



A Machine-Learning Based Tool for **Diagnosing Inland Tropical Cyclone** Maintenance or Intensification Events

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Tropical Cyclone Maintenance and Intensification (TCMI) is a generalized definition of tropical cyclones that strengthen or maintain intensity inland while maintaining tropical characteristics. Herein, a novel methodology, using a machine learning method was created to examine the tropical cyclone record to improve climatological representation of such cases. Using the International Best Track Archive for Climate Stewardship (IBTrACS) dataset, individual times of inland tropical cyclones were classified into TCMI and non-TCMI (weakening) events. The MERRA-2 dataset was applied to develop a prototypical machine-learning model to help diagnose future TCMI events. A list of possible TCMI storms for case studies in future analyses is provided. Two of these storms were examined for attributes characteristic of the Brown Ocean Effect, a hypothesized mechanism for TCMI centered on warm, moist soils, It was revealed that variables that were important at the time of storm arrival were important the prior day, which indicates that a TCMI event is a reaction to the environment. Moreover, the variables that were finally selected show a heavy emphasis on land-surface processes. This supports the idea that the accurate representation of the land surface state is critical to the accurate diagnosis of TCMI.

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1 INTRODUCTION

After tropical cyclones (TCs) make landfall, it is often assumed that the wind speeds decay, and damage is reduced. There are two types of progression that an inland tropical cyclone may take: extratropical transition (ET; Keller et al., 2018; Jones et al., 2003; Evans et al., 2017) or dissipation (Kaplan and Demaria, 2001; Shen et al., 2002). One exception to this categorization is the observation that some TCs intensify or maintain intensity while inland, albeit not indefinitely (Andersen and Shepherd, 2014; Brauer et al., 2021). These events are called TC Maintenance or Intensification (TCMI) events by Andersen and Shepherd (2014; hereafter referred to as AS14). Unlike AS14, the central pressure criteria was not considered. Decreases in pressure could be without meaning if the environmental pressure, which IBTrACS does not record, decreases proportionally thereby sustaining the pressure gradient. Often, the "Brown Ocean" effect (BOE), which hypothesizes that enhancements in surface enthalpy fluxes induced by antecedent soil moisture support the TC, is attributed or associated. Examples of these events include, but are not limited to, Tropical Storm Erin (2007; Evans et al., 2011; Kellner et al., 2012; Monteverdi and Edwards 2010), Tropical Storm Bill (2015), and an unnamed Tropical Depression in 2010 (Nair et al., 2019). The BOE may be a factor in non-TCMI events, as the surface roughness may reduce the intensity. That is, despite the presence of soil moisture and enhanced latent heat fluxes, the surface wind is still constrained by the properties of the surface.

TABLE 1 | Description of the machine-learning model and the constituent coefficients.

Variable Name	Variable	Coefficient	Standard Error	p-value	Coefficient units
Const	Constant	0.5955	1.051	0.571	N/A
BSTAR	Surface Buoyancy Scale	461.686	194.405	0.018	s ² m ⁻¹
PBLH	Planetary Boundary Layer Height	-0.0012	0.001	0.199	m ⁻¹
V250Prev	250 hPa Meridional Wind Velocity from the previous day	0.095	0.049	0.052	s m ⁻¹
PBLHPrev	Planetary Boundary Layer Height from the previous day	-0.0009	0.001	0.275	m ⁻¹
H1000Prev	Height of the 1,000 hPa level from the previous day	0.0076	0.008	0.323	m ⁻¹
BSTARPrev	Surface Buoyancy scale from the previous day	79.2888	119.757	0.508	s ² m ⁻¹
V250	250 hPa Meridional Wind velocity	-0.0826	0.06	0.167	s m ⁻¹
PRECTOTPrev	One hour accumulated precipitation from the previous day	1,597.887	911.807	0.08	kg ⁻¹ m ² s
U10M	10 m zonal wind velocity	0.1557	0.083	0.062	s m ⁻¹
LWLAND	Net longwave radiation from the land	0.0507	0.038	0.18	$W^{-1} m^2$
PRECTOT	One hour accumulated precipitation	370.3106	267.323	0.166	$kg^{-1} m^2 s$

TABLE 2 | Contingency Analysis and Brier Score of the machine-learning model on the test dataset and training dataset.

