



An Upper Paleolithic Perforated Red Deer Canine With Geometric Engravings From QG10, Ningxia, Northwest China

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Personal ornaments are key archaeological remains to investigate prehistoric symbolic systems, and, whenever hard animal remains were used for their manufacture, explore topics on the status attributed to faunal resources by past human groups. Since the onset of the Upper Paleolithic, animal tooth pendants have been widely used in Eurasia as personal adornments or grave goods. However, only two Late Paleolithic Chinese sites have yielded such adornment types until today, i.e., Zhoukoudian Upper Cave, near Beijing, and Xiaogushan, in the Liaoning Province. Here, we present results from the multidisciplinary analysis of a perforated animal tooth from QG10, a multi-stratified archaeological site located on the Ordos Plateau between the arid and sub-arid belts of Northwest China. Although only partially preserved, zooarchaeological analysis indicates the tooth is a right upper canine of a female red deer (*Cervus elaphus*). Scraping marks on the labial aspect suggest the tooth was extracted from the animal maxillary shortly after its death. Technological analysis of the perforation confirms it was made by rotation with the help of a lithic point hafted onto a drill. The root and occlusal aspect of the tooth were further modified with five sets of notches and incisions, including four incisions making a hashtag pattern on the occlusal aspect. Technological and morphometric analyses indicate these sets were made by two, perhaps three, individual, i.e., one left-handed and one, perhaps two right-handed, with different tools and techniques. Use wear analysis suggests that the adornment was affixed to the body with the tooth crown facing upward. Finally, chemical characterization of red and black residues still adhering to the root indicates that hematite and charcoal may have been used in the production of an adhesive that would have helped stabilize the personal ornament on the body. Collectively, our results and interpretations shed a new light on the complexity of Late Glacial symbolic system carried by populations living in Northern China. We argue this perforated red deer tooth was introduced in the site following a number of social exchanges over long distance and a long period of time rather than produced *in situ*.

Keywords: pleistocene-holocene transition, personal ornaments, pendant, zooarchaeology, ochre, symbolism

1 INTRODUCTION

Personal ornaments are key archaeological remains to investigate prehistoric symbolic behaviors. In traditional societies, these objects appear to have played a crucial role in signaling individual and group identity in social interactions, and sometimes, acting as valuable goods that were exchanged to consolidate the relationships between members belonging to the same or different groups (Malinowski, 1922; Kuper, 1973; Brain, 1979; Cordwell and Schwarz, 1979; Hodder, 1982; Carey, 1986; Kassam, 1988; Morris and Preston-Whyte, 1994; Sanders, 2002; Joyce, 2005; McAdam, 2008; Casella, 2012; Hart et al., 2016; McAdam and Davidson, 2018; Balme and O'Connor, 2019). Research conducted over the last 2 decades has vastly expanded our understanding on the origin and development of personal ornamentation practices during the Prehistory. We now know that this aspect of material culture was well-established in the cultural system of populations living in the Levant and Africa around 120 ka (Bouzouggar et al., 2007; Steele et al., 2019; Vanhaeren et al., 2019; Bar-Yosef Mayer et al., 2020; d'Errico et al., 2020). A recent discovery from Bizmoune Cave, southwest Morocco, further suggests that the origin of this behavior may be pushed back to 142 ka on the African continent (Sehassse et al., 2021). Between 120 and 65 ka, personal ornaments are essentially made of ostrich eggshells and marine shells. Between 65 and 45 ka, there is a hiatus in the production of personal ornaments in Africa and the Levant. When these items re-emerge in the archaeological record *c.* 45 ka, they are found throughout the Old World (Vanhaeren and d'Errico, 2006; Pitulko et al., 2012; Stiner et al., 2013; Wei et al., 2016; Wei et al., 2017; Pitarch Martí et al., 2017; Shidrang, 2018; Bosch et al., 2019; Langley et al., 2019, 2020; Bar-Yosef Mayer et al., 2020; Shunkov et al., 2020; Tejero et al., 2020). Furthermore, the prehistoric human groups making these objects appear to have broadened the variety of raw materials and supports considered fit for their transformation into items of symbolic material culture. For the first time, perforated animal teeth are introduced as displayed items in the symbolic systems of early Upper Paleolithic populations (e.g., Vanhaeren and d'Errico, 2006; d'Errico and Vanhaeren, 2015; Shunkov et al., 2020).

A number of studies aimed to understand how personal ornaments could inform us on prehistoric social and population dynamics. Large-scale investigation of some European Upper Paleolithic traditions (Vanhaeren and d'Errico, 2006; d'Errico and Vanhaeren, 2015), of the ornamental practices during the Mesolithic to Neolithic transition (Rigaud et al., 2015; Rigaud et al., 2018) or carried by Aboriginal Australians (McAdam, 2008; Balme and O'Connor, 2019) have shed light on variations in the type of ornaments found various sites. Through multi-variate analyses, it was possible to circumscribe geographic boundaries of these traditions and explore the degree of interactions bearers of these distinct traditions may have engaged in.

In Northern China, the manufacture and use of personal ornaments is a phenomenon restricted to the Late Paleolithic; a little over a dozen sites have yielded such objects in this vast region. Furthermore, despite being mentioned in reports, detailed

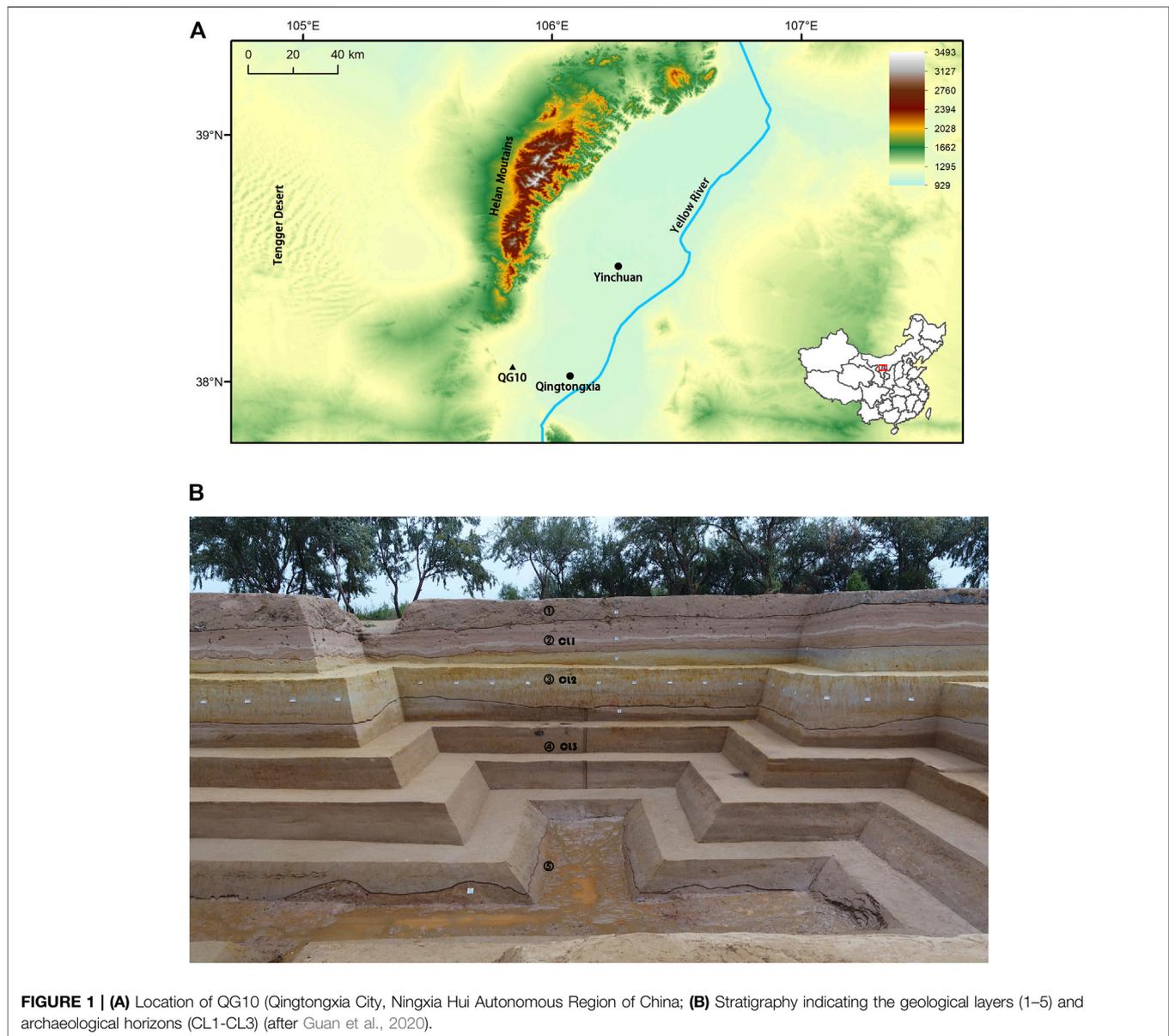
technological analysis—and, whenever possible, the chemical characterization of adhering colorants—is available only for a handful of sites. Early evidence comes from Shuidonggou Localities 2, 7 and 8 (Pei et al., 2012; Wei et al., 2016; Wei et al., 2017; Pitarch Martí et al., 2017; Feng Li et al., 2019) in the Ordos Plateau and, Zhoukoudian Upper Cave near Beijing (Pei, 1939; d'Errico et al., 2021) as well as Xiaogushan, Liaoning Province (Huang et al., 1986). The Shuidonggou sites mainly yielded ostrich eggshell beads (OESBs) and some rare ornaments made from freshwater shells, while diversified ornamentation assemblages dominated by mammal teeth were found at sites located to the East. A recent seriation of Northern Chinese personal ornament highlighted a clear divide in the distribution of the bead types between sites located east and west of the 112°E longitude (d'Errico et al., 2021). The western tradition, to which the Shuidonggou site complex belongs, mainly focused on the manufacture of OESBs and this symbolic system seems to be maintained for much of the Late Paleolithic, *i.e.*, from 45 to 10 ka. On the contrary, and in spite of its initial diversity, the eastern tradition disappeared from the archaeological record *c.* 25 ka. From that date until the Chinese Neolithic, mammal teeth appear to be disregarded by prehistoric human groups as a befitting raw material for the manufacture of ornaments. This strong regional and temporal pattern raises the question as to what happened to this cultural tradition.

