computing across timescales

Introduction

The ability to recognize patterns of spiking activity across multiple timescales is a remarkable and crucial function of the brain. For example, consider a rodent searching for food. The receptive fields of its place and grid cells tile a spatial map of the environment and encode its current position by their respective population activities (O'Keefe and Dostrovsky, 1971; Hafting et al., 2005). To navigate successfully, the animal needs to know not only its present location, but also the path it took to get there. This path is encoded in the sequential firing of place and grid cells on behavioral timescales that can span hundreds of milliseconds or more (Eichenbaum, 2017). While the exact timing of these spikes depends on the speed of the animal, the chosen path through a space is encoded in the sequential order in which these cells are activated. As another perhaps more familiar modality, consider natural language: We can understand the same utterances over a wide variety of speech tempos and rhythms, provided that the order of specific sounds is preserved. In auditory cortex, for example, sequences of brief phonemes encode longer syllables which can last for around 200 ms (Luo and Poeppel, 2007).

in critical positions to control dendritic excitability (Gidon and Segev, 2012) and gate specific dendritic activity from reaching the soma (Muñoz et al., 2017).

Functional compartmentalization of dendritic trees

Plateau potentials remain localized to specific sites in the dendritic tree because their generation and maintenance requires the binding of external glutamate to NMDAR channels (Silver et al., 2016). Therefore, the structure of dendritic arbors, which has long been conjectured to play an important role for neural computation, is crucial for plateau computation. For example, functional subunits emerge in the dendrites of various types of retinal ganglion cells due to impedance mismatches at branching points in the dendritic tree (Koch et al., 1982). These are regions of roughly equal local membrane potentials throughout, but they are only weakly coupled to neighboring regions. Other experiments in rats confirmed that thin dendrites in neocortical pyramidal neurons can act as independent computational subunits and provide neurons with additional non-linear integration sites (Polsky et al., 2004). This behavior is not limited to pyramidal neurons, but rather appears to be a general principle that can be found in various forms across different cell types. For example, Purkinje cells in the cerebellum also generate localized Ca^{2+} events in response to coincident input on individual dendrite segments (Ekerot and Oscarsson, 1981; Zang et al., 2018), and thalamo-cortical neurons respond to strong synaptic input with localized plateaus in distal dendritic branches (Augustinaite et al., 2014). In some neurons, functional subunits can be identified with individual dendritic branches (Branco and Häusser, 2010). More generally, these subunits can also stretch across multiple nearby branches if they are sufficiently strongly coupled so that coherent synaptic input across the branches can trigger local, regenerative events such as plateau potentials (Wybo et al., 2019). We view dendrites as complex structures composed of functional subunits in the latter sense and will refer to them as dendrite segments¹.

Neural cable theory predicts an asymmetric passive propagation of membrane potentials throughout the dendrite (Rall, 1962; Goldstein and Rall, 1974). In the anterograde direction, the signal attenuation is generally so strong that synaptic input onto thin apical dendrites has little measurable effect of the membrane potential at the soma (Stuart and Spruston, 1998; Spruston, 2008). On the one hand, this may suggest that superlinear NMDAR responses along the dendrite serve to boost distal input signals (Magee and Cook, 2000; Häusser, 2001). On the other hand, plateau potentials are also subject to attenuation along the dendritic cable and thus only have a moderately depolarizing effect on their immediate neighborhood (Larkum et al., 2009). This can effectively raise the local resting potential of a neighboring segment closer to the soma and thus lower the amount of coinciding spikes in presynaptic terminals required to initiate a plateau potential in it (Major et al., 2008).

¹ We avoid the term “compartment” to prevent confusion with the concept of multi-compartment neuron models, which are commonly used as a spatially discretized solution to partial differential equation models of neurons.

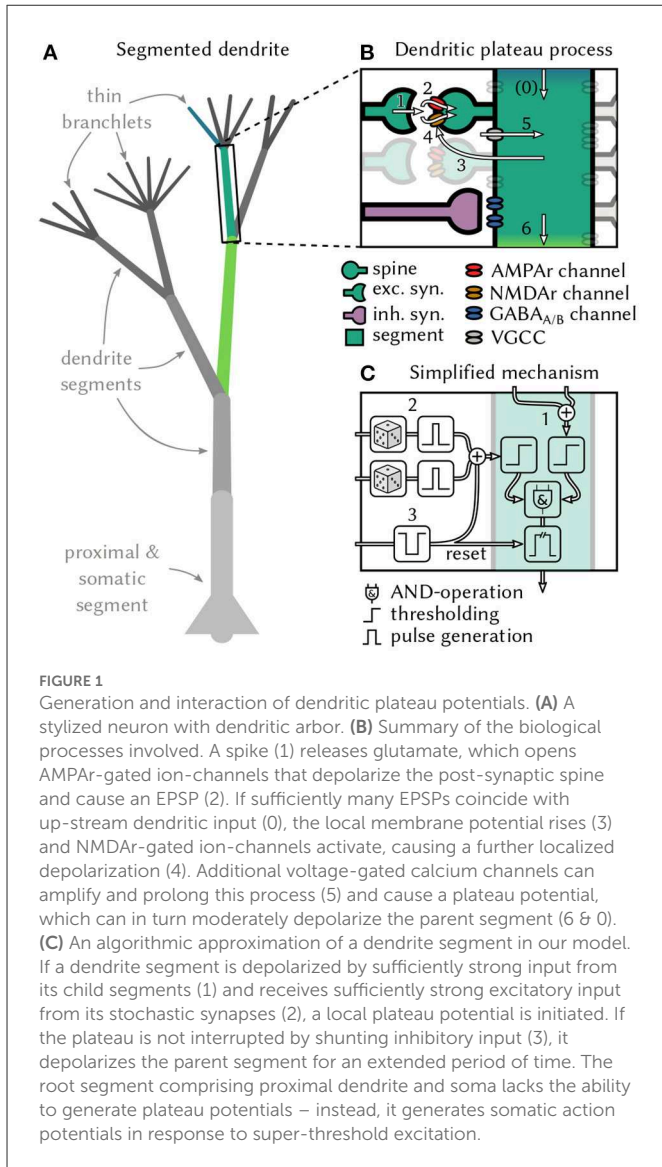
From dendritic nonlinearity to dendritic computation

Neither stochastically generated active dendritic processes nor the structure of the dendritic tree are considered in most computational models of spiking neurons, although there is lively debate about the appropriate level of abstraction (Herz et al., 2006). Some authors argue that the dendritic integration of spikes can be largely explained by a linear stochastic model with one additional non-linear term (Ujfalussy et al., 2018). Others contend that the computational function of the dendritic tree is best captured by a non-linear hidden layer in a neural network model (Poirazi et al., 2003), whereas modeling the temporal dynamics of the membrane potential would require significantly more complex temporally convolutional deep neural networks (Beniaguev et al., 2020). But the long-lasting all-or-none response characteristic of dendritic plateaus is not captured by either of these model classes. We therefore take a different approach and focus entirely on dendritic plateaus and their interactions in the dendrite. Since only nearby synapses cooperate to trigger plateau potentials, correlated synaptic inputs have to arrive at the same dendrite segment at the same time to effectively drive plateau generation (Gasparini and Magee, 2006). This has been confirmed in experiments (Larkum and Nevian, 2008), suggesting that some information in the brain is conveyed by highly synchronized spike volleys that target individual dendrite segments (Takahashi et al., 2012) and trigger dendritic plateaus there. We avoid complex non-linear membrane dynamics in our model and instead conceive of dendritic computation as fast, local coincidence detection driving the interaction of long plateau potentials (see the next section). At the expense of some quantitative accuracy, this simplification affords a clearer qualitative explanation of the computational mechanism that may underlie sequence detection in single neurons.