Metric	Test	Train	References	
Percentage of Hits (A)	25.24%	9.91%	N/A	
Percentage of False Positives (B)	12.62%	1.8%	N/A	
Percentage of Misses (C)	24.27%	17.12%	N/A	
Percentage of Correct Negatives (D)	27.86%	71.17%	N/A	
Climatological Probability of a Single TCMI event	49.51%	27.03%	N/A	
Probability of Detection	51%	36.66%	Doswell et al. (1990); Wilks (2006)	
False Alarm Rate	25%	2.5%	Wilks (2006)	
Clayton Skill Score	0.276	0.6523	Clayton (1927), Clayton (1934), and Wilks (200	
Brier Skill Score	0.2606	0.1566	Brier 1950; Wilks (2006)	
Odds Ratio	3.12	22.87	Stephenson (2000)	
Heidke Skill Score	0.1302	0.2081	Heidke (1926); Wilks (2006)	
Peirce Skill Score	0.2598	0.342	Peirce 1884; Wilks (2006)	
Equitable/Gilbert's Skill Score	0.1497	0.3827	Gilbert (1884); Wilks (2006)	

The number of TCMI events is not limited to these studies. Rather, those studies are only instances of the BOE. AS14 examined previous TCs to identify characteristics of TCMI events not found in ET events. AS14 considered the 1-month antecedent values of latent heat flux and supporting components. However, the immediate surface conditions have a large impact on the resulting structure of the TCs not just the environment of the previous month. In this study, the analysis will focus on the immediate environment of each location that TCMI events occurred and did not occur.

Section 2 describes the methodology used to identify TCMI events and describe a prototypical machine-learning model. Section 3 investigates the cross-validation of similar machine-learning models, as well as instances where the prediction and dataset do not match. Section 3 will also examine previously unexamined TCs. Section 4 explores potential uses of the machine-learning model, as well as potential pitfalls of the model.

2 DATA AND METHODS

TC location and intensity data were obtained from the International Best Track Archive for Climate Stewardship dataset (IBTrACS; Knapp et al., 2010; Knapp et al., 2018). Of those TCs, only those that occurred since 1980 were considered as that is the limit of the Modern-Era Retrospective analysis for Research and Applications, Version 2 (MERRA-2; Gelaro et al., 2017), which was used for the environmental conditions. Of the variables in MERRA-2, only the Single level variables (SLV), surface flux variables (FLX), and land-surface variables (LND) were considered. Not only were the variables at the time of the strike considered, but the variables 24 h prior were used. However, variables that were physically more relevant to reintensification, were more commonly measured, or more relevant to reintensification were considered. For example, total column ozone, a SLV variable was not considered as it is possibly unphysically related to tropical cyclones. Neither the root zone water content, a LND variable, nor the areal fraction of anvil showers, a FLX variable were considered, as both are undefinable outside of the model setting or are unmeasured.

Each datum that occurred 350 km away from the coast was considered, similar to AS14. Unlike AS14, however, this study does not compare ET events with TCMI events but rather TCMI events and TCs that decay. As long as the datum and the following datum's 'nature' were classified as a Tropical Storm or Disturbance, the datum was still categorized. The classification of the following datum is important as neglecting such a criterion may mean a miss-categorization of a TCMI storm as a TC undergoing ET. The change in the maximum wind speed (MUSD, or 'usa_wind' in IBTrACS) between the previous time





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FIGURE 6 | Map of the performance of the machine-learning model. Tropical Storms Bill (2015) and Erin (2007) have been identified as TCMI events, while Hurricane Dolly (2008) and Tropical Storm Hermine (2010) are described in the text.

TABLE 3 | Tropical cyclones that Underwent TCMI.