Here, we report the discovery of a perforated red deer canine. This object is peculiar for three reasons. First, it comes from a site located in the aforementioned “western tradition” and from a context dated to *c.* 13 ka. Second, it was found in a layer that also yielded OESBs, the typical ornament type for the “western tradition.” Third, the perforated tooth was further modified with five sets of decorative patterns. Our technological analysis suggests the tooth was extracted from a hunted hind aged between 6 and 11 years-old. It allows us to describe how the tooth was transformed into a personal ornament and worn in the past. A careful examination of the decoration made on the tooth suggests the markings were made by at least two, perhaps three individuals, which suggests the object was passed down to as many owners prior to being discarded at the site. This specimen sheds a new light on the complexity of Late Glacial symbolic system carried by populations living in Northern China.

2 MATERIAL AND METHODS

2.1 Archaeological Context

The remain documented here was found during the excavation at the Locality 10 of the Pigeon Mountain site complex. In the literature, the site is either referred to as “Pigeon Mountain Loc. 10” (Peng et al., 2017; Guan et al., 2020; Han 2021) or it is Chinese pinyin equivalent, *i.e.*, “Gezishan Loc. 10” (Zhang et al., 2019). Here, we use the short name QG10, which stands for Qingtongxia Gezishan Loc. 10. Located on the foothills of the Helan Mountains, *c.* 20 km north-west of the Qingtongxia City, Ningxia Hui Autonomous Region, China (**Figure 1**; N38°03'33.1", E105°50'30.3", altitude *ca.* 1,200 m), the site was systematically excavated from 2014 to 2017. The excavated area

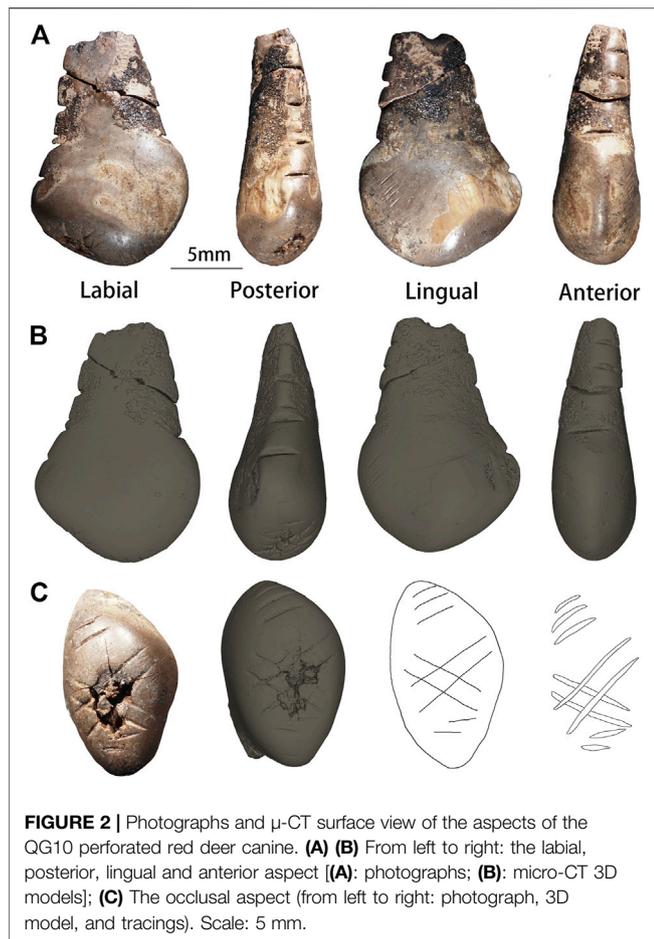


covers c. 300 m² and reaches a depth of c. 9.5 m (Peng et al., 2017; Han, 2021).

The QG10 stratigraphic sequence comprises five geological layers numbered from 1 on top to 5 in the bottom (**Figure 1**). Three archaeological horizons were identified, respectively in layer 2, 3 and 4; those horizons were named CL1 on top, CL2 in the middle and CL3 at bottom. CL3 yielded the richest archaeological assemblage, including the perforated red deer canine which is reported here (**Figure 2**). During the excavation, sediments were carefully removed using rounded-tip hand trowel and sieved with a 1-mm mesh. Larger specimens unearthed *in situ* were piece-plotted, while items retrieved from the sieving were recorded with information on their square meter of origin, spit and date of the excavation. Results from OSL dating and radiocarbon

dating indicate the formation of CL3 occurred between 13,746 and 12,117 cal BP (Peng et al., 2017; Han, 2021).

More than 10,000 lithic remains were recovered in CL3. They fit the definition of the Chinese Late Pleistocene microlithic industry. Other cultural remains include OESBs, formal bone tools, grinding stones, hearths and post holes (Peng et al., 2017). Plant starch residue analysis suggests the QG10 visitors exploited several edible plant resources including cereals, legumes, nuts and underground storage organs (Guan et al., 2020). More than 2,000 animal remains were unearthed from CL3. In decreasing order of frequency, herbivores are represented by *Equus przewalskyi*, *Procapra przewalskii* and *Cervus* sp. Small mammals are mainly restricted to *Lepus* sp., and carnivores are dominated by *Vulpes* sp. (Zhang et al., 2019). A limited number of avian remains has also been identified in the faunal assemblage.



Preliminary taphonomic analysis suggests humans were the main agent responsible for the accumulation and modification of the faunal remains at QG10 (Zhang et al., 2019). It appears that hunter-gatherers transported complete carcasses to process them at the site. Evidence of middle- and large-sized mammal butchery and bone fracturing for marrow exploitation is common and includes numerous cut marks on limb bones as well as a high degree of fragmentation of marrow-rich bones. In addition to their nutritional value, small animal osseous remains appear to having been targeted for the manufacture of tools (Zhang et al., 2019). The tooth described here was retrieved from the sieved sediments of CL3.

2.2 Methods

The QG10 perforated tooth analyzed here is curated at the Institute of Vertebrate Paleontology and Paleoanthropology (IVPP), in Beijing, China. In compliance with relevant regulations, no permits were required to conduct the analysis. Our study combines three types of data: 1) zooarchaeological data on the species and element used to manufacture the personal ornament; 2) experimental, technological and use wear data to establish how the skeletal element was procured, transformed into ornament, and worn; 3) sediment and residue chemical data

to characterize the composition of the red and black residue still adhering to the object.

2.2.1 Species, Sex and Age Determination

The taxonomic identification and the side of the tooth was conducted by comparing the artifact with modern reference collections curated at the IVPP and at the Institute of Cultural Heritage, Shandong University, Qingdao, and by referring to published anatomical descriptions (Greer and Yeager, 1967; d'Errico and Vanhaeren, 2002). Sex and age determination were based on criteria available in the literature (Greer and Yeager, 1967; d'Errico and Vanhaeren, 2002; Giering, 2018). Specifically, the sex of the animal was established based on 1) the morphology of the tooth and 2) the root width/thickness ratio (d'Errico and Vanhaeren, 2002). Owing to the absence of a complete root, the age of the animal was based on qualitative features, i.e., the stage of occlusal wear and the presence of the disto-linguo-cervical lobe on the crown (d'Errico and Vanhaeren, 2002). Throughout the text, the tooth is described by its anatomical nomenclature (Hillson, 2005; White and Folkens, 2005). Metric data was collected using a digital caliper and rounding the number to 0.01 mm; they include the maximum length, width, and thickness of the object as well as the root width and thickness.