A computational model for dendritic plateau computation

From the biological observations outlined above, we derive a simple, qualitative model of active dendrites. At the core of the model lies the interaction of two types of events on distinct timescales—short, spike-triggered EPSPs and long, actively generated dendritic plateau potentials—in a tree structure of dendrite segments. We define a *segment* as a minimal functional subunit of the dendritic tree, a single physical branch or multiple branches that have the following properties: Firstly, synaptic inputs to a segment can cooperatively generate a plateau potential. Secondly, the plateau potential is actively maintained locally within a segment and spreads passively to neighboring segments in the dendritic tree. The passive spread of plateau potentials is attenuated along the dendritic cable in centripetal direction, in particular at branching points with mismatched impedances. The dendrite segments form a tree structure with proximal dendrites and the soma at its root and thin, distal branchlets as leaves.

First, consider the function of one individual dendrite segment i in more detail (see Figure 1C for a schematic). We distinguish excitatory and inhibitory synapses, which, respectively, produce excitatory (EPSPs) and inhibitory (IPSPs) postsynaptic potentials. An excitatory synapse from neuron k to segment i only successfully



transmits each presynaptic spike with probability $p_{i,k}$. A successfully transmitted presynaptic spike induces EPSPs $\kappa_E(t)$ with duration τ_E and magnitude $w_{i,k}$ where $w_{i,k}$ depends on the synaptic efficacy. At inhibitory synapses, the duration τ_I of the IPSP was set to be slightly longer than the τ_E EPSP. We model the shape of the post-synaptic potentials by rectangular pulses:

$$\kappa_E(t) = \begin{cases} 1 & \text{if } 0 \leq t \leq \tau_E \\ 0 & \text{otherwise} \end{cases}, \quad \kappa_I(t) = \begin{cases} 1 & \text{if } 0 \leq t \leq \tau_I \\ 0 & \text{otherwise} \end{cases}$$

We use exc_i and inh_i to represent the set of excitatory and inhibitory neurons targeting segment i , we denote the time of the m^{th} spike by neuron k with t_k^m , and introduce the i.i.d. random variables $\xi_{i,k}^m \sim \text{Bernoulli}(p_{i,k})$ to simplify notation. We can then define the combined effect of excitatory as well as inhibitory input for segment i^2

2 We assume that spike arrival times $t_{i,k}^m$ are at least τ_E apart.

$$EPSP_i(t) = \sum_{k \in exc_i} \sum_{m | t_k^m \leq t} \xi_{i,k}^m w_{i,k} \kappa_E(t - t_k^m) \quad (1)$$

$$IPSP_i(t) = \sum_{k \in inh_i} \sum_{m | t_k^m \leq t} \xi_{i,k}^m w_{i,k} \kappa_I(t - t_k^m) \quad (2)$$

$$PSP_i(t) = EPSP_i(t) - IPSP_i(t) \quad (3)$$

One of the necessary preconditions for generating a dendritic plateau potential is a sufficiently strong net depolarization of the dendrite by synaptic input. This means that the coincidence of multiple synchronous spikes caused depolarization larger than a segment-specific synaptic threshold TS_i . In thin dendrite branchlets, which are the leaf nodes of our tree structure, this is sufficient to trigger a plateau potential. But in the general case, additional depolarizing input from dendritic child branches is required. Here, we are only interested in the large depolarizing effects that actively generated plateau potentials have on directly adjacent segments, and we ignore the much weaker passive propagation of sub-threshold voltages along the dendrite. We define a segment's *dendritic input* to be the sum of the strongly attenuated, passively spreading depolarization from plateau potentials in child segments. We therefore introduce additional notation: $parent_i$ denotes the parent segment of i (if any), $child_i$ denotes the set of the direct children of segment i (if any), and $O_k(t), k \in child_i$ is the effect that the child segment k exerts on i at time t . Just like we did for the post-synaptic potentials, we can then define the total dendritic input $D_i(t)$ into segment i :

$$D_i(t) = \sum_{k \in child_i} O_k(t) \quad (4)$$

The segment-specific dendritic threshold TD_i determines how much dendritic input is required in addition to synaptic input to trigger a plateau potential in segment i . For leaf nodes of the dendritic tree we set $TD_i = 0$. When both conditions become satisfied, i.e., there is sufficient synaptic and dendritic input, then a plateau potential is initiated. We use T_i^m to denote the starting-time of the m^{th} plateau potential in segment i :

$$T_i^m = \min t \geq T_i^{m-1} \quad \text{such that} \quad PSP_i(t) \geq TS_i \wedge D_i(t) \geq TD_i \quad (5)$$

The plateau potential then typically ends at time $\tilde{T}_i^m = T_i^m + \tau_P$ after a duration τ_P . The plateau duration is a constant in our model, but shunting inhibition may interrupt plateaus and thus cut their duration short. We formalize this special case as follows: The first inhibitory spike, if any, from neuron $k \in inh_i$ at time $t_k^l \in [T_i^m, T_i^m + \tau_P]$ can end the plateau which means $\tilde{T}_i^m = t_k^l$ in that case.

We can now define the output of segment i as a sequence of binary pulses, the plateau potentials:

$$O_i(t) = \begin{cases} 1 & \text{if } \exists m : t \in [T_i^m; \tilde{T}_i^m] \\ 0 & \text{otherwise} \end{cases} \quad (6)$$

Since the plateau potential is an all-or-none response in our model, we classify the segments' membrane potentials into three

functionally distinct states $V_i(t) \in \{\text{low}, \text{elevated}, \text{high}\}$: If the dendrite segment receives no depolarizing input from its upstream neighbors, it is approximately at resting potential and localized synaptic input alone is unlikely to trigger a plateau potential—the dendrite is in the low state. If plateau potential(s) further upstream from the segment elevate its local voltage, it becomes excitable by synaptic input—the segment is in the elevated state. If either the segment itself maintains a plateau or its parent segment is currently in a high voltage state, the membrane potential in the segment is fully depolarized, and additional synaptic input has little effect – the segment is in the high state. This can be expressed as follows:

$$V_i(t) = \begin{cases} \text{high} & \text{if } V_{\text{parent}_i}(t) = \text{high or } O_i(t) = 1 \\ \text{elevated} & \text{if } D_i(t) \geq TD_i \\ \text{low} & \text{otherwise} \end{cases} \quad (7)$$

This formalism can be iteratively applied to all dendrite segments of a neuron³. The root segment of the dendritic tree consists of the proximal dendrite and soma, which do not generate plateau potentials themselves. Nevertheless, we use the same formalism as for other dendrite segments: An action potential, instead of a plateau, is generated at the soma when sufficient synaptic input coincides with sufficient dendritic input. The dendritic input from plateau potentials in child segments causes prolonged depolarization of the somatic membrane potential also referred to as a neural UP-state (Milojkovic et al., 2004).

Each action potential is followed by a refractory period τ_{refrac} during which no further spikes can be generated. Since the somatic UP-state can persist for the entire plateau duration, which is much longer than the neuron's refractory period, multiple spikes or bursts of spikes might be generated during this period.

Conceptually, each segment in our model acts first and foremost as a coincidence detector for a volley of synchronized spikes on the fast timescale of EPSPs. On the second, slower timescale of dendritic plateaus each segment is gated by its children in the dendritic tree. Thus, the main computation performed by the neuron is to detect a sequence of activations of its segments by spike volleys in the correct order. Each consecutive plateau event extends the memory available for sequence detection by another plateau duration. Shunting inhibition can interrupt this cascade of sequential activations by deactivating a plateau potential before the next sequence element is detected.

To efficiently simulate this model for the upcoming experiments, we implemented a fast, event-based software simulator in the Julia programming language (see Section Materials and methods).