Non-North Atlantic Storms			North Atlantic Storms			
Season	Name	Number of TCMI events	Season	Name	Number of TCMI events	
1981	EDDIE	17	1980	ALLEN	1	
1984	FREDA	7	1982	CHRIS	2	
1987	IRMA	6	1983	ALICIA	1	
1989	KEN-LOLA:LOLA	12	1985	DANNY	13	
1990	LOLA	1	1985	ELENA	6	
1991	DAPHNE	14	1986	BONNIE	2	
1995	BOBBY	3	1988	FLORENCE	3	
1996	JACOB	1	1988	GILBERT	11	
1996	KIRSTY	18	1989	CHANTAL	3	
1996	ETHEL	12	1992	ANDREW	1	
1996	HERB	4	1994	ALBERTO	10	
1997	RACHEL	22	1994	BERYL	5	
					13	
1997	AMBER	2	1995	DEAN		
1999	BILLY	1	1995	ERIN	15	
2000	ROSITA	2	1995	JERRY	3	
2001	WINSOME	5	1996	FRAN	10	
2001	ABIGAIL	2	1997	DANNY	12	
2002	SINLAKU	1	1998	CHARLEY	2	
2003	DELFINA	2	1998	FRANCES	2	
2005	SANVU	1	1999	BRET	1	
2007	GEORGE	1	1999	DENNIS	2	
2007	JACOB	1	2001	BARRY	6	
2008	NOT_NAMED	1	2002	ISIDORE	4	
2010	LAURENCE	4	2003	BILL	6	
2010	PHET	1	2003	CLAUDETTE	2	
2010		1	2003	GRACE	4	
	GIRI				7	
2011	YASI	20	2004	FRANCES		
2018	HILDA	8	2004	IVAN	3	
2018	KELVIN	2	2005	ARLENE	8	
2018	YAGI	1	2005	DENNIS	45	
			2005	KATRINA	2	
			2005	RITA	2	
			2007	ERIN	14	
			2008	DOLLY	12	
			2008	EDOUARD	1	
			2008	FAY	3	
			2008	GUSTAV	9	
			2008	IKE	2	
			2010	NOT_NAMED	3	
			2010	HERMINE	11	
			2012	ISAAC	5	
			2012	BILL	17	
				CINDY		
			2017 2017	HARVEY	6 4	
			2017	IRMA	5	
			2018	ALBERTO	15	
			2018	FLORENCE	2	
			2018	GORDON	10	
			2019	BARRY	3	
			2020	AMANDA:CRISTOBAL	6	
			2020	LAURA	5	

and next time, a time span typically of 6 h, were calculated using centered differencing. If the MWSPD difference was greater than or equal to 0, the datum was classified as a TCMI event. If the MWSPD-change criteria was not met, the datum was classified as a non-TCMI event. If the datum occurred in the North Atlantic basin, it was used to test the developed machine-learning model. Otherwise, the datum was used to train the model coefficients. The data for TCMI and non-TCMI storms were consolidated by averaging the environmental variables of TCMI and non-TCMI events. This consolidation prevents the observational independence assumption of logistic regression models from being violated.

The function used to create the machine learning model was the "Logit," a diminutive of logistic regression, function in



statsmodels (Seabold and Perktold, 2010). Logistic regression fits a likelihood function for a binary variable to a multivariate logistic equation. Another possible description for logistic regression is an estimate of the probability of a binary event by identifying the coefficients of a multivariate linear equation functionally composed within a logistic function. The statsmodels function allows for the statistical model to be trained, applied, and provides the contribution of each variable to the equation along with a statistical description of the fitness of the regression. Candidate machine-learning models were developed iteratively going through sets of unmatched, potentially relevant variables to identify independent candidate variables for a final prototype machine-learning model, which was initialized as an empty set. Then the accuracy of each variable pair for both the test and training dataset was evaluated and stored under the condition that the variance inflation factor (VIF) was less than or equal to 10 (O'Brien, 2007). If a variable in the variable pair is included in the candidate variables, it is excluded for the test.

Afterwards, the most accurate candidate machine-learning models were selected. The accuracy metric utilized is the average of the Peirce Skill Score (Peirce, 1884; Manzato, 2007) between the test and training dataset subtracted by the absolute difference, with the same process applied to the Clayton Skill Score. Optimizing the combined Peirce Skill Score with a difference penalty produced reasonable values of other skill scores with minimal difference between the testing and training datasets. The subtraction of the absolute difference is to penalize overfitting of the training dataset. The most frequent variable that was shown in the most accurate candidate machinelearning models was used to train the next iteration of candidate machine-learning models, though the variable was excluded if the most frequent variable appeared previously in the set of candidate variables. The exclusion of a candidate variable may not always indicate that the machine-learning model is necessarily less accurate but may be a result of a reduction in the VIF. After



the Peirce Skill Score was optimized, variables with a p-value greater than 0.6 were removed.