2.2.2 Technological and Use Wear Analyses

The archaeological specimen was observed under microscope with a Nikon SMZ1500 stereomicroscope equipped with a Nikon CoolPix 900 digital camera at magnification ranging between 7.5–112.5x. The anthropogenic modifications observed on the tooth were identified based on criteria established in the literature (Shipman and Rose, 1983; Bromage and Boyde, 1984; d'Errico, 1995; Dominguez-Rodrigo et al., 2009; Fritz, 2009; Zhanyang Li et al., 2019). Four types of modification were distinguished: 1) set of subparallel striations; 2) single-stroke incisions made by the unidirectional displacement of a lithic tool on the surface of the tooth; 3) multiple-stroke incisions made by the repeated unidirectional displacement of a lithic tool on the surface of the tooth; and, 4) multiple-stroke notches produced by a to-and-fro movement of a lithic tool on the surface (d'Errico, 2009; Rodríguez-Vidal et al., 2014).

To better test how the incisions were produced, experiments were conducted at the IVPP, and results were compared with descriptions of experimental and archaeological specimens (d'Errico, 1991; d'Errico, 1995; d'Errico, 1998; d'Errico, 2009; Fritz, 2009; Majkić et al., 2017; d'Errico et al., 2018a). Experimental notches and incisions were made using retouched and unretouched lithic tools. Three techniques were replicated, i.e., single- and multiple-stroke unidirectional incision, to-and-fro incision, on selected faunal remains, i.e., six racoon canines, four red deer incisors and a red deer ulna. The experimental specimens were coated with gold-palladium under vacuum and then observed and photographed with a Zeiss MA EVO25 Scanning Electron Microscope housed at IVPP in low vacuum mode by using an accelerating voltage of 15 kV.

The qualitative data recorded for each notch and/or incision includes: the position of the starting and ending ends; the shape of the outline and section; presence of steps on the walls of the incision; presence of internal and/or side striations. The starting and ending points of single-stroke incisions, or multiple-stroke unidirectional incisions, are easily distinguishable from morphological features. When the tool comes into contact with the surface, it creates a “head” with clean edges, while the decreasing pressure exerted by the engraver toward the end of the movement usually generates a shallower “tail” end (d’Errico, 1989; Marshack and d’Errico, 1989; Fritz, 2009; Henshilwood et al., 2009; Majkić et al., 2018). When a notch is produced by a to-and-fro movement, its shape, section, and the morphology, depth and orientation of the last micro-striation may be used to establish the ending end; it is, however, impossible to establish the starting end owing to the obliteration of this information in the notching process (Fritz, 2009; Rodríguez-Vidal et al., 2014; d’Errico et al., 2018a).

A 3D model reconstruction was obtained by scanning the tooth with a GE v|tom|x m300&180 micro-computed-tomography scanner (GE Measurement and Control, Wunstorf, Germany), housed at the Key Laboratory of Vertebrate Evolution and Human Origin of Chinese Academy of Sciences, Beijing. The acquisition was carried out with a 180 kV nanofocus tube and the following beam parameters: 110 kV and 140 μ A. The resolution of the cubic voxel is 8.383 μ m. Two frames per projection were acquired at a time interval of 1,000 ms. A total of 1,800 projections were taken at 360°. The reconstruction of the 3D model was done with VGstudio3.5 Max software and measurements were collected with GOM 2016 software on the reconstructed model. These measurements include the angle and the maximum length, width, and depth of the notches and incisions present on the tooth, their orientation relative to the main axis of the tooth, the distance between each notch or incision belonging to the same set, and the maximum and minimum radius of the perforation.

The technique used to perforate the tooth was established based on criteria proposed by Werner and Miller (2018) and Yang et al. (2018). Morphometric and technological attributes recorded for the perforation include the position of the perforation relative to the root’s width, i.e., at the center, towards the lingual or labial aspect, the presence of concentric striations on the wall of the perforation, as well as the presence and location of a use wear polish. This data was compared with the literature to establish how the ornament was worn (Vanhaeren and d’Errico, 2002; Vanhaeren and d’Errico, 2003; Vanhaeren and d’Errico, 2005; Vanhaeren and d’Errico, 2017; Rigaud, 2011; Wei et al., 2017).

2.2.3 Residue Analysis

Observation of the archaeological specimen under microscope revealed the presence of sediments as well as red and black residues still adhering to the object. Red residues were concentrated around the perforation while the black ones were present on the root of the specimen. Samples were collected under a reflected light microscope using single-use sterilized scalpels. Red residues were sampled from two small areas near the

perforation. A further four samples were collected for comparison from areas covered with sediments that appeared to lack red residues. Finally, two small samples adhering to the root were also collected, i.e., one of the black residues and one of sediments apparently lacking black residues.

Red residues and the associated control samples were analyzed by Raman and laser-induced breakdown spectroscopy (LIBS). Raman analysis was performed with a HORIBA LabRAM HR Evolution housed at the University of Science and Technology, Beijing. The samples were analyzed with an excitation wavelength of 532 nm at 2 mW laser power, using a 1800 gr/mm grating and an integration time of 10 s and 2 co-additions. The spectrometer worked in a spectral range from 100 to 4,000 cm^{-1} , with a spectral resolution of 0.67 cm^{-1} . Data were collected with LabSpec 6 software (HORIBA) and processed with OriginPro 2016. The mineral identification was based on comparison of the acquired spectra with the online spectra library available at <https://rruff.info> (RRUFF ID: X050102, mineral hematite from Michigan, United States). LIBS analyses were performed using a J200 LIBIEC 6 system (Applied Spectra Inc., Fremont, CA, United States) housed at the IVPP. The laser ablation was done with a 1,064 nm Nd:YAG laser operated at a frequency of 20 Hz for a duration inferior to 7 ns. The laser pulse energy obtained by the sample was 50 mJ over a spot size of 80 μ m. The plasma emission from the sample was collected and analyzed using an AvaSpec-ULS2048 spectrometer (Avantes, Netherlands) equipped with six CCD detectors. The gate delay for the experiments varied from 50 ns to 1 ms. Within one measurement, the LIBS spectra combined data from 20 laser shots to improve the signal-to-noise ratio. Elemental identification was established by comparing the spectra with data included in the TruLIBS database provided in the Aurora software (Axiom 2.1, Applied Spectra, Fremont, CA, United States).

Black residues and the associated control sample were analyzed by SEM-EDS. Samples were analyzed with the Zeiss MA EVO25 Scanning Electron Microscope housed at IVPP in low vacuum mode using an accelerating voltage of 15 kV. Backscattered electron images were collected with a HDBSD detector. EDS spectra were obtained with an Oxford X-act detector. Each sample for the EDS analyses was observed under magnifications ranging from 100X to 150X. The working distance was kept constant at 8 mm and acquisition time was set up to 60 s for each EDS spectrum.

3 RESULTS

The tooth reported here measures 16.4 mm in length, 11.1 mm in width and 6 mm in thickness. The root apex is missing and only a small portion of the perforation remains. Both the left and right sides of the perforation display recent fractures, which suggests this damage occurred either during the recovery or the curation of the object. On each side of the root, a series of notches can be observed. On the occlusal surface, the tooth bears three sets of incisions. The first consists of four incisions making a hashtag pattern. The second and the third are found on either sides of the hashtag pattern and respectively correspond to three subparallel incisions on the

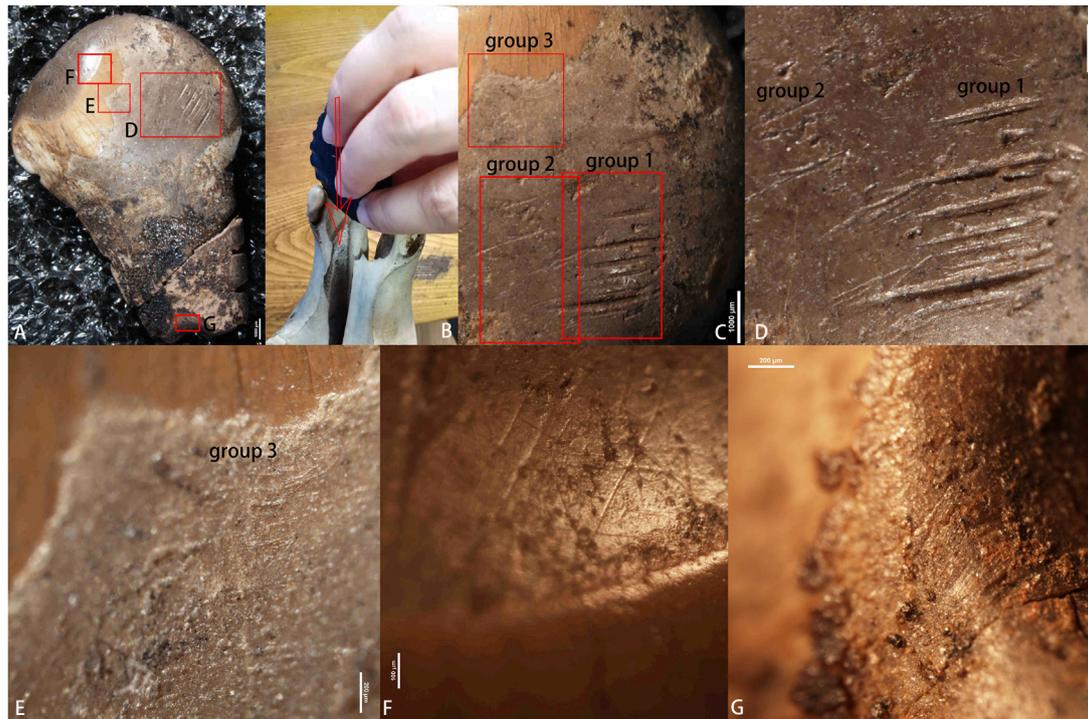


FIGURE 3 | (A) Location of the polish, scraping and possible use-wear; (B) Imitation of how scraping was produced on lingual surface of the canine; (C) Location of three subgroups of the scraping; (D,E) Close-up view of the scraping; (F) Intense polish associated with multidirectional thin striations on the occlusal surface; (G) Extremely thin striations at the periphery of the perforation. Scales: (A,C) 1,000 μm , (D) 500 μm , (E,G) 200 μm ; (F) 100 μm .

surface that connects the anterior and occlusal aspects and two subparallel incisions on the surface that connects the posterior and occlusal aspects. Black residues are preserved on the surface of the root, while red residues are restricted to areas on the margins of the perforation (Figure 2).