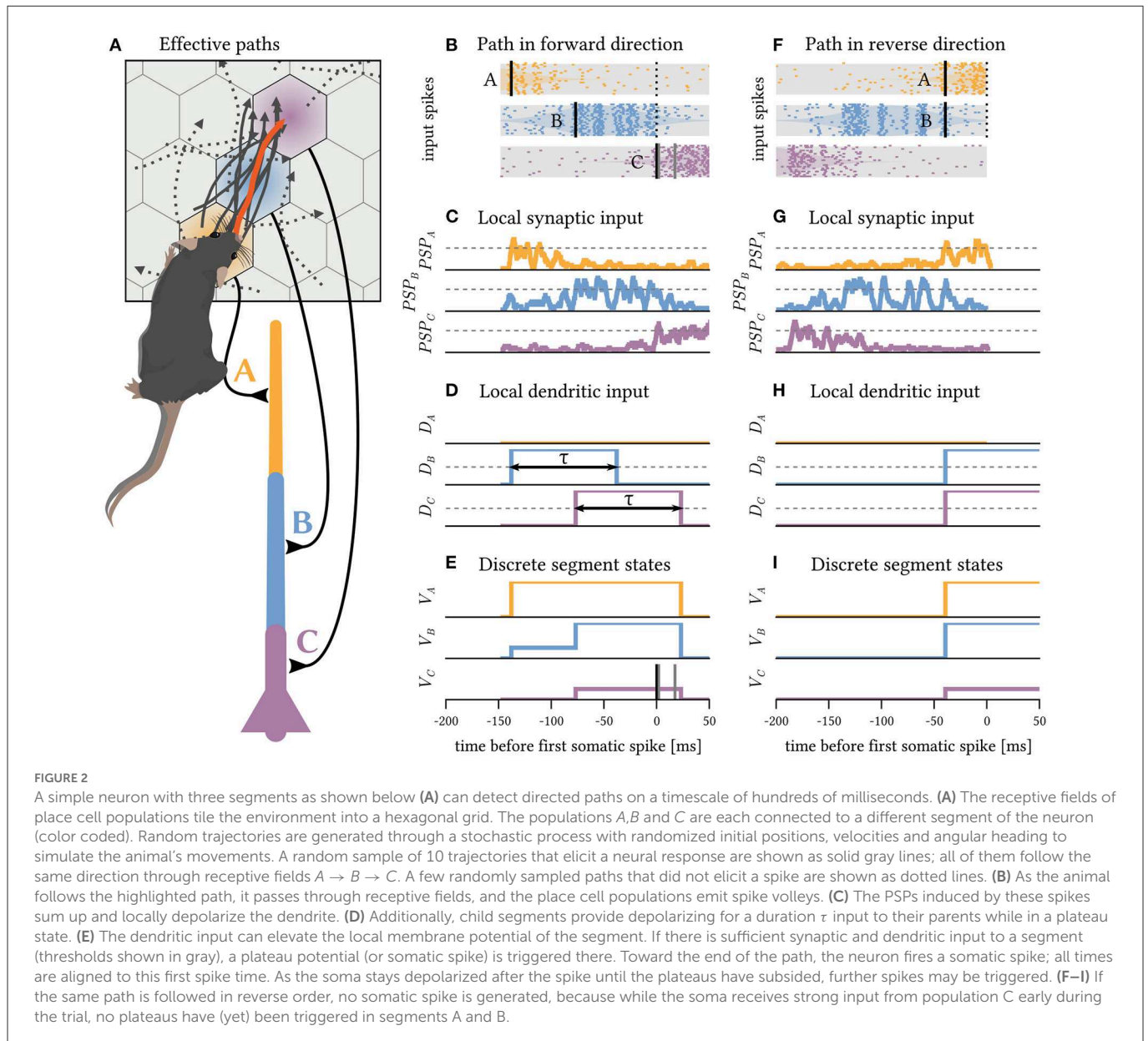
³ In addition to the forward-propagation of membrane potentials that we focused on so far (i.e., from child branches to the parent), the reverse direction typically has an even stronger effect—strong enough for the parent segment to depolarize its child segments by itself. To capture this effect, we recursively defined a segment k 's membrane potential to also be high whenever its parent's membrane potential is high. However, we focus on the forward-propagation of plateau potentials and their role for dendritic computation here.

Results

Dendrites can recognize movement trajectories from place cell activity on multiple timescales

To illustrate how dendritic plateau computation can be used in a close-to-real-world example, consider again the sequential patterns emitted by place cell populations. The location of an animal in its environment is represented by place-cells (O'Keefe and Dostrovsky, 1971; Hafting et al., 2005), each of which has a receptive field centered at a specific location. Navigation naturally produces sequential activation patterns as different locations are visited. The timescale of these patterns can be long and is variable because it is directly linked to the movement speed of the animal (Eichenbaum, 2017). This variability in timing is exacerbated during sleep-replay, where the same patterns can be replayed at significantly compressed timescales (Lee and Wilson, 2002). This makes reliable detection of these sequential place-cell patterns particularly difficult. Active dendritic processes likely play an important role in this sequence detection task, since they frequently occur in cortical pyramidal neurons of freely moving rats (Moore et al., 2017) and have been shown to be selective for specific sequences of synaptic inputs (Branco et al., 2010). Plateau computation can allow single neurons to solve this detection problem across varying movement speeds and during replay.

We numerically simulate a rat moving through a small, 2-dimensional environment by generating stochastic paths at varying movement speed (more details in Section Materials and methods). The environment is tiled by the receptive fields of place cell populations, each 20 neurons strong. These populations emit spike volleys, the magnitude of which increases as the animal gets closer to the center of the respective receptive field (Figure 2A). This encoding could arise from increased firing rates, increased correlation of the spike timings, or both (Pipa et al., 2013). The task is to recognize whether the animal followed a specific path leading through the receptive fields of three place cell populations in the correct order: from the bottom left (A, in yellow) through the center (B, in blue) to the top right (C, in purple). A neuron composed of two sequential dendrite segments and the soma can solve this task reliably if each of the segments receives synaptic input from one of the place cell populations (Figure 2A). In this example, a plateau potential is triggered in segment A if $TS_i = 13$ or more of the 20 neurons in the associated place cell population fire a synchronous spike volley, which implies that the animal crossed through the corresponding receptive field (Figures 2B, C). This detection is memorized in the elevated membrane potential of segment A for the duration of the plateau (Figure 2E). This also raises the resting potential of segment B (Figure 2D) and enables it to respond to a spike volley from its corresponding place cell population with a plateau of its own. Likewise for the soma, which can finally fire a spike in response to a spike volley from place cell population C. Since each of the successive detections depends on the preceding plateau potential, the neuron only responds if the three stimuli $A \rightarrow B \rightarrow C$ occur in the correct order. In the reverse direction (Figures 2F–I), segment C cannot respond to the spike volleys received early on in the trial, as the child segment B is not yet in a plateau state. Therefore, the neuron does not spike when the rodent traverses the C location.



Therefore, the neuron is highly selective to the correct order of inputs.

However, because each plateau enables the subsequent segment for a fixed, prolonged duration, the exact timing of the next sequence element within this time interval is irrelevant (Figure 3). The model neuron is invariant to faster movement along the path A → B → C (Figure 3A) and can even accommodate orders of magnitude faster replay of the pattern, as can be observed during hippocampal sleep replay (Figure 3B). Sequence detection *via* dendritic plateaus thus combines two distinct timescales: the fast estimation of the current location through coincident spike volleys and the slower integration of these events through interacting plateau potentials. The spatiotemporal receptive field of the neuron can thus be very sensitive and responsive to changes in location, but largely invariant to the speed at which the animal travels along the path on a much slower timescale (see Supplementary material 1).

Because compressed re- and replay mechanisms are implicated in planning and skill learning (Ólafsdóttir et al., 2018), we expect that the robustness of dendritic sequence processing to compressed

pattern representation is critical for these tasks. However, this timing invariance also implies that techniques to identify spatiotemporal receptive fields *via* temporal averaging, such as the spike-triggered average (Simoncelli et al., 2004), are inadequate for neurons with active dendrites. Instead, new techniques are required that identify individual receptive fields of dendrite segments and compare the relative timings of the generated plateau potentials (see Supplementary material 2).