While the prototypical machine-learning model may be useful for identifying TCMI events, it is not without flaw. On a dynamic note, the machine-learning model does not account for external influences, including localized intensification due to surface features, or horizontal influences. For example, Cyclone Kelvin (2018) has been shown to have maintained a warm core (Shepherd et al., 2021) over land but was more influenced by the horizontal advection of moisture rather than the BOE (Yoo et al., 2020). This may be the reason that the prototypical machine-learning model missed the TCMI of Kelvin. Along a similar line, this prototypical machine-learning model does not include extratropical cyclones, which derive energy from baroclinicity (Evans et al., 2017; Keller et al., 2018). Intensification due to the influence of surface features and topography (Miller et al., 2013; Coch, 2020) are also not considered.

The criteria allowed for a small number of non-North Atlantic storms 93) and an even smaller number of North Atlantic TCs 65) so the amount of data used to train and test the machine-learning model is very limited. Of those limited number of TCs, there were 40 TCs in the North Atlantic basin and a total of 20 TCs in other basins that were counted as both non-TCMI and TCMI cyclones. This may mean that more stringent criteria for discriminating between TCMI and non-TCMI events, beyond wind speed, may be necessary. Other choices, such as excluding subtropical cyclones, is open for critique. One criteria for TCs to be consideration that may be relaxed is the 350 km buffer from the coast, suggested by Andersen and Shepherd (2014), which excluded TCs such as Hurricane Gaston (2004; Franklin et al.,



2006) and Tropical Storm Helene (2000; Franklin et al., 2001) from being considered. The spatial criteria also does not prevent the TC under consideration from being influenced by the oceanic environment (Yoo et al., 2020).

3 RESULTS

3.1 Statistical Model

Table 1 provides a summary of the final statistical model.Variables ending with the suffix 'Prev' refer to variables from24 h prior. For reference, the equation for a logistic regressionmodel is

$$P = \left(1 + \exp\left[-\beta_0 - \sum_{i=1}^N \beta_i x_i\right]\right)^{-1}$$

where *P* is the probability of an event occurring, β_0 is the constant, β_i is the *i*th coefficient corresponding to the *i*th variable. While the pseudo-R squared of 0.1727 may be underwhelming, the other statistical measures of accuracy, described in **Table 2** are more optimistic. The relatively small Brier Skill Score indicates the need to assign a reference probability to discriminate between TCMI and non-TCMI events. The probability that was used to discriminate between TCMI and non-TCMI events was a naïve probability of 50%. There is a bias towards overpredicting TCMI events, as indicated

by the False Alarm Rate for both datasets being over 50%. Adjustment of the naïve reference probability of 50% may change the Probability of Detection, but also may increase the False Alarm Rate.

A positive coefficient indicates that the variable has a tendency towards promoting a TCMI event, while a negative coefficient is indicative of a reduction in the likelihood to a TCMI event. The positive coefficient with buoyancy scale (BSTAR) is indicative of the influence of the WISHE mechanism on the occurrence of TCMI events. The coefficient associated with the previous day's accumulated precipitation (PRECTOTPrev) indicates recently wetted soil, which may be promoting the BOE. The coefficient associated with the planetary boundary layer height at the analysis (PBLH) implies that less turbulent mixing increases the probability of a TCMI event. The meaning of the opposite sign between the coefficients associated with the previous day's meridional wind and the meridional wind at the analysis time is unknown, but there may be some level of bias within the wind variables, based on the gradient wind balance and TCs in opposite hemispheres. The decrease in the coefficient with height for the previous day's meridional wind with height (V500Prev and V250Prev) can be reinterpreted as the positive contribution of the mean wind and the negative contribution of the shear.

3.2 Verification Composite

Figure 1 shows the sea level pressure (SLP) relative to the IBTrACS location averaged over the contingencies produced



by the prototype machine-learning model. The centeredness is indicative that the TC position is represented well by MERRA-2. The central and environmental pressures are lower in the non-North Atlantic dataset than the testing dataset, indicating a systematic difference between the datasets. There are a couple of other systematic differences between the training and testing datasets. Another systematic difference is the roughness length (Figure 2), which is larger in the North Atlantic basin than in the other basins. It should be noted that in both datasets but particularly the training dataset, the number of constituent storms that had false positives is limited, which emphasizes individual storm characteristics. Cyclone Naomi 1993) and Cyclone Yasi (2011), which progressed over the sparsely vegetated Australian interior, were the only TCs that were false positives in the training dataset leading to a systematic difference in the roughness length. Both the systematic difference in SLP and Z0M lead to systematic differences in the maximum

wind speed (SPEEDMAX; **Figure 3**). The average storm that underwent TCMI had a lower SPEEDMAX than the storms that did. The eastern maxima in SPEEDMAX is due to the compound effect of the translation velocity and gradient wind balance.