3.1 Taxonomic, Sex and Age Determination

Comparison with reference collections indicate the tooth belonged to a red deer. Based on its morphological characteristics, i.e., a nearly rectangular crown, a V-shaped root, and remnants of the disto-linguo-cervical lobe, it can safely be attributed to a right upper canine of an adult hind (Greer and Yeager, 1967; d'Errico and Vanhaeren, 2002). The sex determination of the individual is further supported by the root width/thickness ratio that is lower than 2, i.e., 7.9 mm in width and 4.5 mm in thickness (d'Errico and Vanhaeren, 2002). Owing to the fragmentary state of the tooth which prevents to record the tooth actual length, it is not possible to determine the precise age of the hind using regression equation (d'Errico and Vanhaeren, 2002). Nonetheless, an occlusal wear facet is clearly visible on the crown albeit not yet extending to the crown edges, and the DLCL is mostly preserved. Based on modern reference collections documented by d'Errico and Vanhaeren (2002), this combination of features is usually observed in hinds aged between 6 and 11 years-old.

3.2 Technological Analysis

The technological analysis reported here aims to document the main issues: 1) how the tooth was procured; 2) what techniques were used to make the perforation; 3) through which process was the decoration achieved; and, 4) how was the pendant worn.

3.2.1 Tooth Procurement

On the lingual aspect of the tooth, between the crown and the root, three clusters of scraping marks are present in the form of sub-parallel-more or less superficial-striations (Figure 3A). Their micro-morphology, i.e., V-shaped with internal and side striations, indicates they were produced by the edge of a retouched lithic tool. Judging from the location of the incisions' tail end, the tool repeatedly got into contact with the tooth while the butcher cut through soft tissues along the maxillary main axis, i.e., from the anterior to the posterior aspect of the tooth (Figure 3B). The scraping marks are deeper toward the root of the tooth than toward the occlusal surface (Figures 3C,D,E). The presence of these scraping marks on the lingual aspect of the tooth suggests the skeletal element was extracted from a recently hunted hind rather than collected from a carcass. Indeed, heads of dead red deer tend to quickly lose their canines as the gingival cuff and periodontal ligaments decay. The scraping marks indicate the gingival cuff was sufficiently fresh to maintain the root in its

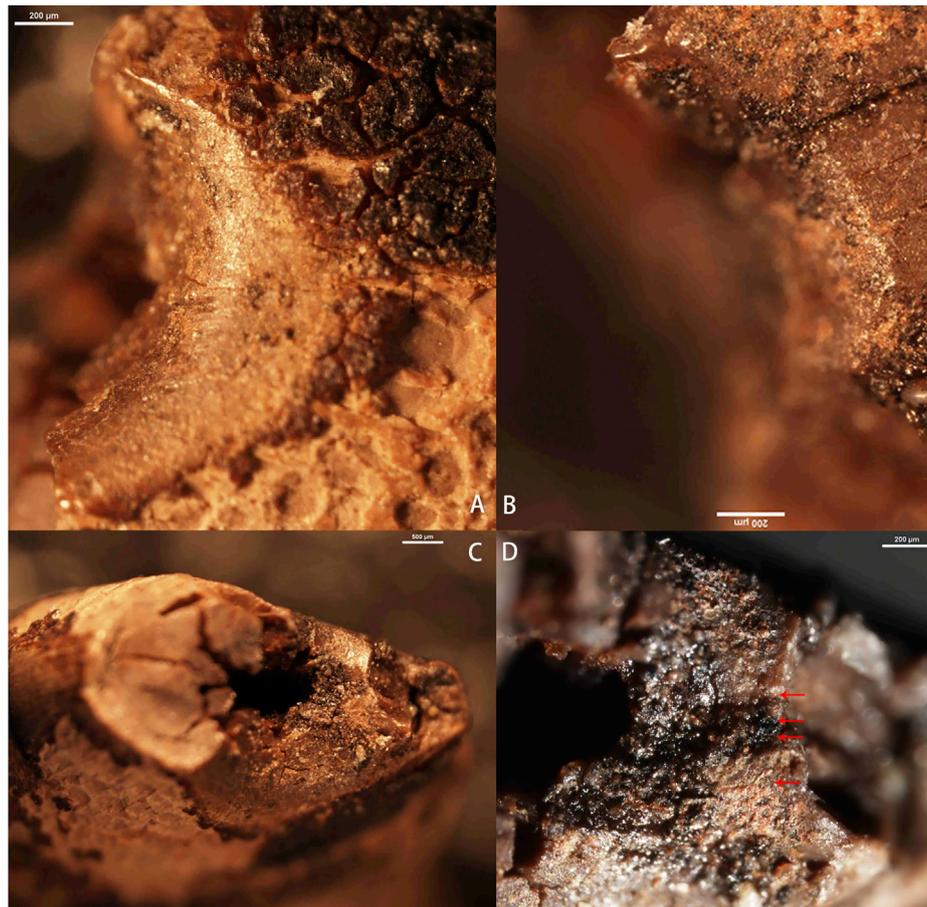


FIGURE 4 | (A) Conical perforation on the lingual surface; (B) Conical perforation on the labial surface; (C) Remaining part of the broken perforation; (D) Concentric striations in the middle part of the perforation surface. Scales: (A,B,D) 200 µm, (C) 500 µm.

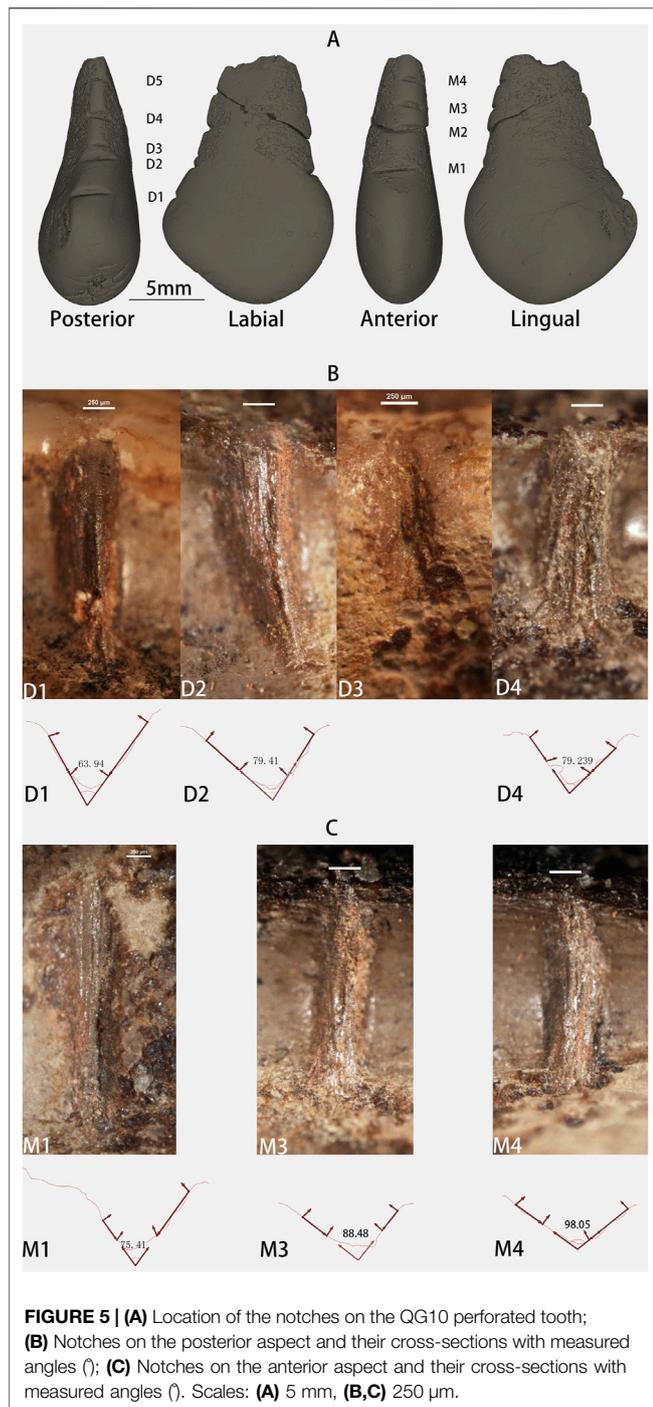
funnel-shaped cavity and needed cutting for the tooth to be removed (for a similar behavior from the Aven des Iboussières hunters, see d’Errico and Vanhaeren, 2002).