Shunting inhibition can prevent false positives

The mechanism outlined above only relies on excitatory synapses and is able to identify specifically ordered sequential patterns of spike volleys on varying timescales. However, if the same stimuli are frequently repeated in incorrect order, the likelihood of errors increases. To illustrate this, consider the sequence A → B → C

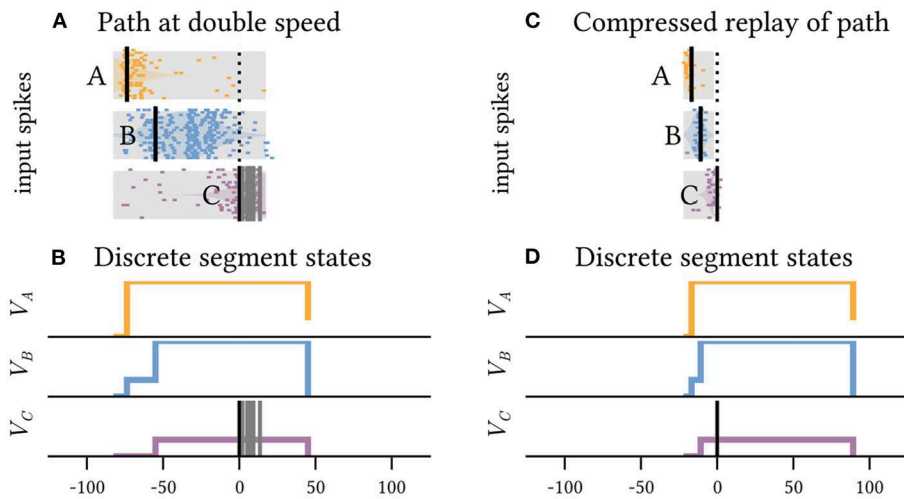


FIGURE 3 The neuron shown in Figure 2 is invariant to the precise timing of spike volleys as long as their order is preserved. It can detect the same path across timescales that differ by orders of magnitude. (A) Shows the inputs received when the same highlighted path in Figure 2 is traversed at twice the speed, and (C) shows a tenfold faster pattern, which can for example occur during compressed sleep replay. In both cases, a somatic spike is triggered and the path is detected. (B, D) Show the respective internal states of the segments.

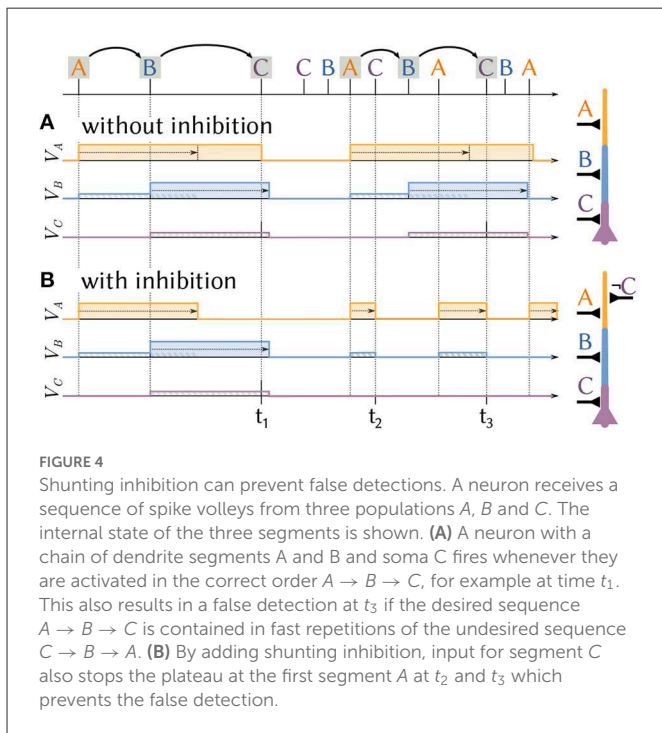


FIGURE 4 Shunting inhibition can prevent false detections. A neuron receives a sequence of spike volleys from three populations A, B and C. The internal state of the three segments is shown. (A) A neuron with a chain of dendrite segments A and B and soma C fires whenever they are activated in the correct order $A \rightarrow B \rightarrow C$, for example at time t_1 . This also results in a false detection at t_3 if the desired sequence $A \rightarrow B \rightarrow C$ is contained in fast repetitions of the undesired sequence $C \rightarrow B \rightarrow A$. (B) By adding shunting inhibition, input for segment C also stops the plateau at the first segment A at t_2 and t_3 which prevents the false detection.

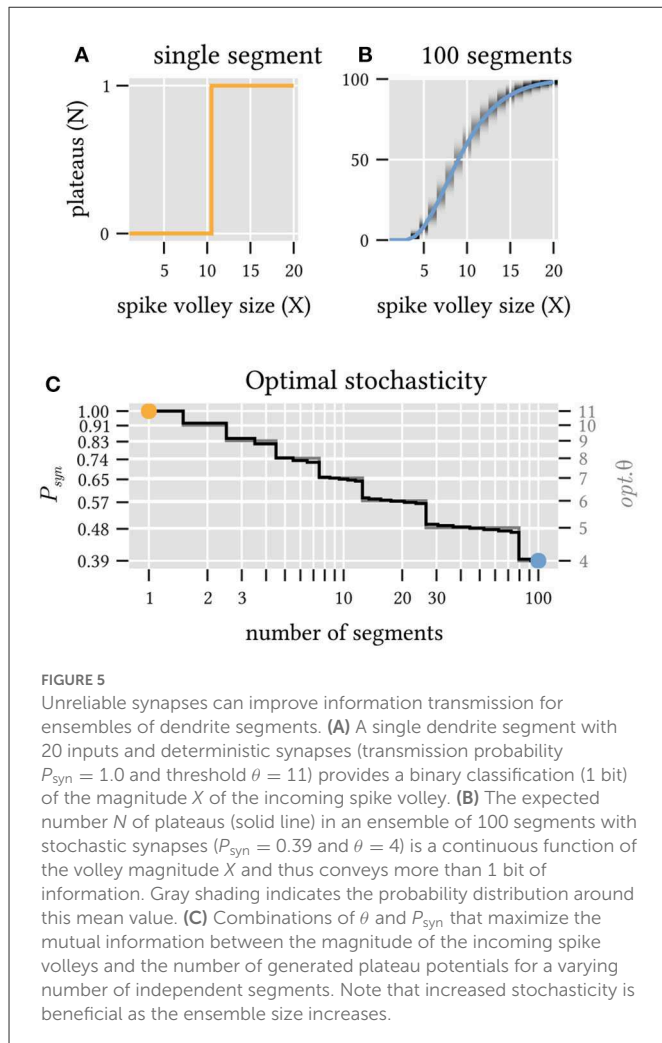
and its reverse $C \rightarrow B \rightarrow A$, i.e., the same path but traveled in the opposite direction (Figure 4). During sleep replay, or while running in a circle, this reverse sequence may be presented multiple times in quick succession. Naturally, the sequence $C \rightarrow B \rightarrow A \rightarrow C \rightarrow B \rightarrow A \rightarrow C \rightarrow B \rightarrow A$ also contains the sub-sequence $A \rightarrow B \rightarrow C$ (highlighted) which would trigger the neuron. Because the additional excitatory spike volleys cannot prevent the neuron from firing, the repeated presentation of the reverse sequence will therefore lead to a false detection (Figure 4A). Anti-patterns such as this are an inevitable side-effect of the desirable timing-invariance of sequence detection.