Figure 4 shows the energy loss flux from interception (EVPINTR). For the testing dataset, the average EVPINTR is a good metric for determining inaccurate diagnoses, while it may improve the accuracy in the training dataset. However, including EVPINTR in the machine-learning model produced a larger *p*-value than the threshold. From a physical interpretation, EVPINTR is the amount of energy constrained to the surface by the presence of objects on the surface. EVPINTR disrupts the surface energy flux and reduces the buoyancy. This is seen in **Figure 5**, which shows BSTAR. BSTAR is one of the criteria variables within the machine-learning model that describes the role of the WISHE/BOE mechanism. In the testing dataset, the average BSTAR near the center of the TC is positive only in the



hits. This is one way of discriminating between hits and false positives in the North Atlantic basin.

3.3 Event Analysis

3.3.1 Discussion

Figure 6 shows a geographical distribution of the machinelearning model performance on the testing dataset. The machine-learning model does not predict TCMI events in southern Mexico even though TCMI events do occur in that region. There are several identifiable storms that have and have not undergone TCMI. Specifically, Tropical Storms Erin (2007) and Bill (2015) are storms that were identified by the prototypical machine-learning model, which are published instances of the BOE (Evans et al., 2011; Kellner et al., 2012; Arndt et al., 2009; Brauer et al., 2021). **Table 3** lists other storms that meet the TCMI classification. Below, we examine Tropical Storm Hermine (2010), which followed a similar path to Tropical Storms Erin and Bill, as well as Tropical Storm Dolly (2008), which eventually caused flooding in New Mexico.

3.3.2 Hurricane Dolly (2008)

Hurricane Dolly (2008) was a hurricane that formed in the western Caribbean Sea on 20 July 2008. After experiencing two brief landfalls near Cancun, Mexico and South Padre Island, Dolly experienced a final landfall on Texas on 23 July 2008 at 2000 UTC. **Figure 7** shows the trend in the intensity of Hurricane Dolly. After rapid weakening associated with landfall, Dolly experienced two periods of cyclone maintenance with the first period as a tropical depression and a constant wind speed of 25 kts for 20 h, and the second period as a low pressure with a constant wind speed of 20 kts for 24 h before dissipating. The first period had monotonically increasing pressure, while the pressure decreased two hPa over 12 h, but increased by six hPa over the next 12 h.



But more than just the characteristic wind speed change, Dolly produced a secondary precipitation maxima (**Figure 8**) in New Mexico, leading to flash flooding and the death of one person and (National Weather Service (NWS) 2018a). It should be noted that widespread floods impacted New Mexico earlier that month, before Hurricane Dolly, which may qualify as a series of predecessor rain events (PRE; Bosart et al., 2012; Galarneau and Davis, 2013). This is supported by **Figure 9**, which shows the time averaged precipitation for the month, prior to final landfall. The machine-learning model did predict a TCMI event. The latent heat flux (shown in **Figure 10**) criteria of 70 W m⁻² for the BOE, as suggested by AS14, was not sufficient evidence to predict the TCMI of Dolly, indicating that this tool is an improvement on previous criteria.

3.3.3 Tropical Storm Hermine (2010)

Tropical Storm Hermine (2010) developed within the Bay of Campeche and traveled across to the Gulf of Mexico, making landfall in northeast Mexico at 0200 UTC on 7 September 2010 (Avila, 2010). While Hermine lasted only 64 h as a TC after landfall, 30 of those hours were as a tropical depression at a constant wind speed of 20 kts and a constant central pressure of 1,005 hPa. The maximum wind speed and minimum central pressure is summarized in **Figure 11**.