3.2.2 Perforation Technique

Most of the perforation is missing owing to a recent fracture; approximately a quarter of the circumference is still intact. The remaining perforation features provide sufficient clues to establish its manufacturing techniques (Figure 4C). The hole is biconical in cross-section. The opening on the lingual aspect is more flared than the one on the labial aspect (Figures 4A,B). Their respective maximum radius is 1.51 and 0.89 mm; the radius at the junction of the two cones is 0.77 mm. In cross-section, the perforation originating from the lingual surface extends to more than three quarter of the root thickness. The perforation was most likely round in shape. Its walls are smooth and even; no irregularities can be seen on what remains from it. Most of the perforation walls and edges are smoothed (see Section 3.2.4 Use wear below). Only a few concentric striations in the middle part of the perforation can be observed (Figure 4D). No superficial

striations were observed on the edges of the perforation; some may be covered by the residues present around it but their removal was not attempted.

Based on the aforementioned observations, it appears the tooth was perforated with a conical-shaped lithic drill. The ornament maker likely positions the tooth with its labial aspect facing the ground to make the perforation in the middle of the root. Facing this aspect to the ground would have eased the initial stages of the process as it is flatter. Indeed, the concave outline of the lingual aspect would have provided a less stable surface to apply force onto the tooth while drilling and increased the chance of breaking the root in the process (Gwinnett and Gorelick 1991). Given the outline of the perforation, the drill bit appears to have made full rotations rather than partial ones. This motion can only be achieved if the lithic implement was hafted on a composite tool instead of being hand-held (Werner and Miller, 2018; Yang et al., 2018). When the perforation opening became visible on the labial aspect, the maker turned the tooth around and enlarged the newly created hole with the same technique.



3.2.3 Decoration Techniques

The QG10 perforated red deer canine bears a decoration consisting of five sets of notches and incision. A series of five and four notches is visible respectively on the posterior and anterior aspect of the tooth. A fracture propagates obliquely on the root from the fifth notch on the posterior aspect (notch D5, **Figure 2** and **Figure 5**) to the second notch

on the anterior aspect (notch M2, **Figure 2** and **Figure 5**), respectively, therefore preventing their complete description.

The notches are short and, with the exception of D3, deep. Their cross-section is V-shaped, their walls lack any distinct steps and they all exhibit a rounded end and a fringed one on the opposite end (**Figures 5B,C**). Comparison with the notches produced experimentally in the present study (**Figures 6A-F**) and those published in the literature indicates they were produced by the repeated unidirectional sliding of an unretouched lithic edge on the surface of the tooth (Fritz, 2009; Rodríguez-Vidal et al., 2014; d'Errico et al., 2018a).

The notches on the posterior aspect of the tooth share a number of characteristics that allows to infer the sequence in which they were produced. The ends located toward the lingual aspect of the tooth are fairly round while the opposite ends, i.e., toward the labial aspect, are fringed and often end in a tail pointing toward the root apex. This combination suggests the notching motion was initiated from the lingual aspect of the tooth and ended toward the labial aspect. The further away from the crown and closer to the root apex the notch is, the thicker and coarser the striations within the notches become. This observation holds as well for D3, which presents striations coarser than D2 but thinner than D4. Interestingly, whenever this information is available, the angle of the notches wall also increases for notches located closer to the root apex compare to those located near the crown (**Table 1**). Considering the maker of this decoration likely used an unretouched lithic tool, it seems reasonable to suggest the first notch was made on the root near the crown and each following notch closer to the root in a single session, perhaps with the same tool. In the process, the micro-chipping of the lithic edge would have resulted in the production of wider notches and produced coarser striations within the notches. When considering the juxtaposition of notches from the crown to the root apex as well as the beginning and end points of the movement, it would appear the person who made this set was right-handed (d'Errico, 1992; d'Errico et al., 2018a). If this is the case, the person would have initiated the notch D3 but interrupted in the middle of the process, perhaps because he/she considered it was too closed to notch D2 (**Table 2**).

A broadly similar pattern is observed for the series of notches on the anterior aspect of the tooth. They too were produced by the repeated unidirectional displacement of an unretouched lithic edge on the root. Judging from the position of the tail end pointing toward the root apex, the gesture was initiated from the labial aspect and ended toward the lingual aspect of the tooth. The striations within notches M1-M4 become coarser the closer when get near the root apex. Similarly, the angle of the notch walls also increases for notches located closer to the root apex (**Table 1**). As it was the case for the previous series of notches, this one also appears to have been produced in a single session, starting from the crown and moving toward the root apex by a right-handed individual.

A close comparison between the two sets of notches suggests the all nine notches were made in a single session. There is a clear continuum in the value for the angle of the notches' walls and the

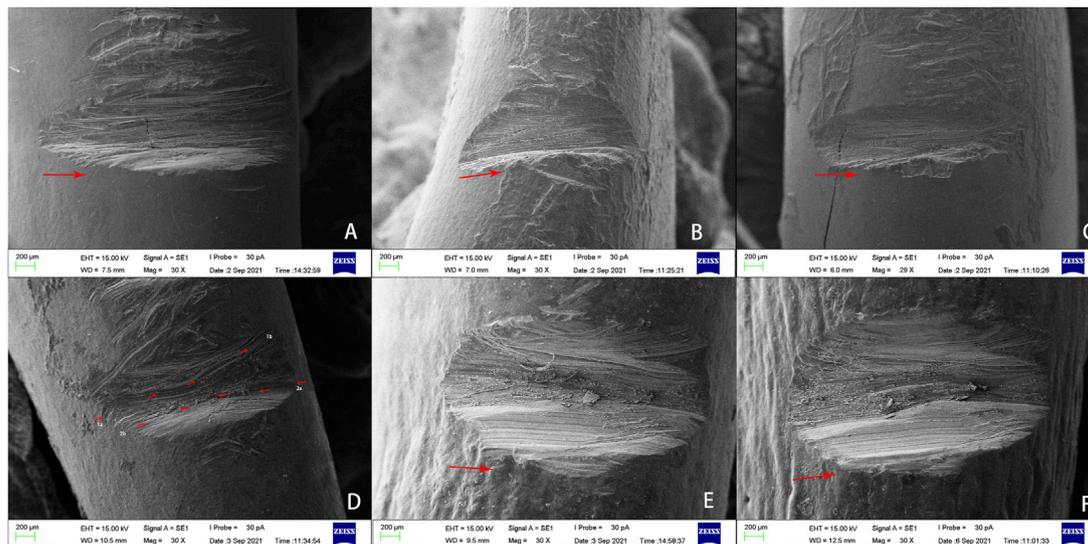


FIGURE 6 | SEM micrographs of the experimentally produced notches. (**A,B,C**) Notches made by repeatedly passing an unretouched flake into the grooves in the same direction (arrows indicate the direction of the movement); (**D**) Notch produced by a to-and-fro movement of an unretouched flake; notice the different orientations of the striations; (**E,F**) Notches made by repeatedly passing a bifacially retouched flake into the grooves in the same direction (arrows indicate the direction of the movement). Notice the U-shaped cross-section and the presence of multiple steps on the fracture walls. Scales: 200 μ m.

TABLE 1 | Morphometric and technological data on the notches incised on the QG10 perforated red deer tooth.

Notch Position	No.	Head Location	Tail Location	Section	Shape	Step	Internal striation	Side striation	Length (mm)	Mid width (mm)	Depth (mm)	Orientation relative to the main axis	Angle of the notch's wall
Anterior	M1	Labial	Lingual	SY	V	No	Yes	No	2.722	0.562	0.306	85.12°	75.41°
Anterior	M2	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	85.33°	NA
Anterior	M3	Labial	Lingual	SY	V	No	Yes	No	1.901	0.577	0.272	89.98°	88.48°
Anterior	M4	Labial	Lingual	SY	V	No	Yes	No	1.779	0.606	0.261	92.68°	98.05°
Posterior	D1	Lingual	Labial	SY	V	No	Yes	No	2.166	0.58	0.336	94.93°	63.938°
Posterior	D2	Lingual	Labial	SY	V	No	Yes	No	2.238	0.783	0.346	86.31°	79.41°
Posterior	D3	Lingual	Labial	SY	V	No	Yes	No	1.108	0.496	0.153	90.28°	NA
Posterior	D4	Lingual	Labial	SY	V	No	Yes	No	1.681	0.758	0.412	83.43°	79.239°
Posterior	D5	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	88.71°	NA

SY, symmetrical; V, V-shaped; NA, not available.

striations within the notches gradually become coarser from D1 to D5 and then from M1 to M4. This pattern indicates the individual started by making notches on the posterior aspect of the root, then flipped the tooth along its long axis to produce the second set, both times making the first notch close to the crown and gradually moving toward the root apex, while attempting to space them more or less regularly (Table 2).