Shunting inhibition can prevent such false positives and restore the neuron’s high selectivity to sequence order in these situations (Figure 4B). Consider the same situation as before, but now additional inhibitory synapses from population C can disrupt plateaus in the neuron’s first segment. If a spike volley from C immediately follows a volley from A, the previously generated plateau in the first segment is stopped and sequence detection has to start anew with a novel detection of a spike volley from population A. However, if populations A, B and C all fire in order the neuron will fire a spike before the inhibition of the first segment takes effect. Inhibition therefore acts as an important complementary mechanism for dendritic plateau computation and can “veto” anti-patterns from erroneously activating sequence detecting neurons.

Unlike excitatory spikes, which need to be synchronized into spike volleys to efficiently drive plateau generation, shunting inhibition can disrupt a plateau potential at any point.

Probabilistic and graded plateau responses increase information content

In the previous example, each place cell population codes for a single location. The magnitude of the spike volley encodes the proximity to the receptive field center, or, in other words, the evidence for the fact that the animal was close to the specified location. If the spike volley is transmitted deterministically ($p = 1.0$), the receiving dendrite segment detects it if and only if it exceeds a hard threshold at which a plateau is generated (Figure 5A). If the spike volley is instead transmitted stochastically ($p < 1.0$), the probability of generating a plateau is directly proportional to the spike volley magnitude (see Section Materials and methods). The response of an individual dendrite segment to one spike volley is of course binary in both cases, but if we assume that many segments respond to the same spike volley with stochastic synapses, then the expected number of emitted plateau potentials becomes a smooth



sigmoidal function of the volley's magnitude (Figure 5B). In an ensemble of neurons with dendrite segments that respond to the same input probabilistically and independently due to unreliable synaptic transmission, the number of active plateau potentials can therefore encode the magnitude of the received spike volleys.

How well this encoding works can be quantified by the mutual information between spike volley magnitude and number of triggered plateaus. While there is clearly no benefit to synaptic stochasticity if only a single segment receives the spike volley, multiple dendrite segments can in fact benefit significantly from stochastic synaptic transmission. For example, a synaptic transmission probability as low as $p = 0.39$ maximizes information transmission for an ensemble of 100 dendrite segments that receive spike volleys from a population of 20 neurons. In this case, unreliable synapses allow an ensemble of otherwise identical deterministic neurons to exceed the information capacity of any individual neuron (Figure 5C and Section Materials and methods).

In our model, consecutive segments gate consecutive plateaus. In the path-detection example above, three consecutive spike volleys in a sequence must all be detected for the neuron to fire a spike. The probability of the spike response is therefore the product of all three plateau probabilities and thus directly proportional to the combined evidence for all sequence elements as encoded by the corresponding spike volleys. This affords another interpretation of the neuron's

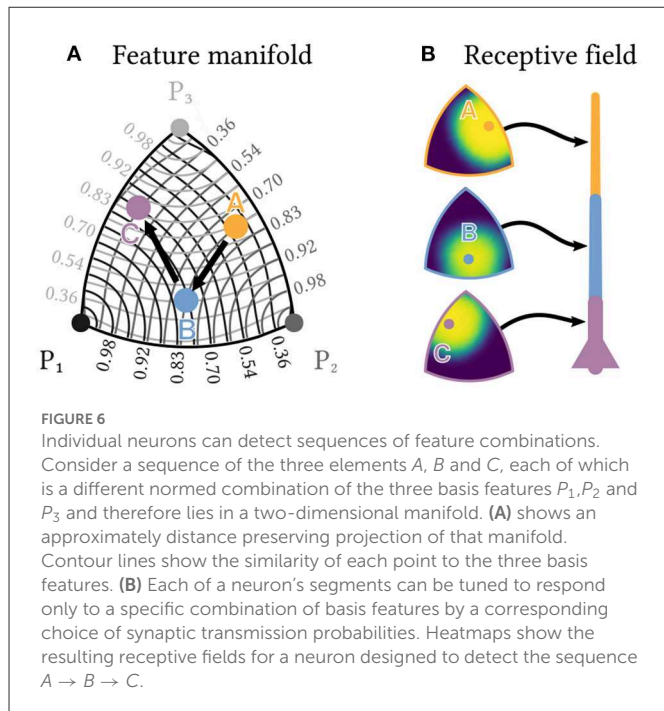
behavior: the probability to fire a spike encodes how closely the animal passed each of the receptive field centers along the path. An ensemble of neurons is therefore able to report not only if the animal followed some desired path, but also how closely its chosen path came to the three receptive field centers.

In the context of sequence detection, the stochasticity of synaptic spike transmission serves one other important purpose. Since plateau potentials endow the neuron with a long lasting internal state, any neuron that is already engaged in the detection of a sequence is not ready to detect another until its plateau potentials have subsided. Consider, for example, that we stimulate a neuron that normally detects $A \rightarrow B \rightarrow C$ with the sequence $A \rightarrow B \rightarrow A \rightarrow B \rightarrow C$ instead where subsequent input volleys are each half a plateau duration apart. On the first two inputs A and B , the neuron will advance its internal state and then wait for C until the plateau triggered by B has expired (or has been interrupted by inhibition). Within that time frame, the third and fourth inputs A and B arrive, but since the corresponding dendrite segments are already fully depolarized, they have no effect on the neuron in our model. Then, the plateau triggered by B expires before the final volley C arrives, which thus fails to trigger a somatic spike. Therefore, although this sequence contains the desired sub-sequence $A \rightarrow B \rightarrow C$ at the end, the model neuron would fail to fire.

The neuron is only able to begin the detection of a new sequence after it has returned to its initial state, i.e., all plateau potentials have expired or been reset by inhibition. In biology, reduced excitability of dendrite segments after a plateau potential seems to be related to the high Ca^{2+} concentration at the initiation site which can outlast the plateau depolarization (Milojkovic et al., 2007). The refractory period of dendritic computation we describe here may thus extend even beyond the plateau duration. This imposes a strict limit on the maximum rate at which any sequence could be detected by our model neurons: the inverse of the plateau duration, which is independent of the actual length of the sequence. With deterministic synapses, this limitation also applies to an ensemble of such neurons, because all neurons, given the same initial condition and synaptic connections, will operate in lockstep and detect or fail to detect the same instances of the target sequence. Synaptic stochasticity can help in this situation because it decorrelates individual detectors such that only some of the neurons will respond to the misleading initial sequence $A \rightarrow B$ and thus fail to detect the later valid sequence $A \rightarrow B \rightarrow C$. The remaining neurons failed to respond to the first two inputs and are hence ready to detect the final sub-sequence $A \rightarrow B \rightarrow C$. While the response of the ensemble is therefore reduced in magnitude due to the initial misleading input, it is crucially still able to detect the sequence in question.

Stochastic spike volleys can encode events in multi-dimensional feature space

So far, we only considered sequences of discrete events, such as an animal traversing specific receptive fields in order. In many situations, however, the individual sequence elements may not be as simple and binary as that. For example, the sequential activation of dense and overlapping representations of individual odorants in mitral cell populations gives rise to odor codes (Bathellier et al., 2008). Unlike place cells, no single population exclusively codes for



one odorant in the sequence. Instead, the odorant is encoded by a weighted combination of different sub-populations each of which represents one feature of the odorant, e.g., the response of a particular receptor protein (Malnic et al., 1999). Similarly in vision, where many complex shapes can be represented by different combinations of the same simpler features, e.g., oriented edges. It is therefore important to note that our model of sequence detection, which we framed in terms of spike volleys and coincidence detection, is also able to detect sequences of specific linear combinations of simpler features. This is enabled by stochastic synaptic transmission, which provides a simple way for each dendrite segment to only detect a specific multi-dimensional combination of different features.

In the following, we identify each input feature with a spike-volley from a specific randomly selected subset of a much larger neuron population. These subsets can overlap for different features, but the expected overlap is small for sufficiently sparse subsets. By stochastically co-activating different fractions p_i of neurons from each of these sub-populations i , a single spike volley can thus encode various combinations of features. This approach is closely related to hyper-dimensional computing (Kanerva, 2009); see Section Materials and methods for a formal derivation.