Like Hurricane Dolly, Hermine produced an inland maxima in precipitation, as shown in **Figure 12**. Hermine was a significant precipitation event, despite it qualifying as a TCMI on the basis of the TC dynamics, as it was the only precipitation event for some areas in September 2010. A severe drought began afterwards (National Weather Service (NWS) 2018b). Despite the severe drought, the 2-week averaged latent heat flux, shown in **Figure 13**, was sufficient to produce a BOE storm. For reference, a 2 week average 40 W m⁻² is the criteria value of for the BOE identified by Andersen et al. (2013).

4 CONCLUSION

A prototypical machine learning model has been developed with variables that were chosen to optimize accuracy rather than any given cause of TCMI. Some variables that were important at the time of storm arrival were important the prior day, which indicates that a TCMI event is a reaction to the environment, namely BSTAR, PBLH, V250, and PRECTOT. Moreover, the variables that were finally selected show a heavy emphasis on land-surface processes, which also indicates that proper modeling of air-land interactions are critical for modeling TCMI events. This supports the idea that the accurate representation of the land surface state is important for accurate diagnosis of TCMI.

One aspect of the final machine-learning prototype model that can be criticized is the inclusion of the wind components (U10M, V250, V250Prev). The machine-learning model was trained on data in both the Northern and Southern Hemisphere, respectively, indicating an opposing bias in each due to the opposite signed Coriolis force and opposing rotation. Moreover, the 1,000 hPa height from the previous day (H1000Prev) is included, even though it is often masked. However, developing the model without the masked variables still yields a similar subset of variables (including V250 and V250Prev) with similarly signed coefficients within the confidence interval of the original model, though with less accuracy. The role of the shifting meridional wind deserves more study. Moreover, the inclusion of meridional wind shift emphasizes the independence of variable selection without the perceived bias of the TCMI being caused exclusively by the BOE. To clarify, the variables in the final prototype model were chosen not out of preconceived notions of the causes of TCMI but as variables that improve the accuracy of the prototype machinelearning model. This means that variables that are instinctively examined for the BOE were not given priority (such as latent heat flux) but are heavily influenced by those variables.

5 Applicability and Future Use

What has been presented here is a usable prototypical machinelearning model that may diagnose the probability of a TCMI event based on reanalysis data. This probability forecast is effectively a conditional probability of a TCMI event given the inland onset of a TC. If given the probability that an area is to be struck by a hurricane at a given time, this diagnostic method can be applied to determine whether the TC decays or maintains strength/intensifies. This tool may be useful in diagnosing the occurrence of TCMI events in a future climate beyond the simple 70 W m⁻² latent heat flux threshold suggested by AS14.

Possible future improvements to this statistical model include excluding weaker TCs as well as distinguishing between intensification and maintenance events. Identifying the BOE given a TCMI event through the use of Bayes' Theorem is also a potential avenue of identifying specific TCs that underwent the BOE. Another potential improvement is the inclusion of surrounding grid cells or times but at the cost of additional possibly computations and decreasing the physical interpretability. While observations of rainfall are not included in the IBTrACS dataset, satellite observations of the precipitation rate in space may also be employed in to broaden the definition of TCMI beyond the dynamic criteria.

This study provides a list of candidate TCs to study for TCMI events (see **Table 3**). More TCs can be considered if the range of dates is expanded to the full selection rather than what is limited by MERRA-2 or if the spatial constraints are reduced. This

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provides a new set of TCs to be considered as BOE storms for study, instead of morbidly awaiting new TCMI events or questioning any new TC for the potential for the BOE. This study also indicates that, while the BOE is one specific and major cause of TCMI, it is not the only cause.

DATA AVAILABILITY STATEMENT

Publicly available datasets were analyzed in this study. This data can be found here: https://www.ncei.noaa.gov/data/internationalbest-track-archive-for-climate-stewardship-ibtracs/v04r00/ access/ https://goldsmr4.gesdisc.eosdis.nasa.gov/data/MERRA2/.

AUTHOR CONTRIBUTIONS

AMT designed this as an intermediate step for an proposed project for the dissertation, which JMS oversaw. JMS suggested that this be published as new research. AMT made the technical decisions regarding model development, as well as provided the physical interpretation to the model. JMS provided the resources required for completion. AMT wrote the manuscript and JMS, in conjunction with the dissertation committee, suggested modifications to the manuscript. JMS also provided editorial suggestions.

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