On the occlusal aspect of the perforated tooth, three sets of deep incisions are distinguished. The first is composed of four incisions making a hashtag pattern (L1–L4; Figure 2 and Figures 7A,B,C). On either side of this pattern, a set of three and two subparallel incisions are present, respectively,

on the surfaces that connect the anterior (L5–L7; Figure 2 and Figures 7B,D) and posterior (L8 and L9; Figure 2 and Figures 7B,E) aspects to the occlusal aspect.

The incisions L1 and L2 are parallel to one another while L3 and L4 are slightly converging toward the lingual aspect of the tooth. The middle part of the hashtag pattern is partly missing owing to the thinning of the enamel in the center of the occlusal surface and exposure of the pulp cavity. Presence of sediments within the cavity and weathered fractures suggests an ancient origin of this damage. However, recent micro-fractures indicate the damage may have been slightly expanded during the recovery of the tooth and its curation. Each pair is obliquely crossing the

TABLE 2 | Distance between the adjacent notches incised on the QG10 perforated red deer tooth.

	Bottom distance between adjacent notches (mm)	Top distance between adjacent notches (mm)
D1-D2	2.55	1.864
D2-D3	0.897	0.328
D2-D4	3.069	2.314
D3- D4	2.337	1.516
D4-D5	2.645	2.016
M1-M2	2.583	2.138
M2-M3	1.643	1.009
M3-M4	2.041	1.364

occlusal surface and were produced by the displacement of a lithic tool from the labial aspect toward the lingual aspect of the tooth. Morphologically, the cross-sections of the incisions are V-shaped and asymmetrical to the left (**Table 3**); they display a steep right side and an oblique left side. The termination point of the incisions flares out toward the left. Microscopically, numerous micro-striations are visible within the incisions and some are also present on the side of L1, L3 and L4. Incision L3 overlaps L1 and L2, which indicates the latter two incisions were made prior to L3. It seems reasonable to assume that L4 was likely overlapping L1 and L2 originally. The four incisions appear to having been made with a sharp lithic retouched point (Majkić et al., 2017; d'Errico et al., 2018a; Zhanyang Li et al., 2019). In addition, their similar outline, length and internal morphology suggest they were produced in a single session, most likely by a left-handed individual (d'Errico et al., 2018a; Zhanyang Li et al., 2019).

Incisions L5–L9 are fairly similar (**Figures 7D,E**). The movement that produced them was initiated from the lingual aspect toward the labial aspect of the tooth. Morphologically, all five incisions are somewhat U-shaped and symmetrical with their termination end flaring toward the right. Microscopically, micro-striations are visible within each incision. The resemblance in the relative disposition of micro-striations within L5–L9 suggests the two sets of incisions were each made by a unidirectional stroke using the retouched edge of a lithic tool. Micro-chipping of the lithic edge could explain the small differences observed from one incision to the other. If this is the case, all five incisions would have been produced in a single session by a right-handed individual (Zhanyang Li et al., 2019).

3.2.4 Use Wear Analysis

The occlusal aspect of the tooth is covered with an intense polish, associated with multidirectional thin spindle-shaped striations (**Figure 3F**). Although a number of processes may have caused this, e.g., feeding behavior of cervids, use and manipulation of the object, post-depositional alteration, etc., it is possible, however, that the final stage of making the ornament entailed polishing it with a tanned hide, which produces similar alterations (d'Errico, 1993). Invasive polish is also observed on walls of the perforation. The presence of a tight cluster of extremely thin striations at the periphery of the perforation, oriented parallel to the long axis of the tooth

(**Figure 3G** and **Figure 4A**), indicates the possible use of a leather or sinew strap to fix the object on a garment. This intense polish completely obliterated most traces of manufacture, which suggests this object was used over a long period of time (Rigaud, 2011).

3.2.5 Residue Analysis

Red residues were detected on the margin of the perforation. Both the μ -Raman and LIBS analyses of the sampled red residues detected Fe-rich components (**Figure 8**). Comparison of the μ -Raman spectra with data included in the TruLIBS database confirmed the presence of hematite. The analysis of the control samples with LIBS, i.e., those apparently lacking red residues, also yielded spectra with peaks centered on Fe element albeit less intense than the two previous samples. This could probably be due to the presence of micro-particles of hematite in the sediment that were not visible under the microscope. Lumps of black-colored sediments were visible to the naked eye on all aspects of the root except on the two small, flat and smooth areas on the labial aspect. Their location never exceeds the cemento-enamel junction with the crown. SEM-EDS analysis of the sample reveals a K- Ca- and Na-rich elements indicating charcoal or charred organic material. The control sample of sediments without black residues sampled on the labial aspect of the root lacks K and Na altogether but contained P (**Figure 9, Table 4**).

4 DISCUSSION

Since the early Upper Paleolithic, animal teeth were commonly used to manufacture personal ornaments (Wissler and Duvall, 1908; Bordreuil, 1966; Kidd, 1986; White, 1989; Newell et al., 1990; Camps-Faber, 1991; Ladier and Welté, 1994; Giacobini, 1999; Pearson, 1999; d'Errico and Vanhaeren, 2002; Grinnell, 2003; Vanhaeren and d'Errico, 2003; Vanhaeren and d'Errico, 2005; Vanhaeren and d'Errico, 2006; Kuhn and Stiner, 2007; White, 2007; Giering, 2018; Tejero et al., 2020). These items, found at numerous sites including burials (Albrethsen and Petersen, 1976; Rainio and Mannermaa, 2014; Rainio et al., 2021), were surely shaped and assembled carefully to embellish the garments of prehistoric groups. Specific codes relating to where and how personal ornaments were placed on the body could have facilitated the communication of information on the individual and group identity as well as his/her status within that group. Furthermore, the number of adornments likely signaled the individual wealth as some ethnographic accounts suggest (McCabe, 1982; O'Shea and Zvelebil, 1984).

Throughout prehistory, numerous taxa were targeted as providers of teeth considered fit for making personal ornaments. Among them, cervid canines appear to have been subject of a particular preference (Giacobini, 1999; d'Errico and Vanhaeren, 2002; Taborin, 2004; Vanhaeren and d'Errico, 2003; Vanhaeren and d'Errico, 2005; Vanhaeren and d'Errico, 2006; Fernández and Jöris, 2008; Tejero et al., 2020), perhaps owing to their rounded shape that is in stark contrast with other animal



teeth, e.g., carnivore canines and herbivore incisors. Although their significance in symbolic systems from the remote past is likely impossible to uncover, some historical accounts suggest they were probably used as trophies (Greer and Yeager, 1967; Barge-Mahieu and Taborin, 1991) or prized possessions associated to good health, luck (Kidder, 1957; Dubin, 1999) and longevity (Densmore, 1918; Loendorf, 2010; Giering, 2018). The peculiar place occupied by cervid canines in past symbolic systems is further supported by the occasional discoveries of personal ornaments made from other raw materials, e.g., bone, antler, ivory or stone, and transformed into shapes that mimic this skeletal element (Choyke, 2001; Vanhaeren and d’Errico, 2006; Fernández and Jöris, 2008; Giering, 2018; Tejero et al., 2020, 2021). Making a cervid canine-shaped ornament from these materials would have been a time-consuming task, and such enterprise surely highlights the important value attributed to this item that went

beyond simple aesthetic considerations in past symbolic systems (Kidder, 1957; Bar-Yosef Mayer, 2020; Tejero et al., 2020).

Research on the variability of personal ornamentation practices, i.e., both the report of the ornament types and the detail description of the manufacturing process and use, is still at an incipient stage in China. Only a little more than a dozen sites yielded personal ornaments, and a handful of assemblages have been subjected to detailed analysis (Wei et al., 2016, 2017; Pitarch Martí et al., 2017; d’Errico et al., 2021). When cervid canine are concerned, only two sites had yielded such items until the present research, i.e., Zhoukoudian Upper Cave (Pei, 1939; d’Errico et al., 2021) and Xiaogushan (Huang et al., 1986). Our analysis of the QG10 perforated red deer canine contributes to documenting the technological know-hows solicited in the manufacture and use of this aspect of material culture, and providing a new outlook of the diversity in the adornment systems of the human groups that

TABLE 3 | Morphometric and technological data on the incisions engraved on occlusal aspect of the QG10 perforated red deer tooth.

No	Start Location	End Location	Section	Shape	Step	Internal str.	Side str.	Length (mm)	Width (mm)	Depth (mm)	Orientation relative to the tooth main axis
1	Labial	Lingual	AL	V	No	Yes	Yes	4.672	0.33	0.071	66.41
2	Labial	Lingual	AL	V	No	Yes	NA	4.528	0.321	0.061	53.42
3	Labial	Lingual	AL	V	No	Yes	Yes	5.319	0.345	0.084	117.94
4	Labial	Lingual	AL	V	No	Yes	Yes	5.401	0.338	0.057	122.09
5	Lingual	Labial	SY	U	Yes	Yes	No	1.799	0.207	0.129	81.07
6	Lingual	Labial	SY	U	Yes	Yes	No	2.358	0.438	0.234	82.92
7	Lingual	Labial	SY	U	Yes	Yes	No	2.636	0.359	0.159	78.76
8	Lingual	Labial	SY	U	Yes	Yes	No	1.732	0.287	0.041	93.557
9	Lingual	Labial	SY	U	Yes	Yes	No	1.312	0.296	0.053	96.029

AL, Asymmetrical to the left; SY, symmetrical; str., striations; V, V-shaped.

lived in arid and semi-arid regions of Northern China during the Late Glacial period.