Suppose we are interested in combinations (p_1^j, p_2^j, p_3^j) of three arbitrary features P_1, P_2 and P_3 . These could, for example, represent three different populations of olfactory receptors. For simplicity, $(p_1^j)^2 + (p_2^j)^2 + (p_3^j)^2 = 1$ is normed. The possible feature combinations thus span a continuous two-dimensional manifold, a sector of the surface of the unit sphere, embedded into the high-dimensional space of the neuron population's spiking output. Figure 6A shows an approximately distance-preserving projection of this manifold.

Within this manifold, we want to detect a specific sequence $A \rightarrow B \rightarrow C$, e.g., a sequence of three specific odorants, each of which is a different combination (p_1^A, p_2^A, p_3^A) , (p_1^B, p_2^B, p_3^B) or (p_1^C, p_2^C, p_3^C) of the three basic features. The same kind of neuron as described

above can solve this task, if the transmission probability of each synapse is tuned to the desired strength p_i^j of the corresponding feature. Each dendrite segment can then selectively respond with a plateau potential to only one specific combination of features (see Figure 6B), which allows the neuron to detect the sequence $A \rightarrow B \rightarrow C$. Note that instead of changing the magnitude of EPSPs for different synapses, this approach works entirely by tuning synaptic transmission probabilities.

Populations of plateau computing neurons improve sequence detection

The sequence detection capabilities of individual neurons can be extended to networks. As a sequence of interest gets longer and comprises more elements, it seems increasingly unlikely that the brain would rely on a single highly complex and specific neuron, or an ensemble of such neurons, to detect it. It is much more likely that multiple neurons code for different, shorter sequences—features—that occur in different combinations as parts of longer sequences. In analogy to the original Perceptron model (Rosenblatt, 1958), these features can be task-independent and chosen at random. To detect a specific long sequence of interest, a neuron would then only need to detect the right combination of a few of these features in correct order. A concrete example is the 10 element sequence $D \rightarrow B \rightarrow C \rightarrow A \rightarrow F \rightarrow E \rightarrow D \rightarrow H \rightarrow B \rightarrow F$. It contains an enormous number of possible features such as $(D \rightarrow B \rightarrow C)$, $(C \rightarrow A \rightarrow E)$, $(D \rightarrow C \rightarrow E)$ and so on, each of which might be detected by one random sequence detection neuron with just three segments (see Figures 7F–H). If many of these short features are present in the stimulus in the correct order, which can be recognized by a specialized sequence detection neuron, this provides strong evidence for the long sequence.

We set up a simple experiment to demonstrate how effective this strategy can be. The task is to classify 10 different sequences of spike volleys (labeled 1 to 10, see Figure 7A) that are generated by a population of 100 input neurons. Each of these sequences is a series of 10 randomly selected symbols with randomized timing. There are 10 symbols (labeled A to J) in total and each is represented by a spike volley from a randomly selected subset of 30 input neurons. The same symbols may appear multiple times within a sequence and are shared across the different sequences which makes classification challenging. In addition to these stimuli, the input neurons also generate spikes at random to emulate a backdrop of noise. Figure 7B shows the resulting spikes from the input population, and Figure 7E zooms in on a single example of such a sequence.

To solve this task, we construct a network of simple sequence detection neurons arranged into two layers. All of the neurons comprise just three segments in series, just like in the examples above, and are therefore able to detect sequences of up to three elements. In the first hidden layer of the network, 3,000 neurons each detect a random sequence, or feature, composed of three symbols (Figure 7C). These features are drawn entirely at random from the set of all possible symbols⁴. Each segment receives input

⁴ We discard sequences that are not a sub-sequence of any target sequence. We call a sequence X a sub-sequence of another sequence T if all elements from X also occur in T in the same order.

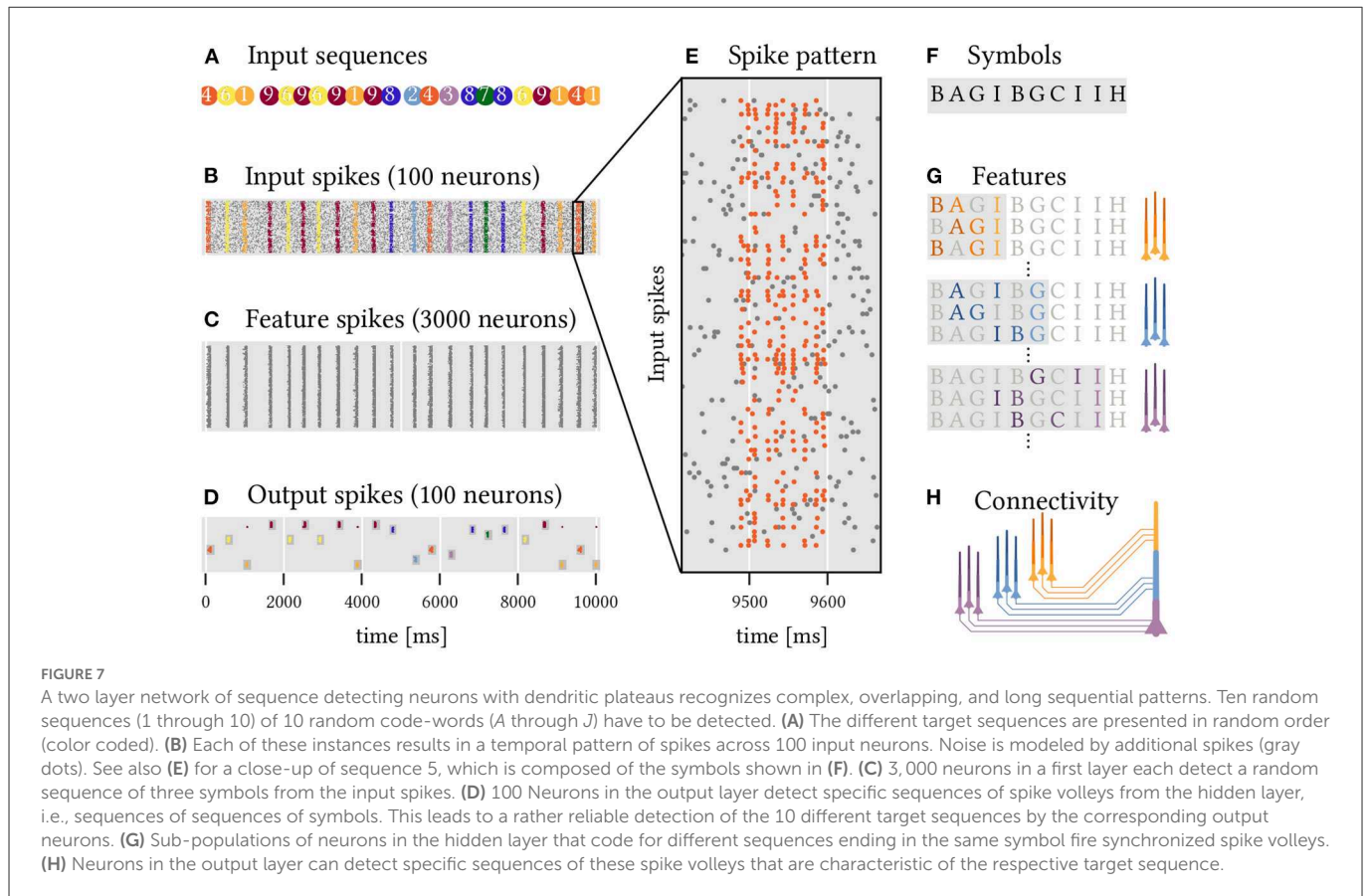


FIGURE 7

A two layer network of sequence detecting neurons with dendritic plateaus recognizes complex, overlapping, and long sequential patterns. Ten random sequences (1 through 10) of 10 random code-words (A through J) have to be detected. **(A)** The different target sequences are presented in random order (color coded). **(B)** Each of these instances results in a temporal pattern of spikes across 100 input neurons. Noise is modeled by additional spikes (gray dots). See also **(E)** for a close-up of sequence 5, which is composed of the symbols shown in **(F)**. **(C)** 3,000 neurons in a first layer each detect a random sequence of three symbols from the input spikes. **(D)** 100 Neurons in the output layer detect specific sequences of spike volleys from the hidden layer, i.e., sequences of sequences of symbols. This leads to a rather reliable detection of the 10 different target sequences by the corresponding output neurons. **(G)** Sub-populations of neurons in the hidden layer that code for different sequences ending in the same symbol fire synchronized spike volleys. **(H)** Neurons in the output layer can detect specific sequences of these spike volleys that are characteristic of the respective target sequence.

from the 30 input neurons that represent the associated symbol. A second layer of 100 output neurons (ten for each target sequence, see Figure 7D) uses the spikes coming from the hidden layer to classify the 10 different target sequences. To achieve this, each output neuron needs to detect a sequence of feature-combinations that is characteristic for the target sequence of interest. Each segment and soma of an output neuron thus detects a combination of relevant features, all of which terminate in the same symbol and thus produce a synchronized spike volley once that symbol appears in the target sequence. Here, we use a simple algorithm to select these feature combinations (see Section Materials and methods), but the same might be realized through a combination of structural and homeostatic plasticity in the brain. Now, whenever the target sequence is presented, the corresponding feature detectors in the hidden layer fire in order, which successively activates the dendrite segments of the output neurons, ultimately leading to spikes that signal a detection. Despite noisy inputs and timing variability in the spike patterns, the results are remarkably robust and each target sequence is reliably detected. Because the feature detectors in the hidden layer are agnostic to the target sequences, this scheme can be extended to another target sequence by adding just one additional output neuron to detect the right sequence of feature combinations.

Discussion

Mounting biological evidence suggests that dendritic function is critical to neural computation. We therefore constructed a

new model of dendritic computation that relies primarily on the generation and interaction of dendritic plateau potentials. It explains conceptually how individual neurons with segmented dendritic trees can robustly detect long sequences of spike volleys in a particular order—even if they are presented across vastly different timescales. We also showed that stochastic synapses can turn the all-or-none response of plateau potentials into a more informative graded response. This allows individual neurons to detect complex sequences of stimuli that are themselves combinations of multiple features. Stacking such sequence detection neurons as features into a larger population further expands their ability to detect very long sequential patterns—even when based on generic, randomly generated feature detectors.

Early theoretical work already predicted that the dendritic tree would play a crucial role for sequence detection (Rall, 1964), albeit based on conductance delays that are too short to account for behaviorally relevant timescales. More recent work confirms that neurons indeed preferentially respond to sequential activation of dendritic spines in the centripetal direction along a single dendrite, but attributes this effect to the voltage-gating of NMDA channels in combination with a strong impedance gradient along the dendrite (Branco et al., 2010). Further experimental work has revealed the important role that NMDA channels play for the generation of plateau potentials and neural UP states, which endow the neuron with a much longer timescale (Milojkovic et al., 2004, 2005; Antic et al., 2010). The interaction of active dendrites and neural UP states was used in the hierarchical temporal memory model of neural computation (George and Hawkins, 2009) to explain how networks of neurons can process sequences (Hawkins and Ahmad,

2016). In contrast, our model takes advantage of the interaction of plateau potentials across distinct dendrite segments which provides a robust mechanism for sequence detection in single neurons. In our model, coincident spikes on neighboring synapses represent spatial patterns on a fast timescale, whereas plateau potentials act as long-lasting local memory traces of these short events and thus decouple the timescale of sequence detection from the timescale of membrane dynamics. Further, each detected sequence element initiates a new plateau and therefore extends the memory of the currently ongoing sequence by another plateau duration. This allows individual neurons to recognize long sequences with significant timing variability. The degree of the timing invariance is determined by the length of plateau potentials, which in turn has been found to be a function of the amount of presynaptic glutamate released (Milojkovic et al., 2005). This parameter, which corresponds to the spike volley magnitude in our model, is likely functionally significant and warrants further investigation, since it relates the duration of the plateau memory trace to the amount of evidence for the stored sequence element. In addition, the structure of the neural dendrite, its functional compartmentalization, and the location of synapses determine the neuron's ability to detect specific sequences in our model. This provides a different perspective on the functional relevance of the observed diversity of dendrite morphologies and their potential ability to adapt compartmentalization in cortex (Wybo et al., 2019).

We limited our discussion to simple examples of dendrites composed of a single chain of segments, but more intricate branching patterns can enable individual neurons to detect more complex sequential patterns such as “A or B and then C” (see [Supplementary material 3](#)). The relevance of such more complex patterns for neural computation should be investigated in future work. Since plateau generation requires highly correlated synaptic input, we also rely on spike volleys as the primary unit of information transmission. Although new statistical techniques are being developed to detect such volleys in-vivo, there are competing ideas about the mechanisms that could cause this synchronization. A particularly interesting prospect is the emergence of stimulus-dependent synchronization from recurrent activity (Korndörfer et al., 2017).

Here, we focused entirely on the role of dendritic plateaus for computation. Our hypothesis that plateau potentials are an integral part of neural computation is supported by a growing number of recent studies that show long-lasting calcium signals in dendrites to be associated with task relevant information in a variety of experiments (Takahashi et al., 2016, 2020; Kerlin et al., 2019). Future work should also address their implications for learning. For example, it is still unclear how dendrites can learn to detect specific sequences based on local plasticity mechanisms alone. One recent approach uses both the somatic and the local dendritic membrane potential in a two-compartment neuron model to learn temporal patterns via synaptic plasticity (Brea et al., 2016) but does not yet include non-linear amplification in active dendrites. However, experimental results show that localized plateau potentials and the resulting long-lasting high Ca^{2+} concentrations appear to be the primary drivers of synaptic plasticity (Hardie and Spruston, 2009). This is at odds with most current learning algorithms for spiking neurons, which instead rely on short somatic feedback signals such as backpropagating action potentials (Song et al., 2000).

Other forms of plasticity also become more relevant in the context of our proposed model. For example, since the location of

a synapse on the dendrite matters, structural plasticity (Knoblauch and Sommer, 2016) is decisive for the neuron's function. The localized coincidence detection requires homeostatic processes to adjust synaptic transmission probabilities (Turrigiano, 2012; Leugering and Pipa, 2018) in order to appropriately balance the excitability threshold and synaptic input. And lastly, a recently proposed mechanism for tuning transmission delays through controlled (de-)myelination (Fields, 2015) might allow neuron populations to synchronize their spike volleys more precisely.

Beyond its role as a candidate mechanism for sequence processing in the brain, dendritic plateau computation may also have applications outside of neuroscience. In particular, the simplicity of our proposed mechanism lends itself to a hardware implementation that uses asynchronous communication and complex dendrite structures, rather than larger networks, to boost computational efficiency. The prospect of energy efficient dendritic computation has also motivated others to research potential implementations in neuromorphic hardware. For example, Intel's Loihi chip (Davies et al., 2018) and the DYNAPSE architecture (Moradi et al., 2018) already support some forms of active non-linear processing in functionally isolated dendrite segments. Our model provides a new perspective on how these existing capabilities could be utilized for computation and extended in future neuromorphic technologies.

Materials and methods

Simulation framework for dendritic plateau computation

All simulations are implemented in a custom package developed in the Julia programming language (Bezanson et al., 2017), publicly available via the code repository hosted at <https://github.com/jleugeri/DPC.jl>. The simulator implements the neuron model outlined in this paper using a fast and extensible event-based formalism. All experiments and configuration files can be found in the `examples` subfolder of the repository. Further documentation of the simulator, its interfaces, and implementation details can be found there as well.