The first step in making a personal ornament consists in acquiring the raw material. When dealing with animal teeth, it is relevant to compare the taxa selected as provider of teeth with the faunal assemblage at the site to establish whether these animal species were available in the environment. At QG10, the faunal assemblage is dominated by *Lepus* sp. and *Equus przewalskyi* followed by *Procapra przewalskii*, *Vulpes* sp., and Cervids (Jingwen Dai, 2021, personal communication). It is rather surprising to have found only a single perforated tooth in the assemblage, moreover a red deer canine, considering that foxes and horses teeth were also used to make perforated ornaments in other regions of Eurasia in the Palaeolithic (Goutas, 2004; Vanhaeren and d'Errico, 2006; d'Errico and Vanhaeren, 2015; Shunkov et al., 2020). This observation either suggests red deer teeth were preferentially targeted by members of this tradition, or that the tooth was obtained through some form of social exchange (see below). The QG10 specimen bears clear traces of scraping on its lingual aspect which suggests that, given the fast decaying process of cervid gums and periodontal soft tissues, the tooth was extracted from the maxillary of an adult female red deer shortly after it was hunted.

The second step relates to the modification of the tooth to allow its suspension on the body. After cleaning the tooth, the QG10 artisan stabilized the red deer tooth with its labial aspect facing the ground and initiated the perforation using a composite drill tipped with a lithic point. When the opening of the perforation became visible on the labial aspect of the tooth, the object was turned and the same technique was applied to enlarge the perforation and allow a thread to be inserted in it. The QG10 is the first mammal teeth ornament from Northern China perforated with a lithic point hafted onto a drill. This technique, however, was not a novelty in the technological repertoire of Late Paleolithic populations living in this vast region. Indeed, the OESBs found at the Shuidonggou site complex (Wei et al., 2016, 2017; Pitarch Marti et al., 2017) as well as some limestone beads and a pebble made of volcanic rock from Zhoukoudian Upper

Cave (Pei, 1939; d'Errico et al., 2021) were also perforated by hafted-drilling. It is worth noting that, at Zhoukoudian Upper Cave and at Xiaogushan, the perforation of mammal teeth most often entailed incising the root through a variety of techniques and regularizing the edges of the hole by hand-held rotation (d'Errico et al., 2021). It would appear that, sometime between 25 and 13 ka, changes in the technological system allowed the artisans to transfer this drilling technique to the manufacture of beads made of animal teeth. We hypothesize that this change must be searched in the lithic component of the technological system. Indeed, the generalized manufacture of microcore and microblade technology after *c.* 25 ka considerably diminished the size of the lithic tools available to the Late Glacial human groups. Evidence from bone technology suggests these small implements were components of complex composite technologies (Yi et al., 2013, 2021; d'Errico et al., 2018b; Zhang et al., 2018). Hafting a microblade onto a composite drill would have therefore allowed the makers of personal ornaments to perforate the small root of the tooth in a precise and effective manner.

Establishing how the QG10 perforated red deer canine was worn is rather difficult owing to the recent fracture of the root apex. What remains from the perforation is nonetheless informative to that regard. Indeed, an invasive use wear has almost completely obliterated the micro-striations resulting from the drilling of the perforation. The location of this use wear is peculiar. If the bead was suspended on a necklace, the use wear would have developed on the opposite side of the perforation, i.e., the one that is currently missing as a result of the fracture. If the bead was fixed on clothing with nots or tied with two threads, the use wear would have developed on either side of the perforation, which are also missing. By deduction, it would appear that the QG10 tooth was fixed on the body, or garment, with its crown facing upward. However, such suspension system would likely have required to use some form of adhesive to maintain the bead in place despite the movements of its wearer. The hematite around the perforation and charcoal compound on the root may be remnants of such adhesive recipe. Glues with similar chemical composition were

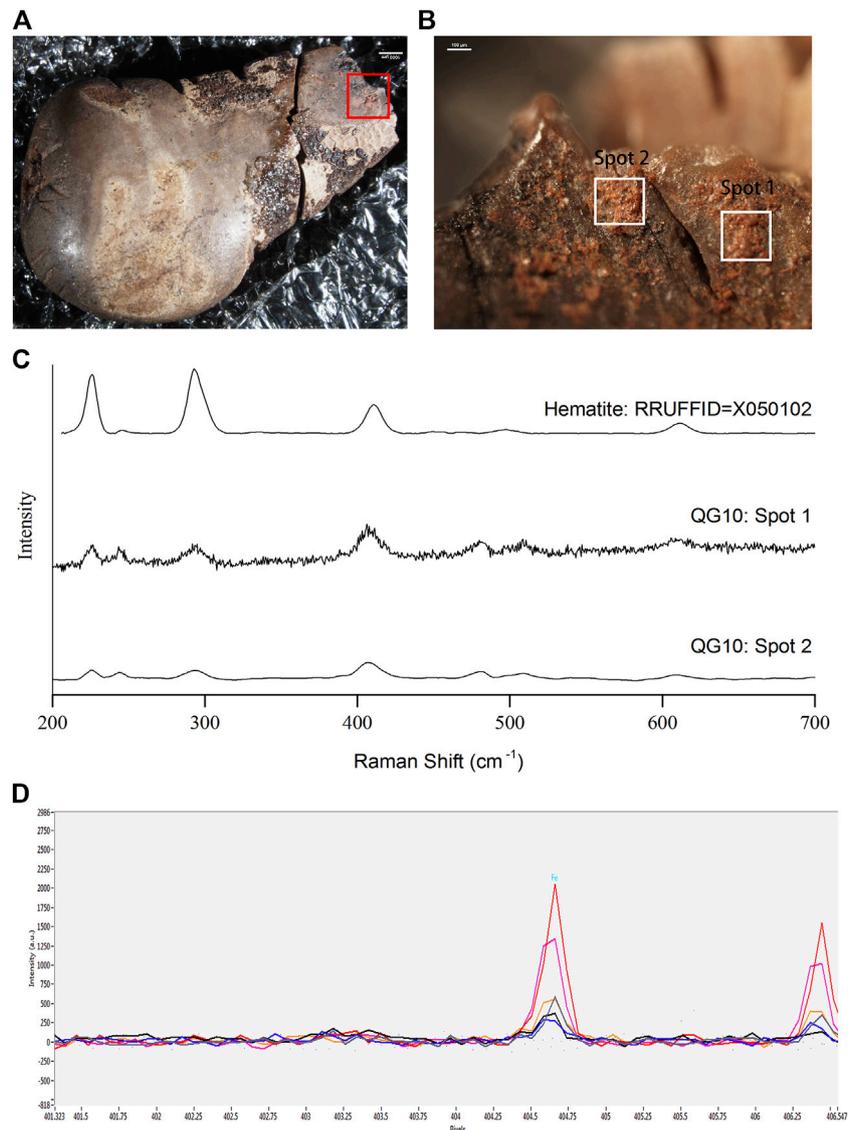


FIGURE 8 | (A) Location of the red residues on the tooth; **(B)** Areas in which red residues were sampled; **(C)** Results of the analysis of the red residues by Raman spectroscopy; **(D)** Results of the analysis of the red residues by LIBS (the pink and orange lines are for the two sampled spots of the red residues and other lines are for the sampled spots from areas seemingly lacking red residues). Scales: **(A)** 1,000 μm , **(B)** 100 μm .

suggested for fixing beadworks from Serbia (Cristiani and Borić, 2012; Cristiani et al., 2014) and Germany (Rigaud, 2011; Rigaud et al., 2014). The use of red/brown adhesive compounds in beadwork has also been observed among North American Plains indigenous tribes practicing ornamental embroidery (Sturtevant, 1978; Dubin, 1999).