Implementation of the navigation experiment

To simulate the stochastic movements of an animal in a two-dimensional environment, random paths are generated with time-varying location $l(t) = (X(t), Y(t)) \in \mathbb{R}^2$ as solutions of the following system of stochastic differential equations:

$$\begin{aligned} dX &= \cos(2\pi A)Vdt \\ dY &= \sin(2\pi A)Vdt \\ dA &= 0.25dW_A \\ dV &= 10.0(0.25 - V)dt + 0.1dW_V \end{aligned} \quad (8)$$

A represents the angular heading of the animal, V represents its velocity in ms^{-1} and W_A, W_V represent independent standard Brownian motion processes. Each path is generated with a

randomized initial position within a rectangular domain of 10 cm × 9.5 cm, a random angular heading and a random velocity according to the marginal stationary distribution of V in the equation above, and is simulated for a fixed duration of 200 ms. Three populations of place cells, each 20 neurons strong, are centered on a hexagonal grid with center-to-center distance of $r \approx 2.9$ cm. Each population randomly emits spike volleys following a homogeneous Poisson process with rate $\lambda = 250\text{Hz}$. The magnitude of each spike volley is determined by the population’s mean activity at the time which depends on the animal’s location within the environment through a receptive field tuning curve. The tuning curves model the probability of each individual neuron within the population to participate in a given spike volley by the bell-curves $f_i(x) = \exp(-\frac{x-\mu_i}{2\sigma^2})$ with coefficient $\sigma = 9.7\text{mm}$, centered on the tiles of the hexagonal grid. The total number of spikes emitted during a volley from population i at time t is therefore a random variable distributed according to a Binomial distribution with population size $n = 20$ and probability $p = f_i(I(t))$. Additionally, each neuron in the population emits random spikes at a rate of 10 Hz to emulate background activity.

Each of the simulated neuron’s dendrite segments receives spiking input from the 20 neurons of one place cell population and requires 13 coincident spikes to trigger a plateau potential. The three segments are connected in a chain that requires sequential activation by spike volleys from the input populations in correct order to fire a spike. A random path is considered to be accepted by the neuron if the neuron responds with a spike at any point in time during the corresponding simulation run.

Implementation of the stochastic plateau generation experiment

For a single dendrite segment with $K = 20$ stochastic synapse, each with transmission probability P_{syn} , the total number of transmitted spikes for an incoming volley of X spikes is a conditional random variable $S|X \sim \text{Binomial}(X, P_{\text{syn}})$. Whether the segment fires a plateau in response to the volley ($Z = 1$) or not ($Z = 0$) is another conditional random variable $Z|X \sim \text{Bernoulli}(P_{Z|X})$, where $P_{Z|X} = P(S \geq \theta) = 1 - F_{S|X}(\theta - 1)$ is the probability to exceed a fixed threshold θ . In an ensemble of M i.i.d. segments that receive the same spike volley as input, the number of triggered plateaus is then again a conditional random variable $N|X \sim \text{Binomial}(M, P_{Z|X})$. If the magnitude of the spike volleys $X \sim P_X$ is chosen at random from some distribution P_X (here a discrete uniform distribution on $[1, 20]$), the amount of information conveyed by the number of triggered plateaus can be computed as

$$I(N; X) = \sum_{N=1}^M \sum_{X=1}^K p(X)p(N|X) \log \frac{p(N|X)}{\sum_X p(X)p(N|X)}$$

For different numbers of segments M from 1 to 100, we perform a grid search over the parameters P_{syn} and θ and identify the optimal parameter combination that maximizes the mutual information. The two parameters are almost perfectly correlated (see Figure 5C), with an optimal synaptic transmission probability of 1 (i.e., deterministic synapses) and a threshold of 11 for $M = 1$, and a transmission probability of only 0.39 (i.e., highly stochastic synapses) with a

correspondingly lowered threshold of 4 for $M = 100$ segments. For these two extreme cases (see Figures 5A, B), we vary X from 0 to 20 and plot the expected number of plateaus (solid lines) as well as the conditional probability $P(N|X)$ (gray heatmap).

Implementation of the multi-dimensional feature space experiment

For a neuron population of N neurons (here $N = 1,000$), we can collect the indices of the neurons associated with a particular feature i in a sparsely populated binary vector $v_i \in \{0, 1\}^N$. The degree to which feature i matches the current stimulus j can be encoded by the probability p_i^j that an input neuron coding for feature i would actually participate in a spike volley for j . Since the same input neuron k can be associated with multiple (here $M = 3$) features $i \in \{1, 2, 3\}$, its total probability to fire a spike for stimulus j with coefficients p_i^j is

$$\omega_k^j = 1 - \prod_{i=1}^M (1 - p_i^j(v_i)_k) = \sum_{i=1}^3 p_i^j(v_i)_k - \mathcal{O}(p^2)$$

For small p_i , the expected input vector ω^j thus approximates a weighted linear combination of the basis vectors v_i with coefficients $v^j = (p_1^j, p_2^j, p_3^j)$. We require that $\|\omega^j\| = 1$. Using the same equation, we can fix a set of target coefficients \hat{v} with $\|\hat{v}\| = 1$ and compute the optimal weight vector $\hat{\omega}$. The total number X of spikes received for stimulus j by a dendrite segment with synaptic transmission probabilities $\hat{\omega}$ is then a random variable with expected value $E[X] = \langle \omega^j, \hat{\omega} \rangle$. The expected value is maximized for $\omega^j = \hat{\omega}$, i.e., when input and weight vector are perfectly aligned. Since both ω^j and $\hat{\omega}$ are normalized, they lie on a two-dimensional manifold (the positive sector of the surface of a unit sphere). The probability that X exceeds a segment’s threshold θ for a stimulus j and thus causes a plateau is a radially symmetric function on this manifold. Figure 6B shows an approximately distance preserving projection of this probability distribution for three different weight-vectors, the coefficients of which correspond to the coefficients v^A, v^B and v^C of the three sequence elements A, B and C , respectively. See Supplementary material for details on the used projection method.

Implementation of the network experiment

There are 3,000 neurons in the hidden layer, each of which codes for a different random sub-sequence (three elements long) of any of the 10 target sequences (ten elements long). The synaptic transmission probability is 0.5, and 8 coincident spikes are needed to trigger a plateau (or somatic spike) in the hidden layer. Of the 100 output neurons, each segment (and the soma) receives synaptic input from a different subset of the hidden layer’s neurons. Since our model relies on synchronized spike volleys, these inputs are chosen according to a simple heuristic algorithm: Each segment i (and the soma) of an output neuron is associated with a specific index X_i of the neuron’s target sequence in appropriate order, i.e., $1 \leq X_i < X_j \leq 10$ for $i < j$. Now consider only the slice of the neuron’s target sequence that includes the first X_i elements. Any neuron in the hidden layer is connected to segment (or soma) i , if it codes for a feature that occurs as a sub-sequence of this slice and ends with the symbol at index X_i .

All neurons connected to the same segment thus code for features ending in the same final symbol. Therefore, they form a population that fires a spike volley when triggered by this final symbol. The magnitude of the resulting volley depends on the number of features that were detected by the population for the current stimulus. Since the size of each of these input populations is random, the synaptic threshold for plateau or spike generation in a segment or soma is dynamically set to 40% of the number of synapses.

Data availability statement

The original contributions presented in the study are included in the article/Supplementary material, further inquiries can be directed to the corresponding author.

Author contributions

JL and PN conceived of the model, designed the experiments and the simulation software, and wrote the article. GP participated in discussions, supervised the work, and revised the final text.

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Supplementary material

The Supplementary Material for this article can be found online at: <https://www.frontiersin.org/articles/10.3389/fcogn.2023.1044216/full#supplementary-material>

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