The technological and morphometric analysis of the decorations present on the QG10 tooth reveals surprising information on the individuals who came into contact with this object prior to its deposition. The decoration consists of two sets of notches located respectively on the anterior and posterior aspects of the root, a hashtag pattern on the middle of the occlusal aspect of the tooth bordered by two sets of respectively two and three incisions. Differences in the tools

and techniques used to make the marks as well as the handedness of their makers suggest at least two, perhaps three, individuals further modified the tooth after its perforation. One left-handed individual made the hashtag pattern in a single session on the occlusal surface, and one, perhaps two, right-handed individuals made the notches on the root and the incisions that bordered the hashtag on the occlusal surface. The individual(s) who(m) made these latter markings made the notches in a single session with the same tool and the incisions with a different tool. It is not clear, however, whether the notches and incisions bordering the hashtag were made during the same session albeit with a change of tool and technique. Given the large number of Paleolithic perforated red deer canines documented in the literature, those bearing

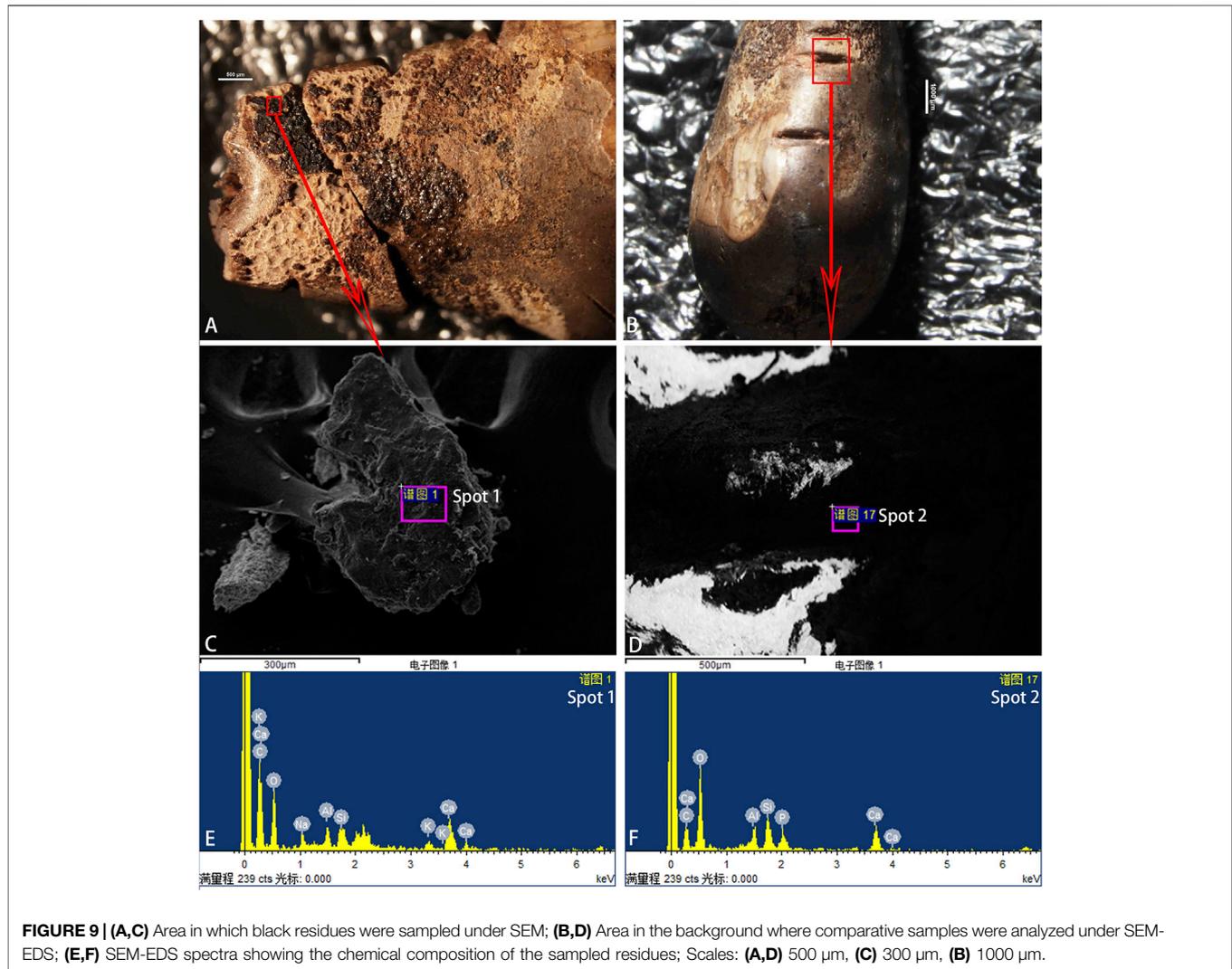


TABLE 4 | Data for the elemental composition of the black residues and control sample analysed with SEM-EDS.

Elements	Black residues		Control sample	
	Weight %	Atomic %	Weight %	Atomic %
C	2.63	4.28	22.47	31.17
O	60.27	73.61	55.04	57.32
Na	4.73	4.02	—	—
Al	5.16	3.74	3.14	1.94
Si	4.97	3.46	5.89	3.5
K	4.04	2.02	—	—
P	—	—	3.95	2.12
Ca	18.2	8.87	9.5	3.95
Total	100	100	100	100

sets of markings are relatively few. Most specimens come from Magdalenian (Vanhaeren and d'Errico, 2005) and Epipalaeolithic (d'Errico and Vanhaeren, 2002) burial contexts and from early Magdalenian sites from the Languedoc and Cantabrian coast

(Corchón, 1986; Sacchi, 1986). The making of such marking on a personal ornament probably acted as a way to signal its owners' identity (Vanhaeren and d'Errico, 2005), an information that would have been passed down from one individual to the next in the context of exchange. The idea that the QG10 perforated red deer canine represents an exotic item introduced in the site through social exchanges rather than by the hunting of a hind by QG10 visitors is reinforced by the fact that only a single item was found at the site. Furthermore, although present in the faunal assemblage, red deer remains are relatively few compared to other species, which likely reflects the biogeographic characteristics of the region during the Late Paleolithic (Norton et al., 2011; Pei et al., 2012; Gao et al., 2013; Feng Li et al., 2019).

In their recent synthesis, d'Errico et al. (2021) identified two geographically distinct traditions, east and west of the 112°E longitude, in ornamentation practices during the Late Paleolithic in North China. Geographically, QG10 is located within the region of the western tradition. However, typologically, QG10 is somewhat of a hybrid. On the one end, OESBs were found at the site, moreover in the same layer that yielded the item studied here. On the other hand,

personal ornamentations made of perforated mammal teeth are in fact characteristic of the eastern tradition. Moreover, the eastern tradition seems to disappear from the Northern Chinese archaeological record *c.* 25 ka. This raises the question as to what is the place of QG10 in this symbolic geography. We argue that the discovery reported here and the ensuing interpretation is coherent with d'Errico et al.'s findings, and emphasize the importance to investigate Late Paleolithic population dynamics in Northeastern Eurasia in general, and Northern China in particular. The timespan between the “disappearance” of the eastern tradition and the occupation of QG10 appears too large to propose their phylogenetic link. Indeed, if QG10 should be associated to the “eastern tradition” one would expect that some Northern Chinese sites aged between 25 and 13 ka and located east of the 112°E would have yielded perforated deer canine as well which is not the case at this time. On the other hand, in neighboring regions of China, red deer canines continue to be transformed and used as personal ornaments throughout the Upper and Late Paleolithic. Likewise, we cannot exclude the possibility that some members of the “western tradition” may have episodically decided to transform a deer canine into ornaments. However, given the know-how required to produce the QG10 specimen, which entails selecting and modifying the teeth, producing adhesives with a variety of mineral and organic ingredients, etc., it seems more likely that this complex knowledge was passed down through generations rather than being the results of a punctual experimentation. This idea is further supported by the decorations present on the bead, which were likely made by two, or perhaps three, individuals. Therefore, the most parsimonious interpretation for the presence of the single occurrence of a perforated red deer in the Ordos Plateau relates to social contacts and exchanges over long-distances. The origin of the tooth is perhaps to be found in Caucasus, Southern Siberia or Northeast Asia where similar items are found in the symbolic systems of hunter-gatherers living in these areas since the onset of the Upper Paleolithic (Derevianko and Rybin, 2003; Pitulko et al., 2012; Shunkov et al., 2020; Lbova, 2021; Tejero et al., 2021). Such contacts may have also favored the diffusion of microblade technology in Northern China (Keates, 2007; Kuzmin, 2007; Bae, 2010; Elston and Brantingham, 2002; Yi et al., 2016; Wang, 2018; Yue et al., 2021; Zhao et al., 2021) and participated in the intensification of the gene flow between Asian and Siberian populations during this critical period (Yang and Fu, 2018; Sikora et al., 2019). Future research combining various cultural and biological proxies may help provide a better understanding of these population dynamics and their effect on the cultural systems of Late Glacial human groups in North China.

5 CONCLUSION

Zooarcheological and technological analyses of the QG10 tooth pendant reveal it was made from a right canine of a recently killed adult female red deer. Microscopic features on the perforation suggest the hole was made by rotation with the help of a hafted drill. The pendant was further modified in at least two, perhaps three, occasions by individuals whom left sets of notches and incisions on its surface. A right-handed individual made two series of notches, respectively, on the

anterior and posterior aspects of the root in a single session. A left-handed individual made four incision in the middle of the occlusal surface crossing one another in a hashtag pattern. Finally, a right-handed individual bordered the hashtag pattern with five incisions, i.e., two on one side and three on the opposite one. Use wear on the perforation suggests the ornament was worn with the tooth crown facing upward. To ensure the stability of the beadwork, the tooth root appears to have been coated with an adhesive compound containing hematite and charcoal. Comparison with the Late Paleolithic ornamental traditions in Northern China suggests this particular specimen was obtained through social exchanges, perhaps from groups originating from the Caucasus, Southern Siberia or Northeastern Asia.

DATA AVAILABILITY STATEMENT

The original contributions presented in the study are included in the article/Supplementary Material, further inquiries can be directed to the corresponding author.

AUTHOR CONTRIBUTIONS

SZ, YZ, and FP designed the study. YZ, SZ, LD, FP, HW, JG, and XG conducted the study. YZ, LD and SZ wrote an initial version of the manuscript. All co-authors reviewed and made modifications to the final version of the manuscript.

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